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|#

;; Matt Kaufmann

EVENT: Start with the initial **nqthm** theory.

;; These are events in support of the paper ‘‘A Mechanically-Checked
;; Correctness Proof for Generalization in the Presence of Free
;; Variables,’’ Journal of Automated Reasoning, Vol. 7, 1991.

;; This events file contains no DEFN-SK events, but instead contains two
;; DCLs and two ADD-AXIOMS from a DEFN-SK event that I commented out.

;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;
;; sets.events file
;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;

;; Requires deftheory enhancement.
;; Requires only ground-zero theory, nqthm mode.

;; Sets; Matt Kaufmann, Dec. 1989, revised March 1990. The first few events

```
;; are some basic events about lists. I'll take the approach that all these
;; basic functions will be disabled once enough algebraic properties have
;; been proved.
```

```
;; Theories:
```

```
;; (deftheory set-defns
;;   (length properp fix-properp member append subsetp delete
;;     disjoint intersection set-diff setp))
```

DEFINITION:

```
length(x)
=  if listp(x) then 1 + length(cdr(x))
   else 0 endif
```

THEOREM: length-nlistp

```
(x  $\simeq$  nil)  $\rightarrow$  (length(x) = 0)
```

THEOREM: length-cons

```
length(cons(a, x)) = (1 + length(x))
```

THEOREM: length-append

```
length(append(x, y)) = (length(x) + length(y))
```

EVENT: Disable length.

THEOREM: append-assoc

```
append(append(x, y), z) = append(x, append(y, z))
```

THEOREM: member-cons

```
(a  $\in$  cons(x, l)) = ((a = x)  $\vee$  (a  $\in$  l))
```

THEOREM: member-nlistp

```
(l  $\simeq$  nil)  $\rightarrow$  (a  $\notin$  l)
```

EVENT: Disable member.

DEFINITION:

```
subsetp(x, y)
=  if x  $\simeq$  nil then t
   else (car(x)  $\in$  y)  $\wedge$  subsetp(cdr(x), y) endif
```

DEFINITION:

$\text{subsetp-wit}(x, y)$
= **if** $x \simeq \text{nil}$ **then** **t**
 elseif $\text{car}(x) \in y$ **then** $\text{subsetp-wit}(\text{cdr}(x), y)$
 else $\text{car}(x)$ **endif**

THEOREM: subsetp-wit-witnesses

$\text{subsetp}(x, y)$
= $\neg ((\text{subsetp-wit}(x, y) \in x) \wedge (\text{subsetp-wit}(x, y) \notin y))$

THEOREM: subsetp-wit-witnesses-general-1

$((\text{subsetp-wit}(x, y) \notin x) \wedge (a \in x)) \rightarrow (a \in y)$

THEOREM: subsetp-wit-witnesses-general-2

$((\text{subsetp-wit}(x, y) \in y) \wedge (a \in x)) \rightarrow (a \in y)$

EVENT: Disable subsetp-wit-witnesses.

EVENT: Disable subsetp-wit-witnesses-general-1.

EVENT: Disable subsetp-wit-witnesses-general-2.

THEOREM: subsetp-cons-1

$\text{subsetp}(\text{cons}(a, x), y) = ((a \in y) \wedge \text{subsetp}(x, y))$

THEOREM: subsetp-cons-2

$\text{subsetp}(l, m) \rightarrow \text{subsetp}(l, \text{cons}(a, m))$

THEOREM: subsetp-reflexivity

$\text{subsetp}(x, x)$

THEOREM: cdr-subsetp

$\text{subsetp}(\text{cdr}(x), x)$

THEOREM: member-subsetp

$((x \in y) \wedge \text{subsetp}(y, z)) \rightarrow (x \in z)$

THEOREM: subsetp-is-transitive

$(\text{subsetp}(x, y) \wedge \text{subsetp}(y, z)) \rightarrow \text{subsetp}(x, z)$

THEOREM: member-append

$(a \in \text{append}(x, y)) = ((a \in x) \vee (a \in y))$

THEOREM: subsetp-append

$\text{subsetp}(\text{append}(x, y), z) = (\text{subsetp}(x, z) \wedge \text{subsetp}(y, z))$

THEOREM: subsetp-of-append-sufficiency
 $(\text{subsetp}(a, b) \vee \text{subsetp}(a, c)) \rightarrow \text{subsetp}(a, \text{append}(b, c))$

THEOREM: subsetp-nlistp
 $(x \simeq \mathbf{nil}) \rightarrow (\text{subsetp}(x, y) \wedge (\text{subsetp}(y, x) = (y \simeq \mathbf{nil})))$

THEOREM: subsetp-cons-not-member
 $(z \notin x) \rightarrow (\text{subsetp}(x, \text{cons}(z, v)) = \text{subsetp}(x, v))$

EVENT: Disable subsetp.

;;;;; Other set-theoretic and list-theoretic definitions, and properp observations.

DEFINITION:
 $\text{properp}(x)$
 $= \text{if listp}(x) \text{ then } \text{properp}(\text{cdr}(x))$
 $\text{else } x = \mathbf{nil} \text{ endif}$

DEFINITION:
 $\text{fix-properp}(x)$
 $= \text{if listp}(x) \text{ then } \text{cons}(\text{car}(x), \text{fix-properp}(\text{cdr}(x)))$
 else nil endif

THEOREM: properp-fix-properp
 $\text{properp}(\text{fix-properp}(x))$

THEOREM: fix-properp-properp
 $\text{properp}(x) \rightarrow (\text{fix-properp}(x) = x)$

THEOREM: properp-cons
 $\text{properp}(\text{cons}(x, y)) = \text{properp}(y)$

THEOREM: properp-nlistp
 $(x \simeq \mathbf{nil}) \rightarrow (\text{properp}(x) = (x = \mathbf{nil}))$

THEOREM: fix-properp-cons
 $\text{fix-properp}(\text{cons}(x, y)) = \text{cons}(x, \text{fix-properp}(y))$

THEOREM: fix-properp-nlistp
 $(x \simeq \mathbf{nil}) \rightarrow (\text{fix-properp}(x) = \mathbf{nil})$

THEOREM: properp-append
 $\text{properp}(\text{append}(x, y)) = \text{properp}(y)$

THEOREM: fix-properp-append
 $\text{fix-properp}(\text{append}(x, y)) = \text{append}(x, \text{fix-properp}(y))$

THEOREM: append-nil
 $\text{append}(x, \mathbf{nil}) = \text{fix-properp}(x)$

DEFINITION:
 $\text{delete}(x, l)$
 $=$ **if** $\text{listp}(l)$
 then if $x = \text{car}(l)$ **then** $\text{cdr}(l)$
 else $\text{cons}(\text{car}(l), \text{delete}(x, \text{cdr}(l)))$ **endif**
 else l **endif**

THEOREM: properp-delete
 $\text{properp}(\text{delete}(x, l)) = \text{properp}(l)$

DEFINITION:
 $\text{disjoint}(x, y)$
 $=$ **if** $\text{listp}(x)$ **then** $(\text{car}(x) \notin y) \wedge \text{disjoint}(\text{cdr}(x), y)$
 else t **endif**

DEFINITION:
 $\text{disjoint-wit}(x, y)$
 $=$ **if** $\text{listp}(x)$
 then if $\text{car}(x) \in y$ **then** $\text{car}(x)$
 else $\text{disjoint-wit}(\text{cdr}(x), y)$ **endif**
 else t **endif**

THEOREM: disjoint-wit-witnesses
 $\text{disjoint}(x, y)$
 $=$ $(\neg ((\text{disjoint-wit}(x, y) \in x) \wedge (\text{disjoint-wit}(x, y) \in y)))$

EVENT: Disable disjoint-wit.

EVENT: Disable disjoint-wit-witnesses.

DEFINITION:
 $\text{intersection}(x, y)$
 $=$ **if** $\text{listp}(x)$
 then if $\text{car}(x) \in y$ **then** $\text{cons}(\text{car}(x), \text{intersection}(\text{cdr}(x), y))$
 else $\text{intersection}(\text{cdr}(x), y)$ **endif**
 else nil **endif**

THEOREM: properp-intersection
 $\text{properp}(\text{intersection}(x, y))$

DEFINITION:

$\text{set-diff}(x, y)$
= **if** $\text{listp}(x)$
 then if $\text{car}(x) \in y$ **then** $\text{set-diff}(\text{cdr}(x), y)$
 else $\text{cons}(\text{car}(x), \text{set-diff}(\text{cdr}(x), y))$ **endif**
 else nil endif

THEOREM: properp-set-diff
 $\text{properp}(\text{set-diff}(x, y))$

DEFINITION:

$\text{setp}(x)$
= **if** $\neg \text{listp}(x)$ **then** $x = \text{nil}$
 else $(\text{car}(x) \notin \text{cdr}(x)) \wedge \text{setp}(\text{cdr}(x))$ **endif**

THEOREM: setp-implies-properp
 $\text{setp}(x) \rightarrow \text{properp}(x)$

EVENT: Disable properp.

EVENT: Let us define the theory *set-defns* to consist of the following events:
length, properp, fix-properp, member, append, subsetp, delete, disjoint, intersection, set-diff, setp, properp.

:: Set theory lemmas

THEOREM: delete-cons
 $\text{delete}(a, \text{cons}(b, x))$
= **if** $a = b$ **then** x
 else $\text{cons}(b, \text{delete}(a, x))$ **endif**

THEOREM: delete-nlistp
 $(x \simeq \text{nil}) \rightarrow (\text{delete}(a, x) = x)$

THEOREM: listp-delete
 $\text{listp}(\text{delete}(x, l))$
= **if** $\text{listp}(l)$ **then** $(x \neq \text{car}(l)) \vee \text{listp}(\text{cdr}(l))$
 else f endif

THEOREM: delete-non-member
 $(x \notin y) \rightarrow (\text{delete}(x, y) = y)$

THEOREM: delete-delete
 $\text{delete}(y, \text{delete}(x, z)) = \text{delete}(x, \text{delete}(y, z))$

THEOREM: member-delete
 $\text{setp}(x) \rightarrow ((a \in \text{delete}(b, x)) = ((a \neq b) \wedge (a \in x)))$

THEOREM: setp-delete
 $\text{setp}(x) \rightarrow \text{setp}(\text{delete}(a, x))$

EVENT: Disable delete.

THEOREM: disjoint-cons-1
 $\text{disjoint}(\text{cons}(a, x), y) = ((a \notin y) \wedge \text{disjoint}(x, y))$

THEOREM: disjoint-cons-2
 $\text{disjoint}(x, \text{cons}(a, y)) = ((a \notin x) \wedge \text{disjoint}(x, y))$

THEOREM: disjoint-nlistp
 $((x \simeq \mathbf{nil}) \vee (y \simeq \mathbf{nil})) \rightarrow \text{disjoint}(x, y)$

THEOREM: disjoint-symmetry
 $\text{disjoint}(x, y) = \text{disjoint}(y, x)$

THEOREM: disjoint-append-right
 $\text{disjoint}(u, \text{append}(y, z)) = (\text{disjoint}(u, y) \wedge \text{disjoint}(u, z))$

THEOREM: disjoint-append-left
 $\text{disjoint}(\text{append}(y, z), u) = (\text{disjoint}(y, u) \wedge \text{disjoint}(z, u))$

THEOREM: disjoint-non-member
 $((a \in x) \wedge (a \in y)) \rightarrow (\neg \text{disjoint}(x, y))$

THEOREM: disjoint-subsetp-monotone-second
 $(\text{subsetp}(y, z) \wedge \text{disjoint}(x, z)) \rightarrow \text{disjoint}(x, y)$

THEOREM: subsetp-disjoint-2
 $(\text{subsetp}(x, y) \wedge \text{disjoint}(y, z)) \rightarrow \text{disjoint}(z, x)$

THEOREM: subsetp-disjoint-1
 $(\text{subsetp}(x, y) \wedge \text{disjoint}(y, z)) \rightarrow \text{disjoint}(x, z)$

THEOREM: subsetp-disjoint-3
 $(\text{subsetp}(x, y) \wedge \text{disjoint}(z, y)) \rightarrow \text{disjoint}(x, z)$

EVENT: Disable disjoint.

THEOREM: intersection-disjoint
 $(\text{intersection}(x, y) = \mathbf{nil}) = \text{disjoint}(x, y)$

THEOREM: intersection-nlistp

$$((x \simeq \mathbf{nil}) \vee (y \simeq \mathbf{nil})) \rightarrow (\text{intersection}(x, y) = \mathbf{nil})$$

THEOREM: member-intersection

$$(a \in \text{intersection}(x, y)) = ((a \in x) \wedge (a \in y))$$

THEOREM: subsetp-intersection

$$\text{subsetp}(x, \text{intersection}(y, z)) = (\text{subsetp}(x, y) \wedge \text{subsetp}(x, z))$$

THEOREM: intersection-symmetry

$$\text{subsetp}(\text{intersection}(x, y), \text{intersection}(y, x))$$

THEOREM: intersection-cons-1

$$\begin{aligned} & \text{intersection}(\text{cons}(a, x), y) \\ &= \text{if } a \in y \text{ then } \text{cons}(a, \text{intersection}(x, y)) \\ & \quad \text{else } \text{intersection}(x, y) \text{ endif} \end{aligned}$$

THEOREM: intersection-cons-2

$$(a \notin y) \rightarrow (\text{intersection}(y, \text{cons}(a, x)) = \text{intersection}(y, x))$$

;; The following is needed because DISJOINT-INTERSECTION-COMMUTER,
;; added during polishing, caused the proof of
;; DISJOINT-DOMAIN-CO-RESTRICT (in "alists.events") to fail.

THEOREM: intersection-cons-3

$$\begin{aligned} & (w \in x) \\ & \rightarrow (\text{subsetp}(\text{intersection}(y, \text{cons}(w, z)), x) \\ & \quad = \text{subsetp}(\text{intersection}(y, z), x)) \end{aligned}$$

THEOREM: intersection-cons-subsetp

$$\text{subsetp}(\text{intersection}(x, y), \text{intersection}(x, \text{cons}(a, y)))$$

THEOREM: subsetp-intersection-left-1

$$\text{subsetp}(\text{intersection}(x, y), x)$$

THEOREM: subsetp-intersection-left-2

$$\text{subsetp}(\text{intersection}(x, y), y)$$

THEOREM: subsetp-intersection-sufficiency-1

$$\text{subsetp}(y, z) \rightarrow \text{subsetp}(\text{intersection}(x, y), z)$$

THEOREM: subsetp-intersection-sufficiency-2

$$\text{subsetp}(y, z) \rightarrow \text{subsetp}(\text{intersection}(y, x), z)$$

THEOREM: intersection-associative

$$\text{intersection}(\text{intersection}(x, y), z) = \text{intersection}(x, \text{intersection}(y, z))$$

THEOREM: intersection-elimination

$$\text{subsetp}(x, y) \rightarrow (\text{intersection}(x, y) = \text{fix-properp}(x))$$

THEOREM: length-intersection

$$\text{length}(x) \not\prec \text{length}(\text{intersection}(x, y))$$

THEOREM: subsetp-intersection-member

$$\begin{aligned} & (\text{subsetp}(\text{intersection}(x, y), z) \wedge (a \notin z)) \\ \rightarrow & (((a \in x) \rightarrow (a \notin y)) \wedge ((a \in y) \rightarrow (a \notin x))) \end{aligned}$$

;; The following wasn't needed in the proof about generalization, but it's a nice rule.

THEOREM: intersection-append

$$\text{intersection}(\text{append}(x, y), z) = \text{append}(\text{intersection}(x, z), \text{intersection}(y, z))$$

;; I'd rather just prove that intersection distributes over append on
;; the right but that isn't true. Congruence relations would probably
;; help a lot with that problem. In the meantime, I content myself
;; with the following.

THEOREM: disjoint-intersection-append

$$\begin{aligned} & \text{disjoint}(x, \text{intersection}(y, \text{append}(z1, z2))) \\ = & (\text{disjoint}(x, \text{intersection}(y, z1)) \wedge \text{disjoint}(x, \text{intersection}(y, z2))) \end{aligned}$$

;; See comment just above DISJOINT-INTERSECTION-APPEND

THEOREM: subsetp-intersection-append

$$\begin{aligned} & \text{subsetp}(\text{intersection}(u, \text{append}(x, y)), z) \\ = & (\text{subsetp}(\text{intersection}(u, x), z) \wedge \text{subsetp}(\text{intersection}(u, y), z)) \end{aligned}$$

THEOREM: subsetp-intersection-elimination-lemma

$$\begin{aligned} & (\text{subsetp}(y, x) \wedge (\neg \text{subsetp}(y, z))) \\ \rightarrow & (\neg \text{subsetp}(\text{intersection}(x, y), z)) \end{aligned}$$

THEOREM: subsetp-intersection-elimination

$$\text{subsetp}(y, x) \rightarrow (\text{subsetp}(\text{intersection}(x, y), z) \leftrightarrow \text{subsetp}(y, z))$$

THEOREM: disjoint-intersection

$$\text{disjoint}(\text{intersection}(x, y), z) = \text{disjoint}(x, \text{intersection}(y, z))$$

THEOREM: subsetp-intersection-monotone-1

$$\begin{aligned} & (\text{subsetp}(\text{intersection}(x, y), z) \wedge \text{subsetp}(x1, x)) \\ \rightarrow & \text{subsetp}(\text{intersection}(x1, y), z) \end{aligned}$$

```
;; The lemma SUBSETP-INTERSECTION-MONOTONE-2 below was added during
;; polishing of the final proof in "generalize.events", since the
;; lemma immediately above wasn't enough at that point. Actually
;; I realized at this point that intersection commutes from the point
;; of view of subsetp:
```

THEOREM: subsetp-intersection-commuter
 $\text{subsetp}(\text{intersection}(x, y), z) = \text{subsetp}(\text{intersection}(y, x), z)$

THEOREM: subsetp-intersection-monotone-2
 $(\text{subsetp}(\text{intersection}(y, x), z) \wedge \text{subsetp}(x1, x))$
 $\rightarrow \text{subsetp}(\text{intersection}(x1, y), z)$

THEOREM: disjoint-intersection-commuter
 $\text{disjoint}(x, \text{intersection}(y, z)) = \text{disjoint}(x, \text{intersection}(z, y))$

THEOREM: disjoint-intersection3
 $\text{disjoint}(\text{free}, \text{intersection}(\text{vars}, x))$
 $\rightarrow (\text{intersection}(x, \text{intersection}(\text{vars}, \text{free})) = \mathbf{nil})$

EVENT: Disable intersection.

THEOREM: member-set-diff
 $(a \in \text{set-diff}(y, z)) = ((a \in y) \wedge (a \notin z))$

THEOREM: subsetp-set-diff-1
 $\text{subsetp}(\text{set-diff}(x, y), x)$

THEOREM: disjointp-set-diff
 $\text{disjoint}(\text{set-diff}(x, y), y)$

THEOREM: subsetp-set-diff-2
 $\text{subsetp}(x, \text{set-diff}(y, z)) = (\text{subsetp}(x, y) \wedge \text{disjoint}(x, z))$

THEOREM: set-diff-cons
 $\text{set-diff}(\text{cons}(a, x), y)$
 $= \text{if } a \in y \text{ then set-diff}(x, y)$
 $\text{else cons}(a, \text{set-diff}(x, y)) \text{ endif}$

THEOREM: set-diff-nlistp
 $(x \simeq \mathbf{nil}) \rightarrow (\text{set-diff}(x, y) = \mathbf{nil})$

```
;; The following was discovered during final polishing, for the
;; proof of MAIN-HYPS-RELIEVED-6-FIRST.
```

THEOREM: disjoint-set-diff-general

$\text{disjoint}(x, \text{set-diff}(y, z)) = \text{subsetp}(\text{intersection}(x, y), z)$

```
;; No longer relevant:
;(prove-lemma disjoint-set-diff-subsetp (rewrite)
;  (implies (and (disjoint x (set-diff y z))
;                (subsetp z z1))
;            (disjoint x (set-diff y z1))))
; ((use (disjoint-wit-witnesses (y (set-diff y z1))))
;  (disable member-set-diff set-diff)))

;; Instead of the following I'll prove the corresponding (in light of
;; DISJOINT-SET-DIFF-GENERAL) fact INTERSECTION-X-X about intersection.
;(prove-lemma disjoint-set-diff (rewrite)
;  (disjoint x (set-diff y x)))
```

THEOREM: intersection-subsetp-identity

$(\text{properp}(x) \wedge \text{subsetp}(x, y)) \rightarrow (\text{intersection}(x, y) = x)$

THEOREM: intersection-x-x

$\text{properp}(x) \rightarrow (\text{intersection}(x, x) = x)$

THEOREM: subsetp-set-diff-mononone-2

$\text{subsetp}(\text{set-diff}(x, \text{append}(y, z)), \text{set-diff}(x, z))$

THEOREM: subsetp-set-diff-monotone-second

$\text{subsetp}(\text{set-diff}(x, y), \text{set-diff}(x, z)) = \text{subsetp}(\text{intersection}(x, z), y)$

THEOREM: set-diff-nil

$\text{set-diff}(x, \text{nil}) = \text{fix-properp}(x)$

THEOREM: set-diff-cons-non-member-1

$(a \notin x) \rightarrow (\text{set-diff}(x, \text{cons}(a, y)) = \text{set-diff}(x, y))$

THEOREM: length-intersection-set-diff

$\text{length}(x) = (\text{length}(\text{set-diff}(x, y)) + \text{length}(\text{intersection}(x, y)))$

THEOREM: length-set-diff-opener

$\text{length}(\text{set-diff}(x, y)) = (\text{length}(x) - \text{length}(\text{intersection}(x, y)))$

THEOREM: listp-set-diff

$\text{listp}(\text{set-diff}(x, y)) = (\neg \text{subsetp}(x, y))$

;; Here is a messy lemma about disjoint and such

THEOREM: disjoint-intersection-set-diff-intersection
 $\text{disjoint}(x, \text{intersection}(y, \text{set-diff}(z, \text{intersection}(y, x))))$

EVENT: Disable set-diff.

THEOREM: member-fix-properp
 $(a \in \text{fix-properp}(x)) = (a \in x)$

THEOREM: setp-append
 $\text{setp}(\text{append}(x, y)) = (\text{disjoint}(x, y) \wedge \text{setp}(\text{fix-properp}(x)) \wedge \text{setp}(y))$

THEOREM: setp-cons
 $\text{setp}(\text{cons}(a, x)) = ((a \notin x) \wedge \text{setp}(x))$

THEOREM: setp-nlistp
 $(x \simeq \mathbf{nil}) \rightarrow (\text{setp}(x) = (x = \mathbf{nil}))$

DEFINITION:
 $\text{make-set}(l)$
 $=$ **if** $\neg \text{listp}(l)$ **then** **nil**
 elseif $\text{car}(l) \in \text{cdr}(l)$ **then** $\text{make-set}(\text{cdr}(l))$
 else $\text{cons}(\text{car}(l), \text{make-set}(\text{cdr}(l)))$ **endif**

THEOREM: make-set-preserves-member
 $(x \in \text{make-set}(l)) = (x \in l)$

THEOREM: make-set-preserves-subsetp-1
 $\text{subsetp}(\text{make-set}(x), \text{make-set}(y)) = \text{subsetp}(x, y)$

THEOREM: make-set-preserves-subsetp-2
 $\text{subsetp}(x, \text{make-set}(y)) = \text{subsetp}(x, y)$

THEOREM: make-set-preserves-subsetp-3
 $\text{subsetp}(\text{make-set}(x), y) = \text{subsetp}(x, y)$

THEOREM: make-set-gives-setp
 $\text{setp}(\text{make-set}(x))$

THEOREM: make-set-set-diff
 $\text{make-set}(\text{set-diff}(x, y)) = \text{set-diff}(\text{make-set}(x), \text{make-set}(y))$

THEOREM: set-diff-make-set
 $\text{set-diff}(x, \text{make-set}(y)) = \text{set-diff}(x, y)$

THEOREM: listp-make-set
 $\text{listp}(\text{make-set}(x)) = \text{listp}(x)$

EVENT: Disable setp.

;;;;; The following were proved in the course of the final run
;;;;; through the generalization proof. There are a couple or
;;;;; so noted above here, too.

THEOREM: set-diff-append
 $\text{set-diff}(x, \text{append}(y, z)) = \text{set-diff}(\text{set-diff}(x, z), y)$

THEOREM: length-set-diff-leq
 $\text{length}(x) \not\leq \text{length}(\text{set-diff}(x, y))$

THEOREM: lessp-length
 $\text{listp}(x) \rightarrow (0 < \text{length}(x))$

THEOREM: listp-intersection
 $\text{listp}(\text{intersection}(x, y)) = (\neg \text{disjoint}(x, y))$

THEOREM: length-set-diff-lessp
 $(\neg \text{disjoint}(x, \text{new})) \rightarrow (\text{length}(\text{set-diff}(x, \text{new})) < \text{length}(x))$

THEOREM: disjoint-imply-empty-intersection
 $\text{disjoint}(x, y) \rightarrow (\text{intersection}(x, y) = \mathbf{nil})$

;; The following lemma DISJOINT-INTERSECTION3-MIDDLE is needed for the
;; proof of ALL-VARS-DISJOINT-OR-SUBSETP-GEN-CLOSURE in
;; generalize.events. I think I could avoid lemmas like this one
;; INTERSECTION were actually commutative-associative (in which case
;; I'd get rid of disjoint and rely on normalization).

THEOREM: disjoint-intersection3-middle
 $\text{disjoint}(y, \text{intersection}(x, z))$
 $\rightarrow (\text{intersection}(x, \text{intersection}(y, z)) = \mathbf{nil})$

;; Maybe I should redo the notion of disjoint sometime, perhaps using
;; the fact that intersection is commutative and associative when it's
;; equated with nil.

THEOREM: disjoint-subsetp-hack
 $(\text{disjoint}(x, \text{intersection}(u, v)) \wedge \text{subsetp}(w, x))$
 $\rightarrow \text{disjoint}(u, \text{intersection}(w, v))$

```

THEOREM: subsetp-set-diff-sufficiency
subsetp( $x, y$ )  $\rightarrow$  subsetp(set-diff( $x, z$ ),  $y$ )

;; The following lemma SETP-INTERSECTION-SUFFICIENCY is needed for
;; MAPPING-RESTRICT from "alists.events", because (I believe)
;; DOMAIN-RESTRICT, which was added during polishing, changed the
;; course of the previous proof. Similarly for
;; SETP-SET-DIFF-SUFFICIENCY and the lemma MAPPING-CO-RESTRICT.

THEOREM: setp-intersection-sufficiency
setp( $x$ )  $\rightarrow$  setp(intersection( $x, y$ ))

THEOREM: setp-set-diff-sufficiency
setp( $x$ )  $\rightarrow$  setp(set-diff( $x, y$ ))

;; The definition of FIX-PROPERP was also added in polishing because
;; of a problem with the proof of GEN-CLOSURE-ACCEPT in
;; "generalize.events". Here are a couple of lemmas about it that
;; might or might not be useful; all other lemmas about it above, and
;; the definition, were added during polishing.

EVENT: Disable fix-properp.

THEOREM: subsetp-fix-properp-1
subsetp(fix-properp( $x$ ),  $y$ ) = subsetp( $x, y$ )

THEOREM: subsetp-fix-properp-2
subsetp( $x$ , fix-properp( $y$ )) = subsetp( $x, y$ )

;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;
;; alists.events file
;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;

;; Requires deftheory enhancement.
;; Requires sets.

;; Alists, March 1990. Most of the definitions and some of the lemmas
;; were contributed by Bill Bevier; the rest are by Matt Kaufmann.

;; Functions defined here:

;; (deftheory alist-defns
;;   (alistp domain range value bind rebind invert mapping
;;     restrict co-restrict))

```

DEFINITION:

$\text{alistp}(x)$
= **if** $\text{listp}(x)$ **then** $\text{listp}(\text{car}(x)) \wedge \text{alistp}(\text{cdr}(x))$
 else $x = \text{nil}$ **endif**

THEOREM: alistp-implies-properp

$\text{alistp}(x) \rightarrow \text{properp}(x)$

THEOREM: alistp-nlistp

$(x \simeq \text{nil}) \rightarrow (\text{alistp}(x) = (x = \text{nil}))$

THEOREM: alistp-cons

$\text{alistp}(\text{cons}(a, x)) = (\text{listp}(a) \wedge \text{alistp}(x))$

EVENT: Disable alistp.

THEOREM: alistp-append

$\text{alistp}(\text{append}(x, y)) = (\text{alistp}(\text{fix-properp}(x)) \wedge \text{alistp}(y))$

DEFINITION:

$\text{domain}(map)$
= **if** $\text{listp}(map)$
 then if $\text{listp}(\text{car}(map))$ **then** $\text{cons}(\text{car}(\text{car}(map)), \text{domain}(\text{cdr}(map)))$
 else $\text{domain}(\text{cdr}(map))$ **endif**
 else nil endif

THEOREM: properp-domain

$\text{properp}(\text{domain}(map))$

THEOREM: domain-append

$\text{domain}(\text{append}(x, y)) = \text{append}(\text{domain}(x), \text{domain}(y))$

THEOREM: domain-nlistp

$(map \simeq \text{nil}) \rightarrow (\text{domain}(map) = \text{nil})$

THEOREM: domain-cons

$\text{domain}(\text{cons}(a, map))$
= **if** $\text{listp}(a)$ **then** $\text{cons}(\text{car}(a), \text{domain}(map))$
 else $\text{domain}(map)$ **endif**

THEOREM: member-domain-sufficiency

$(\text{cons}(a, x) \in y) \rightarrow (a \in \text{domain}(y))$

THEOREM: subsetp-domain

$\text{subsetp}(x, y) \rightarrow \text{subsetp}(\text{domain}(x), \text{domain}(y))$

EVENT: Disable domain.

DEFINITION:

```
range (map)
=  if listp (map)
    then if listp (car (map)) then cons (cdr (car (map)), range (cdr (map)))
        else range (cdr (map)) endif
    else nil endif
```

THEOREM: properp-range
properp (range (map))

THEOREM: range-append
range (append (s1, s2)) = append (range (s1), range (s2))

THEOREM: range-nlistp
(map $\simeq$ nil) $\rightarrow$ (range (map) = nil)

THEOREM: range-cons
range (cons (a, map))
= if listp (a) then cons (cdr (a), range (map))
 else range (map) endif

EVENT: Disable range.

```
;; BOUNDP has been eliminated in favor of membership in domain.
;; Notice that I have to talk about things like disjointness of
;; domains anyhow. New definition body would be (member x (domain map)).
```

```
;(defn boundp (x map)
;  (if (listp map)
;      (if (listp (car map))
;          (if (equal x (caar map))
;              t
;              (boundp x (cdr map)))
;          (boundp x (cdr map)))
;      f))
```

DEFINITION:

```
value (x, map)
=  if listp (map)
    then if listp (car (map))  $\wedge$  (x = caar (map)) then cdar (map)
        else value (x, cdr (map)) endif
    else 0 endif
```

THEOREM: value-nlistp
 $(map \simeq \mathbf{nil}) \rightarrow (\text{value}(x, map) = 0)$

THEOREM: value-cons
 $\text{value}(x, \text{cons}(pair, map))$
 $= \text{if listp}(pair) \wedge (x = \text{car}(pair)) \text{ then } \text{cdr}(pair)$
 $\text{else } \text{value}(x, map) \text{ endif}$

EVENT: Disable value.

DEFINITION:
 $\text{bind}(x, v, map)$
 $= \text{if listp}(map)$
 $\text{then if listp}(\text{car}(map))$
 $\text{then if } x = \text{caar}(map) \text{ then } \text{cons}(\text{cons}(x, v), \text{cdr}(map))$
 $\text{else } \text{cons}(\text{car}(map), \text{bind}(x, v, \text{cdr}(map))) \text{ endif}$
 $\text{else } \text{cons}(\text{car}(map), \text{bind}(x, v, \text{cdr}(map))) \text{ endif}$
 $\text{else } \text{cons}(\text{cons}(x, v), \mathbf{nil}) \text{ endif}$

DEFINITION:
 $\text{rebind}(x, map)$
 $= \text{if listp}(map)$
 $\text{then if listp}(\text{car}(map))$
 $\text{then if } x = \text{caar}(map) \text{ then } \text{cdr}(map)$
 $\text{else } \text{cons}(\text{car}(map), \text{rebind}(x, \text{cdr}(map))) \text{ endif}$
 $\text{else } \text{cons}(\text{car}(map), \text{rebind}(x, \text{cdr}(map))) \text{ endif}$
 $\text{else } \mathbf{nil} \text{ endif}$

DEFINITION:
 $\text{invert}(map)$
 $= \text{if listp}(map)$
 $\text{then if listp}(\text{car}(map))$
 $\text{then } \text{cons}(\text{cons}(\text{cdr}(\text{car}(map)), \text{car}(\text{car}(map))), \text{invert}(\text{cdr}(map)))$
 $\text{else } \text{invert}(\text{cdr}(map)) \text{ endif}$
 $\text{else } \mathbf{nil} \text{ endif}$

THEOREM: properp-invert
 $\text{properp}(\text{invert}(map))$

THEOREM: invert-nlistp
 $(map \simeq \mathbf{nil}) \rightarrow (\text{invert}(map) = \mathbf{nil})$

THEOREM: invert-cons
 $\text{invert}(\text{cons}(pair, map))$
 $= \text{if listp}(pair) \text{ then } \text{cons}(\text{cons}(\text{cdr}(pair), \text{car}(pair)), \text{invert}(map))$
 $\text{else } \text{invert}(map) \text{ endif}$

THEOREM: value-invert-not-member-of-domain
 $((g \in \text{range}(sg)) \wedge \text{disjoint}(\text{domain}(s), \text{domain}(sg)))$
 $\rightarrow (\text{value}(g, \text{invert}(sg)) \notin \text{domain}(s))$

EVENT: Disable invert.

DEFINITION: $\text{mapping}(map) = (\text{alistp}(map) \wedge \text{setp}(\text{domain}(map)))$

;; For when we disable mapping:

THEOREM: mapping-implies-alistp
 $\text{mapping}(map) \rightarrow \text{alistp}(map)$

THEOREM: mapping-implies-setp-domain
 $\text{mapping}(map) \rightarrow \text{setp}(\text{domain}(map))$

DEFINITION:

$\text{restrict}(s, \text{new-domain})$
 $=$ **if** $\text{listp}(s)$
 then **if** $\text{listp}(\text{car}(s)) \wedge (\text{caar}(s) \in \text{new-domain})$
 then $\text{cons}(\text{car}(s), \text{restrict}(\text{cdr}(s), \text{new-domain}))$
 else $\text{restrict}(\text{cdr}(s), \text{new-domain})$ **endif**
 else nil endif

DEFINITION:

$\text{co-restrict}(s, \text{new-domain})$
 $=$ **if** $\text{listp}(s)$
 then **if** $\text{listp}(\text{car}(s)) \wedge (\text{caar}(s) \notin \text{new-domain})$
 then $\text{cons}(\text{car}(s), \text{co-restrict}(\text{cdr}(s), \text{new-domain}))$
 else $\text{co-restrict}(\text{cdr}(s), \text{new-domain})$ **endif**
 else nil endif

EVENT: Let us define the theory *alist-defns* to consist of the following events: alistp, domain, range, value, bind, rebind, invert, mapping, restrict, co-restrict.

;;;;; alist lemmas

; DOMAIN

;; The following was proved in the course of the final run through
 ;; the generalization proof. The one after it isn't needed but
 ;; seems like it's worth proving too. Actually now I see that
 ;; some other lemmas are now obsolete, so I'll put these both
 ;; early in the file and delete the others.

THEOREM: domain-restrict
 $\text{domain}(\text{restrict}(s, \text{dom})) = \text{intersection}(\text{domain}(s), \text{dom})$

THEOREM: domain-co-restrict
 $\text{domain}(\text{co-restrict}(s, \text{dom})) = \text{set-diff}(\text{domain}(s), \text{dom})$

THEOREM: domain-bind
 $\text{domain}(\text{bind}(x, v, \text{map}))$
 $= \text{if } x \in \text{domain}(\text{map}) \text{ then } \text{domain}(\text{map})$
 $\text{else append}(\text{domain}(\text{map}), \text{list}(x)) \text{ endif}$

THEOREM: domain-rembind
 $\text{domain}(\text{rembind}(x, \text{map})) = \text{delete}(x, \text{domain}(\text{map}))$

THEOREM: domain-invert
 $\text{domain}(\text{invert}(\text{map})) = \text{range}(\text{map})$

; RANGE

THEOREM: range-invert
 $\text{range}(\text{invert}(\text{map})) = \text{domain}(\text{map})$

; BOUNDP

THEOREM: boundp-bind
 $(x \in \text{domain}(\text{bind}(y, v, \text{map}))) = ((x = y) \vee (x \in \text{domain}(\text{map})))$

THEOREM: boundp-rembind
 $\text{mapping}(\text{map})$
 $\rightarrow ((x \in \text{domain}(\text{rembind}(y, \text{map})))$
 $= \text{if } x = y \text{ then f}$
 $\text{else } x \in \text{domain}(\text{map}) \text{ endif})$

THEOREM: boundp-subsetp
 $(\text{subsetp}(\text{map1}, \text{map2}) \wedge (\text{name} \in \text{domain}(\text{map1})))$
 $\rightarrow (\text{name} \in \text{domain}(\text{map2}))$

THEOREM: disjoint-domain-singleton
 $(\text{disjoint}(\text{domain}(s), \text{list}(x)) = (x \notin \text{domain}(s)))$
 $\wedge (\text{disjoint}(\text{list}(x), \text{domain}(s)) = (x \notin \text{domain}(s)))$

THEOREM: boundp-value-invert
 $(x \in \text{range}(\text{map})) \rightarrow (\text{value}(x, \text{invert}(\text{map})) \in \text{domain}(\text{map}))$

; VALUE

THEOREM: value-when-not-bound
 $(name \notin \text{domain}(map)) \rightarrow (\text{value}(name, map) = 0)$

THEOREM: value-bind
 $\text{value}(x, \text{bind}(y, v, map))$
 $= \text{if } x = y \text{ then } v$
 $\quad \text{else } \text{value}(x, map) \text{ endif}$

THEOREM: value-rebind
 $\text{mapping}(map)$
 $\rightarrow (\text{value}(x, \text{rebind}(y, map)))$
 $= \text{if } x = y \text{ then } 0$
 $\quad \text{else } \text{value}(x, map) \text{ endif}$

THEOREM: value-append
 $\text{value}(x, \text{append}(s1, s2))$
 $= \text{if } x \in \text{domain}(s1) \text{ then } \text{value}(x, s1)$
 $\quad \text{else } \text{value}(x, s2) \text{ endif}$

THEOREM: value-value-invert
 $((x \in \text{range}(s)) \wedge \text{mapping}(s)) \rightarrow (\text{value}(\text{value}(x, \text{invert}(s)), s) = x)$

; MAPPING

THEOREM: mapping-append
 $\text{mapping}(\text{append}(s1, s2))$
 $= (\text{disjoint}(\text{domain}(s1), \text{domain}(s2)))$
 $\quad \wedge \text{mapping}(\text{fix-properp}(s1))$
 $\quad \wedge \text{mapping}(s2))$

EVENT: Disable mapping.

; ; RESTRICT and CO-RESTRICT

THEOREM: alistp-restrict
 $\text{alistp}(\text{restrict}(s, r))$

THEOREM: alistp-co-restrict
 $\text{alistp}(\text{co-restrict}(s, r))$

THEOREM: value-restrict
 $((a \in r) \wedge (a \in \text{domain}(s)))$
 $\rightarrow (\text{value}(a, \text{restrict}(s, r)) = \text{value}(a, s))$

THEOREM: value-co-restrict
 $((a \notin r) \wedge (a \in \text{domain}(s)))$
 $\rightarrow (\text{value}(a, \text{co-restrict}(s, r)) = \text{value}(a, s))$

THEOREM: mapping-restrict
 $\text{mapping}(s) \rightarrow \text{mapping}(\text{restrict}(s, x))$

THEOREM: mapping-co-restrict
 $\text{mapping}(s) \rightarrow \text{mapping}(\text{co-restrict}(s, x))$

EVENT: Disable restrict.

EVENT: Disable co-restrict.

```

;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;
;; terms.events file
;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;

;; Requires deftheory and constrain enhancements.
;; Requires sets and alists libraries.

;; This is a library of events about terms, including substitutions.
;; A TERMP is either a variable or the application of a function
;; symbol to a "proper list" of terms. Variables and function symbols
;; are introduced with CONSTRAIN.

;; NOTE: In functions like TERMP that have a flag, it seems to be
;; important to use T and F rather than, say, T and 'LIST. That's
;; because otherwise, the "worse-than" heuristic will otherwise
;; prevent some necessary backchaining in cases where the hypothesis
;; to be relieved is of the form (TERMP 'LIST ...) and an "ancestor"
;; is of the form (TERMP T ...).

;; Definitions:

;; (deftheory term-defns
;; (variable-intro variable-listp termp function-symbol-intro all-vars))

;; (deftheory substitution-defns
;; (instance var-substp compose apply-to-subst subst

```

```
;; nullify-subst ;; returns a substitution whose range has no variables
;; ))
```

CONSERVATIVE AXIOM: variablep-intro
 $(\text{listp}(x) \rightarrow (\neg \text{variablep}(x)))$
 $\wedge (\text{truep}(\text{variablep}(x)) \vee \text{falsep}(\text{variablep}(x)))$

Simultaneously, we introduce the new function symbol *variablep*.

DEFINITION:
 $\text{variable-listp}(x)$
 $=$ **if** $\text{listp}(x)$ **then** $\text{variablep}(\text{car}(x)) \wedge \text{variable-listp}(\text{cdr}(x))$
else $x = \text{nil}$ **endif**

THEOREM: variable-listp-implies-properp
 $\text{variable-listp}(x) \rightarrow \text{properp}(x)$

THEOREM: variable-listp-cons
 $\text{variable-listp}(\text{cons}(a, x)) = (\text{variablep}(a) \wedge \text{variable-listp}(x))$

THEOREM: variable-nlistp
 $(x \simeq \text{nil}) \rightarrow (\text{variable-listp}(x) = (x = \text{nil}))$

EVENT: Disable variable-listp.

CONSERVATIVE AXIOM: function-symbol-intro
 $\text{function-symbol-p}(\text{FN})$

Simultaneously, we introduce the new function symbols *function-symbol-p* and *fn*.

DEFINITION:
 $\text{term}(flg, x)$
 $=$ **if** flg
then if $\text{variablep}(x)$ **then** $\mathbf{t}$
elseif $\text{listp}(x)$
then $\text{function-symbol-p}(\text{car}(x)) \wedge \text{term}(\mathbf{f}, \text{cdr}(x))$
else $\mathbf{f}$ **endif**
elseif $\text{listp}(x)$ **then** $\text{term}(\mathbf{t}, \text{car}(x)) \wedge \text{term}(\mathbf{f}, \text{cdr}(x))$
else $x = \text{nil}$ **endif**

THEOREM: term-list-cons
 $\text{term}(\mathbf{f}, \text{cons}(a, x)) = (\text{term}(\mathbf{t}, a) \wedge \text{term}(\mathbf{f}, x))$

THEOREM: term-p-list-nlistp
 $(x \simeq \mathbf{nil}) \rightarrow (\text{term-p}(\mathbf{f}, x) = (x = \mathbf{nil}))$

THEOREM: term-p-t-cons
 $flag \rightarrow (\text{term-p}(flag, \text{cons}(a, x)) = (\text{function-symbol-p}(a) \wedge \text{term-p}(\mathbf{f}, x)))$

THEOREM: term-p-t-nlistp
 $(flag \wedge (\neg \text{listp}(x))) \rightarrow (\text{term-p}(flag, x) = \text{variablep}(x))$

EVENT: Disable term-p.

THEOREM: term-p-list-implies-properp
 $\text{term-p}(\mathbf{f}, x) \rightarrow \text{properp}(x)$

DEFINITION:
all-vars(flag, x)
= **if** flag
 then if variablep(x) **then** list(x)
 elseif listp(x) **then** all-vars(f, cdr(x))
 else nil endif
 elseif listp(x) **then** append(all-vars(t, car(x)), all-vars(f, cdr(x)))
 else nil endif

THEOREM: properp-all-vars
properp(all-vars(flag, x))

THEOREM: all-vars-list-cons
all-vars(f, cons(a, x)) = append(all-vars(t, a), all-vars(f, x))

THEOREM: all-vars-t-cons
flag $\rightarrow$ (all-vars(flag, cons(a, x)) = all-vars(f, x))

;; Here is a hack to deal with the flags.

THEOREM: all-vars-subsetp-append-hack
(flag1 $\wedge$ flag2)
 $\rightarrow$ (subsetp(all-vars(flag1, x), append(all-vars(flag2, x), y))
 $\wedge$ subsetp(all-vars(flag1, x), append(y, all-vars(flag2, x))))

;; The following is used later in the proof of MEMBER-PRESERVES-DISJOINT-ALL-VARS
;; and could conceivably be of use elsewhere.

THEOREM: all-vars-flg-boolean
flag $\rightarrow$ (all-vars(flag, x) = all-vars(t, x))

EVENT: Disable all-vars.

EVENT: Let us define the theory *term-defns* to consist of the following events:
variablep-intro, variable-listp, term, function-symbol-intro, all-vars.

;;;; lemmas about terms

THEOREM: variable-listp-set-diff
 $\text{variable-listp}(x) \rightarrow \text{variable-listp}(\text{set-diff}(x, y))$

THEOREM: all-vars-variablep
 $(\text{flg} \wedge \text{variablep}(x)) \rightarrow (\text{all-vars}(\text{flg}, x) = \text{list}(x))$

THEOREM: member-variable-listp-implies-variablep
 $((a \in x) \wedge \text{variable-listp}(x)) \rightarrow \text{variablep}(a)$

;; The following was proved in the course of the final run through the
;; generalization proof. But in fact it's useful for the next result,
;; especially in the presence of domain-restrict -- so I don't need to
;; enable restrict there any longer. Similarly for the lemma after
;; that.

THEOREM: variable-listp-intersection
 $(\text{variable-listp}(x) \vee \text{variable-listp}(y))$
 $\rightarrow \text{variable-listp}(\text{intersection}(x, y))$

THEOREM: variable-listp-domain-restrict
 $\text{variable-listp}(\text{domain}(s)) \rightarrow \text{variable-listp}(\text{domain}(\text{restrict}(s, x)))$

THEOREM: variable-listp-domain-co-restrict
 $\text{variable-listp}(\text{domain}(s)) \rightarrow \text{variable-listp}(\text{domain}(\text{co-restrict}(s, x)))$

THEOREM: term-range-restrict
 $\text{term}(\mathbf{f}, \text{range}(s)) \rightarrow \text{term}(\mathbf{f}, \text{range}(\text{restrict}(s, x)))$

THEOREM: term-range-co-restrict
 $\text{term}(\mathbf{f}, \text{range}(s)) \rightarrow \text{term}(\mathbf{f}, \text{range}(\text{co-restrict}(s, x)))$

THEOREM: member-preserves-disjoint-all-vars-lemma
 $(\text{disjoint}(y, \text{all-vars}(\mathbf{f}, x)) \wedge (g \in x)) \rightarrow \text{disjoint}(y, \text{all-vars}(\mathbf{t}, g))$

THEOREM: member-preserves-disjoint-all-vars
 $(\text{flg} \wedge \text{disjoint}(y, \text{all-vars}(\mathbf{f}, x)) \wedge (g \in x))$
 $\rightarrow \text{disjoint}(y, \text{all-vars}(\text{flg}, g))$

THEOREM: member-all-vars-subsetp
 $(flg \wedge (a \in x)) \rightarrow \text{subsetp}(\text{all-vars}(flg, a), \text{all-vars}(\mathbf{f}, x))$

THEOREM: all-vars-f-monotone
 $\text{subsetp}(x, y) \rightarrow \text{subsetp}(\text{all-vars}(\mathbf{f}, x), \text{all-vars}(\mathbf{f}, y))$

;;;;; substitutions: definitions

DEFINITION:
var-substp(*s*)
 $= (\text{mapping}(s) \wedge \text{variable-listp}(\text{domain}(s)) \wedge \text{termp}(\mathbf{f}, \text{range}(s)))$

DEFINITION:
subst(*flg*, *s*, *x*)
 $= \text{if } flg$
 then **if** $x \in \text{domain}(s)$ **then** $\text{value}(x, s)$
 elseif $\text{variablep}(x)$ **then** x
 elseif $\text{listp}(x)$ **then** $\text{cons}(\text{car}(x), \text{subst}(\mathbf{f}, s, \text{cdr}(x)))$
 else fendif
 elseif $\text{listp}(x)$ **then** $\text{cons}(\text{subst}(\mathbf{t}, s, \text{car}(x)), \text{subst}(\mathbf{f}, s, \text{cdr}(x)))$
 else nil endif

DEFINITION:
apply-to-subst(*s1*, *s2*)
 $= \text{if listp}(s2)$
 then **if** $\text{listp}(\text{car}(s2))$
 then $\text{cons}(\text{cons}(\text{caar}(s2), \text{subst}(\mathbf{t}, s1, \text{cdar}(s2))),$
 $\text{apply-to-subst}(s1, \text{cdr}(s2)))$
 else $\text{apply-to-subst}(s1, \text{cdr}(s2))$ **endif**
 else nil endif

DEFINITION: $\text{compose}(s1, s2) = \text{append}(\text{apply-to-subst}(s2, s1), s2)$

;; The following was in the original version, but isn't needed.
;;; (defn-sk instance (flg term1 term2)
;;; ;; term1 is an instance of term2
;;; (exists one-way-unifier
;;; (and (var-substp one-way-unifier)
;;; (equal term1 (subst flg one-way-unifier term2))))))

;;;;; substitution lemmas

THEOREM: subst-list-cons
 $\text{subst}(\mathbf{f}, s, \text{cons}(a, x)) = \text{cons}(\text{subst}(\mathbf{t}, s, a), \text{subst}(\mathbf{f}, s, x))$

THEOREM: subst-list-nlistp
 $(x \simeq \mathbf{nil}) \rightarrow (\text{subst}(\mathbf{f}, s, x) = \mathbf{nil})$

THEOREM: subst-t-variablep
 $(flg \wedge \text{variablep}(x))$
 $\rightarrow (\text{subst}(flg, s, x)$
 $= \text{if } x \in \text{domain}(s) \text{ then value}(x, s)$
 $\text{else } x \text{ endif})$

THEOREM: subst-t-non-variablep
 $flg \rightarrow (\text{subst}(flg, s, \text{cons}(fn, x))$
 $= \text{if } \text{cons}(fn, x) \in \text{domain}(s) \text{ then value}(\text{cons}(fn, x), s)$
 $\text{else } \text{cons}(fn, \text{subst}(\mathbf{f}, s, x)) \text{ endif})$

THEOREM: all-vars-subst-lemma
 $(flg \wedge (x \in \text{domain}(s)))$
 $\rightarrow \text{subsetp}(\text{all-vars}(flg, \text{value}(x, s)), \text{all-vars}(\mathbf{f}, \text{range}(s)))$

THEOREM: all-vars-subst
 $\text{term}(flg, x)$
 $\rightarrow \text{subsetp}(\text{all-vars}(flg, \text{subst}(flg, s, x)),$
 $\text{append}(\text{all-vars}(flg, x), \text{all-vars}(\mathbf{f}, \text{range}(s))))$

THEOREM: subst-occur
 $(flg \wedge (x \in \text{domain}(s))) \rightarrow (\text{subst}(flg, s, x) = \text{value}(x, s))$

THEOREM: boundp-in-var-substp-implies-variablep
 $(\text{variable-listp}(\text{domain}(s)) \wedge (\neg \text{variablep}(a))) \rightarrow (a \notin \text{domain}(s))$

THEOREM: variablep-value-invert
 $(\text{variable-listp}(\text{domain}(s)) \wedge (x \in \text{range}(s)))$
 $\rightarrow \text{variablep}(\text{value}(x, \text{invert}(s)))$

THEOREM: subst-invert
 $(\text{term}(flg, x) \wedge \text{disjoint}(\text{domain}(s), \text{all-vars}(flg, x)) \wedge \text{var-substp}(s))$
 $\rightarrow (\text{subst}(flg, s, \text{subst}(flg, \text{invert}(s), x)) = x)$

THEOREM: boundp-apply-to-subst
 $(x \in \text{domain}(\text{apply-to-subst}(s1, s2))) = (x \in \text{domain}(s2))$

THEOREM: mapping-apply-to-subst
 $\text{mapping}(s) \rightarrow \text{mapping}(\text{apply-to-subst}(s1, s))$

THEOREM: alistp-apply-to-subst
 $\text{alistp}(\text{apply-to-subst}(s1, s2))$

THEOREM: subst-flg-not-list

$$\begin{aligned} flg \rightarrow & (((subst(flg, s, x) = subst(\mathbf{t}, s, x)) = \mathbf{t}) \\ & \wedge ((subst(\mathbf{t}, s, x) = subst(flg, s, x)) = \mathbf{t})) \end{aligned}$$

THEOREM: subst-co-restrict

$$\begin{aligned} & (disjoint(x, intersection(domain(s), all-vars(fl, term))) \\ & \wedge variable-listp(domain(s)) \\ & \wedge term(fl, term)) \\ \rightarrow & (subst(fl, co-restrict(s, x), term) = subst(fl, s, term)) \end{aligned}$$

THEOREM: subst-restrict

$$\begin{aligned} & (subsetp(intersection(domain(s), all-vars(fl, term)), x) \\ & \wedge variable-listp(domain(s)) \\ & \wedge term(fl, term)) \\ \rightarrow & (subst(fl, restrict(s, x), term) = subst(fl, s, term)) \end{aligned}$$

THEOREM: term-value

$$(fl \wedge (x \in domain(s)) \wedge term(\mathbf{f}, range(s))) \rightarrow term(fl, value(x, s))$$

THEOREM: term-subst

$$(term(fl, x) \wedge term(\mathbf{f}, range(s))) \rightarrow term(fl, subst(fl, s, x))$$

THEOREM: term-domain

$$variable-listp(domain(s)) \rightarrow term(\mathbf{f}, domain(s))$$

THEOREM: domain-apply-to-subst

$$domain(apply-to-subst(s1, s2)) = domain(s2)$$

THEOREM: var-substp-apply-to-subst

$$\begin{aligned} & (term(\mathbf{f}, range(s)) \wedge term(\mathbf{f}, range(sg))) \\ \rightarrow & term(\mathbf{f}, range(apply-to-subst(sg, s))) \end{aligned}$$

THEOREM: value-apply-to-subst

$$\begin{aligned} & (g \in domain(s)) \\ \rightarrow & (value(g, apply-to-subst(sg, s)) = subst(\mathbf{t}, sg, value(g, s))) \end{aligned}$$

THEOREM: non-variablep-not-member-of-variable-listp

$$(variable-listp(d) \wedge (\neg variablep(term))) \rightarrow (term \notin d)$$

THEOREM: compose-property

$$\begin{aligned} & (variable-listp(domain(s2)) \wedge term(fl, x)) \\ \rightarrow & (subst(fl, compose(s1, s2), x) = subst(fl, s2, subst(fl, s1, x))) \end{aligned}$$

THEOREM: compose-property-reversed

$$\begin{aligned} & (variable-listp(domain(s2)) \wedge term(fl, x)) \\ \rightarrow & (subst(fl, s2, subst(fl, s1, x)) = subst(fl, compose(s1, s2), x)) \end{aligned}$$

EVENT: Disable compose-property.

THEOREM: subst-not-occur

$$\begin{aligned} & (\text{term } (flg, x) \\ & \wedge \text{variable-listp}(\text{domain}(s)) \\ & \wedge \text{disjoint}(\text{domain}(s), \text{all-vars}(flg, x))) \\ & \rightarrow (\text{subst}(flg, s, x) = x) \end{aligned}$$

THEOREM: disjoint-range-implies-disjoint-value

$$\begin{aligned} & ((x \in \text{domain}(s)) \wedge flg \wedge \text{disjoint}(z, \text{all-vars}(\mathbf{f}, \text{range}(s)))) \\ & \rightarrow \text{disjoint}(z, \text{all-vars}(flg, \text{value}(x, s))) \end{aligned}$$

THEOREM: disjoint-all-vars-subst

$$\begin{aligned} & (\text{term } (flg, x) \\ & \wedge \text{disjoint}(z, \text{all-vars}(flg, x)) \\ & \wedge \text{disjoint}(z, \text{all-vars}(\mathbf{f}, \text{range}(s)))) \\ & \rightarrow \text{disjoint}(z, \text{all-vars}(flg, \text{subst}(flg, s, x))) \end{aligned}$$

THEOREM: all-vars-variable-listp

$$\text{variable-listp}(x) \rightarrow (\text{all-vars}(\mathbf{f}, x) = x)$$

THEOREM: variable-listp-append

$$\begin{aligned} & \text{variable-listp}(\text{append}(x, y)) \\ & = (\text{variable-listp}(\text{fix-properp}(x)) \wedge \text{variable-listp}(y)) \end{aligned}$$

THEOREM: term-list-append

$$\text{term}(\mathbf{f}, \text{append}(x, y)) = (\text{term}(\mathbf{f}, \text{fix-properp}(x)) \wedge \text{term}(\mathbf{f}, y))$$

THEOREM: apply-to-subst-append

$$\begin{aligned} & \text{apply-to-subst}(sg, \text{append}(s1, s2)) \\ & = \text{append}(\text{apply-to-subst}(sg, s1), \text{apply-to-subst}(sg, s2)) \end{aligned}$$

THEOREM: subst-apply-to-subst

$$\begin{aligned} & (flg \wedge (g \in \text{domain}(s))) \\ & \rightarrow (\text{subst}(flg, \text{apply-to-subst}(sg, s), g) = \text{subst}(flg, sg, \text{value}(g, s))) \end{aligned}$$

THEOREM: subst-append-not-occur-1

$$\begin{aligned} & (\text{term } (flg, x) \\ & \wedge \text{variable-listp}(\text{domain}(s1)) \\ & \wedge \text{disjoint}(\text{all-vars}(\mathbf{f}, \text{domain}(s1)), \text{all-vars}(flg, x))) \\ & \rightarrow (\text{subst}(flg, \text{append}(s1, s2), x) = \text{subst}(flg, s2, x)) \end{aligned}$$

THEOREM: subst-append-not-occur-2

$$\begin{aligned} & (\text{term } (flg, x) \\ & \wedge \text{variable-listp}(\text{domain}(s2)) \\ & \wedge \text{disjoint}(\text{all-vars}(\mathbf{f}, \text{domain}(s2)), \text{all-vars}(flg, x))) \\ & \rightarrow (\text{subst}(flg, \text{append}(s1, s2), x) = \text{subst}(flg, s1, x)) \end{aligned}$$

THEOREM: apply-to-subst-is-no-op-for-disjoint-domain
 $(\text{variable-listp}(\text{domain}(s1))$
 $\wedge \text{alistp}(s2)$
 $\wedge \text{term}(\mathbf{f}, \text{range}(s2))$
 $\wedge \text{disjoint}(\text{domain}(s1), \text{all-vars}(\mathbf{f}, \text{range}(s2)))$
 $\rightarrow (\text{apply-to-subst}(s1, s2) = s2)$

THEOREM: member-subst
 $(\text{flag} \wedge (a \in x)) \rightarrow (\text{subst}(\text{flag}, s, a) \in \text{subst}(\mathbf{f}, s, x))$

THEOREM: subsetp-subst
 $\text{subsetp}(x, y) \rightarrow \text{subsetp}(\text{subst}(\mathbf{f}, s, x), \text{subst}(\mathbf{f}, s, y))$

;;; (disable instance) -- not included in this version
 ;;;(disable compose) -- COMPOSE is left enabled for use with COMPOSE-PROPERTY-REVERSED

EVENT: Disable apply-to-subst.

EVENT: Disable subst.

EVENT: Disable rebind.

EVENT: Disable bind.

;;;;; nullify-subst: a substitution that has a range containing
 ;; no variables

DEFINITION:
 $\text{nullify-subst}(s)$
 $= \text{if listp}(s)$
 $\quad \text{then if listp}(\text{car}(s))$
 $\quad \quad \text{then cons}(\text{cons}(\text{caar}(s), \text{list}(\text{FN})), \text{nullify-subst}(\text{cdr}(s)))$
 $\quad \quad \text{else nullify-subst}(\text{cdr}(s)) \text{ endif}$
 $\quad \text{else nil endif}$

THEOREM: properp-nullify-subst
 $\text{properp}(\text{nullify-subst}(s))$

THEOREM: all-vars-f-range-nullify-subst
 $\text{all-vars}(\mathbf{f}, \text{range}(\text{nullify-subst}(s))) = \text{nil}$

THEOREM: term-range-nullify-subst
 $\text{term}(\mathbf{f}, \text{range}(\text{nullify-subst}(s)))$

THEOREM: domain-nullify-subst
 $\text{domain}(\text{nullify-subst}(s)) = \text{domain}(s)$

THEOREM: mapping-nullify-subst
 $\text{alistp}(s) \rightarrow (\text{mapping}(\text{nullify-subst}(s)) = \text{mapping}(s))$

THEOREM: disjoint-all-vars-subst-nullify-subst
 $\text{term}(fg, term)$
 $\rightarrow \text{disjoint}(\text{domain}(sg), \text{all-vars}(fg, \text{subst}(fg, \text{nullify-subst}(sg), term)))$

THEOREM: disjoint-all-vars-range-apply-subst-nullify-subst
 $\text{term}(f, \text{range}(s))$
 $\rightarrow \text{disjoint}(\text{domain}(sg), \text{all-vars}(f, \text{range}(\text{apply-to-subst}(\text{nullify-subst}(sg), s))))$

EVENT: Disable nullify-subst.

EVENT: Let us define the theory *substitution-defns* to consist of the following events: var-substp, compose, apply-to-subst, subst, nullify-subst.

```

;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;
;; generalize.events file
;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;

;; Requires sets, alists, and terms, which currently contain a number
;; of rules that aren't really needed here, even indirectly.

;; This is a proof soundness of a slight abstraction of the GENERALIZE
;; command of PC-NQTHM.

#| Here's what I want to prove.

(implies (and (generalize-okp sg state)
              (valid-state (generalize sg state)))
          (valid-state state))

I also prove the much simpler fact, GENERALIZE-STATEP:

(implies (generalize-okp sg state)
          (statep (generalize sg state)))

|#

;; << 1 >>

```

CONSERVATIVE AXIOM: theorem-intro
 $((\text{theorem}(x) \wedge \text{flg}) \rightarrow \text{term}(\text{flg}, x))$
 $\wedge ((\text{theorem}(x) \wedge \text{flg} \wedge \text{var-substp}(s)) \rightarrow \text{theorem}(\text{subst}(\text{flg}, s, x)))$

Simultaneously, we introduce the new function symbol *theorem*.

;; << 2 >>

DEFINITION:
theorem-list(*x*)
= **if** listp(*x*) **then** theorem(car(*x*)) $\wedge$ theorem-list(cdr(*x*))
else *x* = **nil** **endif**

;; << 3 >>

THEOREM: theorem-list-properties
 $(\text{theorem-list}(x) \rightarrow \text{term}(\mathbf{f}, x))$
 $\wedge ((\text{theorem-list}(x) \wedge \text{var-substp}(s)) \rightarrow \text{theorem-list}(\text{subst}(\mathbf{f}, s, x)))$

;; << 4 >>

DEFINITION:
statep(*state*)
= (listp(*state*) $\wedge$ term(**f**, car(*state*)) $\wedge$ variable-listp(cdr(*state*)))

;; << 5 >>

#|
(DEFN-SK VALID-STATE
 (STATE)
 (AND (STATEP STATE)
 (EXISTS WITNESSING-INSTANTIATION
 (AND (VAR-SUBSTP WITNESSING-INSTANTIATION)
 (SUBSETP (DOMAIN WITNESSING-INSTANTIATION)
 (CDR STATE))
 (THEOREM-LIST (SUBST F WITNESSING-INSTANTIATION
 (CAR STATE)))))))

Adding the Skolem axiom:

(AND
 (IMPLIES (AND (STATEP STATE)
 (VAR-SUBSTP WITNESSING-INSTANTIATION)
 (SUBSETP (DOMAIN WITNESSING-INSTANTIATION)
 (CDR STATE))
 (THEOREM-LIST (SUBST F WITNESSING-INSTANTIATION

```

(CAR STATE))))
(VALID-STATE STATE))
(IMPLIES (NOT (AND (STATEP STATE)
                   (VAR-SUBSTP (WITNESSING-INSTANTIATION STATE))
                   (SUBSETP (DOMAIN (WITNESSING-INSTANTIATION STATE))
                           (CDR STATE))
                   (THEOREM-LIST (SUBST F
                                         (WITNESSING-INSTANTIATION STATE)
                                         (CAR STATE))))))
          (NOT (VALID-STATE STATE))))).

```

As this is a DEFN-SK we can conclude that:
 (OR (TRUEP (VALID-STATE STATE))
 (FALSEP (VALID-STATE STATE)))
 is a theorem.

|#

EVENT: Introduce the function symbol *witnessing-instantiation* of one argument.

EVENT: Introduce the function symbol *valid-state* of one argument.

AXIOM: valid-state-intro

```

((statep (state)
  ∧ var-substp (witnessing-instantiation)
  ∧ subsetp (domain (witnessing-instantiation), cdr (state))
  ∧ theorem-list (subst (f, witnessing-instantiation, car (state))))
 → valid-state (state))
∧ ((¬ (statep (state)
  ∧ var-substp (witnessing-instantiation (state))
  ∧ subsetp (domain (witnessing-instantiation (state)),
              cdr (state))
  ∧ theorem-list (subst (f,
                        witnessing-instantiation (state),
                        car (state)))))
 → (¬ valid-state (state)))

```

AXIOM: valid-state-type

```

truep (valid-state (state)) ∨ falsep (valid-state (state))

```

```
;; << 6 >>
```

DEFINITION:

```
new-gen-vars (goals, free, vars)
=  if listp (goals)
    then let current-free-vars be intersection (free,
                                                all-vars (t, car (goals)))
        in
        if disjoint (current-free-vars, vars)
        then new-gen-vars (cdr (goals), free, vars)
        else append (current-free-vars,
                    new-gen-vars (cdr (goals), free, vars)) endif endlet
    else nil endif
```

```
;; << 7 >>
```

DEFINITION: $\text{cardinality}(x) = \text{length}(\text{make-set}(x))$

```
;; Next goal: get the definition of GEN-CLOSURE accepted. In fact,
;; the lemma GEN-CLOSURE-ACCEPT below suffices, taking NEW to be
;; (NEW-GEN-VARS GOALS FREE FREE-VARS-SO-FAR), as long as we prove the
;; following lemma, NEW-GEN-VARS-SUBSET.
```

```
;; << 8 >>
```

THEOREM: new-gen-vars-subset

```
subsetp (new-gen-vars (goals, free, vars), free)
```

```
;; It is interesting to note that the exact form of the following
;; lemma changed while polishing the proof, since rewrite rules
;; applied to the old version so as to make it irrelevant.
```

```
;; << 9 >>
```

THEOREM: gen-closure-accept

```
((¬ subsetp (new, free-vars-so-far)) ∧ subsetp (new, free))
→ (((length (make-set (free))
    - length (intersection (make-set (free), free-vars-so-far)))
    - length (intersection (set-diff (make-set (free), free-vars-so-far),
                                   new))))
    < (length (make-set (free))
    - length (intersection (make-set (free), free-vars-so-far))))
```

```
;; Here I have a choice: I could intersect the accumulator with free
;; at the end, or I could assume that it's intersected with free
```

```
;; before it's input. I'll choose the former approach, so that I'll
;; have a simpler rewrite rule and so that I can call gen-closure more
;; simply. I may wish to commute the arguments to intersection in the
;; exit below, but probably that won't matter because I'll only be
;; talking about membership.
```

```
;; << 10 >>
```

DEFINITION:

```
gen-closure(goals, free, free-vars-so-far)
= let new-free-vars be new-gen-vars(goals, free, free-vars-so-far)
  in
  if subsetp(new-free-vars, free-vars-so-far)
  then intersection(free-vars-so-far, free)
  else gen-closure(goals,
                   free,
                   append(new-free-vars, free-vars-so-far)) endif endlet
```

```
;; << 11 >>
```

DEFINITION:

```
generalize-okp(sg, state)
= (var-substp(sg)
   ^ statep(state)
   ^ disjoint(domain(sg), all-vars(f, car(state)))
   ^ listp(car(state))
   ^ disjoint(domain(sg), cdr(state)))
```

```
;; << 12 >>
```

DEFINITION:

```
generalize(sg, state)
= let g be caar(state),
    p be cdar(state),
    free be cdr(state),
    sg-vars be all-vars(f, range(sg))
  in
  let new-g be subst(t, invert(sg), g)
  in
  let new-free be set-diff(free,
                         intersection(gen-closure(cons(new-g,
                                                         p),
                                                         free,
                                                         all-vars(t,
                                                         new-g))),
```

```

                                all-vars (f,
                                           range (sg))))

  in
    cons (cons (new-g, p), new-free) endlet endlet endlet

;; Here is a fact, not needed elsewhere, that is worth noticing, in
;; case we wish to extend the current theorem to a sequence of commands.

;; << 13 >>

THEOREM: generalize-statep
generalize-okp (sg, state) → statep (generalize (sg, state))

;; << 14 >>

DEFINITION:
gen-inst (sg, state)
= let s be witnessing-instantiation (generalize (sg, state)),
  domain-1 be gen-closure (cons (subst (t, invert (sg), caar (state)),
                                   cdr (state)),
                              all-vars (t,
                                         subst (t,
                                                  invert (sg),
                                                  caar (state))))
  in
    let s1 be restrict (s, domain-1),
      s2 be apply-to-subst (nullify-subst (sg),
                              co-restrict (s, domain-1))
    in
      apply-to-subst (apply-to-subst (s2, sg), append (s1, s2)) endlet endlet

;; Let's see that it suffices to prove the result of opening up the
;; conclusion of the main theorem with a particular witness.

#|

(add-axiom main-theorem-1 (rewrite)
  (let ((wit (gen-inst sg state)))
    (implies (and (generalize-okp sg state)
                  (valid-state (generalize sg state)))
              (and (statep state)
                    (var-substp wit)
                    (subsetp (domain wit) (cdr state))
                    (theorem-list (subst f wit (car state)))))))

```

```

(prove-lemma generalize-is-correct (rewrite)
  (implies (and (generalize-okp sg state)
                (valid-state (generalize sg state)))
            (valid-state state))
  ((disable-theory t)
   (enable-theory ground-zero)
   (enable main-theorem-1)
   (use (valid-state-intro
         (witnessing-instantiation (gen-inst sg state))))))

|#

;; So, it suffices to prove main-theorem-1. The first three conjuncts
;; of the conclusion are quite trivial.

;; << 15 >>

THEOREM: main-theorem-1-case-1
generalize-okp(sg, state)  $\rightarrow$  statep(state)

;; We put one direction of the definition of valid-state here, for
;; efficiency in proofs.

;; << 16 >>

THEOREM: valid-state-opener
valid-state(state)
= (statep(state)
   $\wedge$  let witnessing-instantiation be witnessing-instantiation(state)
    in
    var-substp(witnessing-instantiation)
     $\wedge$  subsetp(domain(witnessing-instantiation),
                cdr(state))
     $\wedge$  theorem-list(subst(f,
                          witnessing-instantiation,
                          car(state))) endlet)

;; << 17 >>

THEOREM: main-theorem-1-case-2
let wit be gen-inst(sg, state)
in
(generalize-okp(sg, state)  $\wedge$  valid-state(generalize(sg, state)))
 $\rightarrow$  var-substp(wit) endlet

```

```
;; << 18 >>
```

```
THEOREM: subsetp-cdr-generalize  
subsetp (cdr (generalize (sg, state)), cdr (state))
```

```
;; At this point I had to prove SUBSETP-SET-DIFF-SUFFICIENCY because  
;; of some lemma that was created during the polishing process  
;; (perhaps DOMAIN-RESTRICT).
```

```
;; << 19 >>
```

```
THEOREM: main-theorem-1-case-3  
let wit be gen-inst (sg, state)  
in  
valid-state (generalize (sg, state))  
→ subsetp (domain (wit), cdr (state)) endlet
```

```
;; So now we only have to prove MAIN-THEOREM-1-CASE-4 (written here  
;; without use of LET):
```

```
#|
```

```
(add-axiom main-theorem-1-case-4 (rewrite)  
  (implies (and (generalize-okp sg state)  
                 (valid-state (generalize sg state)))  
            (theorem-list (subst f (gen-inst sg state) (car state))))))
```

```
(prove-lemma main-theorem-1 (rewrite)  
  (let ((wit (gen-inst sg state)))  
    (implies (and (generalize-okp sg state)  
                  (valid-state (generalize sg state)))  
              (and (statep state)  
                    (var-substp wit)  
                    (subsetp (domain wit) (cdr state))  
                    (theorem-list (subst f wit (car state))))))  
  ((disable-theory t)  
   (enable-theory ground-zero)  
   (enable main-theorem-1-case-1 main-theorem-1-case-2  
         main-theorem-1-case-3 main-theorem-1-case-4)))
```

```
|#
```

```
;; << 20 >>
```

```
DEFINITION:
```

```

gen-setting-substitutions (s1, s2, sg)
= (var-substp (s1)
   ^ var-substp (s2)
   ^ var-substp (sg)
   ^ disjoint (domain (s1), domain (s2))
   ^ disjoint (domain (s1), domain (sg))
   ^ disjoint (domain (s2), domain (sg))
   ^ disjoint (all-vars (f, range (sg)), domain (s1))
   ^ disjoint (all-vars (f, range (s2)), domain (sg)))

;; << 21 >>

DEFINITION:
main-hyps (s1, s2, sg, g, p)
= (term (t, g)
   ^ disjoint (all-vars (t, g), domain (sg))
   ^ term (f, p)
   ^ disjoint (all-vars (f, p), domain (sg))
   ^ gen-setting-substitutions (s1, s2, sg)
   ^ theorem-list (subst (f,
                           append (s1, s2),
                           cons (subst (t, invert (sg), g), p))))

;; The goal above, MAIN-THEOREM-1-CASE-4, should follow from the
;; following two lemmas.

#|

(add-axiom main-hyps-suffice (rewrite)
 (implies (and (listp goals)
               (main-hyps s1 s2 sg (car goals) (cdr goals)))
           (theorem-list (subst f
                                (apply-to-subst (apply-to-subst s2 sg)
                                                  (append s1 s2))
                                goals))))

(add-axiom main-hyps-relieved (rewrite)
 (let ((g (caar state))
       (p (cdar state))
       (free (cdr state))
       (s (witnessing-instantiation (generalize sg state))))
 (let ((new-g (subst t (invert sg) g)))
 (let ((domain-1
        (gen-closure (cons new-g p) free (all-vars t new-g))))
 (let ((s1 (restrict s domain-1))

```

```

(s2 (apply-to-subst (nullify-subst sg)
                    (co-restrict s domain-1))))
(implies (and (generalize-okp sg state)
              (valid-state (generalize sg state)))
          (main-hyps s1 s2 sg g p))))))

(prove-lemma main-theorem-1-case-4 (rewrite)
  (implies (and (generalize-okp sg state)
                (valid-state (generalize sg state)))
            (theorem-list (subst f (gen-inst sg state) (car state))))
  ((disable-theory t)
   (enable-theory ground-zero)
   (enable gen-inst main-hyps-suffice generalize-okp main-hyps-relieved)))

|#

;; So, now let us start with MAIN-HYPS-SUFFICE. It should follow from
;; two subgoals, as shown:

#|

(add-axiom main-hyps-suffice-first (rewrite)
  (implies (main-hyps s1 s2 sg g p)
            (theorem (subst t
                          (apply-to-subst (apply-to-subst s2 sg)
                                           (append s1 s2))
                          g))))

(add-axiom main-hyps-suffice-rest (rewrite)
  (implies (main-hyps s1 s2 sg g p)
            (theorem-list (subst f
                              (apply-to-subst (apply-to-subst s2 sg)
                                               (append s1 s2))
                              p))))

(prove-lemma main-hyps-suffice (rewrite)
  (implies (and (listp goals)
                (main-hyps s1 s2 sg (car goals) (cdr goals)))
            (theorem-list (subst f
                              (apply-to-subst (apply-to-subst s2 sg)
                                               (append s1 s2))
                              goals)))
  ((disable-theory t)
   (enable-theory ground-zero)

```

```

(enable theorem-list subst main-hyps-suffice-first main-hyps-suffice-rest)))

|#

;; Consider the first of these. Although COMPOSE-PROPERTY-REVERSED is
;; used in the proof (because it's enabled), it's actually not
;; necessary. A proof took slightly over 10 minutes with the rule
;; enabled, and roughly 9 minutes without.

;; << 22 >>

THEOREM: main-hyps-suffice-first-lemma-general
(term (flg, g)
  ∧ disjoint (all-vars (flg, g), domain (sg))
  ∧ gen-setting-substitutions (s1, s2, sg)
  ∧ (sg-1 = invert (sg)))
→ (subst (flg, apply-to-subst (apply-to-subst (s2, sg), append (s1, s2)), g)
  = subst (flg,
    apply-to-subst (s2, sg),
    subst (flg, append (s1, s2), subst (flg, sg-1, g))))

;; << 23 >>

THEOREM: main-hyps-suffice-first-lemma
(term (t, g)
  ∧ disjoint (all-vars (t, g), domain (sg))
  ∧ gen-setting-substitutions (s1, s2, sg))
→ (subst (t, apply-to-subst (apply-to-subst (s2, sg), append (s1, s2)), g)
  = subst (t,
    apply-to-subst (s2, sg),
    subst (t, append (s1, s2), subst (t, invert (sg), g))))

;; << 24 >>

THEOREM: main-hyps-suffice-first
main-hyps (s1, s2, sg, g, p)
→ theorem (subst (t, apply-to-subst (apply-to-subst (s2, sg), append (s1, s2)), g))

;; The following is useful with s = (append s1 s2).

;; << 25 >>

THEOREM: main-hyps-suffice-rest-lemma
(term (flg, p)
  ∧ variable-listp (domain (sg))

```

```

    ∧ disjoint (all-vars (flg, p), domain (sg)))
  → (subst (flg, apply-to-subst (apply-to-subst (s2, sg), s), p)
      = subst (flg, apply-to-subst (s2, sg), subst (flg, s, p)))

```

```
;; << 26 >>
```

THEOREM: main-hyps-suffice-rest

```

main-hyps (s1, s2, sg, g, p)
→ theorem-list (subst (f,
    apply-to-subst (apply-to-subst (s2, sg), append (s1, s2)),
    p))

```

```
;; << 27 >>
```

THEOREM: main-hyps-suffice

```

(listp (goals) ∧ main-hyps (s1, s2, sg, car (goals), cdr (goals)))
→ theorem-list (subst (f,
    apply-to-subst (apply-to-subst (s2, sg), append (s1, s2)),
    goals))

```

```

;; I'll disable the two lemmas used above so that I avoid the possibility
;; of looping with COMPOSE-PROPERTY-REVERSED.

```

```
;; << 28 >>
```

EVENT: Disable main-hyps-suffice-first-lemma.

```
;; << 29 >>
```

EVENT: Disable main-hyps-suffice-rest-lemma.

```

;; All that remains now is to prove MAIN-HYPS-RELIEVED. If we open up
;; MAIN-HYPS we see what the necessary subgoals are. Recall the
;; definition of MAIN-HYPS:

```

```

#|
(defn main-hyps (s1 s2 sg g p)
  (and (termp t g)
    (disjoint (all-vars t g) (domain sg))
    (termp f p)
    (disjoint (all-vars f p) (domain sg))
    (gen-setting-substitutions s1 s2 sg)
    (theorem-list (subst f (append s1 s2)
      (cons (subst t (invert sg) g) p))))))

```

```

|#

;; << 30 >>

THEOREM: main-hyps-relieved-1
let g be caar(state)
in
generalize-okp(sg, state)  $\rightarrow$  term(t, g) endlet

;; << 31 >>

THEOREM: main-hyps-relieved-2
let g be caar(state)
in
generalize-okp(sg, state)  $\rightarrow$  disjoint(all-vars(t, g), domain(sg)) endlet

;; << 32 >>

THEOREM: main-hyps-relieved-3
let p be cdar(state)
in
generalize-okp(sg, state)  $\rightarrow$  term(f, p) endlet

;; << 33 >>

THEOREM: main-hyps-relieved-4
let p be cdar(state)
in
generalize-okp(sg, state)  $\rightarrow$  disjoint(all-vars(f, p), domain(sg)) endlet

#|

(add-axiom main-hyps-relieved-5 (rewrite)
  (let ((g (caar state))
        (p (cdar state))
        (free (cdr state))
        (s (witnessing-instantiation (generalize sg state))))
    (let ((new-g (subst t (invert sg) g)))
      (let ((domain-1
              (gen-closure (cons new-g p) free (all-vars t new-g))))
        (let ((s1 (restrict s domain-1))
              (s2 (apply-to-subst (nullify-subst sg)
                                   (co-restrict s domain-1))))
          (implies (and (generalize-okp sg state)
                        (valid-state (generalize sg state))))
        ))
    ))

```

```

                                (gen-setting-substitutions s1 s2 sg))))))
(add-axiom main-hyps-relieved-6 (rewrite)
  (let ((g (caar state))
        (p (cdar state))
        (free (cdr state))
        (s (witnessing-instantiation (generalize sg state))))
    (let ((new-g (subst t (invert sg) g)))
      (let ((domain-1
              (gen-closure (cons new-g p) free (all-vars t new-g))))
        (let ((s1 (restrict s domain-1))
              (s2 (apply-to-subst (nullify-subst sg)
                                   (co-restrict s domain-1))))
          (implies (and (generalize-okp sg state)
                        (valid-state (generalize sg state)))
                    (theorem-list (subst f (append s1 s2)
                                             (cons (subst t (invert sg) g) p))))))))))
(prove-lemma main-hyps-relieved (rewrite)
  (let ((g (caar state))
        (p (cdar state))
        (free (cdr state))
        (s (witnessing-instantiation (generalize sg state))))
    (let ((new-g (subst t (invert sg) g)))
      (let ((domain-1
              (gen-closure (cons new-g p) free (all-vars t new-g))))
        (let ((s1 (restrict s domain-1))
              (s2 (apply-to-subst (nullify-subst sg)
                                   (co-restrict s domain-1))))
          (implies (and (generalize-okp sg state)
                        (valid-state (generalize sg state)))
                    (main-hyps s1 s2 sg g p))))))
  ((disable-theory t)
   (enable-theory ground-zero)
   (enable main-hyps main-hyps-relieved-1 main-hyps-relieved-2 main-hyps-relieved-3
            main-hyps-relieved-4 main-hyps-relieved-5 main-hyps-relieved-6)))

```

|#

```

;; So, we have left the goals MAIN-HYPS-RELIEVED-5 and
;; MAIN-HYPS-RELIEVED-6. Let us start with the first. Opening up
;; GEN-SETTING-SUBSTITUTIONS gives us a number of subgoals.

```

```

;; The first two cases below do not require knowledge about DOMAIN-1

```

```
;; (or G, P, FREE, or NEW-G), but simply following from the validity
;; of the state (GENERALIZE SG STATE). Disabling GENERALIZE is very
;; useful (probably not necessary, though I didn't let the prover run
;; long enough to find out).
```

```
;; << 34 >>
```

THEOREM: main-hyps-relieved-5-lemma-1

```
let s be witnessing-instantiation (generalize (sg, state))
in
let s1 be restrict (s, domain-1),
    s2 be apply-to-subst (nullify-subst (sg), co-restrict (s, domain-1))
in
valid-state (generalize (sg, state))
→ (var-substp (s1) ∧ var-substp (s2)) endlet endlet
```

```
;; The next case is trivial.
```

```
;; << 35 >>
```

THEOREM: main-hyps-relieved-5-lemma-2

```
generalize-okp (sg, state) → var-substp (sg)
```

```
;; The next case is also quite trivial; notice how abstracted it is.
```

```
;; << 36 >>
```

THEOREM: main-hyps-relieved-5-lemma-3

```
let s1 be restrict (s, domain-1),
    s2 be apply-to-subst (nullify-subst (sg), co-restrict (s, domain-1))
in
disjoint (domain (s1), domain (s2)) endlet
```

```
;; For the next two cases we first observe that (DOMAIN S) is disjoint
;; from (DOMAIN SG), and then we use SUBSETP-DISJOINT-1 where X is the
;; domain of S1 or S2, Y is the domain of S, and Z is the domain of
;; SG:
```

```
;; (IMPLIES (AND (SUBSETP X Y) (DISJOINT Y Z))
;; (DISJOINT X Z))
```

```
;; << 37 >>
```

THEOREM: witnessing-instantiation-is-disjoint-from-generalizing-substitution

```
let s be witnessing-instantiation (generalize (sg, state))
in
(generalize-okp (sg, state) ∧ valid-state (generalize (sg, state)))
→ disjoint (domain (s), domain (sg)) endlet
```

```
;; In the next case we abstract away DOMAIN-1 (and hence G, P, FREE,
;; and NEW-G). Incidentally, a similar phenomenon occurred here to
;; the one reported just above the statement above of MAIN-THEOREM-1-CASE-3:
;; final polishing resulted in the need for another lemma. That extra
;; lemma is DISJOINT-SET-DIFF-SUFFICIENCY in this case, to be found
;; in "sets.events".
```

```
;; << 38 >>
```

THEOREM: main-hyps-relieved-5-lemma-4

```
let s be witnessing-instantiation (generalize (sg, state))
in
let s1 be restrict (s, domain-1),
    s2 be apply-to-subst (nullify-subst (sg), co-restrict (s, domain-1))
in
(generalize-okp (sg, state)
 & valid-state (generalize (sg, state)))
→ (disjoint (domain (s1), domain (sg))
    & disjoint (domain (s2), domain (sg))) endlet endlet
```

```
;; The lemma MAIN-HYPS-RELIEVED-5-LEMMA-5-WIT is true because the
;; domain of s is contained in the free variables of the generalized
;; state (by choice, i.e. definition, of witnessing-instantiation),
;; which is disjoint from the intersection of the indicated
;; GEN-CLOSURE with the variables in the range of sg. I'll use a
;; trick that I learned from Ken Kunen (definable Skolem function is
;; all, really) to reduce disjointness considerations to membership
;; considerations.
```

```
;; << 39 >>
```

THEOREM: main-hyps-relieved-5-lemma-5-wit

```
let g be caar (state),
    p be cdar (state),
    free be cdr (state),
    s be witnessing-instantiation (generalize (sg, state))
in
let new-g be subst (t, invert (sg), g)
in
let domain-1 be gen-closure (cons (new-g, p),
                                free,
                                all-vars (t, new-g))
in
let s1 be restrict (s, domain-1)
in
```

```

(generalize-okp (sg, state)
  ∧ valid-state (generalize (sg, state))
  ∧ (wit ∈ all-vars (f, range (sg)))
  ∧ (wit ∈ domain (s)))
→ (wit ∉ domain-1) endlet endlet endlet endlet

```

;; << 40 >>

THEOREM: main-hyps-relieved-5-lemma-5

```

let g be caar (state),
    p be cdar (state),
    free be cdr (state),
    s be witnessing-instantiation (generalize (sg, state))
in
let new-g be subst (t, invert (sg), g)
in
let domain-1 be gen-closure (cons (new-g, p),
                                free,
                                all-vars (t, new-g))
in
let s1 be restrict (s, domain-1)
in
(generalize-okp (sg, state)
  ∧ valid-state (generalize (sg, state)))
→ disjoint (all-vars (f, range (sg)), domain (s1)) endlet endlet endlet endlet

```

;; << 41 >>

THEOREM: main-hyps-relieved-5-lemma-6

```

let g be caar (state),
    p be cdar (state),
    free be cdr (state),
    s be witnessing-instantiation (generalize (sg, state))
in
let new-g be subst (t, invert (sg), g)
in
let domain-1 be gen-closure (cons (new-g, p),
                                free,
                                all-vars (t, new-g))
in
let s2 be apply-to-subst (nullify-subst (sg),
                           co-restrict (s, domain-1))
in
(generalize-okp (sg, state)
  ∧ valid-state (generalize (sg, state)))
→ disjoint (all-vars (f, range (s2)), domain (sg)) endlet endlet endlet endlet

```

```
;; << 42 >>
```

THEOREM: main-hyps-relieved-5

```
let g be caar (state),
    p be cdar (state),
    free be cdr (state),
    s be witnessing-instantiation (generalize (sg, state))
in
let new-g be subst (t, invert (sg), g)
in
let domain-1 be gen-closure (cons (new-g, p),
                                free,
                                all-vars (t, new-g))
in
let s1 be restrict (s, domain-1),
    s2 be apply-to-subst (nullify-subst (sg),
                          co-restrict (s, domain-1))
in
(generalize-okp (sg, state)
 & valid-state (generalize (sg, state)))
→ gen-setting-substitutions (s1, s2, sg) endlet endlet endlet endlet
```

```
;; Now all that is left is MAIN-HYPS-RELIEVED-6. Actually, this lemma
;; doesn't have anything to do with inverse substitutions or even with
;; generalization, really. The idea is simply that one takes a valid
;; state and wishes to split its witnessing substitution into two
;; parts. The parts are the respective restriction and co-restriction
;; of the original substitution to some set that is "closed" in the
;; appropriate sense. Actually, the co-restriction is allowed to have
;; a substitution applied to it, whose domain is disjoint from the
;; goals "outside" that closure. Below we give the lemmas and the
;; proof of MAIN-HYPS-RELIEVED-6 from those lemmas. But first let us
;; introduce the necessary notions.
```

```
;; << 43 >>
```

DEFINITION:

```
all-vars-disjoint-or-subsetp (goals, free, x)
= if listp (goals)
  then (subsetp (intersection (free, all-vars (t, car (goals))), x)
        ∨ disjoint (intersection (free, all-vars (t, car (goals))), x))
        ∧ all-vars-disjoint-or-subsetp (cdr (goals), free, x)
  else t endif
```

```
;; Our plan will be to show that (CDR STATE) has the above property
```

```
;; with respect to the free variables of the generalized state and the
;; appropriate gen-closure. In cases where one applies a substitution
;; of the form (append s1 s2) to such a list of goals, where the
;; domain of s1 is contained in the intersection of those free
;; variables with that closure and the domain of s2 is disjoint from
;; that intersection, we'll show that the result is a theorem-list iff
;; each of the following are theorem-lists: apply s1 to the goals
;; whose vars intersect its domain, and apply s2 to the rest.
;; Reduction rules about applying restrictions etc. will then finish
;; the job.
```

```
;; Notice the similarity of the following definition with new-gen-vars.
;; Think of vars as the closure variables, and free as the free variable
;; set within which this all "takes place".
```

```
;; << 44 >>
```

DEFINITION:

```
goals-intersecting-vars (goals, free, vars)
=  if listp (goals)
    then let current-free-vars be intersection (free,
                                                all-vars (t, car (goals)))
        in
        if disjoint (current-free-vars, vars)
        then goals-intersecting-vars (cdr (goals), free, vars)
        else cons (car (goals),
                  goals-intersecting-vars (cdr (goals),
                                          free,
                                          vars)) endif endlet
    else nil endif
```

```
;; << 45 >>
```

DEFINITION:

```
goals-disjoint-from-vars (goals, free, vars)
=  if listp (goals)
    then let current-free-vars be intersection (free,
                                                all-vars (t, car (goals)))
        in
        if disjoint (current-free-vars, vars)
        then cons (car (goals),
                  goals-disjoint-from-vars (cdr (goals), free, vars))
        else goals-disjoint-from-vars (cdr (goals), free, vars) endif endlet
    else nil endif
```

```
;; Now we begin the remaining goal, MAIN-HYPS-RELIEVED-6. The idea is
;; to show that the appropriate goal list is a theorem-list by showing
;; separately that the first and the rest are theorems, since the
;; reasons are slightly different. The first is a theorem because its
;; free vars are all in domain-1, hence in the domain of s1; so, s2
;; can be dropped from the APPEND. The rest all have the property
;; that their free vars are contained in or disjoint from domain-1,
;; and for those disjoint from it, they do not contain variables from
;; the domain of sg. Notice that the new current goal may violate the
;; latter requirement, since it may have no free vars at all but
;; contain vars from the domain of sg, and that's why we have to make
;; a special case out of it.
```

```
#|
```

```
(add-axiom main-hyps-relieved-6-first (rewrite)
  (let ((g (caar state))
        (p (cdar state))
        (free (cdr state))
        (s (witnessing-instantiation (generalize sg state))))
    (let ((new-g (subst t (invert sg) g)))
      (let ((domain-1
              (gen-closure (cons new-g p) free (all-vars t new-g))))
        (let ((s1 (restrict s domain-1))
              (s2 (apply-to-subst (nullify-subst sg)
                                   (co-restrict s domain-1))))
          (implies (and (generalize-okp sg state)
                        (valid-state (generalize sg state)))
                    (theorem (subst t (append s1 s2)
                                         (subst t (invert sg) g))))))))))
```

```
(add-axiom main-hyps-relieved-6-rest (rewrite)
  (let ((g (caar state))
        (p (cdar state))
        (free (cdr state))
        (s (witnessing-instantiation (generalize sg state))))
    (let ((new-g (subst t (invert sg) g)))
      (let ((domain-1
              (gen-closure (cons new-g p) free (all-vars t new-g))))
        (let ((s1 (restrict s domain-1))
              (s2 (apply-to-subst (nullify-subst sg)
                                   (co-restrict s domain-1))))
          (implies (and (generalize-okp sg state)
                        (valid-state (generalize sg state)))
```

```

(theorem-list (subst f (append s1 s2) p))))))

(prove-lemma main-hyps-relieved-6 (rewrite)
  (let ((g (caar state))
        (p (cdar state))
        (free (cdr state))
        (s (witnessing-instantiation (generalize sg state))))
    (let ((new-g (subst t (invert sg) g)))
      (let ((domain-1
            (gen-closure (cons new-g p) free (all-vars t new-g))))
        (let ((s1 (restrict s domain-1))
              (s2 (apply-to-subst (nullify-subst sg)
                                   (co-restrict s domain-1))))
          (implies (and (generalize-okp sg state)
                        (valid-state (generalize sg state)))
                    (theorem-list (subst f (append s1 s2)
                                           (cons (subst t (invert sg) g) p))))))
        ((disable-theory t)
         (enable-theory ground-zero)
         (enable main-hyps-relieved-6-first main-hyps-relieved-6-rest subst theorem-list)))
    ))

```

|#

```

;; The first is true because the free vars in new-g are all in the
;; domain of s1, since they are all in domain-1. By the way, the
;; proof-checker was useful here; I dove to the subst term (after
;; adding abbreviations and promoting hypotheses) and saw that I
;; wanted to rewrite with SUBST-APPEND-NOT-OCCUR-2. I also notice the
;; need for GEN-CLOSURE-CONTAINS-THIRD-ARG during the attempt to prove
;; a goal.

```

```

;; First, we only want to open up GENERALIZE when we are looking at
;; goals, not when we are simply asking about the witnessing
;; substitution.

```

```

;; << 46 >>

```

```

THEOREM: car-generalize
car (generalize (sg, state))
= cons (subst (t, invert (sg), caar (state)), cdar (state))

```

```

;; << 47 >>

```

EVENT: Disable generalize.

```
;; Inspection of the proof of a subgoal of MAIN-HYPS-RELIEVED-6-FIRST
;; suggests that we need the following lemma. Actually, before the
;; final polishing it was the case that the following version sufficed.
;; But final polishing led me to prove a "better" version, as well
;; as the lemma DISJOINT-SET-DIFF-GENERAL in "sets.events".
```

```
#|
(prove-lemma gen-closure-contains-third-arg (rewrite)
  (implies (subsetp domain free)
    (subsetp (intersection domain free-vars-so-far)
      (gen-closure goals free free-vars-so-far))))
|#
```

```
;; << 48 >>
```

```
THEOREM: gen-closure-contains-third-arg
subsetp(x, intersection(free, free-vars-so-far))
→ subsetp(x, gen-closure(goals, free, free-vars-so-far))
```

```
;; << 49 >>
```

```
THEOREM: main-hyps-relieved-6-first
let g be caar(state),
    p be cdar(state),
    free be cdr(state),
    s be witnessing-instantiation(generalize(sg, state))
in
let new-g be subst(t, invert(sg), g)
in
let domain-1 be gen-closure(cons(new-g, p),
                             free,
                             all-vars(t, new-g))
in
let s1 be restrict(s, domain-1),
    s2 be apply-to-subst(nullify-subst(sg),
                          co-restrict(s, domain-1))
in
(generalize-okp(sg, state)
 ∧ valid-state(generalize(sg, state)))
→ theorem(subst(t, append(s1, s2), new-g)) endlet endlet endlet endlet
```

```
;; Now all that remains is MAIN-HYPS-RELIEVED-6-REST.
```

```
#|
```

```
;; I originally forgot the (TERMP F P) hypothesis below, but it wasn't
;; very hard to back up and fix this.
```

```
(add-axiom main-hyps-relieved-6-rest-generalization (rewrite)
  (let ((s1 (restrict s domain-1))
        (s2 (apply-to-subst (nullify-subst sg)
                              (co-restrict s domain-1))))
    (implies (and (var-substp sg)
                  (var-substp s)
                  (subsetp (domain s) new-free)
                  (term p)
                  (theorem-list (subst f s p))
                  (disjoint (domain sg)
                            (all-vars f (goals-disjoint-from-vars
                                         p new-free domain-1)))
                  (all-vars-disjoint-or-subsetp p new-free domain-1))
              (theorem-list (subst f (append s1 s2) p))))))
```

```
(add-axiom main-hyps-relieved-6-rest-lemma-1 (rewrite)
  (let ((g (caar state))
        (p (cdar state))
        (free (cdr state))
        (s (witnessing-instantiation (generalize sg state))))
    (let ((new-g (subst t (invert sg) g)))
      (let ((domain-1
            (gen-closure (cons new-g p) free (all-vars t new-g))))
        (let ((s1 (restrict s domain-1))
              (s2 (apply-to-subst (nullify-subst sg)
                                    (co-restrict s domain-1))))
          (implies (and (generalize-okp sg state)
                        (valid-state (generalize sg state))
                        (disjoint (domain sg)
                                  (all-vars f (goals-disjoint-from-vars
                                               p (cdr (generalize sg state)) domain-1))))))
```

```
;; Minor note: I used the BREAK-LEMMA feature of NQTHM to realize
;; that I needed the following lemma.
```

```
(add-axiom main-hyps-relieved-6-rest-lemma-2 (rewrite)
  (let ((g (caar state))
        (p (cdar state))
        (free (cdr state))
        (s (witnessing-instantiation (generalize sg state))))
    (let ((new-g (subst t (invert sg) g)))
```

```

(let ((domain-1
      (gen-closure (cons new-g p) free (all-vars t new-g))))
  (let ((s1 (restrict s domain-1))
        (s2 (apply-to-subst (nullify-subst sg)
                              (co-restrict s domain-1))))
    (implies (and (generalize-okp sg state)
                  (valid-state (generalize sg state)))
              (all-vars-disjoint-or-subsetp p (cdr (generalize sg state)) domain-1))))

(prove-lemma main-hyps-relieved-6-rest (rewrite)
  (let ((g (caar state))
        (p (cdar state))
        (free (cdr state))
        (s (witnessing-instantiation (generalize sg state))))
    (let ((new-g (subst t (invert sg) g)))
      (let ((domain-1
            (gen-closure (cons new-g p) free (all-vars t new-g))))
        (let ((s1 (restrict s domain-1))
              (s2 (apply-to-subst (nullify-subst sg)
                                    (co-restrict s domain-1))))
          (implies (and (generalize-okp sg state)
                        (valid-state (generalize sg state)))
                    (theorem-list (subst f (append s1 s2) p))))))
      ((disable-theory t)
       (enable-theory ground-zero)
       (enable theorem-list subst car-generalize ;; so that we can get at p from (car state)
               ;; relieving hyps of main-hyps-relieved-6-rest-generalization:
               main-hyps-relieved-6-rest-lemma-1 main-hyps-relieved-6-rest-lemma-2
               ;; to relieve the (termp f p) hypothesis in main-hyps-relieved-6-rest-generalization
               statep termp-list-cons
               generalize-okp valid-state-opener main-hyps-relieved-6-rest-generalization)))

;; At this point I did a sanity check and sure enough, the pushed
;; lemmas all go through at this point: main-hyps-relieved-6,
;; main-hyps-relieved, main-theorem-1-case-4, main-theorem-1, and
;; generalize-is-correct.

|#

;; It remains to prove MAIN-HYPS-RELIEVED-6-REST-LEMMA-1,
;; MAIN-HYPS-RELIEVED-6-REST-LEMMA-2, and
;; MAIN-HYPS-RELIEVED-6-REST-GENERALIZATION.

;; For the first of these we need the following trivial observation.

```

;; << 50 >>

THEOREM: goals-disjoint-from-vars-subsetp
subsetp (goals-disjoint-from-vars (*goals*, *free*, *vars*), *goals*)

;; Unfortunately the observation above doesn't quite suffice, because
;; of a technical problem with free variables in hypotheses. The
;; following consequence does, though.

;; << 51 >>

THEOREM: disjoint-all-vars-goals-disjoint-from-vars
disjoint (*x*, all-vars (**f**, *goals*))
→ disjoint (*x*, all-vars (**f**, goals-disjoint-from-vars (*goals*, *free*, *vars*)))

;; << 52 >>

THEOREM: main-hyps-relieved-6-rest-lemma-1

```
let g be caar (state),
    p be cdar (state),
    free be cdr (state),
    s be witnessing-instantiation (generalize (sg, state))
in
let new-g be subst (t, invert (sg), g)
in
let domain-1 be gen-closure (cons (new-g, p),
                                free,
                                all-vars (t, new-g))
in
let s1 be restrict (s, domain-1),
    s2 be apply-to-subst (nullify-subst (sg),
                          co-restrict (s, domain-1))
in
(generalize-okp (sg, state)
 ∧ valid-state (generalize (sg, state)))
→ disjoint (domain (sg),
             all-vars (f,
                       goals-disjoint-from-vars (p,
                                                  cdr (generalize (sg,
                                                                state))),
                       domain-1))) endlet endlet endlet endlet
```

;; The next goal, MAIN-HYPS-RELIEVED-6-REST-LEMMA-2, needs the lemma
;; ALL-VARS-DISJOINT-OR-SUBSETP-GEN-CLOSURE below. That lemma's

```

;; mechanical proof depends on the trivial observation
;; DISJOINT-INTERSECTION3-MIDDLE in file sets.events.

;; << 53 >>

THEOREM: all-vars-disjoint-or-subsetp-gen-closure
subsetp (domain, free)
→ all-vars-disjoint-or-subsetp (goals,
                                domain,
                                gen-closure (cons (g, goals), free, vars))

;; << 54 >>

THEOREM: main-hyps-relieved-6-rest-lemma-2
let g be caar (state),
    p be cdar (state),
    free be cdr (state),
    s be witnessing-instantiation (generalize (sg, state))
in
let new-g be subst (t, invert (sg), g)
in
let domain-1 be gen-closure (cons (new-g, p),
                                free,
                                all-vars (t, new-g))
in
let s1 be restrict (s, domain-1),
    s2 be apply-to-subst (nullify-subst (sg),
                                co-restrict (s, domain-1))
in
(generalize-okp (sg, state)
 ∧ valid-state (generalize (sg, state)))
→ all-vars-disjoint-or-subsetp (p,
                                cdr (generalize (sg,
                                                    state)),
                                domain-1) endlet endlet endlet endlet

;; Finally, all that's left is
;; MAIN-HYPS-RELIEVED-6-REST-GENERALIZATION. An attempted proof by
;; induction of that theorem results in 11 goals, all but one of which
;; goes through automatically. The remaining one is as follows.

#|

(IMPLIES
 (AND

```

```

(DISJOINT NEW-FREE
  (INTERSECTION DOMAIN-1
    (ALL-VARS T X)))

(THEOREM-LIST
  (SUBST F
    (APPEND (RESTRICT S DOMAIN-1)
      (APPLY-TO-SUBST (NULLIFY-SUBST SG)
        (CO-RESTRICT S DOMAIN-1)))
    Z))
(MAPPING SG)
(VARIABLE-LISTP (DOMAIN SG))
(TERM F (RANGE SG))
(MAPPING S)
(VARIABLE-LISTP (DOMAIN S))
(TERM F (RANGE S))
(SUBSETP (DOMAIN S) NEW-FREE)
(TERM T X)
(TERM F Z)
(THEOREM (SUBST T S X))
(THEOREM-LIST (SUBST F S Z))
(DISJOINT (DOMAIN SG) (ALL-VARS T X))
(DISJOINT (DOMAIN SG)
  (ALL-VARS F
    (GOALS-DISJOINT-FROM-VARS Z NEW-FREE DOMAIN-1)))
(ALL-VARS-DISJOINT-OR-SUBSETP Z NEW-FREE DOMAIN-1))
(THEOREM (SUBST T
  (APPEND (RESTRICT S DOMAIN-1)
    (APPLY-TO-SUBST (NULLIFY-SUBST SG)
      (CO-RESTRICT S DOMAIN-1)))
  X)))

```

|#

```

;; Let us attempt to prove this goal with the proof-checker, thus
;; seeing why the rewriter can't handle it automatically. We would
;; like to rewrite with SUBST-APPEND-NOT-OCCUR-1 to replace the
;; conclusion with:

```

#|

```

(THEOREM (SUBST T
  (APPLY-TO-SUBST (NULLIFY-SUBST SG)
    (CO-RESTRICT S DOMAIN-1))
  X))

```

|#

```

;; However, in order to do that we need to observe that under the
;; hypotheses, the following holds.

#|
(DISJOINT (ALL-VARS F
           (DOMAIN (RESTRICT S DOMAIN-1)))
 (ALL-VARS T X))
|#

;; This is one of those cases of a problem with free variables in
;; hypotheses that are so annoying. The lemma DOMAIN-RESTRICT has
;; been put in alists.events to help with this. But then we lose the
;; fact that the first ALL-VARS in the goal above may be removed. The
;; lemma VARIABLE-LISTP-INTERSECTION has been added to terms.events to
;; take care of that.

;; Now it looks like the rewrite using SUBST-APPEND-NOT-OCCUR-1 should
;; succeed, since all hypotheses are relieved by rewriting alone.
;; Just to make sure, we back up in the proof checker and see if BASH
;; uses this rule on our original goal. Sure enough, it does.

;; Now our conclusion is the one displayed above, i.e.

#|
(THEOREM (SUBST T
              (APPLY-TO-SUBST (NULLIFY-SUBST SG)
                              (CO-RESTRICT S DOMAIN-1))
              X))
|#

;; Since (as we already know) (NULLIFY-SUBST SG) has the same domain
;; as does SG, and since the hypotheses imply that (DOMAIN SG) is
;; disjoint from the variables of X, the SUBST expression in this
;; conclusion should simplify to:

#|
(SUBST T (NULLIFY-SUBST SG)
         (SUBST T (CO-RESTRICT S DOMAIN-1)
                  X))
|#

;; We therefore need the lemma SUBST-APPLY-TO-SUBST-ELIMINATOR below
;; (which is used under the substitution where S gets (CO-RESTRICT S

```

```
;; DOMAIN-1) and SG gets (NULLIFY-SUBST SG)). However, we'll
;; immediately derive the desired consequence and then disable this
;; lemma, since it appears that it would loop with
;; COMPOSE-PROPERTY-REVERSED.
```

```
;; << 55 >>
```

```
THEOREM: subst-apply-to-subst-eliminator
(variable-listp (domain (sg))
  ^ variable-listp (domain (s))
  ^ term (t, x)
  ^ disjoint (domain (sg), all-vars (t, x)))
→ (subst (t, apply-to-subst (sg, s), x) = subst (t, sg, subst (t, s, x)))
```

```
;; << 56 >>
```

```
THEOREM: theorem-subst-apply-to-subst-with-disjoint-domain
(var-substp (sg)
  ^ var-substp (s)
  ^ term (t, x)
  ^ disjoint (domain (sg), all-vars (t, x))
  ^ theorem (subst (t, s, x)))
→ theorem (subst (t, apply-to-subst (sg, s), x))
```

```
;; << 57 >>
```

EVENT: Disable subst-apply-to-subst-eliminator.

```
;; The proof of the remaining goal should go through now, one might
;; think. However, we need one more observation first, because we
;; need to apply the following lemma.
```

```
#|
(PROVE-LEMMA SUBST-CO-RESTRICT
  (REWRITE)
  (IMPLIES (AND (DISJOINT X
                  (INTERSECTION (DOMAIN S)
                                (ALL-VARS FLG TERM))))
            (VARIABLE-LISTP (DOMAIN S))
            (TERMP FLG TERM))
    (EQUAL (SUBST FLG (CO-RESTRICT S X) TERM)
           (SUBST FLG S TERM))))
|#
```

```
;; but the first hypothesis of this lemma needs special handling because of
;; free variables. The lemma DISJOINT-SUBSETP-HACK was proved at this point,
;; and appears now in sets.events.
```

```
;; And finally, we finish. During polishing I suddenly needed the
;; lemma SUBSETP-INTERSECTION-MONOTONE-2, which is now included in
;; "sets.events", and which in turn suggested
;; SUBSETP-INTERSECTION-COMMUTER there.
```

```
;; << 58 >>
```

THEOREM: main-hyps-relieved-6-rest-generalization

```
let s1 be restrict (s, domain-1),
      s2 be apply-to-subst (nullify-subst (sg), co-restrict (s, domain-1))
in
  (var-substp (sg)
   ∧ var-substp (s)
   ∧ subsetp (domain (s), new-free)
   ∧ term p (f, p)
   ∧ theorem-list (subst (f, s, p))
   ∧ disjoint (domain (sg),
               all-vars (f,
                         goals-disjoint-from-vars (p,
                                                    new-free,
                                                    domain-1))))
   ∧ all-vars-disjoint-or-subsetp (p, new-free, domain-1))
  → theorem-list (subst (f, append (s1, s2), p)) endlet
```

```
;; Now to clean up the goals that have been pushed above:
```

```
;; << 59 >>
```

THEOREM: main-hyps-relieved-6-rest

```
let g be caar (state),
      p be cdar (state),
      free be cdr (state),
      s be witnessing-instantiation (generalize (sg, state))
in
  let new-g be subst (t, invert (sg), g)
  in
    let domain-1 be gen-closure (cons (new-g, p),
                                     free,
                                     all-vars (t, new-g))
    in
      let s1 be restrict (s, domain-1),
```

```

      s2 be apply-to-subst (nullify-subst (sg),
                                co-restrict (s, domain-1))
in
  (generalize-okp (sg, state)
   ∧ valid-state (generalize (sg, state)))
→ theorem-list (subst (f, append (s1, s2), p)) endlet endlet endlet endlet

;; << 60 >>

```

THEOREM: main-hyps-relieved-6

```

  (generalize-okp (sg, state) ∧ valid-state (generalize (sg, state)))
→ theorem-list (subst (f,
    append (restrict (witnessing-instantiation (generalize (sg,
                                                                state)),
    gen-closure (cons (subst (t,
    invert (sg),
    caar (state)),
    cdar (state)),
    cdr (state),
    all-vars (t,
    subst (t,
    invert (sg),
    caar (state))))),
    apply-to-subst (nullify-subst (sg),
    co-restrict (witnessing-instantiation (generalize (sg,
                                                                state)),
    gen-closure (cons (subst (t,
    invert (sg),
    caar (state)),
    cdar (state)),
    cdr (state),
    all-vars (t,
    subst (t,
    invert (sg),
    caar (state))))))),
    cons (subst (t, invert (sg), caar (state)), cdar (state))))

;; << 61 >>

```

THEOREM: main-hyps-relieved

```

  (generalize-okp (sg, state) ∧ valid-state (generalize (sg, state)))
→ main-hyps (restrict (witnessing-instantiation (generalize (sg, state)),
    gen-closure (cons (subst (t, invert (sg), caar (state)),
    cdar (state)),
    cdr (state),

```

```

                                all-vars (t,
                                      subst (t,
                                              invert (sg),
                                              caar (state)))))
                                apply-to-subst (nullify-subst (sg),
                                                co-restrict (witnessing-instantiation (generalize (sg,
                                                                                               state)),
                                                                gen-closure (cons (subst (t,
                                                                                          invert (sg),
                                                                                          caar (state)),
                                                                                          cdr (state)),
                                                                all-vars (t,
                                                                    subst (t,
                                                                        invert (sg),
                                                                        caar (state)))))),
                                                sg,
                                                caar (state),
                                                cdr (state))

;; << 62 >>

THEOREM: main-theorem-1-case-4
(generalize-okp (sg, state) ∧ valid-state (generalize (sg, state)))
→ theorem-list (subst (f, gen-inst (sg, state), car (state)))

;; << 63 >>

THEOREM: main-theorem-1
let wit be gen-inst (sg, state)
in
(generalize-okp (sg, state) ∧ valid-state (generalize (sg, state)))
→ (statep (state)
   ∧ var-substp (wit)
   ∧ subsetp (domain (wit), cdr (state))
   ∧ theorem-list (subst (f, wit, car (state)))) endlet

;; << 64 >>

THEOREM: generalize-is-correct
(generalize-okp (sg, state) ∧ valid-state (generalize (sg, state)))
→ valid-state (state)

```

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