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**Semi-Automatic Program Partitioning**

A dissertation presented by

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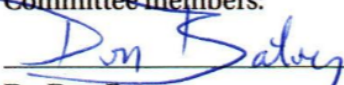
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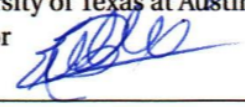


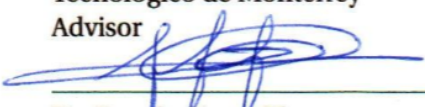
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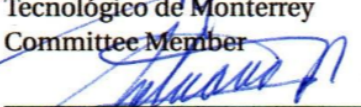
  
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# Declaration of Authorship

I, **Priscila Angulo López**, declare that this dissertation titled, **Semi-Automatic Program Partitioning** and the work presented in it are my own. I confirm that:

- This work was done wholly or mainly while in candidature for a research degree at this University.
- Where any part of this dissertation has previously been submitted for a degree or any other qualification at this University or any other institution, this has been clearly stated.
- Where I have consulted the published work of others, this is always clearly attributed.
- Where I have quoted from the work of others, the source is always given. With the exception of such quotations, this dissertation is entirely my own work.
- I have acknowledged all main sources of help.
- Where the dissertation is based on work done by myself jointly with others, I have made clear exactly what was done by others and what I have contributed myself.



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Priscila Angulo López  
Monterrey, Nuevo León, December 5th, 2017



## **Dedication**

*A mi amada madre.*



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# Abstract

Partitioning a program into features is a fundamental problem in both *Software Product Line* (SPL) and *object-oriented framework* research.

An SPL is a family of related programs that are created and differentiated by *features* – increments in program functionality. An extractive way to create an SPL is to refactor a legacy program into a stack of feature modules or layers. We developed B<sub>t</sub>F, a tool that infers the feature membership of all package, class, and member declarations of a Java program from facts given by a program expert. B<sub>t</sub>F recognizes *hooks* to be methods that defy classical layering in that they reference declarations of layers/feature modules *that are added in the future*. The information collected by B<sub>t</sub>F is used to feature-annotate the program, giving it a feature-based design. Doing so enables optional or unnecessary features to be removed from the program’s codebase to produce subprograms, the beginnings of an SPL. Case studies validate B<sub>t</sub>F, the best result yielded by our experiments show that B<sub>t</sub>F is capable of inferring the assignment of over 80% of a program’s declarations, this means that less than 20% of the assignments were provided as facts by an expert.

An object-oriented framework is a two-tiered structure: a *framework* (consisting of many abstract classes) that encode common and shared behavior in a domain of applications, and a *plug-in* (having a concrete class for each framework abstract class) to complete an application. Refactoring a legacy application into a framework and plug-in is a common activity. Surprisingly, tools to perform this task are absent, even though frameworks have been in use for 25 years. After all this time, it remains a tedious, error-prone, and manual task. Two tools are needed: one that determines how classes of a legacy application and their members are partitioned across a framework and plug-in, and which methods are hooks. A second tool takes this partitioning information as input and runs a refactoring script that invokes refactorings programmatically to transform the legacy application into a framework and a plug-in. R3 is a new refactoring engine for Java that supports the writing of transformation scripts [51]. We present the integration of B<sub>t</sub>F and R3 to cover this need, and evaluate this integration by effectively transforming a set of six Java programs into a framework and plug-in structure.

Furthermore, we use B<sub>t</sub>F as a tool to identify features in a non-object-oriented environment: *Multi-Agent Systems* (MAS). This active research area has started to see some efforts to integrate SPL concepts and technologies, however, identifying features in existing MAS was a problem not being addressed. B<sub>t</sub>F was successfully used to identify features on six MAS simulators developed on GAMA platform.





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# Chapter 1

## Introduction

A *Software Product Line* (SPL) is a design for a family of related programs that are differentiated by *features* — stereotypical increments in program functionality [1]. Every program of an SPL is theoretically a stack of layers or (equivalently) feature modules [12, 94, 92].

SPLs offer significant improvements in cost, time-to-market, and quality in customized program production [17]. However, adopting SPL technology is a considerable challenge, it requires converting legacy programs into an SPL, a notoriously difficult task [47, 98, 23, 56, 29].

Why is this task hard? Consider what the task implies:

1. Identify a set of features in a program, then
2. Partition the original codebase by indicating which feature introduced each declaration (or more microscopically, which lines of code); the code of a feature is the contents of its feature module,
3. Determine the correct order in which these features were incrementally added to the program, and which feature depends on which other features,
4. Verify that you did this process correctly by removing one (say an optional feature) and still have the resulting program correctly compile.

Now consider, where to start to accomplish this task? Related research suggests searching for keywords related to a feature in comments and source code, or perform pattern matching on naming conventions [23, 29, 47, 56, 98]. This could be useful for step 1, but it is methodologically incomplete, and is far from guaranteeing the achievement of our final goal: a *correct feature partitioning of the program*.

Prior work does not exploit the structure of feature modules to extract an SPL. Nor do they leverage ‘feature-private’ modifiers of packages, classes, and members to limit their visibility only to that module, as opposed to ‘feature-public’ declarations that are visible to all feature modules. Experience in building Java SPLs has long hinted that ‘feature-private’ declarations could help identify the contents of a feature module as any declaration that references a ‘feature-private’ element must also belong to that module.

We developed *Back to the Future* (B<sub>t</sub>F), a new tool to extract an SPL from a legacy program.<sup>1</sup> Unlike most prior work, B<sub>t</sub>F does *not* use feature location, concern location heuristics, text analysis or machine learning to extract an SPL. Most prior work based their approaches analyzing source *text*, and cannot offer strong guarantees that their results are consistently successful. Instead, B<sub>t</sub>F leverages a structural theory of SPLs whose programs are composed from feature modules. This required a close and precise integration of previously loosely-connected ideas: truth maintenance systems [38], classical layering [28], mixin-layers [6, 92, 94], hook methods in OO frameworks [79], and ‘feature-public’ and ‘feature-private’ modifiers [3] that would adorn declarations in feature modules if such modules were supported by Java. B<sub>t</sub>F is *semi-automated*: given a Java legacy program  $\mathbb{P}$  and a stack of features, it relies on a program expert to supply facts about  $\mathbb{P}$ ’s declarations to infer a feature partition of all  $\mathbb{P}$  declarations.

Our work is based on a theory of layering [93, 94]. Leveraging layer concepts guarantees the type correctness of a partition (feature). A layer depends on previously introduced layers to compile. We treat features as layers, we stack them in the order they should be introduced, guaranteeing the type correctness of the partition. This was not our first approach, and definitely not an approach taken by related work. Originally, we tried to build upon existing work, but their lack of guarantees made us develop our own approach. We first thought of using SAT solver concepts [1], however we promptly realized that it was insufficient. The right approach became clear when we started representing a program declaration dependencies as a graph. It was then when layers became an obvious partitioning approach. The rest fell in place in an almost organic way.

B<sub>t</sub>F is integrated with R4, an Eclipse plug-in, that supports the refactoring and creation of feature-annotated Java SPL codebases [50]. R4 plays a similar role to that of the *C-PreProcessor* (CPP) used in C-based SPLs, except it uses Java annotations. But R4 also supports the refactoring of such codebases, where only limited support exists today for C+CPP SPL codebases [55]. B<sub>t</sub>F takes the feature-assignments it is given and infers and feature-annotates all  $\mathbb{P}$  declarations to create a Java SPL that R4 can process.

A task that is similar to converting a legacy program into an SPL is converting a program into a framework and plug-in. A *framework* is a classical design in reusable *Object-oriented* (OO) software [21, 36, 45, 67, 79]. It standardizes the encoding of the classes, relationships, and functionality that are shared by programs in an application domain. Specific applications extend/complete the framework by a *plug-in* which adds the distinctive functionality of that application. Frameworks abound in graphics [101], middleware [87], web application frameworks [88], IDEs [33, 64], and, of course, software product lines [9].

A common way to create a framework is to refactor an existing legacy application into two parts: a reusable framework and an application-specific plug-in. The basic ideas for doing so have been known for 25 years. Yet after all this time, it remains a tedious, error-prone, and *manual* task. For example, a legacy class might (1) belong to the framework, (2) belong to the plug-in, or (3) have its members partitioned so that some belong to the framework and others to the plug-in. This activity of classifying the contents of a class must be made

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<sup>1</sup> Mainly executed as an Eclipse plug-in.

repetitively for every class in the legacy application. Even for legacy applications with a few classes, without tool support it is easy for programmers to get lost or make mistakes. We know of no widely available tool(s) that help.

A second task takes the classification output of the first task and refactors each legacy class, either moving it into a framework or plug-in, or to distribute its members across both. The difficulty here is that refactoring engines of today's IDEs allow programmers to manually perform *one* refactoring at a time. When tens or hundreds of refactorings are needed, once again programmers can easily get lost or make mistakes. What is missing is the ability to script refactorings (*ie* to programmatically invoke refactorings repeatedly) which would make this second task largely automatic.

We use B<sub>t</sub>F as a support tool to partition a Java legacy program into framework and plug-in. This information is then input to a refactoring script that automatically transforms the legacy application into a framework and plug-in. This script is executed by a new refactoring engine R3 for Eclipse that supports user-programmable refactoring scripts as simple Java methods [51]. To give readers an appreciation, most design patterns (in the Gang-of-Four text [108]) can be encoded as an R3 Java method of  $\sim 25$  LOC. The B<sub>t</sub>F legacy program to framework + plugin refactoring script is  $\sim 300$  LOC, the largest script written to date.

Furthermore, the need to identify features is not only relevant for OO programs. It is also important in other software development scenarios, for example, *Multi-Agent Systems (MAS)* development. Among the research topics to advance MAS engineering, is the effort to combine MAS and SPL approaches: *Multi-Agent Systems Product Lines (MAS-PL)* [75]. Most of the research done in MAS-PL is in methodological aspects, and there is no tool to identify features in a MAS. We use B<sub>t</sub>F also in this scenario.

The novel contributions of this research are:

- A careful and precise integration of the concepts of Java packages, classical layers, mixin-layers, hook methods, feature-modifiers, and truth maintenance systems;
- The *Containment Reference Graph (CRG)* as the key data structure for SPL extraction analysis;
- Rules to infer and maintain a consistent database of facts (feature-declaration assignments);
- Case studies that demonstrate the utility of B<sub>t</sub>F for SPL partitioning, framework and plug-in refactoring, and MAS features identification; and
- An explanation of how B<sub>t</sub>F goes beyond prior work on feature-localization and extracting SPLs.

We begin by with an overview of relevant prior work.

# Chapter 2

## Background

### 2.1 Layers and Features

*Layering* is a fundamental design technique to control and manage software complexity [12, 28]. Layers exist in every non-trivial program explicitly or implicitly [43]. Figure 2.1 illustrates classical layering. Each layer is a blue-colored horizontal rectangle that contains a set of classes. A package is a vertical black rectangle. In classical layering, there is no difference between layers and packages; *eg* layer  $L_\eta$  equals package  $P_\eta$ . The lowest layer or *base* is  $L_1$  and the highest is  $L_3$ . We write this stack of layers as  $L_1 \times L_2 \times L_3$ , where  $\times$  is the layer stacking operation.

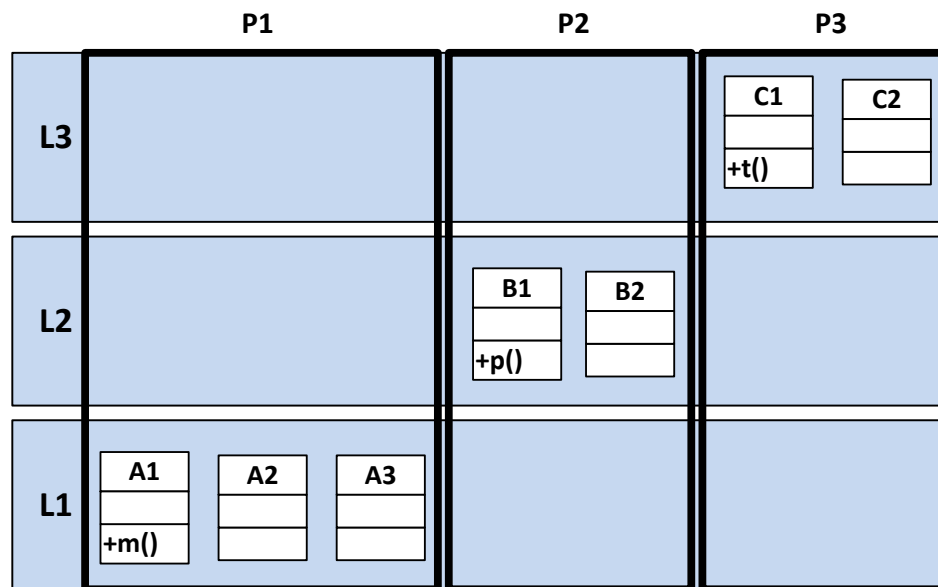


Figure 2.1: Classical Software Layers.

Suppose all declarations are either private or public; there is no package-private (or null) modifiers as in Java. Then:

- L1 has three classes A1–A3. Method A1.m can reference private members in class A1 and public members in L1.
- L2 has two classes B1 and B2. Method B1.p can reference private members in B1 and public members in  $L1 \times L2$ .
- L3 has two classes C1 and C2. Method C1.t can reference private members in C1, public members in  $L1 \times L2 \times L3$ .<sup>1</sup>

### 2.1.1 Object Oriented Layering

*OO layering* has a more sophisticated structure [92, 94]. The essential idea is that each subsequent layer can not only add new classes, but also extend, via subclassing, any public class of a layer beneath it, this can be observed in Figure 2.2.

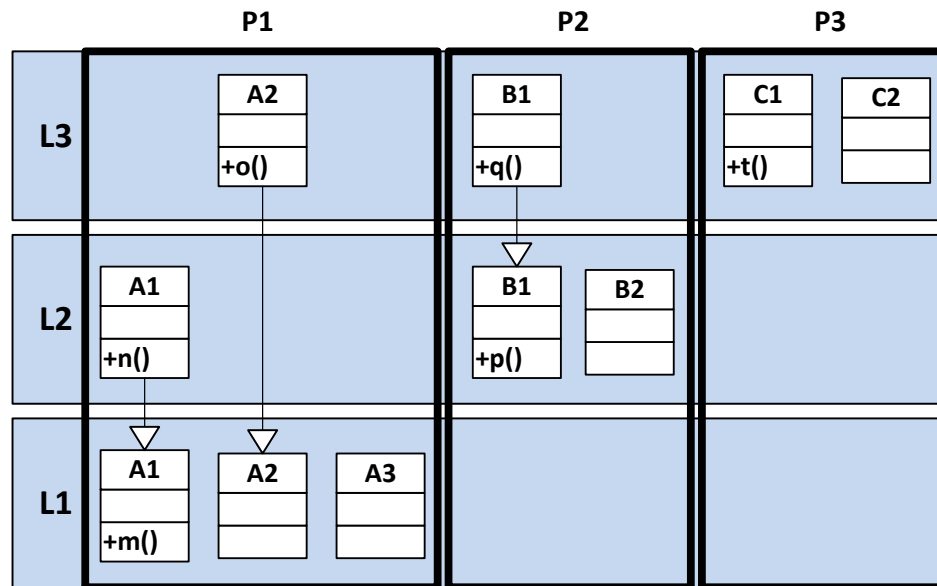


Figure 2.2: Illustrates OO layering.

- L1 is indistinguishable from its classical counterpart.
- L2 introduces classes B1 and B2 as before. But now it also extends class L1.A1 with method n. An extension, like any subclass, can add new fields and methods to its parent class, and override existing methods. As in classical layering, methods of L2 can reference public members in  $L1 \times L2$ .
- L3 again introduces classes C1 and C2. It also extends class L1.A2 with method o, and extends class L2.B1 with method q. Methods of L3 can reference public members in  $L1 \times L2 \times L3$ .<sup>2</sup>

<sup>1</sup> Early papers on classical layering restricted the access of  $L_i$  members to public members of the layer immediately below it, namely layer  $L_{i-1}$  [28]. This is too strict for practical layering [12].

<sup>2</sup> By historical accident, inheritance or subclassing hierarchies are normally drawn with the base class at

### 2.1.2 Legacy Programs Telescope OO Layers

Habermann et al argued that layers exist in every application; most layers are implicit, not explicit [43]. This means that instead of having explicit OO layers as in Figure 2.2, programmers telescope or collapse sub-classing chains into a single class, removing all traces of explicit layering. The result is a monolithic layer or program  $\mathbb{P}$  of Figure 2.3, which to us is the OO structure of a *legacy program*.

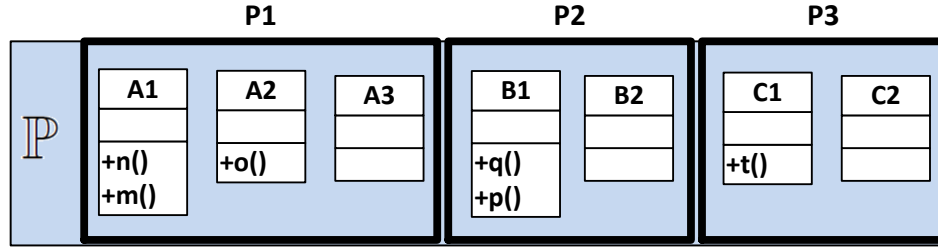


Figure 2.3: A Legacy Program Telescoped from Figure 2.2.

This is our starting point. We are given a telescoped legacy program  $\mathbb{P}$  that is a collection of packages. Using the interactive analysis of  $B_{\mathcal{L}}^{\dagger}F$ , a programmer tells us that  $\mathbb{P}$  has the layer stack  $L1 \times L2 \times L3$ , and from facts (s)he gives us, we infer the contents of these layers.

### 2.1.3 OO Layers, Packages, and Features

OO layers are collections of classes and so too are packages. The difference: an OO layer cross-cuts packages. The dark outlines in Figure 2.2 show the contents of packages P1–P3, and their telescoped counterparts in Figure 2.3.

OO layers correspond to features in SPLs [5, 6, 2]. Henceforth we refer to OO layers as features.

### 2.1.4 Feature Modifiers

Modifiers `private`, `<null>` (package-private), and `public` adorn every declaration in a Java package. They tell Java the visibility of a declaration is restricted to a single class, to a package, or to the world.

Features also have modifiers [3]. `fpublic` says a declaration is exported or is visible to other features of a program. In contrast, `fprivate` says a declaration is visible to (or can be referenced by) *only* the feature which defined it.

---

the top of a hierarchy and the most specialized subclasses at the bottom. This is in contravention to layer hierarchies. We retained classical layer ordering in Figure 2.2, requiring the base class of a subclassing chain to be at the bottom, not at the top. This is why the inheritance chains of Figure 2.2 are inverted.



Java modifiers are independent of feature modifiers, although this is not immediately apparent. (Apel et al independently reached a similar conclusion [3]). Let's contrast Java `private` and feature `fprivate`. Figure 2.4 depicts a Java package with the feature stack  $F1 \times F2 \times F3$ . Feature  $F1$  introduces base versions of classes  $J, K, L$ ; features  $F2$  and  $F3$  extend these classes. Member  $J.a$  is introduced by feature  $F1$ . If  $J.a$  is `fprivate`, it is *horizontally* visible to all classes of  $F1$  and is otherwise *invisible* to (or not referenceable by) other features. If  $J.a$  is `private`, it is *vertically* visible to all later extensions of class  $J$ , but to no other classes. Having both `private` and `fprivate` modifiers restricts  $J.a$ 's visibility to their overlap, namely the declarations that  $F1$  introduced in class  $J$  (bottom left class of Figure 2.4).

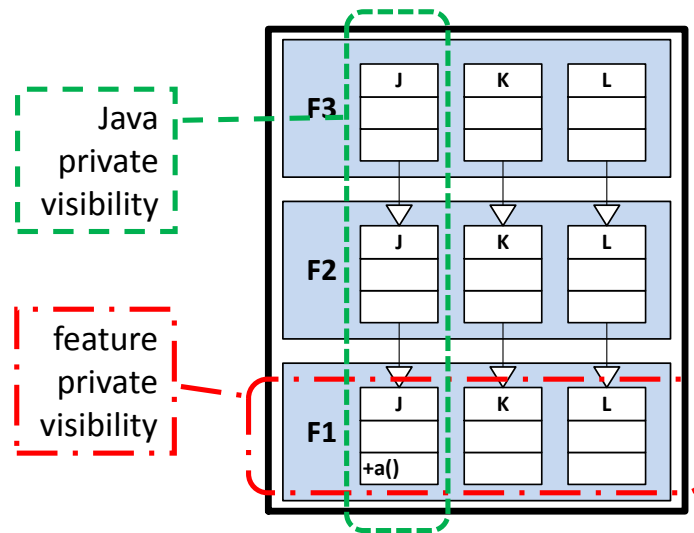


Figure 2.4: Differences between `private` and `fprivate`.

Now contrast Java `public` and feature `fprivate` (Figure 2.5). If  $J.a$  is `public` then  $J.a$  is visible to the world. But if  $J.a$  is also `fprivate`, *only the code of feature  $F1$  can reference  $J.a$ ; it is invisible to (not referenceable by) other features.*

The remaining combinations also yield surprises. If member  $J.a$  was `fpublic` in Figure 2.4, its visibility would be indistinguishable from that of Java package-private. But if  $J.a$  was `fpublic` in Figure 2.5,  $J.a$  would be visible only to packages  $P1$ – $P3$ ; there is no corresponding mechanism in Java that would restrict its visibility only to those packages. For the above reasons, we concluded that Java and feature modifiers are independent.

Obviously, feature modifiers are not present in a Java legacy program. Because we can treat feature modifiers independently of Java modifiers, this simplifies our task. *We do not alter the Java modifiers in feature-refactoring  $\mathbb{P}$ .* To feature-refactor program  $\mathbb{P}$  into a stack of features, we must recover for each declaration of  $\mathbb{P}$  (packages, classes, initializers, constructors, and members):

- the feature to which it belongs and
- whether or not it is `fprivate`.

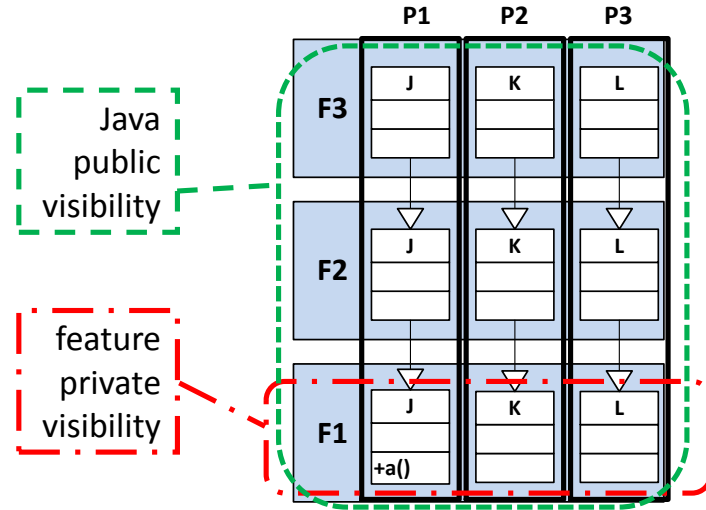


Figure 2.5: Differences between public and fprivate.

So if  $\mathbb{P}$  is the program of Figure 2.3 and its feature stack is  $L1 \times L2 \times L3$ , our task is to reconstruct the design of Figure 2.2.

## 2.2 The Challenge and Relevance of SPLs

A *Software Product Line (SPL)* is a design for a family of related programs [1]. Each program is composed from a unique stack of feature modules or layers, each of which is a stereotypical increment in program functionality [12, 92, 94]. SPLs offer significant improvements [17], [78, pp. 9-11]. Namely, they:

- improve the software development process,
- reduce cost and time to market,
- increase software quality attributes like reliability, usability and maintainability,
- provide increased ability of application evolution, and
- reduce application complexity, and increase manageability.

Two fundamental barriers limit SPL success. First, there are astonishingly few public Java SPLs. Experimental studies on real SPLs are critical to advance SPL technology [63, 72]. Second, adopting SPL technology requires *converting* legacy programs into an SPL, a notoriously difficult task [23, 29, 47, 56, 86, 98].

Although many tools can search code for keywords to locate features or concerns, *ie* positions in source code that *might* implement a desired functionality [29, 86], few tools extract a Java SPL from a legacy program [48, 76, 99].

Prior work does not exploit the structure of feature modules to extract an SPL. Nor do they leverage the concept of feature-private modifiers of packages, classes, and members to

limit their visibility only to that module, as opposed to `feature-public` declarations that are visible to all modules. Our experience in building Java SPLs has long hinted that `feature-private` declarations could help identify the contents of a feature module as any declaration that references a `feature-private` element must also belong to that module.

An SPL can be created in three ways [1]:

1. A *proactive* way develops a product line from scratch;
2. A *reactive* way extends a small product line incrementally with new features, broadening the product line's scope; and
3. An *extractive* way starts with a legacy program (sometimes several) and feature-refactors it into an SPL, at which point a reactive approach takes over.

**B<sub>⌢</sub>F (Back to the Future)** is a new tool to extract an SPL from a legacy program. Unlike most work, B<sub>⌢</sub>F does *not* use feature location or concern location heuristics to extract an SPL. Instead, B<sub>⌢</sub>F leverages a well-known structural theory of SPLs whose programs are composed from feature modules. This required a close and precise integration of previously loosely-connected ideas: truth maintenance systems [38], classical layering [28], mixin-layers [6, 92, 94], hook methods in OO frameworks [79], and `feature-public` and `feature-private` modifiers [3] that would adorn declarations in feature modules if such modules were supported by Java. B<sub>⌢</sub>F is semi-automated: given a Java legacy program  $\mathbb{P}$  and a stack of features, it relies on a program expert to supply facts about  $\mathbb{P}$  to infer a feature partition of all  $\mathbb{P}$  declarations.

## 2.3 Framework and Plug-in Concepts

Frameworks are a standard design for OO extensibility [46, 37, 15]. A *framework* consists of one or more abstract classes that define a common functionality in an application domain. It might also have zero or more additional `private` concrete classes that are required for this functionality. Each abstract class has one or more *hook methods* – abstract methods whose implementation must be specified by a plug-in.

A *plug-in* or extension, is a set of concrete subclasses, one for each framework abstract class, that provides additional functionality to complete the framework. A plug-in's concrete classes implement the framework's hook methods. Like the framework itself, a plug-in can have additional `private` concrete classes that complete the plug-in's functionality [57, 15].

Figure 2.6a shows a simple framework  $\mathcal{F}$  consisting of two abstract classes (A1 and A2) and one concrete class (X1). Every framework also has an *abstract factory* class, AF, which provides an abstract factory method for each abstract and/or public class in the framework. (Note: *blue classes and methods are abstract in our figures*).

Figure 2.6b shows a plug-in  $\mathcal{P}$ . There is a concrete subclass C for each framework abstract class A and a concrete method `C.h()` for each abstract hook method `A.h()`. Two such classes

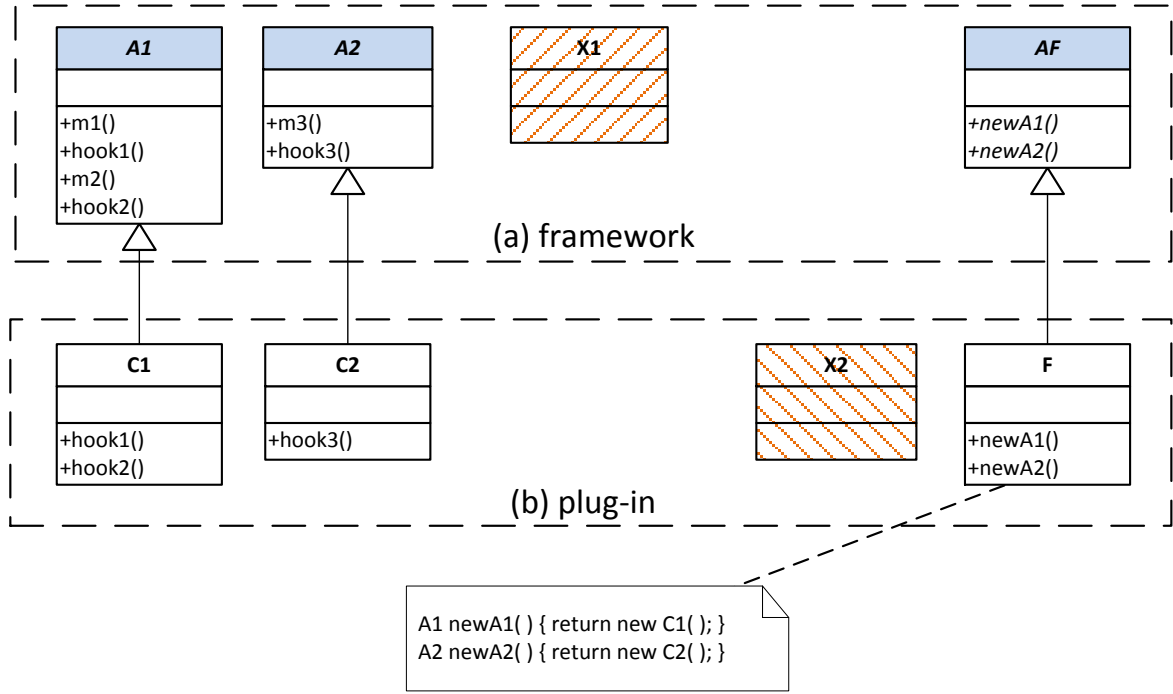


Figure 2.6: Basic Framework and Plug-in Organization.

are shown in Figure 2.6b, C1 and C2. An additional concrete class specific to the plug-in (X2) is also present. Plug-in  $\mathcal{P}$  is completed with a concrete factory class F that has a factory method for each public class constructor in the framework + plug-in combination. Note that concrete factory method `newA1()` returns a (plug-in) C1 object; method `newA2()` returns a (plug-in) C2 object.

### 2.3.1 Partitioning $\mathcal{L}$ into $\mathcal{F}$ + $\mathcal{P}$

Legacy application  $\mathcal{L}$  is mapped to a framework  $\mathcal{F}$  and plug-in  $\mathcal{P}$  in three steps. First, each class of  $\mathcal{L}$  is either (1) moved to  $\mathcal{F}$  or (2) moved to  $\mathcal{P}$  or (3) its membership is split between  $\mathcal{F}$  and  $\mathcal{P}$ . Steps (1) and (2) are implemented by a move-class refactoring. Figure 2.7 shows the typical steps of option (3). Starting with class C1, an expert determines that methods `m1()` and `m2()` have both framework content and plug-in content. So these methods are split (lifted) into their generic part (whose names remain `m1` and `m2`) and hook methods `hook1()` and `hook2()` that are respectively called from `m1` and `m2` and that contain plug-in contents.

Second, the revised class C1 is partitioned into an abstract class A1, which contains all framework-classified members, while class C1 extends A1 retains the concrete members that are specific to the plug-in. This transformation (of moving or splitting) is applied to all classes in  $\mathcal{L}$ .

Third, the framework  $\mathcal{F}$  is given an abstract factory class AF (containing abstract factory methods) and the plug-in  $\mathcal{P}$  is given the corresponding concrete factory subclass CF (con-

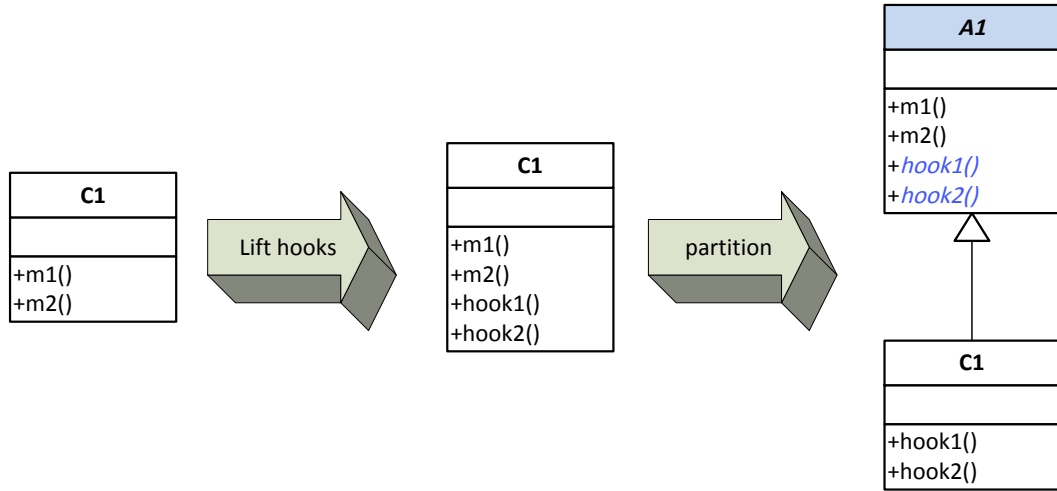


Figure 2.7: Two-Step Splitting of Class C1.

taining corresponding concrete factory methods).

This is the essential mapping of  $\mathcal{L} \rightarrow \mathcal{F} + \mathcal{P}$ . It can be implemented by a R3 refactoring script.

### 2.3.2 R3 Refactoring Scripts

R3 is a new Java refactoring engine for Eclipse [49, 51].<sup>3</sup> R3 was specifically designed to support refactoring scripts as Java methods to invoke refactorings programmatically. In particular, most classical design patterns can be expressed as 10-25 line methods, which has been the primary use for R3 to date [49, 51]. R3 does not rely on the Eclipse refactoring engine, but rather uses a novel relational-database and pretty-printing technology to map Java programs to refactored Java programs [51].

R3 works in the following way: R3 exposes all packages, classes, methods and fields as R3 objects, similar to that of reflection of Java class files. What distinguishes R3 from reflection are R3 object methods: they are refactorings (*eg* rename, create, move) or methods to find related objects (*eg* return the R3 class object of a given method, return the R3 objects that are members of a given class, return all R3 method objects that have a given type signature).

An R3 script for the `moveAndDelegate()` refactoring is shown in Figure 2.8. The script delegates a method `m` to a class whose name is `cn` in a package whose name is `pn`. Line 3 determines the R3 class object `o` to which method `m` belongs. Line 4 converts name `pn` to a R3 package object `p`. Line 5 converts name `cn` to an R3 class object `c`. Line 7 moves method `m` to class `c` and Line 8 adds a delegate method for `m` to class `o`. Errors in R3 script execution are Java exceptions; as R3 does not alter Eclipse *Abstract Syntax Trees (ASTs)*, recovery from failed script executions is fast.

<sup>3</sup> R4 is a Java SPL refactoring engine that is an extension of non-SPL refactoring engine R3.

---

```
1 void moveAndDelegate( RMethod m, String cn, String pn )
2 {
3     RClass o = m.getClass();
4     RPackage p = Project.findPackage(pn);
5     RClass c = p.getClass(cn);
6
7     m.moveToClass(c);
8     o.createDelegate(m);
9 }
```

---

Figure 2.8: An R3 MoveAndDelegate Refactoring.

The following chapters provide full details on the theory behind B<sub>t</sub>F, its execution environment, and its successful application and evaluation.

# Chapter 3

## B<sub>t</sub>F Classification and Inference Rules

### 3.1 Introduction

Our tool, *Back to the Future* (B<sub>t</sub>F)<sup>1</sup>, allows the semi-automatic partitioning of a program into a sequence of layers or features.<sup>2</sup> B<sub>t</sub>F is a *truth maintenance system* [38]. It collects and infers facts, guaranteeing they are consistent. B<sub>t</sub>F obtains from a user a feature model for partitioning a program and feature + feature modifier assignments to program declarations.

B<sub>t</sub>F's targeted applications are:

1. Partitioning of a program to create an elementary SPL. We say 'elementary' because the features in the resulting product line are initially all required. However, after this, a user may use other tools to manage and generalize the resulting product line, and derive other products. And
2. Partitioning of a program into *framework+plugin*, the *framework* part is the base layer, the *plugin* part is the second layer with *optional* functionality.

Both applications are very similar, however their outputs are quite different. Details are described later.

The following sections explain B<sub>t</sub>F partitioning concepts, bounds calculations, and inferences.

---

<sup>1</sup> B<sub>t</sub>F's name alludes to a behavior that we noticed while working on this project. When partitioning programs into layers, we found that declarations in a layer would reference declarations in future layers, and future layers would reference declarations defined in past layers.

<sup>2</sup> We use the terms layer and feature interchangeably.

## 3.2 $B_{TF}^T$ Concepts

### 3.2.1 Declaration Types

A *declaration* is a code element found in a program's source.  $B_{TF}^T$  considers the following four declaration types:

- **Package.** A package declaration.
- **Class.** This term includes classes, interfaces, enums and annotations.
- **Method.** Includes methods, constructors, static and non-static initializers.
- **Field.** Represents a member variable. The *initializer expression (IE)* of a field is considered part of the field.

### 3.2.2 Feature Modifiers

A modifier defines a declaration's visibility among features. There are two possible feature modifiers `fpublic` and `fprivate`; `fpublic` says a declaration is referable by declarations in other features of a program. In contrast, `fprivate` says a declaration is *only* referable by declarations in the feature which defined it.

### 3.2.3 Facts

A *fact* is provided by a user. It indicates both the feature assignment *and* the feature modifier of a declaration. When  $B_{TF}^T$  infers a feature assignment, it does not infer a modifier. This chapter details  $B_{TF}^T$  inferencing.

### 3.2.4 Containment Reference Graph (CRG)

The core analysis of  $B_{TF}^T$  relies on a *containment reference graph (CRG)*. Each node of a CRG represents a program declaration. A *containment edge*  $d \rightarrow c$  connects a nested declaration  $d$  to its container declaration  $c$ , *eg*  $d$  is a class, interface, or subpackage of package  $c$ . A *reference edge*  $m \rightarrow d$  connects  $m$  (a method or constructor or initializer or field with an IE) to a declaration  $d$  that it references. In its analysis,  $B_{TF}^T$  does not distinguish containment from reference edges. We write  $m \rightarrow \{d, c\}$  as an abbreviation for  $m \rightarrow d$  and  $m \rightarrow c$ , and  $\{x, y\} \rightarrow z$  for  $x \rightarrow z$  and  $y \rightarrow z$ .

A CRG is obtained by harvesting the *Abstract Syntax Trees (ASTs)* of a program.<sup>3</sup> References that point to external declarations, like `org.junit`, are excluded. Figure 3.1a presents the code of the Java `Complex` class and Figure 3.1b is its CRG with:

<sup>3</sup> For Java programs ASTs are available in Eclipse. For programs coded in other languages for which compiler support is lacking, other means are needed to obtain a CRG.



- Containment edges:  $\{\text{FLOAT}, \text{Complex}\} \rightarrow p$ ,  $\text{toFloat} \rightarrow \text{Float}$ ,  $\{\text{im}, \text{re}, \text{cconj}, \text{real}\} \rightarrow \text{Complex}$ .
- Reference edges:  $\text{cconj} \rightarrow \{\text{toFloat}, \text{FLOAT}, \text{im}, \text{re}\}$  and  $\text{real} \rightarrow \text{re}$ .

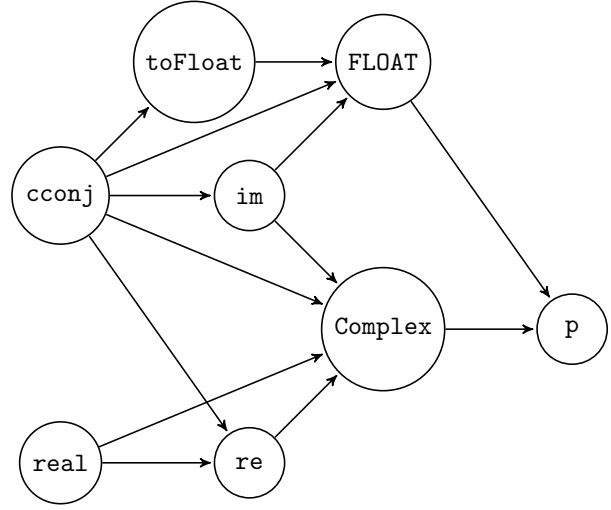
As readers may observe in Figure 3.1b, fields are not necessarily terminal nodes. Terminals could be any type of declaration that does not reference or contain other declarations.

```

1  package p;
2
3  class Complex {
4      FLOAT im; //FLOAT belongs to p
5      float re;
6
7      float cconj() {
8          return
9              im.toFloat()*im.toFloat()
10             + re*re;
11      }
12      int real() {
13          return re;
14      }
15  }

```

(a)



(b)

Figure 3.1: CRG of Java Complex Class

Once a CRG has been obtained, a user must provide a  $B_{TF}^T$  feature model to follow for partitioning.

### 3.2.5 A $B_{TF}^T$ Feature Model

A *feature model* in  $B_{TF}^T$  is provided by a user. Compared to traditional feature models which can be elaborate hierarchies of features with different containment relationships and cross-tree constraints [1], a  $B_{TF}^T$  feature model is quite simple – but this is all that is needed for feature-partitioning legacy applications.<sup>4</sup> A program is to be partitioned according to a sequence of features. A  $B_{TF}^T$ 's feature model follows this format:

$$P : A B C$$

In this case, P is a program to be partitioned into features A, B and C. A feature name is any sequence of letters and numbers, starting with a letter. Feature names can not be repeated. A user provides the names of the features in order as they were layers, starting with the bottom layer or base feature, in this case A, and ending with the top layer, in this case C.

<sup>4</sup> We call a non-feature oriented program legacy application or legacy program.

A  $B^T F$ 's feature model has only mandatory features, no optional features, and no alternative features [1, 59]. Since we treat features as layers, there are implicit constraints: let  $F$  and  $G$  be features. If  $F < G$ , meaning that feature  $F$  comes before (or is closer to the base feature) than  $G$ , then  $G \Rightarrow F$ . That is,  $G$  requires all features beneath it in a  $B^T F$  feature model. Cross-tree constraints and more elaborate feature models can be developed from  $B^T F$ 's output using a follow-on Java-based tool for SPL development [50].

A *recursive partitioning* of a feature is its partitioning into a sequence of smaller features. Recursive partitioning has the advantage of divide-and-conquer, which may be helpful to partition large programs. If a feature is recursively partitioned, there will be at least one more line to a  $B^T F$  feature model that looks like:

$$A : X Y$$

The above means that the base feature,  $A$ , is partitioned into a sequence of primitive layers, where the first layer is  $X$  and layer  $Y$  is atop  $X$ . A feature to sub-partition,  $A$  above, should exist in one of the previous lines of a  $B^T F$  feature model. A feature can be sub-partitioned only once. All features (irrespective if they are sub-features or not) have unique names. The sub-features should also be provided in order as they were layers of the containing feature.

### 3.2.6 Feature Dependency

An edge of a CRG where both connected declarations have been assigned to a feature, provides *feature dependency* information. The feature of the edge's source declaration  $\text{src}(\text{ed})$  depends on the feature of the edge's destiny declaration  $\text{dst}(\text{ed})$ . Thus, the feature dependency  $\text{FD}$  of an edge  $\text{ed} = \text{src}(\text{ed}) \rightarrow \text{dst}(\text{ed})$  is  $\text{FD}(\text{ed})$ :

$$\text{FD}(\text{ed}) = F(\text{src}(\text{ed})) \rightarrow F(\text{dst}(\text{ed})) \quad (3.1)$$

Feature dependency is related to *Safe Composition (SC)* of an SPL [95]. SC guarantees that all programs in a product line are type safe: there are no references to undefined elements and all programs of the product line compile without error.

Effectively, each edge in a CRG  $F(\text{src}(\text{ed})) \rightarrow F(\text{dst}(\text{ed}))$  is a theorem that Safe Composition has to prove is consistent with the feature model. If it is not, then there will be programs where  $F(\text{src}(\text{ed}))$  is true and  $F(\text{dst}(\text{ed}))$  is false, meaning that at least one program of the SPL will not compile.

Note that SC is *not* part of  $B^T F$ , but of a follow-on tool for SPL development [50].  $B^T F$  does not check these rules, as all features that  $B^T F$  deals with are mandatory, and partitioning is done based on a layered approach, guaranteeing that declarations are introduced in a timely layer- or feature-based manner. Only when features are optional is the full SC analysis needed.

### 3.2.7 Partitioning Process

$B_{TF}^T$ 's *main path* to assign features to declarations is the process of visiting nodes of a CRG in a right-to-left breadth-first manner. That is,  $B_{TF}^T$  traverses the CRG from the leaves to the roots, hence, it first assigns to features those declarations that do not reference other declarations, and then it assigns declarations that point to only leaves, and so on.

$B_{TF}^T$ 's main path is illustrated in Figure 3.2. This CRG contains declarations a, b, c, d, e, f. The edges are  $f \rightarrow e$ ,  $\{d, e\} \rightarrow c$ ,  $\{c, e\} \rightarrow b$ , and  $c \rightarrow a$ . Declarations a and b are the leaf nodes, they are assigned first, then c and e, and finally, the roots d and f.

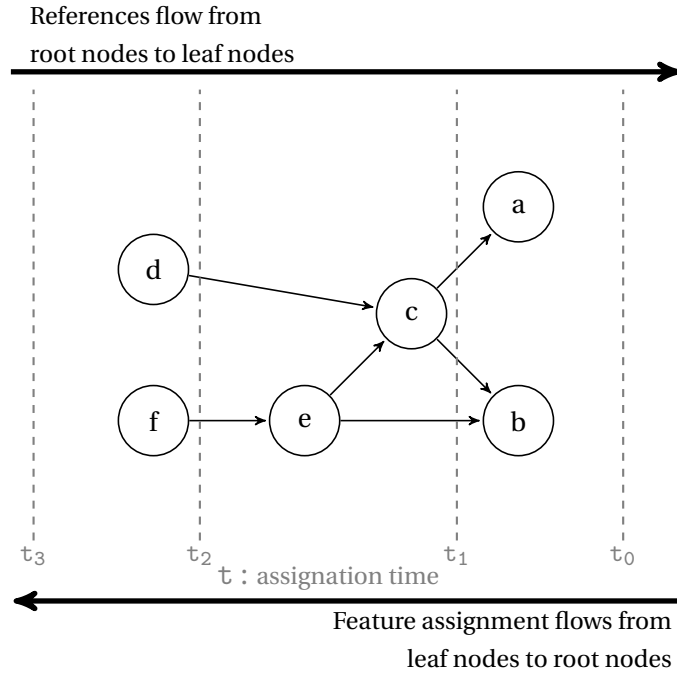


Figure 3.2:  $B_{TF}^T$  Main Path

The reason for  $B_{TF}^T$ 's main path is historical. Initially, we thought that assigning features to declarations via the main path would be sufficient to feature-partition an application. As it turns out, programmers found it convenient to supply a set of feature and modifier assignments to declarations that are known to them before running  $B_{TF}^T$ . How  $B_{TF}^T$  handles this input is covered in Chapter 4.

### 3.2.8 Notation, Predicates, and Rules

In this and the following sections, we use Greek letters  $\alpha \dots \omega$  to denote features, and their alphabetical linear order  $\alpha < \beta < \dots < \omega$  to denote the order in which they are composed.

$$\alpha \quad \text{is the earliest or base feature} \quad (3.2)$$

$$\omega \quad \text{is the latest, last or top feature} \quad (3.3)$$

$$\alpha < \beta \quad \text{means } \alpha \text{ is earlier than } \beta \text{ and } \beta \text{ is after } \alpha \quad (3.4)$$

$$\gamma \leq \delta \quad \text{means } \delta \text{ is either } \gamma \text{ or later than } \gamma \quad (3.5)$$

Let lowercase letters  $a, b, \dots, z$  denote declarations.  $F(d) = \beta$  means that the feature assignment of declaration  $d$  is  $\beta$ . We use  $\perp$  to denote the *unknown* feature.  $F(d) = \perp$  means that the feature of  $d$  has not been assigned.

In the following,  $\mathbb{D}$  denotes the domain of all program declarations,  $\mathbb{DD}$  denotes a computable subset of  $\mathbb{D}$ ,  $\mathbb{F} = \{\alpha \dots \omega\}$  is the domain of all features, and  $\mathbb{P}$  is the domain of all assignment *possibilities*, ie  $\mathbb{P} = \mathbb{F} \times \mathbb{D} \times \{\text{fprivate}, \text{fpublic}\}$ . We explain possibilities later in this chapter. Finally, to permit declarations that are not assigned to features, we use the domain  $\mathbb{FF} = \mathbb{F} \cup \{\perp\}$ .

Table 3.1 contains the predicates of the rules and formulas that we will use; an explanation of each entry follows:

- $\text{PD}$  is the set of declarations encountered in a program's CRG.
- $e$  is a declaration encountered in a program's CRG.
- $\text{parent}(e)$  is  $e$ 's container.
- $F(e)$  is the feature assignment of declaration  $e$ . If unassigned,  $F(e) = \perp$ .
- $\text{eb}(e)$ ,  $\text{lb}(e)$ ,  $\text{FB}(e)$  define the feature bounds of  $e$ .  $\text{eb}(e)$  is the earliest feature to which  $e$  could be assigned,  $\text{lb}(e)$  is the latest feature, and the feature bounds of  $e$  is  $\text{FB}(e) = \{\text{lb}(e) \dots \text{ub}(e)\}$ .<sup>7</sup>
- $\text{fprivate}(e)$  and  $\text{fpublic}(e)$  indicate the feature modifier that is assigned to  $e$ . Initially  $e$  is unassigned:  $\text{fprivate}(e) = \text{fpublic}(e) = \text{false}$ . A modifier assignment makes precisely one of  $\text{fprivate}(e)$  and  $\text{fpublic}(e)$  true.
- $\text{RefFrom}(e)$  and  $\text{RefTo}(e)$  return the sets of declarations that  $e$  references and is referenced by.  $\text{AssignedRefFrom}(e)$  are declarations in  $\text{RefFrom}(e)$  that have been assigned to a feature.  $\text{AssignedRefTo}(e)$  are declarations in  $\text{RefTo}(e)$  that have been assigned to a feature.
- $\text{AtLeastOneAssigned}(\mathbb{DD})$  returns true if at least one declaration in  $\mathbb{DD}$  is assigned to a feature.  $\text{NoneAssigned}(\mathbb{DD})$  returns true if none of the declarations in  $\mathbb{DD}$  are assigned to a feature.

- $\text{method}(e)$ ,  $\text{hook}(e)$ ,  $\text{field}(e)$ ,  $\text{container}(e)$ <sup>5</sup> indicate whether  $e$  is a method, hook, field, or container. Note that only one of  $\text{method}(e)$ ,  $\text{field}(e)$ ,  $\text{container}(e)$  is ever true, eg:<sup>6</sup>

$$\neg \text{method}(e) = \text{field}(e) \vee \text{container}(e) \quad (3.6)$$

Predicate	Type	Meaning
PD	$\mathbb{DD}$	Set of declarations in a program's CRG
$e$	$\mathbb{D}$	A program declaration
$\text{parent}(e)$	$\mathbb{D}$	A program declaration's container
$F(e)$	$\mathbb{FF}$	Assigned feature of $e$
$\text{eb}(e)$	$\mathbb{F}$	Earliest Bound of $e$
$\text{lb}(e)$	$\mathbb{F}$	Latest Bound of $e$
$\text{FB}(e)$	$\text{range}(\mathbb{F})$	Valid features in range for $e$ , $\text{FB}(e) = \{\text{eb}(e) \dots \text{lb}(e)\}$ <sup>7</sup>
$\text{fprivate}(e)$	Boolean	Modifier of $e$ is <code>fprivate</code>
$\text{fpublic}(e)$	Boolean	Modifier of $e$ is <code>fpublic</code>
$\text{RefFrom}(e)$	$\mathbb{DD}$	References made by $e$ to other declarations
$\text{AssignedRefFrom}(e)$	$\mathbb{DD}$	Declarations referenced by $e$ that have been assigned to a feature: $\text{AssignedRefFrom}(e) = \{d \mid d \in \text{RefFrom}(e) \wedge (F(d) \neq \perp)\}$
$\text{RefTo}(e)$	$\mathbb{DD}$	References from other declarations to $e$
$\text{AssignedRefTo}(e)$	$\mathbb{DD}$	Declarations that reference $e$ that have been assigned to a feature: $\text{AssignedRefTo}(e) = \{d \mid d \in \text{RefTo}(e) \wedge (F(d) \neq \perp)\}$
$\text{AtLeastOneAssigned}(\mathbb{DD})$	Boolean	There is at least one declaration in $\mathbb{DD}$ that is assigned to a feature, $\exists r (r \in \mathbb{DD} \wedge F(r) \neq \perp)$
$\text{NoneAssigned}(\mathbb{DD})$	Boolean	None of the declarations in $\mathbb{DD}$ are assigned to a feature, $\forall r (r \in \mathbb{DD} \Rightarrow F(r) = \perp)$
$\text{ByInference}(e)$	Boolean	$F(e)$ was assigned by inference
$\text{ByInferenceDec}(e)$	$\mathbb{D}$	Declaration that caused $e$ to be assigned by inference. $e$ references this declaration. $\text{ByInferenceDec}(e) \in \text{RefFrom}(e)$
$\text{method}(e)$	Boolean	$e$ is a method
$\text{hook}(e)$	Boolean	$e$ is a hook method
$\text{field}(e)$	Boolean	$e$ is a field
$\text{container}(e)$	Boolean	$e$ is a container
$\mathbb{P}(F, e, \text{mod})$	Boolean	An assignment possibility composed of a feature, a declaration and a modifier
$\mathbb{P}(e)$	$\mathbb{P}$	All assignment possibilities for declaration $e$

Table 3.1: Basic Predicates

<sup>5</sup> Classes and packages are considered containers.

<sup>6</sup>  $\text{exactlyOne}(e_1, e_2, \dots, e_n)$  is true if precisely one of the  $e_i$  arguments is true.

<sup>7</sup>  $\text{eb}(e)$  and  $\text{lb}(e)$  will never be  $\perp$ . Their formulas defined in this chapter prevent this from happening.

The big picture for feature and modifier calculations is to determine the options that  $B_{TF}^T$  offers its users. When  $B_{TF}^T$  asks users to assign a feature and modifier to a declaration  $e$ , it displays via GUI buttons the set of all legally permissible (feature,modifier) pairs. Each pair is called a *possibility*. Figure 3.3 shows a snapshot of  $B_{TF}^T$ 's GUI that lists 8 legal possibilities for some declaration  $e$  in a program that is to be partitioned into features BASE, TABLE, CONSTRAINT and EVAL. The possibilities in Figure 3.3 are  $\mathbb{P}(e)$ :

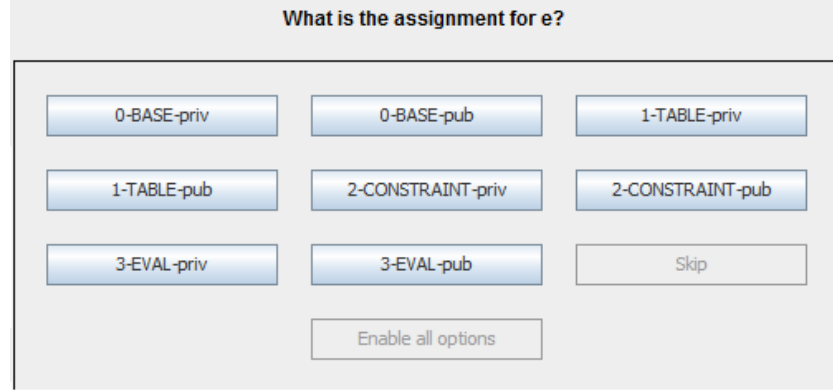


Figure 3.3:  $B_{TF}^T$ 's Possibility Offerings for a Declaration  $e$

$$\mathbb{P}(e) = \{(BASE, e, f_{private}), (BASE, e, f_{public}), (TABLE, e, f_{private}), (TABLE, e, f_{public}), (CONSTRAINT, e, f_{private}), (CONSTRAINT, e, f_{public}), (EVAL, e, f_{private}), (EVAL, e, f_{public})\}.$$

These are the legal fact assignments that  $B_{TF}^T$  allows users to make. The possibilities for one declaration  $d$  may be different than the possibilities for another declaration  $e \neq d$ .

At runtime,  $B_{TF}^T$  maintains the disjunction of invariants in Table 3.2. Invariant (i) is the initial value for  $e$ 's feature assignment, which is  $\perp$ . Invariant (ii) means when a user assigns  $e$  as  $f_{private}$  of a feature, that feature exists and  $e$  cannot be  $f_{public}$  too. Invariant (iii) means when a user assigns  $e$  as  $f_{public}$  of a feature, that feature exists and  $e$  cannot be  $f_{private}$  too. Invariant (iv) means  $B_{TF}^T$  inferred  $e$ 's feature assignment, then neither modifier is applied. Precisely one of (i) – (iv) always holds for every  $e \in PD$ .

With reference to (iv), the primary goal of  $B_{TF}^T$  is to assign features to program declarations. If  $B_{TF}^T$  discovers that a declaration  $d$  can be assigned to only one feature ( $FB(d) = [\eta... \eta]$ ), it assigns  $F(d) = \eta$ , and proceeds to the next declaration in the CRG. Declaration  $d$  does not have a feature modifier assigned to it because the modifier could be ultimately be either  $f_{private}$  or  $f_{public}$ .

Once a program is partitioned, only (ii) – (iv) apply, as no declarations satisfy (i).

Finally,  $B_{TF}^T$  uses rules of the form:

---

typed variable bindings and preconditions

#	Invariant	fprivate(e)	fpublic(e)
(i)	$F(e) = \perp$	false	false
(ii)	$F(e) \in \mathbb{F} \wedge \text{fprivate}(e) \wedge \neg \text{fpublic}(e)$	true	false
(iii)	$F(e) \in \mathbb{F} \wedge \neg \text{fprivate}(e) \wedge \text{fpublic}(e)$	false	true
(iv)	$F(e) \in \mathbb{F} \wedge \neg \text{fprivate}(e) \wedge \neg \text{fpublic}(e)$	false	false

Table 3.2: Invariant Feature and Modifier Combinations

feature or modifier or possibility assignments using above variables (3.7)

That is, a set of typed variables are declared with constraints followed by feature or modifier assignments that reference these variables. Assignments may be conditional.

### 3.2.9 Hooks

A *hook* is a method that can reference declarations in future features. Only methods can be hooks;  $B_{TF}^T$  uses the following rule to recognize hooks:

$$\frac{\text{method}(m) \wedge (\exists e (e \in \text{RefFrom}(m) \wedge (F(m) \neq \perp) \wedge (F(e) \neq \perp) \wedge (F(m) < F(e))))}{\text{hook}(m)} \quad (3.8)$$

That is, if method  $m$  references  $e$ , and both  $m$  and  $e$  have been assigned to a feature, and  $F(e)$  is after than  $F(m)$  (*ie*,  $e$  is defined in a future layer than  $m$ ), then  $m$  is a hook.

Let  $h$  be a hook.  $h$ , as the rest of declarations, can reference any declaration in the past that is  $\text{fpublic}$ , and also reference declarations in future features regardless of their modifier. In other words,  $h$  can reference a declaration  $e$  when the latter is:

1.  $\text{fpublic}$  regardless of its feature, or
2.  $\text{fprivate}$  and assigned to a feature at or after  $F(h)$ .

$B_{TF}^T$  checks this invariant at runtime, which is expressed as following:

$$\frac{\text{hook}(h)}{\forall e \left( (e \in \text{AssignedRefFrom}(h)) \Rightarrow (\text{fpublic}(e) \vee (\text{fprivate}(e) \Rightarrow (F(h) \leq F(e)))) \right)} \quad (3.9)$$

A class is a container. Members of a class require the class to exist before they are introduced. In a CRG, members reference their container. A classical method in a CRG references declarations in its body. A hook exhibits both classical method and container-like properties.

Figure 3.4a presents a hook method `hook`, which belongs to feature  $\beta$ . (In the following, remember  $\alpha < \beta < \delta$ ). Declarations in line 4: `Y` and `getYInt` belong to a future feature  $\delta$ . Declarations `Y` and `getYInt` use `hook` as a container. `hook` also exhibits classical method behavior as it references declarations `getXInt` and `X` which belong to past feature  $\alpha$ . This means they were defined earlier than the hook method.

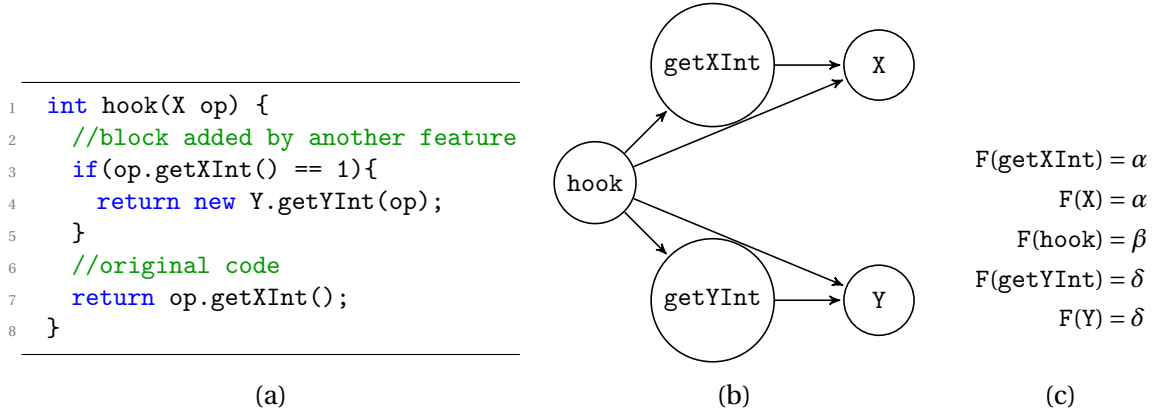


Figure 3.4: Hook Example

**Note:** We decided that field IEs cannot be hooks, even if its IE has inlined the contents of a hook method. Consider Figure 3.5a that presents field var calling hook init. Figure 3.5b presents code with the same functionality as Figure 3.5a, in this case it is an IE with the hook method inlined. So the question is: besides methods, could field IEs be hooks? We note that in OO languages, only classes can be extended and only methods can be overridden, not field IEs. With this observation, and to avoid additional (and perhaps unnecessary complexity), we discarded the possibility of having hook fields (or rather field IEs).

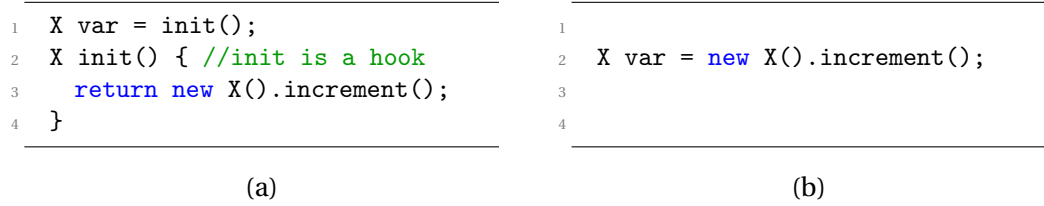


Figure 3.5: A Field Initialization Expression

### 3.3 Feature Bounds and Possibilities Calculation

Layers are fundamental to our feature partitioning approach. As features are expected to behave as layers, it is necessary to establish a feature bounds for each declaration. A *feature bounds* is a range of features that are valid assignments for a declaration. Feature bounds are derived by equating features with layers. Doing so guarantees the type correctness of each layer that are built in a step-wise (incremental) manner.

$B^T_F$  is a Truth Maintenance System [38]. It collects and infers facts, guaranteeing they are consistent. Assigning declarations to features imposes constraints on other declarations to avoid invalid partitioning (*ie* inconsistencies). Feature bounds are important to prevent erroneous assignments. Also, since feature bounds may reduce the available feature options, they may simplify a user's work to feature-partition a legacy application.



### 3.3.1 Importance and Definition of Feature Bounds

Figure 3.6 is useful to explain the importance of feature bounds to prevent wrong assignments of declarations to features. This CRG contains three declarations a, b and c; a has been assigned to feature  $\beta$ , and b has been assigned to  $\delta$ . The edges are  $\{a, b\} \rightarrow c$ . For c to be visible to both a and b, it has to be assigned to a feature introduced at or before  $\beta$ .<sup>8,10</sup> Given this, the only valid feature options for c are  $\alpha$  and  $\beta$ .

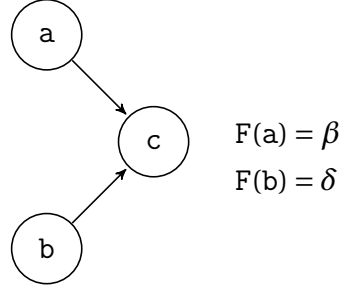


Figure 3.6: Risk of wrong assignment

Feature bounds  $FB(e) = \{eb(e) \dots lb(e)\}$  of a declaration  $e$  determines the range of features to which  $e$  can be assigned.  $eb(e)$  is the earliest valid feature;  $lb(e)$  is the latest valid feature.  $eb(e)$  establishes how far in the past  $e$  could be assigned, and  $lb(e)$  sets how far in the future it could be assigned without violating existing constraints.

$eb(e)$  is always at or after the base feature  $\alpha$ ,  $lb(e)$  is always at or before the latest feature  $\omega$ . Thus:

$$\alpha \leq eb(e) \leq F(e) \leq lb(e) \leq \omega$$

Bounds calculations vary depending on existing assignments and declaration type. At the beginning of the  $B_{TF}^T$  partitioning process, no feature assignment has been made. As assignments are made, there is more information to restrict bounds.

#### Importance of $RefTo(e)$ and $RefFrom(e)$

Hooks complicate many things, but not bounds calculation. The reason is that bounds are computed *before* a method is determined to be a hook. So keep this in mind as we proceed.

To calculate  $eb(e)$  we use the references made by  $e$ ,  $RefFrom(e)$ . For  $e$  to reference declarations in  $RefFrom(e)$ ,  $e$  needs to be introduced at or after the latest of the features of the declarations in  $RefFrom(e)$ .<sup>9</sup>

To calculate  $lb(e)$  we use the references made to  $e$ ,  $RefTo(e)$ . For declarations in  $RefTo(e)$  to reference  $e$ ,  $e$  needs to be introduced at or before the earliest of the features of the dec-

<sup>8</sup>  $earliest(\beta, \delta) = \beta$  where  $\beta \leq \delta$ .

<sup>9</sup> A declaration (other than a hook) can only reference declarations at or before it was defined.

larations in  $\text{RefTo}(e)$ .<sup>10</sup> When  $e$  is a method, we also consider the `fprivate` declarations it references for calculating  $\text{lb}$ .

In the possibility calculation process, bounds are obtained first, then modifiers. The following sections explain the rules for calculating feature bounds and modifiers, using assignment information of  $e$ 's surrounding CRG declarations.

### 3.3.2 Possibility Calculations Process

Let  $e$  be a declaration. We first describe how to assign bounds to  $e$ , then how to determine the possibilities for  $e$ .

#### Step 1. Obtain Earliest Bound $\text{eb}(e)$

$\text{eb}(e)$  is calculated depending on  $e$ 's declaration type. There are two cases: when  $e$  is not a method and when  $e$  is.

**Case 1.**  $e$  is not a method. The IE of a field can reference classes, methods and other fields.<sup>11</sup> Containers can only reference other containers. Both fields and containers always follow a layered design, they can only reference declarations that have been already introduced.

Let  $\text{AssignedRefFrom}(e)$  be the set of declarations in  $\text{RefFrom}(e)$  that are assigned to a feature:

$$\text{AssignedRefFrom}(e) = \{r \mid r \in \text{RefFrom}(e) \wedge F(r) \neq \perp\} \quad (3.10)$$

For  $e$  to reference declarations in  $\text{AssignedRefFrom}(e)$ ,  $e$  must be introduced at or after the latest of the features of declarations in  $\text{AssignedRefFrom}(e)$ . If  $\text{AssignedRefFrom}(e)$  is empty, then  $\text{eb}(e)$  is  $\alpha$ , the base feature.<sup>12</sup>

$$\text{eb}(e) = \frac{\neg \text{method}(e)}{\begin{cases} \text{latest}\{F(r) : r \in \text{AssignedRefFrom}(e)\}, & \text{if } \text{AssignedRefFrom}(e) \neq \emptyset \\ \alpha, & \text{otherwise} \end{cases}} \quad (3.11)$$

**Case 2.**  $e$  is a method. Since  $e$  might be a hook, it could be assigned to a feature that is earlier than the features of declarations in  $\text{RefFrom}(e)$ , but *not* earlier than its own container. In other words,  $e$  can be assigned as early as the feature of its own container. If its

<sup>10</sup> A declaration can be referenced at or after it has been defined, except if it is being referenced by a hook.

<sup>11</sup> Of course, a special case is that there is no IE, so a field declaration only references its declared type.

<sup>12</sup>  $\alpha$  is the earliest possible feature, if none of the assigned declarations references  $e$ , then the earliest bound of  $e$  is  $\alpha$ .

container's feature is unknown, then  $eb(e)$  is the earliest feature  $\alpha$ :

$$eb(e) = \frac{\text{method}(e)}{\begin{cases} F(\text{parent}(e)), & \text{if } \text{parent}(e) \neq \perp \wedge F(\text{parent}(e)) \neq \perp \\ \alpha, & \text{otherwise} \end{cases}} \quad (3.12)$$

### Step 2. Obtain Latest Bound $lb(e)$

$lb(e)$  is calculated by a single formula. However, the value for a term used in the formula depends on  $e$ 's type. This term is  $L(e)$ , it represents the set of declarations that follow a layered design and impose a constraint on  $e$ 's feature assignment. *Key point: because methods may be hooks, they do not follow a 'classical' layered design, and thus do not influence  $lb(e)$  calculations.* The earliest element in  $L(e)$  determines  $lb(e)$ . The following paragraphs explain how  $L(e)$  is computed.

**Case 1.**  $e$  is a container.  $L(e)$  is the set of declarations that reference container  $e$  or are contained in  $e$ . The only methods being considered here are those in  $e$ .

$$L(e) = \{ r \mid r \in \text{RefTo}(e) \wedge (\neg \text{method}(r) \vee (\text{method}(r) \wedge (\text{parent}(r) = e)) ) \} \quad (3.13)$$

**Case 2.**  $e$  is a field.  $L(e)$  is the set of fields<sup>13</sup> that reference  $e$ . Methods that reference  $e$  do not influence  $lb(e)$  as they could be hooks.

$$L(e) = \{ r \mid r \in \text{RefTo}(e) \wedge \neg \text{method}(r) \} \quad (3.14)$$

**Case 3.**  $e$  is a method.  $e$  can be referenced by methods and by fields, the latter always follow a layered design and require  $e$  to be introduced at or before the earliest of them. In addition, since  $e$  might be a hook, it could reference `fprivate` declarations in the present or future, but not in the past. In other words,  $e$  must be introduced at or before the earliest of the `fprivate` declarations that it references.

$L(e)$  is the set of fields that reference  $e$  union the set of `fprivate` declarations that  $e$  references.

$$L(e) = \{ r \mid r \in \text{RefTo}(e) \wedge \neg \text{method}(r) \} \cup \{ q \mid q \in \text{RefFrom}(e) \wedge \text{fprivate}(q) \} \quad (3.15)$$

**Formula.** Declarations in  $L(e)$  require  $e$  to be introduced at or earlier than them. Thus  $lb(e)$  is determined by the earliest assigned declaration in  $L(e)$ . For this and following sections let  $\text{AssignedL}(e)$  be the set of declarations in  $L(e)$  that have been already assigned to a feature.

$$\text{AssignedL}(e) = \{ r \mid r \in L(e) \wedge F(r) \neq \perp \} \quad (3.16)$$

<sup>13</sup> Field IE's.

$e$  has to be assigned to a feature at or before the earliest of the features of  $\text{AssignedL}(e)$ . If there are no declarations in  $\text{AssignedL}(e)$ , then the  $\text{lb}(e)$  is  $\omega$ , the latest feature:

$$\text{lb}(e) = \frac{\text{TRUE}}{\begin{cases} \text{earliest}\{F(r) : r \in \text{AssignedL}(e)\}, & \text{if } \text{AssignedL}(e) \neq \emptyset \\ \omega, & \text{otherwise} \end{cases}} \quad (3.17)$$

### Step 3. Obtain Possibilities

Once the feature bounds for  $e$  have been obtained, we can calculate the valid modifiers for  $e$  to obtain the set of possibilities to present to a user. There are only two cases:

**Case A.  $\text{fpublic}$  possibilities.** Determining  $\text{fpublic}$  possibilities is easy:  $e$  can be  $\text{fpublic}$  on any of the features in bounds for  $e$ .

$$\frac{\text{TRUE}}{\forall F (F \in \text{FB}(e) \Rightarrow \mathbb{P}(F, e, \text{fpublic}))} \quad (3.18)$$

**Case B.  $\text{fprivate}$  possibilities.** There are four independent cases for calculating  $\text{fprivate}$  possibilities. The  $\text{fprivate}$  modifier affects a declaration's *visibility*. If  $e$  is  $\text{fprivate}$  to feature  $F$ , only declarations in feature  $F$  can reference  $e$ .<sup>14</sup> For this reason, to determine  $e$ 's  $\text{fprivate}$  possibilities we consider the available information about declarations in  $\text{RefTo}(e)$ . From  $\text{RefTo}(e)$  we obtain two subsets  $D(e)$  and  $M(e)$ . This means:

$$\text{RefTo}(e) = D(e) \cup M(e) \quad (3.19)$$

$D(e)$  contains fields and containers that reference  $e$  and also all declarations contained in  $e$ .<sup>15</sup>  $M(e)$  represents the set of methods that reference  $e$  and are not contained in  $e$ .<sup>16</sup> Declarations in  $M(e)$  can reference  $e$  regardless of its modifier.

$$D(e) = \{r \mid r \in \text{RefTo}(e) \wedge (\neg \text{method}(r) \vee (\text{method}(r) \wedge (\text{parent}(r) = e))\} \quad (3.20)$$

$$M(e) = \{r \mid r \in \text{RefTo}(e) \wedge \text{method}(r) \wedge (\text{parent}(r) \neq e)\} \quad (3.21)$$

Let  $\text{AssignedD}(e)$  and  $\text{AssignedM}(e)$  be the subset of declarations in  $D(e)$  and  $M(e)$ , respectively, that have been already assigned to a feature.

$$\text{AssignedD}(e) = \{r \mid r \in D(e) \wedge F(r) \neq \perp\} \quad (3.22)$$

$$\text{AssignedM}(e) = \{r \mid r \in M(e) \wedge F(r) \neq \perp\} \quad (3.23)$$

Table 3.3 contains eight disjoint scenarios that can be encountered in  $D(e)$  and  $M(e)$  and the case that is used to calculate  $\text{fprivate}$  possibilities. The union of the possibilities of these disjoint scenarios equals all  $\text{fprivate}$  possibilities.<sup>17</sup>

<sup>14</sup>  $\text{fprivate}$  does not affect the references a declaration can make.

<sup>15</sup> Assuming  $e$  is a container.

<sup>16</sup> Assuming  $e$  is a container.

<sup>17</sup>  $D$  can be *empty*, *none assigned*, or *at least one assigned*. So too can  $M$ . This yields the 9 scenarios listed in

#	Scenario for fprivate calculation	Case
	<b>No declarations in either <math>D(e)</math> or <math>M(e)</math></b>	
(i)	$(D(e) = \emptyset) \wedge (M(e) = \emptyset)$	1
	<b>Only declarations in <math>D(e)</math> - none are assigned</b>	
(ii)	$(D(e) \neq \emptyset) \wedge (M(e) = \emptyset) \wedge \text{NoneAssigned}(D(e))$	1
	<b>Only declarations in <math>D(e)</math> - at least one assigned</b>	
(iii)	$(D(e) \neq \emptyset) \wedge (M(e) = \emptyset) \wedge \text{AtLeastOneAssigned}(D(e))$	2
	<b>Only declarations in <math>M(e)</math> - none are assigned</b>	
(iv)	$(D(e) = \emptyset) \wedge (M(e) \neq \emptyset) \wedge \text{NoneAssigned}(M(e))$	1
	<b>Only declarations in <math>M(e)</math> - at least one assigned</b>	
(v)	$(D(e) = \emptyset) \wedge (M(e) \neq \emptyset) \wedge \text{AtLeastOneAssigned}(M(e))$	3
	<b>Declarations in <math>D(e)</math> and <math>M(e)</math> - none are assigned</b>	
(vi)	$(D(e) \neq \emptyset) \wedge (M(e) \neq \emptyset) \wedge \text{NoneAssigned}(D(e)) \wedge \text{NoneAssigned}(M(e))$	1
	<b>Declarations in <math>D(e)</math> and <math>M(e)</math> - at least one of the first assigned, none of the second assigned</b>	
(vii)	$(D(e) \neq \emptyset) \wedge (M(e) \neq \emptyset) \wedge \text{AtLeastOneAssigned}(D(e)) \wedge \text{NoneAssigned}(M(e))$	2
	<b>Declarations in <math>D(e)</math> and <math>M(e)</math> - none of the first assigned, at least one of the second assigned</b>	
(viii)	$(D(e) \neq \emptyset) \wedge (M(e) \neq \emptyset) \wedge \text{NoneAssigned}(D(e)) \wedge \text{AtLeastOneAssigned}(M(e))$	3
	<b>Declarations in <math>D(e)</math> and <math>M(e)</math> - at least one of each assigned</b>	
(ix)	$(D(e) \neq \emptyset) \wedge (M(e) \neq \emptyset) \wedge \text{AtLeastOneAssigned}(D(e)) \wedge \text{AtLeastOneAssigned}(M(e))$	4

Table 3.3: Scenarios to Consider for fprivate possibilities

**Case 1 (Scenarios (i),(ii),(iv),(vi)).** If none of the declarations in  $D(e)$  and  $M(e)$  have been assigned,  $e$  can be fprivate of any feature in bounds.

$$\frac{\forall r \left( r \in (D(e) \cup M(e)) \Rightarrow F(r) = \perp \right)}{\forall F \left( F \in \text{FB}(e) \Rightarrow \mathbb{P}(F, e, \text{fprivate}) \right)} \quad (3.24)$$

**Note:** The CRG in Figure 3.7 illustrates Case 1. We have declarations  $a, b, c, d$  that reference  $e$ . None of  $a, b, c, d$  have been assigned to a feature. Then,  $e$  can be fprivate of any feature in  $\text{FB}(e)$ .<sup>18</sup>

**Case 2 (Scenario (iii)).** If only declarations in  $D(e)$  have been assigned<sup>19</sup>, and they all are assigned to the same feature  $F$ , and  $F$  is a feature in bounds for  $e$ , then  $e$  can be fprivate of  $F$ .

$$\frac{\exists F \left( F \in \text{FB}(e) \wedge \forall r \left( r \in \text{Assigned}D(e) \Rightarrow F(r) = F \right) \right) \wedge \left( \text{Assigned}M(e) = \emptyset \right)}{\mathbb{P}(F, e, \text{fprivate})} \quad (3.25)$$

Table 3.3.

<sup>18</sup> Of course, if a user selects  $e$  to be fprivate, doing so imposes many constraints on  $a, b, c, d$ . But this is OK, as it is a *possibility*.

<sup>19</sup> There are no methods that reference  $e$ , or none of them have been assigned.

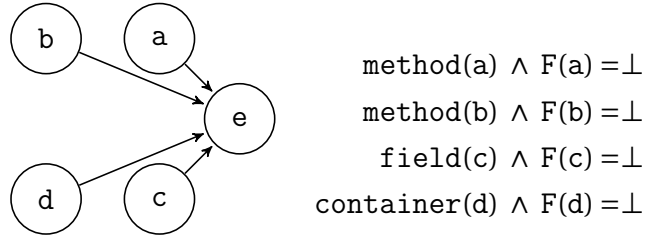


Figure 3.7: fprivate possibilities. Case 1.

**Note:** The CRG in Figure 3.8 illustrates Case 2. We have declarations  $a, b, c, d$  that reference  $e$ .  $c$  is a field, and  $d$  is a container, and both have been assigned to feature  $\delta$ . Declaration  $a$  is a method contained in  $e$  and has been assigned to feature  $\delta$ , and  $b$  is a method that references  $e$  and has not been assigned to a feature. In this example, assuming  $\delta \in \text{FB}(e)$ , a possibility for  $e$  is:

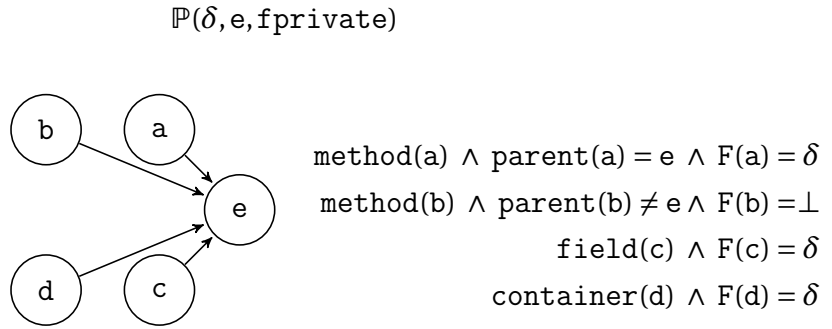


Figure 3.8: fprivate possibilities. Case 2.

**Case 3 (Scenarios (v),(viii)).** If there are no declarations in  $D(e)$  or none of them have been assigned, and at least one method in  $M(e)$  has been assigned, and the latest of their features is in bounds for  $e$ , then  $e$  can be fprivate of the range of features in bounds that are larger or equal than it. This does not affect declarations in  $M(e)$  because all of them have the ability to reference fprivate declarations in the future.

$$\begin{aligned}
 & (\text{AssignedD}(e) = \emptyset) \\
 & \wedge (\text{AssignedM}(e) \neq \emptyset) \wedge (\text{latest}\{F(r) : r \in \text{AssignedM}(e)\} \in \text{FB}(e)) \\
 \hline
 & \forall F (F \in \text{FB}(e) \vee F \geq (\text{latest}\{F(r) : r \in \text{AssignedM}(e)\}) \Rightarrow \mathbb{P}(F, e, \text{fprivate})) \quad (3.26)
 \end{aligned}$$

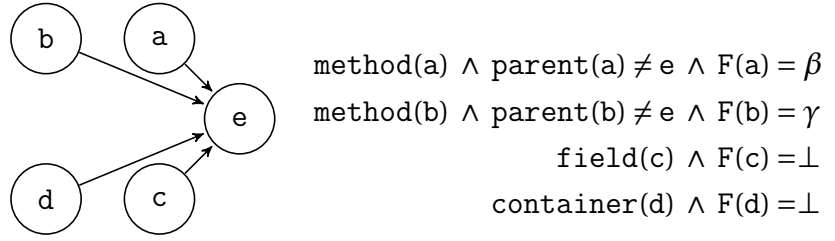


Figure 3.9: fprivate possibilities. Case 3.

**Note:** The CRG in Figure 3.9 illustrates Case 3. We have declarations a, b, c, d that reference e. Declarations a and b are methods that reference e and are not contained in it. a has been assigned to  $\beta$ , and b has been assigned to  $\gamma$ . c is a field, and d is a container, and neither have been assigned to a feature. In this example, assuming  $\gamma \in \text{FB}(e)$ , a possibility for e is:<sup>20</sup>

$$\mathbb{P}(\gamma, e, \text{fprivate})$$

**Case 4 (Scenario (ix)).** There are assigned declarations in both  $D(e)$  and  $M(e)$ .  $\text{AssignedD}(e)$  contains assigned declarations in  $D(e)$ .  $\text{AssignedM}(e)$  contains assigned declarations in  $M(e)$ . If all declarations in  $\text{AssignedD}(e)$  have the same feature  $F$  and that feature is at or after the latest feature of declarations<sup>21</sup> in  $\text{AssignedM}(e)$ , then e can be fprivate of  $F$ .

$$\frac{\begin{aligned} & (\text{AssignedD}(e) \neq \emptyset) \wedge (\text{AssignedM}(e) \neq \emptyset) \\ & \wedge \exists F \left( F \in \text{FB}(e) \wedge \forall r (r \in \text{AssignedD}(e) \Rightarrow F(r) = F) \right. \\ & \quad \left. \wedge (\text{latest}\{F(r) : r \in \text{AssignedM}(e)\} \leq F) \right) \end{aligned}}{\mathbb{P}(F, e, \text{fprivate})} \quad (3.27)$$

**Note:** The CRG in Figure 3.10 illustrates Case 4. We have declarations a, b, c, d that reference e. Declarations a and b are methods that reference e and are not contained in e. a has been assigned to  $\beta$ , and b has been assigned to  $\gamma$ . c is a field, and d is a container, and both have been assigned to feature  $\delta$ . In this example, assuming  $\delta \in \text{FB}(e)$ , possibility for e is:

$$\mathbb{P}(\delta, e, \text{fprivate})^{22}$$

The following subsection explain how a possibility is validated, using two attributes: Time Consistency and Visibility.

<sup>20</sup>  $\text{latest}(\beta, \gamma) = \gamma$ .

<sup>21</sup>  $\text{AssignedM}(e)$  contains methods. These can reference any declaration in the future regardless of the declaration's modifier.

<sup>22</sup>  $(\text{latest}(\beta, \gamma) = \gamma) \wedge (\gamma < \delta)$ .

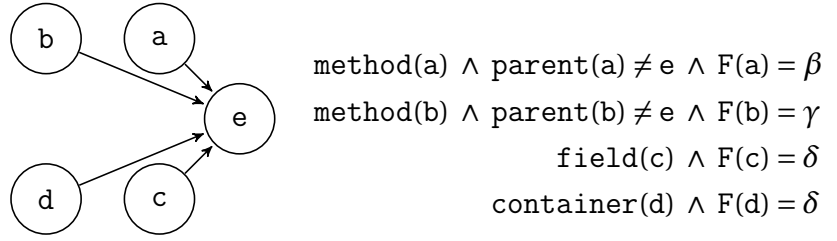


Figure 3.10: fprivate possibilities. Case 4.

### 3.3.3 Possibility Validation

A possibility is valid if all affected edges in a CRG maintain these two properties: Time Consistency and Visibility. Let  $\text{src}(\text{ed})$  be the source declaration of edge  $\text{ed}$ , and  $\text{dst}(\text{ed})$  be destination declaration of  $\text{ed}$ . Edge  $\text{ed}$  is  $\text{src}(\text{ed}) \rightarrow \text{dst}(\text{ed})$ .

**Time Consistency.** An edge  $\text{ed}$  is *time consistent* if  $\text{dst}(\text{ed})$  is assigned at or before the same time than  $\text{src}(\text{ed})$ . Except if  $\text{src}(\text{ed})$  is a method,<sup>23</sup> then this time order requirement is not necessary, as methods can become hooks.

$$\text{timeConsistency}(\text{ed}) = (F(\text{src}(\text{ed})) \geq F(\text{dst}(\text{ed}))) \vee \text{method}(\text{src}(\text{ed})) \quad (3.28)$$

**Visibility.** If  $\text{dst}(\text{ed})$  is fprivate, then an edge  $\text{ed}$  is *visibility consistent only if both  $\text{dst}(\text{ed})$  and  $\text{src}(\text{ed})$  are in the same feature*. Again, an exception occurs if  $\text{src}(\text{ed})$  is a method, as it can reference any fprivate declaration in the future.

$$\text{visibility}(\text{ed}) = ( \text{fprivate}(\text{dst}(\text{ed})) \wedge ( (F(\text{src}(\text{ed})) = F(\text{dst}(\text{ed}))) \vee \text{method}(\text{src}(\text{ed})) ) ) \vee \neg \text{fprivate}(\text{dst}(\text{ed})) \quad (3.29)$$

We do not have a mathematical proof for previously stated properties for possibilities calculation. Thus these invariants are maintained by  $B_{TF}^T$  to double-check there are no contradictions on feature assignments.

The following section provide examples that show how possibility calculation and validation rules are applied.

### 3.3.4 Example: Assigning a method

We are to assign `method_m` to a feature. The CRG in Figure 3.11 contains the surrounding declarations of `method_m`.

Reference edges are:

<sup>23</sup> Suppose  $\text{src}(\text{ed})$  is a declaration to assign and it is a method. Because of this scenario, in the formula we say `method` and not `hook`. At this point its assignment has not yet been made, thus it is not possible to determine if it is a hook.



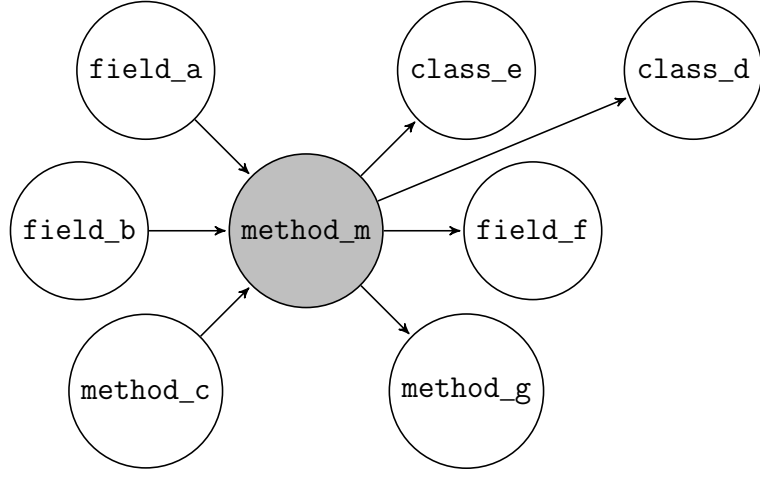


Figure 3.11: Example: Assigning a method.

- $\{\text{field\_a}, \text{field\_b}, \text{method\_c}\} \rightarrow \text{method\_m}$
- $\text{method\_m} \rightarrow \{\text{class\_e}, \text{field\_f}, \text{method\_g}\}.$

Containment edge is:

- $\text{method\_m} \rightarrow \text{class\_d}$ . This means that  $\text{parent}(\text{method\_m}) = \text{class\_d}$ .

The type and feature-modifier assignments for each declaration is listed below:

<code>field(field_a)</code>	$\wedge \quad F(\text{field\_a}) = \epsilon$	$\wedge \quad \text{fprivate}(\text{field\_a})$
<code>field(field_b)</code>	$\wedge \quad F(\text{field\_b}) = \perp$	$\wedge \quad \text{true}$
<code>method(method_c)</code>	$\wedge \quad F(\text{method\_c}) = \delta$	$\wedge \quad \text{fpublic}(\text{method\_c})$
<code>method(method_m)</code>	$\wedge \quad F(\text{method\_m}) = \perp$	$\wedge \quad \text{true}$
<code>container(class_d)</code>	$\wedge \quad F(\text{class\_d}) = \beta$	$\wedge \quad \text{fpublic}(\text{class\_d})$
<code>container(class_e)</code>	$\wedge \quad F(\text{class\_e}) = \alpha$	$\wedge \quad \text{fpublic}(\text{class\_e})$
<code>field(field_f)</code>	$\wedge \quad F(\text{field\_f}) = \epsilon$	$\wedge \quad \text{fprivate}(\text{field\_f})$
<code>method(method_g)</code>	$\wedge \quad F(\text{method\_g}) = \beta$	$\wedge \quad \text{fpublic}(\text{method\_g})$

**Step 1. Obtain**  $\text{eb}(\text{method\_m})$

The earliest feature bound of a method is determined by its container. `method_m`'s container is `class_d`, the latter has been assigned to feature  $\beta$ , then  $\text{eb}(\text{method\_m})$  is  $\beta$ .

**Step 2. Obtain  $lb(method\_m)$** 

To obtain  $lb(method\_m)$  we need to obtain the declarations that belong to  $L(method\_m)$ . These are the set of fields that reference  $method\_m$  union with the set of  $fprivate$  declarations that  $e$  references.  $AssignedL(method\_m)$  contains those declarations in  $L(method\_m)$  that have been assigned to a feature.

$$AssignedL(method\_m) = \{field\_a, field\_f\}$$

$lb(method\_m)$  is determined by the earliest feature of declarations in  $AssignedL(method\_m)$ , which is  $e$ , therefore,  $lb(method\_m)$  is  $e$ . This gives us the following features in bounds for  $method\_m$ :  $\beta < \gamma < \delta < e$ .

$$FB(method\_m) = [\beta \dots e]$$

**Step 3.1. Calculate  $fpublic$  Possibilities**

$method\_m$  can be  $fpublic$  of any feature in bounds:

$$\mathbb{P}(\beta, method\_m, fpublic) \tag{3.30}$$

$$\mathbb{P}(\gamma, method\_m, fpublic) \tag{3.31}$$

$$\mathbb{P}(\delta, method\_m, fpublic) \tag{3.32}$$

$$\mathbb{P}(e, method\_m, fpublic) \tag{3.33}$$

**Step 3.2. Calculate  $fprivate$  Possibilities**

The declarations in  $AssignedD(method\_m)$  and  $AssignedM(method\_m)$  are listed next.

$$\begin{aligned} AssignedD(method\_m) &= \{field\_a\} \\ AssignedM(method\_m) &= \{method\_c\} \end{aligned}$$

Now, we calculate the possibilities for  $method\_m$ . The union of all possibilities' rules outcomes, gives the complete set of possibilities to present to a user.

There are four cases to determine all  $fprivate$  possibilities.

**Case 1.** Since we have declarations in both  $AssignedD(method\_m)$  and  $AssignedM(method\_m)$ , this case does not apply.

**Case 2.** The same explanation for Case 1 applies here. This case does not apply.

**Case 3.** The same explanation for Case 1 applies here. This case does not apply.

**Case 4.** We have declarations in both  $\text{AssignedD}(\text{method\_m})$  and  $\text{AssignedM}(\text{method\_m})$ . The field in  $\text{AssignedD}(\text{method\_m})$  has feature  $\epsilon$ . The method in  $\text{AssignedM}(\text{method\_m})$ :  $\text{method\_c}$ , is assigned to feature  $\delta$ . Since  $\delta < \epsilon$ , then  $\text{method\_c}$  can be  $\text{fprivate}$  of  $\epsilon$ :

$$\mathbb{P}(\epsilon, \text{method\_m}, \text{fprivate}) \quad (3.34)$$

### Possibilities Validation

Tables 3.4 to 3.8 show the validation of the obtained five possibilities. Each table lists the edges among assigned declarations,<sup>24</sup> the feature dependency (FD) of the edge, and validates both Time Consistency and Visibility properties of the edge. As can be observed, all the edges for all possibilities are valid. If a user selects possibility (3.30), (3.31) or (3.32),  $B_{TF}^T$  will identify  $\text{method\_m}$  as a hook.

Edge e	FD(e)	Time Consistency	Visibility
$\text{field\_a} \rightarrow \text{method\_m}$	$\epsilon \rightarrow \beta$	TRUE, $\epsilon \geq \beta$	TRUE, $\neg \text{fprivate}(\text{method\_m})$
$\text{method\_c} \rightarrow \text{method\_m}$	$\delta \rightarrow \beta$	TRUE, $\delta \geq \beta$	TRUE, $\neg \text{fprivate}(\text{method\_m})$
$\text{method\_m} \rightarrow \text{class\_d}$	$\beta \rightarrow \beta$	TRUE, $\beta \geq \beta$	TRUE, $\neg \text{fprivate}(\text{class\_d})$
$\text{method\_m} \rightarrow \text{class\_e}$	$\beta \rightarrow \alpha$	TRUE, $\beta \geq \alpha$	TRUE, $\neg \text{fprivate}(\text{class\_e})$
$\text{method\_m} \rightarrow \text{field\_f}$	$\beta \rightarrow \epsilon$	TRUE, $\text{method}(\text{method\_m})$	TRUE, $\text{method}(\text{method\_m})$ $\vee \text{fprivate}(\text{field\_f})$
$\text{method\_m} \rightarrow \text{method\_g}$	$\beta \rightarrow \beta$	TRUE, $\beta \geq \beta$	TRUE, $\neg \text{fprivate}(\text{method\_g})$

Table 3.4: Example 1. Validation of (3.30).

Edge e	FD(e)	Time Consistency	Visibility
$\text{field\_a} \rightarrow \text{method\_m}$	$\epsilon \rightarrow \gamma$	TRUE, $\epsilon \geq \gamma$	TRUE, $\neg \text{fprivate}(\text{method\_m})$
$\text{method\_c} \rightarrow \text{method\_m}$	$\delta \rightarrow \gamma$	TRUE, $\delta \geq \gamma$	TRUE, $\neg \text{fprivate}(\text{method\_m})$
$\text{method\_m} \rightarrow \text{class\_d}$	$\gamma \rightarrow \beta$	TRUE, $\gamma \geq \beta$	TRUE, $\neg \text{fprivate}(\text{class\_d})$
$\text{method\_m} \rightarrow \text{class\_e}$	$\gamma \rightarrow \alpha$	TRUE, $\gamma \geq \alpha$	TRUE, $\neg \text{fprivate}(\text{class\_e})$
$\text{method\_m} \rightarrow \text{field\_f}$	$\gamma \rightarrow \epsilon$	TRUE, $\text{method}(\text{method\_m})$	TRUE, $\text{method}(\text{method\_m})$ $\vee \text{fprivate}(\text{field\_f})$
$\text{method\_m} \rightarrow \text{method\_g}$	$\gamma \rightarrow \beta$	TRUE, $\gamma \geq \beta$	TRUE, $\neg \text{fprivate}(\text{method\_g})$

Table 3.5: Example 1. Validation of (3.31).

<sup>24</sup> Surrounding declarations of  $\text{method\_m}$  that have not been assigned are not considered because they did not impose any constraint.

Edge $e$	FD( $e$ )	Time Consistency	Visibility
$\text{field\_a} \rightarrow \text{method\_m}$	$\epsilon \rightarrow \delta$	TRUE, $\epsilon \geq \delta$	TRUE, $\neg \text{fprivate}(\text{method\_m})$
$\text{method\_c} \rightarrow \text{method\_m}$	$\delta \rightarrow \delta$	TRUE, $\delta \geq \delta$	TRUE, $\neg \text{fprivate}(\text{method\_m})$
$\text{method\_m} \rightarrow \text{class\_d}$	$\delta \rightarrow \beta$	TRUE, $\delta \geq \beta$	TRUE, $\neg \text{fprivate}(\text{class\_d})$
$\text{method\_m} \rightarrow \text{class\_e}$	$\delta \rightarrow \alpha$	TRUE, $\delta \geq \alpha$	TRUE, $\neg \text{fprivate}(\text{class\_e})$
$\text{method\_m} \rightarrow \text{field\_f}$	$\delta \rightarrow \epsilon$	TRUE, $\text{method}(\text{method\_m})$	TRUE, $\text{method}(\text{method\_m})$ $\vee \text{fprivate}(\text{field\_f})$
$\text{method\_m} \rightarrow \text{method\_g}$	$\delta \rightarrow \beta$	TRUE, $\delta \geq \beta$	TRUE, $\neg \text{fprivate}(\text{method\_g})$

Table 3.6: Example 1. Validation of (3.32).

Edge $e$	FD( $e$ )	Time Consistency	Visibility
$\text{field\_a} \rightarrow \text{method\_m}$	$\epsilon \rightarrow \epsilon$	TRUE, $\epsilon \geq \epsilon$	TRUE, $\neg \text{fprivate}(\text{method\_m})$
$\text{method\_c} \rightarrow \text{method\_m}$	$\delta \rightarrow \epsilon$	TRUE, $\text{method}(\text{method\_c})$	TRUE, $\neg \text{fprivate}(\text{method\_m})$
$\text{method\_m} \rightarrow \text{class\_d}$	$\epsilon \rightarrow \beta$	TRUE, $\epsilon \geq \beta$	TRUE, $\neg \text{fprivate}(\text{class\_d})$
$\text{method\_m} \rightarrow \text{class\_e}$	$\epsilon \rightarrow \alpha$	TRUE, $\epsilon \geq \alpha$	TRUE, $\neg \text{fprivate}(\text{class\_e})$
$\text{method\_m} \rightarrow \text{field\_f}$	$\epsilon \rightarrow \epsilon$	TRUE, $\epsilon \geq \epsilon$	TRUE, $\text{fprivate}(\text{field\_f})$
$\text{method\_m} \rightarrow \text{method\_g}$	$\epsilon \rightarrow \beta$	TRUE, $\epsilon \geq \beta$	TRUE, $\neg \text{fprivate}(\text{method\_g})$

Table 3.7: Example 1. Validation of (3.33).

### 3.4 $B_{TF}^T$ Inferences and Examples

$B_{TF}^T$  performs inferences, described below, in five scenarios. The first and second scenarios occur when a user provides a fact that indicates a declaration is `fprivate`. The third applies to declarations assigned to the latest feature of a feature model. The fourth occurs when only one feature is in bounds for a declaration. The fifth takes care of declarations that only reference their containers and are not referenced by other declarations.

#### 3.4.1 Inference 1: `fprivate` Fields and Methods

If  $e$  is a field or a method, and it is assigned `fprivate` of a feature  $F$ , declarations in  $\text{RefTo}(e)$  that have not been assigned yet, are also automatically assigned to  $F$ . Declarations assigned by  $B_{TF}^T$  do not receive a modifier, because there is no way to infer it and because  $B_{TF}^T$ 's main goal is to obtain feature assignments, not modifiers.

For this and the following sections, let  $\text{NoAssignedRefTo}(e)$  be the set of declarations in  $\text{RefTo}(e)$  that have not been assigned to a feature.

$$\text{NoAssignedRefTo}(e) = \{ r \mid r \in \text{RefTo}(e) \wedge F(r) = \perp \} \quad (3.35)$$

If  $e$  is assigned `fprivate` of a feature  $F$ , then declarations in  $\text{NoAssignedRefTo}(e)$  will also be assigned to  $F$ .

Edge e	FD(e)	Time Consistency	Visibility
field_a $\rightarrow$ method_m	$\epsilon \rightarrow \epsilon$	TRUE, $\epsilon \geq \epsilon$	TRUE, fprivate(method_m)
method_c $\rightarrow$ method_m	$\delta \rightarrow \epsilon$	TRUE, method(method_c)	$\wedge \epsilon = \epsilon$ TRUE, fprivate(method_m)
method_m $\rightarrow$ class_d	$\epsilon \rightarrow \beta$	TRUE, $\epsilon \geq \beta$	$\wedge$ method(method_c) TRUE, $\neg$ fprivate(class_d)
method_m $\rightarrow$ class_e	$\epsilon \rightarrow \alpha$	TRUE, $\epsilon \geq \alpha$	TRUE, $\neg$ fprivate(class_e)
method_m $\rightarrow$ field_f	$\epsilon \rightarrow \epsilon$	TRUE, $\epsilon \geq \epsilon$	TRUE, fprivate(field_f)
method_m $\rightarrow$ method_g	$\epsilon \rightarrow \beta$	TRUE, $\epsilon \geq \beta$	TRUE, $\neg$ fprivate(method_g)

Table 3.8: Example 1. Validation of (3.34).

$$\frac{(\text{method}(e) \vee \text{field}(e)) \wedge \text{fprivate}(e) \wedge F(e) = F}{\forall r (r \in \text{NoAssignedRefTo}(e) \Rightarrow (F(r) = F))} \quad (3.36)$$

Consider CRG in Figure 3.12. Let's say that e is a field. Declarations a, b and c reference e. a and b have not been assigned to a feature, therefore they belong to NoAssignedRefTo(e).

$$\text{NoAssignedRefTo}(e) = \{a, b\} \quad (3.37)$$

If e is assigned fprivate of  $\delta$ , then declarations a and b will also get assigned to  $\delta$ .

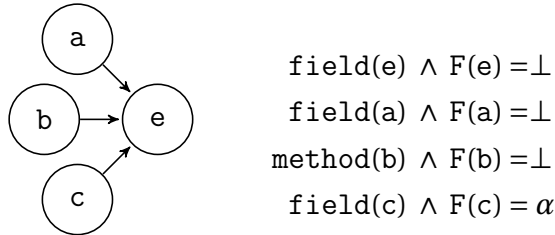


Figure 3.12: Inference 1. fprivate Propagation

**Note:** If there are declarations in RefTo(e) that have been already assigned to a feature, this is considered in possibilities calculation for e.  $B_{TF}^T$  does not allow feature assignment overriding. Feature bounds and possibilities for e prevents a user from choosing invalid options.

### Caveats

#### Hooks that reference fprivate declarations.

IN Figure 3.12, consider method b. Figure 3.13 shows the CRG corresponding to b. Let say that b is a hook, and it does not belong to feature  $\delta$ , however it was assigned to it by inference.

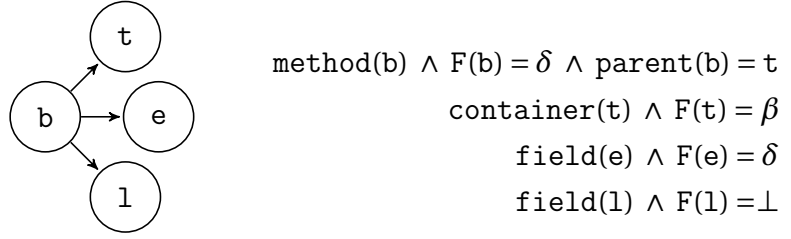


Figure 3.13: Inference 1. fprivate Propagation Caveats

For a method that has been assigned by inference, like in the case of  $b$ ,  $B_{TF}^T$  determines whether to keep that assignment or ask a user for  $b$ 's assignment. If  $b$  has other references besides the one to  $a$  and the one to its parent,  $t$ , then  $B_{TF}^T$  would ask for  $b$ 's assignment. If  $b$  does have another reference to field  $f$ , therefore  $B_{TF}^T$  will remove  $b$ 's current assignment and ask a user about  $b$ 's assignment. If there was no other reference, then  $b$  would remain assigned to  $\delta$ . If a user still considers that  $b$  should not be assigned to  $\delta$ , then  $e$  cannot be assigned fprivate, it would have to be fpublic so it does not affect  $b$ 's assignment. The rule for determining when to remove a method's by inference assignment is as follows:

$$\begin{array}{c}
 \text{method}(b) \wedge F(b) \neq \perp \wedge \text{ByInference}(b) \\
 \wedge (\text{RefFrom}(b) - \{\text{parent}(b) \cup \text{ByInferenceDec}(b)\}) \neq \emptyset \\
 \hline
 F(b) = \perp
 \end{array} \quad (3.38)$$

### 3.4.2 Inference 2: fprivate Containers

If  $e$  is an fprivate container of feature  $F$ , declarations in  $\text{NoAssignedRefTo}(e)$  that are not  $e$ 's children are automatically assigned to  $F$  without a modifier assignment. And declarations in  $\text{NoAssignedRefTo}(e)$  that are  $e$ 's children are also automatically assigned to  $F$  but with fprivate modifier to continue the assignments recursively.

Let's partition  $\text{NoAssignedRefTo}(e)$  into  $\text{ChildOf}(e)$  and  $\text{NoChildOf}(e)$ .  $\text{ChildOf}(e)$  contains those declarations in  $\text{NoAssignedRefTo}(e)$  that have  $e$  as parent.<sup>25</sup>  $\text{NoChildOf}(e)$  contains those declarations in  $\text{NoAssignedRefTo}(e)$  that do not have  $e$  as parent, this means they reference  $e$  but are not contained in  $e$ .

$$\text{NoAssignedRefTo}(e) = \text{ChildOf}(e) \cup \text{NoChildOf}(e) \quad (3.39)$$

$$\text{ChildOf}(e) = \{r \mid r \in \text{NoAssignedRefTo}(e) \wedge \text{parent}(r) = e\} \quad (3.40)$$

$$\text{NoChildOf}(e) = \{r \mid r \in \text{NoAssignedRefTo}(e) \wedge \text{parent}(r) \neq e\} \quad (3.41)$$

Declarations in  $\text{ChildOf}(e)$  will be assigned fprivate of  $F$ , this makes that all levels contained in  $e$  get also assigned fprivate of  $F$ .<sup>26</sup> Declarations in  $\text{NoChildOf}(e)$  will also be

<sup>25</sup> A parent of a declaration is its container.

<sup>26</sup> Assignment of a container's child happens recursively.

assigned to  $F$ , but without modifier.

$$\frac{\text{container}(e) \wedge \text{fprivate}(e) \wedge F(e) = F}{\forall r (r \in \text{ChildOf}(e) \Rightarrow ((F(r) = F) \wedge \text{fprivate}(r))) \wedge \forall q (q \in \text{NoChildOf}(e) \Rightarrow (F(r) = F))} \quad (3.42)$$

**Note:** Consider CRG in Figure 4.9. Suppose  $e$  is a container. Declarations  $a$  and  $c$  reference  $e$  but are not contained in  $e$ . Declaration  $b$  is a container that is contained in  $e$ . Declaration  $d$  is contained in  $b$ . We have:

$$\begin{aligned} \text{NoAssignedRefTo}(e) &= \{a, b, c\} \\ \text{NoAssignedRefTo}(b) &= \{d\} \end{aligned}$$

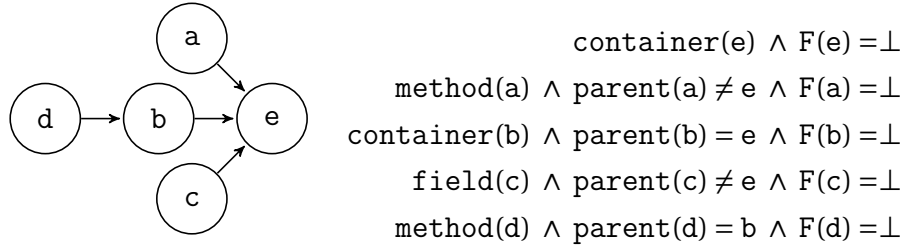


Figure 3.14: Inference 2. fprivate Container

If we divide  $\text{NoAssignedRefTo}(e)$  and  $\text{NoAssignedRefTo}(b)$  into their corresponding  $\text{ChildOf}()$  and  $\text{NoChildOf}()$  subsets, we have:

$$\begin{aligned} \text{ChildOf}(e) &= \{b\} \\ \text{NoChildOf}(e) &= \{a, c\} \\ \text{ChildOf}(b) &= \{d\} \\ \text{NoChildOf}(b) &= \emptyset \end{aligned}$$

Suppose container  $e$  is assigned  $\text{fprivate}$  of  $\gamma$ . Then declarations  $a$  and  $c$  will be assigned to  $\gamma$  without modifier. Declaration  $b$  will be assigned  $\text{fprivate}$  of  $\gamma$ , given this  $d$  will also get assigned recursively  $\text{fprivate}$  of  $\gamma$ .

## Caveats

### Hooks that reference $\text{fprivate}$ declarations.

As with Inference 1, for a method in  $\text{NoChildOf}(e)$  assigned by inference, like in the case of declaration  $a$ ,  $B_{TF}^T$  determines whether to keep that assignment or ask a user for  $a$ 's assignment. As a reader may notice this applies only to methods in  $\text{NoChildOf}(e)$ . The inferences made on  $\text{ChildOf}(e)$  are not undone by  $B_{TF}^T$ . For method  $a$ , if it has other references besides the one to  $e$  and the one to its parent, then  $B_{TF}^T$  will remove its current assignment

and ask a user about a's assignment. Otherwise, current a's assignment will remain. The rule for determining when to remove a method's by inference assignment in this case is as follows:

$$\frac{\text{method}(a) \wedge F(a) \neq \perp \wedge \text{ByInference}(a) \wedge (\text{parent}(a) \neq \text{ByInferenceDec}(a)) \wedge (\text{RefFrom}(a) - \{\text{parent}(a) \cup \text{ByInferenceDec}(a)\}) \neq \emptyset}{F(a) = \perp} \quad (3.43)$$

**Note:** Equation (3.43) is comprehensive of Equation (3.42) in Inference 1 caveats. Equation (3.42) occurs in an scenario where condition  $\text{parent}(a) \neq \text{ByInferenceDec}(a)$  is always true. Equation (3.42) is applied when inferences are made based on a `fprivate` field or method, which for  $B_{TF}^T$ 's purposes are never containers, therefore neither parents.

#### Hook that is a child of a `fprivate` container.

Let say we have a method `m` that was previously identified as hook but its assignment was removed because of caveats in Inference 1 or Inference 2, and now its container is assigned `fprivate` of a feature `F`. In this case, method `m` will be assigned to feature `F` too, but its modifier will remain `fpublic`, hooks are always `fpublic`.

### 3.4.3 Inference 3: Declarations in latest feature are always `fprivate`

References from declarations across features flow from  $\omega$ , the latest layer, to  $\alpha$ , the base layer. This means that a declaration references other declarations in the same layer or in earlier layers. The only exception are hooks, that could reference declarations in the future.

The CRG in Figure 3.15 shows how references flow from  $\omega$  to  $\alpha$ . Declarations in  $\omega$  will not be referenced by other declarations except for hook methods in earlier features, an example of the latter is the edge  $d \rightarrow i$  where `d` is a hook. Making all declarations in  $\omega$  `fprivate`, increases the number of inferences, and does not affect declarations visibility, declarations in  $\omega$  can be referenced by hooks, because they can reference `fprivate` declarations in the future.

The rule for a declaration `e` assigned to  $\omega$  is:

$$\frac{F(e) = \omega}{fprivate(e)} \quad (3.44)$$

For recursive partitioning this rule only applies to the latest sub-feature of  $\omega$ , the latest feature. Consider recursive feature model in Equation (3.45), for this feature model, declarations assigned to feature `Y` will always be `fprivate`. Declarations assigned to `Y` are not referenced by declarations in other features, except for hooks, so their visibility is not



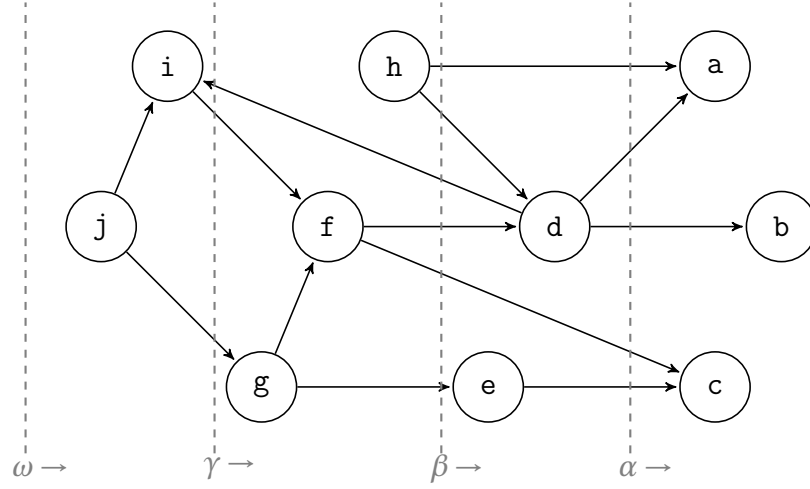


Figure 3.15: Inference 3. References flow across features

affected by a `fprivate` modifier, and yet the amount of  $B_{TF}^T$ 's inferences increases, decreasing a user's input.

$$\begin{aligned}
 P &: A \ B \ C \\
 A &: R \ S \\
 C &: X \ Y
 \end{aligned} \tag{3.45}$$

#### 3.4.4 Inference 4: One Feature in Bounds

If  $FB(e)$  contains a single feature,  $B_{TF}^T$  will assign  $e$  to it. In this case a user will not be asked for a modifier. As mentioned before,  $B_{TF}^T$ 's goal is to get feature assignments, and in this case, a feature assignment can be done without a modifier. Deciding which modifier to apply to a declaration is a sensitive user task, that could affect a declaration's visibility. This decision should be based on a user's knowledge of the program.

Considering that `fprivate` modifier is  $B_{TF}^T$ 's main resource for making inferences, it could be argued that not asking for a modifier might be a loss of information. But, consider CRG in Figure 3.16. Suppose  $FB(a) = [\delta, \delta]$ . Further assume that  $b$  is not a method<sup>27</sup> and  $FB(b)$  will have more than one feature.

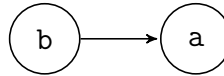


Figure 3.16: Simple CRG.

There are two ways to approach this:

**(1) Do not ask for a's modifier.** This is  $B_{TF}^T$ 's current approach. The amount of user inputs required in this case is 1. This can be observed in Table 3.9.

<sup>27</sup> As can be observed in previous inferences, methods do not always get assigned by inference.

No.	Step	User's input count
1	$B_{TF}^T$ infers $F(a) = \delta$	0
2	$B_{TF}^T$ asks for b assignment.	1
<b>Total:</b>		1

Table 3.9: Do not ask for a's modifier.

**(2) Ask for a's modifier.** There are two possible cases here. A user says that a is `fprivate`. As shown in Table 3.10, the amount of user inputs required in this case is 2. The other possible case is that a user says that a is `fpublic`. As shown in Table 3.11, the amount of user inputs required in this case is also 2.

No.	Step	User's input count
1	$B_{TF}^T$ infers $F(a) = \delta$ .	0
2	$B_{TF}^T$ asks for a's modifier. User says <code>fprivate(a)</code> .	1
3	$B_{TF}^T$ assigns $F(b) = \delta$ by inference.	0
4	$B_{TF}^T$ asks b's modifier.	1
<b>Total:</b>		2

Table 3.10: Ask for a's modifier. User says `fprivate(a)`.

No.	Step	User's input count
1	$B_{TF}^T$ infers $F(a) = \delta$ .	0
2	$B_{TF}^T$ asks for a's modifier. User says <code>fpublic(a)</code> .	1
3	$B_{TF}^T$ asks for b's assignment (feature-modifier assignment).	1
<b>Total:</b>		2

Table 3.11: Ask for a's modifier. User says `fpublic(a)`.

The comparison of both approaches shows that  $B_{TF}^T$ 's current approach is aligned to its goal and it also requires less user inputs than the alternative. If a user insists on assigning modifiers in such cases, it is possible via a declarations assignment file. Details about this are in Chapter 4.

### 3.4.5 Inference 5: Declaration with no References

This inference applies for a declaration  $e$  that is not referenced by other declarations, and that only references its container. In this case  $e$  is automatically assigned to the same feature of its container.

$$\frac{\text{RefTo}(e) = \emptyset \wedge \text{RefFrom}(e) = \text{parent}(e)}{F(e) = F(\text{parent}(e))} \quad (3.46)$$

$\text{RefTo}(e) = \emptyset$  means that  $e$  is not referenced by other declarations and neither contains other declarations. And if it only references its container then  $e$  is dead code. In this case  $e$ 's assignment will not affect other declarations assignment.

### 3.5 Recursive Partitioning

$B_{TF}^T$  supports the recursive partitioning of a program. By *recursive* we mean partitioning a program into a set of features, and then sub-partitioning at least one of the original features into sub-features. This process can be repeated any number of times. The following subsections explain how recursive partitioning in  $B_{TF}^T$  works.

#### 3.5.1 Processing of a Feature Model for Recursive Partitioning

A  $B_{TF}^T$ 's feature model for recursive partitioning has the form of a feature model in Equation (3.47).  $P$  represents the program to partition.  $A$ ,  $B$  and  $C$  are its initial features where  $A < B < C$ .  $B$  is partitioned into sub-features  $M < N$ .  $C$  is partitioned into sub-features  $X < Y$ .

$$\begin{aligned} P &: A \ B \ C \\ B &: M \ N \\ C &: X \ Y \end{aligned} \tag{3.47}$$

$B_{TF}^T$  requires a user to partition a program in an ordered manner: from less granular features to more granular. Features  $B$  and  $C$  need to have declarations assigned to them before they can be sub-partitioned. Since  $B$  and  $C$  have the same granularity, either of them can be partitioned in any order; the order is specified by the  $B_{TF}^T$  feature model. Given this, there are two valid forms to process this feature model partitioning:

Option 1:

1.  $P : A \ B \ C$
2.  $B : M \ N$
3.  $C : X \ Y$

Or, Option 2:

1.  $P : A \ B \ C$
2.  $C : X \ Y$
3.  $B : M \ N$

A user first has to partition completely program  $P$  into features  $A$ ,  $B$  and  $C$ , then s/he can partition  $B$  or  $C$ .

$B_{TF}^T$  works on one line of a feature model at a time. A user picks which line of a feature model to process next.  $B_{TF}^T$  verifies that a picked line is valid for processing. A line can be processed if all the following are true:

1. The parent of a feature to sub-partition has been fully partitioned. For example, feature B cannot be sub-partitioned if there are declarations in P that have not yet been assigned to either A, B or C.
2. The feature to sub-partition exists. For example, it is considered that B exists if there are declarations assigned to it.

If all lines of feature model in Equation (3.47) are processed completely, declarations in program P will be assigned to one of these features A, M, N, X or Y. At the end there are no declarations assigned to B or C.

### 3.5.2 Reassigning Declarations to Sub-Features

For the first line of a recursive partitioning feature model, as for a non-recursive partitioning feature model, a user has to assign all declarations in a program's CRG, this set of declarations is contained in PD. At the initial state, any declaration in PD have been assigned to a feature:

$$\forall d ( d \in PD \Rightarrow F(d) = \perp ) \quad (3.48)$$

After a user has assigned all declarations according to the first line of a feature model, we have:

$$\forall d ( d \in PD \Rightarrow F(d) \neq \perp ) \quad (3.49)$$

This holds true for the rest of the partitioning process when there is a recursive feature model. The process of sub-partitioning a feature G consists of reassigning its declarations to the sub-features of G, say  $X < Y$ . On this scenario  $B_{TF}^T$  creates a view of the declarations to reassign PD':

$$PD' = \{ d \in PD \wedge F(d) = G \} \quad (3.50)$$

After a user has finished reassigning declarations of G to its sub-features:

$$\forall d ( d \in PD \wedge F(d) \neq G ) \bigwedge \forall d ( d \in PD' \Rightarrow F(d) = X \vee F(d) = Y ) \quad (3.51)$$

#### $B_{TF}^T$ 's Rule Variants for Recursive Partitioning

$B_{TF}^T$  applies the same rules for declarations in both PD and PD', except for possibilities calculation.  $B_{TF}^T$  calculates new feature bounds for a declaration e to be reassigned to a sub-feature of G. But it *does not* recalculate modifiers. The current modifier of e remains,

only its feature assignments change. In this way no information is lost.

Let's say that  $G$  is to be partitioned into sub-features  $X$  and  $Y$ :

$$G : X \ Y$$

And that  $e$  is  $f_{private}$  of  $G$ :

$$(F(e) = G) \wedge f_{private}(e)$$

$B_{TF}^T$  will calculate which features from  $X$  and  $Y$  are in bounds for  $e$ . If both features are in bounds, then a user will have these possibilities:

$$\mathbb{P}(X, e, f_{private})$$

$$\mathbb{P}(Y, e, f_{private})$$

If only  $X$  or  $Y$  are in bounds, then  $B_{TF}^T$  will infer  $e$ 's assignment keeping  $e$ 's  $f_{private}$  modifier.

### Caveats

If  $e$ 's  $f_{private}$  modifier is not correct, a user may have to retract this fact in a previous step of the feature model process. Let's say that our feature model is:

$$P : B \ G \ T$$

$$G : X \ Y$$

Suppose  $e$  was assigned  $f_{private}$  of  $G$  when a user processed the first line of this feature model. While processing the second line, a user may realize that  $e$  should be  $f_{public}$ . In this case, s/he has to go back and select the first line of this feature model for processing, and retract the fact where it was specified that  $e$  was  $f_{private}$  of  $G$ , and provide the correct assignment. This, in turn, may trigger feature assignments to other declarations.

$B_{TF}^T$  allows a user to save her/his work done in a CSV file. In this scenario if a user needs to retract facts in a previous step, s/he can save her/his work (which is saved with the current feature granularity level), go and modify the assignments done in a previous step, come back and upload the assignments file s/he saved. All the assignments that remain valid are applied, the ones that do not, are notified. More details about uploading an assignments CSV file are discussed in next chapter.

# Chapter 4

## B<sub>t</sub>F Execution

B<sub>t</sub>F's can be executed in two modes: As an Eclipse<sup>1</sup> plugin (see Figure 4.1) and standalone<sup>2</sup>. The Eclipse plugin version is the fullest version of B<sub>t</sub>F. However, it is available only for Eclipse Java programs. Table 4.1 shows the differences between both execution modes.

	Plugin	Standalone
<b>Program Type</b>	Only Eclipse Java programs.	Any program.
<b>CRG</b>	B <sub>t</sub> F automatically obtains a program's CRG using Eclipse's AST framework [31].	User needs to obtain a program's CRG by other means and upload it to B <sub>t</sub> F.
<b>Output</b>	B <sub>t</sub> F provides a user with a .csv containing the list of feature assignments. Additionally, for SPL program partitioning, B <sub>t</sub> F makes feature annotations in the source code.	B <sub>t</sub> F provides a user with a .csv containing the list of feature assignments. The source code is not modified by B <sub>t</sub> F.

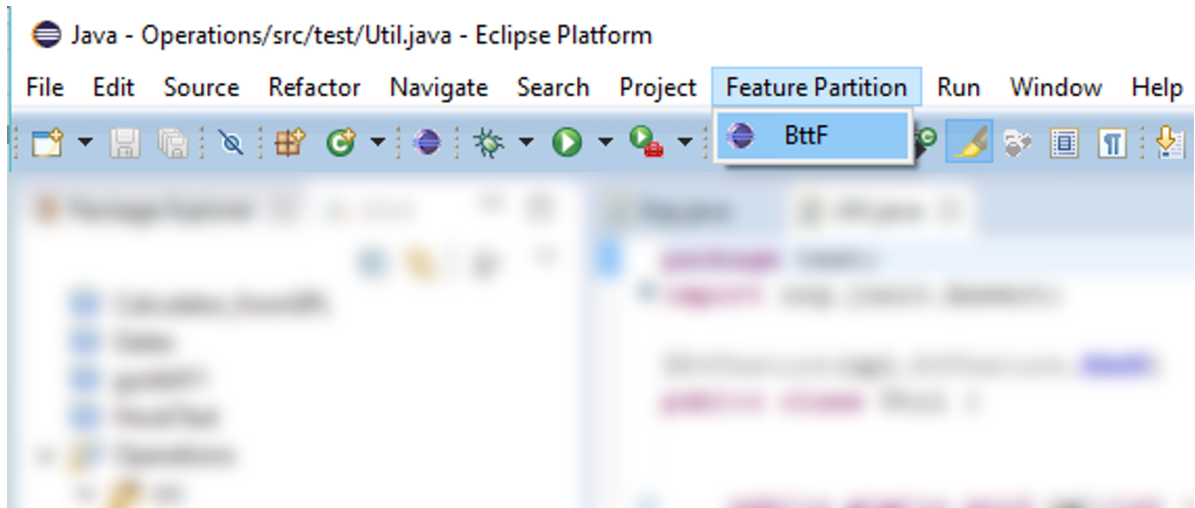
Table 4.1: Differences between B<sub>t</sub>F's Execution Modes

### 4.1 B<sub>t</sub>F's Input

After a program's CRG has been obtained, a user may provide a feature model file, and a list of declarations' assignments in a .csv file. B<sub>t</sub>F asks for a state folder as input, which should contain a .bttf file that is a feature model in B<sub>t</sub>F's accepted format, and a declaration assignment .csv file (BttF.csv). If a user does not provide a valid state folder, he or she will have to manually input a feature model in B<sub>t</sub>F's user interface.

<sup>1</sup> Eclipse Neon Version is supported.

<sup>2</sup> Executable jar file.

Figure 4.1:  $B_{TF}$  as Eclipse's plugin

An example of a .bttf file is shown in Figure 4.2. The feature model contained in this file specifies four features: BASE, TABLE, CONSTRAINT and EVAL.

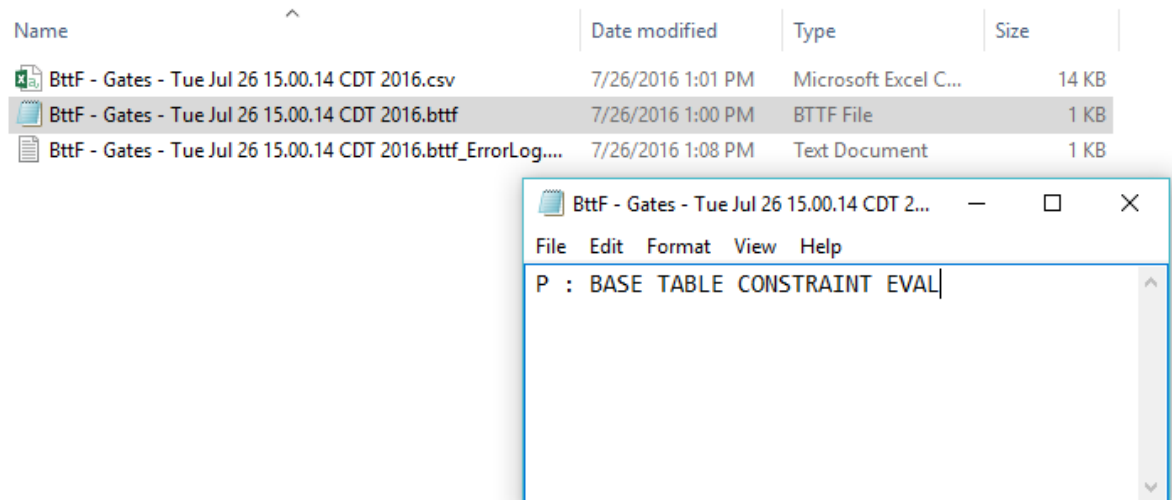


Figure 4.2: A .bttf file.

After a feature model file has been provided manually,  $B_{TF}$  gives a user the option to upload a BttF.csv file. A user also may upload a BttF.csv file later, after he or she has started making feature assignments using  $B_{TF}$  user interface.

Figure 4.3 shows an example of a BttF.csv file. This file allows a user to provide feature and modifier information about declarations. A user does not have to provide information about all declarations. To get a .csv file with the appropriate format for  $B_{TF}$  to read it, a user can download it from  $B_{TF}$ 's user interface.

When a user uploads a BttF.csv file,  $B_{TF}$  processes it in the following way:

	A	B	C	D	E	F	G	H
1	Identifier	Type	Package	Class	Member	Feature	Is_private	Is
2	Errors.NoValueSet	2 Class	Errors	NoValueSet		EVAL	FALSE	
3	Errors	1 Package	Errors				FALSE	
4	Errors.NoValueSet.NoValueSet(String e	5 Constructor	Errors	NoValueSet	NoValueSet	EVAL	FALSE	
5	Errors.NoTableFound	2 Class	Errors	NoTableFound		TABLE	FALSE	
6	Errors.NoTableFound.NoTableFound(S	5 Constructor	Errors	NoTableFound	NoTableFound		FALSE	
7	Errors.PinAlreadySet	2 Class	Errors	PinAlreadySet			FALSE	
8	Errors.PinAlreadySet.PinAlreadySet(Str	5 Constructor	Errors	PinAlreadySet	PinAlreadySet		FALSE	
9	Errors.ValueAlreadySet	2 Class	Errors	ValueAlreadySet		EVAL	FALSE	
10	Errors.ValueAlreadySet.ValueAlreadySe	5 Constructor	Errors	ValueAlreadySet	ValueAlready	EVAL	FALSE	
11	Errors.NoPinFound	2 Class	Errors	NoPinFound		BASE	FALSE	
12	Errors.NoPinFound.NoPinFound(String	5 Constructor	Errors	NoPinFound	NoPinFound	BASE	FALSE	
13	GatesApp.Feature.base	3 Field	GatesApp	Feature	base	BASE	FALSE	
14	GatesApp.Feature	2 Class	GatesApp	Feature		BASE	FALSE	
15	GatesApp.Feature.tables	3 Field	GatesApp	Feature	tables	TABLE	FALSE	

Figure 4.3: A BttFcsv file.

1. **Content structure check.**  $B_{TF}$  verifies that the contents of the file are structurally valid. The expected columns should be present and the declaration identifier should match with columns package, class and member.<sup>3</sup> If the file does not pass this verification, the user is notified and the file's contents are not read.
2. **Content validation.**  $B_{TF}$  verifies that every declaration with a feature assignment has a valid identifier, a valid declaration type and a valid feature name. If one of those are not valid, this declaration assignment is not considered. When a declaration fails this validation, it is added to the error log file with the corresponding reason.
  - (a) **Feature validation when recursive partitioning.** If a program is to be partitioned recursively, and a feature assignment has a feature  $F$  that is not found in the current line of a feature model, then  $B_{TF}$  searches feature  $F$  containers until it finds a valid one. If a valid feature cannot be found, this is added to the error log file. As an example, consider feature model in Equation (4.1). Suppose a user partitions program  $P$ , so the line to use is the first one of this feature model. If a user provides a feature assignment with feature  $X$ ,  $B_{TF}$  will search

<sup>3</sup> The identifier is present as a single column, and also decomposed in three columns: package, class and member. This for easing users' sorting and filtering activities.



across the feature model and find the corresponding mapping for X, which is A.

$$\begin{aligned} P &: A B C \\ A &: S T \\ S &: X Y \end{aligned} \tag{4.1}$$

3. **Declarations sorting.** Declarations that passed previous steps are sorted on granularity, from packages to fields.
4. **Feature assignment.** Two possible scenarios may happen at this point:
  - (a) **Declaration has been already assigned.** A declaration may get assigned because it references a `fprivate` declaration, or because it already has been assigned using  $B^T_F$  user interface. If a user provided assignment is different from the existing one, the existing one *is not overridden* and this is added to the error log file. This means that  $B^T_F$  still asserts that the original feature, not the newly proposed one, is correct.
  - (b) **Declaration has not been assigned.** Feature bounds are calculated for this declaration. If a user assigned feature is in bounds the feature-modifier assignment is applied. In case of a `fprivate` assignment, the corresponding propagation is done. If a user assignment is out of bounds then this is added to the error log file.
5. **Save error log file.** All found errors are outputted in a file and notified to the user. Figure 4.4 shows an example of a  $B^T_F$ 's error log file. This file is notifying a user that a feature assignment is not valid, and the actual feature assignment that  $B^T_F$  made with reference to that declaration.

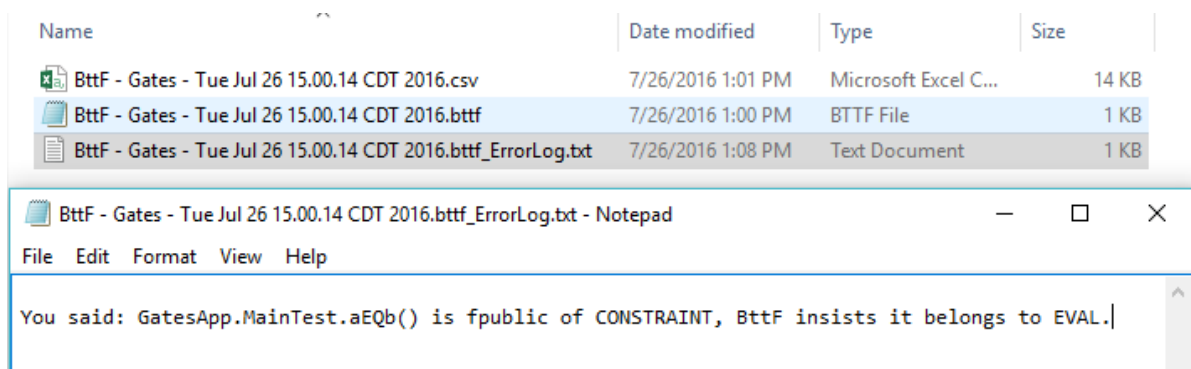


Figure 4.4: A  $B^T_F$ 's error log file.

6. **Continue on  $B^T_F$ 's user interface.** A user may continue with pending feature assignments in  $B^T_F$ 's user interface.

## 4.2 $B_{TF}^T$ 's User Interface

For this section and the following one, we use as an example, Java program Operations. Figure 4.5 shows the source code of Operations. This program implements times and plus operations for integer values. Its CRG has 28 vertices (declarations) and 85 edges. The program structure can be observed in Figure 4.6.

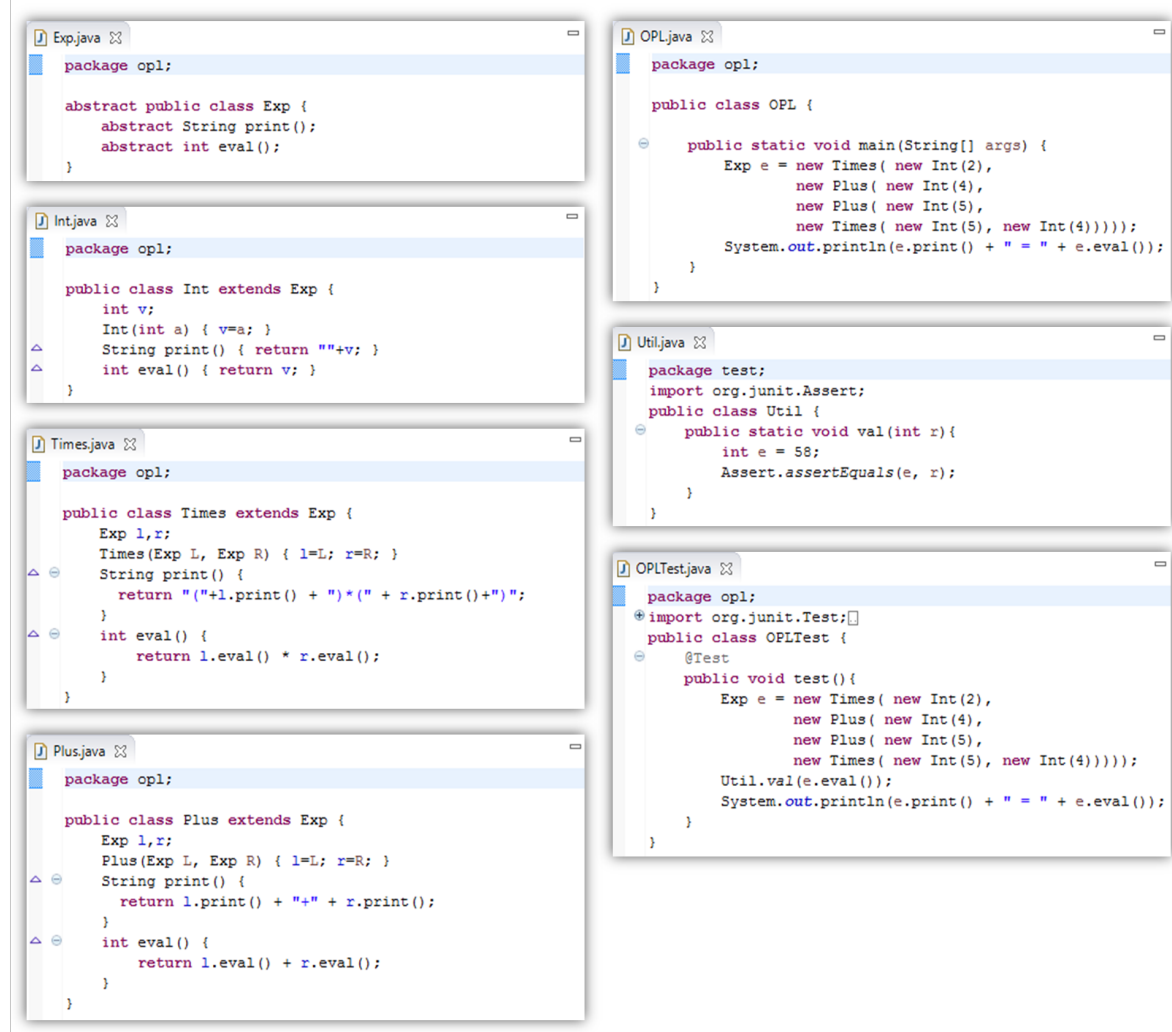


Figure 4.5: Operations Java Program Source Code

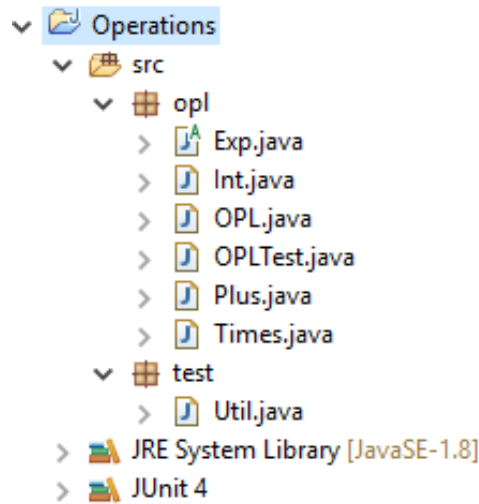


Figure 4.6: Operations Java Program

Operations was partitioned using  $B_{TF}^T$ 's Eclipse plugin version. Figure 4.7 shows a  $B_{TF}^T$ 's screen-shot taken while partitioning Operations program. The elements in  $B_{TF}^T$ 's user interface are explained next.

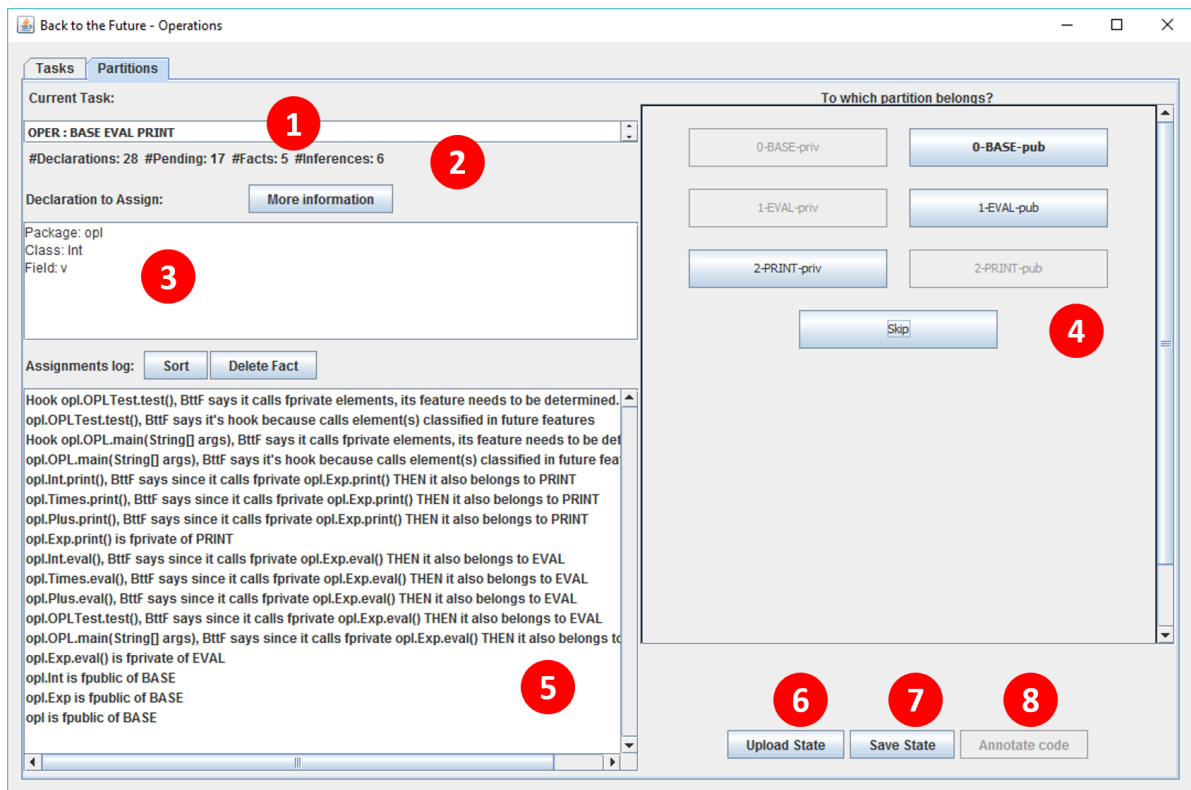


Figure 4.7: Partition Operations Program

- **Bubble 1** indicates the current partitioning task, which in this case is the only line of

Operations' feature model:

$$\text{OPER} : \text{BASE EVAL PRINT} \quad (4.2)$$

- **Bubble 2** shows the current partitioning status: of a total of 28 declarations, 17 are still pending to assign, a user has provided 5 facts or assignments, and  $B^T_F$  has made 6 inferences so far.
- **Bubble 3** shows the current declaration to assign, in this case is field  $v$  from class/type `int`. The 'More information' button shows a screen that contains current declaration's code, the list of declarations that reference it, and the list of declarations it references, it also includes an editable field where a user may input a comment regarding to the current declaration. This screen can be observed in Figure 4.8.

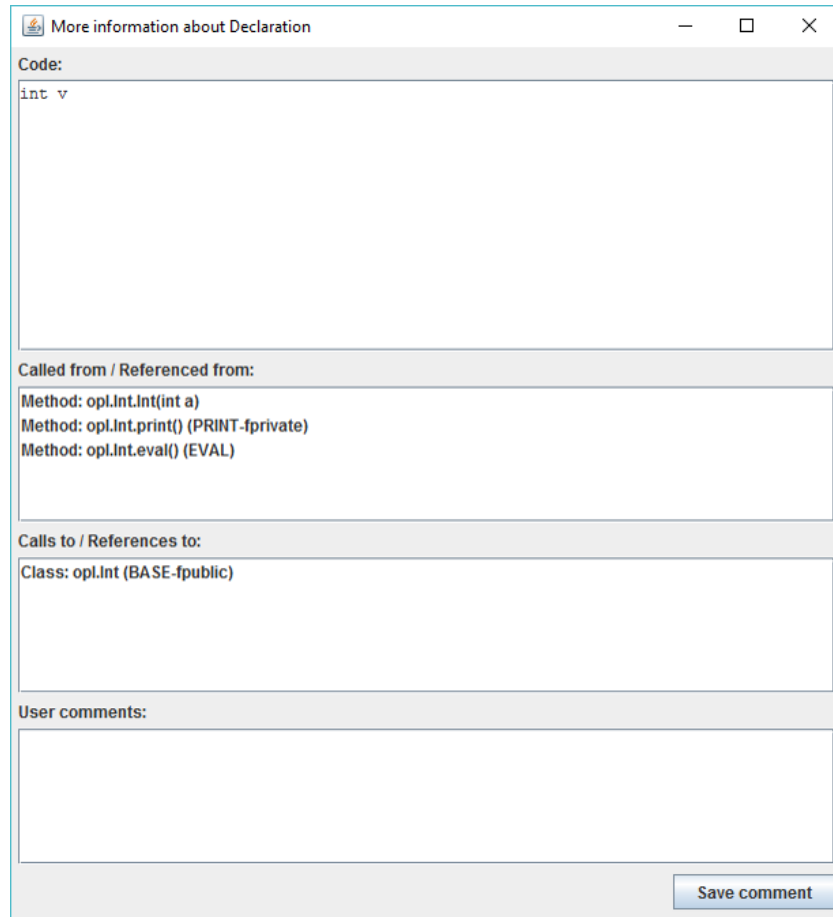


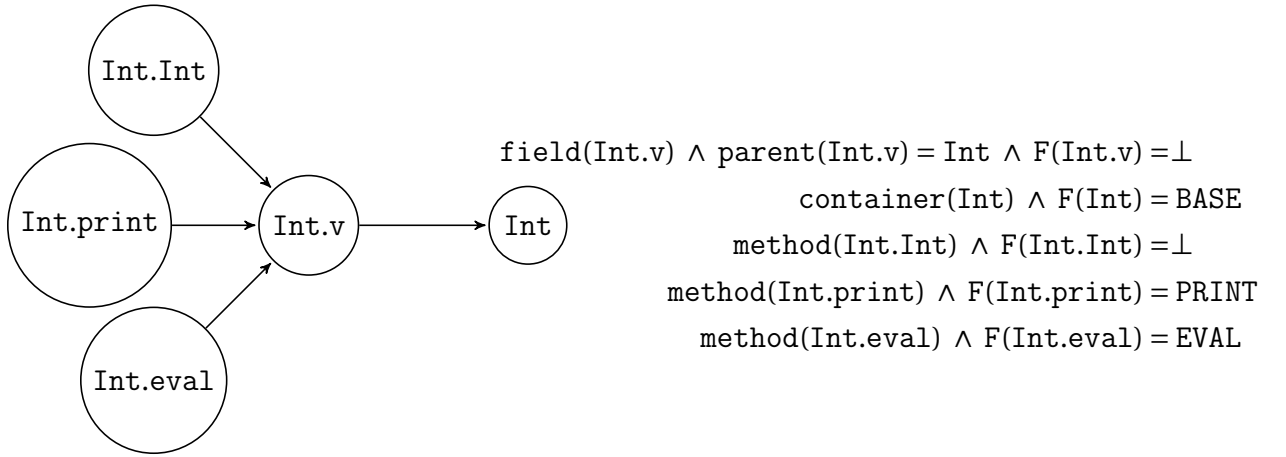
Figure 4.8:  $B^T_F$ 's More Information about a Declaration.

- **Bubble 4** points to the set of possibilities for field  $v$ . Figure 4.9 shows field  $v$ 's CRG.  $v$ 's earliest bound is BASE, and its latest bound is PRINT. Therefore,  $v$ 's bounds are:

$$\text{FB}(v) = \{ \text{BASE, EVAL, PRINT} \} \quad (4.3)$$

$v$  can be `fpublic` of any feature in bounds, except for `PRINT` which is the latest feature, therefore all of its declarations are always `fprivate`.<sup>4</sup> None of the `fprivate` possibilities cases apply for  $v$ .  $v$ 's possibilities are:

$$\begin{aligned} &\mathbb{P}(\text{BASE}, v, \text{fpublic}) \\ &\mathbb{P}(\text{EVAL}, v, \text{fpublic}) \\ &\mathbb{P}(\text{PRINT}, v, \text{fprivate}) \end{aligned}$$

Figure 4.9: Field  $\text{Int.v}$ 's CRG

- **Bubble 5** points to the ‘Assignments log’, which contains the list of facts that a user has provided and the inferences that  $B_{TF}$  has made. A  $B_{TF}$  inference can be identified because the text contains ‘ $B_{TF}$  says...’. If a user double clicks an element from the list, the ‘More information’ window (see Figure 4.8) is shown with the corresponding information for the selected declaration. This section of the user interface has two buttons:
  - The ‘Sort’ button orders alphabetically the facts by the name of the declaration.
  - The ‘Delete Fact’ button allows a user to retract a fact; it is not available for inferences. Let us say an assignment log contains four facts and one inference: fact 1, fact 2, inference 1, fact 3 and fact 4. If fact 2 is deleted, inference 1, fact 3 and fact 4 will be removed from the list, then  $B_{TF}$ 's next declaration to assign would be that of fact 2. After a user has entered the corrected assignment,  $B_{TF}$  will make the corresponding inferences, inference 1 might reappear or not, and then  $B_{TF}$  will verify if fact 3 is still valid, if it is, it will be re-entered automatically. The same for fact 4.

- **Bubble 6** points to ‘Upload State’ button, which allows a user to upload a `BttF.csv` file.<sup>5</sup>

<sup>4</sup> See Inference 3.

<sup>5</sup> Only a `BttF.csv` is read at this point.

- **Bubble 7** points to ‘Save State’ button, which allows a user to download a `BttF.csv` file with current feature assignments, and a feature model file (`.bttf`). The files generated are saved in the following path:

`< user_home > \Desktop\BttF\ <program_name > \ < yyyyMMdd hh.mm > \`

This path format allows a user to store several states of the partitioning process.

- **Bubble 9** points to the ‘Annotate code’ button, which is enabled once all declarations have been assigned to a feature. This button executes the modification of the program code base, feature annotations are added to the code. More details about this are explained in next section.

The final list of facts and inferences for Operations’ program is contained in Table 4.2. A user provided 19 facts,  $B_{TF}$  inferred the assignment of 9 declarations, 47% of the assignments were inferred by  $B_{TF}$ .

$B_{TF}$  also identified two hooks: `opl.OPL.main` and `opl.OPLTest.test`. In the inferences made with fact 4, can be found that  $B_{TF}$  identified these hooks and also decided to remove its current assignment, this according to caveats of Inference 1. In fact 9, a user said that container `opl.OPLTest` was `fprivate` of `BASE`, assigning hook `opl.OPLTest.test` to `BASE` by inference, this in accordance with caveats of Inference 2. Later,  $B_{TF}$  asked about hook `opl.OPL.main`’s assignment in fact 15.

### 4.3 $B_{TF}$ ’s Output

For both  $B_{TF}$ ’s execution modes, a `.csv` file with feature assignments can be downloaded by a user from  $B_{TF}$ ’s user interface. This file contains every declaration contained in a program’s CRG and its current feature assignment.

For recursive partitioning, a declarations assignment file will be saved according to the line of the feature model that is in process. For example, consider again feature model in Equation (4.1). If a user completed assignments according to the first and second line of this feature model, the assignments file will only present features from this set: {S, T, B, C}.

When  $B_{TF}$ ’s is executed in its Eclipse plugin mode,  $B_{TF}$  is capable to modify a program’s source code accordingly to a feature assignments. When a program is partitioned for SPL purposes,  $B_{TF}$  presents an option to annotate the program’s source code.

#### 4.3.1 R4 for Java Code Feature Annotation

Java is not feature-aware. But it does have declaration annotations. Kim et al developed R4, a new plug-in for Eclipse that supports annotation-based Java SPLs and the OO refactoring of such SPLs [50].

#	Fact/Inference
1	opl is fpublic of BASE
2	opl.Exp is fpublic of BASE
3	opl.Exp.eval() is fprivate of EVAL opl.OPL.main(String[] args), BttF says since it calls fprivate opl.Exp.eval() THEN it also belongs to EVAL opl.OPLTest.test(), BttF says since it calls fprivate opl.Exp.eval() THEN it also belongs to EVAL opl.Plus.eval(), BttF says since it calls fprivate opl.Exp.eval() THEN it also belongs to EVAL opl.Times.eval(), BttF says since it calls fprivate opl.Exp.eval() THEN it also belongs to EVAL opl.Int.eval(), BttF says since it calls fprivate opl.Exp.eval() THEN it also belongs to EVAL
4	opl.Exp.print() is fprivate of PRINT opl.Plus.print(), BttF says since it calls fprivate opl.Exp.print() THEN it also belongs to PRINT opl.Times.print(), BttF says since it calls fprivate opl.Exp.print() THEN it also belongs to PRINT opl.Int.print(), BttF says since it calls fprivate opl.Exp.print() THEN it also belongs to PRINT opl.OPL.main(String[] args), BttF says it's hook because calls element(s) classified in future features Hook opl.OPL.main(String[] args), BttF says it calls fprivate elements, its feature needs to be determined opl.OPLTest.test(), BttF says it's hook because calls element(s) classified in future features Hook opl.OPLTest.test(), BttF says it calls fprivate elements, its feature needs to be determined
5	opl.Int is fpublic of BASE
6	opl.Int.v is fpublic of BASE
7	opl.Int.Int(int a) is fprivate of BASE
8	opl.OPL is fpublic of BASE
9	opl.OPLTest is fprivate of BASE Hook opl.OPLTest.test(), BttF says it's a child of opl.OPLTest THEN it also belongs to BASE
10	opl.Plus is fpublic of BASE
11	opl.Plus.l is fpublic of BASE
12	opl.Plus.r is fpublic of BASE
13	opl.Plus.Plus(Exp L, Exp R) is fprivate of BASE
14	opl.Times is fpublic of BASE
15	opl.OPL.main(String[] args) is fpublic and hook of BASE
16	opl.Times.l is fpublic of BASE
17	opl.Times.r is fpublic of BASE
18	opl.Times.Times(Exp L, Exp R) is fprivate of BASE
19	test is fprivate of BASE test.Util, BttF says it's a child of test THEN it also is fprivate of BASE test.Util.val(int r), BttF says it's a child of test.Util THEN it also is fprivate of BASE

Table 4.2: Operations Program Partitioning. Facts and Inferences.

Here is how R4 works: A *configuration* is a set of features that uniquely specifies a product of an SPL. R4 uses a Java annotation type called `@Feature`. Within it defines a boolean constant for every feature. The value is `true` if the feature is selected, `false` otherwise. Figure 4.10 is a `@Feature` declaration with three features  $\{A, B, C\}$  that encodes configuration  $\{A, B, \neg C\}$ . The legality of a configuration is specified by a feature model [1]. R4 supports the GUIDSL or FeatureIDE specifications of feature models [4, 96].

Every declaration of an R4 codebase has a `@Feature` annotation with a feature expression as an argument. Feature-guarded code blocks are surrounded by `if (feature-expr)` statements. If the expression is `true` for the configuration, that declaration or code block is included in the target program, otherwise it is removed.

Figure 4.11a is a `@Feature`-annotated codebase where class `foo` and field `t` belong to feature A. Feature B adds method `inc`. Feature C adds fields `r`, `s` and guards an update to field

---

```

1 @interface Feature {
2   static final boolean A = true;
3   static final boolean B = true;
4   static final boolean C = false;
5
6   boolean value();
7 }

```

---

Figure 4.10: The @Feature Annotation Type

r. Figure 4.11b is the projected codebase for configuration  $\{A, B, \neg C\}$ . A reader can observe how the code segments for features A and B are present, but not the code segments for feature C. R4 uses code folding to accomplish projection. For more details, see [50].

---

```

1 @Feature(A)
2 class foo {
3   @Feature(A)
4   int t;
5
6   @Feature(C)
7   int r=0, s=7;
8
9   @Feature(B)
10  void inc(){
11    if(C){
12      r=r+2;
13    }
14    t=t+3;
15  }
16 }

```

---

(a) SPL Code base

---

```

1 @Feature(A)
2 class foo {
3   @Feature(A)
4   int t;
5
6
7
8
9   @Feature(B)
10  void inc(){
11
12
13
14    t=t+3;
15  }
16 }

```

---

(b) Code base for Config A,B,  $\neg C$ 

Figure 4.11: @Feature Annotations and an R4 Projection

### 4.3.2 $B^T_F$ 's R4 Application

$B^T_F$  assigns a feature to every declaration of a program<sup>6</sup>. We use R4 to @Feature-annotate each declaration. What we cannot do is automatically introduce `if (feature-expr)` statements to feature-modularize code within method bodies, such as the body of `inc()` in Figure 4.11a. Feature-refactoring method bodies is a difficult problem that requires deep knowledge of program semantics and must be done manually. For example, in case of hooks, we identify them, but a programmer must manually feature-refactor them.

In Figure 4.12 is possible to observe our example program `Operations`, which was partitioned in the previous section. `Operations` source code now contains R4Feature anno-

---

<sup>6</sup> Identified in a program's CRG.



tations according to the assignments made through  $B^T_F$ . A new Java @interface type, named R4Feature, has also been added to the program. This R4 SPL configuration file has all existing features selected (BASE, EVAL and PRINT). In Figure 4.13 is also possible to observe that this @interface has been added to the program. Operations code base has been converted into an annotated SPL.



Figure 4.12: Operations Java program source code annotated.

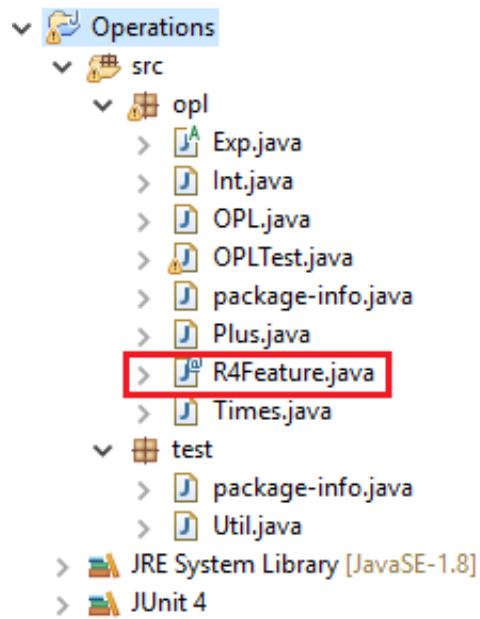


Figure 4.13: Operations Java program structure with added R4Feature.

# Chapter 5

## B<sub>t</sub>F Partitioning of Java Programs into SPLs

### 5.1 Experimental Setup

Partitioning a Java program into an SPL is the main scenario for which B<sub>t</sub>F was designed. To evaluate B<sub>t</sub>F, we could have used programs that have never been converted into an SPL. However, we needed existing SPLs as ground truth to validate B<sub>t</sub>F – to reproduce existing feature definitions. Even this was not easy as we had to convert their codebases into plain Java programs. In short, our reasons for selecting these programs were:

- Using programs that were Java SPLs as ground truth, and
- Ensures that our experimental results are reproducible.

We selected seven Java programs to evaluate B<sub>t</sub>F. Three factors that were influential in determining which programs to use for this evaluation were:

- They needed to be *known Java SPLs*. In other words, they were commonly used in SPLs experiments so that others could more easily reproduce our results.
- Since we needed to convert them from SPLs into plain Java Programs, we picked *annotated SPLs* for which we had access to the source code and its feature assignments.
- When partitioning a program, B<sub>t</sub>F might find that some provided facts are invalid. *Expert knowledge* is required to provide a correction of invalid facts. We chose programs that either we were well-versed in their design or that we could become experts.

Table 5.1 lists the programs selected for B<sub>t</sub>F evaluation.<sup>1</sup> Programs are sorted by LOC (Lines

---

<sup>1</sup> The source code for these programs is available in <https://github.com/priangulo/BttFTestProjects>

of Code)<sup>2</sup>. # of Features is the number of features contained in a program's partitioning feature model. # of Declarations refers to the number of declarations found in a program's CRG. # of Packages and # of Classes refer to the count of these type of declarations.

Program	LOC	# of Features	# of Declarations	# of Packages	# of Classes
mixin	35489	16	3953	1	501
unmixin	33895	11	3703	1	500
guidsl	16307	25	1818	1	147
bali2jak	12773	9	1220	1	135
Prevayler	7915	7	1210	22	157
Gates	1235	4	146	5	20
Operations	80	3	28	2	7

Table 5.1: Java programs to validate  $B_{TF}^T$  partitioning into SPLs.

## 5.2 Research questions

$B_{TF}^T$  is an inferencing engine. Our first two research questions are related to its inferencing performance. The last question is related to the behavior of the number of possibilities shown to a user during the partitioning process. Let the inference rate be:

$$\text{inference rate} = \frac{\# \text{ of } B_{TF}^T \text{ inferences}}{\# \text{ of program declarations}} \quad (5.1)$$

**RQ1** In which scenario(s) is  $B_{TF}^T$ 's inference rate the highest?

**RQ2** In which scenario(s) is  $B_{TF}^T$ 's inference rate the lowest?

**RQ3** Is there a correlation between the assignment time and the number of possibilities?  
In other words, does the number of possibilities shrink as more facts are introduced?

The following section presents the results obtained with the performed experiments and the answers to our research questions.

## 5.3 Results

For every program used in this evaluation, we obtained a feature model and a full list of assignments. These assignments were validated by a program expert.  $B_{TF}^T$ 's task was to ingest facts, report errors, and infer the rest.

Table 5.2 contains general information about the partitioning results obtained with each program. We performed four experiments on each program:

<sup>2</sup> We used JavaLOC tool[24] to obtain the number of lines of code.

1. **E1 (Original)**. For the first experiment we used the feature model provided with each program.
2. **E2 (Top Heavy)**. For the second experiment we used a feature model with only two features: BASE and TOP, where all of the original features, except for the first one, were comprised in TOP.
3. **E3 (Base Heavy)**. For the third experiment we also used a feature model with only two features: BASE and TOP, where all of the original features, except for the last one, were comprised in BASE.
4. **E4 (Balanced)**. For the fourth experiment we used the same two-features feature model. Now, half of the original features were comprised in BASE, and the other half in TOP.<sup>3 4</sup>

The fields contained in Table 5.2 are as follows:

- Experiment is the experiment number.
- N is the number of features in the partitioning feature model.
- FP is the number of fprivate facts provided by an expert.
- FP% is the percentage of FP,

$$FP\% = \frac{\# \text{ of fprivate facts}}{\# \text{ of program declarations}} \cdot 100 \quad (5.2)$$

- wFP% is the weighted percentage of fprivate facts provided by an expert. Not all fprivate facts produce the same number of inferences. The number of inferences produced by a fprivate fact depends on the number of declarations that reference that fprivate declaration. For this reason, it is necessary to have a weighted percentage of fprivate facts. The *weight* is the number of declarations that reference a declaration d, this concept was previously introduced as RefTo(d). We use RefTo(d) as a weight because the declarations contained in it would be assigned to d's feature, if d is fprivate.

$$wFP\% = \frac{\sum \# \text{ of fprivate facts weight}}{\sum \# \text{ of all declarations weight}} \cdot 100 \quad (5.3)$$

- I is the overall number of declarations that were inferred. For this scenario,  $B_{TF}$  makes five types of inferences. These are mentioned in later bullet points.
- I% is the percentage of declarations inferred by  $B_{TF}$ ,

$$I\% = \frac{\# \text{ of } B_{TF} \text{ inferences}}{\# \text{ of program declarations}} \cdot 100 \quad (5.4)$$

<sup>3</sup> For feature models with an uneven number of features, the largest half was TOP.

<sup>4</sup> For Operations program there is no Experiment 4, as Operations original feature model only had three features, so Experiment 4 would have been the same than Experiment 2 or 3.

- IF is the number of declarations inferred because they reference a `fprivate` method or field.
- IC is the number of declarations inferred because they belong to a `fprivate` container.
- IL is the number of declarations that were assigned `fprivate` because they belong to the last feature in the feature model.
- IO is the number of declarations inferred because they had only one feature in bounds.
- IN is the number of declarations inferred because they have no references other than to its container.
- H is the number of identified hook methods.
- ANP is the average of number of possibilities seen during the assignment process.
- SNP is the standard deviation of ANP.

### 5.3.1 RQ1. In which scenario(s) $B_{TF}^T$ 's inference rate is the highest?

From our experiments, we learned that  $B_{TF}^T$ 's reached its highest inference rates when more declarations were assigned to the last layer. This corresponds to E2 (Top Heavy). The average inference rate obtained with E2 experiments is 71.82. The results obtained in E2 experiments is highlighted in light gray in Table 5.2. Table 5.3 contains the number of declarations assigned to the last layer, and the inference rate obtained per experiment:

- LS% is the percentage of declarations assigned to the last feature,

$$LS\% = \frac{\text{\# of program declarations assigned to last feature}}{\text{\# of program declarations}} \cdot 100 \quad (5.5)$$

- I% is  $B_{TF}^T$ 's inference rate.

E2 (Top Heavy) was the experiment in which we comprised the declarations originally assigned to all features except the first one. As can be observed for E2, the highest the number of declarations assigned to the last feature, the highest the inference rate obtained.

To statistically support the previous premise, we used the *Pearson Correlation Coefficient* ( $\rho$ ).  $\rho$  is a measure of dependency between two variables [58].  $\rho$  can take any value from  $-1$  to  $+1$ .  $1$  represents a total positive linear correlation (as one of the variables increases the other too increases),  $0$  is no linear correlation, and  $-1$  represents a total negative linear correlation (as one of the variables increases the other decreases). In this case we are measuring the correlation between LS% and I%. We performed this calculation using R-Project,

Experiment	N	FP	FP%	wFP%	I%	I	IF	IC	IL	IO	IN	H	ANP	SNP
<b>mixin</b>														
E1	16	8	0.20%	10.02%	30.9%	1222	419	785	18	0	0	9	18.86	11.36
E2	2	0	0.00%	0.00%	82.0%	3540	5	0	3235	0	0	5	1.48	0.75
E3	2	8	0.20%	10.02%	30.9%	1222	419	785	18	0	0	0	2.73	0.45
E4	2	8	0.20%	10.02%	32.1%	1269	424	785	60	0	0	5	2.70	0.46
<b>unmixin</b>														
E1	11	113	3.05%	8.43%	28.6%	1061	753	299	9	0	0	5	12.93	7.01
E2	2	0	0.00%	0.00%	84.5%	3128	6	0	3422	0	0	4	1.42	0.71
E3	2	113	3.05%	8.43%	28.6%	1061	753	299	9	0	0	0	2.75	0.43
E4	2	113	3.05%	8.43%	29.5%	1093	759	299	35	0	0	1	2.74	0.44
<b>guidsl</b>														
E1	25	7	0.38%	4.26%	16.9%	304	107	174	23	0	0	44	28.21	16.31
E2	2	0	0.00%	0.00%	80.6%	1449	0	0	1449	0	0	0	1.74	0.89
E3	2	7	0.38%	4.26%	16.9%	304	107	174	23	0	0	1	2.84	0.39
E4	2	7	0.38%	4.26%	45.5%	818	183	174	461	0	0	46	2.35	0.79
<b>bali2jak</b>														
E1	9	19	1.55%	7.52%	26.1%	319	155	146	18	0	0	27	10.27	5.56
E2	2	0	0.00%	0.00%	67.9%	828	1	0	827	0	0	1	1.67	0.87
E3	2	19	1.55%	7.52%	26.1%	319	155	146	18	0	0	1	2.79	0.40
E4	2	19	1.55%	7.52%	41.8%	510	155	146	209	0	0	6	2.45	0.76
<b>Prevayler</b>														
E1	7	0	0.00%	0.00%	37.9%	458	0	0	458	0	0	12	7.87	5.06
E2	2	0	0.00%	0.00%	64.9%	785	21	0	764	0	0	11	1.87	0.90
E3	2	0	0.00%	0.00%	37.9%	458	0	0	458	0	0	1	2.34	0.90
E4	2	0	0.00%	0.00%	45.8%	554	21	0	533	0	0	10	2.17	0.90
<b>Gates</b>														
E1	4	3	1.96%	0.47%	18.5%	28	1	2	25	0	0	6	5.52	1.88
E2	2	2	1.32%	0.47%	37.1%	56	7	2	47	0	0	6	2.38	0.64
E3	2	3	1.96%	0.47%	18.5%	28	1	2	25	0	0	0	2.60	0.62
E4	2	3	1.96%	0.47%	22.5%	34	1	2	31	0	0	0	2.54	0.62
<b>Operations</b>														
E1	3	6	21.42%	9.41%	35.7%	10	5	2	3	0	0	2	4.21	1.13
E2	2	5	17.85%	3.52%	35.7%	10	1	2	7	0	0	1	2.82	0.47
E3	2	6	21.42%	9.41%	35.7%	10	5	2	3	0	0	2	2.67	0.61

Table 5.2: Java SPLs partitioning results.

which is an environment for statistical computing [81]. The function used to obtain  $\rho$  is `cor` from the statistical package `corrplot` [102]. The result obtained is:

$$\rho(\text{LS\%, I\%}) = \mathbf{0.93} \quad (5.6)$$

Since E2 experiments present the lowest numbers of `fprivate` facts<sup>5</sup> (most of them are zero), then we know that `fprivate` facts are not a strong factor to determine the inference

<sup>5</sup> Please see columns FP and FP% in Table 5.2

E1		E2		E3		E4	
LS%	I%	LS%	I%	LS%	I%	LS%	I%
<b>mixin</b>							
0.5%	30.9%	89.5%	82.0%	0.5%	30.9%	3.3%	32.1%
<b>unmixin</b>							
0.3%	28.6%	92.0%	84.5%	0.3%	28.6%	1.9%	29.5%
<b>guidsl</b>							
2.1%	16.9%	85.5%	80.6%	2.1%	16.9%	29.4%	41.8%
<b>bali2jak</b>							
2.5%	26.1%	76.1%	67.9%	2.5%	26.1%	19.4%	41.8%
<b>Prevayler</b>							
39.5%	37.9%	67.1%	64.9%	39.5%	37.9%	46.4%	45.8%
<b>Gates</b>							
19.2%	18.5%	41.7%	37.1%	19.2%	18.5%	25.8%	22.5%
<b>Operations</b>							
14.3%	35.7%	32.1%	35.7%	14.3%	35.7%	-	-

Table 5.3: RQ1. Last feature size vs.  $B_{TF}^T$ 's Inference Rate.

rate obtained with them. This is supported by calculating  $\rho$  using only E2 experiments numbers, the result obtained is:

$$\rho(\text{LS\%, I\%}) = \mathbf{0.99} \quad (5.7)$$

These results indicate a *high positive linear correlation* between our compared variables (LS%, I%). This statistically supports that a higher percentage of declarations assigned to the last feature, leads to a higher  $B_{TF}^T$ 's inference rate.

A visual representation of these results can be observed in Figure 5.1. Figure 5.1a shows the results when all the experiments are considered. Figure 5.1b shows the results when only E2 experiments are considered. These charts contain a point per experiment. The blue trend line marks a total positive correlation ( $\rho = 1$ ), with a 95% confidence region highlighted in gray.

In Figure 5.1a it is possible to observe that the experiments corresponding to E1, E3 and E4 are more apart from the trend line than E2 experiments. The reason for this is because their inference rates are not completely due to the size of the last layer (LS%). The amount of `fprivate` facts were a factor that influenced the inference rate.

In Figure 5.1b is possible to observe that Gates and Operations are at the bottom of the trend line. For E2 experiments we comprised in TOP feature, all the original features but the first one, which was assigned as BASE. However, Gates and Operations had most of their declarations in their first feature. Gates had 58.3% of its declarations in BASE. Operations had 67.9% of its declarations in BASE. This caused their TOP features to be relatively small, therefore their inference rate was also low.

The main lesson learned from these results is that to better take advantage of  $B_{TF}^T$ 's inferring capabilities, it is recommendable to partition a program in a recursive manner, two



features at a time.

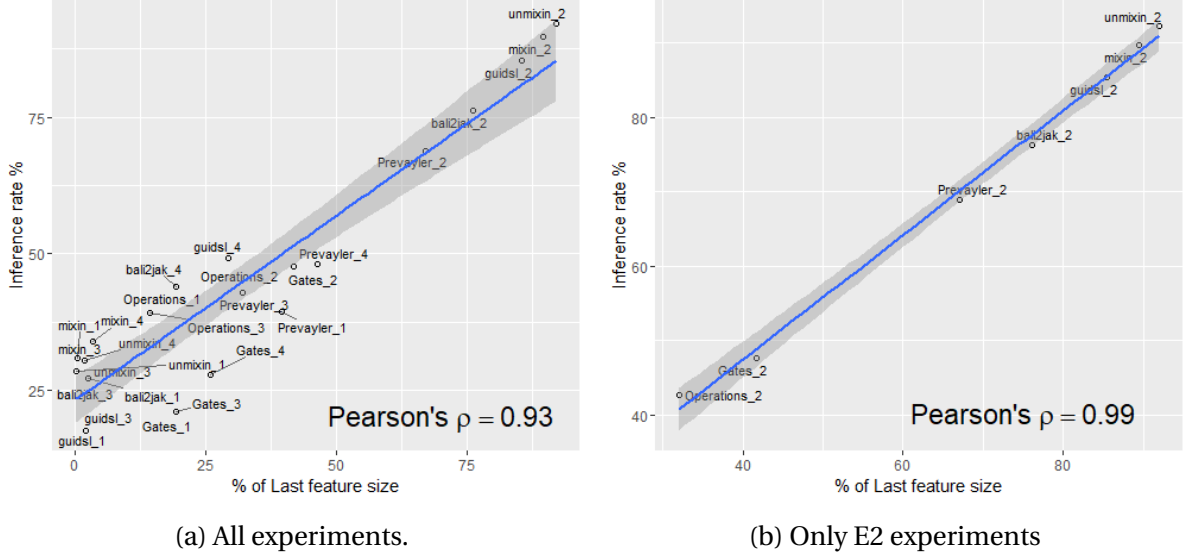


Figure 5.1: RQ1. Correlation between Last feature size percentage and  $B_{TF}^T$ 's Inference rate.

### 5.3.2 RQ2. In which scenario(s) $B_{TF}^T$ 's inference rate is the lowest?

In Table 5.2 we can observe that E1 and E3 experiments obtained the lowest inference rates in comparison with other experiments. We can also observe that for every program, the inference rates obtained with E1 and E3 are the same for every program because of two factors:

- E1 last feature and E3 last feature are the same. They comprised the same declarations. For E1 experiments we used the original feature model, for E3 we comprised all the features but the last one in BASE, and the last feature was assigned as TOP. Therefore the amount of inferences obtained thanks to assigning declarations to the last layer (see IL column in Table 5.2) was the same for both E1 and E3.
- Same effect of `fprivate` facts. For E1 all `fprivate` facts were provided for all features except for the last one, for which is not necessary to provide `fprivate` facts, as all the declarations in the last layer are automatically assigned as `fprivate` by  $B_{TF}^T$ . For E3 experiments, the BASE feature comprised all the features in the original feature model, but the last one, then it also contained all the provided `fprivate` facts.

Therefore, the factors that determine the inference rate were the same for E1 and E3, causing that their results were the same.

The reason why E1 and E3 inference rates were the lowest is because they mostly depended on `fprivate` facts, which were few and with the capacity of impacting a few number of

other declarations, this capacity being determined by their *weight*<sup>6</sup>. This can be observed in Table 5.2, column `wFP%`, which shows `fprivate` facts allowed  $B_{TF}$  to infer a feature for:

- 10% of `mixin` declarations,
- 8% of `unmixin` declarations,
- 4% of `guidsl` declarations,
- 7% of `bali2jak` declarations,
- 0% of `Prevayler` declarations (because no `fprivate` facts were provided),
- $\frac{1}{2}$ % of `Gates` declarations, and
- 9% of `Operations` declarations.

**Does this mean that `fprivate` facts are not useful?** No. In Table 5.2 in `FP` and `FP%` columns, we can observe the small proportion of `fprivate` facts provided, in comparison with the total number of declarations. And yet, for E1 and E3 experiments this `fprivate` facts are the main reason for the inference rates obtained.

The main lesson learned from these results is that it would be convenient to provide to a user the list of declarations sorted by their weight. This way a user might be able to provide more impactful `fprivate` facts. However, this does not change the fact that `fprivate` facts need to be provided carefully. For example, if a user decided to assign a Java package `p` as `fprivate` of feature `F`, all the declarations contained in `p` would recursively be assigned to `F`, which might not be a user's desired intention.

### 5.3.3 RQ3. Is there a negative correlation between Assignment Time and Number of Possibilities?

We are looking for a negative correlation between Assignment Time and Number of Possibilities. *Assignment Time* refers to the order in which a declaration of a program `p` was assigned. The first declaration that is assigned has an assignment time of 1. The last declaration to be assigned has an assignment time of the length of declarations in `p`. *Number of possibilities* refers to the number of options<sup>7</sup> that were in bounds when a declaration was assigned.

To say that we are looking for a *negative correlation* between Assignment Time and Number of Possibilities means that we expect that as Assignment Time increases, the Number of Possibilities decreases.

<sup>6</sup> As mentioned before, weight is the number of declarations that reference a declaration `d` (`RefTo(d)`), and thus they would be assigned to `d`'s feature, if `d` is `fprivate`.

<sup>7</sup> For more details please see Section 3.3.1.

The Pearson Correlation Coefficient ( $\rho$ ) is also used for this research question. In this case we calculate the correlation between declarations' assignment time (AT) and the number of possibilities (NP) each of the declarations had at the moment of assignment.

We obtained a  $\rho$  per each experiment performed. To obtain an overall result, we cannot simply average the obtained coefficients, this would lead to an spurious result, because these coefficients depend on the data variances, and since they were obtained from different experiments, they have different variances. In order to average the results, it is necessary to standardize the resulting correlation coefficients variances. To do this standardization, we apply *Fisher's Z-transformation* to  $\rho$ . Fisher's Z-transformation is a method that transforms a  $\rho$  value to a  $Z$  (normal) distribution, therefore allowing to perform aggregation operations over these coefficients (i.e. getting a mean). The obtained values can then be averaged. The resulting average then is transformed again into  $\rho$  form to provide an accurate *average correlation coefficient* for all the experiments [60, 103].

We again performed these calculations using R-Project, which is an environment for statistical computing [81]. The function used to obtain  $\rho$  is `cor` from the statistical package `corrplot` [102]. The function used to transform  $\rho$  to a  $Z$  distribution is `fisherz`, and the function to transform it back to its Pearson correlation form is `fisherz2r`, both functions belong to the statistical package `psych` [84]. Table 5.4 contains the  $\rho$  coefficients obtained with every experiment ( $\rho(AT, NP)$ ) and their corresponding fisher-transformed values (`fisherZ( $\rho$ )`).

E1		E2		E3		E4	
$\rho(AT, NP)$	<code>fisherZ(<math>\rho</math>)</code>	$\rho(AT, NP)$	<code>fisherZ(<math>\rho</math>)</code>	$\rho(AT, NP)$	<code>fisherZ(<math>\rho</math>)</code>	$\rho(AT, NP)$	<code>fisherZ(<math>\rho</math>)</code>
<b>mixin</b>							
0.32	0.34	0.20	0.20	0.31	0.32	0.26	0.26
<b>unmixin</b>							
0.06	0.06	0.13	0.13	0.03	0.03	0.02	0.02
<b>guidsl</b>							
-0.02	-0.02	0.20	0.20	0.24	0.25	0.39	0.42
<b>bali2jak</b>							
0.02	0.02	0.58	0.66	0.13	0.13	0.39	0.41
<b>Prevayler</b>							
0.50	0.55	0.46	0.50	0.66	0.80	0.61	0.71
<b>Gates</b>							
0.24	0.25	0.21	0.22	0.35	0.36	0.26	0.26
<b>Operations</b>							
-0.11	-0.11	-0.15	-0.15	-0.33	-0.35	-	-

Table 5.4: RQ2. Correlation between Assignment Time and Number of Possibilities.

Those fisher-transformed values were averaged, the result is: 0.239<sup>8</sup>. As mentioned before, this average is now converted back into rho, the obtained value is: 0.235, which is the overall correlation factor observed between Assignment Time and Number of Possibilities seen across experiments.

<sup>8</sup> We used all the digits of the resulting numbers.

0.235 indicates a low positive linear correlation between our compared variables (AT, NP). We were looking for a negative linear correlation. The interpretation is that a late assignment time of a declaration does not guarantee a fewer number of available possibilities. In other words, *the number of possibilities is not steadily shrinking as more facts are introduced*.

The rationale behind this, is that a declaration's assignment possibilities are affected *only* by the assignments of the declarations it references, and not by the overall assignments made.  $B_{TF}^T$ 's possibilities calculation is in certain way greedy, as it traverses a CRG and calculates possibilities for one declaration at a time.

**Does this mean that feature possibilities are never reduced? No.** In Figure 5.2 it can be observed, for all the experiments, the number of possibilities each declaration had. For example, the first chart in Figure 5.2a corresponds to *mixin*'s Experiment 1. The very first line in that chart represents the first declaration that was assigned, which had 31 possibilities<sup>9</sup> The higher the bar the more possibilities, the lower the bar the fewer possibilities. It is observable that there was a reduction of possibilities for some declarations, but this reduction was not constant across assignment time, it rather fluctuated.

Tables 5.5 to 5.8 present data that shows the percentage of declarations that had a reduction on their possibilities. In these tables we have bins to group the number of possibilities that declarations had (Possibilities bin), the average percentage of declarations that were on that bin (AVG), and the standard deviation (SD) of that average.

For E1 experiments (shown in Table 5.5) we have four bins:

- Bin 1 contains declarations that had at most one third of all available possibilities. For example, if for a program the maximum number of possibilities is 9, this bin would contain those declarations that had at most 3 possibilities.
- Bin 2 contains declarations that had at most two thirds of all available possibilities.
- Bin 3 contains declarations that had over two thirds of all available possibilities, but less than the maximum number of possibilities.
- Bin 4 contains declarations that had all possibilities. This group had no reduction in their possibilities.

E2-E4 experiments (shown in Tables 5.6 to 5.8) had the same feature model (P : BASE TOP), thus, the maximum number of possibilities was  $3^{10}$ . For these experiments we have three bins:

- Bin 1 contains declarations that had only one possibility.

<sup>9</sup> *mixin*'s feature model used in Experiment 1 has 16 features, this multiplied by 2 (*fprivate* and *fpublic*), minus 1 because the last feature only has *fprivate* as a possibility, gives 31 as the maximum number of possibilities that could be available for a declaration.

<sup>10</sup> The possibilities are: BASE *fprivate*, BASE *fpublic* and TOP *fprivate*

- Bin 2 contains declarations that had two possibilities.
- Bin 3 contains declarations that had three possibilities. This group had no reduction in their possibilities.

Possibilities bin	AVG	SD
1	23.1%	8.5%
2	28.3%	6.8%
3	27.8%	9.7%
4	28.7%	19.8%

Table 5.5: E1. Average of declarations % per Number of Possibilities' Bin.

Possibilities bin	AVG	SD
1	44.9%	27.6%
2	18.6%	11.6%
3	36.5%	24.5%

Table 5.6: E2. Average of declarations % per Number of Possibilities' Bin.

Possibilities bin	AVG	SD
1	7.6%	11.0%
2	19.0%	6.8%
3	74.5%	7.2%

Table 5.7: E3. Average of declarations % per Number of Possibilities' Bin.

Possibilities bin	AVG	SD
1	13.1%	13.0%
2	24.1%	5.9%
3	62.8%	8.8%

Table 5.8: E4. Average of declarations % per Number of Possibilities' Bin.

For E1 Experiments (Original), on average, over 70% of the declarations had a reduction in their possibilities. And over 20% of the declarations had at most a third of all possibilities available.

For E2 Experiments (Top Heavy), on average, over 60% of the declarations had a reduction in their possibilities. And over 44% of the declarations had one possibility available.

For E3 Experiments (Base Heavy), on average, around 25% of the declarations had a reduction in their possibilities. Only 7.6% of the declarations had one possibility available.

For E4 Experiments (Balanced), on average, around 27% of the declarations had a reduction in their possibilities. Only 13.1% of the declarations had a single possibility available.

Even though the number of possibilities are not linearly reducing as more facts are introduced,  $B^T_F$  does provide a reduction of the possibilities for at least 25% of the declarations, this in the worst case, and up to 70% in the best case.

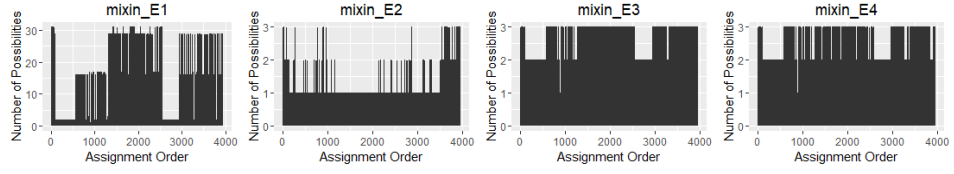
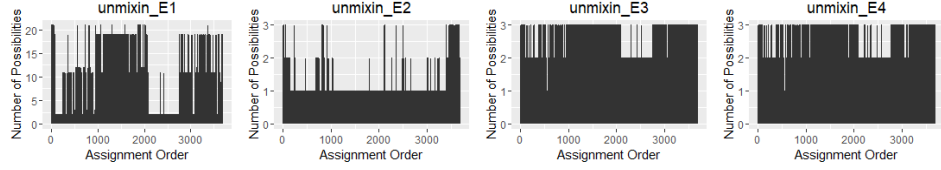
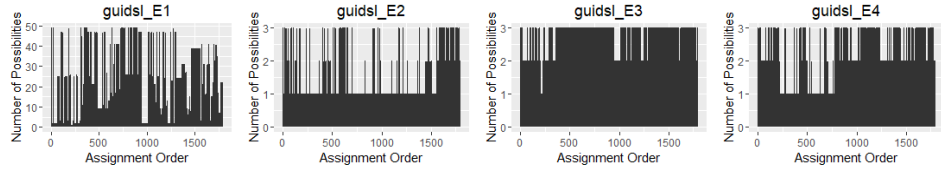
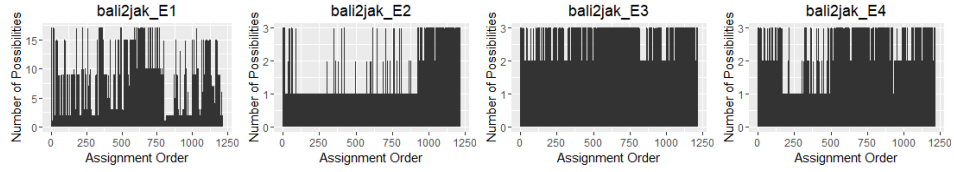
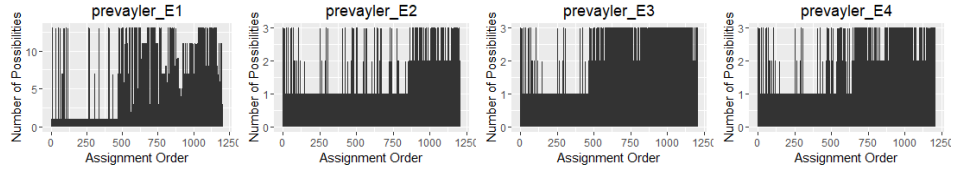
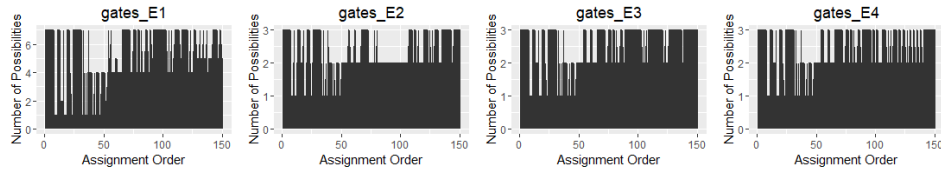
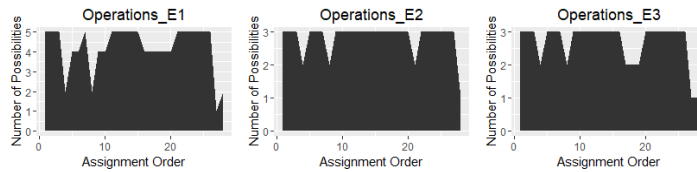
(a) Assignment Order vs. Number of Possibilities in `mixin` Experiments(b) Assignment Order vs. Number of Possibilities in `unmixin` Experiments(c) Assignment Order vs. Number of Possibilities in `guidsl` Experiments(d) Assignment Order vs. Number of Possibilities in `ball2jak` Experiments(e) Assignment Order vs. Number of Possibilities in `Prevayler` Experiments(f) Assignment Order vs. Number of Possibilities in `Gates` Experiments(g) Assignment Order vs. Number of Possibilities in `Operations` Experiments

Figure 5.2: Assignment Order vs. Number of Possibilities

## 5.4 Related Work

In this section we present three works that are related to  $B^T_F$ . We could not compare numerically these works' results with PT's results, for two reasons:

- They partially extract features from a program, instead of fully partitioning a program into features. Therefore, they have as a concern to measure how much of a feature's code was successfully located, whereas for  $B^T_F$ , every declaration is assigned to a feature, therefore, all code is successfully located.
- They support the process of assigning code to features, however they do not have formal automatic inferencing, as  $B^T_F$  has. Therefore they do not present inference rates, or an equivalent concept, that we can compare numerically to. Inference rate is the main metric that we use to measure  $B^T_F$ 's performance.

These related works use recall and precision as their success measures. In general, *recall* refers to the ability of locating relevant material given a topic; *precision* refers to how much of the relevant material obtained was correctly classified [97]. What is defined as *relevant* or as *correctly classified* depends on the evaluation approach taken in each of these related works. Never-the-less, using these definitions of recall and precision, we can assert that  $B^T_F$  has a 100% recall, and 100% precision.  $B^T_F$  has 100% recall because it finds all declarations of each feature (not just some of one feature).  $B^T_F$  also has 100% precision because it guarantees that each declaration is assigned to a correct feature. The following subsections present these related works in chronological order.

### 5.4.1 Valente-2012

Valente proposes and describes a semi-automatic approach to annotate the code of optional features [99]. This approach was developed to annotate Java programs. An *optional feature* is defined as "a feature that can be safely removed without disrupting the behavior of the core".

As with  $B^T_F$ , this approach requires of an expert to provide a set of initial facts, which they call *seeds*. These seeds behave as  $B^T_F$ 's *fprivate* facts.

Their approach is composed of two phases:

1. **Propagation.** Declarations that correspond to the seeds are annotated with the feature provided with the seed. Also, all the declarations that reference the seeds are annotated with the feature of the seed. However, they have defined a set of rules to limit the propagation of the seeds according to their declaration type. Their rules apply to these Java declaration types: package, class, interface, method, field, local variable and formal parameter. A similar behavior occurs with  $B^T_F$ 's *fprivate* facts, but  $B^T_F$  does not have such specific rules to limit propagation.

2. **Expansion.** Their algorithm checks whether a feature annotation can be expanded to its enclosing lexical context. *Lexical context* means the surrounding code of a code fragment that has been annotated. This algorithm consists of a loop where semiautomatic expansions are calculated and proposed to a user, a user can either accept these proposals or reject them. The rules used to determine semiautomatic expansions are as follows:

- **Rule E1.** The feature of a loop or conditional statement can be expanded to the statement's body.
- **Rule E2.** The feature used in the body of a loop or conditional statement can be expanded to the statement's expression, if this expression does not produce side effects. An expression has a *side effect* when it updates the program state.
- **Rule E3.** The feature used in an else statement, must be expanded to include its clause. Also, the feature used in the expression of a return must be expanded to include its full statement.
- **Rule E4.** The feature used in the body of a method must be expanded to its signature.
- **Rule E5.** The feature used by all members of a class must be expanded to the class declaration, and to any use of the class.
- **Rule E6.** The feature used in the left-hand side of an assignment must be expanded to include its right-hand side.

The role of this expansion phase, is similar to some inferences made by  $B_{TF}^T$ , but applied at a more granular level.  $B_{TF}^T$  does not support the assignment of parts of a method's body, or a field's assignment statement.

To evaluate their approach they used three SPLs. As we did with  $B_{TF}^T$ , they used programs that were SPLs to have a ground truth:

- Prevayler, they use the monolithic, non-SPL-based version of the program, which has 2974 lines of code<sup>11</sup>. They extracted 5 optional features<sup>12</sup>.
- JFreeChart, with 91174 lines of code. They extracted 3 optional features.
- ArgoUML, with 117983 lines of code. They extracted 4 optional features.

They measure recall and precision in their experiments. *Recall* measures whether their approach was able to identify all the code of a feature. *Precision* measures whether their approach was able to annotate all the relevant code of a feature.

They presented their results per extracted feature of each program, reproduced in Table 5.9.

<sup>11</sup> The version of Prevayler they used is different from the version we used to evaluate  $B_{TF}^T$ .

<sup>12</sup> Those are the same features we considered when evaluating  $B_{TF}^T$ , plus BASE and TOP



Feature	Precision	Recall
<b>Prevayler</b>		
Monitor	100%	100%
Censorship	100%	100%
Replication	100%	94%
Snapshot	100%	59%
GZip	100%	100%
<b>JFreeChart</b>		
Pie Charts	100%	99%
3D Charts	100%	99%
Statistical Charts	100%	99%
<b>ArgoUML</b>		
State Diagram	87%	88%
Activity Diagram	94%	96%
Design Critics	99%	96%
Logging	89%	97%

Table 5.9: Valente's results.

Their approach reached an average precision of 97%, and an average recall of 94%. With  $B_{TF}^T$  we have a precision of 100% and a recall of 100%, plus the inferences made by  $B_{TF}^T$ , which do not require user interaction.

### 5.4.2 ICFL-2013

Peng et al propose an "Iterative Context-aware approach to automatic Feature Location (ICFL)" [76]. This work is related to ours on the topic of mapping a set of declarations to features of a feature model. ICFL measures how likely is the mapping between a feature and a set of declarations based on their structural and lexical similarity. This work relies on *Information Retrieval (IR)*, which is a field of study that given a search query, finds data that is related or relevant in a large collection of unstructured data sources, for example, text files [20].

*Structural similarity* measures how much of a feature model structure can be mapped to a program's declarations. By *feature model structure* they refer to the dependencies, containments, and interactions<sup>13</sup> among features in a feature model. Their goal is to map a feature F, which has interactions and dependencies with other features, to a part of a program, that resembles these same interactions and dependencies with other parts of the program. In turn, these other parts of the program would have to satisfy the structures of the features they are being mapped to.

*Lexical similarity* measures the similarity between a feature description and the source

<sup>13</sup> Feature F *depends* on feature G, if it requires of G. Feature F *contains* feature H if H is a subfeature of F. There is an *interaction* between feature F and feature M, if one of them modifies the other's behavior, or if they are alternative features (one or the other).

code of a declaration, this similarity is computed using IR techniques. A *feature description* is an attribute that ICFL captures, which contains a description about a feature.

ICFL is fed with already established feature-element mapping pairs, these are equivalent to the facts that  $B_{TF}^T$  obtains from an expert, then it fills in the remaining assignments. They evaluated their approach by performing experiments on two programs:

- Linux kernel is an open source operating system kernel written in C. It has 12 million lines of code and 700 features.
- DirectBank is a proprietary program provided by their industry partner. It has 30 thousand lines of code, and 71 features.

They measure recall and precision on their experiments. *Recall* measures the ability to identify declarations that should belong to a feature of a feature model, for all features. *Precision* measures if a declaration was assigned to the right feature.

Their results show a high recall and low precision. For DirectBank, the best precision obtained was 58% with a recall of 91%. This means they identified 91% of the declarations that should be mapped to the features of a feature model, and from those declarations, they mapped 58% of them to a correct feature. For Linux kernel the best precision obtained was 29% with a recall of 66%.

They start with the premise that not all of a program's declarations necessarily belong to a feature.<sup>14</sup> We, on the contrary, partition a program and assign every declaration to a feature. Therefore,  $B_{TF}^T$ 's recall is 100%.

For  $B_{TF}^T$ 's evaluation, all declarations were assigned to a correct feature, therefore our precision is always 100%. What is there to measure for  $B_{TF}^T$  is its inference rate. The number of facts that ICFL receives, or how they impact the rest of the declarations assignment, is not stated, therefore we cannot numerically compare their results with ours.

### 5.4.3 LEADT-2014

Kästner, Dreiling, and Ostermann present LEADT (Location, Expansion, And Documentation Tool) [48]. LEADT is a semiautomatic tool for extracting features from a legacy Java program. LEADT works by extracting a *feature at a time*.

The use of LEADT requires an expert to provide a feature model and initial *seeds*, which are equivalent to the facts provided to  $B_{TF}^T$ . However, LEADT receives a single seed per feature. LEADT supports the location of code fragments of a feature, by recommending probable code fragments from the legacy Java program. They describe it as guiding a user into looking in the right location to find *all* fragments of a feature.

LEADT is therefore a recommendation system. It recommends code fragments that are likely to belong to the searched feature. It relies on three recommendations mechanisms:

<sup>14</sup> In effect, their BASE is not considered a feature.

- **Type System.** This is their key recommendation mechanism. It looks up references within the source code. A *reference* represents a relationship between two code fragments, it has a source and a target, for example a method invocation is the source, whereas this same method's declaration is the target. If the target  $t$  of a reference is annotated with a feature  $F$ , but the source  $s$  of that same reference has not been annotated with  $F$ , their Type System would issue a prioritized recommendation to annotate  $s$  with  $F$ .
- **Topology Analysis.** As we did with  $B_{TF}^T$ , they also produce a graph to represent a program's declarations and their relationships. As  $B_{TF}^T$ 's CRG, LEADT's graph also is created using *Abstract Syntax Trees (ASTs)* provided by Eclipse. They adapted Robillard's topology analysis [85] to follow their graph representation of a program and produce a ranked list of code fragments that are likely to belong to the searched feature. To produce these ranks they use the metrics specificity and reinforcement. *Specificity* ranks higher those declarations that refer to (or are referred from) only a single declaration, and ranks lower those declarations that refer to (or are referred from) many elements. *Reinforcement* ranks higher those declarations that refer to (or are referred from) many already annotated declarations, this based on the idea that they are probably part of a cluster of feature code.
- **Text Comparison.** They implemented their own text comparison mechanism to determine the similarity of a feature's vocabulary and a declaration's vocabulary. A *declaration's vocabulary* is obtained by tokenizing all the words contained in a declaration's name. A *feature's vocabulary* is composed of all of its declarations' vocabularies. For example, if many declarations of feature  $F$  contain in their names the word *lock*, then their text comparison recommendation system would recommend other code fragments that contain that word.

For each declaration, LEADT obtains an overall recommendation priority  $w$  by merging the recommendations obtained with its three recommendation mechanisms. LEADT produces a list of declarations ordered by  $w$ . This list shows, in order of recommendation, the declarations that are more likely to belong to the searched feature. Every time a user accepts a recommendation to add a declaration to the searched feature, LEADT recalculates its recommendation list.

To evaluate LEADT, four SPLs were used. As we did with  $B_{TF}^T$ , they used programs that were SPLs to have a ground truth:

- Prevayler, with 8009 lines of code and 5 features<sup>15</sup>.
- MobileM, with 4653 lines of code and 7 features.
- Lampiro, with 44584 lines of code and 10 features, although for LEADT evaluation they searched only for 2 features.

<sup>15</sup> We also used Prevayler to evaluate  $B_{TF}^T$ . The version we found of Prevayler had slightly less lines of code. The feature model that we used to partition Prevayler had 7 features, the original 5 features, plus BASE and TOP.

- Sudoku, with 1975 lines of code, and 5 features.

They measure recall and precision in their experiments. *Recall* measures which percentage of all the code of a feature was located. For example, if a feature had 10 declarations, and they found 9, their recall would be 90%. *Precision* measures which percentage of the recommendations was correct. LEADT overall results are an average recall of 97%, this means 97% of all code per feature was located; and an average precision of 42%, which means that 42% of all the recommendations given were correct.  $B_{TF}^T$  fully partitions a program into features, which means our recall is 100%. And as mentioned before, our precision is also 100%.

LEADT shares with  $B_{TF}^T$  the use of Eclipse's ASTs to produce a graph representation of a program in question, and also the use of facts provided by an expert.

LEADT supports a user's decision to add or not a declaration to a feature.  $B_{TF}^T$  not only guides a user in assigning every declaration to a feature, it reduces a user's work by inferring assignments.

# Chapter 6

## B<sub>T</sub>F Refactoring a Program into a Framework and Plug-in

### 6.1 Introduction

We use the term *framework* to denote an *Object Oriented* (OO) application framework, which is a reusable and semi-complete application that can be specialized to produce custom applications. Frameworks are targeted to specific application domains [37].

Frameworks are a popular and effective software reuse technique. Some examples of well-known or currently popular application frameworks are: .NET<sup>1</sup>, Spring<sup>2</sup> and Java AWT<sup>3</sup>. Creating a framework requires extensive understanding of the application domain where it would be applied, and intensive design experience writing programs in this domain.

Frameworks can be classified according to the technique used to extend them. They range from purely *white-box* to *black-box*. A *white-box* framework relies heavily on OO inheritance and other OO features. To extend a white-box framework, classes from the framework need to be inherited and extended, and methods that serve as hooks in the framework, have to be overridden. A *black-box* framework supports extensibility by defining interfaces that allow to plug components into the framework using object composition [37].

Designing and implementing a framework is a non-trivial task. Most of the challenges in creating an application framework are related to determine how generic a framework should be. The more generic a framework, the more work is required to implement an extension. The more concrete a framework, the less work is required to implement an extension [10]. And perhaps it would require more maintenance to keep adapting it to possible

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<sup>1</sup> .NET is a framework for developing applications that leverage Microsoft technologies and services, <https://www.microsoft.com/net/>.

<sup>2</sup> Spring is a framework for enterprise application development based on Java, <http://projects.spring.io/spring-framework/>.

<sup>3</sup> Java AWT is a framework for creating user interfaces for Java programs, <https://docs.oracle.com/javase/9/docs/api/java/awt/package-summary.html>.

changes in its domain [15].

We used  $B_{TF}$  as a support tool to partition Java programs into a white-box framework and a plug-in. We use the term *plug-in* to refer to a framework extension. At a first glance, partitioning a program into a framework and plug-in is the same than partitioning it into two features: FRAMEWORK and PLUGIN. However, to have a program transformed into a framework and a plug-in requires structural changes: *it requires the separation of framework code from plug-in code*. Examples of structural changes are: move a class from a package to another, modify a declaration's modifiers, and so on.

These structural changes impose new constraints that are not present when partitioning a program into a SPL. The transformation of a program into a SPL can be made via annotations, which are not as invasive as the changes required to transform a program into a framework and plug-in. Given this,  $B_{TF}$  needed to consider the constraints imposed by a structural transformation of a program into a framework and plug-in.

***This is the first work that automates almost all tasks in the partitioning of Java program into framework and plug-in.*** The following sections present how this was achieved, the constraints that we needed to take into consideration, and the obtained results.

## 6.2 Java Constraints for Framework and Plug-in Refactoring

We discovered four constraints when partitioning and transforming Java programs into a framework and plug-in. They were added to  $B_{TF}$  as rules and are explained next.

### C1 Superclasses cannot be partially in the framework and in the plug-in.

A *subclass*, or non-terminal class, is a class that is extended by at least another class in the program<sup>4</sup>. Please observe the class diagram in Figure 6.1a. It contains two classes A and B. A has two members, field *d* and method *m*. B has three members, field *f*, and methods *m* and *p*. B extends A, therefore A is a superclass. Let say a user makes the following assignments:

- A.*d* is assigned to FRAMEWORK.
- A.*m* is assigned to PLUGIN.
- B.*f* is assigned to FRAMEWORK.
- B.*m* is assigned to FRAMEWORK.
- B.*p* is assigned to PLUGIN.

Given these assignments, both classes A and B are partially in the framework and in the plug-in. A class in this situation is transformed into a framework and plug-in

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<sup>4</sup> This also applies to Java interfaces.

structure by following these general steps<sup>5</sup>, we use class A to explain these steps:

- (a) An abstract class A is created in the framework package, call it fw.A.
- (b) The original class A is moved to the plug-in package, call it pl.A.
- (c) Class pl.A extends class fw.A.
- (d) The members of A that were assigned to the framework are pulled-up into fw.A, in this case this member is field d, and all other members remain in pl.A.

When the previous steps are applied to A and B, we get the result of Figure 6.1b. Since A is a superclass that got partially assigned to the framework and the plug-in, it caused an undesired dependency: fw.B extends pl.A — that is, a framework class extends a plug-in class. The framework cannot have such a dependency in an extension. Therefore, to prevent this situation, a superclass has to either fully belong to the framework or to the plug-in, it cannot be partially in both. We implemented this as a rule in  $B^T_F$  when partitioning a program into framework and plug-in.

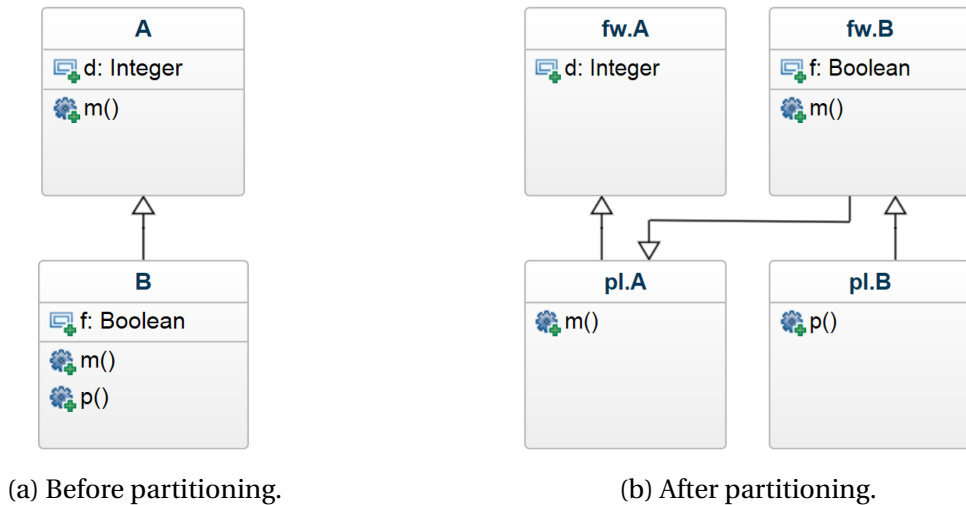


Figure 6.1: C1. Problem with partitioning superclasses.

## C2 Annotations and Enums cannot be partially in the framework and in the plug-in.

A Java annotation is a form of metadata. It provides data about a declaration or a code segment [70]. A Java enum is a data type that represents a fixed set of constants [69]. As mentioned in C1, when a class C is partially in the framework and in the plug-in, it has to be divided into two classes: an abstract counterpart in the framework fw.C, and another class in the plug-in pl.C, which extends fw.C. Java annotations and enums do not support extends, therefore, they have to either fully belong to the framework or to the plug-in, they cannot be partially in both. We implemented this as a rule in  $B^T_F$  when partitioning a program into framework and plug-in<sup>6</sup>.

<sup>5</sup> Section 6.5.2 provides full details about the rules and process for transforming a program into framework and plug-in.

<sup>6</sup> For SPLs, features are denoted via Java annotations, therefore this constraint does not apply for SPL partitioning of enums and annotations.

**C3 Static methods cannot be hooks.**

Java `static` is a modifier. When it is applied to a method, the method can be invoked without the need of creating an instance of its declaring class [71].

If  $B_{TF}$  identifies method  $m$  from class  $C$  as hook<sup>7</sup>, then it would be transformed into a framework and plug-in structure by following these steps:

- (a) An abstract method  $m$  is created in the framework counterpart of  $C$ , this method is now `fw.C.m`. This new method has the same signature than original  $m$  plus an abstract modifier.
- (b) Method  $m$  in plug-in counterpart of  $C$ , `pl.C.m`, overrides `fw.C.m`.
- (c) The original implementation of  $m$  is now contained in `pl.C.m`<sup>8</sup>.

It is not possible in Java to have a method with both `static` and `abstract` modifiers, therefore, `static` methods cannot be hooks and cannot be transformed accordingly. To handle this situation, if a `static` method  $m$  is assigned to the FRAMEWORK feature,  $B_{TF}$  infers that all the declarations that  $m$  references should also belong to the FRAMEWORK.

**C4 Methods of non-terminal classes in the FRAMEWORK cannot be hooks.**

A hook method forces a class to be partially in the framework and in the plug-in. If method  $m$  of class  $C$  is a hook, it has to have an abstract signature in  $C$ 's framework counterpart `fw.C`, while  $C$ 's plug-in counterpart `pl.C` contains  $m$  original implementation.

Because of constraint **C1**, a superclass or non-terminal class in the framework cannot be partially in the framework and in the plug-in, therefore, if superclass  $C$  is assigned to the FRAMEWORK feature, and one of its members method  $m$  is identified by  $B_{TF}$  as a hook, then  $B_{TF}$  infers that all the declarations that  $m$  references should also belong to the FRAMEWORK.

## 6.3 Experimental Setup

To evaluate  $B_{TF}$  as a support tool to partition a Java legacy program into a framework and a plug-in, we selected programs that were known in framework and design pattern research community.

We selected six Java programs to evaluate  $B_{TF}$ . Four were taken from Schmidt's LiveLessons course [91]<sup>9</sup>. The other two were taken from Batory's undergrad course on Software Design

<sup>7</sup> A hook is a method that references declarations introduced in a later feature. In this scenario we have only two features FRAMEWORK and PLUGIN, therefore all hooks would be in FRAMEWORK.

<sup>8</sup> A manual task after  $B_{TF}$  is done is for a programmer to decide what fraction of each concrete hook method is to be moved into the framework.

<sup>9</sup> The four programs we used are used in his undergrad course of Patterns and Frameworks.



[7]: Gates<sup>10</sup>, and Calc. We used Gates earlier to evaluate  $B_{TF}$  on partitioning a program into a SPL. Calc is a small calculator program that was designed to be partitioned into framework and plug-in.

Table 6.1 lists the programs selected for  $B_{TF}$  evaluation<sup>11</sup>. Programs are sorted by LOC (Lines of Code)<sup>12</sup>. # of Declarations refers to the number of declarations found in a program's CRG. # of Packages and # of Classes refer to the count of these type of declarations.

Program	LOC	# of Declarations	# of Packages	# of Classes
ExpressionTree	4793	555	1	84
ImageStreamGangApp	2630	211	3	36
ImageTaskGangApplication	2457	232	1	33
Gates	1235	141	5	19
SearchTaskGang	1058	91	1	12
Calc	476	66	3	5

Table 6.1: Java programs to validate  $B_{TF}$  partitioning into Framework and Plug-in.

## 6.4 Research Questions

For the scenario of partitioning a program into a framework and plug-in, we formulated two research questions. The first is related to  $B_{TF}$ 's inference rate and the second evaluates the possibility of automatically transforming a program into framework and plug-in using the assignments obtained with  $B_{TF}$ .

**RQ1** Is  $B_{TF}$ 's inference rate better for framework and plug-in partitioning, than for the best scenario in SPL partitioning?

This question compares the inference rate obtained with framework and plug-in partitioning, versus the best scenario obtained with SPL partitioning. The best scenario would be experiments E2, for which all programs were partitioned using a two features feature model: BASE and TOP, with a top heavy configuration<sup>13</sup>.

**RQ2** Is it possible to automatically transform a Java program into a framework and plug-in structure?

With *framework and plug-in structure*, we refer to the separation of a framework's code fragments from a plug-in's code fragments.

<sup>10</sup> We used a version of Gates that had no annotations.

<sup>11</sup> The source code for these programs is available in <https://github.com/priangulo/BttFTestProjects>

<sup>12</sup> We used JavaLOC tool [24] to obtain the number of lines of code.

<sup>13</sup> Top heavy means most of the declarations were assigned to the TOP feature.

## 6.5 Results

To partition the programs listed in Section 6.2 into framework and plug-in, we became familiar with their code, classes hierarchies and declarations dependencies. We aimed for a *top heavy framework*, we tried to assign most of the declarations to FRAMEWORK feature<sup>14</sup>.

For the programs we took from LiveLessons [91], we defined which part of the source code should go to the framework and which to the plug-in, based on:

- The class diagrams, which served as a footprint to *draw a line* among the classes and identify which functionality could be core and therefore part of the framework.
- Comments and naming conventions were useful to identify framework and extension code segments.

In the case of Gates, it originally has four features: BASE, TABLE, CONSTRAINT and EVAL. We comprised BASE and TABLE in the framework, and the rest on plug-in. For Calc, which is a small program, we used its class hierarchy to define what should belong to framework, and what to plug-in.

Table 6.2 contains general information about the partitioning results obtained with each program. The fields of this table are:

- Program is the name of the program.
- FN is the number of declarations assigned to FRAMEWORK feature.
- PN is the number of declarations assigned to PLUGIN feature.
- FP is the number of `fprivate` facts provided by an expert.
- FP% is the percentage of FP,

$$FP\% = \frac{\# \text{ of } f\text{private facts}}{\# \text{ of program declarations}} \cdot 100 \quad (6.1)$$

- I is the overall number of declarations that were inferred. The types of inferences  $B^T_F$  makes in this scenario are mentioned in later bullet points.
- I% is the percentage of declarations inferred by  $B^T_F$ ,

$$I\% = \frac{\# \text{ of } B^T_F \text{ inferences}}{\# \text{ of program declarations}} \cdot 100 \quad (6.2)$$

- IF is the number of declarations inferred because they reference a `fprivate` method or field.

<sup>14</sup> FRAMEWORK feature is equivalent to BASE feature in SPL partitioning.

- IC is the number of declarations inferred because they belong to a `fprivate` container.
- IL is the number of declarations that were assigned `fprivate` because they belong to the last feature in the feature model.
- IO is the number of declarations inferred because they had only one feature in bounds.
- IN is the number of declarations inferred because they have no references other than to its container.
- NT is the number of declarations that belong to a non-terminal class and  $B_{TF}^T$  overrode their assignments, because their declaring class cannot be partitioned so some of their members are in the framework, and the rest in the plug-in<sup>15, 16</sup>.
- AE is the number of declarations that belong to a Java annotation, or a Java enum. These types cannot have some members in the framework and the rest on the plug-in<sup>17, 18</sup>.
- SM is the number of declarations that are referenced by a static method of a framework class. Since this method cannot be a hook all the declarations it references must belong to the framework too<sup>19</sup>.
- NM is the number of declarations that are referenced by a method of a non-terminal framework class. Since this method cannot be a hook all the declarations it references must belong to the framework too<sup>20</sup>.
- H is the number of identified hook methods.

Program	FN	PN	FP	FP%	I%	I	IF	IC	IL	IO	IN	NT	AE	SM	NM	H
ExpressionTree	372	183	1	0.2%	32.9%	183	0	0	154	9	0	0	0	13	7	0
ImageStreamGangApp	152	59	0	0.0%	34.1%	72	0	0	45	1	0	0	6	17	3	0
ImageTaskGangApplication	103	129	0	0.0%	48.7%	113	0	0	107	1	0	0	0	0	5	0
Gates	107	34	0	0.0%	44.0%	62	0	0	28	1	0	0	0	29	4	1
SearchTaskGang	79	12	14	15.4%	44.0%	40	6	21	10	0	0	0	0	0	3	0
Calc	32	34	0	0.0%	33.3%	22	7	0	15	0	0	0	0	0	0	9

Table 6.2: Java Framework and Plug-in partitioning results.

<sup>15</sup> For more details please see Section 6.2.<sup>16</sup> If a user's original assignment did not go against this rule,  $B_{TF}^T$  does not override it, and therefore it does not count as NT.<sup>17</sup> For more details about this rule please see Section 6.2.<sup>18</sup> If a user's original assignment did not go against this rule,  $B_{TF}^T$  does not override it, and therefore it does not count as AE.<sup>19</sup> For more details about this rule please see Section 6.2.<sup>20</sup> For more details about this rule please see Section 6.2.

### 6.5.1 RQ1. Is $B_{TF}^T$ 's inference rate better for framework and plug-in partitioning than for the best scenario in SPL partitioning?

The highest inference rate obtained when evaluating  $B_{TF}^T$  on SPL partitioning, was with E2 experiments<sup>21</sup>. These experiments were performed with a feature model containing two features: BASE and TOP. Most of the declarations were assigned to the TOP feature, we refer to E2 experiments as *top heavy*.

This research question compares the inference rate obtained with framework and plug-in partitioning (FWPL-results), versus the inference rate obtained with E2 experiments (SPL-E2-results). For framework and plug-in partitioning we aimed for a *top heavy framework* configuration, most of the declarations assigned to FRAMEWORK feature<sup>22</sup>. This would be equivalent to a *base heavy* configuration, which is the opposite from what we had in E2 experiments. However, for framework and plug-in partitioning we had additional constraints that could increase the number of inferences, therefore, this research question.

To compare FWPL-results with SPL-E2-results, we need to perform a hypothesis test. A simple comparison of results' means is not enough, as the results come from different experiments. *Hypothesis testing* is a "decision-making process for evaluating claims about a population", this claims are usually related to statistical means and proportions about a population. A hypothesis test uses data obtained from a sample to make a decision about whether a claim can be accepted or rejected [14, p. 400–430]. In this case we are claiming that FWPL-results are better than SPL-E2-results.

The formula to use on hypothesis testing depends on the attributes of the data that we have as a result from our experiments. We have two samples<sup>23</sup>, one that represents FWPL-results, and another that represents SPL-E2-results.

Our samples are considered small, for FWPL-results we have six values, and for SPL-E2-results, seven. Given this, we need to use *t-test* as our statistical test [27, p.357, 358]. We compare the means of both samples. And our claim is that FWPL-results mean is greater than SPL-E2-results mean. The formal enunciation is:

$$\begin{aligned} H_0 : \mu &= 64.67, && \text{results obtained with SPL E2 experiments} \\ H_a : \mu &> 64.67, && \text{claim based on results obtained with FWPL} \end{aligned}$$

To perform this hypothesis test we used R-Project, which is an environment for statistical computing [81]. The function we used is `t.test` from R's core package `stats` [82]. We provided this function with the values for  $H_0$  (SPL-E2-results) and  $H_a$  (FWPL-results), and specified that we were conducting a *greater* comparison, which evaluates if  $H_a$ 's mean  $\mu$  is

<sup>21</sup> For more details please see Section 5.3.

<sup>22</sup> In Table 6.2 note that for all the programs we used in this evaluation, the number of declarations assigned to FRAMEWORK (FN field) is greater than the number of declarations assigned to PLUGIN (PN field); except for `ImageTaskGangApplication`, which the source code contained several plug-ins, which we comprised in PLUGIN feature, therefore, in this case PLUGIN was larger than FRAMEWORK.

<sup>23</sup> We say samples, because we did not conduct exhaustive experimentation, which would imply testing with every existing Java program, which is impossible.

greater than  $H_0$ 's mean  $\mu$ . By default, this test is performed with a confidence value ( $\alpha$ ) of 0.05%. This means that we have 95% certainty over the decision we make about rejecting (or not) our  $H_0$  hypothesis.

The result obtained was:

$$p\text{-value} = \mathbf{0.991} \quad (6.3)$$

The *p-value* (probability value) is the probability of obtaining  $H_a$  results when  $H_0$  is true. In this case, it is the probability of obtaining the mean stated in  $H_a$  when the true population mean is the one stated in  $H_0$ . P-value measures the probability of  $H_a$  results being sufficiently evident and consistently better to disprove the results obtained with  $H_0$ . To interpret a p-value we follow these rules [14, p. 418]:

$$\begin{aligned} p\text{-value} < \alpha, & \quad \text{reject } H_0 \\ p\text{-value} \geq \alpha, & \quad \text{do not reject } H_0 \end{aligned}$$

Since our p-value 0.991 is greater than our confidence value  $\alpha$  0.05, we cannot reject  $H_0$ . This means that **we do not have enough evidence to state that the results obtained with FWPL-results are better than the results obtained with SPL-E2-results.**

**Are they equivalently good?** This means, are our FWPL-results as good as the one obtained with SPL-E2-results? This question can be answered by performing another hypothesis test, with the following theorems:

$$\begin{aligned} H_0 : \mu &= 64.67, & \text{this is the claim, we want to assert this remains true} \\ H_a : \mu &\neq 64.67 \end{aligned}$$

We again perform this hypothesis test using R-Project. We use the same function `t.test` from `stats` package [82]. We provided the same values for  $H_0$  (SPL-E2-results) and  $H_a$  (FWPL-results), but now, we specified that we were conducting a *two-sided* comparison, which evaluates if  $H_a$ 's mean  $\mu$  is *different* than  $H_0$ 's mean  $\mu$ . By default, this test is performed with a confidence value ( $\alpha$ ) of 0.05%. This means that we have 95% certainty over the decision we make about rejecting (or not) our  $H_0$  hypothesis.

The result obtained was:

$$p\text{-value} = \mathbf{0.017} \quad (6.4)$$

Since our p-value 0.017 is less than our confidence value  $\alpha$  0.05, we have evidence to reject  $H_0$ . This means that **we do not have enough evidence to state that the results obtained with FWPL-results are as good as the results obtained with SPL-E2-results.**

Despite the constraints imposed on framework and plug-in partitioning, the inference rate obtained is not better than the inference rate obtained with E2 experiments on SPL partitioning. This shows clear evidence that the size of the last feature (TOP or PLUGIN) is the main contributor to  $B_{TF}^T$ 's inference rate, and the constraints imposed on framework and plug-in partitioning did not produce a considerable improvement over a *top heavy frame-*

*work*<sup>24</sup> configuration, to make it as good as the results obtained with a *heavy top* configuration, as the one had with SPL E2 experiments.

These hypothesis tests could be performed again with a larger sample, more programs. A larger set of experiments would allow to have a more accurate perspective. However, these results provide an indication that the size of the last feature (TOP or PLUGIN) most directly affects  $B^T_F$ 's inference rate.

### 6.5.2 RQ2. Is it possible to automatically transform a Java program into a framework and plug-in structure?

With *framework and plug-in structure*, we refer to the separation of a framework's code fragments from a plug-in's code fragments. In this case we are separating them into different Java packages. The purpose of this research question was to find and implement an automated process to separate a program into a framework and a plug-in, ensuring that the framework has no dependencies towards the plug-in source code, and that it compiles.

It is possible to use tools to modify the source code of a program. For Java programs, Eclipse offers automated refactorings. A *refactoring* is "the process of changing the structure of software while preserving its external behavior" [62]. These refactoring operations range from simple ones, as renaming a declaration, to more complex ones, like extracting an interface from a class<sup>25</sup>. However, to achieve our desired results, we needed to perform a large number of refactoring operations, systematically, for which Eclipse provides no tool that could achieve this.

The R3 Java refactoring engine, developed by Kim et al, addresses this need of invoking refactorings programmatically [51]. We collaborated with R3 creators to develop a script and the required set of operations that would allow to transform a Java program into a framework and plug-in structure. With  $B^T_F$  we obtained a road map of the structural changes that needed be performed on a program, and through R3 we executed those transformations. A description of the resulting implementation is explained next, among with the results obtained from it.

#### Transforming a Java program into framework and plug-in using R3

R3 can execute refactoring scripts, where a script is a Java method. A developer can specify a set of refactoring operations to be applied to a program's source code, and R3 performs them without affecting the integrity of the source code. This is achieved by R3 through the creation of an internal database that reflects a Java program's contents [51]:

- Program declarations (i.e. classes, methods, fields), their

<sup>24</sup> Equivalent to *heavy base* in SPL partitioning.

<sup>25</sup> For a full list of available Eclipse refactoring operations see [32].

- Containment relationships, and
- Java language features such as inheritance and modifiers.

In order to use R3 as our transformation engine and apply the set of assignments discovered with  $B^T_F$ , we created an R3 script that could perform the steps required for this transformation. In this case, R3 was used as a transformation engine and not a refactoring engine, to see the differences please see Appendix A. The code of this script can be found in Appendix B; *it is an order of magnitude larger than any prior R3 script*. As a reader could observe, the script is essentially a (big) method in the Java language. Our script is a Java program which makes use of R3's API. The steps performed on this script are described next:

### Step 1. Packages.

We create framework package `fw`, and rename original package to `plp`. The original packages is now plug-in package.

### Step 2. Factories.

We create an empty framework abstract factory class `fw.factory`, and an empty plug-in concrete factory class `plp.factory`, where `plp.factory` extends `fw.factory`.

### Step 3. Populate framework.

We populate `fw` with the classes and other types that were assigned to it. We do as follows depending on the type:

**3a** A concrete class in the framework cannot invoke a constructor of an abstract class in the framework, the Java compiler will throw an error, therefore, we need to make this class abstract. See Step 4 later.

**3b** A class `C` with only some members that belong to the framework:

- Create an abstract class in the framework package, `fw.C`.
- Extend the new abstract class `fw.C` with the current extends of the concrete class `plp.C`.
- Make the concrete class `plp.C` extend the new abstract class `fw.C`.

**3c** An interface `I` with only some members that belong to the framework:

- Create an interface in the framework package, `fw.I`.
- Extend the new interface `fw.I` with the current extends of the original interface `plp.I`.
- Make the original interface `plp.I` extend the new interface `fw.I`.

### Step 4. Lift constructor calls.

Replace constructor calls with calls to local factories. We apply this to members of types

---

```

1 class A {
2   void m(Y b){
3     new Z(4); //constructor call
4     new Y();  //constructor call
5   }
6 }

```

---

(a)

---

```

1 class A {
2   void m(Y b){
3     new Factory().newZ(4); //factory call
4     new Factory().newY();  //factory call
5   }
6 }
7
8 class Factory {
9   public Z newZ(int x){
10    return new Z(x);
11  }
12  public Y newY(){
13    return new Y();
14  }
15 }

```

---

(b)

Figure 6.2: Lift constructor calls.

that entirely or partially belong to the framework. In every member  $m$  of a class, replace every constructor call to abstract framework classes, with calls to local factory methods.

Consider method  $m$  of class  $A$  in Figure 6.2a. This method has two constructor calls:  $Z(int)$  and  $Y()$ . In this case  $Z$  and  $Y$  are framework abstract classes, therefore, we create a factory class  $Factory$ , which contains the factories that would replace the direct constructor calls.  $Factory$  is shown in Figure 6.2b along with the rewritten method  $m$ , which no longer calls directly constructors  $Z(int)$  and  $Y()$ , but their factories.

We do not apply this to methods identified by  $B_{TF}^T$  as hooks because programmers need to refactor them manually. A  $B_{TF}^T$  hook is a method  $m$  that is to undergo a manual *template method* refactoring, where a template (framework-bound) method is factored out of  $m$  plus a set of hook methods which are to remain in the plug-in and have abstract method counterparts in the framework.

To exemplify why we do not automatically refactor  $B_{TF}^T$ 's hooks, please observe Figure 6.3, method  $m$  of class  $C$  belongs to FRAMEWORK and it is a hook, it references method `print_value1` from class  $X$  (line 4) that belongs to PLUGIN. These facts can be detected by  $B_{TF}^T$ , however, line 8 also belongs to PLUGIN, but since this line does not reference other declaration, there is no way for  $B_{TF}^T$  to automatically detect this. Cases like this show that template method refactoring requires a manual intervention to refactor it correctly.

### Step 5. Pull classes and interfaces apart.

We move to the framework the declarations that belong to it:

**5a** If an entire type belongs to framework, we move it to `fw`.

**5b** Pull apart a class or interface that partially belongs to the framework. Do as follows with its members:



---

```

1 public class C{
2   public void m(int val){
3     if(val > 2){
4       System.out.println("Ext1: " + X.print_value1(val)); //PLUGIN
5     }
6     if(val > 5){
7       val = val + 2;
8       System.out.println("Ext2:" + (val + 3)); //PLUGIN
9     }
10  }
11 }
12
13 public class X {
14   static int print_value1(int val){
15     return val + 1;
16   }
17 }

```

---

Figure 6.3: Problem refactoring a hook method.

- (a) For a method  $m$  identified by  $B_{TF}$  as hook, create an abstract method in the framework. The original code of  $m$  remains in the plug-in. A developer must decide later how to restructure its contents. Figure 6.4a shows method  $m$ , which has been identified by  $B_{TF}$  as a hook. In Figure 6.4b  $m$  is rewritten. An abstract method  $m$  is now defined in the abstract framework counterpart of class  $A$ ,  $fwp.A$ . The original contents of  $m$  remain in concrete method  $plp.A.m$ .
- (b) For a public constructor, create a factory method in the concrete factory  $plp.factory$ . Lift the return and parameter types of this new factory method and create a corresponding abstract factory method in the framework abstract factory  $fwp.factory$ . Figure 6.5a shows a public constructor  $A.A$ , class  $A$  belongs to the framework, therefore, a factory needs to be created for  $A.A$ . Figure 6.5b shows both framework factory class  $fwp.Factory$ , and plug-in factory class  $plp.Factory$ .  $fwp.Factory$  has an abstract factory method that returns an instance of  $A$ .  $plp.Factory$  has a concrete factory method that creates an instance of  $A$ . Both the concrete and the abstract factories have their return and parameter types lifted: they specifically return  $fwp.A$ , and expect  $fwp.X$  as parameter.
- (c) For the rest of a class or interface members, that belong to the framework, lift the types they reference and promote them to their corresponding framework abstract class or interface.

Modifying the code that would correspond to an application calling a framework and plug-in, was out of the scope of this partitioning and refactoring process. The code that would correspond to the application part was comprised in the plug-in, and was no subject to application refactoring [10].

We applied this refactoring script to the six programs we used for evaluation. The changes

---

```

1 class A {
2   void m(int value){ //m is a hook
3     if (value == 2) {
4       ...
5     }
6   }
7 }

```

---

(a)

---

```

1 package fwp;
2 abstract class A {
3   abstract void m(int value);
4 }
5
6 package plp;
7 class A extends fwp.A {
8   void m(int value){
9     if (value == 2) {
10       ...
11     }
12   }
13 }

```

---

(b)

Figure 6.4: Framework+Plugin structure of a hook method.

---

```

1 package fwp;
2 class A {
3   public A(X x){
4     ...
5   }
6 }

```

---

(a)

---

```

1 package fwp;
2 abstract class Factory {
3   abstract public fwp.A newA(fwp.X x);
4 }
5
6 package plp;
7 class Factory extends fwp.Factory {
8   public fwp.A newA(fwp.X x){
9     ...
10   }
11 }

```

---

(b)

Figure 6.5: Framework+Plugin structure for a public constructor.

these programs underwent can be observed in their class diagrams, which are in Appendix C<sup>26</sup>, for every program we have a class diagram that shows its original structure and another class diagram that shows the new structure obtained after applying our R3 framework and plug-in transformation script.

We successfully applied our transformation script to all six evaluation programs. To verify these programs we ran regression tests:

- `ExpressionTree` program did not have regression tests implemented, we created seven regression tests, to verify each of the available operations.
- `ImageStreamGangApp`, `ImageTaskGangApplication` and `SearchTaskGang` programs had a test class implemented. The tests ran successfully before and after applying the transformations.
- `Gates` and `Calc` had JUnit[89] tests, which ran successfully before and after applying the transformations.

The execution time of this refactoring script is almost instantaneous, the maximum time observed was approximately 2 seconds. Performing these refactoring operations one a time until arriving to the desired structure is extraordinarily time consuming and error prone for even the smallest programs [51]. For a program of the size of `ExpressionTree`, it could easily take several days of person-work. Specifics about the increased speed seen by using refactoring scripts versus manually applying refactoring operations, can be seen in the work of Kim et al [51].

As an answer to this research question, we can assert that it is possible to automatically transform a Java program into a framework and plug-in structure.

## 6.6 Related Work

As mentioned earlier, this is the first work that nearly fully automates the tasks required to partition and restructure a Java program into framework and plug-in. Therefore, this section contains the cornerstone research done by Opdyke[68] and others, that provided with the means to make possible work like ours. Then we mention other research that aim to locate pieces of code that could be generalized.

### 6.6.1 Cornerstone Research

Twenty-five years ago (1992), William Opdyke completed the first thesis on OO refactorings [68]. The title of his thesis was “Refactoring Object Oriented Frameworks”. His research

<sup>26</sup> The original source code for these programs is available in <https://github.com/priangulo/BttFTestProjects>. The refactored code resulting of applying our transformation script can be found in <https://github.com/priangulo/BttFResults/tree/master/FWPl>

compiled a list of refactorings that were used in refactoring (Smalltalk) frameworks in the Choices operating system, largely to pull them apart into more manageable modules.

Opdyke introduced the concept of 'refactorings': automatic, semantics preserving program transformations. His thesis did not include a refactoring tool that would automate the refactorings he identified. Therefore, there was no script, and no analysis, by which declarations of Smalltalk programs would be assigned to a framework or to a plug-in. There was no automatic tool to partition a legacy program into a framework or plug-in.

It may come as a surprise that the first really useful refactoring engines appeared in the early 2000s; Eclipse version 1.0 included these refactoring operations: `extract method`, `rename`, and `move` [39, 106]. Eclipse matured over the next 15 years, but still there was no mainstream refactoring engine (such as Eclipse) that supported refactoring scripts. There had been many attempts previously (see [49] for a detailed history), but the first tool that could be used by common programmers or undergraduate students was R2 in 2015 [49]. In that paper, it was shown that many design patterns simply could not be fully implemented by Eclipse refactorings, which were never designed to be called programmatically in scripts. The basic problems were:

- lack of separation of concerns (move method refactorings were coupled with optimizations that removed unneeded parameters – but creating visitors required no such optimizations be invoked);
- the need for more general refactorings (the Eclipse inline refactoring was found to be too restrictive to be useful in scripts, and Eclipse move method refactorings were unable to move methods that invoked `super`, where in fact, move methods-with-super is useful);
- Eclipse refactorings are slow: each takes about 1/2 second. When hundreds of refactorings are invoked, wait times are simply too long to be interactive; and
- Eclipse refactorings are bug-infested [49, 51].

The necessary tools to script refactorings/transformations in Java appeared only recently. Program transformation systems were certainly available in this time frame, such as Stratego [107] and DMS [13]. These systems are monuments of engineering prowess, their learning curves are measured in weeks or months (which actually is no different than learning the Eclipse refactoring engine) [49]. Other work on Domain-Specific Languages, which attempt to provide lighter-weight language front-ends to manipulate abstract syntax trees still have overhead and have a limited following – Eclipse plugins, which R3 and X15 are examples, seem to be more popular.

### 6.6.2 Generalization Research

Given that tools to script refactorings/transformations in Java appeared only recently, the related work we mention in here is directed to the topic of when and where to refactor

programs to give them better structure. With no exceptions that we are aware, these papers were not accompanied or built-upon existing refactoring engines, so any claims to demonstrable automation are extraordinarily rare.

A recent observation by someone in the refactoring community supports this viewpoint [8]:

“The refactoring community focused on solving problems from a slightly different perspective:

1. Migrating large OO applications into component-based ones,
2. Migrating Java legacy apps into micro-services and SOAs, and
3. Migrating Java legacy apps into aspect-oriented ones.

All these problems require similar steps to migrate Java legacy apps to OO frameworks and plug-ins. One of the main reasons could be the urgent needs to migrate, based on refactorings, towards new emerging domains (since these domains did not exist more than 20 years ago) such as SOA, aspect-oriented systems, etc. That could be a nice example on how the refactoring "objectives" evolved and changed over time... (for example, nowadays the objectives of refactoring mobile apps are different than refactoring regular applications since there is much more emphasis on energy consumption, memory usage, etc.)”

We agree with these observations, but the lack of scripting tools really puts many of these objectives (and results) out of reach for most practitioners.

Works like [90, 34] acknowledge the need to restructure or refactor a program in order to generalize some part of the programs and make it reusable. However, they lacked support from an automated tool to apply all the code changes required to obtain a correct generalization.

Another representative paper, [35], proposes an approach to automatically identify a set of abstractions that could be made from program declarations. This research is motivated by “comprehension improvement through more abstract constructs, re-architecture of existing systems to improve their maintenance, or migration to new paradigms”. Their approach is based on *software clustering*, which consists on identifying groups of program declarations that may correspond to more abstract artifacts. They applied their clustering approach to ten C programs. This work presents the formulation of their approach, however there is no evidence of any refactorings or transformations being applied.

# Chapter 7

## $B_{\tau}^{\tau}F$ Partitioning of Non-OO Programs (GAML) into SPLs

### 7.1 Introduction

*Multi-Agent Systems (MAS)* concepts and prototypes date back 20 years. Compared with Software Engineering, MAS is a rather young field. MAS aims to support future software-intensive societies by “enabling cooperation, coordination, and evolution of large-scale mixed human-machine systems” [61].

MAS are complex systems conformed by agents. An *agent* is a program and its key characteristic is autonomy, which it displays by taking actions over the environment within it is deployed. The actions are determined by an agent’s goals [109, pp. 15, 16]. In a MAS, the main or global goal is accomplished in a distributed way, every agent contributes with its actions and interacts with other agents in order to achieve a system’s goal [100]. A MAS is decentralized by nature, agents have partial knowledge and control over the environment they are deployed in [44].

MAS can be used to represent and investigate artificial and natural phenomena. They are used in a large range of research areas, like computer games, economics, health care, urban planning and social sciences. However, the development of agent platforms is usually driven by the domain of use each research community has. This has led to numerous MAS platforms [52]. However, this diversity of MAS platforms has led to a “lack of proven methodology and industrial-strength toolkits for creating agent-based systems” [40].

Among the research topics to advance MAS engineering, is the effort to combine MAS and SPL approaches: *Multi-Agent Systems Product Lines (MAS-PL)* [75]. Most of the research in MAS-PL is in methodological aspects, although there has also been some tool development. None of this work has tried to partition a MAS into features. In this case, we use  $B_{\tau}^{\tau}F$  as a partitioning tool for a set of MAS programs, specifically MAS simulators developed in GAMA platform. A following section describes this platform and its programming language.

The motives that made us apply  $B^T_F$  as a partitioning tool in this case were:

- While working on developing simulators in *GIS*<sup>1</sup> & *Agent-based Modeling Architecture (GAMA)*, it became evident that GAMA lacks high level reuse. Which, in our experience, is a common situation among MAS simulators development platforms.
- The way a MAS simulator is built tends to have a cross-cutting design. A functionality is weaved through the code of every modeled entity. We consider that this design is very agreeable with SPL concepts [11]. Each functionality added to a simulator needs to be reflected across entities. There is a clear mapping from implemented functionality and a SPL feature.

Later sections explain how we applied  $B^T_F$  in this case, the results we obtained, and current related work.

## 7.2 GAMA Platform and GAML Language

GAMA is an open source modeling and simulation platform. It is a “complete modeling and simulation environment for building rich spatially explicit agent-based simulations” [30].

GAMA development started in 2007; it is based on Eclipse and Xtext.<sup>2</sup> It provides tools to develop and experiment highly complex models by the integration of “agent-based programming, geographical data management, flexible visualization tools and multi-level representation”. GAMA has been successfully used in several projects related to “environmental decision-support systems, urban design, water management, biological invasions, climate change adaptation or disaster mitigation” [42].

GAMA simulations are programmed in the *GAmA Modeling Language (GAML)*, which is an agent-oriented modeling language [42]. A GAML program is called a *model*, its containing file has the `gam1` extension. Figure 7.1 shows GAMA IDE; bubble 1 shows the list of models, bubble 2 shows the code editor, bubble 3 points to the available experiments configured for the model on screen, in this case, there is only one experiment named `test`.

Figure 7.2 shows a basic GAML model structure, which is composed of the following main declarations [77]:

- **Model Name.** A model starts with its name declaration.
- **Imports.** It is possible to import other models. Imports are optional.

<sup>1</sup> Geographic Information System.

<sup>2</sup> Xtext is an open-source framework for development of programming languages. <https://www.eclipse.org/Xtext/>

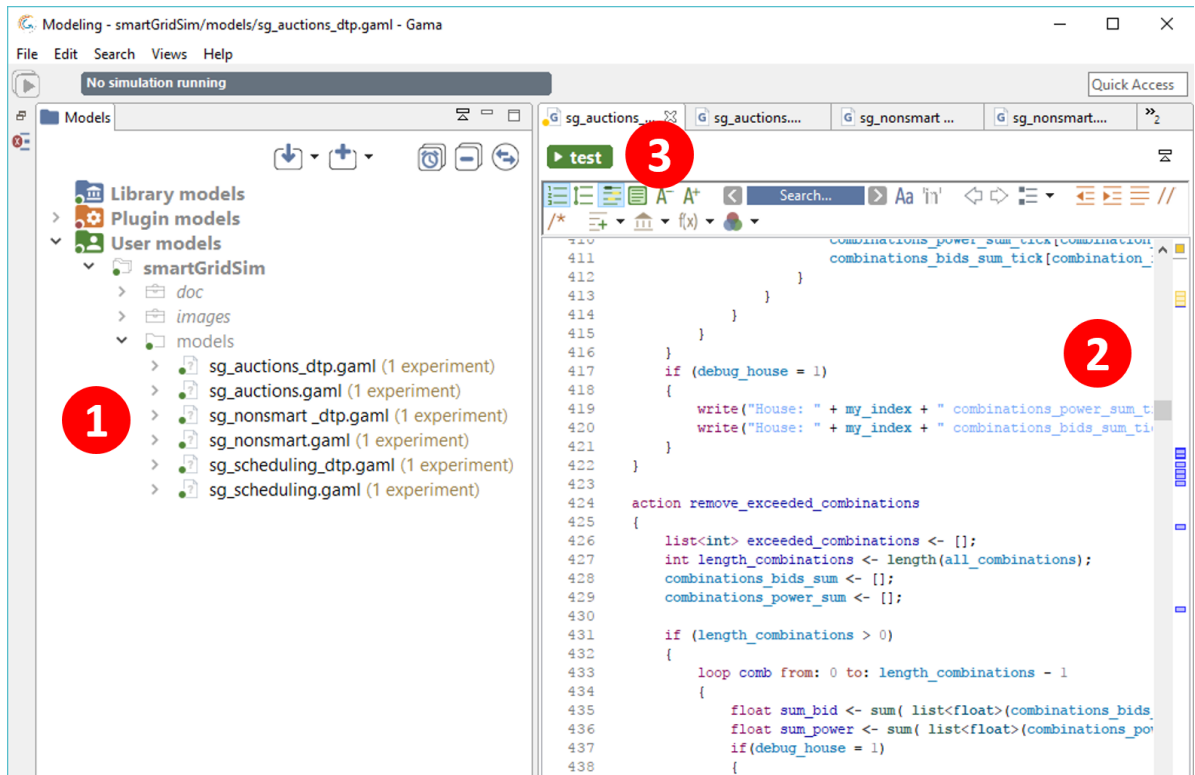


Figure 7.1: GAMA IDE.

- **Global.** A global declaration contains attributes and methods declarations that are visible and modifiable from any part of the model. In GAML, methods have two flavors: actions and reflexes; an action is executed by an explicit call statement, like an OOP method; a reflex is executed automatically on each tick while the simulation is running.
- **Species.** A specie is used to define *types* of agents, it usually maps to an entity, for example, in a predator-prey model, a specie could be the predator and another specie the prey. Any number of instances of a species can be deployed in a simulation. A specie definition comprises attributes, actions and reflexes. A specie is similar to an OO class, it is encapsulated from the rest of the model segments, however its attributes are visible and modifiable from any other part of the model, and its actions can also be executed from any other part of the model.
- **Experiment.** An experiment contains the simulation parameters and the definition of the output. An output for example could be a graphical display. A model can contain any number of experiments. There are two types of experiments, gui or batch; gui experiments allows to display a graphical interface in which a user could set values for the input parameters; a batch experiment allows to execute numerous successive simulation runs.



---

```

1 model name_of_the_model
2
3 import "relative_path_to_a_model_file"
4
5 global {
6     // definition of global attributes, actions, and reflexes
7 }
8
9 species my_specie {
10     // definition of attributes, actions, and reflexes
11 }
12
13 experiment my_experiment type: gui //type is gui or batch
14 {
15     // definition of input parameters
16     output {
17         // outputs definition
18     }
19 }

```

---

Figure 7.2: The @Feature Annotation Type

### 7.3 Obtaining a CRG from a GAML Program

$B^T_F$  uses Eclipse's Java *Abstract Syntax Tree (AST)* support to obtain a CRG for Java programs. However, ASTs are not exclusive to Java programs. Programming languages developed with Xtext rely on *Eclipse Modeling Framework (EMF)*.<sup>3</sup> Through EMF, a programming language created using Xtext can produce ASTs [110].

GAML was created using Xtext, therefore it is possible to obtain ASTs for the models or programs developed with this language. However, GAMA platform and IDE do not have an implemented option to traverse a GAML model's AST, and print the data we require to generate a PT's CRG. Therefore, we had to modify the source code of GAMA platform to implement a functionality that would traverse a model's AST and output what we required to compute a CRG.

The GAMA platform is an open source project. Its source code is available in GitHub<sup>4</sup>. We cloned GAMA platform repository on August 2016, the version we obtained was a beta version of GAMA 1.7. After exploring and understanding the architecture and implementation of GAMA platform, we were able to implement a model's AST traversal method that would output a CSV file with the data required to generate a  $B^T_F$ 's CRG.

We added our implementation to an existing functionality. Figure 7.3 shows the contextual menu shown for a model, which has an option named Refresh metadata. We added in-

<sup>3</sup> Eclipse Modeling Framework supports the creation of building tools (i.e. programming languages and IDEs), <http://www.eclipse.org/modeling/emf/>

<sup>4</sup> GAMA platform source code repository is in <https://github.com/gama-platform/gama/>.

structions to the implementation of the method executed by this option. When Refresh metadata option is executed, the AST of the selected model is traversed, and  $B_{TF}$ 's CRG required data is obtained and saved in a CSV file (CRGcsv). The details about this modification and an example of a CRGcsv are contained in Appendix D.

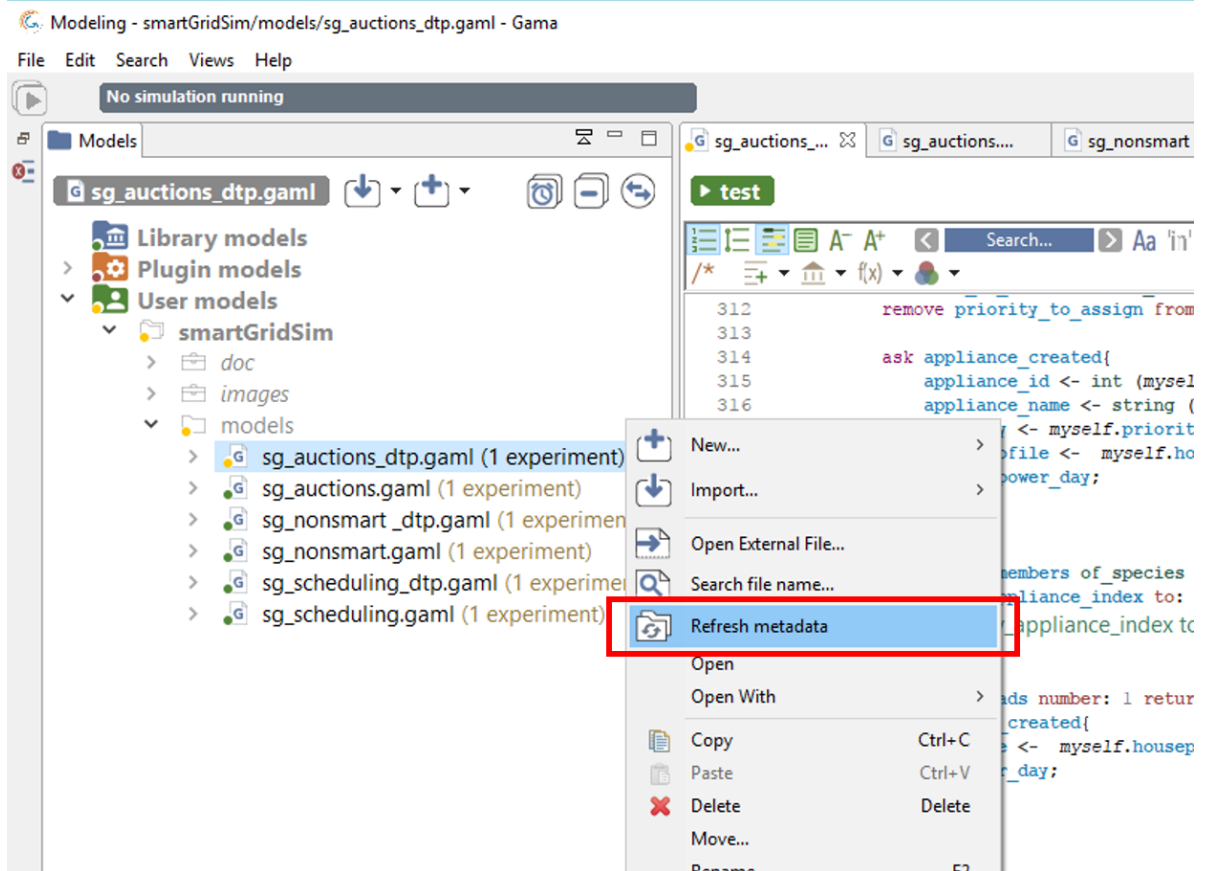


Figure 7.3: GAMA refresh metadata option.

A CRGcsv is basically a list of all the declarations' references found in a program, in this case, in a GAML model. Each line of the file contains a reference, these are the required attributes for a reference:

- Identifier of the declaration making a reference to another declaration, we named this `call_from`.
- Identifier of the declaration that is referenced, we named this `call_to`.
- `call_from` declaration type.
- `call_to` declaration type.

For GAML, we have these declaration types:

- Declaration. This is a field declaration.

- **Action.** This is a method that is executed by an explicit call in the model.
- **Reflex.** This also is a method, but is automatically executed on every tick of a simulation.
- **Specie.** Equivalent to a Java class. It can contain attributes and methods.

In Chapter 4 we mentioned the possibility of executing  $B_{TF}$  as a standalone program (as opposite of its Eclipse plug-in execution mode). The standalone version of  $B_{TF}$  allows a user to input a `CRG.csv`. This file is read by  $B_{TF}$ , and parsed into a CRG. As part of this process,  $B_{TF}$  maps declaration types to the types that are known to it: classes, methods, fields, etc.<sup>5</sup> Currently  $B_{TF}$  is capable of performing this mapping for GAML and Java languages. As  $B_{TF}$  was originally conceived to partition Java programs, the mapping process for this language is a natural fit. For GAML we have these declaration types mapping:

GAML declaration type	$B_{TF}$ declaration type
Declaration	Field
Action	Method
Reflex	Method
Specie	Class
Experiment	Class

Table 7.1: GAML declarations' types mapping to  $B_{TF}$ 's declaration types.

## 7.4 Experimental Setup

Like the experiments in previous chapters, expert knowledge is also required in order to partition a GAML model. For this evaluation we used six GAML models that we were part of their development. These models implement electrical smart grid related simulations using intelligent agents that participate in a combinatorial auction to buy power from the electrical grid [16].

Table 7.2 lists the programs selected for this evaluation.<sup>6</sup> Programs are sorted by LOC (Lines of Code).<sup>7</sup> # of Features is the number of features contained in a program's partitioning feature model. # of Declarations refers to the number of declarations found in a program's CRG.

<sup>5</sup>  $B_{TF}$ 's declaration types are described in ??.

<sup>6</sup> The source code for these programs is available in <https://github.com/priangulo/BttFTestProjects/tree/master/smartGridSim/models>

<sup>7</sup> Lines of code in this case are the number of lines contained in a model's file.

Program	LOC	# of Features	# of Declarations
sg_scheduling_dtp	1612	3	217
sg_auctions_dtp	1597	3	204
sg_auctions	1588	2	194
sg_scheduling	1559	2	207
sg_nonsmart_dtp	593	2	112
sg_nonsmart	544	2	101

Table 7.2: GAML programs to partition into SPLs with  $B^T_F$ .

## 7.5 Research Questions

For this scenario of partitioning a GAML model into a SPL, we formulated one research question. We want to compare the inference rates obtained with this experiments versus the inference rates obtained with Java programs partitioned into SPL.

**RQ1** How similar are the inference rates obtained when partitioning GAML models into SPLs, to the inference rates obtained when partitioning Java programs into SPLs?

To have a correct assessment, we need to compare each GAML model partitioning inference rate, with the average inference rate obtained in an equivalent set of experiments. For example, if a GAML model was partitioned using a feature model with configuration *base heavy*,<sup>8</sup> then we have to compare its obtained inference rate with the average inference rate obtained with the Java experiments that were partitioned with the same configuration.

## 7.6 Results

Every program used in this evaluation was partitioned into a SPL using  $B^T_F$ . Four of the programs had two features in their feature model, the other two programs had three features in their feature model. For the programs with a two-feature feature model, we performed a single experiment. The results of these experiments are to be compared with the equivalent type of Java SPL partitioning experiments. For the programs with a three-feature feature model, we performed two experiments:

**E1** We used the original three-feature feature model. We cannot compare these results with JAVA SPL partitioning experiments<sup>9</sup>, therefore we defined the next type of experiment,

<sup>8</sup> Base heavy means most of the declarations are assigned to BASE feature.

<sup>9</sup> This comparison would lead to spurious results. For a correct comparison it is necessary that the set of experiments that are being compared, are performed on similar conditions.

**E2** We combined the second and the last feature into a single one, aiming for a *top heavy* configuration<sup>10</sup>. This way we could compare with Java E2 (top heavy) SPL partitioning experiments.

Table 7.3 contains the general results obtained with these experiments. The fields in this table are as follows:

- Type is the experiment type name:
  - Original. The original feature model was used. We used this type of experiment for `sg_scheduling_dtp` and `sg_auctions_dtp` programs, their feature model contained three features.
  - TH. A top heavy<sup>11</sup> configuration was used. We used this type of experiment for `sg_scheduling_dtp` and `sg_auctions_dtp` programs, we comprised their second and third feature into a single feature TOP.
  - Original (TH). The original feature model was used and it turned out to be a top heavy configuration. We used this type of experiment for programs with a two-feature feature model.
  - Original (BH). The original feature model was used and it turned out to be a base heavy<sup>12</sup> configuration. We used this type of experiment for programs with a two-feature feature model.
- N is the number of features in the partitioning feature model.
- F1 is the number of declarations contained in the first or BASE feature.
- F2 is the number of declarations contained in the second feature. For the experiments with a two-feature feature model, this feature was the last of TOP.
- F3 is the number of declarations contained in the third feature, if there was a third feature in the feature model.
- FP is the number of `fprivate` facts provided by an expert.
- FP% is the percentage of FP,

$$FP\% = \frac{\# \text{ of } f\text{private facts}}{\# \text{ of program declarations}} \cdot 100 \quad (7.1)$$

- I is the overall number of declarations that were inferred. For this scenario,  $B_{TF}$  makes five types of inferences. These are mentioned in later bullet points.

<sup>10</sup> A top heavy top configuration assigns most of the declarations to the TOP or last feature.

<sup>11</sup> Top heavy means most of the declarations were assigned to the TOP or last feature.

<sup>12</sup> Base heavy means most of the declarations were assigned to the BASE or first feature.

- I% is the percentage of declarations inferred by  $B_{TF}^T$ ,

$$I\% = \frac{\# \text{ of } B_{TF}^T \text{ inferences}}{\# \text{ of program declarations}} \cdot 100 \quad (7.2)$$

- IF is the number of declarations inferred because they reference a `fprivate` method or field.
- IC is the number of declarations inferred because they belong to a `fprivate` container.
- IL is the number of declarations that were assigned `fprivate` because they belong to the last feature in the feature model.
- IO is the number of declarations inferred because they had only one feature in bounds.
- IN is the number of declarations inferred because they have no references other than to its container.
- H is the number of identified hook methods.

Type	N	F1	F2	F3	FP	FP%	I%	I	IF	IC	IL	IO	IN	H
sg_scheduling_dtp														
Original	3	63	20	134	0	0%	21.2%	46	0	0	46	0	0	1
TH	2	62	155	-	0	0%	25.8%	56	0	0	56	0	0	0
sg_auctions_dtp														
Original	3	75	12	117	0	0%	32.8%	67	2	0	65	0	0	2
TH	2	73	131	-	0	0%	32.8%	67	0	0	67	0	0	0
sg_auctions														
Original (TH)	2	88	106	-	0	0%	26.3%	51	0	0	51	0	0	0
sg_scheduling														
Original (TH)	2	69	138	-	0	0%	22.7%	47	0	0	47	0	0	0
sg_nonsmart_dtp														
Original (BH)	2	109	3	-	0	0%	55.4%	62	0	0	0	62	0	0
sg_nonsmart														
Original (TH)	2	47	54	-	0	0%	33.6%	34	0	0	25	9	0	0

Table 7.3: GAML SPLs partitioning results.

### 7.6.1 RQ1. How similar are the inference rates obtained when partitioning GAML models into SPLs, to the inference rates obtained when partitioning Java programs into SPLs?

To answer this question, we need to separate the experiments in two groups:

- Top heavy experiments. Five of the programs were partitioned with a top heavy configuration. We can compare this group with Java E2 (top heavy) SPL partitioning experiments, because they were partitioned using a similar configuration.
- Base heavy experiment. One of the experiments, `sg_nonsmart`, was partitioned using a feature model with a base heavy configuration. Let us refer to this experiment's result as  $r$ , we need to determine the probability of  $r$  of being *part* of the results obtained with Java E3 (base heavy) SPL partitioning experiments. This means we need to evaluate the likelihood of  $r$  happening if we were partitioning a Java program using a base heavy configuration.

### Top Heavy Experiments

To compare GAML top heavy experiments results (GTH-results) with Java top heavy experiments results (JTH-results), we need to perform a hypothesis test. A simple comparison of results' means is not enough, as the results come from different experiments. As mentioned in a previous chapter, [Hypothesis testing](#) is a process that allows to evaluate claims about a population. A hypothesis test uses data obtained from a sample to make a decision about whether a claim can be accepted or rejected [14, p. 400–430]. In this case we are claiming that GTH-results are equal than JTH-results. We claim this, because both types of experiments used the same type of feature model configuration for partitioning.

The formula to use on hypothesis testing depends on the attributes of the data that we have as a result from our experiments. We have two samples<sup>13</sup>, one that represents GTH-results, and another that represents JTH-results.

Our samples are considered small, for GTH-results we have five values, and for JTH-results, seven. Given this, we need to use *t-test* as our statistical test [27, p.357, 358]. We compare the means of both samples. And our claim is that GTH-results mean is equal than JTH-results mean. The formal enunciation is:

$$\begin{aligned} H_0 : \mu &= 64.67, & \text{this is the mean obtained with JTH-results,} \\ & & \text{we claim that this mean remains true for GTH-results} \\ H_a : \mu &\neq 64.67 \end{aligned}$$

To perform this hypothesis test we used R-Project, which is an environment for statistical computing [81]. The function we used is `t.test` from R's core package `stats` [82]. We provided this function with the values for  $H_0$  (JTH-results) and  $H_a$  (GTH-results), and specified that we were conducting a *two-sided* comparison, which evaluates if  $H_a$ 's mean  $\mu$  is *different* than  $H_0$ 's mean  $\mu$ . By default, this test is performed with a confidence value ( $\alpha$ ) of 0.05%. This means that we have 95% certainty over the decision we make about rejecting (or not) our  $H_0$  hypothesis.

<sup>13</sup> We say samples, because we did not conduct exhaustive experimentation, which would imply testing with every existing Java program, which is impossible.

The result obtained was:

$$p\text{-value} = \mathbf{0.0029} \quad (7.3)$$

The *p-value* (probability value) is the probability of obtaining  $H_a$  results when  $H_0$  is true. In this case, it is the probability of obtaining the mean stated in  $H_a$  when the true population mean is the one stated in  $H_0$ . P-value measures the probability of  $H_a$  results being sufficiently evident and consistently better to disprove the results obtained with  $H_0$ . To interpret a p-value we follow these rules [14, p. 418]:

$$\begin{aligned} p\text{-value} < \alpha, & \quad \text{reject } H_0 \\ p\text{-value} \geq \alpha, & \quad \text{do not reject } H_0 \end{aligned}$$

Since our p-value 0.0029 is less than our confidence value  $\alpha$  0.05, we have evidence to reject  $H_0$ . This means that **we do not have evidence to support that the results obtained with GTH-results are similar or equivalent to the results obtained with JTH-results.**

For a reader comparing the inference rates obtained with JTH-results, and the inference rates obtained with GTH-results, it could be obvious that GTH-results were much lower than JTH-results.

**The question now is why this difference in the inference rates?** Both set of experiments were performed with a similar configuration. The difference in these results lies on the number of references among the declarations in the TOP layer. For Java programs, assigning a declaration to TOP feature had as a common effect *dragging* at least one more declaration to be part of the TOP feature<sup>14</sup>. For GAML programs we found that most of the declarations assigned to the TOP feature had references to the BASE feature, and very few of them would reference another declarations in the TOP feature. This situation reduced the impact that inferences for referencing a declaration in the last feature had. For GAML programs it was observed that declarations in the TOP feature had few references among each other.

### Base Heavy Experiment

For this case we have a single base heavy GAML experiment (GBH-result). We need to obtain the probability of having this result if we were performing base heavy Java experiments (JBH-results). This poses a challenge, we do not know all the possible results that could be obtained when partitioning a program using a base heavy configuration<sup>15</sup>, therefore, we need a way of measuring how likely is for GBH-result to be a value of a distribution that represents JBH-results.

To obtain this probability we performed two steps:

<sup>14</sup> For the Java programs we used for evaluation in SPL partitioning, it was rare to find isolated declarations, or declarations with few references. It could be said that Java programs CRG was a dense graph[105], it had more edges than vertices. When a declaration  $d$  is assigned to the TOP feature, it is set as `fprivate`, therefore, declarations that reference  $d$  are subject to be assigned to TOP feature too.

<sup>15</sup> To obtain all the possible results we would have to partition every possible Java program and every possible GAML program or model, which is impossible.



**Step 1.** Obtain JBH-results distribution. Since JBH-results is composed of a small number of values, it is likely to pass a *normality test*, which asserts that a sample has a normal distribution. The adequate test for this case is *Shapiro-Wilk test for normality*. This normality test applies to small samples, of size 50 or less[83].

To perform this normality test we used R-Project, which is an environment for statistical computing [81]. The function we used is `shapiro.test` from R's core package `stats` [82]. We provided this function with the values for JBH-results. By default, this test is performed with a confidence value ( $\alpha$ ) of 0.05%. This means that we have 95% certainty over the normality of the sample.

The result obtained was:

$$p\text{-value} = \mathbf{0.777} \quad (7.4)$$

For `shapiro.test`, this p-value is interpreted as follows:

$$\begin{array}{ll} p\text{-value} < \alpha, & \text{do not passed normality test} \\ p\text{-value} \geq \alpha, & \text{passed normality test} \end{array}$$

Since 0.777 is greater than 0.05 ( $\alpha$ ), we can assert that JBH-results appears to have a normal distribution. Since our sample passed the normality test, we can proceed to the next step<sup>16</sup>.

**Step 2.** Given that JBH-results follows a normal distribution, now we have to determine what is the probability of GBH-result to be part of this distribution. In this case we need to perform a statistical test that evaluates the probability of GBH-result to be inside the distribution curve of JBH-results. The formula and procedure to perform this were taken from [14, p. 427-429]:

- Convert GBH-result into a *t-value*, this is similar to normalizing a value, the formula for this is:

$$t = \frac{\bar{X} - \mu}{s/\sqrt{n}}$$

where,

$\bar{X}$  = Observed value to convert, in this case GBH-result

$\mu$  = Mean of the values in JBH-results

$s$  = Standard deviation of the values in JBH -results

$n$  = Number of observations in JBH-results

<sup>16</sup> If our sample wouldn't have passed the normality test, we would have had to perform other statistical tests to determine which statistical distribution our sample has.

- Obtain the p-value, this is the probability of our t-score to be inside the desired distribution curve. This is the formula =

$$p\text{-value} = 2 * pt(-abs(t), df)$$

where,

$pt$  = Is the distribution function for  $t$  statistic, it returns a p-value.

$abs(t)$  = Absolute value of  $t$ , which we obtained in the previous step

$df$  = Degrees of freedom,  $n - 1$

This formula makes use  $pt$ , which is the distribution function for  $t$  statistic. This function provides the probability of a  $t$  - value to be under the  $t$  distribution curve. A reader might notice that this formula multiplies by 2 the probability obtained, this is because the formula considers both tails of the curve.

We executed these steps in R-Project, which is an environment for statistical computing [81]. For  $pt$  function, we used R's core package `stats`, which has a  $pt$  implementation [82]. We provided this function with the required values, as stated in the formula. The result obtained was:

$$p\text{-value} = \mathbf{0.00028} \quad (7.5)$$

By default  $\alpha$  in this case is 0.05, therefore our confidence interval is 95%. The p-value obtained is interpreted as follows:

$$\begin{aligned} p\text{-value} < \alpha, & \quad t \text{ does not belong to that distribution curve} \\ p\text{-value} \geq \alpha, & \quad t \text{ belongs to that distribution curve} \end{aligned}$$

Our p-value (0.00028) is less than our  $\alpha$  (0.05), this means that there is no evidence, or support of GBH-result belongs to the same distribution curve that JBH-results have. Therefore, GBH-result is not similar to JBH-results.

As a reader might observe, the inference rate obtained with GBH-result (55.4%) is higher than the inference rates obtained with JBH-results, (37.9% was the highest obtained). Table 7.3 shows that actually the highest inference rate obtained with GAML experiments was precisely with this experiment (`sg_nonsmart_dtp`) model. For Java SPL partitioning experiments, E3 (base heavy) experiments had the worst inference rate, as opposite to what we observed for GAML. For this experiment, all the inferences made were because there was only one feature in bounds, this kind of inference was rare in Java SPL partitioning. It could be said that for GAML programs,  $B_{TF}^T$  has a higher inference rate when the partitioning is made with a base heavy configuration, however, we only have one example of this behavior. More experiments would have to be performed to assert the validity of this affirmation.

## 7.7 Related Work

Many papers have been written on MAS product lines. Keep in mind that there is no tool or prior work directly comparable to  $B_{TF}$  in migrating legacy MAS systems to MAS product lines. The research we cite in this section are contributions on MAS-PL development methodologies. They focus on the modeling phase when developing a MAS-PL from scratch, not on partitioning an existing MAS into a MAS-PL, which is the focus of  $B_{TF}$ . Their contributions are useful for the analysis and design phases of a MAS-PL.

There are three main MAS-PL methodologies that support the modeling of a MAS-PLs:

- **MAS PASSI + SPL PLUS.** [54] first proposed a generative approach to develop MAS systems using aspect oriented techniques. In their proposed process they support MAS design using these modeling languages: Agent–DSL[53] and aSideML[19]. Both specifications are used for modeling variabilities and commonalities. In this work AspectJ<sup>17</sup> was used to support the implementation of their approach.

Later, to overcome limitations of existing MAS modeling languages with regards to express variability (as required when modeling a SPL), [66, 65] proposed an agent variability modeling language that extended PLUS (Product Line UML-based Software Engineering) notation language [41] with the MAS PASSI (Process for Agent Societies Specification and Implementation) [22] design methodology. This research work details the challenges of correctly modeling commonalities and variabilities for a MAS-PL, which is critical for defining any SPL. They also describe specific problems that need to be addressed on MAS, that do not exist when designing a SPL for OO systems.

- **Gaia-PL.** [25, 26] proposed and created Gaia-PL methodology, which extends MAS Gaia methodology[111] to document variability per agent roles<sup>18</sup>. Gaia is the most commonly used methodology to guide the process of developing a MAS[18].
- **MaCMAS.** [73, 74] presents the MaCMAS methodology, and describes its application in a case study where a MAS-PL is developed. This methodology supports modeling complex MAS and focuses on multi-role interactions, interactions among agents.

Some of these papers presented examples of a product line derivation; all used AOP<sup>19</sup> as their derivation technique.

<sup>17</sup> AspectJ is Eclipse's aspect oriented implementation for Java programs [80].

<sup>18</sup> A MAS is usually designed around the agents that are to be developed and the role they will play in the system.

<sup>19</sup> *Aspect oriented programming (AOP)* is a paradigm that adds modularity to a program without modifying the source code structure, by adding instructions that allow the separation of cross-cutting concerns [104].

# Chapter 8

## Conclusions and Future Work

Partitioning a program, into an SPL or into a framework and plug-in, is hard. If performed manually, it is not only tedious, but prone to errors. The consistently good results we obtained with B<sub>t</sub>F shows its usefulness as a tool to support the correct feature partitioning of a program, and therefore, we consider that it is the right path to reduce the adoption barrier SPL technologies currently have.

We successfully applied B<sub>t</sub>F in three different scenarios, with results never obtained before with related research:

- **Partitioning of a Java program into an SPL.** This was our main evaluation scenario; B<sub>t</sub>F was designed with this scenario on mind. For the best set of experiments we obtained an average inference rate of 64.7%; the highest inference rate obtained with these experiments was 84.5%, this means that for that specific experiment, a user only did 15.5% of the assignment work, and B<sub>t</sub>F did the rest.
- **Partitioning of a Java program into a framework and plug-in.** For this scenario, B<sub>t</sub>F's average inference rate was lower than for its main scenario, 39.5%. However, we integrated two state-of-the-art tools, B<sub>t</sub>F and R3, to perform an almost fully automated<sup>1</sup> transformation of a Java program into a framework and plug-in structure. This kind of work has never been performed before.
- **Partitioning of a GAML programs into an SPL.** MAS development is currently an active research area. This has lead to the creation of numerous MAS development platforms [52], however, these platforms are not yet as mature as OO development platforms. One of the topics that recently got attention is the usage of SPL technologies on MAS, most of this research covers methodological challenges. B<sub>t</sub>F is the first tool used to identify features in MAS programs. The best inference rate obtained with this scenario evaluation was 55.4%.

---

<sup>1</sup> Only those methods identified by B<sub>t</sub>F as hooks were not transformed, this remains as a task that needs to be performed manually by developers. However, we found that hook methods tend to be rare; the maximum number of hook methods we identified with our experiments, was nine.

Related work that aim to extract features from a program [48, 76, 99] do not fully partition a program into features; instead, they extract one feature at a time, or a set of features that do not fully cover a program’s codebase. This forces their evaluations to focus on the precision and recall of their results. In our case, our tool fully partitions a program’s codebase, and our CRG-based approach asserts the correctness of the partitioning, therefore precision and recall are not relevant. Our focus was to reduce the amount of work a developer needs to perform. This is the reason why our main success metric is inference rate.

## 8.1 Future Work

We envision three additions we consider would improve  $B_{\tau}F$ :

- Add a pre-partitioning step to help a developer get better acquainted with a program to partition. Even if a developer is an expert on a program codebase, s/he may struggle to remember the dependencies and affiliations of every declaration. We personally experienced this situation. We consider adding an extra tool to run searches over a program’s source code; this tool would allow to search for keywords, naming conventions and patterns, to help group declarations in a way that would aid feature assignment.
- Allow a user to provide assignments, not only by following our designed assignment main path<sup>2</sup>, but also by order of the amount of declarations that reference a declaration. This way a developer could assign first those declarations that would have a larger impact in the partitioning process, even more if the declaration being assigned is `fprivate`.
- The analysis of  $B_{\tau}F$  is based on a notion of time: that feature  $\alpha$  was built before  $\beta$ ,  $\gamma$  was built after  $\beta$ , etc. This information is available in [Version Control Systems \(VCSs\)](#). If the VCS repository of program could be mined, we could gather a tremendous amount of information – like when features were developed, and the coincidence of the introduction of declarations at that time. This is often the information that  $B_{\tau}F$  asks of its users and that users have a hard time to provide. This, we believe, may have a great impact on raising  $B_{\tau}F$  inference rates in the future.

With these additions, we believe  $B_{\tau}F$  could be used to partition even larger programs, and ideally, commercial programs that are in need to adopt SPL technologies.

---

<sup>2</sup>  $B_{\tau}F$ ’s main path is described in Section 3.2.7

# Appendix A

## Refactorings versus Transformations

A refactoring script starts with a program  $s$  and applies a series of refactorings to produce a restructured program  $e$ . At each step of the refactoring process, preconditions are evaluated to verify the legality of that refactoring; if any precondition fails, the refactoring fails, and so too does the script. Figure A.1 illustrates how every refactoring  $r$  applied to a program  $s$  yields a program that is semantically equivalent to  $s$ .

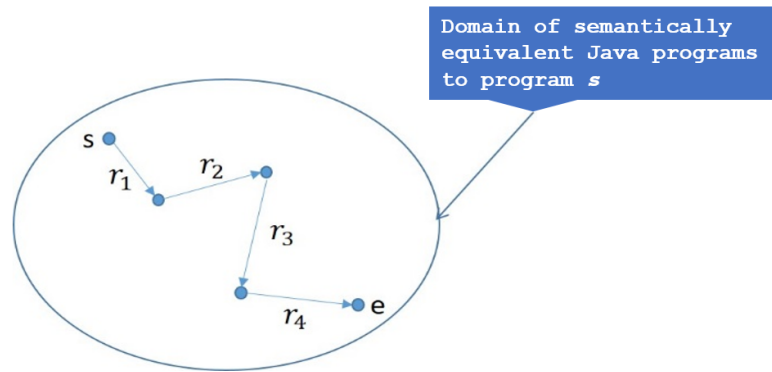


Figure A.1: Refactoring process

Another approach to restructure a program  $s$  into  $e$ , is a *transformation script*, which is less restrictive. The only guarantee is that given input program  $s$ , the end program  $e$  will be behaviorally equivalent to  $s$ . The steps that are taken are transformations that do not necessarily preserve program behavior. This approach is similar to a database transaction, which preserves database consistency at the end of the transaction, but no guarantees are offered for any state of the database during the execution of the transaction. Consider Figure A.2, we start at program  $s$ . We apply transformations  $t_1$ ,  $t_2$ ,  $t_3$ ,  $t_4$  in this order to produce end program  $e$ . Note that the intermediate programs (denoted by red dots) are not behaviorally equivalent to  $s$ . It is even possible that these programs will not even compile. It is only the end result, program  $e$ , in which we are interested.

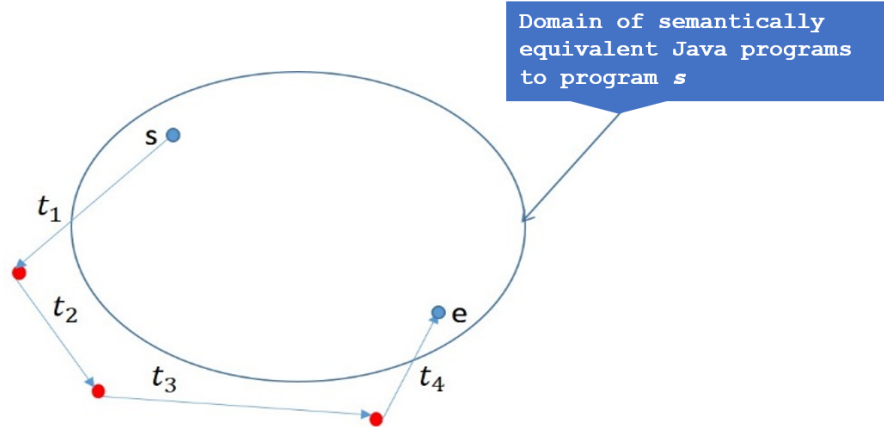


Figure A.2: Transformation process

This second approach may seem odd, as one would like to guarantee the semantic equivalence of  $s$  and  $e$ . A refactoring engine on its own could perform transformations (not refactorings), but not guarantee that program  $e$  is behaviorally equivalent to program  $s$ . However, in this case, we have  $B\tau F$  which analyzes  $s$ , and plots the sequence of transformations to map  $s$  to  $e$ . We do not need to use refactorings (with their precondition checks) because, in effect,  $B\tau F$ 's analysis is taking care of this. There is no need for the refactoring engine to duplicate (or complicate or verify) what  $B\tau F$  has already done. This approach is well-known, for example, in taking a single-threaded legacy application and rewriting its code into a multi-threaded application. The refactoring engine is really being used as a transformation engine. The tool that does the single-threaded-to-multi-threaded mapping performs the required analysis.

$B\tau F$  supports this mapping process from  $s$  to  $e$ .  $B\tau F$  applies a set of rules to correctly determine the new location of declarations in the new structure of program  $e$ , and only needs a transformation engine to accomplish its code restructuring.

# Appendix B

## R3 framework and plug-in transformation script

---

```
1 package r3forBttf;
2
3 import java.util.ArrayList;
4 import java.util.stream.Collectors;
5 import bttf.Element;
6 import bttf.ElementType;
7 import bttf.FWPlBelongLevel;
8 import bttf.Partition;
9 import bttf.PartitionHelper;
10 import gui.GuiConstants;
11 import gui.InputFile;
12 import p.actions.*;
13 import java.awt.Frame;
14
15 public class RefactoringScript {
16     Partition partition;
17     ArrayList<String> notFound = new ArrayList<String>();
18     ArrayList<String> lifted = new ArrayList<String>();
19     private String start_path = System.getProperty("user.home") + "\\Desktop\\Bttf";
20
21     public void applyBttFrefactorings() {
22         InputFile inputFile = new InputFile();
23         Frame parentWindow = new Frame("Bttf-R3");
24
25         String file_name = InputFile.getFileFromFileDialog(parentWindow, start_path,
26             GuiConstants.CSV_EXTENSION, "");
27         this.partition = inputFile.get_elements_from_csv_file_nocheck(file_name);
28         // FW is the list of plugin classes and interfaces that will belong to the
29         // framework
30         ArrayList<RType> FW = new ArrayList<RType>();
31         ArrayList<Element> packages = (ArrayList<Element>)
32             partition.get_elements().stream()
33                 .filter(e -> e.getElement_type().equals(ElementType.ELEM_TYPE_PACKAGE))
```



```

33     .collect(Collectors.toList());
34
35     for(Element packag : packages){
36         RPackage original = RProject.getRPackage(packag.getIdentifier());
37
38         RPackage frame = null; // framework package
39         RPackage plugin = null; // plugin package
40         RClass abstractFactory = null; // (abstract) factory class of the framework
41         RClass concreteFactory = null; // (concrete) factory class of the plugin
42
43         // Step 1: create framework package and rename original
44         RPackage parent = original.getParent(); //get parent package
45         String origName = original.getName();
46         String pluginName = origName+"_plugin";
47         String frameName = origName+"_framework";
48
49         original.rename(pluginName);
50         frame = parent.makePackage(frameName);
51         plugin = original;
52
53         // Step 2: create an empty framework abstract factory class and empty
54         // plugin concrete factory class that have a subclassing relationship
55         abstractFactory = frame.makeAbstractClass("Factory");
56         concreteFactory = plugin.makeSubClass("Factory", abstractFactory);
57
58         // Step 3: populate FW with original/plugin classes
59         for (RPackageMember pm : plugin.getMembers()) { //pm could be a class, interface,
60             enum, @Interface, package
61
62             Element pm_bttf = getBttfElementFromR3PM(pm, origName, pluginName, frameName);
63
64             if(pm_bttf != null && pm_bttf.getIdentifier() != null){
65                 boolean fully_belongs_to_framework =
66                     pm_bttf.belongLevelFW().equals(FWPlBelongLevel.FULLY_BELONGS_FW);
67                 boolean only_parts_belong_to_framework =
68                     pm_bttf.belongLevelFW().equals(FWPlBelongLevel.PARTIALLY_BELONGS_FW);
69
70                 // Step 3.a: if members of a FW concrete class have constructor calls,
71                 // the class must be processed as it partially belongs to the framework
72                 // so we can apply liftConstructorCalls later
73                 if((fully_belongs_to_framework == true)
74                     && !pm.isInterface()
75                     && pm.isConcrete()
76                     && pm_bttf.needsLocalConstructor())
77                 {
78                     fully_belongs_to_framework = false;
79                     only_parts_belong_to_framework = true;
80                 }
81
82                 // Step 3.b: create abstract classes for classes that partially
83                 // belongs to the framework
84                 if (only_parts_belong_to_framework == true) {
85                     if (!pm.isInterface()) {

```

```

84     System.out.println("make FW abstract class: " + pm.getName());
85     RClass ac = frame.makeAbstractClass(pm.getName());
86
87     if(pm.getExtends() != null){
88         System.out.println("current extends of pm: " + pm.fullName + " " +
89             pm.getExtends().fullName);
90     }
91     if(ac.getExtends() != null){
92         System.out.println("current extends of ac: " + ac.fullName + " " +
93             ac.getExtends().fullName);
94     }
95
96     ac.makeExtends(pm.getExtends());
97     pm.makeExtends(ac);
98     FW.add((RType)pm);
99
100    else if (pm.isInterface()) {
101        RInterface i = frame.makeInterface(pm.getName());
102        i.makeExtends(pm.getExtends());
103        pm.makeExtends(i);
104        FW.add((RType)pm);
105    }
106
107    else if (pm instanceof REnum || pm instanceof RAnnotation) {
108        //BTTF DOES NOT ALLOW THIS because @interface and enum cannot extend
109    }
110
111    }//END OF if(pm_bttf != null && pm_bttf.getIdentifier() != null){
112
113    // Step 4: lift constructor calls
114    for (RType t : FW) {
115        for(RTypeMember m : t.getMembers()){
116            Element m_bttf = getBttfElementFromR3PM(m, origName, pluginName, frameName);
117            if(m_bttf != null && m_bttf.getIdentifier() != null){
118                if(!m_bttf.isIs_hook()){
119                    m.liftConstructorCalls(FW); //this method does the hard work
120                }
121            }
122        }
123    }
124
125    }//END OF for (RPackageMember pm : plugin.getMembers()) {
126
127    // Step 5: move or pull apart types that partially belong to the framework
128    for (RPackageMember pm : plugin.getMembers()) {
129        Element pm_bttf = getBttfElementFromR3PM(pm, origName, pluginName, frameName);
130        if(pm_bttf != null && pm_bttf.getIdentifier() != null){
131            boolean fully_belongs_to_framework =
132                pm_bttf.belongLevelFW().equals(FWPlBelongLevel.FULLY_BELONGS_FW);
133            boolean only_parts_belong_to_framework =
134                pm_bttf.belongLevelFW().equals(FWPlBelongLevel.PARTIALLY_BELONGS_FW);
135
136            if((fully_belongs_to_framework == true)
137                && !pm.isInterface())

```

```

134     && pm.isConcrete()
135     && pm_bttf.needsLocalConstructor()
136 ) {
137     fully_belongs_to_framework = false;
138     only_parts_belong_to_framework = true;
139 }
140
141
142 if(fully_belongs_to_framework == true){
143     lifted.add(pm.getFullName());
144     pm.liftAllTypes(FW);
145
146     //move all contents to framework package
147     pm.move(frame);
148     pm.setPublic();
149     for(RTypeMember m : pm.getMembers()){
150         if(m.isConstructor()){
151             m.setPublic();
152         }
153         else if(!m.isProtected()){
154             m.setPublic();
155         }
156     }
157     continue;
158 }
159
160 if (only_parts_belong_to_framework == true){
161     RType absT = pm.getParent(); //created previously
162     for(RTypeMember m : pm.getMembers()){
163         Element m_bttf = getBttfElementFromR3PM(m, origName, pluginName, frameName);
164         if(m_bttf != null && m_bttf.getIdentifier() != null){
165             boolean m_fully_belongs_to_framework =
166                 m_bttf.belongLevelFW().equals(FWP1BelongLevel.FULLY_BELONGS_FW);
167             if(m_fully_belongs_to_framework){
168                 if(!m.isConstructor() && ( m_bttf.isIs_hook() || m.isLocalFactory() )){
169                     if(!m.isPrivate() && !m.isProtected() && !m.isPublic()){
170                         m.setProtected();
171                     }
172                     absT.makeAbstractMethodWithLiftedSignatureTypes(m,FW);
173                 }
174                 else if(m.isConstructor()){
175                     m.setPublic();
176                     RMethod f = concreteFactory.makeFactoryWithLiftedSignatureTypes(m, FW);
177                     abstractFactory.makeAbstractMethod(f);
178                     lifted.add(pm.getFullName());
179                     abstractFactory.liftAllTypes(FW);
180
181                     absT.addConstructor((RMethod)m);
182                 }
183                 else {
184                     lifted.add(m.getFullName());
185                     m.liftAllTypes(FW);
186                     m.promote();
187                     if(!m.isProtected()){

```

```

187         m.setPublic();
188     }
189 }
190 }//END OF if(m.fully_belongs_to_framework){
191 else{
192     lifted.add(m.getFullName());
193     m.liftAllTypes(FW);
194 }
195 }//END OF if(m_bttf != null && m_bttf.getIdentifier() != null){
196 }//END OF for(RTypeMember m : pm.getMembers()){
197 if(absT != null){
198     lifted.add(absT.getFullName());
199     absT.liftAllTypes(FW);
200 }
201 pm.liftAllTypes(FW);
202 }//END OF if (pm.only_parts_belong_to_framework == true){
203
204
205 }//END OF if(pm_bttf != null && pm_bttf.getIdentifier() != null){
206 }//END OF for (RPackageMember pm : plugin.getMembers()) {
207
208 }//END OF for(Element packag : packages){
209 printNotFound();
210 printLifted();
211 }
212
213 private Element getBttfElementFromR3PM(RPackageMember pm, String origPName, String
    plPName, String fwPName){
214     Element pm_bttf = null;
215     String fullName = null;
216
217     if (pm instanceof RType){
218         fullName = ((RType)pm).fullName;
219     }
220     else if (pm instanceof REnum){
221         fullName = ((REnum)pm).fullName;
222     }
223     else if (pm instanceof RAnnotation){
224         fullName = ((RAnnotation)pm).fullName;
225     }
226     else{
227         fullName = pm.fullName;
228     }
229
230     if(fullName.startsWith(plPName + ".") && !fullName.startsWith(origPName + ".")){
231         fullName = fullName.replaceFirst(plPName + ".", origPName + ".");
232     }
233     pm_bttf = PartitionHelper.get_element_from_string(partition.get_elements(),
        fullName);
234
235     if(pm_bttf == null){
236         addToNotFound(fullName);
237     }
238     return pm_bttf;

```

```

239
240 }
241
242 private Element getBttfElementFromR3PM(RTypeMember tm, String origPName, String
    plPName, String fwPName){
243     String fullName = null;
244
245     if (tm instanceof RMethod){
246         fullName = ((RMethod)tm).getSignature();
247         fullName = fullName.replace("\\n\\r", " ");
248         fullName = fullName.replace("\\r\\n", " ");
249         fullName = fullName.replace("\\r", " ");
250         fullName = fullName.replace("\\t", " ");
251         if(fullName.contains(" throws ")){
252             int endIndex = fullName.lastIndexOf(" ", fullName.indexOf(" throws "));
253             fullName = fullName.substring(0, endIndex+1);
254         }
255
256         fullName = fullName.replaceAll("(\\s+\\()", "(");
257         fullName = fullName.replaceAll("(\\(\\s+)", "(");
258         fullName = fullName.replaceAll("(\\s+\\))", ")");
259         fullName = fullName.replaceAll("(\\s+,\\s{0})", ", ");
260         fullName = fullName.replaceAll("(\\s){2,}", " ");
261
262         fullName = fullName.trim();
263
264         String parentFullName = ((RMethod)tm).getRType().fullName;
265         if(!fullName.startsWith(parentFullName)){
266             fullName = parentFullName + "." + fullName;
267         }
268     }
269     else if (tm instanceof RFieldDeclaration){
270         fullName = ((RFieldDeclaration)tm).getFullName();
271         String parentFullName = ((RFieldDeclaration)tm).getRType().fullName;
272         if(!fullName.contains(parentFullName)){
273             fullName = parentFullName + "." + fullName;
274         }
275     }
276     else{
277         fullName = tm.getFullName();
278     }
279
280     if(fullName.startsWith(plPName + ".") && !fullName.startsWith(origPName + ".")){
281         fullName = fullName.replaceFirst(plPName + ".", origPName + ".");
282     }
283     Element tm_bttf =
        PartitionHelper.get_element_from_string(partition.get_elements(), fullName);
284     if(tm_bttf == null){
285         addToNotFound(fullName);
286     }
287     return tm_bttf;
288 }
289
290 private void addToNotFound(String fullName){

```

```
291  if(!notFound.contains(fullName)){
292      notFound.add(fullName);
293  }
294  }
295
296  private void printNotFound(){
297      for(String s : notFound){
298          System.out.println("Not found in BttF: " + s);
299      }
300  }
301
302  private void printLifted(){
303      for(String s : lifted){
304          System.out.println("liftAllTypes(): " + s);
305      }
306  }
307
308  }
```

---

## Appendix C

### Class diagrams of framework and plug-in programs

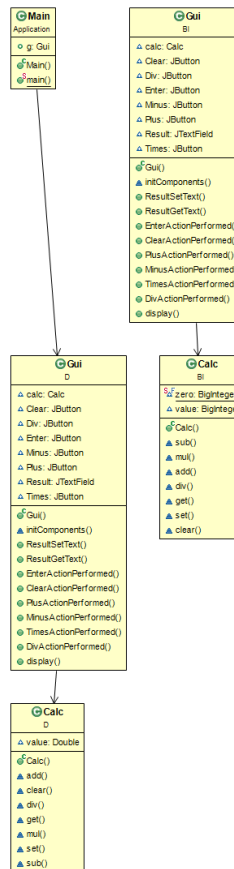


Figure C.1: Calc Java Program. Original class diagram.

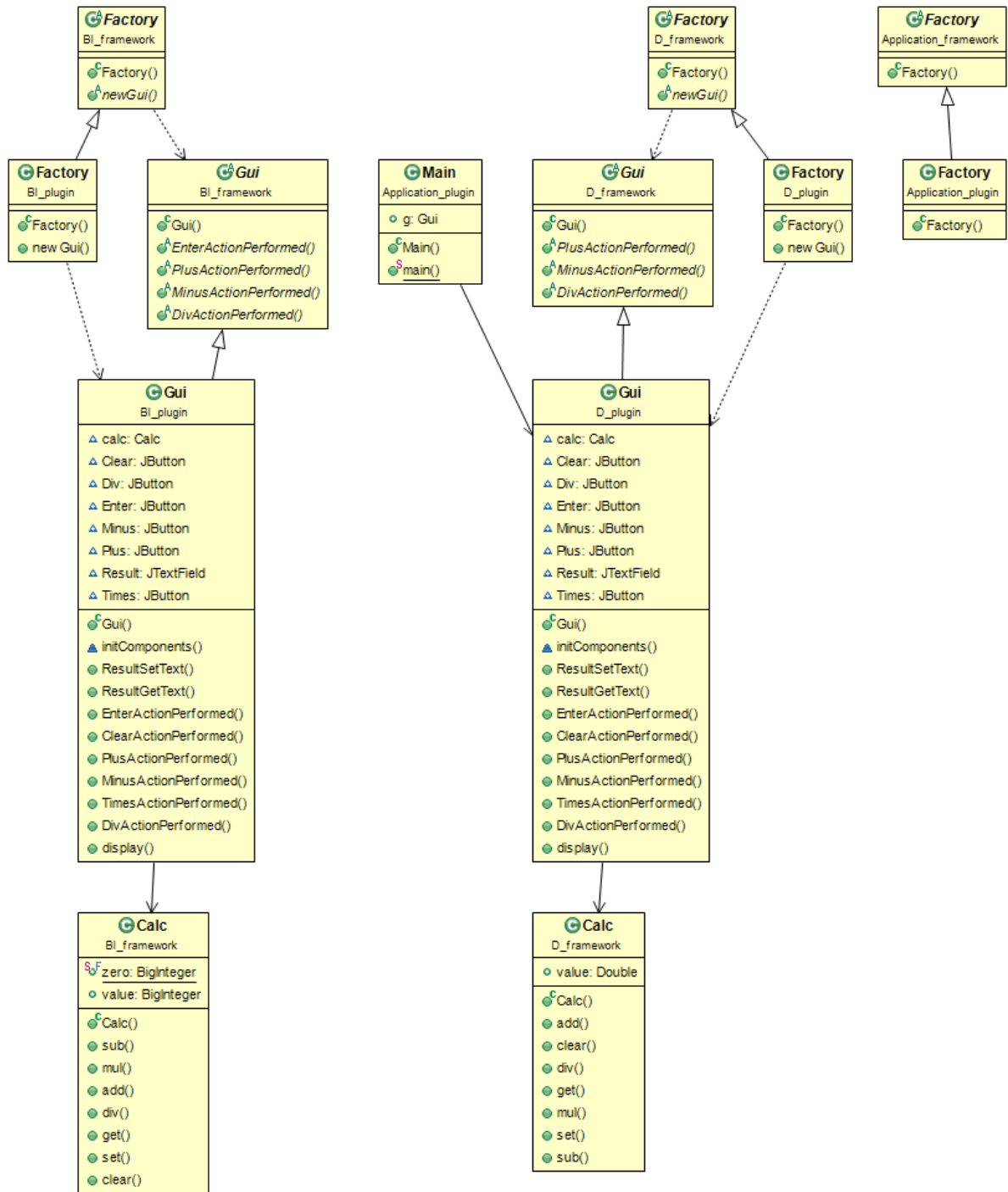


Figure C.2: Calc Java Program. Class diagram after framework and plug-in transformation.



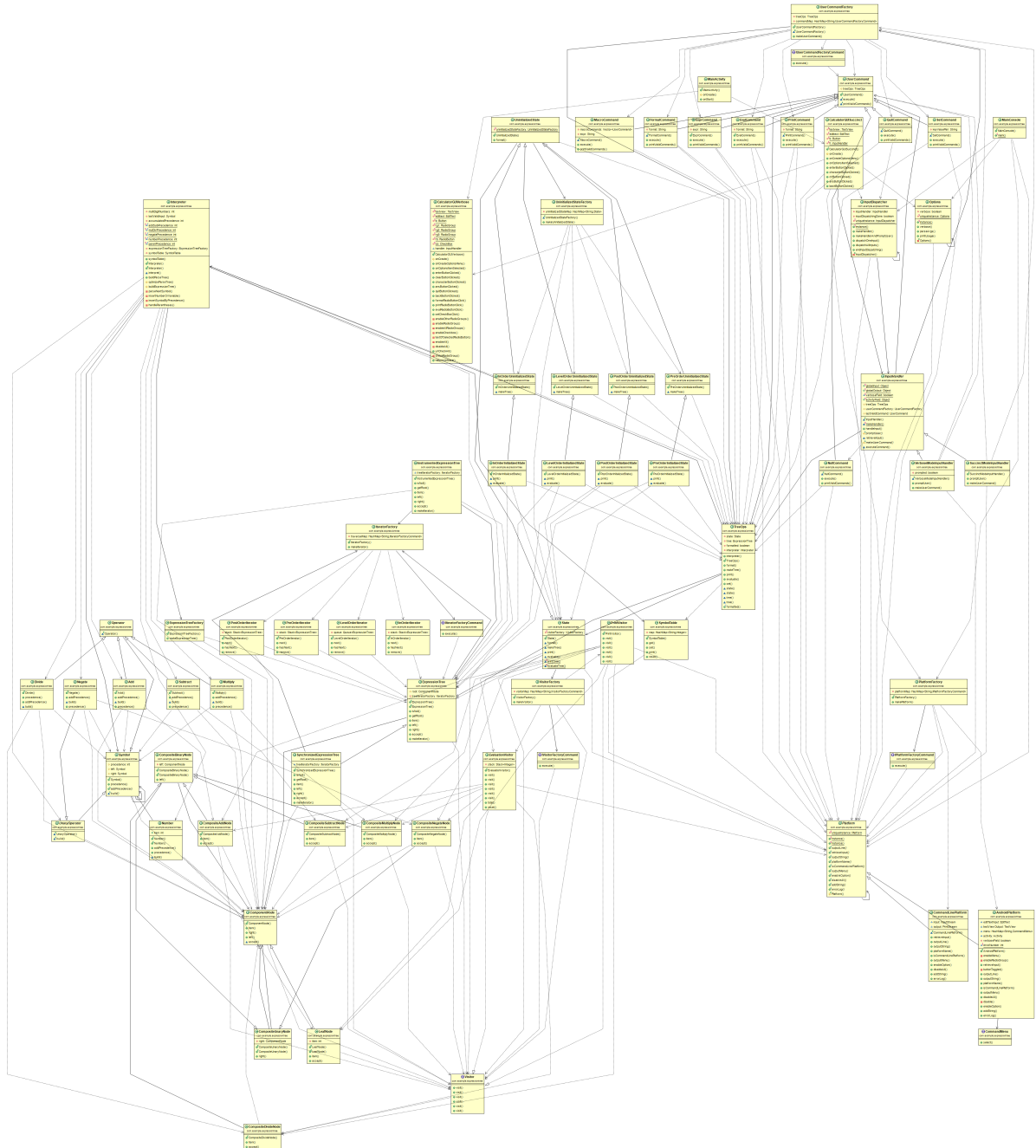


Figure C.3: ExpressionTree Java Program. Original class diagram.

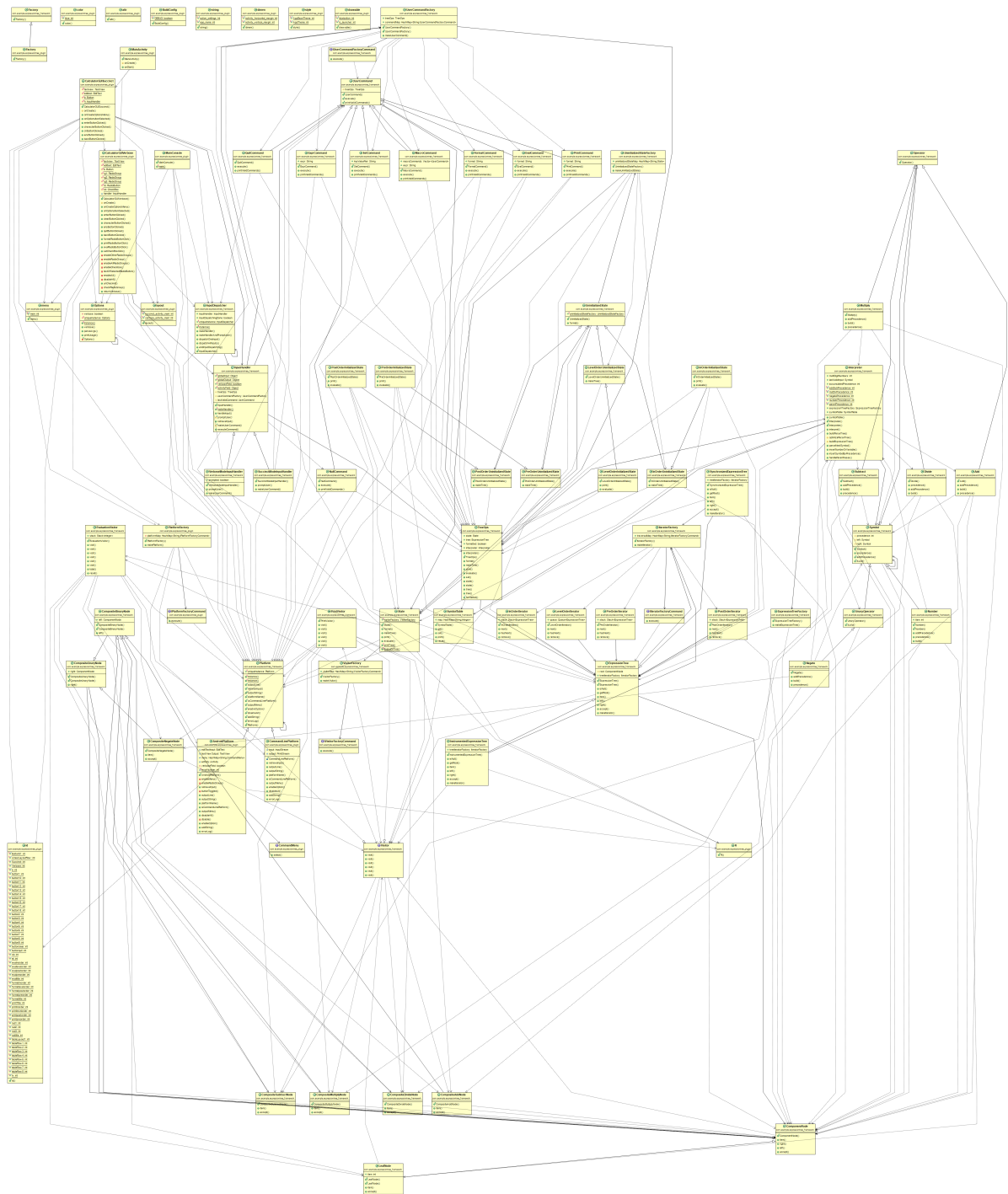


Figure C.4: ExpressionTree Java Program. Class diagram after framework and plug-in transformation.

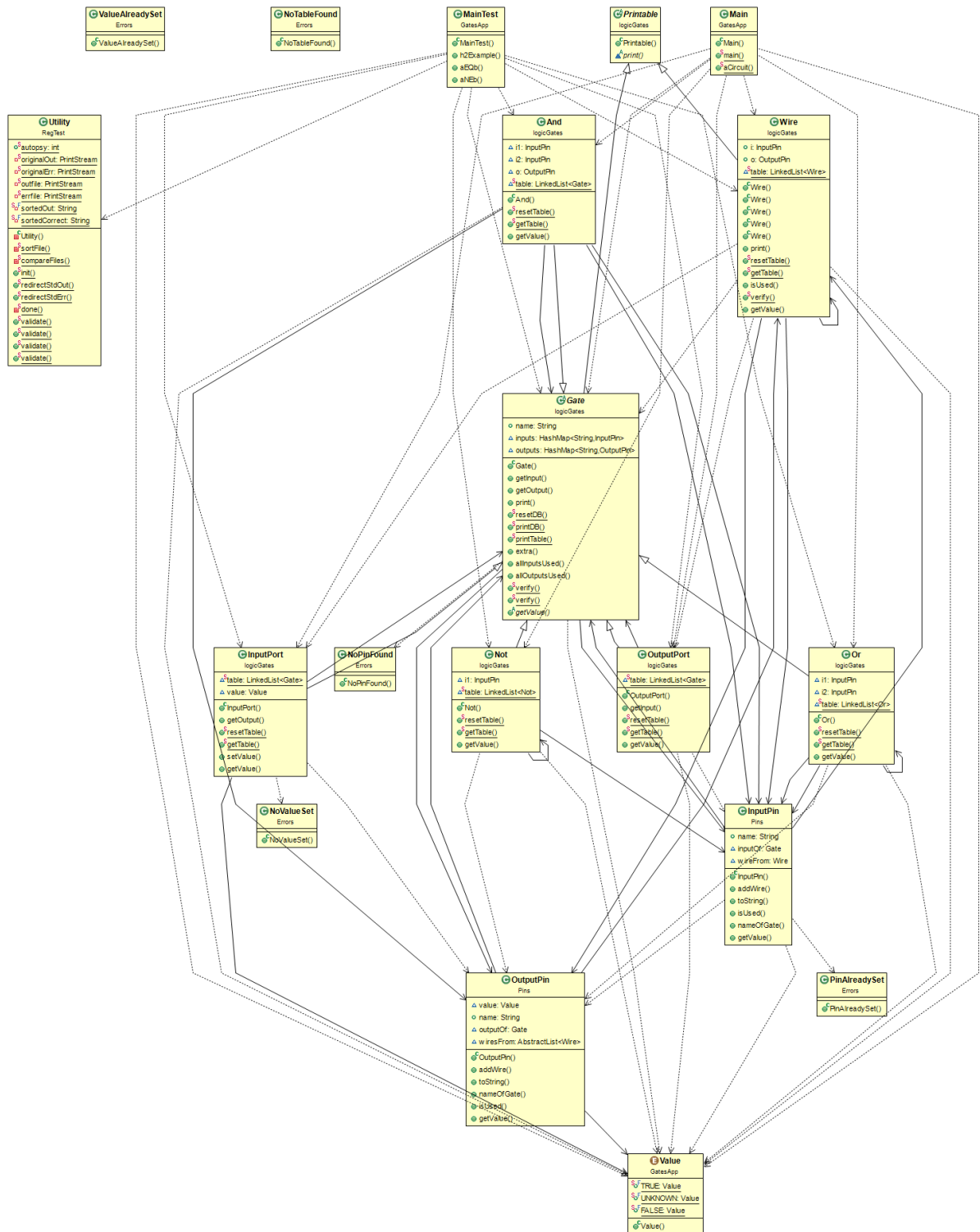
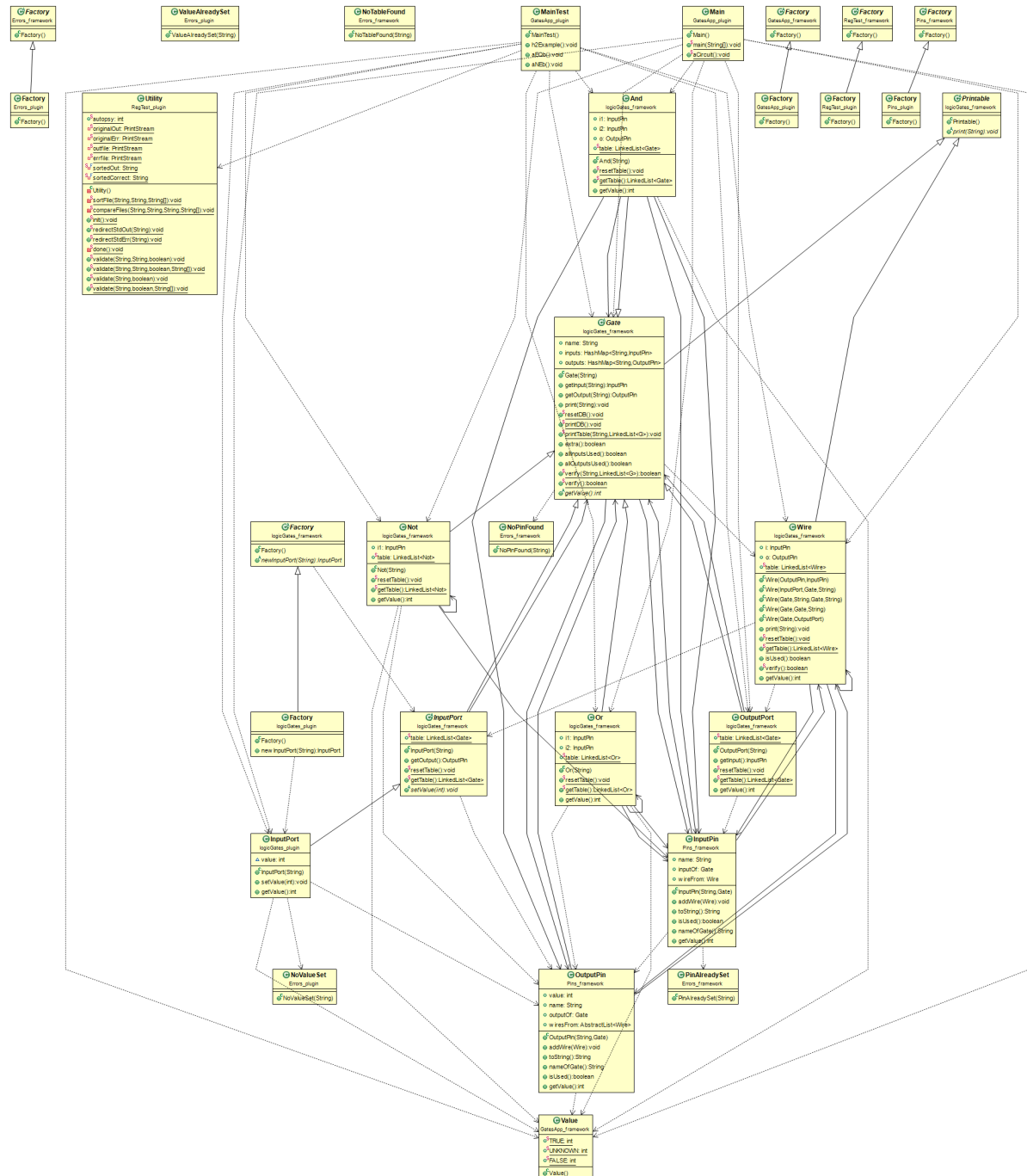


Figure C.5: Gates Java Program. Original class diagram.



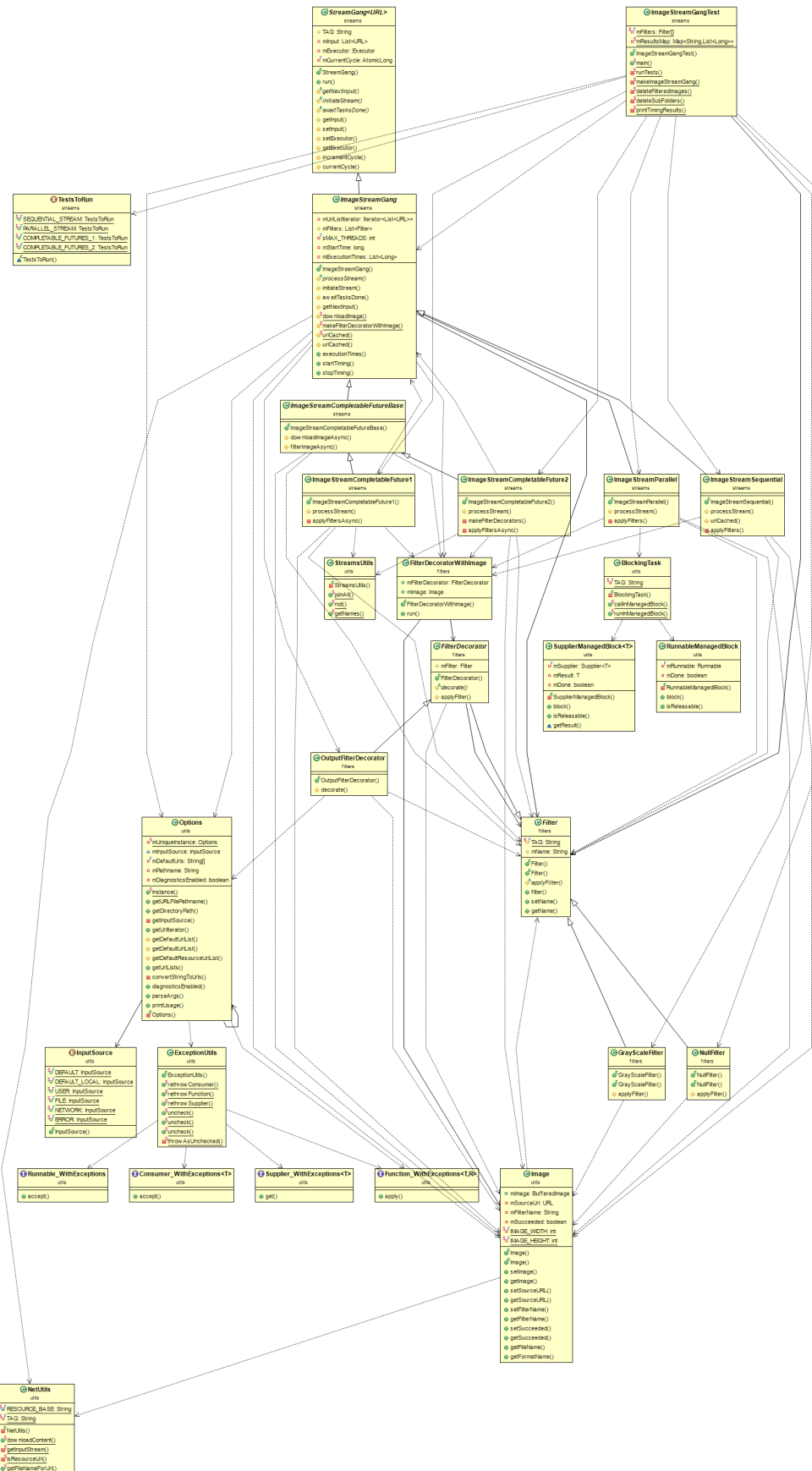
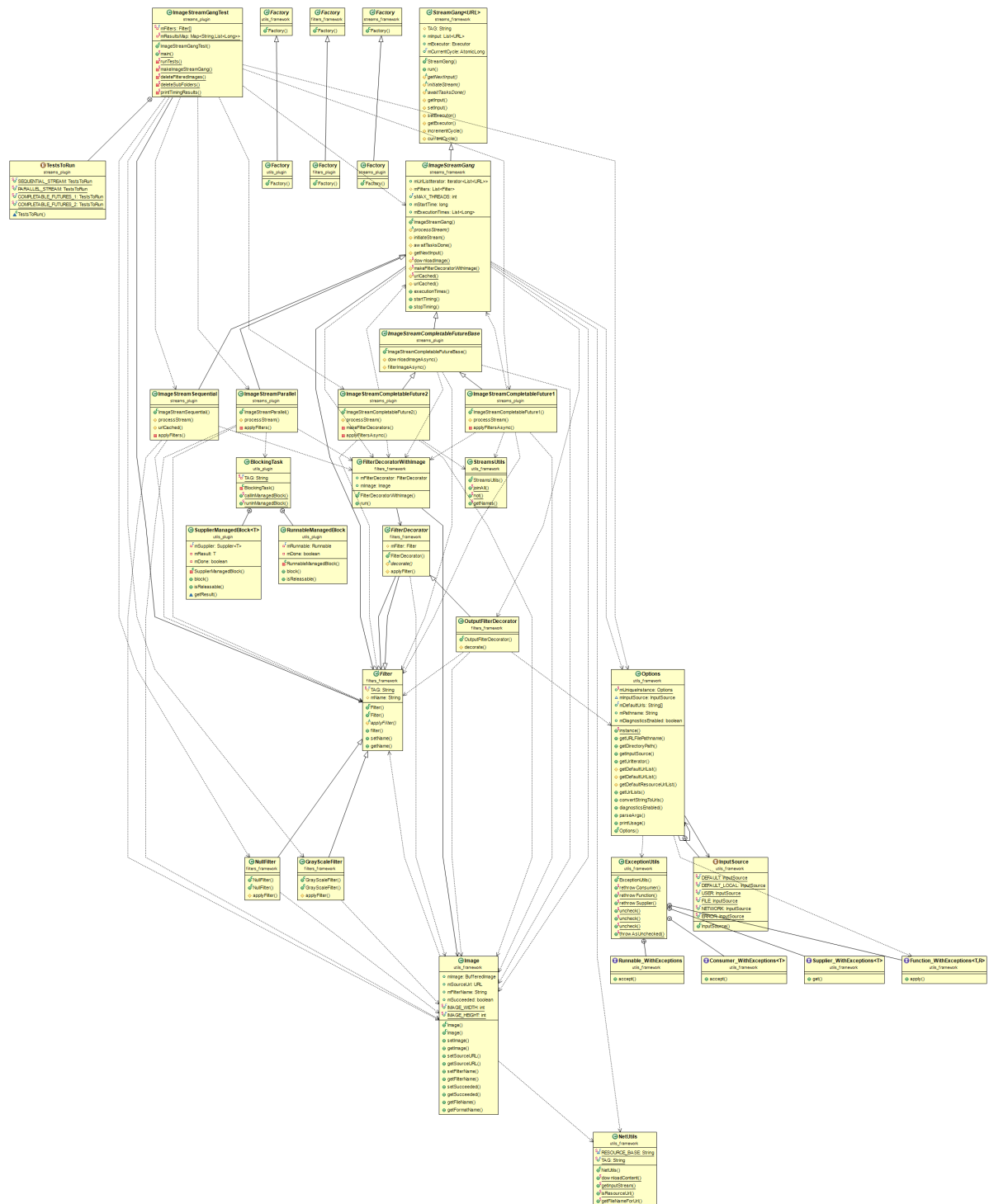


Figure C.7: ImageStreamGangApp Java Program. Original class diagram.



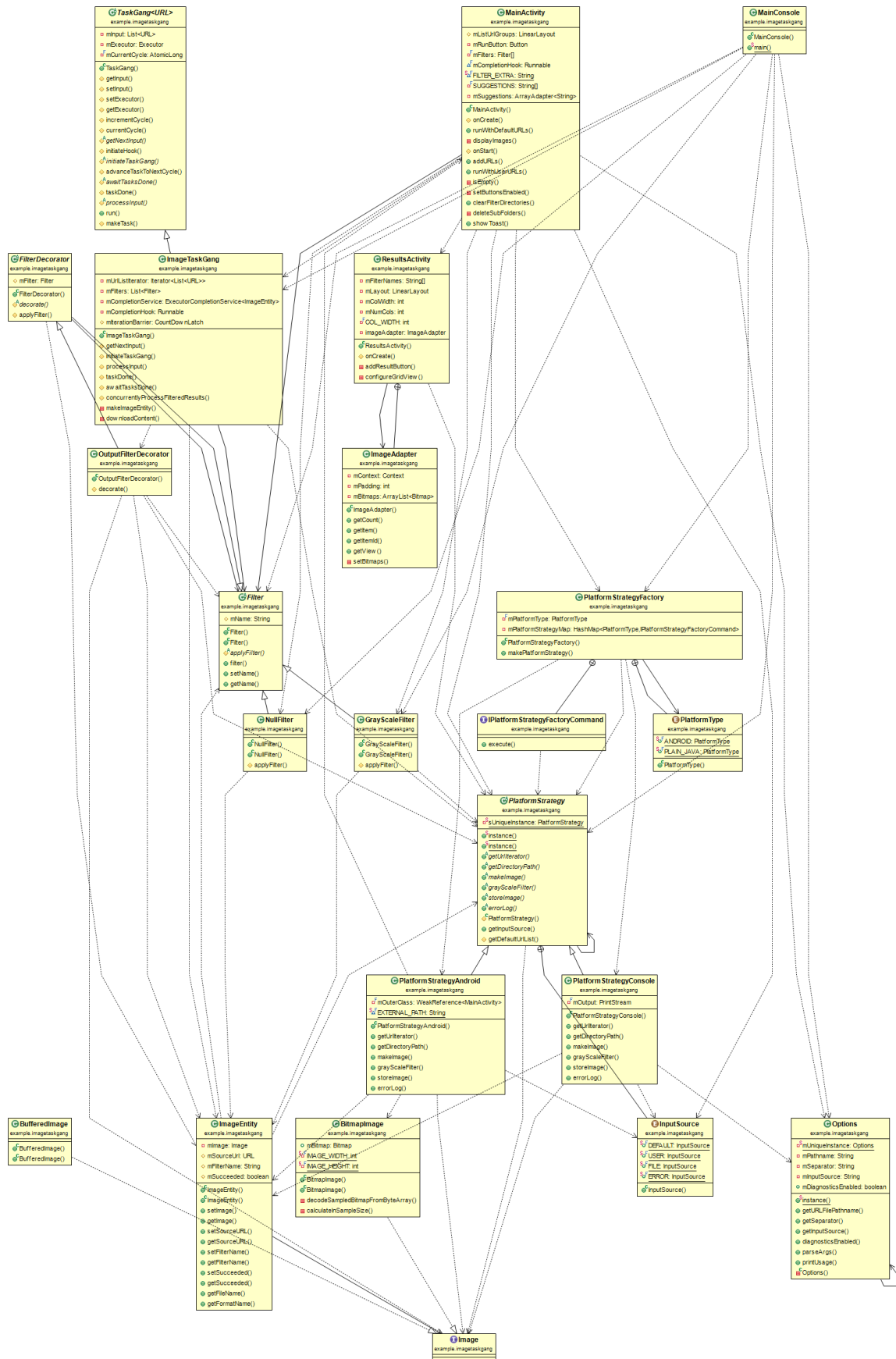


Figure C.9: ImageTaskGangApplication Java Program. Original class diagram.







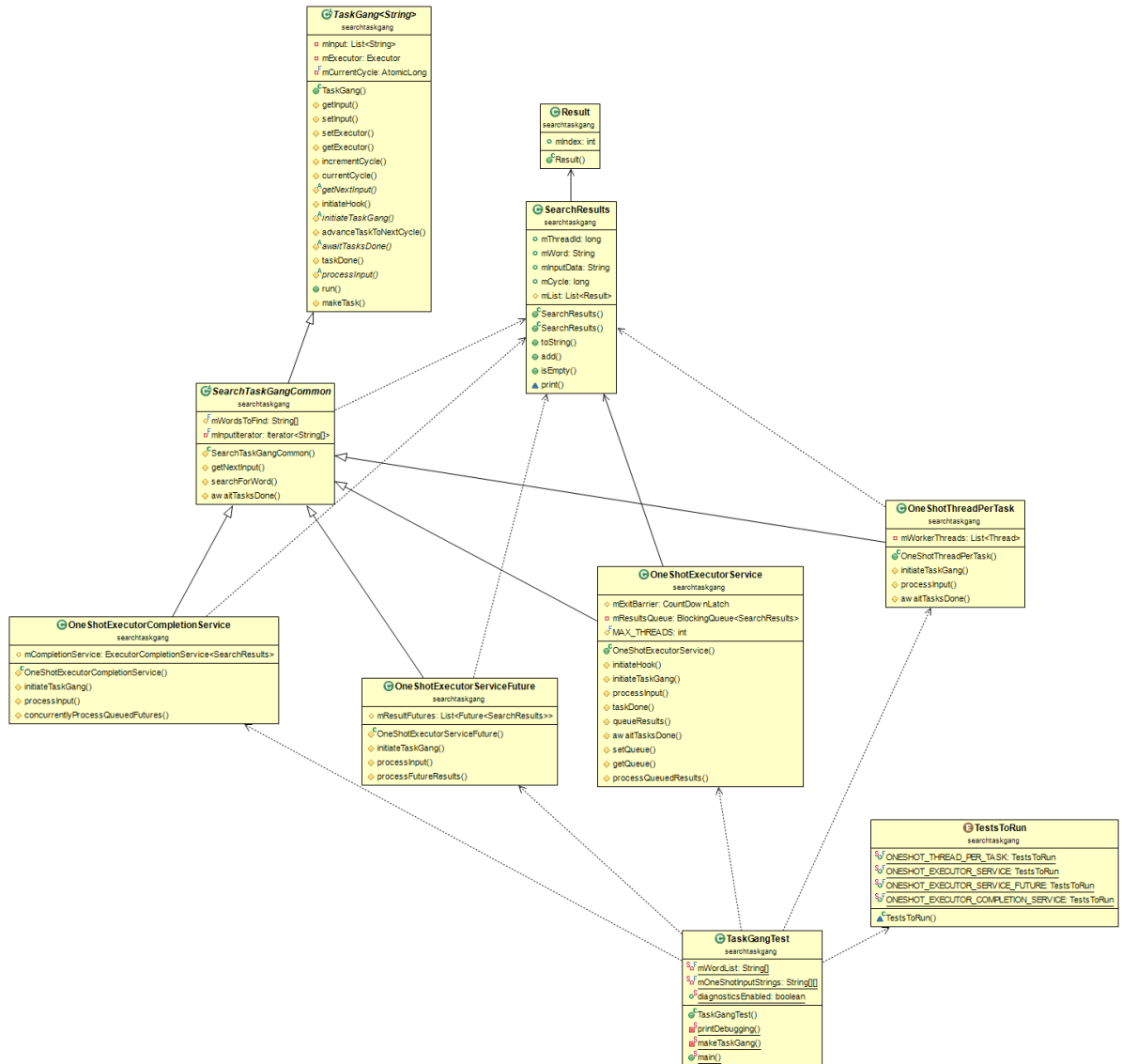


Figure C.11: SearchTaskGang Java Program. Original class diagram.

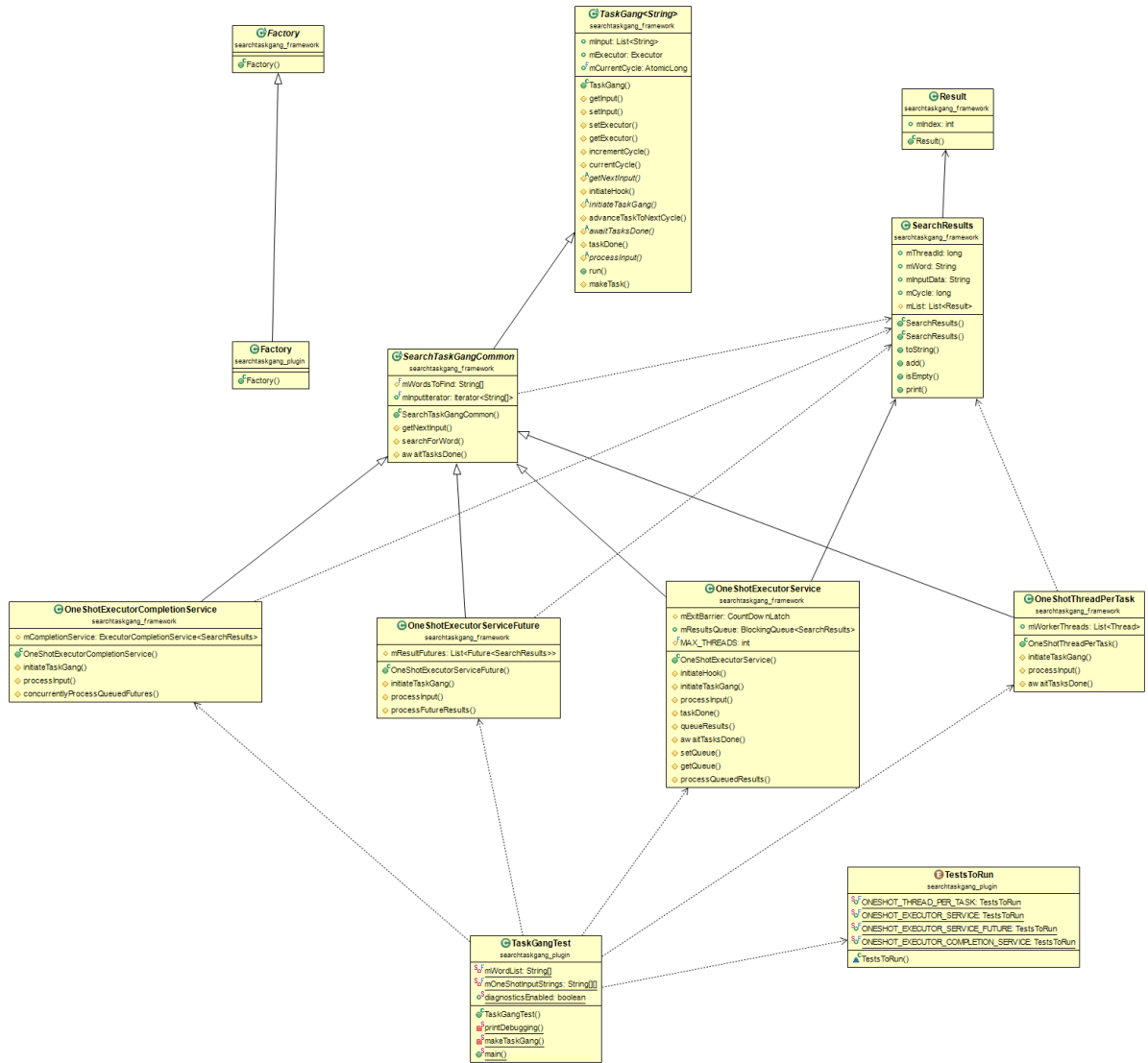


Figure C.12: SearchTaskGang Java Program. Class diagram after framework and plug-in transformation.

# Appendix D

## GAMA platform source code modification

We cloned GAMA platform source code from their open source GitHub repository<sup>1</sup> on August 2016. The class we modified is `GamlModelBuilder`, located in `/msi.gama.lang.gaml/src/msi/gama/lang/gaml/resource/` path. The final source code of this class can be observed in Listing D.1. We made the following changes:

- Located in line 156 is method `getInfo`. This method is executed when a user clicks on Refresh metadata option, in a model's contextual menu. We added a call to method `bttf_getCRG` in line 171. `bttf_getCRG` is one of the new methods we implemented in this class.
- From line 211 to the end of the file, a reader can found the methods we implemented to traverse a model's AST, get information about its declarations and their relationships, and finally save them in a CSV file. Figure D.1 shows an example of this CSV output file. It contains all the reference relationships found in a GAML model. This contents serve as input for B<sup>t</sup>F, which processes them to create a CRG.

These changes were made to our local repository copy, and were not pushed to Gama platform repository.

Listing D.1: `GamlModelBuilder` class source code.

```
1 /*****
2  *
3  *
4  * 'GamlResourceBuilder.java', in plugin 'msi.gama.lang.gaml', is part of the source
   code of the
5  * GAMA modeling and simulation platform.
6  * (c) 2007-2014 UMI 209 UMMISCO IRD/UPMC & Partners
7  *
8  * Visit https://code.google.com/p/gama-platform/ for license information and
   developers contact.
```

<sup>1</sup> <https://github.com/gama-platform/gama/>

```

9  *
10 *
11 *****/
12 package msi.gama.lang.gaml.resource;
13
14 import java.io.*;
15 import java.util.*;
16 import org.eclipse.emf.common.util.*;
17 import org.eclipse.emf.ecore.EAttribute;
18 import org.eclipse.emf.ecore.EObject;
19 import org.eclipse.emf.ecore.resource.Resource;
20 import org.eclipse.xtext.resource.XtextResourceSet;
21 import gnu.trove.set.hash.TLinkedHashSet;
22 import msi.gama.common.interfaces.IKeyword;
23 import msi.gama.kernel.model.IModel;
24 import msi.gama.lang.gaml.gaml.*;
25 import msi.gama.lang.gaml.gaml.impl.ActionDefinitionImpl;
26 import msi.gama.lang.utils.EGaml;
27 import msi.gama.util.file.GAMLFile;
28 import msi.gaml.compilation.*;
29 import msi.gaml.descriptions.*;
30
31 /**
32  * Class GamlResourceBuilder.
33  *
34  * @author drogoul
35  * @since 8 avr. 2014
36  *
37  */
38 public class GamlModelBuilder implements IModelBuilder {
39
40     XtextResourceSet buildResourceSet = new XtextResourceSet();
41
42     GamlResource fakeResource;
43     static URI fakeURI = URI.createURI("temp_builder.gaml", false);
44
45     // private static GamlModelBuilder instance = new GamlModelBuilder();
46     //
47     // public static GamlModelBuilder getInstance() {
48     //     return instance;
49     // }
50
51     public GamlModelBuilder() {
52
53         buildResourceSet.setClasspathURIContext(GamlModelBuilder.class);
54     };
55
56     /**
57      * Validates the GAML model inside the resource and returns an ErrorCollector
58      * (which can later be probed for
59      * internal errors, imported errors, warnings or infos)
60      * @param resource must not be null
61      * @return an instance of ErrorCollector (never null)
62      */

```

```

62 @Override
63 public ErrorCollector validate(final Resource resource) {
64     GamlResource r = (GamlResource) resource;
65     r.validate(resource.getResourceSet());
66     return r.getErrorCollector();
67 }
68
69 @Override
70 public ErrorCollector validate(final URI resource) {
71     try {
72         GamlResource r = (GamlResource) buildResourceSet.createResource(resource);
73         return validate(r);
74     } finally {
75         buildResourceSet.getResources().clear();
76     }
77 }
78
79 /**
80  * Builds an IModel from the resource.
81  * @param resource must not be null
82  * @return an instance of IModel or null if the validation has returned errors (use
83  *         validate(GamlResource) to
84  *         retrieve them if it is the case, or use the alternate form).
85  */
86 @Override
87 public IModel compile(final Resource resource) {
88     return compile(resource.getURI());
89 }
90
91 @Override
92 public IModel compile(final URI uri) {
93     return compile(uri, new ArrayList());
94 }
95
96 /**
97  * Builds an IModel from the resource, listing all the errors, warnings and infos
98  * that occurred
99  * @param resource must not be null
100  * @param a list of errors, warnings and infos that occurred during the build. Must
101  *        not be null and must accept the
102  *        addition of new elements
103  * @return an instance of IModel or null if the validation has returned errors.
104  */
105 @Override
106 public IModel compile(final Resource resource, final List<GamlCompilationError>
107     errors) {
108     return compile(resource.getURI(), errors);
109 }
110
111 @Override
112 public IModel compile(final URI uri, final List<GamlCompilationError> errors) {
113     try {
114         GamlResource r = (GamlResource) buildResourceSet.createResource(uri);
115         return r.build(r.getResourceSet(), errors);
116     }

```

```

112 } finally {
113     buildResourceSet.getResources().clear();
114 }
115 }
116
117 /**
118  * Creates a model from an InputStream (which can represent the contents of a file
119  * or a string. Be aware that all the context will be lost when using this
120  * method, i.e. paths relative to the model
121  * being compiled will be resolved against the a fake URI
122  * @see msi.gama.common.interfaces.IModelBuilder#compile(java.io.InputStream,
123  * java.util.List)
124  */
125
126 @Override
127 public IModel compile(final InputStream contents, final List<GamlCompilationError>
128     errors) {
129     try {
130         getFreshResource().load(contents, null);
131         return compile(fakeResource, errors);
132     } catch (Exception e1) {
133         e1.printStackTrace();
134         return null;
135     }
136 }
137
138 private synchronized GamlResource getFreshResource() {
139     if ( fakeResource == null ) {
140         fakeResource = (GamlResource) buildResourceSet.createResource(fakeURI);
141     } else {
142         fakeResource.unload();
143     }
144     return fakeResource;
145 }
146
147 @Override
148 public ModelDescription buildModelDescription(final URI uri, final
149     List<GamlCompilationError> errors) {
150     try {
151         GamlResource r = (GamlResource) buildResourceSet.createResource(uri);
152         return r.buildDescription(r.getResourceSet(), errors);
153     } finally {
154         buildResourceSet.getResources().clear();
155     }
156 }
157
158 // private static final Set<String> EXTS =
159     GamaFileType.extensionsToFullType.keySet();
160
161 @Override
162 public GAMLFile.GamlInfo getInfo(final URI uri, final long stamp) {
163     /* Synchronized */XtextResourceSet infoResourceSet = new /* Synchronized
164         */XtextResourceSet();
165     try {

```

```

159
160   GamlResource r = (GamlResource) infoResourceSet.createResource(uri);
161   r.load(Collections.EMPTY_MAP);
162   TreeIterator<EObject> tree = r.getAllContents();
163   Set<String> imports = new TLinkedHashSet();
164   Set<String> uses = new TLinkedHashSet();
165   Set<String> exps = new TLinkedHashSet();
166
167   /*
168    * Bttf code
169    * This method has been embedded in the "Refresh metadata" option for a GAML
170    * program
171    */
172   bttf_getCRG(r);
173
174   while (tree.hasNext()) {
175     EObject e = tree.next();
176     if ( e instanceof StringLiteral ) {
177       String s = ((StringLiteral) e).getOp();
178       if ( s.length() > 4 ) {
179         URI u = URI.createFileURI(s);
180         String ext = u.fileExtension();
181         if ( GamaBundleLoader.HANDLED_FILE_EXTENSIONS.contains(ext) ) {
182           uses.add(s);
183         }
184       }
185     } else if ( e instanceof S_Experiment ) {
186       String s = ((S_Experiment) e).getName();
187       Map<String, Facet> f = EGaml.getFacetsMapOf((Statement) e);
188       Facet typeFacet = f.get(IKeyword.TYPE);
189       if ( typeFacet != null ) {
190         String type = EGaml.getKeyOf(typeFacet.getExpr());
191         if ( IKeyword.BATCH.equals(type) ) {
192           s = GAMLFile.GamlInfo.BATCH_PREFIX + s;
193         }
194       }
195       exps.add(s);
196     } else if ( e instanceof Import ) {
197       imports.add(((Import) e).getImportURI());
198       tree.prune();
199     }
200   }
201
202   return new GAMLFile.GamlInfo(stamp, imports, uses, exps);
203 } catch (IOException e1) {
204   e1.printStackTrace();
205   return null;
206 } finally {
207   infoResourceSet.getResources().clear();
208 }
209 }
210
211 /*

```

```

212  * Bttf related methods implementation starts here...
213  */
214  private void bttf_getCRG(GamlResource codeResource){
215      boolean debug = false;
216
217      String program_name =
218          bttf_getModelName(codeResource.getAbsolutePath().toOSString());
219      ArrayList<Bttf_Declaration> dec_list = new ArrayList<Bttf_Declaration>();
220      ArrayList<Bttf_Reference> ref_list = new ArrayList<Bttf_Reference>();
221
222      bttf_getMainContainmentTree(
223          codeResource.getAllContents(),
224          "",
225          dec_list,
226          ref_list);
227
228      bttf_getReferencesFile(program_name, dec_list, ref_list);
229
230      if(debug){
231          System.out.println("*****");
232          System.out.println(program_name);
233          System.out.println("Start getting tree");
234          System.out.println(dec_list.toString());
235          System.out.println(ref_list.toString());
236          System.out.println("End getting tree");
237      }
238
239      private String bttf_getModelName(String abs_path){
240          int idxSlash = abs_path.lastIndexOf('\\');
241          int idxDot = abs_path.lastIndexOf('.');
242          if (idxSlash != -1 && idxDot != -1 && idxSlash < idxDot){
243              return abs_path.substring(idxSlash+1, idxDot);
244          }
245          return "";
246      }
247
248      private void bttf_getMainContainmentTree(TreeIterator<EObject> tree, String parent,
249          ArrayList<Bttf_Declaration> dec_list, ArrayList<Bttf_Reference> ref_list){
250          while (tree.hasNext()) {
251              EObject e = tree.next();
252              if ( e instanceof S_Global ){
253                  bttf_getElement((S_Global)e, parent, dec_list, ref_list);
254              } if ( e instanceof S_Species ){
255                  bttf_getElement((S_Species)e, parent, dec_list, ref_list);
256              } if ( e instanceof S_Experiment ) {
257                  bttf_getElement((S_Experiment)e, parent, dec_list, ref_list);
258              } if ( e instanceof Import ) {
259                  bttf_getElement((Import)e, parent, dec_list, ref_list);
260              }
261          }
262
263      private void bttf_getContainmentTree(TreeIterator<EObject> tree, String parent,

```



```

        ArrayList<Bttf_Declaration> dec_list, ArrayList<Bttf_Reference> ref_list){
264 while (tree.hasNext()) {
265     EObject e = tree.next();
266     if ( e instanceof S_Global ){
267         bttf_getElement((S_Global)e, parent, dec_list, ref_list);
268     } if ( e instanceof S_Species ){
269         bttf_getElement((S_Species)e, parent, dec_list, ref_list);
270     } if ( e instanceof S_Reflex ) {
271         bttf_getElement((S_Reflex)e, parent, dec_list, ref_list);
272     } if ( e instanceof S_Action ) {
273         bttf_getElement((S_Action)e, parent, dec_list, ref_list);
274     } if ( e instanceof S_Var ) {
275         bttf_getElement((S_Var)e, parent, dec_list, ref_list);
276     } if ( e instanceof S_Declaration ) {
277         bttf_getElement((S_Declaration)e, parent, dec_list, ref_list);
278     } if ( e instanceof S_Experiment ) {
279         bttf_getElement((S_Experiment)e, parent, dec_list, ref_list);
280     } if ( e instanceof Import ) {
281         bttf_getElement((Import)e, parent, dec_list, ref_list);
282     }
283 }
284 }
285
286 private void bttf_getReferenceTree(TreeIterator<EObject> tree, String fromWCont,
        ArrayList<Bttf_Reference> ref_list){
287 while (tree.hasNext()) {
288     EObject e = tree.next();
289     if ( e instanceof VariableRef ) {
290         bttf_getReference((VariableRef)e, fromWCont, ref_list);
291     } if ( e instanceof SkillRef ) {
292         bttf_getReference((SkillRef)e, fromWCont, ref_list);
293     } if ( e instanceof ActionRef ) {
294         bttf_getReference((ActionRef)e, fromWCont, ref_list);
295     }
296 }
297 }
298
299
300 private void bttf_getElement(S_Global e, String parent, ArrayList<Bttf_Declaration>
        dec_list, ArrayList<Bttf_Reference> ref_list){
301     bttf_getContainmentTree(e.eAllContents(), parent + "global" + ".", dec_list,
        ref_list);
302 }
303
304 private void bttf_getElement(S_Species e, String parent,
        ArrayList<Bttf_Declaration> dec_list, ArrayList<Bttf_Reference> ref_list){
305     if(e != null && e.getName() != null){
306         String codeName = e.eContainer().hashCode() + "." + e.getName();
307
308         //System.out.println("S_Species," + parent + "." + e.getName() + "," + codeName);
309         Bttf_Declaration dec = new Bttf_Declaration("S_Species", parent + e.getName(),
            codeName);
310         dec_list.add(dec);
311

```

```

312     bttf_getContainmentTree(e.eAllContents(), parent + e.getName() + ".", dec_list,
        ref_list);
313     bttf_getReferenceTree(e.eAllContents(), codeName, ref_list);
314
315 }
316 }
317
318 private void bttf_getElement(S_Reflex e, String parent, ArrayList<BttF_Declaration>
        dec_list, ArrayList<Bttf_Reference> ref_list){
319     if(e != null && e.getName() != null){
320         String codeName = e.eContainer().hashCode() + "." + e.getName();
321
322         //System.out.println("S_Reflex," + parent + "." + e.getName() + "," + codeName);
323         BttF_Declaration dec = new BttF_Declaration("S_Reflex", parent + e.getName(),
            codeName);
324         dec_list.add(dec);
325
326         bttf_getReferenceTree(e.eAllContents(), codeName, ref_list);
327     }
328 }
329
330 private void bttf_getElement(S_Action e, String parent, ArrayList<BttF_Declaration>
        dec_list, ArrayList<Bttf_Reference> ref_list){
331     if(e != null && e.getName() != null){
332         String codeName = e.eContainer().hashCode() + "." + e.getName();
333
334         //System.out.println("S_Action," + parent + "." + e.getName() + "," + codeName);
335         BttF_Declaration dec = new BttF_Declaration("S_Action", parent + e.getName(),
            codeName);
336         dec_list.add(dec);
337
338         bttf_getReferenceTree(e.eAllContents(), codeName, ref_list);
339     }
340 }
341
342 private void bttf_getElement(S_Var e, String parent, ArrayList<BttF_Declaration>
        dec_list, ArrayList<Bttf_Reference> ref_list){
343     if(e != null && e.getName() != null){
344         String codeName = e.eContainer().hashCode() + "." + e.getName();
345
346         //System.out.println("S_Var," + parent + "." + e.getName() + "," + codeName);
347         BttF_Declaration dec = new BttF_Declaration("S_Var", parent + e.getName(),
            codeName);
348         dec_list.add(dec);
349
350     }
351 }
352
353 private void bttf_getElement(S_Declaration e, String parent,
        ArrayList<BttF_Declaration> dec_list, ArrayList<Bttf_Reference> ref_list){
354     if(e != null && e.getName() != null
355         &&
            e.getClass().getName().equals("msi.gama.lang.gaml.gaml.impl.S_DefinitionImpl")){
356         String codeName = e.eContainer().hashCode() + "." + e.getName();

```

```

357
358 //System.out.println("S_Declaration," + parent + "." + e.getName() + "," +
    codeName);
359 BttF_Declaration dec = new BttF_Declaration("S_Declaration", parent +
    e.getName(), codeName);
360 dec_list.add(dec);
361 }
362 }
363
364
365 private void bttf_getElement(S_Experiment e, String parent,
    ArrayList<BttF_Declaration> dec_list, ArrayList<Bttf_Reference> ref_list){
366 if(e != null && e.getName() != null){
367     String codeName = e.eContainer().hashCode() + "." + e.getName();
368
369     //System.out.println("S_Experiment," + parent + "." + e.getName() + "," +
        codeName);
370     BttF_Declaration dec = new BttF_Declaration("S_Experiment", parent + e.getName(),
        codeName);
371     dec_list.add(dec);
372
373     bttf_getContainmentTree(e.eAllContents(), parent + e.getName() + ".", dec_list,
        ref_list);
374     bttf_getReferenceTree(e.eAllContents(), codeName, ref_list);
375 }
376 }
377
378 private void bttf_getElement(Import e, String parent, ArrayList<BttF_Declaration>
    dec_list, ArrayList<Bttf_Reference> ref_list){
379 if(e != null && e.getName() != null){
380     String codeName = e.eContainer().hashCode() + "." + e.getName();
381
382     //System.out.println("Import," + parent + "." + e.getName() + "," + codeName);
383     BttF_Declaration dec = new BttF_Declaration("Import", parent + e.getName(),
        codeName);
384     dec_list.add(dec);
385 }
386 }
387
388 private void bttf_getReference(VariableRef e, String fromWCont,
    ArrayList<Bttf_Reference> ref_list){
389 if(fromWCont != null && e != null && e.getRef() != null){
390     if (e.getRef() instanceof S_Species){
391         bttf_getReference("VariableRef-S_Species", fromWCont, e.getRef(), ref_list);
392     }
393     else if (e.getRef() instanceof S_Reflex){
394         bttf_getReference("VariableRef-S_Reflex", fromWCont, e.getRef(), ref_list);
395     }
396     else if (e.getRef() instanceof S_Action){
397         bttf_getReference("VariableRef-S_Action", fromWCont, e.getRef(), ref_list);
398     }
399     else if (e.getRef() instanceof S_Var){
400         bttf_getReference("VariableRef-S_Var", fromWCont, e.getRef(), ref_list);
401     }

```

```

402     else if (e.getRef() instanceof S_Declaration){
403         if(!(e.getRef().getClass().getName()
404             .equals("msi.gama.lang.gaml.gaml.impl.S_LoopImpl"))){
405             bttf_getReference("VariableRef-S_Declaration", fromWCont, e.getRef(),
406                 ref_list);
407         }
408     }
409 }
410
411 private void bttf_getReference(String refDesc, String fromWCont, VarDefinition r,
412     ArrayList<Bttf_Reference> ref_list){
413     if(fromWCont != null && r != null && r.getName() != null){
414         /*System.out.println(refDesc
415             + "," + from + " -> " + r.getName()
416             + "," + fromWCont + " -> " + r.eContainer().hashCode() + "." + r.getName());*/
417
418         Bttf_Reference ref = new Bttf_Reference(fromWCont, r.eContainer().hashCode() +
419             " " + r.getName());
420         if(!ref_list.contains(ref)){
421             ref_list.add(ref);
422         }
423     }
424
425 private void bttf_getReference(SkillRef e, String fromWCont,
426     ArrayList<Bttf_Reference> ref_list){
427     if(fromWCont != null && e != null && e.getRef() != null){
428         /*System.out.println("SkillRef"
429             + "," + from + " -> " + e.getRef().getName()
430             + "," + fromWCont + " -> " + e.getRef().eContainer().hashCode() + "." +
431                 e.getRef().getName());*/
432
433         Bttf_Reference ref = new Bttf_Reference(fromWCont,
434             e.getRef().eContainer().hashCode() + " " + e.getRef().getName());
435         if(!ref_list.contains(ref)){
436             ref_list.add(ref);
437         }
438     }
439
440 private void bttf_getReference(ActionRef e, String fromWCont,
441     ArrayList<Bttf_Reference> ref_list){
442     if(fromWCont != null && e != null && e.getRef() != null &&
443         !(e.getRef().getClass().getName()
444             .equals("msi.gama.lang.gaml.gaml.impl.ActionDefinitionImpl")) ){
445         /*System.out.println("ActionRef"
446             + "," + from + " -> " + e.getRef().getName()
447             + "," + fromWCont + " -> " + e.getRef().eContainer().hashCode() + "." +
448                 e.getRef().getName());*/
449
450         Bttf_Reference ref = new Bttf_Reference(fromWCont,

```

```

        e.getRef().eContainer().hashCode() + "." + e.getRef().getName());
448     if(!ref_list.contains(ref)){
449         ref_list.add(ref);
450     }
451 }
452 }
453
454 class Bttf_Reference{
455     String from;
456     String to;
457
458     public Bttf_Reference(String from, String to) {
459         this.from = from;
460         this.to = to;
461     }
462
463     @Override
464     public String toString() {
465         return from + " -> " + to + "\n";
466     }
467
468     @Override
469     public boolean equals(Object object)
470     {
471         if (object != null && object instanceof Bttf_Reference)
472         {
473             if( this.from.equals( ((Bttf_Reference)object).from) && this.to.equals(
474                 ((Bttf_Reference)object).to) ){
475                 return true;
476             }
477
478             return false;
479         }
480
481     }
482 }
483
484 class BttF_Declaration{
485     String type;
486     String name;
487     String code_name;
488
489     public BttF_Declaration(String type, String name, String code_name) {
490         this.type = type;
491         this.name = name;
492         this.code_name = code_name;
493     }
494
495     @Override
496     public String toString() {
497         return "BttF_Declaration [type=" + type + ", name=" + name + ", code_name=" +
498             code_name + "]\n";

```

```

499 }
500
501
502 }
503
504
505 private void bttf_getReferencesFile(String program_name,
    ArrayList<BttF_Declaration> dec_list, ArrayList<Bttf_Reference> ref_list){
506     String file_path = System.getProperty("user.home") + "/Desktop/" + program_name +
        ".csv";
507     String header =
        "call_from,call_to,call_from_type,call_to_type,call_from_mod,call_to_mod,"
508     + "call_from_code,call_to_code,call_from_istterminal,call_to_istterminal\r\n";
509
510     BufferedWriter writer = null;
511
512     try{
513         //write feature model - partition task
514         writer = new BufferedWriter(new FileWriter(file_path, false));
515         writer.append(header);
516         for(Bttf_Reference r : ref_list){
517             BttF_Declaration from = bttf_findDeclaration(r.from, dec_list);
518             BttF_Declaration to = bttf_findDeclaration(r.to, dec_list);
519             writer.append(getCsvReferenceLine(from, to));
520         }
521         writer.flush();
522         System.out.println("CRG csv file saved on: " + file_path);
523     }catch (IOException e){
524         e.printStackTrace();
525     }
526     finally{
527         try{
528             if ( writer != null)
529                 writer.close( );
530         }catch ( IOException e){
531             e.printStackTrace();
532         }
533     }
534 }
535
536 private BttF_Declaration bttf_findDeclaration(String code_name,
    ArrayList<BttF_Declaration> dec_list){
537     for(BttF_Declaration d : dec_list){
538         if(d.code_name.equals(code_name)){
539             return d;
540         }
541     }
542     return null;
543 }
544
545 /*
546  * required fields
547  * gotten from bttf.Reference class
548  * private String call_from;

```

```

549 private String call_to;
550 private ElementType call_from_type;
551 private ElementType call_to_type;
552 private String call_from_mod;
553 private String call_to_mod;
554 private String call_from_code;
555 private String call_to_code;
556 private boolean call_from_isterminal;
557 private boolean call_to_isterminal;
558 */
559 private String getCsvReferenceLine(BttF_Declaration from, BttF_Declaration to){
560 if(from != null && to != null){
561   StringBuffer sb = new StringBuffer();
562   sb.append("default." + from.name + ","); //call_from
563   sb.append("default." + to.name + ","); //call_to
564   sb.append(from.type + ","); //call_from_type
565   sb.append(to.type + ","); //call_to_type
566   sb.append(","); //call_from_mod
567   sb.append(","); //call_to_mod
568   sb.append("NA,"); //call_from_code
569   sb.append("NA,"); //call_to_code
570   sb.append("TRUE,"); //call_from_isterminal
571   sb.append("TRUE\r\n"); //call_to_isterminal
572   return sb.toString();
573 }
574 return "";
575 }
576
577 /*
578  * BttF related NOTES:
579  * TypeRef is a reference to a primitive type
580  * S_Entities instances weren't found
581  * S_Environment instances weren't found
582  * Function instanced didn't make sense
583  */
584 }

```

	A	B	C	D	E	F	G	H	I	J
1	call_from	call_to	call_from_type	call_to_type	call_from_mod	call_to_mod	call_from_code	call_to_code	call_from_isterminal	call_to_isterminal
2	default.global.build_graph	default.global.general_graph	S_Action	S_Declaration			NA	NA	TRUE	TRUE
3	default.global.build_graph	default.generator	S_Action	S_Species			NA	NA	TRUE	TRUE
4	default.global.build_graph	default.powerline	S_Action	S_Species			NA	NA	TRUE	TRUE
5	default.global.build_graph	default.edge_agent	S_Action	S_Species			NA	NA	TRUE	TRUE
6	default.global.build_graph	default.house.my_x	S_Action	S_Declaration			NA	NA	TRUE	TRUE
7	default.global.build_graph	default.house.my_y	S_Action	S_Declaration			NA	NA	TRUE	TRUE
8	default.global.build_graph	default.powerline.my_gener	S_Action	S_Declaration			NA	NA	TRUE	TRUE
9	default.global.build_graph	default.house.my_index	S_Action	S_Declaration			NA	NA	TRUE	TRUE
10	default.global.build_graph	default.powerline.get_my_tri	S_Action	S_Action			NA	NA	TRUE	TRUE
11	default.global.build_graph	default.powerline.my_transf	S_Action	S_Declaration			NA	NA	TRUE	TRUE

Figure D.1: CSV output example for a GAML model.

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## **Curriculum Vitae**

Priscila Angulo López was born in Mazatlán, México, on February 1, 1987. She earned the Computer Systems bachelor's degree from the Universidad de Occidente, Mazatlán Campus in November 2008. After graduating, she worked for three years in a software development company in Monterrey, México.

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