

EVENT: Start with the initial **nqthm** theory.

;;; The proof in here is based on the hint to exercise (8) on
;;; page 43 of Kunen's Set Theory book.

;; Imagine sets *a* and *b* and corresponding functions *fa* and *fb* which
;; map *a* to *b* and vice-versa, where both are one-one.

DEFINITION: $\text{id}(x) = x$

CONSERVATIVE AXIOM: *fa-and-fb-are-one-one*
 $((a(x) \wedge a(y) \wedge (x \neq y)) \rightarrow (fa(x) \neq fa(y)))$
 $\wedge ((b(x) \wedge b(y) \wedge (x \neq y)) \rightarrow (fb(x) \neq fb(y)))$
 $\wedge (a(x) \rightarrow b(fa(x)))$
 $\wedge (b(x) \rightarrow a(fb(x)))$
 $\wedge (\text{truep}(a(x)) \vee \text{falsep}(a(x)))$
 $\wedge (\text{truep}(b(x)) \vee \text{falsep}(b(x)))$

Simultaneously, we introduce the new function symbols *fa*, *fb*, *a*, and *b*.

DEFINITION:
 $\text{in-fa-range}(x) \leftrightarrow \exists fa-1 (a(fa-1) \wedge (fa(fa-1) = x))$

;;; The following 3 events were generated automatically to help with
;;; the proof-checker's application of the macro command SK*.

EVENT: Disable in-fa-range.

THEOREM: *in-fa-range-suff*
 $(a(fa-1) \wedge (fa(fa-1) = x)) \rightarrow \text{in-fa-range}(x)$

THEOREM: *in-fa-range-necc*
 $(\neg (a(fa-1(x)) \wedge (fa(fa-1(x)) = x))) \rightarrow (\neg \text{in-fa-range}(x))$

DEFINITION:
 $\text{in-fb-range}(x) \leftrightarrow \exists fb-1 (b(fb-1) \wedge (fb(fb-1) = x))$

;;; The following 3 events were generated automatically to help with
;;; the proof-checker's application of the macro command sk*.

EVENT: Disable in-fb-range.

THEOREM: in-fb-range-suff
 $(b(fb-1) \wedge (fb(fb-1) = x)) \rightarrow \text{in-fb-range}(x)$

THEOREM: in-fb-range-necc
 $(\neg (b(fb-1(x)) \wedge (fb(fb-1(x)) = x))) \rightarrow (\neg \text{in-fb-range}(x))$

DEFINITION:
 $\text{circled}(flg, x, n)$
 $=$ **if** $flg = 'a$
 then if $n \simeq 0$ **then** $a(x)$
 else $\text{in-fb-range}(x) \wedge \text{circled}('b, fb-1(x), n - 1)$ **endif**
 elseif $n \simeq 0$ **then** $b(x)$
 else $\text{in-fa-range}(x) \wedge \text{circled}('a, fa-1(x), n - 1)$ **endif**

DEFINITION:
 $\text{a-core}(x)$
 $\leftrightarrow (a(x)$
 $\wedge \forall a\text{-level} (((a\text{-level} \in \mathbf{N}) \wedge \text{circled}('a, x, a\text{-level}))$
 $\rightarrow \text{circled}('a, x, 1 + a\text{-level})))$

EVENT: Disable a-core.

THEOREM: a-core-necc-base
 $((n \simeq 0) \wedge \text{a-core}(x)) \rightarrow \text{circled}('a, x, n)$

THEOREM: a-core-necc-induction
 $((n \not\simeq 0) \wedge \text{a-core}(x) \wedge \text{circled}('a, x, n - 1)) \rightarrow \text{circled}('a, x, n)$

THEOREM: a-core-necc
 $(\neg \text{circled}('a, x, n)) \rightarrow (\neg \text{a-core}(x))$

EVENT: Disable a-core-necc-base.

EVENT: Disable a-core-necc-induction.

THEOREM: a-core-suff
 $(a(x)$
 $\wedge (((a\text{-level}(x) \in \mathbf{N}) \wedge \text{circled}('a, x, a\text{-level}(x)))$
 $\rightarrow \text{circled}('a, x, 1 + a\text{-level}(x))))$
 $\rightarrow \text{a-core}(x)$

DEFINITION:
 $\text{b-core}(x)$
 $\leftrightarrow (b(x)$
 $\wedge \forall b\text{-level} (((b\text{-level} \in \mathbf{N}) \wedge \text{circled}('b, x, b\text{-level}))$
 $\rightarrow \text{circled}('b, x, 1 + b\text{-level})))$

EVENT: Disable b-core.

THEOREM: b-core-necc-base

$$((n \simeq 0) \wedge \text{b-core}(x)) \rightarrow \text{circled}('b, x, n)$$

THEOREM: b-core-necc-induction

$$((n \not\simeq 0) \wedge \text{b-core}(x) \wedge \text{circled}('b, x, n - 1)) \rightarrow \text{circled}('b, x, n)$$

THEOREM: b-core-necc

$$(\neg \text{circled}('b, x, n)) \rightarrow (\neg \text{b-core}(x))$$

EVENT: Disable b-core-necc-base.

EVENT: Disable b-core-necc-induction.

THEOREM: b-core-suff

$$\begin{aligned} & \text{b}(x) \\ & \wedge (((\text{b-level}(x) \in \mathbf{N}) \wedge \text{circled}('b, x, \text{b-level}(x))) \\ & \quad \rightarrow \text{circled}('b, x, 1 + \text{b-level}(x)))) \\ & \rightarrow \text{b-core}(x) \end{aligned}$$

DEFINITION:

parity(n)

$$\begin{aligned} = & \text{ if } n \simeq 0 \text{ then } t \\ & \text{ else } \neg \text{parity}(n - 1) \text{ endif} \end{aligned}$$

DEFINITION:

$j(x)$

$$\begin{aligned} = & \text{ if } \text{a-core}(x) \vee \text{parity}(\text{a-level}(x)) \text{ then } \text{fa}(x) \\ & \text{ else } \text{fb-1}(x) \text{ endif} \end{aligned}$$

DEFINITION:

$j-1(y)$

$$\begin{aligned} = & \text{ if } \text{b-core}(y) \vee (\neg \text{parity}(\text{b-level}(y))) \text{ then } \text{fa-1}(y) \\ & \text{ else } \text{fb}(y) \text{ endif} \end{aligned}$$

; Our goals:

```
;(prove-lemma j-1-j (rewrite)
; (implies (a x)
;          (equal (j-1 (j x)) x)))
```

```
;(prove-lemma j-j-1 (rewrite)
; (implies (b y)
;          (equal (j (j-1 y)) y)))
```

;; We'll start on the first of these. The theorem-prover output
;; suggests the following lemma:

```
;(prove-lemma b-core-fa (rewrite)
; (implies (a x)
;          (iff (b-core (fa x))
;              (a-core x))))
```

;; A main lemma is that $(a\text{-core } x) \Rightarrow (b\text{-core } (fa\ x))$ for x in a .

THEOREM: fa-1-inverts-fa
 $a(x) \rightarrow (fa-1(fa(x)) = x)$

;; The following is useful for proof-checker rewriting:

THEOREM: in-fa-range-fa
 $a(x) \rightarrow \text{in-fa-range}(fa(x))$

THEOREM: b-core-fa
 $a(x) \rightarrow (b\text{-core}(fa(x)) \leftrightarrow a\text{-core}(x))$

;; On to Case 2 of j-1-j. We find there the following contradictory hyps:

```
;(AND (A X)
;      (PARITY (A-LEVEL X))
;      (NOT (A-CORE X))
;      (PARITY (B-LEVEL (FA X))))
```

;; We want to prove:

;; B-LEVEL-FA

```
;(IMPLIES (AND (A X) (NOT (A-CORE X)))
;          (EQUAL (B-LEVEL (FA X))
;                (ADD1 (A-LEVEL X))))
```

THEOREM: b-fa-equality-rewrite
 $(a(x) \wedge (\neg b(y))) \rightarrow (fa(x) \neq y)$

THEOREM: fa-range-contained-in-b
 $(\neg b(y)) \rightarrow (\neg \text{in-fa-range}(y))$

THEOREM: circled-implies-b
circled ('b, y, n) → b(y)

THEOREM: a-fb-equality-rewrite
(b(y) ∧ (¬ a(x))) → (fb(y) ≠ x)

THEOREM: fb-range-contained-in-a
(¬ a(x)) → (¬ in-fb-range(x))

THEOREM: circled-implies-a
circled ('a, y, n) → a(y)

THEOREM: circled-monotone
(circled (flg, x, j) ∧ (j ≠ i)) → circled (flg, x, i)

THEOREM: circled-b-fa
a(x) → (circled ('b, fa(x), n) = circled ('a, x, n - 1))

THEOREM: b-level-fa-hack-lemma
(b-level (fa(x)) ∈ **N**)
→ ((b-level (fa(x)) = (1 + a-level(x)))
= (¬ ((b-level (fa(x)) < (1 + a-level(x)))
∨ ((1 + a-level(x)) < b-level (fa(x))))))

THEOREM: b-level-fa
(a(x) ∧ (¬ a-core(x))) → (b-level (fa(x)) = (1 + a-level(x)))

;; On to Case 3 of j-1-j. Our first subgoal is:

```
;(IMPLIES (AND (A X)
;             (NOT (A-CORE X))
;             (NOT (PARITY (A-LEVEL X)))
;             (NOT (B-CORE (FB-1 X)))
;             (PARITY (B-LEVEL (FB-1 X))))
;          (EQUAL (FB (FB-1 X)) X))
```

;; and this follows from the following two lemmas.

THEOREM: not-parity-a-level-implies-in-fb-range
(a(x) ∧ (¬ parity (a-level(x)))) → in-fb-range(x)

;; The next subcase of j-1-j is:

```
;(implies (and (a x)
;             (not (a-core x))
```

```

;           (not (parity (a-level x)))
;           (not (parity (b-level (fb-1 x))))))
;   (equal (fa-1 (fb-1 x)) x)

```

```
;; and this follows from:
```

```

;(prove-lemma b-level-fb-1 (rewrite)
; (implies (and (a x)
;               (not (a-core x))
;               (in-fb-range x))
;          (equal (b-level (fb-1 x))
;                 (sub1 (a-level x)))))

```

```
;; I've proved nearly the analogous thing already for fa.  Let's prove that
;; one for fb and then deduce this from it.
```

THEOREM: fb-1-inverts-fb
 $b(x) \rightarrow (fb-1 (fb(x)) = x)$

THEOREM: in-fb-range-fb
 $b(x) \rightarrow \text{in-fb-range}(fb(x))$

THEOREM: circled-a-fb
 $b(x) \rightarrow (\text{circled}('a, fb(x), n) = \text{circled}('b, x, n - 1))$

THEOREM: a-level-fb-hack-lemma
 $(a\text{-level}(fb(x)) \in \mathbf{N})$
 $\rightarrow ((a\text{-level}(fb(x)) = (1 + b\text{-level}(x)))$
 $= (\neg ((a\text{-level}(fb(x)) < (1 + b\text{-level}(x)))$
 $\vee ((1 + b\text{-level}(x)) < a\text{-level}(fb(x)))))$

THEOREM: a-core-fb
 $b(x) \rightarrow (a\text{-core}(fb(x)) \leftrightarrow b\text{-core}(x))$

THEOREM: a-level-fb
 $(b(x) \wedge (\neg b\text{-core}(x))) \rightarrow (a\text{-level}(fb(x)) = (1 + b\text{-level}(x)))$

THEOREM: b-level-fb-1
 $(a(x) \wedge (\neg a\text{-core}(x)) \wedge \text{in-fb-range}(x))$
 $\rightarrow (b\text{-level}(fb-1(x)) = (a\text{-level}(x) - 1))$

```
;; It remains only to prove the following subcase, and then we're done with j-1-j:
```

```
;(implies (and (a x)
```

```

;           (not (a-core x))
;           (not (parity (a-level x)))
;           (b-core (fb-1 x))
;           (equal (fa-1 (fb-1 x)) x)

```

;; I've already proved a-core-fb, and this should be useful.

THEOREM: b-core-implies-b
 $b\text{-core}(x) \rightarrow b(x)$

THEOREM: fb-fb-1
 $(a(x) \wedge \text{in-fb-range}(x)) \rightarrow (\text{fb}(\text{fb-1}(x)) = x)$

THEOREM: b-core-fb-1
 $(a(x) \wedge (\neg a\text{-core}(x)) \wedge (\neg \text{parity}(\text{a-level}(x))))$
 $\rightarrow (\neg b\text{-core}(\text{fb-1}(x)))$

;; Now finally for our first main goal:

THEOREM: j-1-j
 $a(x) \rightarrow (\text{j-1}(\text{j}(x)) = x)$

;;; We're ready now for the converse. Here's the first sticking point:

```

;(implies (and (b-core y)
;             (a-core (fa-1 y)))
;         (equal (fa (fa-1 y)) y))

```

;; We need only the following two easy lemmas:

THEOREM: b-core-implies-in-fa-range
 $b\text{-core}(y) \rightarrow \text{in-fa-range}(y)$

THEOREM: fa-fa-1
 $\text{in-fa-range}(y) \rightarrow (\text{fa}(\text{fa-1}(y)) = y)$

;; Our next goal is:

```

;(implies (and (b-core y)
;             (not (a-core (fa-1 y)))
;             (not (parity (a-level (fa-1 y)))))
;         (equal (fb-1 (fa-1 y)) y))

```

;;; which is taken care of simply by:

THEOREM: a-core-fa-1
 $\text{in-fa-range}(y) \rightarrow (\text{a-core}(\text{fa-1}(y)) \leftrightarrow \text{b-core}(y))$

;; next we have to prove

```
;(implies (and (b y)
;             (not (parity (b-level y)))
;             (a-core (fa-1 y)))
;         (equal (fa (fa-1 y)) y))
```

;; which follows from fa-fa-1 together with an obvious analog of
 ;; NOT-PARITY-A-LEVEL-IMPLIES-IN-FB-RANGE:

THEOREM: not-parity-b-level-implies-in-fa-range
 $(\text{b}(y) \wedge (\neg \text{parity}(\text{b-level}(y)))) \rightarrow \text{in-fa-range}(y)$

;; It remains only to prove:

```
;(implies (and (b y)
;             (not (parity (b-level y)))
;             (not (b-core y))
;             (not (parity (a-level (fa-1 y)))))
;         (equal (fb-1 (fa-1 y)) y))
```

;; which follows from an analogue of B-LEVEL-FB-1:

THEOREM: a-level-fa-1
 $(\text{b}(y) \wedge (\neg \text{b-core}(y)) \wedge \text{in-fa-range}(y))$
 $\rightarrow (\text{a-level}(\text{fa-1}(y)) = (\text{b-level}(y) - 1))$

;;; and we're done!!!

THEOREM: j-j-1
 $\text{b}(y) \rightarrow (\text{j}(\text{j-1}(y)) = y)$

;; To summarize:

;; From the axiom saying that fa maps a one-one into b and fb maps b one-one into a,

```
;(constrain fa-and-fb-are-one-one (rewrite)
; (and (implies (and (a x) (a y) (not (equal x y)))
;                 (not (equal (fa x) (fa y)))))
```



```

;      (implies (and (b x) (b y) (not (equal x y)))
;              (not (equal (fb x) (fb y))))
;      (implies (a x) (b (fa x)))
;      (implies (b x) (a (fb x)))
;      (or (truep (a x)) (falsep (a x)))
;      (or (truep (b x)) (falsep (b x))))
; ((fa id) (fb id) (a (lambda (x) t)) (b (lambda (x) t)))

;; to finish this off we simply prove the obvious lemmas needed for
;; j-iso below. For the first, j-range,
;; we need a lemma (as seen from observing the failed proof transcript).

```

THEOREM: in-fb-range-implies-b-fb-1
 $\text{in-fb-range}(y) \rightarrow b(\text{fb-1}(y))$

THEOREM: j-range
 $a(x) \rightarrow b(j(x))$

THEOREM: in-fa-range-implies-a-fa-1
 $\text{in-fa-range}(y) \rightarrow a(\text{fa-1}(y))$

THEOREM: j-1-range
 $b(x) \rightarrow a(j-1(x))$

EVENT: Disable j.

EVENT: Disable j-1.

THEOREM: j-is-one-one
 $(a(x1) \wedge a(x2) \wedge (x1 \neq x2)) \rightarrow (j(x1) \neq j(x2))$

;; we were able to conservatively extend the theory culminating in
;; definitions of a function j which maps a one-one into b:

DEFINITION:

J-ISO

$$\begin{aligned} \leftrightarrow & (\forall x (a(x) \rightarrow b(j(x))) \\ & \wedge \forall x1, x2 ((a(x1) \wedge a(x2) \wedge (j(x1) = j(x2))) \\ & \quad \rightarrow (x1 = x2)) \\ & \wedge \forall y (b(y) \rightarrow \exists x (a(x) \wedge (j(x) = y)))) \end{aligned}$$

THEOREM: j-is-an-isomorphism

J-ISO

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