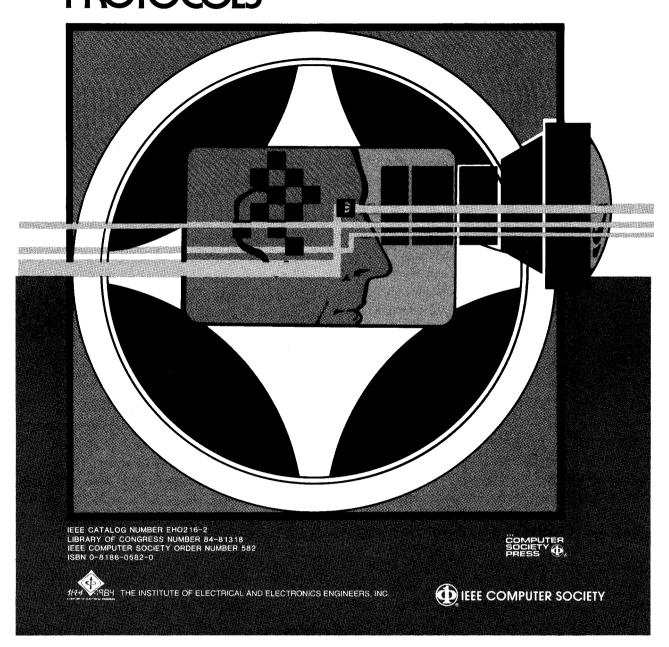
TUTORIAL:

PRINCIPLES OF COMMUNICATION AND NETWORKING PROTOCOLS

Simon S. Lam



PREFACE

For a computer communication network to provide communication services with desirable characteristics to its users and to utilize its resources efficiently, many protocols are needed. Such protocols are implemented as collections of parallel programs. Like any large programming system, the development of the protocols of a large network will benefit from a structured approach. Technical issues in the design and construction of protocols for computer communication networks constitute the subject matter of this tutorial. Protocols for most categories of packetswitching networks (local area nets, wide area nets and internets) are considered. This book is. however, different from the two other IEEE Computer Society tutorials in the general area of networking (Local Computer Networks by Thurber and Freeman and Computer Networks by Abrams and Cotton). Our emphasis is on the technical problems encountered in the design and construction of protocols and on solutions to these We strive to illustrate fundamental problems. principles for understanding protocols. For example, we do not present the open systems interconnection (OSI) model as the architectural model. Instead, we discuss protocol structuring principles (in Chapter 1). The OSI model is then presented as one of many models that can be derived from an application of such principles.

This book is organized into seven chapters. Chapter 1 presents fundamentals of computer communication networks. Chapter 2 covers data link control protocols. Chapter 3 covers multiple access protocols. Chapter 4 discusses local area networks. Chapter 5 addresses resource allocation problems and solution techniques in store-and-forward networks with point-to-point links. Chapter 6 covers communication protocols for wide area networks and internetworks. Chapter 7 presents some models and methods for protocol verification and construction. Each chapter begins with several sections of original text, followed by a list of references and a set of reprinted articles.

We attempt to point out in the original text how the referenced articles and the reprinted articles in each chapter fit into some general framework and how different ideas and techniques relate to each other.

Most of the reprinted articles have been used in graduate courses on data communications and on network protocols that I have taught at the University of Texas at Austin over the past seven years. This book is intended to be a tutorial text and not a reprint collection of seminal articles and articles describing important developments for the first time. Although some of the reprinted articles are indeed seminal papers, many of the reprinted articles were chosen on the basis of their subject coverage, their depth of coverage, and writing style. For example, the Shoch-Dalal-Redell-Crane article on Ethernet is reprinted rather than the seminal paper by Metcalfe and Boggs. The reference lists also tend to be representative rather than bibliographical in nature. We believe that they provide an adequate starting set for newcomers to this field. But there is no doubt that we have left out numerous important articles in the literature.

This book should be appropriate as the text or a source of supplemental readings for a graduate-level course on computer communication networks. It should be valuable also as a reference for systems engineers and analysts who desire an understanding of the technical principles that underlie the design of communication network software and the performance tradeoffs involved in its design.

All of the original text and the majority of the reprinted articles can be read without any special background in mathematics. However, some experience with computer programming (in any high-level language) is helpful. Many of the reprinted articles in Chapters 3 and 7 and some in Chapter 5 do require a certain amount of background in calculus and basic probability theory. These articles are placed at the end of those chapters and can be skipped by the reader if so desired.

I wish to express my appreciation to the authors and publishers of the articles we have reprinted. I would also like to thank the Com-

puter Society Press editor, Professor Chuan-Lin Wu, and the reviewers for their valuable inputs.

The final packaging of this tutorial was done by Margaret Brown of the Computer Society Press. The original text was typed by K. F. Carbone of the University of Texas at Austin. Both of them should be congratulated for a job well done.

Simon S. Lam

Table of Contents

Prefaceii
Chapter 1: Fundamentals of Computer Communication Networks
1.1: The Evolution of Packet Switching
1.2: A Decade of Network Development
1.3: Networks for the Nineties
Chapter 2: Data Link Control Protocols
2.1: Data Link Control Procedures
2.2: Specification and Verification of an HDLC Protocol with ARM Connection Management and Full-Duplex Data Transfer
A.U. Shankar and S.S. Lam (The Proceedings of the ACM SIGCOMM '83 Symposium, March 1983, pages 38-48)
Chapter 3: Multiple Access Protocols
3.1: Multiple Access Protocols
3.2: Local-Area Subnetworks: A Performance Comparison
3.3: A Carrier Sense Multiple Access Protocol for Local Networks
Performance Evaluation
3.5: Dynamic Control Schemes for a Packet Switched Multi-Access
Broadcast Channel
3.6: An Optimal Adaptive Scheme for Multiple Access Broadcast Communication
3.7: Generalized TDMA: The Multi-Accessing Tree Protocol. 200 J.I. Capetanakis (IEEE Transactions on Communications, October 1979, pages 1476-1484)
Chapter 4: Local Area Networks 209 S.S. Lam
4.1: Evolution of the Ethernet Local Computer Network

4.2: Why a Ring?
Data Communications Symposium, 1981, pages 211-217) 4.3: A Token-Ring Architecture for Local-Area Networks: An Update
1982, pages 615-624) Chapter 5: Resource Allocation in Store-and-Forward Networks with
Point-to-Point Links
5.1: The New Routing Algorithm for the ARPANET
5.2: Explicit Path Routing in Communications Networks
5.3: Routing Techniques Used in Computer Communication Networks
5.4: Congestion Control of Packet Communication Networks by Input Buffer Limits—A Simulation Study
October 1981, pages 733-742) 5.5: Flow Control: A Comparative Survey
April 1980, pages 553-574) 5.6: Queuing Network Models of Packet Switching Networks: Part 1: Open Networks
 J.W. Wong and S.S. Lam (Performance Evaluation, Volume 2, 1982, pages 9-21) 5.7: Queuing Network Models of Packet Switching Networks: Part 2:
Networks with Population Size Constraints
Chapter 6: Network and Internetwork Communication Services
6.1: X.25 Interface and End-To-End Virtual Circuit Service Characteristics
6.2: Issues in Packet-Network Interconnection
6.3: Packet Fragmentation in Inter-Network Protocols
6.4: Inter-Network Naming, Addressing, and Routing
6.5: The ARPA Internet Protocol
6.6: X.75 Internetworking of Datapac and Telenet
6.7: A Protocol for Packet Network Intercommunication
6.8: Reliable Host-to-Host Protocols: Problems and Techniques

6.9: Connection Management in Transport Protocols
6.10: Using Encryption for Authentication in Large Networks
of Computers
December 1978, pages 993-999)
Chapter 7: Formal Methods for Protocol Verification and Construction
7.1: Formal Techniques for Protocol Specification and Verification
7.2: Protocol Verification via Projections
S.S. Lam and A.U. Shankar (IEEE Transactions on Software Engineering, July 1984, pages 325-342)
7.3: An Exercise in Constructing Multi-Phase Communication
Protocols
C.H. Chow, M.G. Gouda, and S.S. Lam (Proceedings of the ACM
SIGCOMM'84 Conference, June 1984)
7.4: Time Dependent Communication Protocols
A.U. Shankar and S.S. Lam