# **Assume-Guarantee Reasoning for Deadlock**

Sagar Chaki, Nishant Sinha November 15, 2006

#### **Overview**

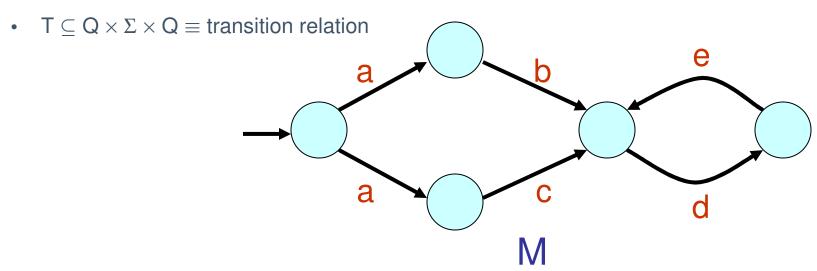
# We present a framework that uses **learning** and **automated Assume-Guarantee (AG)** reasoning to detect **deadlocks**

- Concurrent systems with blocking message-passing communication
- Develop a notion of regular failure languages
- Propose a new kind of Failure Automata that accept such languages
- Develop an algorithm L<sup>F</sup> to learn deterministic FA that accept an unknown regular failure language
- Use L<sup>F</sup> to learn appropriate assumptions for deadlock detection
- Present experimental results

#### **Finite LTS**

$$M = (Q, I, \Sigma, T)$$

- $Q \equiv \text{non-empty set of states}$
- $I \in Q \equiv initial state$
- $\Sigma \equiv$  set of actions  $\equiv$  alphabet



 $\Sigma(M) = \{a,b,c,d,e,f\}$ 

#### **Operational Semantics**

#### Components handshake (synchronize) over shared actions

- Else proceed independently (asynchronously)
- CSP semantics

Composition of  $M_1$  &  $M_2 \equiv M_1 \parallel M_2$ 

State of M<sub>1</sub> || M<sub>2</sub> is of the form (s<sub>1</sub>,s<sub>2</sub>) where s<sub>i</sub> is a state of M<sub>i</sub>

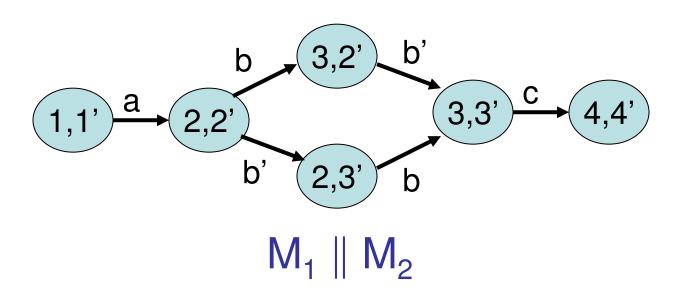
# **Example**

$$1 \xrightarrow{a} 2 \xrightarrow{b} 3 \xrightarrow{c} 4$$

$$M_1 \Sigma = \{a,b,c\}$$

$$1' \xrightarrow{a} 2' \xrightarrow{b'} 3' \xrightarrow{c} 4'$$

$$M_2 \Sigma = \{a,b',c\}$$

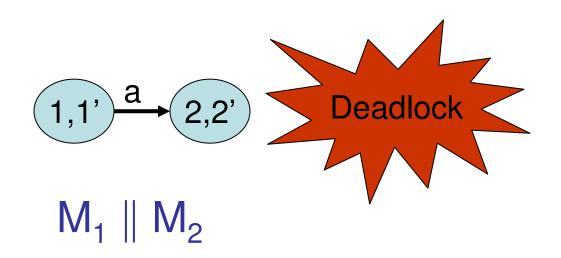


#### **Deadlock**

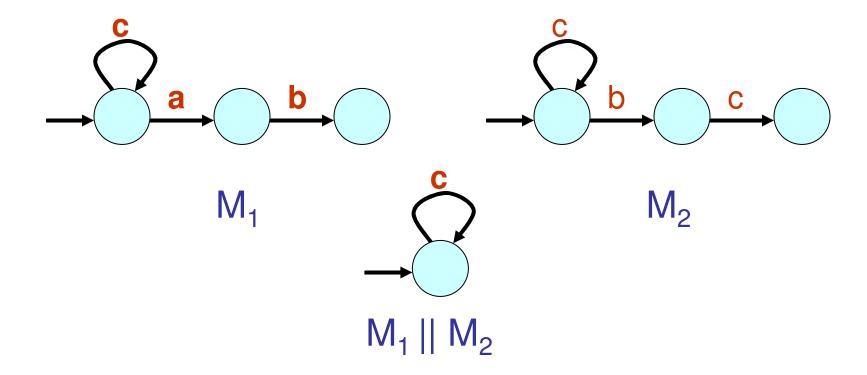
$$1 \xrightarrow{a} 2 \xrightarrow{b} 3 \xrightarrow{c} 4$$

$$M_1$$
  $\Sigma = \{a,b,b',c\}$ 

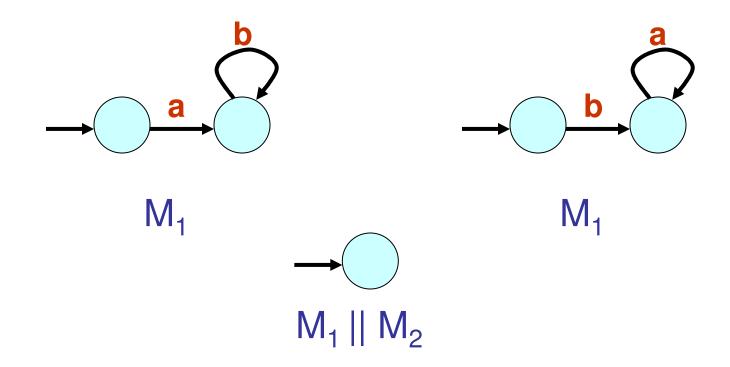
$$M_2$$
  $\Sigma = \{a,b,b',c\}$ 



# **Deadlock and Composition**



# **Deadlock and Composition**

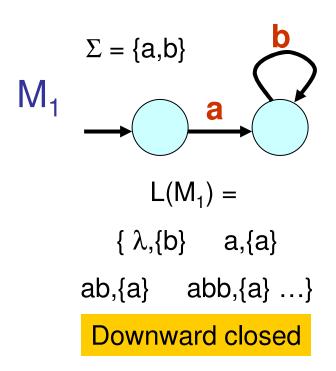


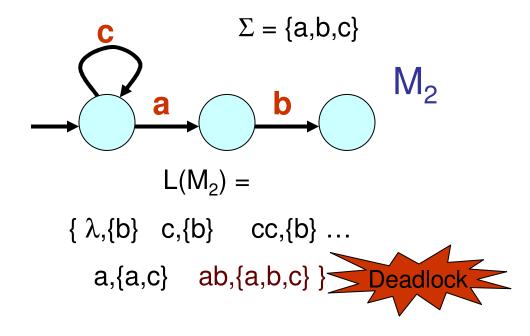
# Failures & Failure Languages

Trace  $\in \Sigma^*$  = sequence of actions

Refusal  $\subseteq \Sigma$  = set of actions

Failure  $\in \Sigma^* \times 2^{\Sigma} = a$  trace, followed by a refusal





#### **AG Rule for Deadlock**

Consider the following (idea for a) non-circular proof rule

M<sub>1</sub> || A does not deadlock

$$M_2 \leq A$$

AG-NC

M<sub>1</sub> || M<sub>2</sub> does not deadlock

We are interested in the largest A that satisfies the first premise.

- Under what conditions is such a language uniquely defined?
- What kind of automata accept such languages?
- Can we learn such automata efficiently?

## **Downward Closed Failure Languages**

A failure language L is downward closed if

$$\forall t \in \Sigma^*, \forall R, R' \in 2^{\Sigma}, (t,R) \in L \land R' \subseteq R \Rightarrow (t,R') \in L$$

There is always an unique maximal downward closed A that satisfies the first premise of AG-NC

Clearly, languages accepted by LTSs are downward closed.

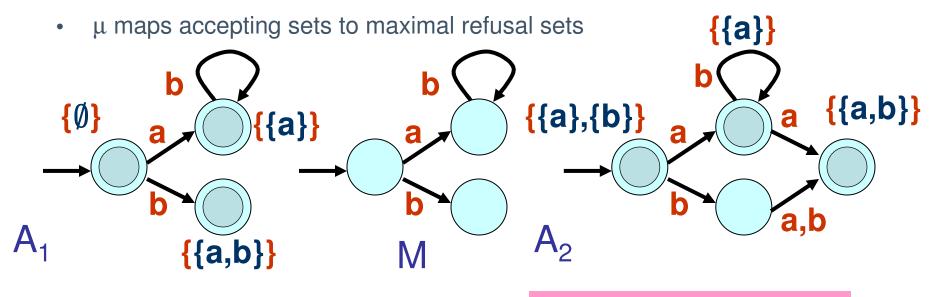
However, the class of languages accepted by LTSs is simply too restricted.

We need automata with more general accepting conditions

# **Failure Automata (FLA)**

$$A = (Q, I, \Sigma, T, F, \mu)$$

- Q, I, Σ, T defined as for LTSs
- F ⊆ Q is a set of final or accepting states



$$L(A_1) = L(M)$$

 $L(A_2) = maximal A for M$ 

#### Some Results

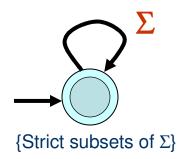
A failure language is regular iff it is accepted by some FLA

- Deterministic FLA have the same accepting power as FLA in general
- Every regular failure language is accepted by a unique minimal DFLA

The maximal language satisfying premise #1 is unique and regular

Hence accepted by an unique minimal DFLA

Deadlock can be expressed as a regular failure language containment problem: M does not deadlock iff L(M)  $\subseteq$  No-DL where No-DL = ( $\Sigma^* \times 2^{\Sigma}$ ) – ( $\Sigma^* \times \{\Sigma\}$ ) is the set of all non-deadlocking failures



$$L(M_1 \parallel A) \subseteq No-DL$$
 
$$L(M_2) \subseteq L(A)$$
 
$$L(M_1 \parallel M_2) \subseteq No-DL$$

AG-NC
Sound and Complete

## **Next Steps**

We develop a learning algorithm L<sup>F</sup> that can learn any unknown regular failure language U

L<sup>F</sup> uses a minimally adequate teacher (MAT) that can answer two kinds of queries

- Membership: Given a failure f, does f belong to U?
- Candidate: Given a DFLA C, is L(C) = U? If not, the MAT also returns a
  counterexample failure in the symmetric difference of L(C) and U

We use L<sup>F</sup> to learn the maximal A

MAT will be implemented via model checking

In case of a deadlock we return a counterexample witness

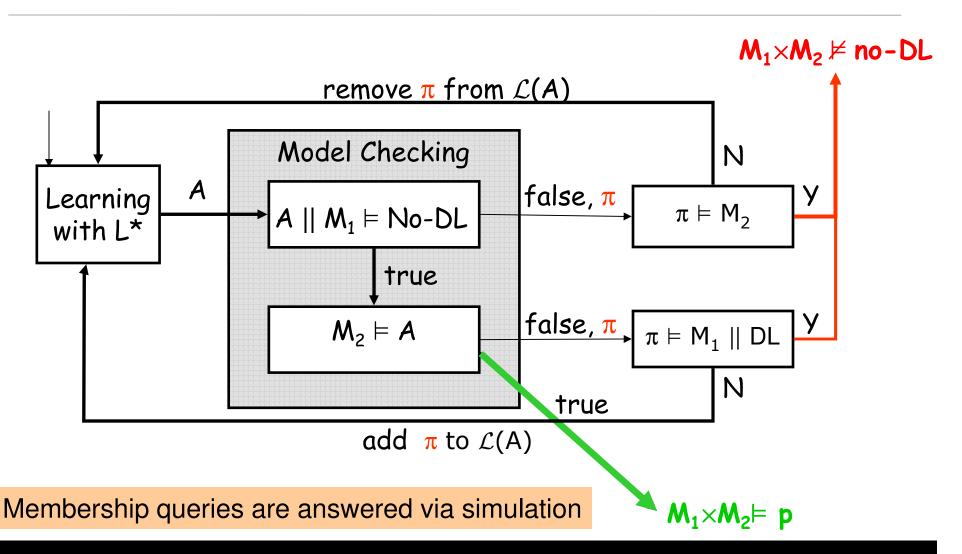
# The Algorithm L<sup>F</sup>

Maintains an observation table whose rows are labeled with traces and columns with **failures**. Iteratively does the following:

- 1) Build the table using membership queries
- 2) Constructs a candidate DFLA C from the table and makes a candidate query with C
- 3) If candidate query succeeds, returns C as the final answer
- 4) If candidate query fails, uses the counterexample to construct a new failure f and adds f to the columns of the table. Repeats from Step 1.

The new f added ensures that the number of rows will increase strictly in the next iteration. Number of rows cannot exceed the number of states of the minimal DFLA accepting U. Hence L<sup>F</sup> always terminates and moreover, uses polynomial amount of resources.

#### **Overall Deadlock Detection Procedure**



## **Experimental Setup**

Implemented AG-NC as well as the following circular rule:

$$L(M_1 \mid\mid A_1) \subseteq \text{No-DL} \qquad L(M_2 \mid\mid A_2) \subseteq \text{No-DL}$$
 
$$W(A_1) \mid\mid W(A_2) \subseteq \text{No-DL}$$
 
$$L(M_1 \mid\mid M_2) \subseteq \text{No-DL}$$

Experimented with benchmarks derived from Linux device drivers and Inter-Process Communication library (synchronizing via locks) and Dining Philosophers

2.4 GHz machine with 2 GB RAM limit and 1 hour timeout

## **Experimental Results: No Deadlock**

Exp	Loc	Comp	Non-Circ			Circular		
			Т	М	Α	Т	М	Α
MC	7272	2	308	903	5	307	903	6
MC	7272	4	*	1453	-	716	1453	24
Ide	18905	3	338	50	11	62	47	12
Ide	18905	5	*	84	-	639	85	48
Synclink	17262	4	1547	19	21	58	21	24
Synclink	17262	6	*	27	-	1815	189	96
Mxser	15717	3	2079	140	11	639	123	12
Mxser	15717	5	-	179	-	2131	185	48
Tg3	36774	3	1568	118	11	406	111	12
Tg3	36774	6	-	157	-	3406	313	96
IPC	818	3	703	338	49	478	355	49
DP	82	6	100	330	11	286	414	9
DP	109	8	1551	565	11	*	1474	-

1 hour timeout; 2 GB memory limit; \* = out of resource; - = no data

## **Experimental Results: Deadlock**

Exp	Loc	Comp	Non-Circ			Circular		
			Т	М	Α	Т	М	Α
MC	7272	2	386	980	13	313	979	16
MC	7272	4	-	-	-	-	-	-
Ide	18905	3	*	80	-	557	551	125
Ide	18905	5	*	89	-	*	498	-
Synclink	17262	4	127	181	2	133	181	6
Synclink	17262	6	1188	*	-	-	*	-
Mxser	15717	3	657	364	2	630	364	5
Mxser	15717	5	3368	*	-	2276	*	-
Tg3	36774	3	486	393	2	499	393	5
Tg3	36774	6	*	-	-	1954	*	-
IPC	818	3	-	-	-	-	-	-
DP	82	6	-	-	-	-	-	-
DP	109	8	-	-	-	-	-	-

1 hour timeout; 2 GB memory limit; \* = out of resource; - = no data

#### **Related Work**

Use of learning for automated AG reasoning proposed originally by Cobleigh et al. [TACAS'03] for safety properties

Since been extended to simulation [CAV'05] and the use of symbolic techniques [CAV'05]

Brookes and Roscoe investigate failure semantics and its use for deadlock detection.

Assume-Guarantee reasoning is a rich area, but limited automation

Iterative abstraction-refinement has also been used in the context of compositional deadlock detection [MEMOCODE'03]

# Questions?



chaki@sei.cmu.edu

nishants@cs.cmu.edu