Uniform Random Sampling Product Configurations of Feature Models That Have Numerical Features

Daniel-Jesus Munoz  
CAOSD, Dpt. LCC, Universidad de Málaga, Andalucía Tech  
Málaga, Andalucía, Spain  
danimg@lcc.uma.es

Mónica Pinto, Lidia Fuentes  
CAOSD, Dpt. LCC, Universidad de Málaga, Andalucía Tech  
Málaga, Andalucía, Spain  
{pinto,lff}@lcc.uma.es

Jeho Oh  
Department of Computer Science  
Austin, Texas, USA  
jeho@cs.utexas.edu

Don Batory  
Department of Computer Science  
Austin, Texas, USA  
batory@cs.utexas.edu

1 INTRODUCTION

Software Product Lines (SPLs) are highly configurable systems. A feature model defines the variability of an SPL using features and constraints. A feature is an increment in program functionality. A constraint is a relationship among features, where the presence or absence of some features requires or precludes other features. A valid combination of features is a configuration. All configurations define a configuration space [2].

Classical feature models use Boolean features that have only two values (present, absent). Boolean features are insufficient for real-world SPLs, as there exist features that have a series or a range of numbers as explicit values. An example is the size in bytes of a datafile [49]; it is represented by a power of 10 series of values in a feature model. These features are called Numerical Features (NFs).

feature model, bit-blasting, propositional formula, numerical features, model counting, software product lines

ACM Reference Format:

Software Product Lines (SPLs) rely on automated solvers to navigate complex dependencies among features and find legal configurations. Often these analyses do not support numerical features with constraints because propositional formulas use only Boolean variables. Some automated solvers can represent numerical features natively, but are limited in their ability to count and Uniform Random Sample (URS) configurations, which are key operations to derive unbiased statistics on configuration spaces.

Bit-blasting is a technique to encode numerical constraints as propositional formulas. We use bit-blasting to encode Boolean and numerical constraints so that we can exploit existing #SAT solvers to count and URS configurations. Compared to state-of-art Satisfiability Modulo Theory and Constraint Programming solvers, our approach has two advantages: 1) faster and more scalable configuration counting and 2) reliable URS of SPL configurations. We also show that our work can be used to extend prior SAT-based SPL analyses to support numerical features and constraints.

KEYWORDS
feature model, bit-blasting, propositional formula, numerical features, model counting, software product lines

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propositional formula without enumeration [12]. Chakraborty et al. and Oh et al. developed tools to URS solutions of a propositional formula, based on #SAT technology [17, 51].

**Bit-blasting** encodes numerical values as binary bits and represents operations on them as propositional formulas [15]. We propose to represent NFs and their constraints by bit-blasting and utilize existing SAT-based tools for counting and URS classical feature models. We make use of the ‘Tactic’ functionality of the Z3 SMT solver [22] to convert NFs and their constraints into propositional formulas using bit-blasting, which are then integrated with the propositional formulas of classical feature models. This allows us to represent NFMs as a **Bit-Blasted Propositional Formula (BBPF).**

BBPF can be input to existing #SAT-based tools for counting and URS solutions of a NF, which SMT and CP solvers cannot do. In this way NFMs can be analyzed by existing tools with minimal extra work. The contributions of our work are:

- Use of bit-blasting to express NFs and constraints,
- Integration of bit-blasting and classical feature models to translate a NF into a BBPF,
- Experiments that show counting and URS solutions of BBPF outperform SMT and CP solvers, and
- Evaluation of known SPL analyses using NFMs with huge configuration spaces, the largest exceeding $10^{63}$ products.

## 2 BACKGROUND

### 2.1 Bit-Blasting

**Bit-blasting or flattening** is the transformation of a bit-vector arithmetic formula to an equivalent propositional formula [3]. It has been mainly used in hardware verification [19] and to optimize the hardware verification task itself [27, 66]. Brillout et al. [13] used bit-blasting to create a bit-accurate and complete decision procedure for IEEE-compliant binary floating-point arithmetic units.

We focus on the following arithmetic operations: equality ($=$), inequalities ($\neq$, $>$, $\geq$), addition ($+$) and subtraction ($-$). Although bit-blasting supports operations more, it is known that multiplication and division do not scale with increasing bit-width [15]. Real-world SPLs that we have studied are described in Table 2. They largely limit their use of numerical operations to equality and inequalities.

### 2.2 Feature Models

A classical feature model uses only Boolean features but this very restriction allows it to be transformed into a propositional formula, where features are variables and constraints are clauses [2]. Many tools can convert an feature model into a propositional formula.

One is FeatureIDE that exports a feature model written in their tool as a **Conjunctive Normal Form (CNF)** formula [63]. Another is KClause which transforms a KConfig model into a compact CNF formula [38].

Real-world SPLs use NFMs that contain both binary features and NFs [36]. An NF has a name $N$, a type (ie., domain), and range (eg., $N\in\{1, 2, ...128\}$). NFMs add new constraints to the set of propositional connectives, including: numerical equality ($=$), numerical inequalities ($\neq$, $>$, $<$, $\geq$ and $\leq$), and occasionally addition and subtraction but no other numerical operations (at least in KConfig systems [28, 29]). NFs can also have constraints with Boolean features, where the value of an NF affects the value of a Boolean feature, and vice versa.

Two examples of NFMs are: (1) the HADAS eco-assistant [48] where energy context parameters are represented as NFs in an Integer domain, and propositional connectives and inequalities are present in cross-tree constraints (eg., $AES_{\text{crypto}} = \text{key}_{\text{size}}>128$) and (2) WeaFQAs [37] where some variables of quality attributes are NFs with Integer or Float domains, containing propositional connectives and interval constraints (ie., numerical value ranges).

## 2.3 Uniform Random Sampling and Finding Sub-Optimal Products in Colossal Spaces

Uniform sampling ensures all samples are valid and uniformly distributed across the configuration space, so that the samples can be used for standard statistic approaches.

Oh et al. [51] were the first to URS an SPL configuration space. They used the following ideas: Let $\phi$ be the propositional formula of a classical feature model. Let $|S(\phi)|$ be the set of all solutions of $\phi$.

Each solution of $\phi$ is in a 1-to-1 correspondence with a configuration product in the feature model [2].

Let $|S(\phi)|$ be the number of solutions in $S(\phi)$. A uniform random number generator can select an integer $j$ in the range $[1..|S(\phi)|]$. The trick is to convert $j$ into the $j^{th}$ configuration in a fixed linear ordering of $S(\phi)$. By construction, URS of numbers in $[1..|S(\phi)|]$ is isomorphic to URS of configurations in $S(\phi)$.

SAT solvers find solutions to a given $\phi$. #SAT solvers, a relatively new SAT technology can count $|S(\phi)|$. #SAT can also be used to convert an integer $j$ into the $j^{th}$ configuration of $S(\phi)$ [38].

Here’s how: Let $F=f_1, f_2, ...$ be a fixed-order list of all features in a feature model. A #SAT tool can count $n=|S(\phi \land f_i)|$, the number of solutions that have feature $f_i$. If $j=n$, then the $j^{th}$ configuration must have feature $f_i$, otherwise it has $\neg f_i$. Repeating this logic on the remaining features in $F$ performs a binary search on $S(\phi)$ to reveal the presence or absence of every feature in the $j^{th}$ configuration.

Here is a great application for URS: it can be used to quickly locate sub-optimal products in $S(\phi)$. Take $n$ URS in $S(\phi)$, build and benchmark each of them. Let $c_{best}$ be the best performing configuration among these $n$ samples. Oh et al. [51] showed that $c_{best}$ will be, on average, within the top $1/|S(\phi)|$ percentile of the best performing configurations in $S(\phi)$. So if 99 uniformly random samples are taken, $c_{best}$ is in the top $1\%$ of the best performing configurations of $S(\phi)$, on average, no matter how big $|S(\phi)|$ is [51]. We explore this application further on Section 4.

## 3 BIT-BLASTING FOR NFMS

We describe how to integrate bit-blasting and classical feature models to form NFMs and how to translate a NF into a BBPF.

### 3.1 Bit-Blasting for Arithmetic Operations

This section reviews ideas about bit-blasting that are known to be implemented by Z3. Bit-vectors have two properties: width of the vector and whether it is unsigned (binary sign-magnitude encoding)
Table 1: Bit-blasted Models and propositional formula Transformation Examples for 2-bit two's Complement Signed Integers

<table>
<thead>
<tr>
<th>#</th>
<th>Operation</th>
<th>Bit-Blasted Model</th>
<th>Propositional Formula</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>( NF_a \equiv NF_b )</td>
<td>((a_3 \equiv b_3) \land (a_2 \equiv b_2) \land (a_1 \equiv b_1))</td>
<td>((a_3 \iff b_3) \land (a_2 \iff b_2) \land (a_1 \iff b_1))</td>
</tr>
<tr>
<td>2</td>
<td>( NF_a \neq NF_b )</td>
<td>((a_3 \neq b_3) \lor (a_2 \neq b_2) \lor (a_1 \neq b_1))</td>
<td>((a_3 \iff b_3) \lor (a_2 \iff b_2) \lor (a_1 \iff b_1))</td>
</tr>
<tr>
<td>3</td>
<td>( NF_a &gt; NF_b )</td>
<td>((a_3 &lt; b_3) \lor ((a_3 == b_3) \land (a_2 &gt; b_2)) \lor ((a_3 == b_3) \land (a_2 == b_2) \land (a_1 &gt; b_1)))</td>
<td>((-a_3 \land b_3) \lor ((a_3 \land b_3) \land (a_2 \land \lnot b_2)) \lor ((a_3 \land b_3) \land (a_2 \land b_2) \land (a_1 \land \lnot b_1)))</td>
</tr>
<tr>
<td>4</td>
<td>( NF_a \geq NF_b )</td>
<td>((a_3 &lt; b_3) \lor ((a_3 == b_3) \land (a_2 \geq b_2)) \lor ((a_3 == b_3) \land (a_2 == b_2) \land (a_1 \geq b_1)))</td>
<td>((-a_3 \land b_3) \lor ((a_3 \land b_3) \land (b_2 \implies a_2)) \lor ((a_3 \land b_3) \land (a_2 \land b_2) \land (b_1 \implies a_1)))</td>
</tr>
<tr>
<td>5</td>
<td>( NF_a \pm NF_b )</td>
<td>(S_1^+ \equiv [(a_1 \land b_1) \lor C_0, (a_2 \lor b_2) \lor C_1, (a_3 \lor b_3) \lor C_2, C_3])</td>
<td>([(a_1 \land b_1) \lor \pm, (a_2 \lor b_2) \lor ((a_1 \land b_1) \lor \pm), (a_3 \lor b_3) \lor ((a_2 \lor b_2) \lor ((a_1 \land b_1) \lor \pm))])</td>
</tr>
</tbody>
</table>

3.2 Producing a BBPF for an \( NFM \)

We encode the Boolean features and their constraints of an \( NFM \) as a propositional formula in the standard way [2]. Then, \( NFS \) and their constraints of a \( NFM \) are encoded as propositional clauses making use of the Z3 solver ‘Tactic’ functionality. We conjoin both predicates (or substitute them below) to form the BBPF for that \( NFM \). Here are some details:

**NFS Definition.** Let a signed \( NFS f \) have range \([a, b]\). Bit-blasting uses \([\log_2(max(|a|, |b|)) + 1]+1\) variables to represent the bits of \( f \), where 1 variable encodes the sign. Propositional clauses for two constraints \( f \geq a \) and \( f \leq b \) are conjoined to limit the range of \( f \) values. If applicable, the range of \( f \) is shifted to \([0, b-a]\) as it may simplify the formula and use fewer bits, namely \(\lceil \log_2(b-a) + 1 \rceil + 1\).

We represent all \( NFS \) as integers. Decimal point values can be represented by shifting the points to the desired precision, which is shifted back when the configurations are sampled.

**NFS Constraints.** Constraints between \( NFS \)s can be directly derived as propositional clauses from bit-blasting and conjoined to a propositional formula. If an \( NFS \) is a constant, its binary value is used, which can simplify the formula by Boolean constraint propagation.

Two \( NFS \)s bounded under the same constraint may have different bit-widths due to different range values. As the bit-width of each \( NFS \) is fixed, the \( NFS \) with shorter bit-width needs to be extended to match the bit-width of the other \( NFS \). Extending the bit-width does not change an \( NFS \)’s possible values due to range constraints.

**Mixed Boolean and NFS Constraints.** A numerical constraint can be qualified by Boolean features, such as \( a = \pm (b \neq 0) \), where \( a \) is a}

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1Two's complement negative integer encoding is the binary complement of the positive encoding plus one bit.

2Little-Endian: An order of bits in which the "little end" (least significant value in the sequence) is represented first in the sequence.
Boolean feature and $b$ is a N.F. In this case, the propositional clauses for N.F. operations can be generated first (e.g., let $\omega$ be the bit-blasted propositional formula of $(b \neq 0)$), which is then substituted into the original formula to yield the result, namely $\alpha \Rightarrow \omega$.

A constraint may inhibit a N.F from having any value, meaning that the N.F is not used and its value is ignored. In such case, a designated value outside the range of the N.F can be used to indicate the N.F is ignored, enforced by an equals operation.

**Alternative Features.** For a large set of alternative features, representing them as an N.F and keeping a map between its values and alternative features may derive a more compact propositional formula. As an extreme case, $2^n$ alternative features require $2^n$ variables, while representing them as a single N.F requires only $n$ bits. Regarding the clauses, alternative features requires $(2^n + 1)$ CNF clauses, while an N.F requires none. A N.F that allows multiple discrete values (e.g., odd numbers $\{2, 3, 7, 11, 13\ldots\}$) instead of values within a range can be encoded in the same manner.

Fig. 1 shows our encoding of an N.F as a BBPF. This N.F was taken from the Dune multi-grid solver [32]. Note that some features and constraints are modified for better illustration.

In Fig. 1, the clauses for Boolean features are represented in lines 1–3, while the clauses for N.F is conjoined at lines 4–6. As the N.F ‘pre’ has range $[0, 6]$, 4 bits are allocated (including ‘pre_4’ as its sign bit). Lines 4 and 5 specify the range of the ‘pre’ feature. Line 6 encodes the constraint between a Boolean feature and a N.F, where bit-blasting clauses for an equality operation has an implication relationship with a Boolean feature ‘SeqGS’.

**4 EVALUATION**

Our work counts and uniform samples configurations of N.Fs. We answer the following research questions to evaluate BBPF:

**RQ1** — How many bits per N.F are feasible with bit blasting (BB)?
**RQ2** — Does BBPF allow faster counting?
**RQ3** — Does BBPF allow URS?
**RQ4** — Can existing SAT-analyses of SPLs use BBPF?

RQ1 evaluates a scalability metric of bit blasting, while RQ2 and RQ3 evaluate how BBPF perform compared to state-of-art SMT and CP solvers. RQ4 evaluates whether BBPF can be used with existing SAT-analyses for SPLs.

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We used real-world N.Fs from [38] and [58] that constrain both Boolean and numerical features. Table 2 lists each N.F with its description, where each system has a different number of N.Fs and/or different configuration space size. Henceforth, we use FSE2015 to denote the feature models from Siegmund et al. [58].

FSE2015 N.Fs have relatively small configuration spaces, but the equation solving times of all the configurations were benchmarked, so that we can rank them. These N.Fs were written for the SPLConqueror tool [58], which we have translated into BBPF. Their smallest N.F had range $[1, 4]$; the largest had [66, 4096].

Compared to FSE2015 N.Fs, KConfig models have many more features and have huge configuration spaces. The KClause tool [58] derives a propositional formula for each KConfig model. As KClause simplifies N.Fs to have their default values only, we augmented their formula with bit blasting to allow different N.F values.

The KConfig N.Fs that we examined had N.Fs as small as [0, 1] and as large as $[0, 2^{32} - 1]$. When the range of a N.F is not defined in a KConfig model, any value within the range of the integer data type is possible, which is $[0, 2^{32} - 1]$. For N.Fs that exceed the range $[0, 2^{10} - 1]$, we discretized them to have $2^{10}$ possible values. We benchmarked the build size of each sample configuration for the performance analysis of RQ4.

To generate a propositional formula for an N.F and constraints for BBPF, we used the formula printing functionality of the Z3 solver. To count the number of configurations in BBPF, we used sharpSAT [64], a state-of-art model counter for propositional formulas. To sample configurations of a BBPF, we used Smarch [38], a state-of-art tool for URS propositional formula solutions.

**RQ1: How many bits per N.F are feasible with BB?**

The most complicated numerical constraint that appeared in the systems we analyzed is $(A+B=C)$, where $A$, $B$, and $C$ are N.Fs of unsigned integers. We consider this constraint as an upper bound on the overhead of numerical constraints.\(^3\) Propositional formulas with three-bit N.Fs related by this constraint were generated and benchmarked to determine how many bits are feasible for counting and URS.

Formulas with different bit-widths ($b$) from 2 to 32 were generated. For each formula, we measured:

\(^3\)Actual numerical constraints were simpler, as $C$ was substituted with a constant. Constants in constraints simplifies the formula by Boolean constraint propagation.
we can discretize it to reduce the number of bits to encode it. For example, a 32-bit NF with the precision of $2^{22}$This makes analyses feasible by reducing the precision of possible values.

**Conclusion:** Bit-blasting is feasible up to 16 bits per number (<10 seconds) and has negligible overhead up to 10 bits per number (<1 second).

**RQ2: Does BBPF allow faster counting?**

We compared the time to count solutions using sharpSAT and widely-used SMT and CP solvers. We used Z3⁵ as a representative SMT solver and Clafer with the Choco solver⁶ as a representative CP solver. Z3 and Clafer use different ways to count the number of configurations than sharpSAT:

- Z3 does not have the functionality to count configurations. A known method involves enumerating the configurations by: 1) deriving a configuration from Z3, 2) making the negation of that solution as a constraint, and 3) repeating 1) and 2) until the constrained model is not satisfiable.⁷
- Clafer has an internal functionality to count configurations, by using the option `--n`. Its functionality involves enumerating configurations as well.⁸

We measured the time in seconds to count configurations by each tool. To control randomness, we conducted 97 experiments and averaged the results for a confidence level of 95% with a 10% margin of error [61]. If counting or URS took more than 10 seconds, we considered it a time-out.

Figure 2 shows our results. The Y-axes show \#C, \(t_C\), and \(t_S\); the X-axes are the number of bits (\#b). As \(t_C\) timed-out after 16 bits, we show \#b up to 16. We observed:

- \#C grew linearly with increasing \#b,
- \(t_C\) grew exponentially with increasing \#b,
- \(t_C\) was below 1 second for \#b≤13,
- \(t_S\) grew exponentially with increasing \#b, and
- \(t_S\) was below 1 second for \#b≤10.

The linear increase of \#C is due to the use of Tseitin’s transformation in generating CNF formulas. As the number of NF variables increases with linearly with \#b, Tseitin’s transformation guarantees a linear increase \(O(3n+1)\) with the number of variables [65].

\(t_S\) showed a slower rate of increase compared to \(t_C\), so \(t_C>t_S\) from \#b>14. This is due to the formula partitioning of Smarch, which made counting solutions to large formulas faster by counting in a divide-and-conquer manner [38].

These results give a rough idea of the overhead added by NFs with constraints. The fact that there was a time-out after 16 bits does not mean that NFs larger than 16 bits cannot be treated by bit blasting. When a NF has a value requiring more than 16 bits, we can discretize it to reduce the number of bits to encode it. For example, a 32-bit NF of range \([0, 2^{32}−1]\) can be discretized into a 10-bit NF with the precision of \(2^{22}\). This makes analyses feasible by reducing the precision of possible values.

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margin of error [61]. If counting took more than 30 minutes, we considered it a time-out. Table 3 shows our results.

We observed with BBPF:
- As expected, counting BBPF by sharpSAT was much faster than Z3 and Clafer, as it does not enumerate solutions.
- KConfig NFM's are too large for Z3 and Clafer to enumerate.

Conclusion: SharpSAT with BBPF counts configurations considerably faster than Z3 and Clafer. Z3 and Clafer were unusable for KConfig models.

RQ3: Does BBPF allow URS?

We now ask if BBPF with Smarch, Z3, and Clafer can URS solutions of a NFM. RQ2 showed Z3 and Clafer can generate samples by enumeration but did not reveal if their samples are uniform. We used techniques in prior work to obtain random samples:
- For Z3, we randomly assigned the value for the parameter 'random_seed', which controls the variable selection heuristic [34].
- For Clafer, we set the ‘–search’ option to ‘random’, which randomizes the order and value of variable assignments.

To check if the samples are uniformly distributed, we rely on a theorem from [38, 51]. Order statistics predict that the average rank of samples from URS are evenly distributed across a configuration space. So if n samples are taken, the configuration space is partitioned into n+1 equal-length intervals on average. The normalized rank (in the unit interval) of the kth-best performing sample is $k/(n+1)$ [51].

With this result, we can check whether samples have evenly distributed ranks using the Kolmogorov-Smirnov (KS) test [45]. A KS test checks whether two data sets are sampled from the same distribution. We check if the distribution between sampled ranks and expected ranks are equal with 95% confidence.

First, we used the four NFM's from FSE2015. These NFM's had all of their configurations enumerated and benchmarked, allowing us to know the exact rank of the samples. For each FSE2015 NFM and each tool, we sampled 100, 300, and 500 configurations to evaluate randomness with different sample sizes. For each sample set, we derived the KS test result and the time taken to sample a configuration, averaged from the sample set, in seconds.

FSE2015 Systems. Rows 1 through 4 in Table 4 (next page) show the average time taken to sample a configuration for each FSE2015 NFM. Table 5 (next page) shows the result of KS test. We observed:
- Z3 and Clafer had fast average sampling times at .03 and .01 seconds; Smarch took more time at .30 secs,
- Smarch passed KS tests for all NFM's and sample sizes, which says that Smarch performs URS with 95% confidence, and
- Z3 and Clafer failed KS tests for some NFM's and sample sizes. This says that the randomization options for Z3 and Clafer do not always achieve URS.

It is unclear what characteristics of a NFM causes Z3 and Clafer samples to be biased. Prior work on feature models with only Boolean features tried a similar approach to produce random solutions using SAT solvers [18, 35], but they too did not demonstrate URS. In contrast, Smarch delivers URS by construction. That is, it creates a 1-to-1 mapping between a random number and a unique configuration via counting. With Z3 and Clafer, counting is infeasible, as RQ2 showed.

KConfig Systems. To demonstrate scalability of sampling, we also present the evaluation with KConfig NFM's. Note that, we could not check the randomness of the samples in the same manner a FSE2015 NFM's, as their configuration space cannot be enumerated to obtain the precise rank of selected configurations. Instead, we utilized the evaluation method in [38], to evaluate whether samples from Z3 and Clafer are uniformly distributed using Smarch.

Smarch achieves URS by using a one-to-one mapping between a number and a configuration. When a random number between 1 to the total number of configurations is given, Smarch outputs a corresponding configuration. Smarch also is capable of the inverse operation, so that it outputs the corresponding number of a given configuration. For the samples taken from Z3 and Clafer, we used Smarch to output the numbers and consider them as the rank of those samples. We then evaluated whether those ranks are uniformly distributed using the KS test.

Rows 5 through 7 in Table 4 and Table 5 are the results. KConfig systems show a similar trend with the FSE2015 systems. Even for models with larger ranged NFM's and larger configuration spaces, Smarch was able to sample configurations within a reasonable time. Samples from Z3 and Clafer failed the KS test for all systems and sample sizes, indicating that these tools are not capable of URS configuration spaces that are large as KConfig. On the other hand, samples from Smarch passed all KS tests, as it used uniform random numbers to generate the configurations.

Conclusion: Smarch can perform URS of NFM configurations, which Z3 and Clafer cannot guarantee.

RQ4: Can existing SAT-analyses of SPLs use BBPFs?

We explained in Section 2.3 how URS can help finding near-optimal configurations. (Recall taking n samples, benchmarking each selected configuration, and identifying $c_{best}$ — the best performing configuration, would be in the top $\frac{1}{n}$ percentile of all configurations on average.) Oh et al. [51] also proposed a recursive searching algorithm called SRS, which recursively: 1) samples configurations, 2) use samples to reason features that improves performance, and 3) constricst the search space with the found features. They demonstrated that SRS performs better than URS alone.

$^{10}$We could sort all FSE2015 configurations by performance, so finding the performance rank of a given configuration is easy. In KConfig systems, we do not know the performance of all configurations — only those that we sample. Hence we can only estimate the performance rank of a given configuration.
Their work, however, focused on feature models with Boolean features and constraints, while optimizing feature models with NFM constraints and constraints was left as future work. We wanted to see if their work could be used “as is” with BBPF.

We replicated SRS to find near-optimal configurations from the NFM’s of RQ2. For FSE2015 NFM’s, we tried to find the configuration with the smallest benchmarked performance, which was the "equation solving time" (see [58] for details). For an experiment, we performed SRS with 20 samples per recursion, which was claimed in [51] as a sufficient sample size to make accurate statistical decisions on the features.

From the FSE2015 NFM’s, we gathered following metrics per experiment regarding configuration ranks:

- \( N \) — total number of samples taken by SRS,
- \( rSRS \) — normalized rank of \( c_{best} \), found from SRS,
- \( rURS \) — expected normalized rank of \( c_{best} \) from \( N \) configurations by URS. It is derived from order statistics, as \( \frac{1}{(N+1)} \).

In addition, we analyzed the performance value of the found configurations from FSE2015 NFM’s. Performance values were normalized by the actual best and worst performance value in the configuration space. We measured:

- \( pSRS \) — normalized performance of \( c_{best} \) found by SRS, and
- \( pURS \) — normalized performance of the configuration at rank \( rURS \).

To control randomness, we conducted 97 experiments and averaged the results for a confidence level of 95% with a 10% margin of error [61]. From the experiments, we derived:

- \( rTest \) — Mann-Whitney U test results, which evaluates whether \( rSRS \) values are smaller than \( rURS \) values with 95% confidence [44]. “Pass” implies \( rSRS \) is smaller, and “Fail” otherwise.

\( pSRS \sim rURS \) means that the performance value of the configuration at rank \( rURS \) was lower than \( rSRS \), while \( pURS \) was lower than \( pSRS \) for all NFM’s with 95% confidence as well, which also indicates SRS finds better performing configurations than URS, and

\( rBetter \) was not 100% in all experiments, meaning that occasionally SRS performs worse than URS. SRS performs better than URS in 4% away from optimal. Both are good results.

Table 6 shows the rank results for each FSE2015 NFM. We observed:

- The average rank of solutions SRS found were \(~.8\%\) away from optimal; the average rank of solutions URS found were \(~1.4\%\) away from optimal. Both are good results.
- \( N \) was different for all NFM’s, as the number of features, constraints, and how a feature affects the objective to optimize are different for each NFM,
- \( rSRS \) was lower than \( rURS \) for all NFM’s with 95% confidence, which indicates SRS outperforms URS,
- \( pSRS \) was lower than \( pURS \) for all NFM’s with 95% confidence as well, which also indicates SRS finds better performing configurations than URS, and
- \( rBetter \) was not 100% in all experiments, meaning that occasionally SRS performs worse than URS. SRS performs better than URS in 89% of all the experiments.

To visualize our results, Figure 3 plots all the configurations of the FSE2015 NFM’s, sorted by their performance. The X-axis denotes their normalized rank, while the Y-axis denotes their normalized performance. The red dot (●) indicates the configuration found from SRS, while the black × symbol indicates the expected configuration from URS.

Figure 3 shows the configuration found from both SRS and URS are very close to the actual best configuration, regarding both X and Y axis. One exception is Dune, where the best two configurations had much better performance compared to all others. SRS was...
able to find the two configurations for some experiments, but not always.

We performed similar experiments with KConfig NFMs to find configurations with the smallest build size. Since the configuration space cannot be enumerated, we do not know what the best and worst performance values are as well as the rank of the returned configurations. At least, we compared the non-normalized build size of configurations found from SRS to that of URS. To do so, we limited $N$ to 200 and derived:

$p_{SRS}$ — smallest build size in megabytes, found from SRS with 200 samples, and

$p_{URS}$ — smallest build size in megabytes, found from the configurations in 200 URS.

We repeated the experiment 25 times and averaged the result for a confidence level of 95% with 20% margin of error \([61]\). From these experiments, we derived:

$p_{Test}$ — Mann-Whitney U test results which evaluates $p_{SRS}$ values are smaller than $p_{URS}$ values with 95% confidence \([44]\). "Pass" implies $p_{SRS}$ is smaller, and "Fail" otherwise.

Table 7 shows our results for each NF.

For all systems, we observed that SRS finds configurations with smaller build sizes with 95% confidence. Although the actual rank of configurations sampled is unknown, the results are consistent with FSE2015 NFMs as: 1) $r_{URS}$ does not depend on the size of the configuration space, but how many samples are collected, and 2) $p_{SRS} < p_{URS}$, which corresponds to $r_{SRS} < r_{URS}$.

These results show that SRS can perform accurate statistical reasoning over numerical features as well, while also showing that BBPF allows SRS to deal with numerical features without modifying the algorithm or the solver it uses. However, we believe that SRS can be enhanced to derive more accurate reasoning on numerical features, which may increase the $r_{Pass}$ value. We leave this as a future work.

Conclusion: BBPF can be used by existing SAT analysis on SPLs "as is", with the work of \([51]\) as an example.

**Threats to Validity**

**Internal validity.** To control randomness, we conducted 97 experiments and averaged the results for a confidence level of 95% with

**Table 6: Finding Near-Optimal Configurations for FSE2015 Systems**

<table>
<thead>
<tr>
<th>NF</th>
<th>$N$</th>
<th>$r_{SRS}$</th>
<th>$r_{URS}$</th>
<th>$r_{Test}$</th>
<th>$p_{SRS}$</th>
<th>$p_{URS}$</th>
<th>$p_{Test}$</th>
<th>$r_{Better}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dune</td>
<td>71.32</td>
<td>0.007</td>
<td>0.016</td>
<td>Pass</td>
<td>0.039</td>
<td>0.042</td>
<td>Pass</td>
<td>93%</td>
</tr>
<tr>
<td>HSMGP</td>
<td>66.42</td>
<td>0.008</td>
<td>0.017</td>
<td>Pass</td>
<td>0.005</td>
<td>0.011</td>
<td>Pass</td>
<td>91%</td>
</tr>
<tr>
<td>HiPAcc</td>
<td>65.82</td>
<td>0.010</td>
<td>0.017</td>
<td>Pass</td>
<td>0.002</td>
<td>0.004</td>
<td>Pass</td>
<td>82%</td>
</tr>
<tr>
<td>Trimesh</td>
<td>129.21</td>
<td>0.003</td>
<td>0.009</td>
<td>Pass</td>
<td>0.003</td>
<td>0.013</td>
<td>Pass</td>
<td>91%</td>
</tr>
</tbody>
</table>

**Table 7: Finding Near-Optimal Configs for KConfig Systems**

<table>
<thead>
<tr>
<th>NF</th>
<th>$p_{SRS}$</th>
<th>$p_{URS}$</th>
<th>$p_{Test}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>axTLS</td>
<td>0.23</td>
<td>0.24</td>
<td>Pass</td>
</tr>
<tr>
<td>Fiasco</td>
<td>25.64</td>
<td>26.74</td>
<td>Pass</td>
</tr>
<tr>
<td>uClibc-ng</td>
<td>1.10</td>
<td>1.32</td>
<td>Pass</td>
</tr>
</tbody>
</table>
a 10% margin of error [61]. One exception is the result for KConfig NFMs in RQ4, which repeated the experiments 25 times for 95% confidence and 20% margin of error [61], due to the lengthy time to build sampled configurations.

For RQ2 and RQ3, we utilized the method for counting and URS configurations that are either proposed by the developers of the tool or practiced by prior work in SPL research.

For RQ4, we reduced the noise on the performance measurement of the samples as much as possible. FSE2015 NFMs use the performance measurement from [32], which was used in prior works as well. KConfig NFMs measured build sizes, as they are less susceptible to environmental influences.

**External validity.** We used 7 real-world systems with different numbers of features, number of clauses, and domains. Systems had different combinations of constraints with each other, so that we could evaluate our approach with different complexity of NFMs. We are aware that our results may not generalize to all SPLs. At least, our results show identical trends across systems, which provides confidence that our conclusions should hold for many SPLs with comparable size of the configuration space.

We are also aware that Z3 and Clafier may not be representative of all CMT and CP solvers. At least, we used the tools that were widely used in SPL research, which are likely to be used in future SPL research as well.

5 RELATED WORK

Adding to Section 2, we discuss other relevant work here.

5.1 NFs in NFMs

Most papers, for various reasons, did not describe how numerical variables were represented as features. Some considered NFs in the same manner as mandatory Boolean features, so that they had only one value [11, 38]. Some encoded NFs as alternative features, where each value of a NF was considered a distinct feature [41]. Shi [57] used a single type of feature called ‘pseudo-Boolean features’. In his work, Successor (+1) and Predecessor (-1) were introduced as a new type of constraint. As described in Section 3, representing alternative features as a propositional formula has limited scalability as the number of clauses grow rapidly as number of features increases.

Numerical variables and string-attributed feature models have been formalized. Extended, Advanced or Attributed feature models appear in the literature as a way to expand classical feature models. Attributed feature models extend Boolean feature models to include additional information about features [8, 10, 54]. In these works, the authors represent packages of attributes (eg., cost, performance) bound to every Boolean feature in the extended feature model. Those attributes are not NFs [59]. The main differences between attributes and NFs are:

- Currently, there is no consensus on a notation to define attributes. However, most proposals agree that an attribute should consist at least of a name, a domain and a value [8], while a NF consists of a name and a domain [40].
- A NF is a feature, so it can be selected or deselected; it can have a value of zero, or it can have any value, and all these states are different. An attribute, in contrast, cannot be selected/un-selected [8].
- Every Boolean feature in an extended feature model is associated with a set of attributes [40]. A NF in a NFM has a parent, and is affected by cardinality relationships [25].
- A set of attributes can contain several variables. Additionally, those variables can be present in different sets at the same time, as their respective value can be distributed among several sets belonging to different features [8]. Instead, Boolean and NFs are declared just once within the Feature Model [21].
- If we modify the value of just one NF in a configuration, we are producing another configuration in the configuration space. That does not happen with attributes [40].

In any case, constraints are similarly formalized for both NFs and attributes [8].

5.2 Automated Reasoning of SPLs

As SPLs have many features and complex constraints, automated solvers were used to solve them as Constraint Satisfaction Problems (CSP). SAT, SMT, CP and Binary Decision Diagrams (BDDs) can be considered as different types of CSPs.

For classical feature models, SAT and BDD were utilized in various analyses, including: checking if a feature model has conflicting constraints or deriving a valid configuration [7, 63], analyzing the structure of the FM [11, 31, 42], counting number of valid configurations [51, 63], and finding inconsistencies between code and feature model [41, 50]. SAT solvers and their variants, such as MaxSAT and #SAT solvers, were used to count the number of configurations and generate samples for testing and finding optimal configurations [18, 35, 38, 58].

For NFMs, SMT and CP solvers were used as they natively support representation and reasoning of NFs and constraints. Encoding feature models for SMT and CP solvers is similar to that of a SAT solver except that they allow numerical variables and operations. Each variable represents a feature and constraints are represented with logical or arithmetic operations. As SMT and CP solvers have similar functionality as SAT solvers, they had similar usage in SPL research: finding conflicts between constraints [9, 21, 46], deriving valid configurations under user-imposed constraints [48], and generating samples for finding optimal configurations [34, 56, 58].

5.3 Solvers using Bit-Blasting

Bit-blasting can, computationally speaking, exhaust any solver if the input formula contains numerical values with large bit-width or complex arithmetic. Then, a pre-processing and simplification of the input formula is essential for reasoning efficiency.

In [20], the authors describe several classes of simplification methods implemented in the solver MathSAT3, which are applied with certain heuristics like canonization (eg., X−X=0), unconstrained propagation, packet splitting [5] and disjunctive partitioning [16] (ie, the formula is increasingly processed in batches). Approaches like MathSAT3 are elegant, but are restricted to a subset of bit-vector arithmetic comprising concatenation, extraction, and linear
equations over bit-vectors; inequalities are not considered [15]. Although bit-vector theory admits quantifier elimination by considering that a fix-width is the maximum-width among all variables, this is rarely a practical approach. Instead, equisatisfiable formulas are used [39].

Solvers Z3 [22] and Yices [23] apply bit-blasting to every operation besides equality, which is, then, handled by a specialized solver. They also add axioms, dynamically, from array theory. Boolean [14] applies bit-blasting to bit-vector operations and lazily instantiates definitions of array axioms and macros.

A more recent solver is CVC4 [4]. It is a lazy and layered solver, which tries to decide satisfiability using faster, but incomplete, sub-solvers for inequality reasoning. In case of sub-solvers are not enough, theory lemmas and propagated literals are added to the formula, and a lazy CNF-SAT bit-blasting solver is employed. SMT [30] performs several array optimizations, as well as arithmetic and Boolean simplifications on the bit-vector formula before bit-blasting to Minisat [60].

5.4 Uniform Sampling of SPLs

URS is not simple, as merely random selecting features rarely yield valid configurations [43]. Chakraborty et al. [17] proposed Unigen2, a uniform sampling algorithm for propositional formula based on an approximate #SAT solver. Dutra et al. [24] proposed QuickSampler, a sampling algorithm for efficiently generating valid configurations for testing. On these algorithms, Plazar et al. [52] showed that Unigen2 is not scalable for configuration spaces larger than $10^{10}$, which is not applicable for our KConfig NFMs, while QuickSampler samples are often not uniformly distributed.

We used SmaRt [38], a URS algorithm that can scale up to configuration spaces of size $10^{48}$. We evaluated whether other prior work on analyzing classical feature models with SAT solvers can be extended by bit-blasting.

5.5 Statistical Analyses of SPLs

Prior work on SPLs performed statistical analyses to reason on colossal ($\gg 10^{48}$) and complex configuration spaces. To estimate the influence of a feature on performance, samples were benchmarked and compared for performance differences [33, 55]. To find optimal configurations, samples were used to search the configurations throughout the space [18, 26, 34, 35, 51, 56]. To evaluate different sampling approaches to locate variability bugs, URS was considered to be the baseline to compare with other approaches [1, 47, 62].

6 CONCLUSIONS AND FUTURE WORK

Configuration spaces grow exponentially with increasing number of features, which makes statistical reasoning crucial for understanding them. Compared to classical feature models, NFMs have comparatively larger and more complex configuration spaces due to increased variability and additional types of constraints. This makes statistical reasoning of NFMs even more vital. Well-known automated solvers that handle numerical variables, however, were not feasible for counting and URS of configurations for NFMs, which are needed for unbiased statistical reasoning of product spaces.

We evaluated bit-blasting to encode NFs and their constraints as propositional formulas, to utilize existing SAT-based approaches on counting and URS configurations. With bit-blasting, NFMs were represented as binary bits while their constraints were represented as propositional clauses.

Our experiments showed bit-blasting:
- can represent NFs and their constraints up to 10 bits of accuracy without overhead,
- can utilize sharpSAT to count the number of configurations, which was much faster and more scalable than current SMT and CP solvers,
- can utilize SmaRt [38], an existing tool to URS configurations, while SMT and CP could not guarantee the uniform distribution of their produced samples, and
- was able to use SRS [51], a previously published algorithm, to find near-optimal configurations for classical feature models, to search for near-optimal configurations in NFMs as well, and
- the largest KConfig NFMs that we examined had a huge configuration space $10^{48}$ (see Table 2); we believe much larger configuration spaces can be analyzed.

We are confident our work can be utilized by others to analyze different SPLs with NFs. Our research also suggests future explorations:
- expanding bit-blasting to handle more arithmetic operations,
- evaluate whether other prior work on analyzing classical feature models with SAT solvers can be extended by bit-blasting.

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REFERENCES


A ARTIFACT INFORMATION

The artifact for this paper contains a Virtual Machine (VM) with pre-built and configured tools to re-run the evaluation, including: Bit-blasting for NFs, model-counting, URS, and SRS for three different types of solvers. A VM is pre-configured to re-create the experiments, as well as to test different configurations. A Linux operating system is mandatory to run those tools, while a VM can run on almost any operating system and/or hardware. It also includes the tools that natively supports NFs - Clafer and Z3py. Tested feature models and their intermediate and final results are also included.

A.1 Access and Content

A VM is pre-configured to re-create the experiments, as well as to reuse for different NFs and/or data-sets. The VM and its detailed instructions are available at:

https://github.com/danieljmg/SPLs-BitBlasting-URS

The VM make use of the following third-party assets:

- Lubuntu 18.04 LTS x86_64 operating system 12.
- The Python Interpreter version 3.7 13.
- The Oracle’s open-source Java Development Kit 14.
- Clafer Instance Generator 0.45 15.
- The Z3 theorem prover SMT solver for Python (Z3py) 16.
- Model counting SAT solver (SharpSAT) 17.
- The Smarch random sampling tool [38].
- The Kolmogorov-Smirnov Test (KS-t) 19.
- The Mann-Whitney U Test (MWU-t) 20.
- The Oracle VirtualBox virtualization software 21.
- A KConfig measurement VM 22.

The VM includes the following new assets:

- Clafer, Z3py and DIMACS (SharpSAT format) NFs of the seven SPLs in Table 2.
- A Python script to transform numerical features modeled in Z3py into Tseitin-CNF DIMACS format using Bit Blasting. It supports composed first order logic and linear arithmetic with integers as in Table 1.
- Scripts to count the number of configurations from Clafer, Z3py and DIMACS models.
- Scripts to random sample configurations from Clafer, Z3py and DIMACS models.
- A Python script to rank sets of random samples to evaluate their uniform distribution. A set of samples is obtained and measured from different reasoners.
- Intermediate files including sets of samples, ranks, and measurements.

A.2 Installation and Environment Overview

Running the evaluation has following minimum requirements:

- A machine with at least 4GB of memory RAM and 10GB of disk free space, with x86 64-bit operating system and Oracle VirtualBox 6 installed.23
- Intel VT-x or AMD-V CPU option activated in the motherboard BIOS settings. However, RQ4 is partially not compatible with Intel VT-x.

To set up the environment, you first need to load the downloaded VM into VirtualBox clicking File->Import Appliance and searching for SPLC19VM.ova. Lubuntu credentials are:

- User: caosd
- Password and Sudoers password: splc19

After Lubuntu is ready to use, in its Desktop we can find:

- Folder featuremodels where all NFs with different formats (Clafer, DIMACS and Z3py) are located.
- Folder samples where 100, 300 and 500 pre-computed samples for each solver and NFs are located.
- Folder UFscripts where scripts for model count and sampling with SharpSAT are located.
- Launcher for PyCharm Python IDE.
- Launcher for LXTerminal in order to execute scripts.

A.3 Usage Summary

Different scripts are provided for each research question (RQ):

- RQ1: Open PyCharm and run Z3toCNF.py to Bit-blast (a+b > c), and finish with UFscripts/SharpSAT_ABGTC scripts.
- RQ2: Run the scripts at UFscripts/SharpSATCounting, UFscripts/ClaferCounting and PyCharm/Z3.
- RQ3: Run the scripts at UFscripts/ClaferSampling, PyCharm/Z3, PyCharm/ranksampling, HCS_Optimizer/randtest.py and HCS_Optimizer/evaluation.py. Finish with the KS-Test.
- RQ4: Adjust and run /search.py and, for Kconfig models, use kconfig measurement VM ending with the MWU-test.

Adjustments required for each RQ is indicated in the code. Data comparisons and graphs can be performed with the included software Gnumeric 24. Detailed steps can be found in the Github artifact’s page.

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Footnotes:
21https://lubuntu.net/
22https://www.python.org/
23https://openjdk.java.net/
24https://github.com/gslab/c ClaferG
25https://github.com/Z3Prover/z3
26https://github.com/marchurley/sharpSAT
27https://www.jetbrains.com/pycharm
28https://github.com/paulgazz/kconfig_case_studies
29https://www.virtualbox.org/wiki/Downloads
30http://www.gnumeric.org/