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;; Matt Kaufmann

EVENT: Start with the initial **nqthm** theory.

;; Here's a little note showing a method for proving (in some cases)  
;; permutation-independence of list functions that are generated by  
;; associative-commutative binary functions. For example, we'd like to  
;; know that if SUMLIST is a function that adds up the elements of a  
;; given list, then permuting a list X doesn't change the value of  
;; SUMLIST(X). The method is as follows. First, we introduce a name  
;; AC-FN for an arbitrary associative-commutative binary function, along  
;; with the axioms saying so (and a "witness" for the consistency of this  
;; axiom, namely PLUS). This is followed by the definition of a function  
;; FOLDR, which is defined in terms of AC-FN by applying it repeatedly to  
;; the members of a given list. After defining PERMUTATION-P and proving  
;; some useful rewrite rules, I prove the main lemma FOLDR-PERMUTATION-P,  
;; which says (informally speaking, here) that FOLDR gives the same value  
;; when you permute its list argument. Then, using the "functional  
;; instantiation" mechanism in the Boyer-Moore system, I apply this

```

;; "generic" lemma (that is, FOLDR-PERMUTATION-P) to three examples: the
;; sum of the elements of a list, the product of the elements of a list,
;; and the WIRED-OR of the elements of a list (in a four-valued logic,
;; intuitively speaking, though I don't actually ever need to say so).
;;
;; As usual, this is all in Lisp syntax. Everything from a semicolon to
;; the end of a line is a comment, and I try to use lots of those in
;; order to explain what's going on. Without further ado, then, here is
;; the annotated list of Boyer-Moore events (i.e. input).
;;
;; By the way, it took about two hours for me to do this exercise
;; (including documentation). Replay time (real time) was about a minute
;; and a quarter on a Sun 3/60; run time reported was 24.8 secs. In case
;; it's not clear.... the text below is all input to the Boyer-Moore
;; prover.
;;
;; =====

;; Add a new function declaring that the function ac-fn is
;; an associative-commutative binary function.

```

CONSERVATIVE AXIOM:  $ac\text{-}fn\text{-}intro$

$$(ac\text{-}fn(x, y) = ac\text{-}fn(y, x))$$

$$\wedge (ac\text{-}fn(ac\text{-}fn(x, y), z) = ac\text{-}fn(x, ac\text{-}fn(y, z)))$$

Simultaneously, we introduce the new function symbol  $ac\text{-}fn$ .

```

;; Next, recursively define a function that continually applies the
;; binary function AC-FN to the elements of a list. This is a
;; "fold-right" function; an analogous "fold-left" function exists,
;; and should be easy to prove equivalent to foldr; maybe I'll do that
;; later.

```

DEFINITION:

$$foldr(lst, root)$$

$$= \text{if } listp(lst) \text{ then } ac\text{-}fn(car(lst), foldr(cdr(lst), root))$$

$$\text{else } root \text{ endif}$$

```

;; The following function removes the first occurrence of the element
;; a from the list x; it's auxiliary to the definition of permutation-p.

```

DEFINITION:

```

remove1(a, x)
=  if listp(x)
    then if a = car(x) then cdr(x)
         else cons(car(x), remove1(a, cdr(x))) endif
    else x endif

```

;; Here is the usual recursive definition of permutation-p.

DEFINITION:

```

permutation-p(x1, x2)
=  if listp(x1)
    then (car(x1) ∈ x2) ∧ permutation-p(cdr(x1), remove1(car(x1), x2))
    else x2 ≈ nil endif

```

;; The strategy below is as follows. I wanted to prove that foldr is  
;; preserved under permutations of its (first) argument; that's the  
;; lemma called FOLDER-PERMUTATION-P below. The proof attempt led me  
;; to prove a lemma called FOLDER-REMOVE1, which occurs just above  
;; FOLDER-PERMUTATION-P. In order to prove FOLDER-REMOVE1, though, I  
;; found that I needed a property of associative-commutative  
;; functions, stated in the lemma AC-FN-COMMUTATIVITY-2 below.

;; The following two lemmas are used in the proof of the lemma named  
;; AC-FN-COMMUTATIVITY-2 below, which is key to FOLDER-REMOVE1, which  
;; in turn is crucial for FOLDER-PERMUTATION-P.

THEOREM: ac-fn-assoc-reversed

$$\text{ac-fn}(x, \text{ac-fn}(y, z)) = \text{ac-fn}(\text{ac-fn}(x, y), z)$$

THEOREM: ac-fn-comm

$$\text{ac-fn}(x, z) = \text{ac-fn}(z, x)$$

THEOREM: ac-fn-commutativity-2

$$\text{ac-fn}(z, \text{ac-fn}(x, a)) = \text{ac-fn}(x, \text{ac-fn}(z, a))$$

;; The lemma AC-FN-ASSOC-REVERSED was used in the proof of the lemma  
;; immediately above, but now we want to turn it off as a rewrite rule  
;; so that it doesn't loop in combination with the associativity rule  
;; introduced at the outset.

EVENT: Disable ac-fn-assoc-reversed.

THEOREM: foldr-remove1

$$(z \in x2) \rightarrow (\text{ac-fn}(z, \text{foldr}(\text{remove1}(z, x2), \text{root})) = \text{foldr}(x2, \text{root}))$$

THEOREM: foldr-permutation-p  
 $\text{permutation-p}(x1, x2) \rightarrow (\text{foldr}(x1, \text{root}) = \text{foldr}(x2, \text{root}))$

;; Having proved this general fact about foldr, let us apply it to  
 ;; three examples: the sum of the elements of a list, the product  
 ;; of the elements of a list, and a wired-or function.

;;;;;;;;;; SUMLIST ;;;;;;;;;;

;; First, we give a natural recursive definition of the sum of the  
 ;; elements of a list. One could easily generate such definitions  
 ;; automatically from the definition of foldr, by the way; for now,  
 ;; I'll take each application as it comes.

DEFINITION:  
 $\text{sumlist}(lst)$   
 = **if** listp( $lst$ ) **then** car( $lst$ ) +  $\text{sumlist}(\text{cdr}(lst))$   
   **else** 0 **endif**

;; Let us now instantiate the main result called FOLDER-PERMUTATION-P  
 ;; above to the particular case in question, i.e. to the case of the  
 ;; sum of the elements of a list.

THEOREM: sumlist-permutation-p-lemma  
*\*auto\**

;; Finally, I'll use the lemma above as a hint so that the theorem that  
 ;; SUMLIST is invariant under a permutation of its argument is immediate.

THEOREM: sumlist-permutation-p  
 $\text{permutation-p}(x1, x2) \rightarrow (\text{sumlist}(x1) = \text{sumlist}(x2))$

;;;;;;;;;; TIMESLIST ;;;;;;;;;;

;; Now let's repeat the exercise above for TIMES. This case proceeds  
 ;; similarly to the PLUS case, except we need a few lemmas about TIMES  
 ;; because less is built into the prover about TIMES than for PLUS.  
 ;; In practice, many users of the prover at CLInc would load a  
 ;; standard arithmetic library that has these facts about TIMES, any  
 ;; many others, included in it. (Such a library will have already  
 ;; been proved correct, so such an inclusion is sound.)

THEOREM: times-assoc  
 $((x * y) * z) = (x * (y * z))$

THEOREM: times-1  
 $(x * 1) = \text{fix}(x)$

THEOREM: times-comm  
 $(x * z) = (z * x)$

```
;; Now we repeat the three main events that we did for PLUS:
;; definition of the n-ary version, the functional instantiation, and
;; the main result. It turns out that we need the "commutativity-2"
;; property proved above for ac-fn as a lemma; the first
;; functionally-instantiate event below derives this property for
;; times as an immediate corollary.
```

DEFINITION:  
timeslist(*lst*)  
= **if** listp(*lst*) **then** car(*lst*) \* timeslist(cdr(*lst*))  
**else** 1 **endif**

```
;; Need commutativity-2 as a lemma.....
```

THEOREM: times-commutativity-2  
*\*auto\**

THEOREM: timeslist-permutation-p-lemma  
*\*auto\**

THEOREM: timeslist-permutation-p  
permutation-p(*x1*, *x2*)  $\rightarrow$  (timeslist(*x1*) = timeslist(*x2*))

```
;;;;;;;;;; WIRED-OR ;;;;;;;;;;;
```

```
;; Let's say that wired-or treats Z as an identity, and returns X if
;; either argument is not Z. In particular, the OR of Z with itself
;; is Z.
```

```
;; First, the binary version.....
```

DEFINITION:  
or2(*a*, *b*)  
= **if** *a* = 'z **then** *b*  
**elseif** *b* = 'z **then** *a*  
**else** 'x **endif**

;; Now, the list version, defined analogously to FOLDR:

DEFINITION:

wired-or (*lst*)

=   **if** listp (*lst*) **then** or2 (car (*lst*), wired-or (cdr (*lst*)))  
      **else** 'z **endif**

;; Now we just copy the usual two events, using 'z for root.

;; Commutativity-2 should be trivial in this case, so I won't separate

;; it out as a separate lemma as I did for the TIMES version above.

THEOREM: wired-or-permutation-p-lemma

*\*auto\**

THEOREM: wired-or-permutation-p

permutation-p (*x1*, *x2*)  $\rightarrow$  (wired-or (*x1*) = wired-or (*x2*))

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