# General Conjunction and Disjunction Rules for *unless*Notes on UNITY: 01-88

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## 1 The Rules

The conjunction and disjunction rules for *unless*, as given in [1], are as follows.

The generalizations of these rules to arbitrary—possibly infinite—sets of unless properties is the subject of this note. These generalizations were discovered independently by Ernie Cohen [2] and Carel S. Scholten [4]. In the following, i is a dummy variable that takes on values from an arbitrary set and p.i, q.i are predicates in which i is free.

## 2 Proofs of the Rules

In a program we have the restriction that every statement is deterministic and execution of any statement in any program state terminates. Then we have,

$$\frac{\langle \forall i :: \{p.i\} \ s \ \{q.i\} \rangle}{\{\langle \forall i :: p.i \rangle\} \ s \ \{\langle \forall i :: q.i \rangle\} ,} 
\{\langle \exists i :: p.i \rangle\} \ s \ \{\langle \exists i :: q.i \rangle\} }$$
(1)

(These facts can be justified by observing that for any s, the weakest precondition function, wp.s, is positively conjunctive, and for deterministic s, wp.s is universally disjunctive. For details see Dijkstra and Scholten [3].)

Furthermore, we have

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$$\frac{p \Rightarrow p', \{p'\} \ s \ \{q'\}, \ q' \Rightarrow q}{\{p\} \ s \ \{q\}} \tag{2}$$

#### 2.1 Proof of the Conjunction Rule

We are given,

We consider an arbitrary statement s in the following proof. Applying (1) we deduce,

$$\{ \langle \forall i :: p.i \land \neg q.i \rangle \}$$
  $s$   $\{ \langle \forall i :: p.i \lor q.i \rangle \}$ 

We are required to show

$$\langle \forall i :: p.i \rangle \ unless \ \langle \forall i :: p.i \lor q.i \rangle \land \langle \exists i :: q.i \rangle$$

That is, for statement s,

Using (2), it is sufficient to show

and,

$$\langle \forall i :: p.i \lor q.i \rangle$$

$$\Rightarrow \langle \forall i :: p.i \lor q.i \rangle \land \langle \exists i :: q.i \rangle ]$$

$$(4)$$

#### Proof of (3)

- antecedent of (3)
- $= \{using deMorgan\}$

$$\langle \forall \ i \ :: \ p.i \rangle \ \land \ [\neg \langle \forall \ i \ :: \ p.i \ \lor \ q.i \rangle \ \lor \ \langle \forall \ i \ :: \ \neg q.i \rangle]$$

 $= \{ \text{distributing} \land \text{over} \lor \}$ 

$$\left[ \langle \forall \ i \ :: \ p.i \rangle \ \land \ \neg \langle \forall \ i \ :: \ p.i \ \lor \ q.i \rangle \right] \ \lor \ \left[ \langle \forall \ i \ :: \ p.i \rangle \ \land \ \langle \forall \ i \ :: \ \neg q.i \rangle \right]$$

= {the first term is false; combining the conjuncts in the second term}  $\forall i :: p.i \land \neg q.i \rangle$ 

# Proof of (4)

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antecedent of (4)

= {idempotence of \land}
\langle \forall i :: p.i \lor q.i \rangle \land \langle \forall i :: p.i \lor q.i \rangle

\Rightarrow {weakening the second term}
\langle \forall i :: p.i \lor q.i \rangle \land [\langle \forall i :: p.i \rangle \lor \langle \exists i :: q.i \rangle]

= {distributing \land over \lor}
[\langle \forall i :: p.i \lor q.i \rangle \land \langle \forall i :: p.i \rangle] \lor [\langle \forall i :: p.i \lor q.i \rangle \land \langle \exists i :: q.i \rangle]

= {simplifying the first term}
\langle \forall i :: p.i \rangle \lor [\langle \forall i :: p.i \lor q.i \rangle \land \langle \exists i :: q.i \rangle]
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#### 2.2 Proof of the Disjunction Rule

The structure of the proof is similar to that for the conjunction rule. For any statement s, from

$$\langle \forall i :: p.i \ unless \ q.i \rangle$$

we have

$$\langle \forall \ i \ :: \ \{p.i \ \land \ \neg q.i\} \ s \ \{p.i \ \lor \ q.i\} \rangle \ .$$

Using the disjunctive form of (1) we get, from the above,

$$\{\langle \exists \ i \ :: \ p.i \ \land \ \neg q.i \rangle\} \ s \ \{\langle \exists \ i \ :: \ p.i \ \lor \ q.i \rangle\} \ .$$

Our goal is to prove,

$$\langle \exists i :: p.i \rangle \ unless \ \langle \forall i :: \neg p.i \lor q.i \rangle \land \langle \exists i :: q.i \rangle$$

i.e., for statement s

$$\{ \langle \exists \ i \ :: \ p.i \rangle \land \neg [ \langle \forall \ i \ :: \ \neg p.i \ \lor \ q.i \rangle \ \land \ \langle \exists \ i \ :: \ q.i \rangle ] \}$$
 
$$\{ \langle \exists \ i \ :: \ p.i \rangle \ \lor \ [ \langle \forall \ i \ :: \ \neg p.i \ \lor \ q.i \rangle \ \land \ \langle \exists \ i \ :: \ q.i \rangle ] \}$$

Using (2), it is sufficient to show that

and,

$$\langle \exists i :: p.i \lor q.i \rangle$$

$$\Rightarrow \langle \exists i :: p.i \rangle \lor [\langle \forall i :: \neg p.i \lor q.i \rangle \land \langle \exists i :: q.i \rangle]$$
(6)

Proof of (5)

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antecedent of (5)
 = \{ \text{deMorgan} \} 
 \langle \exists i :: p.i \rangle \land [\langle \exists i :: p.i \land \neg q.i \rangle \lor \langle \forall i :: \neg q.i \rangle] 
 = \{ \text{distributing} \land \text{over} \lor \} 
 [\langle \exists i :: p.i \rangle \land \langle \exists i :: p.i \land \neg q.i \rangle] \lor [\langle \exists i :: p.i \rangle \land \langle \forall i :: \neg q.i \rangle] 
 \Rightarrow \{ \text{the first conjunct in the first term is implied by the second conjunct; weaken the second term} 
 \langle \exists i :: p.i \land \neg q.i \rangle \lor \langle \exists i :: p.i \land \neg q.i \rangle 
 = \{ \text{idempotence of } \lor \} 
 \langle \exists i :: p.i \land \neg q.i \rangle
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#### Proof of (6)

## 3 Some Derived Results

• The following result generalizes Corollary 5 in Section 3.6.1 in [1]. Its special cases appear several times in [1], in particular in Sections 16.3.2 and 16.5.3.

$$\frac{\langle \forall \ i \ :: \ p.i \ unless \ p.i \ \land \ q.i \rangle}{\langle \forall \ i \ :: \ p.i \rangle \ unless \ \langle \forall \ i \ :: \ p.i \rangle \ \land \ \langle \exists \ i \ :: \ q.i \rangle}$$

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Proof:
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 \langle \forall i :: p.i \ unless \ p.i \ \land \ q.i \rangle , given  \langle \forall i :: p.i \rangle \ unless \ \langle \forall \ i :: p.i \ \lor \ (p.i \land q.i) \rangle \ \land \ \langle \exists \ i :: p.i \land q.i \rangle , conjunction rule  \langle \forall \ i :: p.i \rangle \ unless \ \langle \forall \ i :: p.i \rangle \ \land \ \langle \exists \ i :: p.i \land \ q.i \rangle , simplifying the first term in the right side  \langle \forall \ i :: p.i \rangle \ unless \ \langle \forall \ i :: p.i \rangle \ \land \ \langle \exists \ i :: q.i \rangle , weakening the second term in the right side
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• A dual of the above rule, discovered by Mark Staskauskas, is called *unless*-refinement rule in [5]. Its proof follows by applying the disjunction rule.

$$\frac{\langle \forall \ i \ :: \ p.i \ unless \ \neg p.i \land q.i \rangle}{\langle \exists \ i \ :: \ p.i \rangle \ unless \ \langle \forall \ i \ :: \ \neg p.i \rangle \ \lor \ \langle \exists \ i \ :: \ q.i \rangle}$$

• The following result is the subject of exercise 14.3 in [1]. Let i satisfy  $0 \le i < N$ , and let  $\oplus$  denote addition mod N.

$$\frac{\langle \forall \ i \ :: \ p.i \ unless \ p.(i \oplus 1) \rangle}{\langle \exists \ i \ :: \ p.i \rangle \ unless \ \langle \forall \ i \ :: \ p.i \rangle}$$

Proof:

$$\langle \forall \ i \ :: \ p.i \ unless \ p.(i \oplus 1) \rangle$$
, given

$$\langle\exists~i~::~p.i\rangle~unless~\langle\forall~i~::~\neg p.i~\vee~p.(i\oplus 1)\rangle~\wedge~\langle\exists~i~::~p.(i\oplus 1)\rangle$$
 , disjunction rule

$$\langle \exists \ i \ :: \ p.i \rangle \ unless \ \langle \forall \ i \ :: \ \neg p.i \ \lor \ p.(i \oplus 1) \rangle \ \land \ \langle \exists \ i \ :: \ p.i \rangle$$
 , simplifying the second term

$$\langle \exists \ i \ :: \ p.i \rangle \ unless \ \langle \forall \ i \ :: \ p.i \rangle$$

, using induction to simplify the right side

In a similar manner, exercise 11.3 of [1] may be proven without using explicit induction:

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$$\frac{\langle \forall \ i \ : \ 0 \leq i < N \ :: \ p.i \ \land \ p.(i+1) \ unless \ p.i \ \land \ \neg p.(i+1) \rangle}{\langle \land \ i \ : \ 0 \leq i \leq N \ :: \ p.i \rangle \ unless \ \langle \land \ i \ : \ 0 \leq i < N \ :: \ p.i \rangle \ \land \ \neg p.N}$$

• Let x denote a set of variables of a given program. Suppose p, q do not name k as a free variable.

$$\frac{\langle \forall \ k \ :: \ p \ \land \ x = k \ unless \ (p \ \land \ x \neq k) \ \lor \ q \rangle}{p \ unless \ q}$$

Proof

$$\langle \forall \ k \ :: \ p \ \land \ x = k \ unless \ (p \ \land \ x \neq k) \ \lor \ q \rangle$$

$$\langle \exists \ k \ :: \ p \ \land \ x = k \rangle \ unless \ \langle \forall \ k \ :: \ \neg (p \ \land \ x = k) \ \lor \ (p \ \land \ x \neq k) \ \lor \ q \rangle$$
 
$$\land \langle \exists \ k \ :: \ (p \ \land \ x \neq k) \ \lor \ q \rangle$$

, disjunction rule

$$p \ \textit{unless} \ \langle \forall \ k \ :: \ \neg p \ \lor \ x \neq k \ \lor \ q \rangle \ \land \ \langle \exists \ k \ :: \ (p \ \land \ x \neq k) \ \lor \ q \rangle$$

, in the left side 
$$\langle \exists \ k \ :: \ x = k \rangle$$
 is replaced by  $true$ 

$$p \text{ unless } [\neg p \lor q \lor \langle \forall k :: x \neq k \rangle] \land [\langle \exists k :: (p \land x \neq k) \rangle \lor q]$$

, rewriting both terms in the right side

$$p \ unless \ [\neg p \lor q] \land \ [p \lor q]$$

, replacing  $\langle\forall~k~::~x\neq k\rangle$  by  $\mathit{false}$  in the first term and weakening the second term in the right side

p unless q

• Let R be a transitive relation and x be a program variable.

$$\frac{\langle \forall \ k \ :: \ x = k \ unless \ x \neq k \ \land \ x \ R \ k \rangle}{\langle \forall \ m \ :: \ x \ R \ m \ \text{is stable} \rangle}$$

Proof: Consider any arbitrary constant m.

$$\langle \forall \ k : k \ R \ m :: x = k \ unless \ x \neq k \ \land \ x \ R \ k \rangle$$

, from the antecedent, restricting k for which k R m holds

$$\langle \exists \ k \ : \ k \ R \ m \ :: \ x = k \rangle \ unless \ \langle \forall \ k \ : \ k \ R \ m \ :: \ x \neq k \ \lor \ (x \neq k \ \land \ x \ R \ k) \rangle \land \\ \langle \exists \ k \ : \ k \ R \ m \ :: \ x \neq k \ \land \ x \ R \ k \rangle$$

, disjunction rule

$$x \ R \ m \ unless \ \langle \forall \ k : k \ R \ m :: x \neq k \rangle \ \land \ \langle \exists \ k : k \ R \ m :: x \ R \ k \rangle$$

, simplifying left side and first term in the right side and weakening the second term in the right side

 $x R m unless \neg x R m \wedge x R m$ 

, simplifying the first term in the right side using predicate calculus (Leibniz) and the

second term using the transitivity of R  $x\ R\ m$  is stable , definition of stable

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• A corollary of the above result is, for any partial ordering relation >,

$$\frac{\langle \forall \ k \ :: \ x = k \ unless \ x > k \rangle}{\langle \forall \ k \ :: \ x > k \ \text{is stable} \rangle}$$

• A similar result is, for any function f,

$$\frac{\langle \forall \ k \ :: \ x = k \ unless \ x \neq k \ \land \ f(x) = f(k) \rangle}{\langle \forall \ m \ :: \ f(x) = m \ \text{is stable} \rangle}$$

## 4 References

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