



A Self-Verifying Theorem Prover

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Computer-checked proofs can be trusted

if

1. We guard against computer mistakes
2. We have confidence in the logic
3. We believe our program is **sound**
(it only accepts theorems)

Can we establish, **in advance**, that a useful theorem prover is sound?

The Milawa theorem prover

Goal-directed proof search

Rewriter with many features

- Assumptions system

- Calculation of ground terms

Case splitting into subgoals

“Destructor elimination,” generalization, use of equalities

Induction

Has carried out **large, complex proofs**

To show Milawa is sound, we

1. **Define** provability for our logic
2. **Model** the theorem prover
3. **Prove** it only accepts theorems

Milawa finds the
proof

“Self-verifying”

A simple program
checks the proof

Avoids “I never lie”

A challenge

Formal proofs are long. Soundness is hard.

Is a formal proof possible?

We separate the challenge of **finding** the proof from **constructing** it.

To manage proof size, we develop and verify a **series** of increasingly capable proof checkers.

Road Map

1. The simple program

- a. The Milawa logic
- b. Level 1 proof checker
- c. The command loop
- d. Higher-level proof checkers

3. Building the proof

- a. Fully expansive Milawa
- b. Higher-level proof checkers
- c. Layering the proof
- d. Checking the proof

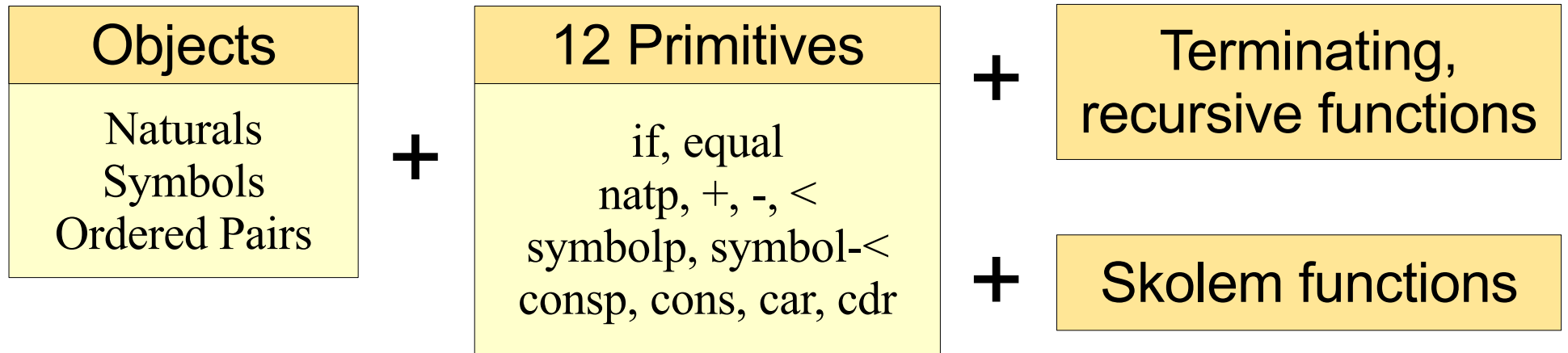
2. Self-verification

- a. Building proofs
- b. Verifying proof techniques
- c. Planning the proof
- d. Following the plan

4. Conclusions

- a. Review of the proposal
- b. Related work
- c. Contributions

1-a. The Milawa logic



No type system, functions are total

Similar to the ACL2 logic

Rules of inference, axioms

Propositional
Schema

$$\frac{}{\neg A \vee A}$$

Contraction

$$\frac{A \vee A}{A}$$

Expansion

$$\frac{A}{B \vee A}$$

Associativity

$$\frac{A \vee (B \vee C)}{(A \vee B) \vee C}$$

Cut

$$\frac{A \vee B \quad \neg A \vee C}{B \vee C}$$

Instantiation

$$\frac{A}{A/\sigma}$$

Induction

Reflexivity Axiom

$$x = x$$

Equality Axiom

$$x_1 = y_1 \rightarrow x_2 = y_2 \rightarrow x_1 = x_2 \rightarrow y_1 = y_2$$

Referential Transparency

$$x_1 = y_1 \rightarrow \dots \rightarrow x_n = y_n \rightarrow f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$$

Beta Reduction

$$((\lambda x_1 \dots x_n . \beta) t_1 \dots t_n) = \beta/[x_1 \leftarrow t_1, \dots, x_n \leftarrow t_n]$$

Base Evaluation

$$\text{e.g., } 1+2 = 3$$

52 Lisp Axioms

$$\text{e.g., } \text{consp}(\text{cons}(x, y)) = t$$

The logic as a programming language

Logical functions can be implemented in Lisp

Naturals
Symbols
Ordered Pairs

if, equal
natp, +, -, <
symbolp, symbol-<
consp, cons, car, cdr

Terminating,
recursive functions

Skolem functions

Lisp Integers (arbitrary precision)

Lisp Symbols

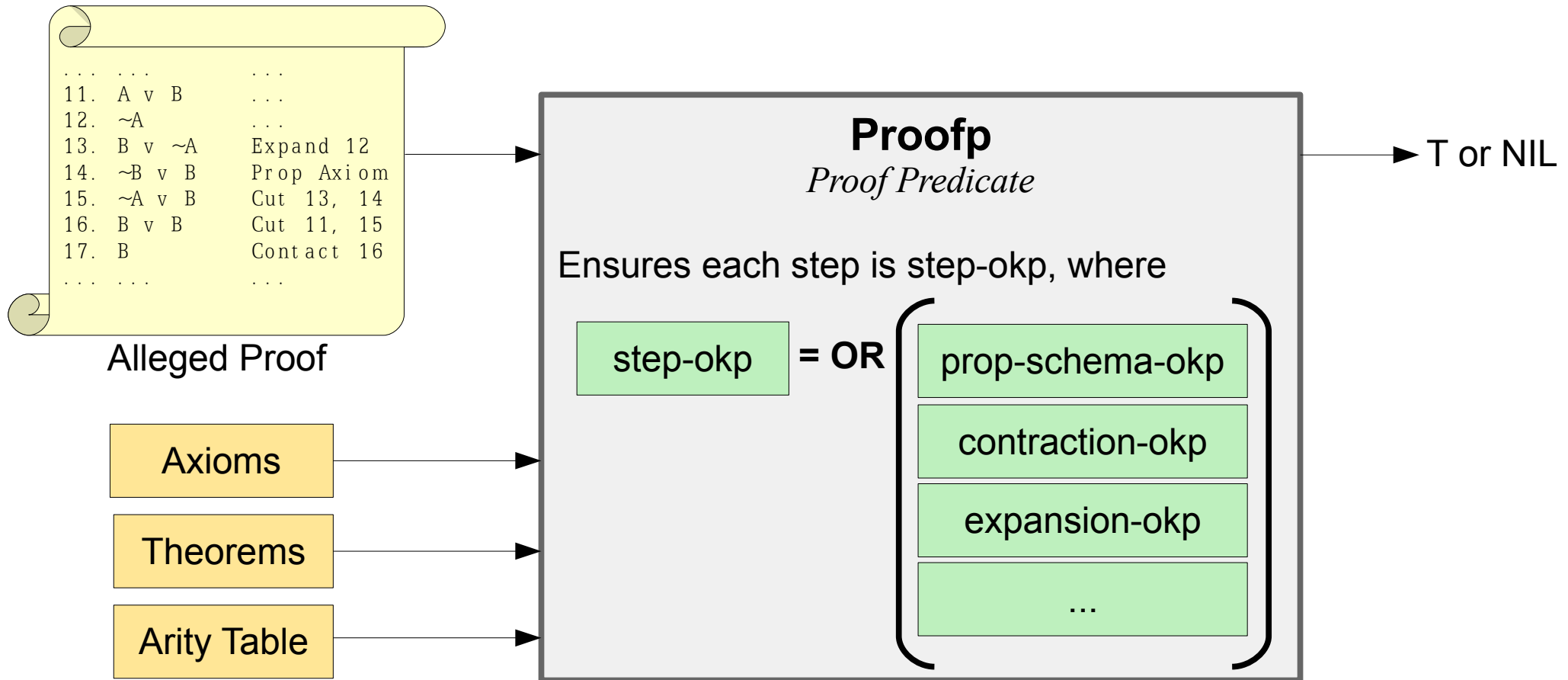
Lisp Conses

```
(defun MILAWA::car (x)
  (if (consp x) (car x) nil))
```

```
(defun f (...
  (... (f ...) ...))
```

```
(defun skolem (...
  (error "Called skolem function."))
```

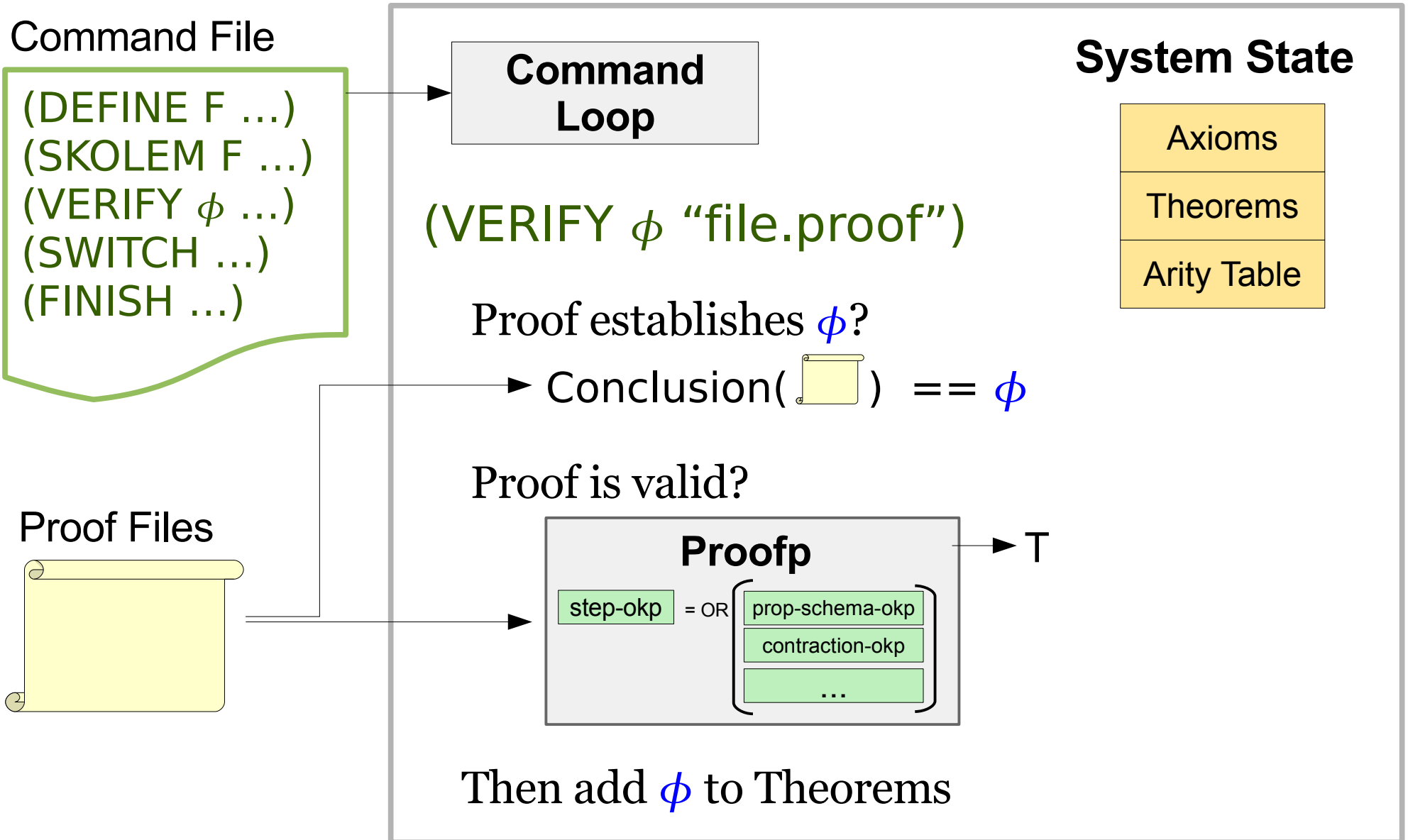
1-b. The level 1 proof checker



ϕ is **provable** when

$$\exists p : \text{Proofp}(p) \wedge \text{Conclusion}(p) = \phi$$

1-c. The command loop



1-d. Higher-level proof checkers

(SWITCH New-Proofp)

Soundness theorem for **New-Proofp**

If:

P is a proof structure concluding ϕ , and
New-Proofp(**P**, *axioms*, *thms*, *atbl*)

Then:

Provablep(ϕ , *axioms*, *thms*, *atbl*)

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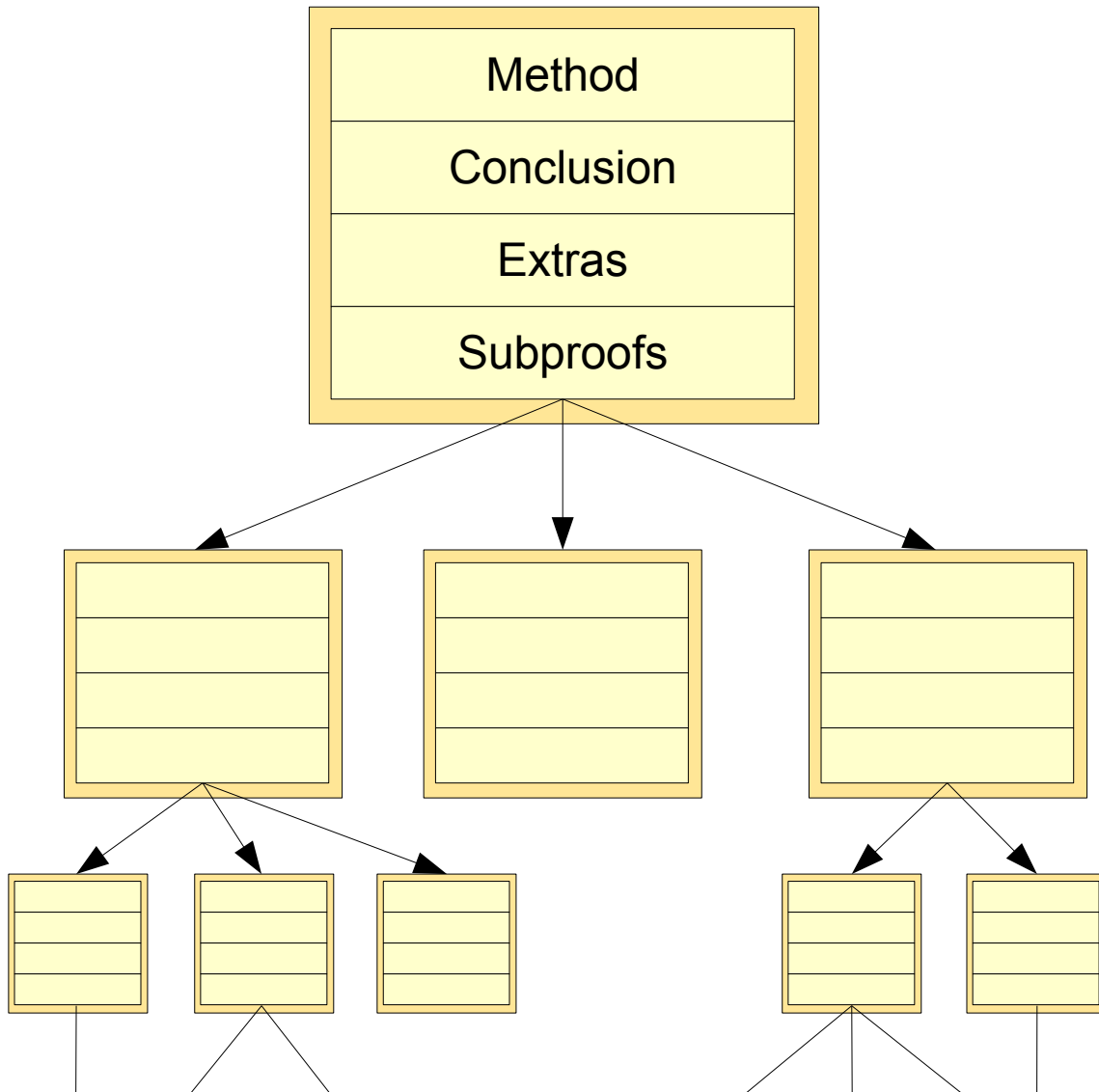
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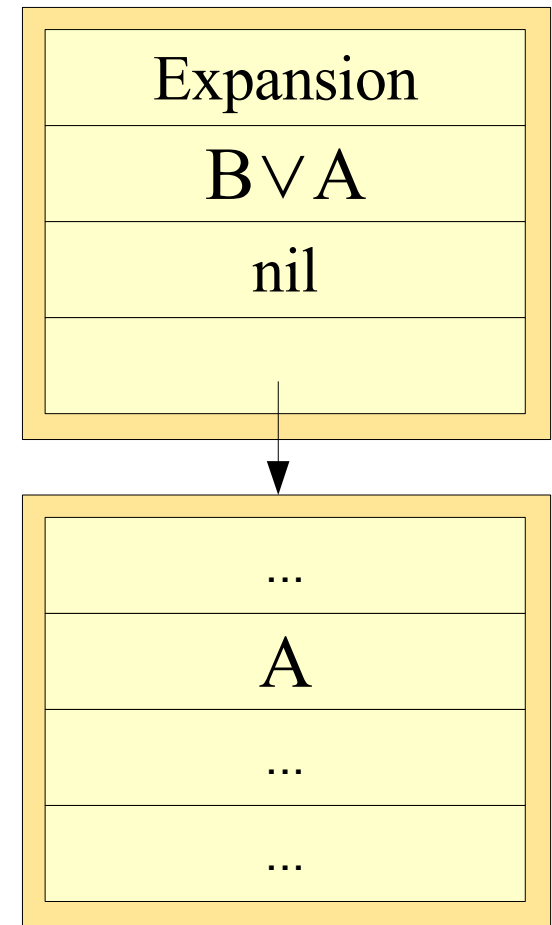
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2-a. Building proofs

Proof representation



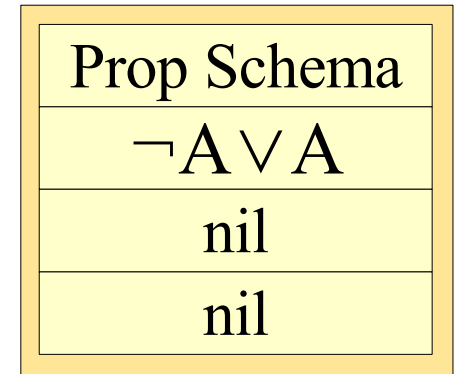
Expansion $\frac{A}{B \vee A}$



Primitive proof builders

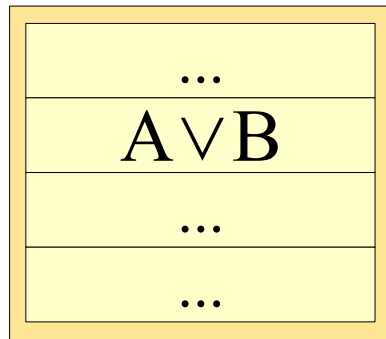
Propositional Schema $\frac{}{\neg A \vee A}$

`build.propositional-schema(A) =`

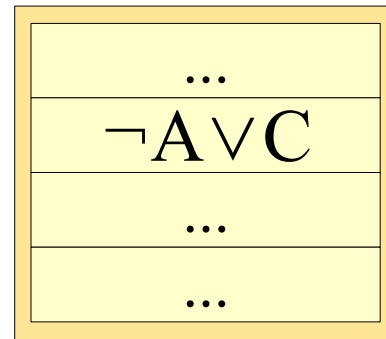


Cut $\frac{A \vee B \quad \neg A \vee C}{B \vee C}$

`build.cut(`

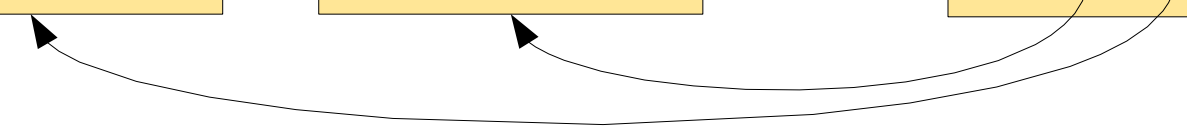
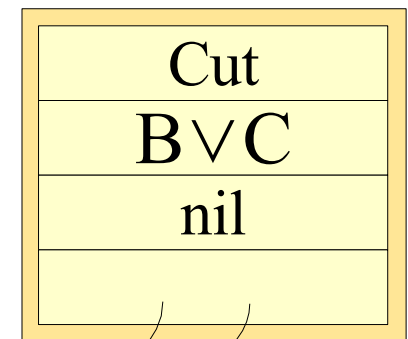


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)

=

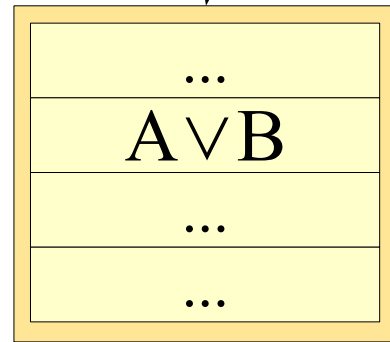


Non-primitive builders

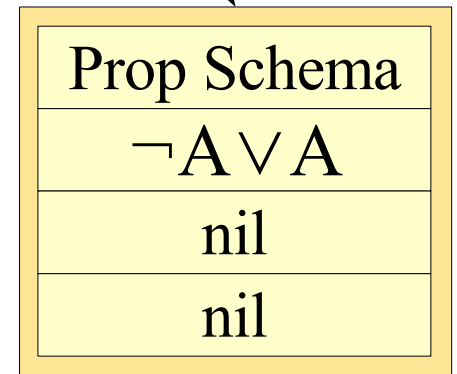
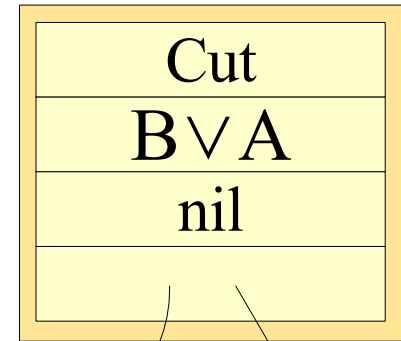
Commute Or

1. $A \vee B$ Given
2. $\neg A \vee A$ Propositional Schema
3. $B \vee A$ Cut 1, 2

`build.commute-or(`



) =



`build.commute-or(x) =`

Let $a = \text{lhs}(\text{conclusion}(x))$

`build.cut(x, build.propositional-schema(a))`

The Three Theorems

Given suitable inputs, we prove each builder is

Well Typed: it builds a proof structure

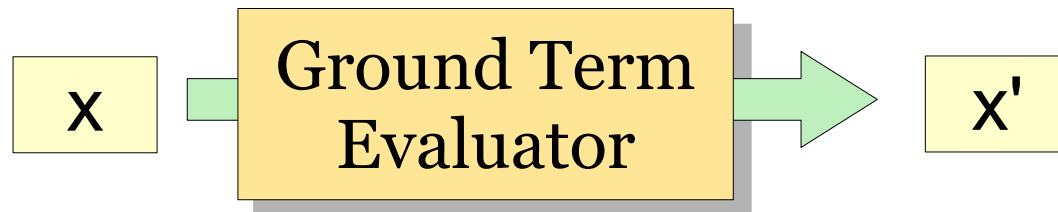
Relevant: the proof has the desired conclusion

Sound: the proof is accepted by Proofp

These **compose** and allow us to treat builders as **black boxes**

2-b. Verifying proof techniques

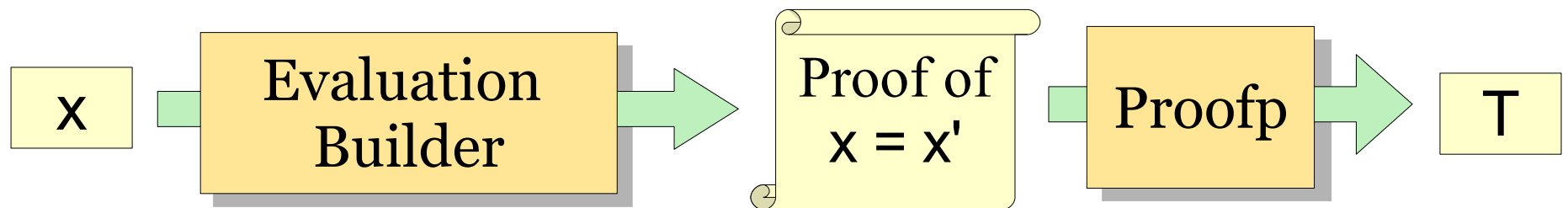
Introduce the technique



Soundness claim
 $x = x'$ is provable

Introduce a fully-expansive version

Establish it is well-typed, relevant, and sound



Many similarities to LCF systems

2-c. Planning the proof

We develop a plan of the proof in ACL2

```
(DEFUN ... )  
(DEFUN ... )  
(DEFTHM ... )  
(DEFUN ... )  
(DEFTHM ... )  
(DEFTHM ... )  
(DEFUN ... )  
(DEFTHM ... )  
(DEFTHM ... )  
(DEFTHM ... )  
...
```

A long sequence of **events**
2,700 definitions
11,600 theorems

Basic utilities (lists, arith, ...)
Logical concepts
Builder library
Clauses, clause splitting
Rewriting
Tactic system

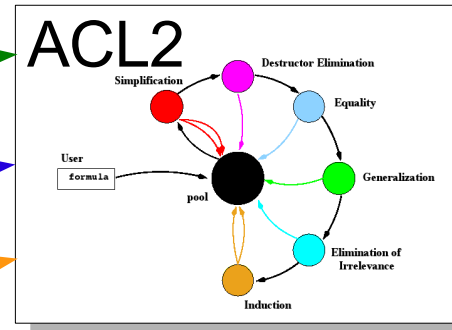
2-d. Following the plan

(DEFUN . . .)
(DEFUN . . .)
(DEFTHM . . .)

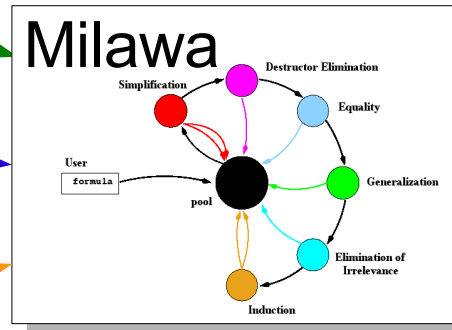
(DEFTHM . . .)

(DEFUN . . .)
(DEFTHM . . .)
(DEFTHM . . .)
(DEFTHM . . .)

. . .



ACL2 Hints



Milawa Hints

Q.E.D.

Q.E.D.

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3. Building the proof

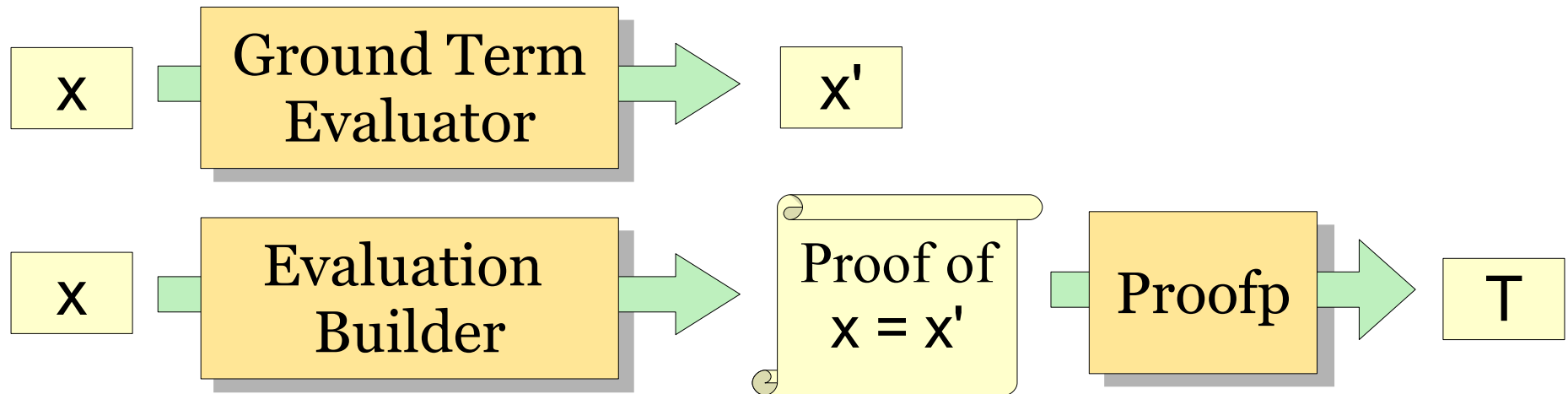
- a. Fully expansive Milawa
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- b. Related work
- c. Contributions

3-a. Fully expansive Milawa

Recall how we verified our proof techniques



Easy to develop a **fully expansive** version of Milawa

A strategy for formalizing the proof

(DEFUN ...)
(DEFUN ...)
(DEFTHM ...)

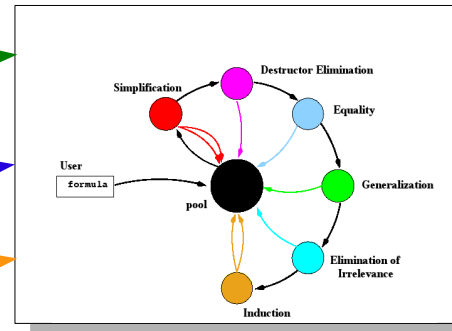
(DEFTHM ...)

Milawa Hints

(DEFUN ...)
(DEFTHM ...)
(DEFTHM ...)
(DEFTHM ...)

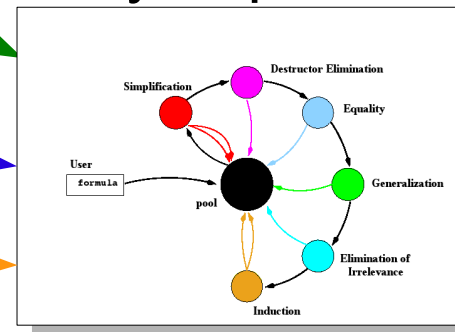
...

Milawa



Q.E.D.

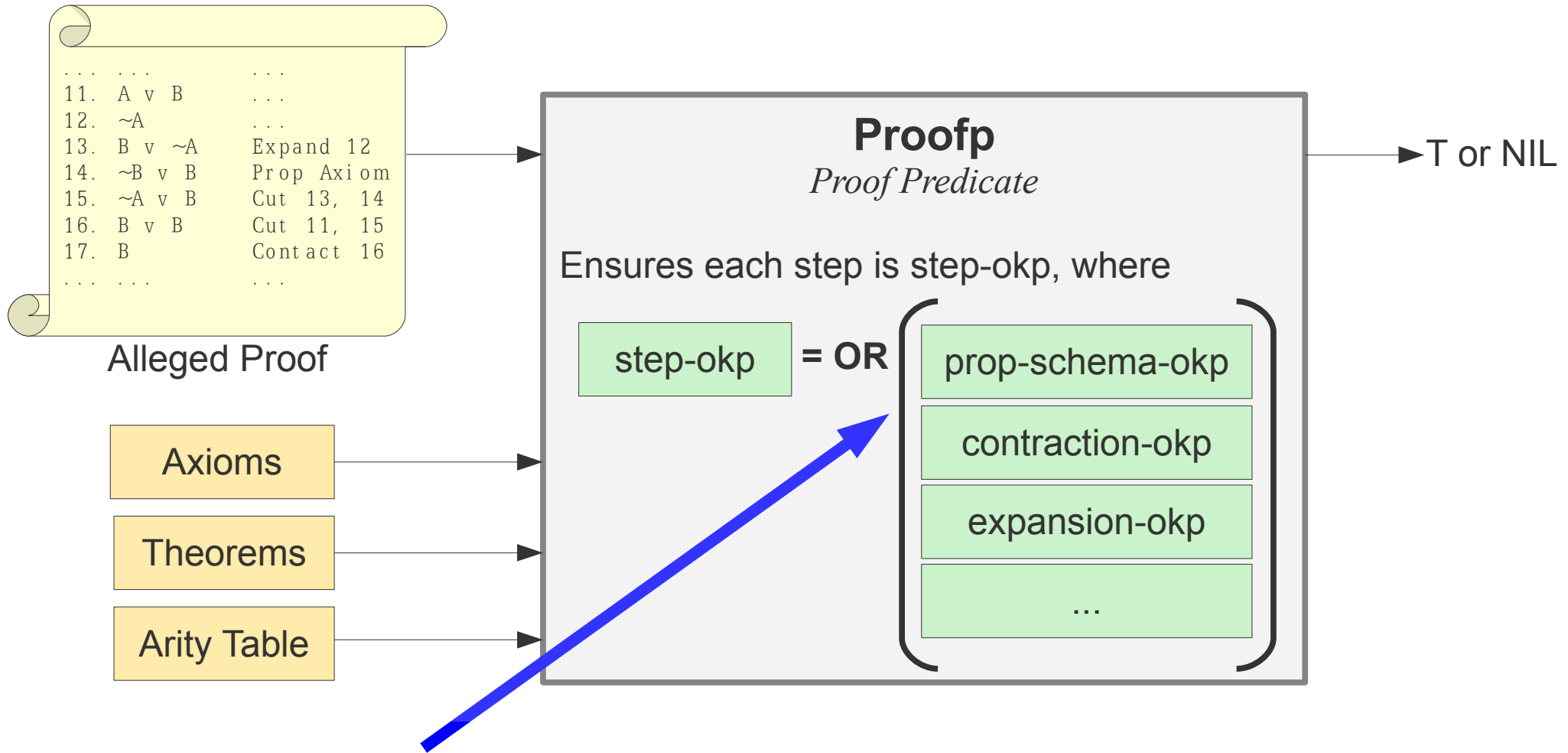
Fully Expansive Milawa



Proof Object



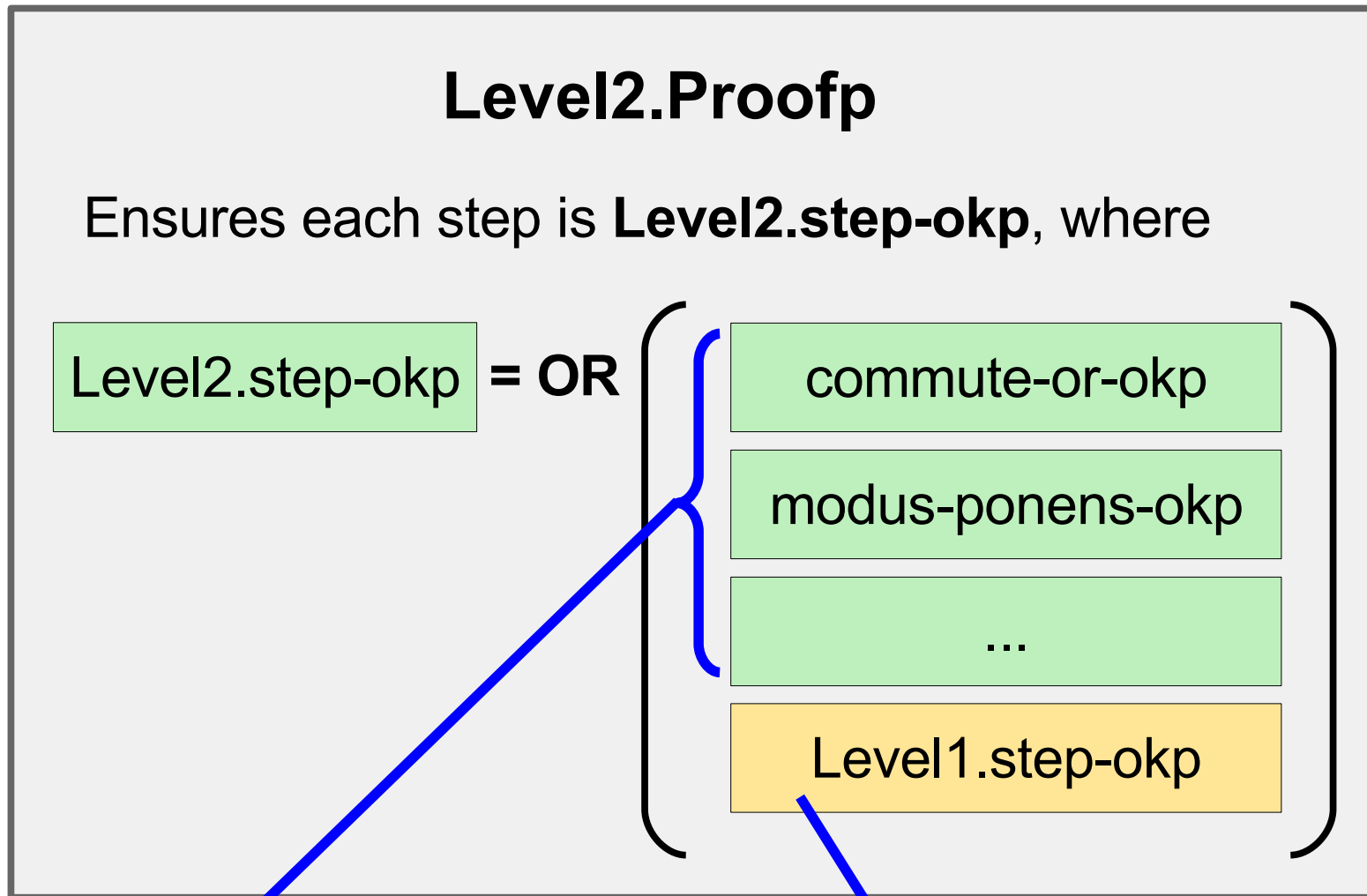
3-b. Higher-level proof checkers



Accepts only primitive rules

Good for trust, bad for proof size

Writing new proof checkers



New Rules

Old Rules

Verifying higher-level proof checkers

Our simple program can't use the new proof checker until we prove it is sound

If:

\mathbf{P} is a proof structure concluding ϕ , and
 $\text{New-Proofp}(\mathbf{P}, \text{axioms}, \text{thms}, \text{atbl})$

Then:

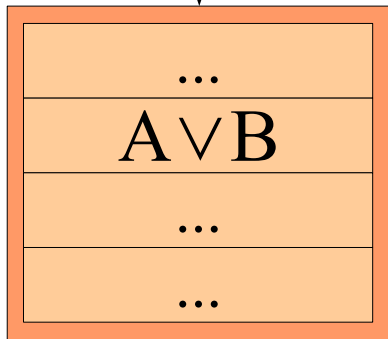
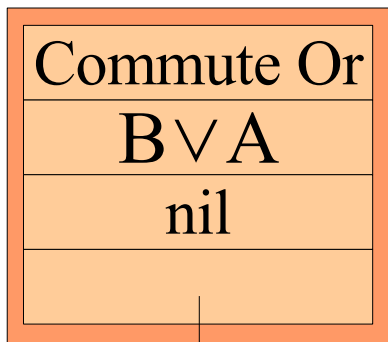
$\text{Provablep}(\phi, \text{axioms}, \text{thms}, \text{atbl})$

But now this is easy! (next slide)

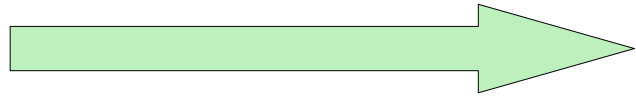
Proving the soundness theorem

Show how to compile any high-level step into a Level 1 proof

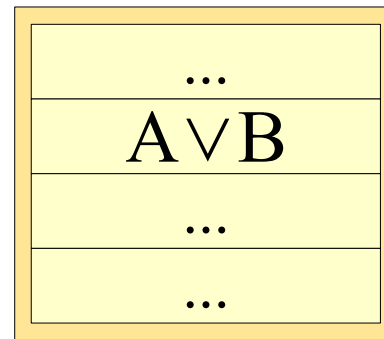
Level 2 Proof



Inductive
Construction



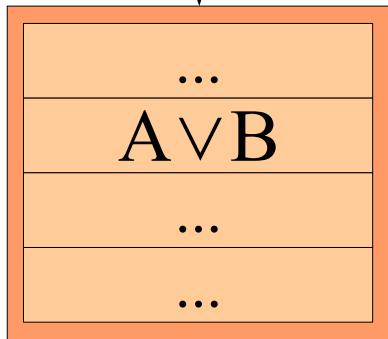
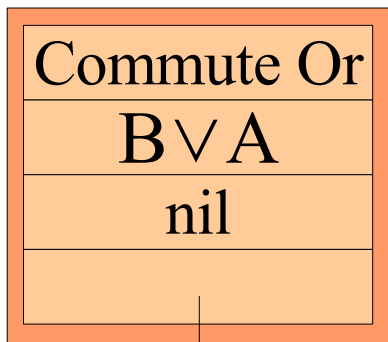
Level 1 Proof



Proving the soundness theorem

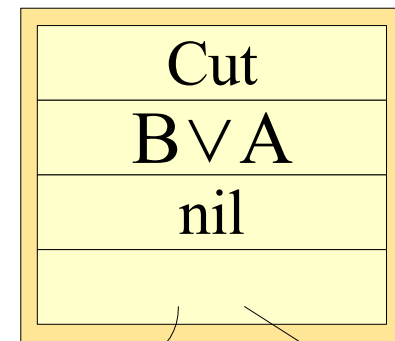
Show how to compile any high-level step into a Level 1 proof

Level 2 Proof

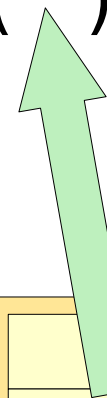
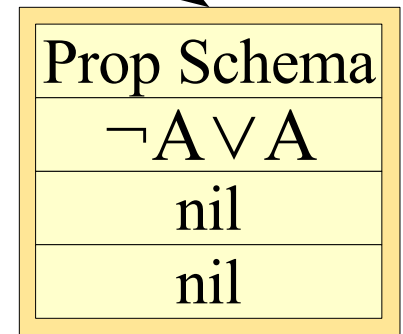
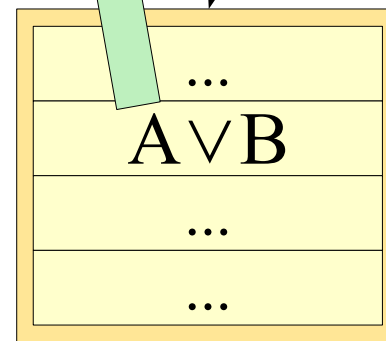


`build.commute-or() =`

Level 1 Proof

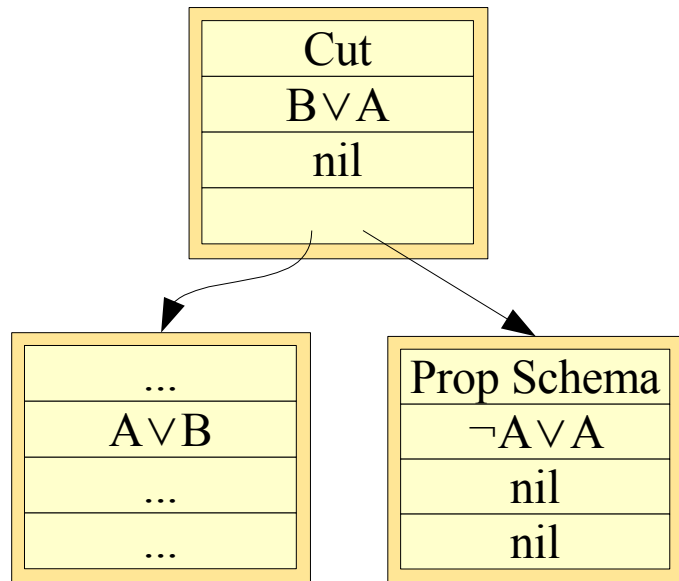


Inductive
Construction

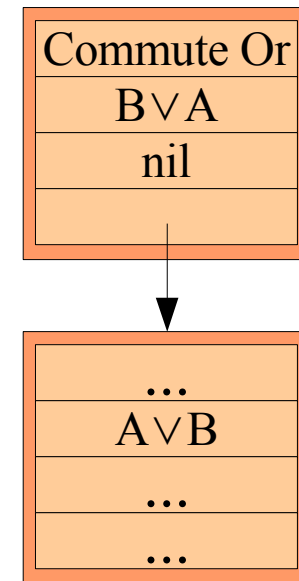


Emitting high-level proofs

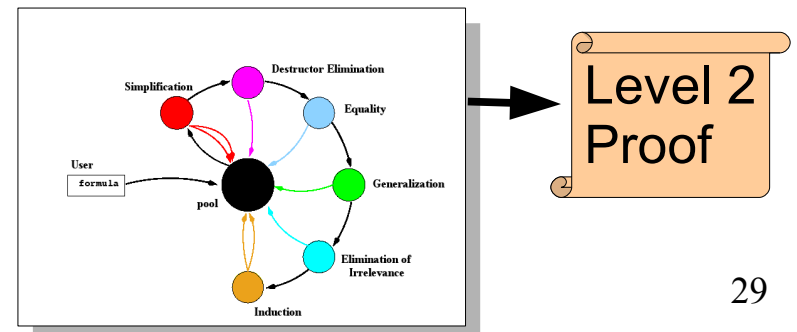
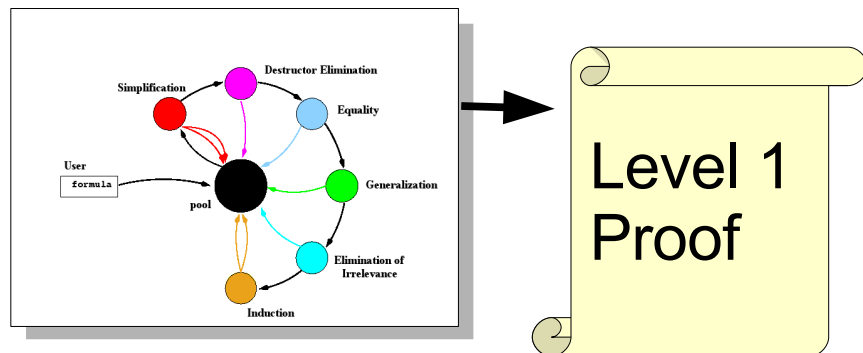
build.commute-or



build.commute-or-high



Fully Expansive Milawa



3-c. Layering the proof

Level 1	The Primitives
Level 2	Propositional reasoning
Level 3	Rules about primitive functions
Level 4	Miscellaneous groundwork
Level 5	Assms. traces, updating clauses
Level 6	Factoring, splitting help
Level 7	Case splitting
Level 8	Rewriting traces
Level 9	Unconditional rewriting
Level 10	Conditional rewriting
Level 11	All tactics

Effects of layering

A “hard” lemma toward level 3

Level	1	2	3	4	5	6	7	8	9	10	11
Search (s)	39.4	39.4	39.4	39.4	39.4	39.4	39.4	39.4	39.0	12.3	12.3
Build (s)	406	226	117	106	102	101	101	0.8	0.5	.04	.008
Size (MC)	3,681	441	234	62	53	38	36	76	76	.8	.8
Check (s)	11,440	2,968	914	433	408	342	332	50	50	12.8	12.6

A “moderate” lemma toward level 8

Level	1	2	3	4	5	6	7	8	9	10	11
Search (s)	354	354	354	354	354	354	354	354	354	346	346
Build (s)	∅	6,238	2,879	2,279	2,157	1,482	768	691	167	65	8
Size (MC)	∅	8,289	4,310	1,117	1,049	426	222	171	129	58	27
Check (s)	∅	31,451	5,323	2,816	3,120	2,737	1,874	1,430	440	457	163

3-d. Final checking of the proof

The proof files total 9 GB, uncompressed

We successfully checked all proofs on these machines, using Clozure Common Lisp

Jordan	My home computer	Intel Core 2 Duo	13.8 hrs
Cele	Apple MacBook	Intel Core 2 Duo	19.8 hrs
Lhug-3	HP server	AMD Opteron	31.2 hrs

Many proofs were also checked on these, and other machines, with different lisps

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4-a. Review of the proposal

The proposal describes

The logic and proof checker

The approach to building proofs

The introduction and verification of extended proof checkers

The verification of Level 2 proof checker (with one rule)

I proposed to

Explain why the logic is reasonable and why the simple program is sound. (See Chapters 2-4)

Use this approach to verify a theorem prover that implements clausification (case splitting), evaluation, equality reasoning, conditional rewriting, destructor elimination. (See Chapters 5-12)

4-b. Related work

Other ways to develop theorem provers

Boyer-Moore theorem provers

LCF-style theorem provers

Constructive type theory provers

Embedding proof checkers in a logic

Gödel's proof, Shankar's formalization

Mechanically verifying proof checkers

Harrison (HOL Light's core), von Wright (imperative proof checker)

Independently checking proofs

McCune/Shumsky (Ivy), Obua/Skalberg (HOL to Isabelle/HOL)

Meta-reasoning in other systems

Metafunctions, reducibly equal terms in Coq, ...

4-c. Contributions

A new approach to developing trustworthy theorem provers

- Does not require fully expansive proofs

- Demonstrates how Boyer-Moore theorem provers may be verified

Verified many theorem proving algorithms

- Applications in other theorem provers with meta-reasoning capabilities

Additional contributions

A flexible proof representation

Many kinds of objects are treated as proofs
(rewrite traces, equivalence traces, proof skeletons)

An extensible proof representation

Verifying new kinds of proof steps can improve
efficiency of proof construction and checking

Potential target for other systems

Thanks!