

# Turbo-Charging Lemmas on Demand with Don't Care Reasoning

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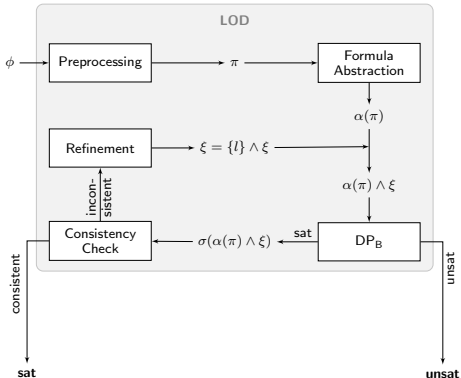
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## Lemmas on Demand

- so-called *lazy* SMT approach
- our SMT solver **Boolector**
  - implements **Lemmas on Demand** for
  - the quantifier-free theory of
    - fixed-size **bit vectors**
    - **arrays**
- **recently**: Lemmas on Demand for **Lambdas** [DIFTS'13]
  - generalization of Lemmas on Demand for Arrays [JSAT'09]
  - arrays represented as uninterpreted functions
  - array operations represented as lambda-terms
  - reads represented as function applications

# Lemmas on Demand

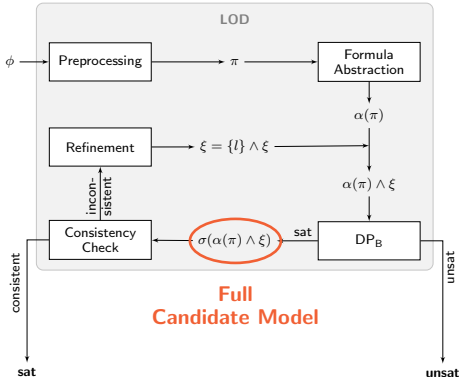
Workflow: Original Procedure LOD



- bit vector formula abstraction (**bit vector skeleton**)
- enumeration of truth assignments (**candidate models**)
- iterative refinement with **lemmas** until convergence

# Lemmas on Demand

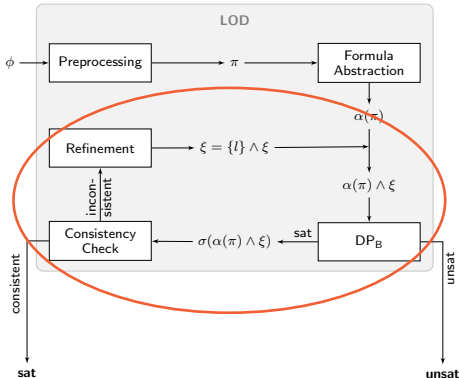
Workflow: Original Procedure LOD



- **each** candidate model is a **full** truth assignment of the formula abstraction
- **full candidate model** needs to be checked for consistency w.r.t. theories

# Lemmas on Demand

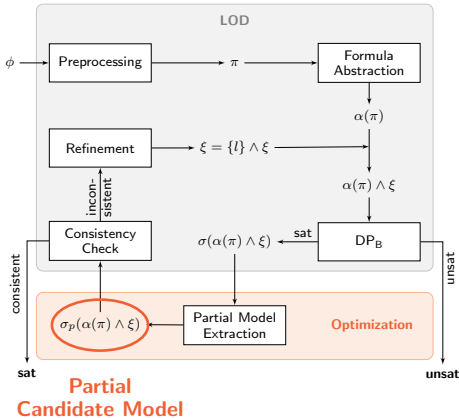
Workflow: Original Procedure LOD



- abstraction refinement usually the most **costly** part of LOD
- cost generally correlates with **number of refinements**
- checking the **full** candidate model often **not required**
- **small subset** responsible for satisfying formula abstraction

# Lemmas on Demand

Workflow: Optimized Procedure  $\text{LOD}_{\text{opt}}$

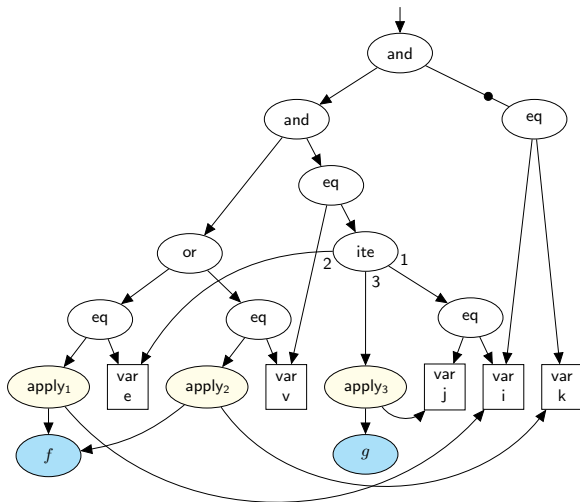


- focus LOD on the **relevant** parts of the input formula
  - exploit **a posteriori observability don't cares**
  - **partial model extraction** prior to consistency checking
- subsequently **reduces the cost** for consistency checking

## Lemmas on Demand

Example: Input Formula

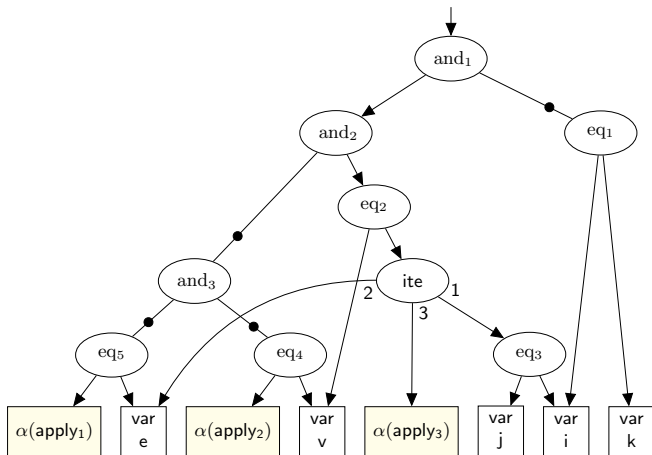
Example.  $\psi_1 \equiv i \neq k \wedge (f(i) = e \vee f(k) = v) \wedge v = \text{ite}(i = j, e, g(j))$



# Lemmas on Demand

Example: Formula Abstraction

Example. Bit Vector Skeleton

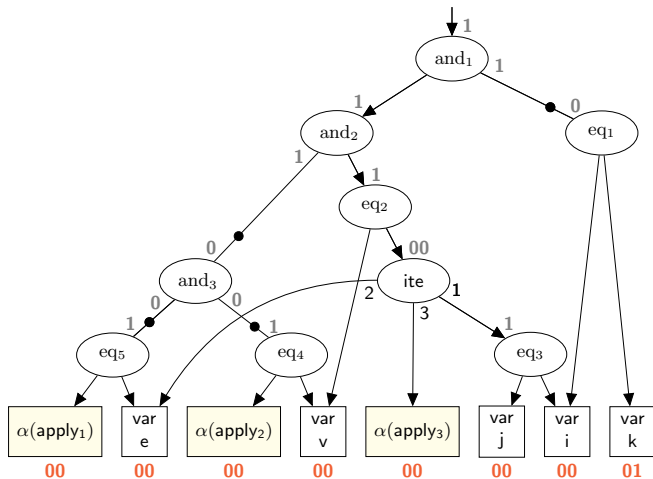




# Lemmas on Demand

Example: Formula Abstraction

Example. **Full Candidate Model**

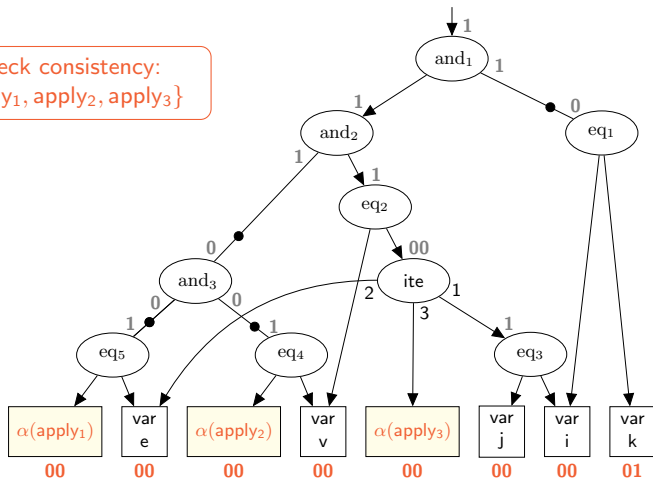


# Lemmas on Demand

Example: Formula Abstraction

Example. **Full Candidate Model**

Check consistency:  
{ $\alpha_1$ ,  $\alpha_2$ ,  $\alpha_3$ }

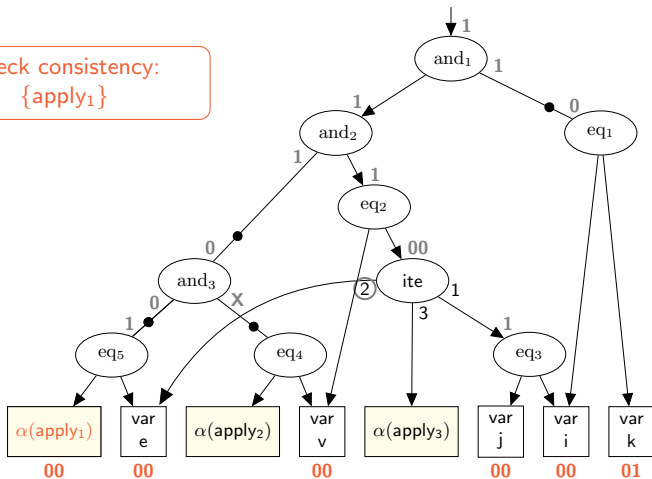


# Lemmas on Demand

Example: Formula Abstraction

Example. **Partial Candidate Model**

Check consistency:  
{ $\text{apply}_1$ }



## Partial Model Extraction

**Most intuitive:** use **justification-based** approach

→ **Justification-based** techniques in the context of

- **SMT**
  - prune the search space of DPLL(T) [ENTCS'05, MSRTR'07]
- **Model checking**
  - prune the search space of BMC [CAV'02]
  - generalize proof obligations in PDR [EénFMCAD'11, ChoFMCAD'11]
  - generalize candidate counter examples (CEGAR) [LPAR'08]

## Partial Model Extraction

**Our approach: Dual propagation**-based partial model extraction

- exploiting the duality of a formula abstraction  $\psi$ 
  - assignments satisfying  $\psi$  (the **primal** channel)  
falsify its negation  $\neg\psi$  (the **dual** channel)
- motivated by dual propagation techniques in QBF [AAAI'10]
  - one solver with **two channels** (**online** approach)
  - **symmetric** propagation between primal and dual channel
- **here: offline** dual propagation
  - **two** solvers, one solver **per channel**
  - **consecutive** propagation between primal and dual channel
    - primal generates full assignment before dual enables partial model extraction based on the primal assignment

# Partial Model Extraction

## Dual Propagation-Based Approach

Example. **Boolean** Level

**Primal** channel:  $\psi_2 \equiv (a \wedge b) \vee (c \wedge d)$

**Dual** channel:  $\neg\psi_2 \equiv (\neg a \vee \neg b) \wedge (\neg c \vee \neg d)$

# Partial Model Extraction

## Dual Propagation-Based Approach

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**Dual** channel:  $\neg\psi_2 \equiv (\neg a \vee \neg b) \wedge (\neg c \vee \neg d)$

**Primal** assignment:  $\sigma(\psi_2) \equiv \{\sigma(a) = \top, \sigma(b) = \top, \sigma(c) = \top, \sigma(d) = \top\}$

# Partial Model Extraction

## Dual Propagation-Based Approach

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Fix values of inputs via **assumptions** to the dual solver:

**Dual** assumptions:  $\{a = \top, b = \top, c = \top, d = \top\}$



# Partial Model Extraction

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Fix values of inputs via **assumptions** to the dual solver:

**Dual** assumptions:  $\{a = \top, b = \top, c = \top, d = \top\}$

**Failed** assumptions:  $\{a = \top, b = \top\}$

→ sufficient to falsify  $\neg\psi_2$

→ sufficient to satisfy  $\psi_2$

# Partial Model Extraction

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Fix values of inputs via **assumptions** to the dual solver:

**Dual** assumptions:  $\{a = \top, b = \top, c = \top, d = \top\}$

**Failed** assumptions:  $\{a = \top, b = \top\}$  **Partial Model**

→ sufficient to falsify  $\neg\psi_2$

→ sufficient to satisfy  $\psi_2$

## Partial Model Extraction

### Dual Propagation-Based Approach

- **structural don't care reasoning** simulated via the dual solver
- no structural SAT solver necessary

Example. (ctd)

Input formula:  $\psi_2 \equiv (a \wedge b) \vee (c \wedge d) \equiv \top$

Primal SAT solver:  $\text{CNF}(\psi_2) \equiv (\neg o \vee x \vee y) \wedge (\neg x \vee o) \wedge (\neg y \vee o) \wedge (\neg x \vee a) \wedge (\neg x \vee b) \wedge (\neg a \vee \neg b \vee x) \wedge (\neg y \vee c) \wedge (\neg y \vee d) \wedge (\neg c \vee \neg d \vee y) \equiv ?$

Dual SAT solver:  $\text{CNF}(\neg\psi_2) \equiv (\neg a \vee \neg b) \wedge (\neg c \vee \neg d) \equiv \perp$

Dual assumptions:  $\{a = \top, b = \top, c = \top, d = \top\}$

Partial Model:  $\{a = \top, b = \top\}$

- in contrast to partial model extraction techniques based on iterative removal of unnecessary assignments on the CNF level [FMCAD'13]

## Partial Model Extraction

### Dual Propagation-Based Approach

→ we lift this approach to the **word** level

**Primal** channel:  $\Gamma \equiv \alpha(\pi) \wedge \xi \equiv \alpha(\pi) \wedge l_1 \wedge \dots \wedge l_{i-1}$

**Dual** channel:  $\neg\Gamma$

→ one SMT solver **per** channel

→ one **single** dual solver instance to maintain  $\neg\Gamma$  over all iterations

## Partial Model Extraction

### Dual Propagation-Based Approach

Example. **Word Level**

$$\psi_1 \equiv i \neq k \wedge (f(i) = e \vee f(k) = v) \wedge v = ite(i = j, e, g(j))$$

$$\alpha(\psi_1) \equiv i \neq k \wedge (\alpha(\text{apply}_1) = e \vee \alpha(\text{apply}_2) = v) \wedge v = ite(i = j, e, \alpha(\text{apply}_3))$$

$$\left. \begin{array}{l} \text{Primal solver: } \alpha(\psi_1) \\ \text{Dual solver: } \neg\alpha(\psi_1) \end{array} \right\} \text{Formula abstraction and its negation}$$

**Primal** assignment:

$$\sigma(\psi_2) \equiv \{\sigma(i) = 00, \sigma(j) = 00, \sigma(e) = 00, \sigma(v) = 00, \sigma(k) = 01, \\ \alpha(\text{apply}_1) = 00, \alpha(\text{apply}_2) = 00, \alpha(\text{apply}_3) = 00\}$$

Fix values of inputs via assumptions to the dual solver:

**Dual** assumptions:

$$\sigma(\psi_2) \equiv \{i = 00, j = 00, e = 00, v = 00, k = 01, \\ \alpha(\text{apply}_1) = 00, \alpha(\text{apply}_2) = 00, \alpha(\text{apply}_3) = 00\}$$

**Failed** assumptions:

$$\{i = 00, j = 00, e = 00, v = 00, k = 01, \alpha(\text{apply}_1) = 00\}$$

## Partial Model Extraction

### Dual Propagation-Based Approach

Example. **Word Level**

$$\psi_1 \equiv i \neq k \wedge (f(i) = e \vee f(k) = v) \wedge v = ite(i = j, e, g(j))$$

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**Primal** solver:  $\alpha(\psi_1)$

**Dual** solver:  $\neg\alpha(\psi_1)$

} Formula abstraction and its negation

**Primal** assignment:

$$\sigma(\psi_2) \equiv \{\sigma(i) = 00, \sigma(j) = 00, \sigma(e) = 00, \sigma(v) = 00, \sigma(k) = 01, \\ \alpha(\text{apply}_1) = 00, \alpha(\text{apply}_2) = 00, \alpha(\text{apply}_3) = 00\}$$

Fix values of inputs via assumptions to the dual solver:

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**Failed** assumptions:

$$\{i = 00, j = 00, e = 00, v = 00, k = 01, \alpha(\text{apply}_1) = 00\}$$

**Partial Model**

## Partial Model Extraction

### Dual Propagation-Based Approach

Example. **Word Level**

$$\psi_1 \equiv i \neq k \wedge (f(i) = e \vee f(k) = v) \wedge v = ite(i = j, e, g(j))$$

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**Dual** assumptions:

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**Failed** assumptions:

$$\{i = 00, j = 00, e = 00, v = 00, k = 01, \alpha(\text{apply}_1) = 00\}$$

**Consistency Check**

### Four Configurations:

- **Boolector<sub>sc</sub>**

→ version entering **SMTCOMP'12**, winner of the QF\_AUFBV track

- **Boolector<sub>ba</sub>**

→ current Boolector **base** version (new LOD for Lambdas engine)

- **Boolector<sub>dp</sub>**

→ with **dual propagation**-based partial model extraction enabled

- **Boolector<sub>ju</sub>**

→ **justification**-based partial model extraction approach for comparison

- determine **a posteriori** observability don't cares

→ skip lines that do not influence the output of an **and**-gate under its current assignment

- if both inputs of an **and**-gate are **controlling** ( $\perp$ )

→ skip **either** one based on a minimum cost **heuristic**



### Two Benchmark Sets:

- **SMT'12: 149** benchmarks  
all **non-extensional** QF\_AUFBV benchmarks in SMTCOMP'12
  - **Selected: 173** benchmarks  
all **non-extensional** QF\_AUFBV benchmarks (13696) in the SMT-LIB  
(pre-SMTCOMP'14) for which Boolector<sub>sc</sub> required at least **10 seconds**
- 58 benchmarks shared between both sets
- all experiments on 2.83 GHz Intel Core 2 Quad machines with 8GB RAM running Ubuntu 12.04
- **time limit:** 1200 seconds, **memory limit:** 7GB

# Experimental Evaluation

Overview

**Overall** results on sets **SMT'12** and **Selected**.

	Solver	Solved (sat/unsat)	TO	MO	Time [s]	DS [s]
<i>SMT'12</i>	Boolector <sub>sc</sub>	140 (83/57)	9	0	15882	-
	Boolector <sub>ba</sub>	141 (83/58)	8	0	19312	-
	Boolector <sub>ju</sub>	142 (84/58)	7	0	15709	-
	Boolector <sub>dp</sub>	142 (84/58)	7	0	20992	5045
<i>Selected</i>	Boolector <sub>sc</sub>	116 (72/44)	50	7	85863	-
	Boolector <sub>ba</sub>	121 (76/45)	45	7	76104	-
	Boolector <sub>ju</sub>	130 (85/45)	36	7	63202	-
	Boolector <sub>dp</sub>	130 (85/45)	36	7	66991	4705

TO ... time out

MO ... memory out

Time ... total CPU time

DS ... dual solver overhead

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TO ... time out

MO ... memory out

Time ... total CPU time

DS ... dual solver overhead

- **SMT'12**: 1 additional instance (sat)
- **Selected**: 9 additional instances (all sat)

# Experimental Evaluation

## Commonly Solved Instances

Results for **commonly solved** instances on sets **SMT'12** and **Selected**.

	Solver	Time [s]			SAT [s]			DS overhead [s]			LOD		
		Total	Avg.	Med.	Total	Avg.	Med.	Total	Avg.	Med.	Total	Avg.	Med.
<i>SMT'12</i>	Boolector <sub>sc</sub>	4129	29	2	3662	26	0	-	-	-	30741	221	0
	Boolector <sub>ba</sub>	8564	61	6	7262	52	1	-	-	-	33013	237	0
	Boolector <sub>ju</sub>	6362	45	4	5226	37	0	-	-	-	23660	170	0
	Boolector <sub>dp</sub>	10145	72	5	4700	33	0	4109	29	0	33492	240	0
<i>Selected</i>	Boolector <sub>sc</sub>	15037	133	35	12836	113	34	-	-	-	104646	926	175
	Boolector <sub>ba</sub>	10001	88	35	8330	73	22	-	-	-	31752	280	88
	Boolector <sub>ju</sub>	8182	72	29	6639	58	19	-	-	-	28215	249	28
	Boolector <sub>dp</sub>	10838	95	30	6164	54	15	3036	26	0	24866	220	29

Time ... total CPU time

SAT ... SAT solver runtime (primal solver)

DS overhead ... dual solver overhead

LOD ... number of lemmas generated

- **SMT'12**: 139 (out of 149) benchmarks, 82 sat, 57 unsat  
→ not representative:  
~50% solved without a single refinement iteration
- **Selected**: 113 (out of 173) benchmarks, 70 sat, 43 unsat

# Experimental Evaluation

## Commonly Solved Instances

Results for **commonly solved** instances on sets **SMT'12** and **Selected**.

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LOD ... number of lemmas generated

- Boolector<sub>sc</sub> implements old LOD engine
  - new engine (Boolector<sub>ba</sub>) struggles on a small set of benchmarks
  - needs further investigation

# Experimental Evaluation

## Commonly Solved Instances

Results for **commonly solved** instances on sets **SMT'12** and **Selected**.

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Time ... total CPU time

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LOD ... number of lemmas generated

- sat solver runtime (**SAT**)

→ **Boolector<sub>dp</sub>** most notable improvement on both sets

# Experimental Evaluation

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Results for **commonly solved** instances on sets **SMT'12** and **Selected**.

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Time ... total CPU time

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LOD ... number of lemmas generated

- number of lemmas generated (**LOD**)
  - SMT'12:
    - Boolector<sub>ju</sub> least number of lemmas
    - Boolector<sub>dp</sub> and Boolector<sub>ba</sub> approx. the same  
→ on 14 instances 1.5-2.6 x more lemmas than Boolector<sub>ba</sub>
  - Selected: Boolector<sub>dp</sub> most notable improvement

# Experimental Evaluation

## Commonly Solved Instances

Results for **commonly solved** instances on sets **SMT'12** and **Selected**.

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Time ... total CPU time

SAT ... SAT solver runtime (primal solver)

DS overhead ... dual solver overhead

LOD ... number of lemmas generated

- **dual solver overhead** ~30-40% in total
  - on  $\leq 10\%$  of the benchmarks 50-70% of the total runtime
  - on  $> 50\%$  of the benchmarks  $< 10\%$  of the total runtime

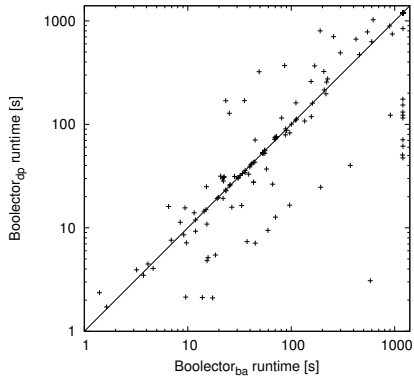
→ Boolector<sub>dp</sub> outperforms others disregarding DS overhead

→ **online** dual propagation approach: DS overhead **negligible**

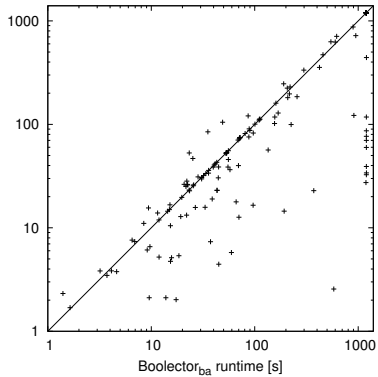


## Experimental Evaluation

Boolector<sub>dp</sub> vs Boolector<sub>ba</sub>



DS overhead included



DS overhead **not** included

## Conclusion

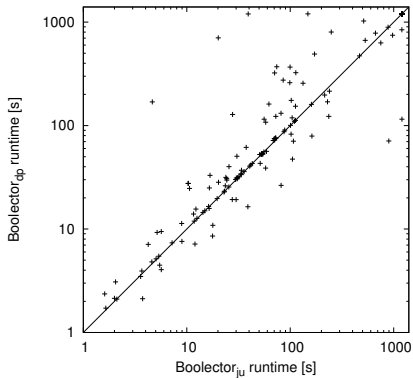
- **dual propagation-based** optimization for Lemmas on Demand
  - **don't care reasoning** on full candidate models improves performance
  - our **offline** dual propagation-based approach competitive (in spite of introducing considerable overhead)
    - **Boolector<sub>ju</sub>** won QF\_ABV track of SMTCOMP'14
    - **Boolector<sub>dp</sub>** came in close second

**Future work:** **online** dual propagation approach, promises

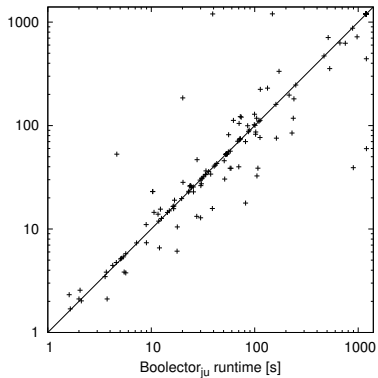
- negligible or no **dual solver overhead**
- further improvement of overall performance by enabling partial model extraction even **before** a full candidate model has been generated
- requires **interleaved** execution between primal and dual solver

## Appendix

Boolector<sub>dp</sub> vs Boolector<sub>ju</sub>



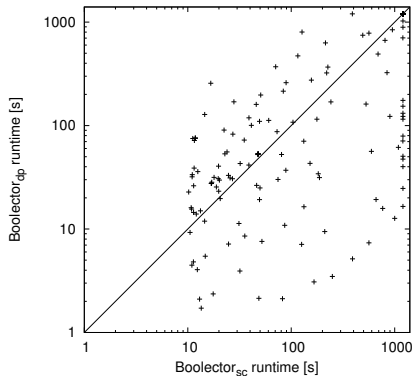
DS overhead included



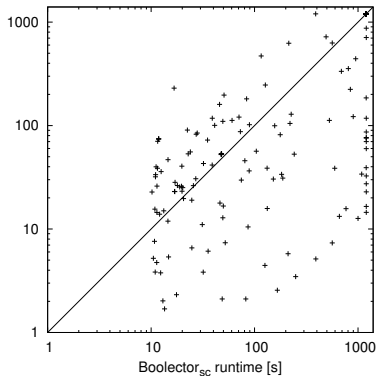
DS overhead **not** included

## Appendix

Boolector<sub>dp</sub> vs Boolector<sub>sc</sub>










DS overhead included



DS overhead **not** included

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