

# Nondeterministic Finite State Machines

Read K & S 2.2, 2.3

Read Supplementary Materials: Regular Languages and Finite State Machines: Proof of the Equivalence of Nondeterministic and Deterministic FSAs.

Do Homework 6.

## Definition of a Nondeterministic Finite State Machine (NDFSM/NFA)

$M = (K, \Sigma, \Delta, s, F)$ , where

$K$  is a finite set of states

$\Sigma$  is an alphabet

$s \in K$  is the initial state

$F \subseteq K$  is the set of final states, and

$\Delta$  is the transition *relation*. It is a finite subset of

$$(K \times (\Sigma \cup \{\epsilon\})) \times K$$

i.e., each element of  $\Delta$  contains:

a configuration (state, input symbol or  $\epsilon$ ), and a new state.

$M$  accepts a string  $w$  if there exists *some path* along which  $w$  drives  $M$  to some element of  $F$ .

The language accepted by  $M$ , denoted  $L(M)$ , is the set of all strings accepted by  $M$ , where computation is defined analogously to DFMSs.

### A Nondeterministic FSA

$L = \{w : \text{there is a symbol } a_i \in \Sigma \text{ not appearing in } w\}$

The idea is to guess (nondeterministically) which character will be the one that doesn't appear.

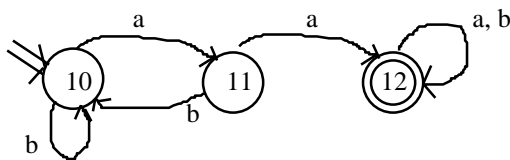
### Another Nondeterministic FSA

$L_1 = \{w : aa \text{ occurs in } w\}$

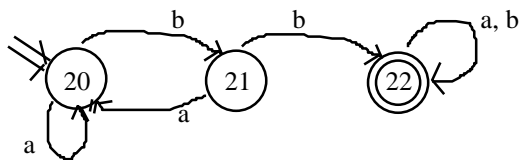
$L_2 = \{x : bb \text{ occurs in } x\}$

$L_3 = \{y : y \in L_1 \text{ or } L_2\}$

$M_1 =$

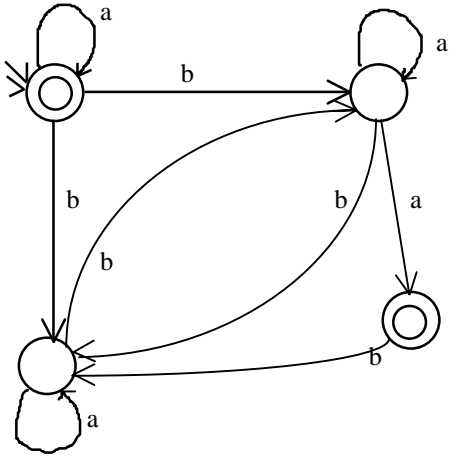


$M_2 =$



$M_3 =$

### Analyzing Nondeterministic FSAs

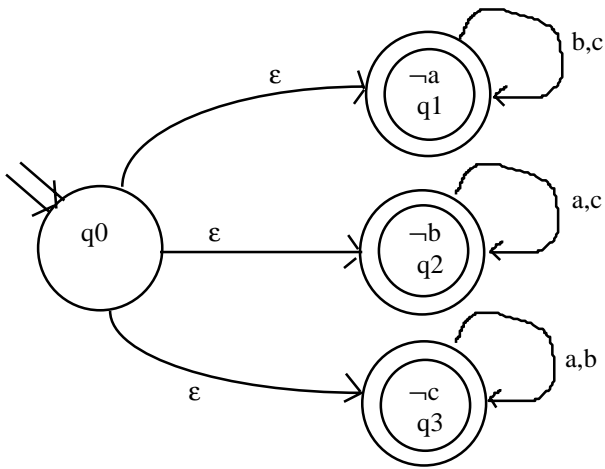


Does this FSA accept: baaba  
Remember: we just have to find one accepting path.

### Nondeterministic and Deterministic FSAs

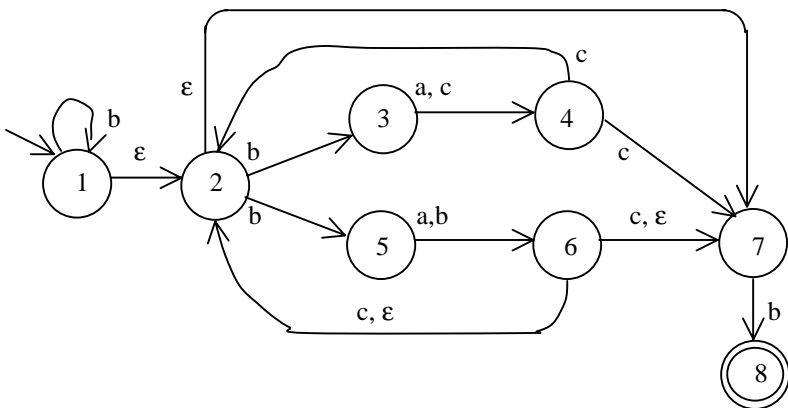
Clearly,  $\{\text{Languages accepted by a DFSA}\} \subseteq \{\text{Languages accepted by a NDFSA}\}$   
(Just treat  $\delta$  as  $\Delta$ )

More interestingly, **Theorem:** For each NDFSA, there is an equivalent DFSA.  
**Proof:** By construction

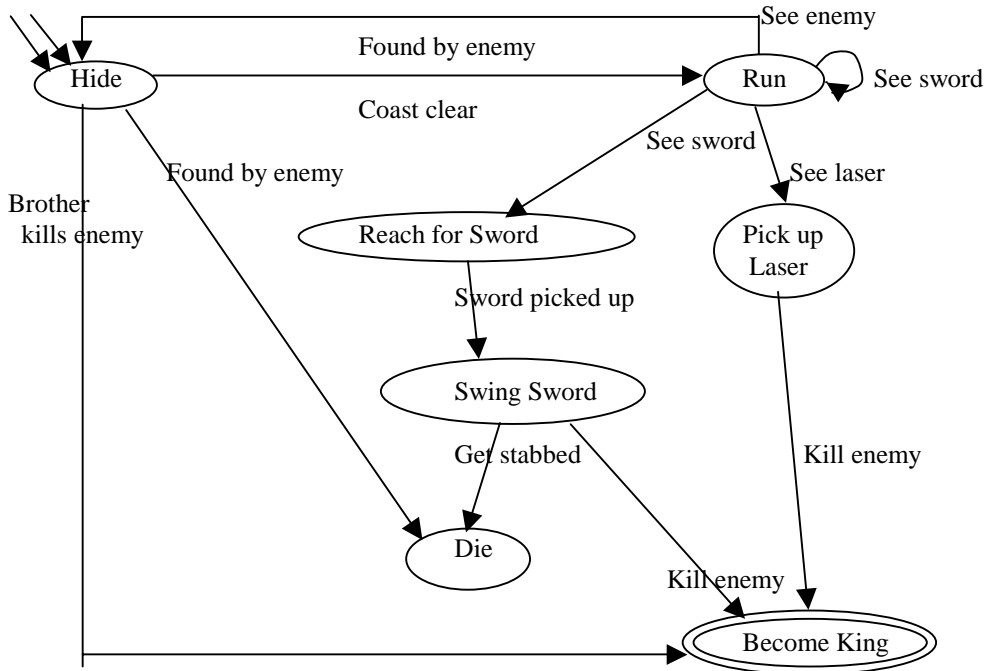


### Another Nondeterministic Example

$b^*(b(a \cup c)c \cup b(a \cup b)(c \cup \epsilon))^* b$



### A "Real" Example



### Dealing with $\epsilon$ Transitions

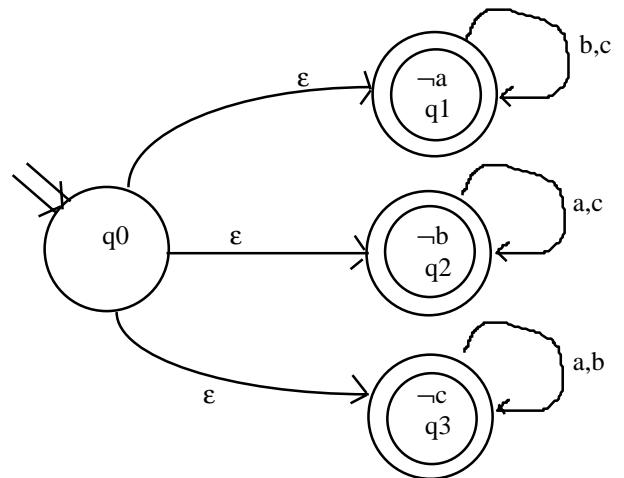
$E(q) = \{p \in K : (q,w) \vdash_M^* (p,w)\}$ .  $E(q)$  is the closure of  $\{q\}$  under the relation  $\{(p,r) : \text{there is a transition } (p, \epsilon, r) \in \Delta\}$   
 An algorithm to compute  $E(q)$ :

### Defining the Deterministic FSA

Given a NDFSA  $M = (K, \Sigma, \Delta, s, F)$ ,  
 we construct  $M' = (K', \Sigma, \delta', s', F')$ , where  
 $K' = 2^K$   
 $s' = E(s)$   
 $F' = \{Q \subseteq K : Q \cap F \neq \emptyset\}$   
 $\delta'(Q, a) = \cup \{E(p) : p \in K \text{ and } (q, a, p) \in \Delta \text{ for some } q \in Q\}$

Example: computing  $\delta'$  for the missing letter machine

$s' = \{q_0, q_1, q_2, q_3\}$   
 $\delta' = \{ (\{q_0, q_1, q_2, q_3\}, a, \{q_2, q_3\}), (\{q_0, q_1, q_2, q_3\}, b, \{q_1, q_3\}), (\{q_0, q_1, q_2, q_3\}, c, \{q_1, q_2\}), (\{q_1, q_2\}, a, \{q_2\}), (\{q_1, q_2\}, b, \{q_1\}), (\{q_1, q_2\}, c, \{q_1, q_2\}), (\{q_1, q_3\}, a, \{q_3\}), (\{q_1, q_3\}, b, \{q_1, q_3\}), (\{q_1, q_3\}, c, \{q_1\}), (\{q_2, q_3\}, a, \{q_2, q_3\}), (\{q_2, q_3\}, b, \{q_3\}), (\{q_2, q_3\}, c, \{q_2\}), (\{q_1\}, b, \{q_1\}), (\{q_1\}, c, \{q_1\}), (\{q_2\}, a, \{q_2\}), (\{q_2\}, c, \{q_2\}), (\{q_3\}, a, \{q_3\}), (\{q_3\}, b, \{q_3\}) \}$

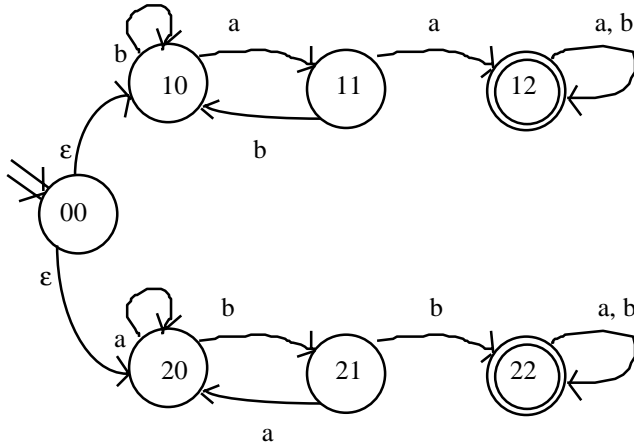


### An Algorithm for Constructing the Deterministic FSA

1. Compute the  $E(q)$ s:
2. Compute  $s' = E(s)$
3. Compute  $\delta'$ :  
 $\delta'(Q, a) = \cup \{E(p) : p \in K \text{ and } (q, a, p) \in \Delta \text{ for some } q \in Q\}$
4. Compute  $K' =$  a subset of  $2^K$
5. Compute  $F' = \{Q \in K' : Q \cap F \neq \emptyset\}$

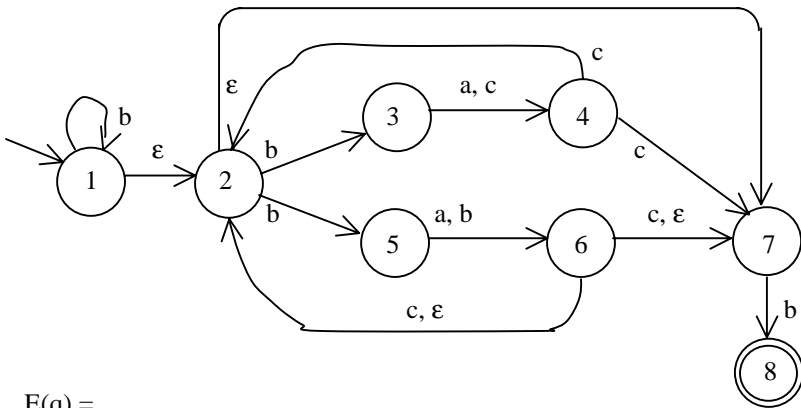
### An Example - The Or Machine

- $L_1 = \{w : \text{aa occurs in } w\}$   
 $L_2 = \{x : \text{bb occurs in } x\}$   
 $L_3 = \{y : \in L_1 \text{ or } L_2\}$



### Another Example

$$b^* (b(a \cup c)c \cup b(a \cup b) (c \cup \epsilon))^* b$$



$E(q) =$

$\delta' =$

## Sometimes the Number of States Grows Exponentially

Example: The missing letter machine, with  $|\Sigma| = n$

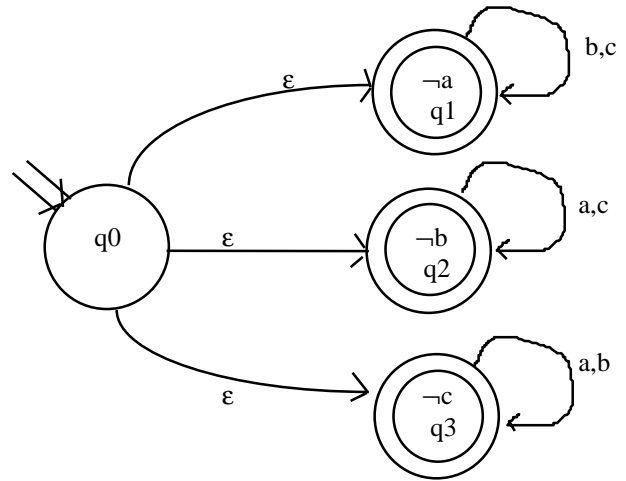
No. of states after 0 chars: 1

No. of new states after 1 char:  $\binom{n}{n-1} = n$

No. of new states after 2 chars:  $\binom{n}{n-2} = n(n-1)/2$

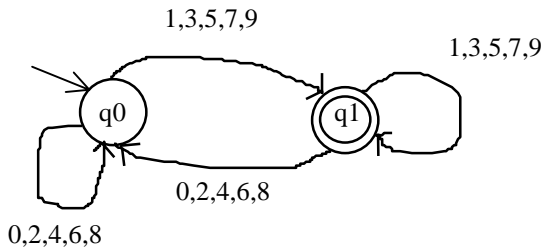
No. of new states after 3 chars:  $\binom{n}{n-3} = n(n-1)(n-2)/6$

Total number of states after n chars:  $2^n$



## What If The Original FSA is Deterministic?

M=



1. Compute the E(q)s:
2.  $s' = E(q_0) =$
3. Compute  $\delta'$ 
  - $(\{q_0\}, \text{odd}, \{q_1\})$
  - $(\{q_0\}, \text{even}, \{q_0\})$
  - $(\{q_1\}, \text{odd}, \{q_1\})$
  - $(\{q_1\}, \text{even}, \{q_0\})$
4.  $K' = \{\{q_0\}, \{q_1\}\}$
5.  $F' = \{\{q_1\}\}$

$$M' = M$$

## The real meaning of “determinism”

A FSA is **deterministic** if, for each input and state, there is at most one possible transition.

DFSAs are always deterministic. Why?

NFSAs can be deterministic (even with  $\epsilon$ -transitions and implicit dead states), but the formalism allows nondeterminism, in general.

Determinism implies uniquely defined machine behavior.