

# Learning a Policy for Opportunistic Active Learning

Aishwarya Padmakumar, Peter Stone and Raymond J. Mooney

Department of Computer Science

University of Texas at Austin

{aish,pstone,mooney}@cs.utexas.edu

## Abstract

Active learning identifies data points to label that are expected to be the most useful in improving a supervised model. Opportunistic active learning incorporates active learning into interactive tasks that constrain possible queries during interactions. Prior work has shown that opportunistic active learning can be used to improve grounding of natural language descriptions in an interactive object retrieval task. In this work, we use reinforcement learning for such an object retrieval task, to learn a policy that effectively trades off task completion with model improvement that would benefit future tasks.

## 1 Introduction

In machine learning tasks where obtaining labeled examples is expensive, active learning is used to lower the cost of annotation without sacrificing model performance. Active learning allows a learner to iteratively query for labels of unlabeled data points that are expected to maximally improve the existing model. It has been used in a number of natural language processing tasks such as text categorization (Lewis and Gale, 1994), semantic parsing (Thompson et al., 1999) and information extraction (Settles and Craven, 2008).

The most commonly used framework for active learning is pool-based active learning, where the learner has access to the entire pool of unlabeled data at once, and can iteratively query for examples. In contrast, sequential active learning is a framework in which unlabeled examples are presented to the learner in a stream (Lewis and Gale, 1994). For every example, the learner can decide whether to query for its label or not. This results in an additional challenge – since the learner cannot compare all unlabeled data points before choosing queries, each query must be chosen based on local information only.

Multilabel active learning is the application of active learning in scenarios where multiple labels, that are not necessarily mutually exclusive, are associated with a data point (Brinker, 2006). These setups often suffer from sparsity, both in the number of labels that are positive for a data point, and in the number of positive data points per label.

Opportunistic active learning incorporates a form of multilabel sequential active learning into an interactive task. It was recently introduced for the task of interpreting natural-language object descriptions, motivated by the task of instructing a robot to retrieve a specific item (Thomason et al., 2017). In this task, a human describes one of a set of objects in unrestricted natural language and the agent must determine which object was described. The agent is allowed to ask questions about other objects in the current environment to obtain labels that allow it to learn classifiers for concepts used in such descriptions. As the questions are restricted to the objects available in the current interaction, the learning process across interactions can be seen as a form of multilabel sequential active learning. Further, the agent can either restrict itself to querying labels relevant to understanding the current description, or be opportunistic and query labels that can only aid future interactions – for example querying whether some object is “round” when trying to understand the description “a red box”.

More generally, in opportunistic active learning, an agent is engaged in a series of sequential decision-making tasks. The agent uses one or more supervised models to complete each task. Each task involves some sampled examples from a given feature space, and the agent is allowed to query for labels of these examples to improve its models for current and future tasks. Queries in this setting have a higher cost than in traditional active learning as the agent may choose to query for la-

bels that are not relevant for the current task, but expected to be of use for future tasks. Such opportunistic queries enable an agent to learn from a greater number of interactions, by allowing it to ask queries that would aid future tasks when it is sufficiently confident of completing the current task. They also allow an agent to focus on concepts that could have more impact than those relevant to the current task – for example by choosing a frequently used concept as opposed to a rare one. Further, identifying which queries are optimal for model improvement is more difficult as the agent does not have access to the entire pool of unlabeled examples at any given time, similar to sequential active learning settings.

Another sample application of opportunistic active learning could be in a task oriented dialog system providing restaurant recommendations to a user. In this case, a possible opportunistic query would be to ask the user for a Chinese restaurant they liked, when the user is searching for an Italian one. The query is not relevant to the immediate task of recommending an Italian restaurant but would improve the underlying recommendation system.

Prior work on using opportunistic active learning in understanding natural-language object descriptions has shown that an agent following an opportunistic policy, that queries for labels not necessarily relevant to the current interaction, learns to perform better at identifying objects correctly over time (Thomason et al., 2017). However, this work only compares static policies that select actions based on manually-engineered heuristics. In this work, we focus on *learning* an optimal policy for this task using reinforcement learning, in the spirit of other recent attempts to learn policies for different types of active learning (Fang et al., 2017; Woodward and Finn, 2017). This allows an agent to choose whether or not to be opportunistic based on the specific interaction as well as the overall statistics of the dataset.

Our learned policy outperforms a static baseline by improving its success rate on object retrieval while asking fewer questions on average. The learned policy also learns to distribute queries more uniformly across concepts than the baseline.

## 2 Related Work

Active learning methods aim to identify examples that are likely to be the most useful in improving a

supervised model. A number of metrics have been proposed to evaluate examples, including uncertainty sampling (Lewis and Gale, 1994), density-weighted methods (Settles and Craven, 2008), expected error reduction (Roy and McCallum, 2001), query by committee (Seung et al., 1992), and the presence of conflicting evidence (Sharma and Bilgic, 2016); as surveyed by Settles (2010). Some of these metrics can be extended to the multilabel setting, by assuming that one-vs-all classifiers are learned for each label, and that all the learned classifiers are comparable (Brinker, 2006; Singh et al., 2009; Li et al.). Label statistics have also been incorporated into heuristics for selecting instances to be queried (Yang et al., 2009; Li and Guo, 2013). There have also been Bayesian approaches that select both an instance and label to be queried (Qi et al., 2009; Vasisht et al., 2014). Our work aims to learn a policy for choosing between queries that can use information from many such indicators, but learns to combine them appropriately for a given task.

Thomason et al. (2017) define the setting of opportunistic active learning, and apply it to an interactive task of grounding natural language descriptions of objects. They compare two static policies to demonstrate that using opportunistic queries improves task performance. We try to learn the optimal policy for this task using reinforcement learning, and compare to a policy similar to theirs.

Recently, there has been interest in using reinforcement learning to learn a policy for active learning. Fang et al. (2017) use deep Q-learning to acquire a policy that sequentially examines unlabeled examples and decides whether or not to query for their labels; using it to improve named entity recognition in low resource languages. Also, Bachman et al. (2017) use meta-learning to jointly learn a data selection heuristic, data representation and prediction function for a distribution of related tasks. They apply this to one shot recognition of characters from different languages, and in recommender systems. In contrast to these works, we learn a policy for a task that contains *both* possible actions that are active learning queries, *and* actions that complete the current task, thus resulting in a greater exploration-exploitation trade-off.

More similar to our setup is that of Woodward and Finn (2017) which uses reinforcement

learning with a recurrent-neural-network-based Q-function in a sequential one-shot learning task to decide between predicting a label and acquiring the true label at a cost. This setup also has a higher cost than standard active learning where the test set is separated out. This is a continuous task without clearly separated interactions or episodes. In our setting, each episode or interaction allows for querying and requires completion of an interaction, which further increases the trade-off between model improvement and exploitation. Further, we consider a multilabel setting, which increases the number of actions at each decision step.

There are other works that employ various types of turn-taking interaction to learn models for language grounding. Some of these use a restricted vocabulary (Cakmak et al., 2010; Kulick et al., 2013), or additional knowledge of predicates (for example that “red” is a color) (Mohan et al., 2012). Others do not use active learning (Kollar et al., 2013; Parde et al., 2015; De Vries et al., 2017; Yu et al., 2017), or do not learn a policy that guides the interaction (Vogel et al., 2010; Thomason et al., 2016, 2017).

Also related to our work is the use of reinforcement learning in dialog tasks, such as slot-filling and recommendation (Wen et al., 2015; Pietquin et al., 2011), understanding natural language instructions or commands (Padmakumar et al., 2017; Misra et al., 2017), and open domain conversation (Serban et al., 2016; Das et al., 2017). These typically do not use active learning. In our task, the policy needs to trade-off model improvement against task completion.

### 3 Opportunistic Active Learning

Opportunistic Active Learning (OAL) is a setting that incorporates active learning queries into interactive tasks. Let  $O = \{o_1, o_2, \dots, o_n\}$  be a set of examples, and  $M = \{m_1, m_2, \dots, m_k\}$  be supervised models trained for different concepts, using these examples. For the problem of understanding natural-language object descriptions,  $O$  corresponds to the set of objects,  $M$  corresponds to the set of possible concepts that can be used to describe the objects, for example their categories (such as *ball* or *bottle*) or perceptual properties (such as *red* or *tall*).

In each interaction, an agent is presented with some subset  $O_A \subseteq O$ , and must make a decision based on some subset of the models  $M_A \subseteq M$ .

Given a set of objects  $O_A$  and a natural language description  $l$ ,  $M_A$  would be the set of classifiers corresponding to perceptual predicates present in  $l$ . The decision made by the agent is a guess about which object is being described by  $l$ . The agent receives a score or reward based on this decision, and needs to maximize expected reward across a series of such interactions. In the task of object retrieval, this is a 0/1 value indicating whether the guess was correct, and the agent needs to maximize the average guess success rate.

During the interaction, the agent may also query for the label of any of the examples present in the interaction  $o \in O_A$ , for any model  $m \in M$ . The agent is said to be opportunistic when it chooses to query for a label  $m \notin M_A$ , as this label will not affect the decision made in the current interaction, and can only help with future interactions. For example, given a description “*the red box*”, asking whether an object is *red*, could help the agent make a better guess, but asking whether an object is *round*, would be an opportunistic query. Queries have a cost, and hence the agent needs to trade-off the number of queries with the success at guessing across interactions.

The agent participates in a sequence of such interactions, and the models improve from labels acquired over multiple interactions. Thus the agent’s expected reward per interaction is expected to improve as more interactions are completed.

This setting differs from the traditional application of active learning in the following key ways:

- The agent cannot query for the label of any example from the unlabeled pool. It is restricted to the set of objects available in the current interaction,  $O_A$ .
- The agent is evaluated on the reward per interaction, rather than the final accuracy of the models in  $M$ .
- The agent may make opportunistic queries (for models  $m \notin M_A$ ) that are not relevant to the current task.

Due to these differences, this setting provides challenges not seen in most active learning scenarios:

- Since the agent never sees the entire pool of unlabeled examples, it can neither choose queries that are globally optimal, nor use variance reduction strategies that still use

near-optimal queries (such as sampling from a beam of near globally optimal queries).

- Since the agent is evaluated on task completion, it must learn to trade-off finishing the task with querying to improve the models.
- The agent needs to estimate the usefulness of a model across multiple interactions, to identify good opportunistic queries.

#### 4 Task Setup

We consider an interactive task where an agent tries to learn to ground natural-language object descriptions. Grounded language understanding is the process of mapping natural-language referring expressions to object referents in the world (Thomason et al., 2016). We consider a grounded-language problem based on object retrieval – given a free form natural-language description of an object, the agent needs to identify which of a set of objects is best described by the phrase (Thomason et al., 2016; Guadarrama et al., 2014). In this work, objects are presented as images, but the methods are applicable to any feature representation of objects. We consider a task of interactive object retrieval where the agent is given a natural-language object description, and allowed to interact with the user before it attempts to guess the object being referred to.

In each interaction, the agent is presented with two sets of objects. The first set of objects is called the active training set, and is to be used by the agent to improve its model of object properties. The second set of objects is called the active test set, and the agent will have to retrieve an object from this set. The agent is provided with a natural language description of the object it is expected to retrieve.

Before guessing, the agent is allowed to ask queries of the following two types:

- Label queries - A yes/no question about whether a predicate can be used to describe one of the objects in the active training set, e.g. “Is this object yellow?”.
- Example queries - Asking for an object, in the available training set, that can be described by a particular predicate, e.g. “Show me a white object in this set.”. This is used for acquiring positive examples since most predicates tend to be sparse.<sup>1</sup>

<sup>1</sup>Alternately, we could return all positive examples for the

Train_1	Train_4	Test_1	Test_2
Train_2	Train_5	Test_3	
Train_3			
Train_6	Train_7	Train_8	Test_4
Oracle	Target	A white umbrella	
	Description		
Robot	Label Query	<Train_6, yellow>	
Oracle	Binary Label	0	
Robot	Example Query	<white>	
Oracle	Image	Train_1	
Robot	Guess	Test_4	
Oracle	Success (0/1)	Correct	

Figure 1: A sample OAL interaction. Perceptual predicates are marked in bold.

A sample interaction is shown in Figure 1. The agent goes through a series of such interactions, and needs to learn to maximize the number of correct guesses across interactions, without frustrating the user with too many queries. The separate active training set and active test set ensures that the agent needs to learn models for object descriptors. If queries and guessing were performed on the same set of objects, the agent could simply query whether each specific object satisfies each predicate in the description, and use this to guess.

In our experiments, we simulate such dialogs using the Visual Genome dataset (Krishna et al., 2017); which contains images with regions (crops) annotated with natural-language descriptions. Bounding boxes of objects present in the image are also annotated, along with attributes of objects. Region descriptions, objects and attributes are annotated using unrestricted natural language, which leads to a diverse set of predicates. Using the annotations, we can associate a

predicate in the active training set, but we chose to return a single example to allow the agent to minimize the amount of supervision obtained



list of objects and attributes relevant to each image region, and use these to answer queries from the agent.

For each interaction, we uniformly sample 4 regions to form the active test set, and 8 regions to form the active training set.<sup>2</sup> One region is then uniformly sampled from the active test set to be the target object. Its description, from annotations in the Visual Genome dataset, is provided to the agent to be grounded. The objects and attributes associated with active training regions are used to answer queries. A predicate is labeled as being applicable to a region if it is present in the list of objects and attributes associated with the region. In the rest of the paper, we use the terms object, image, and region interchangeably.

## 5 Methodology

### 5.1 Perceptual Predicates and Classifiers

We assume that the description provided is a conjunction of one-word predicates. Given a description, the agent tokenizes it and removes stop-words. Each remaining word is stemmed and treated as a perceptual predicate. This method allows the agent to learn an open vocabulary of predicates, but unable to handle multi-word predicates or non-compositional phrases.

The agent learns a separate binary classifier for each predicate, and we represent images with a “deep” feature representation obtained from the penultimate layer of the VGG network (Simonyan and Zisserman, 2014) pretrained on ImageNet (Russakovsky et al., 2015). The agent has no initial classifiers for any predicate, and learns these classifiers purely from labels acquired during interactions.

### 5.2 Grounding Descriptions

The learned perceptual classifiers are used to ground natural language descriptions as follows. Let  $p_1, p_2, \dots, p_k$  be the predicates obtained from the natural language description. Let  $d(p_i, o) \in \{-1, 1\}$  be the decision from the classifier for predicate  $p_i$  for object  $o$ , and  $C(p_i)$  be the estimated F1 of the classifier for  $p_i$ .<sup>3</sup> Then the best

guess, from the objects present, is chosen using the weighted sum of the decisions of the classifiers, using their estimated F1 as a weight:

$$o_{guess} = \operatorname{argmax}_{o \in O_A} \sum_{i=1}^k d(p_i, o) * C(p_i)$$

### 5.3 MDP Formulation

We model the task as a Markov Decision Process (MDP). An MDP is a tuple  $\langle S, A, T, R, \gamma \rangle$ , where  $S$  is a set of states,  $A$  is a set of actions,  $T$  is a transition function,  $R$  is a reward function and  $\gamma$  is a discount factor. Each interaction is an episode in the MDP. At any point, the agent is in a state  $s \in S$ , in our case consisting of the VGG features of the images in the current interaction, the predicates in the current description, and the agent’s classifiers. The agent can choose from among actions in  $A$ , which include an action for guessing, and an action for each possible query the agent can make, including both label and example queries. The guess action always terminates the episode, and query actions transition the agent to a state  $s' \in S$  as one of the classifiers gets updated. The agent gets a reward for each action taken. Query actions have a small negative reward, and guessing results is a large positive reward when the guess is correct, and a large negative reward when the guess is incorrect. In our experiments, we treat the reward values as hyperparameters that can be tuned. The best results were obtained with a reward of 200 for a correct guess, -100 for an incorrect guess and -1 for each query.

### 5.4 Identifying Candidate Queries

In any interaction, the agent can make label or example queries. In a label query, the agent can ask for the label of any object for a specific predicate. If  $O_A$  is the set of objects present in the active training set of the current interaction, and  $P$  is the set of predicates that have been seen by the agent in all interactions so far, then the set of possible label queries is  $P \times O_A$ . Once the agent chooses a predicate  $p$  and object  $o$  to be queried, it obtains the corresponding label and can update its classifier for  $p$ . In an example query, the agent asks for a positive example for any predicate  $p \in P$ . The agent will either receive a positive label for  $p$  for some object  $o \in O_A$  or learn that the label is negative  $\forall o \in O_A$ , and can appropriately update the classifier for  $p$ .

<sup>2</sup>The regions in the dataset are divided into separate pools from which the active training and active test sets are sampled (described as classifier-training and classifier-test sets in section 6.2), to ensure that the agent needs to learn classifiers that generalize across objects.

<sup>3</sup>F1 is estimated by cross-validation on the labels acquired for the predicate.

Since  $|P|$  grows across interactions as the agent encounters more predicates in descriptions, the number of candidate actions in a state increases over time, so searching the entire space of possible queries can become intractable. Hence, we identify a beam of promising queries that are then provided as candidate actions for the policy to choose among. Uncertainty sampling is a common method in pool-based active learning to identify the best example to improve a classifier. For a given predicate  $p$ , we use this to choose the best label query involving that predicate, picking that object  $o \in O_A$  which is closest to the hyperplane of the classifier for  $p$ .

However, it is more challenging to narrow down the number of predicates. Thomason et al. (2017) assume that an estimate of classifier accuracy is available, which is comparable across classifiers. They sample predicates with a probability inversely proportional to the estimated accuracy of the classifier. However, if the space of possible predicates is large, then this results in no classifier obtaining a reasonable number of training examples. In this scenario, it is desirable to focus on a small number of predicates, possibly stopping the improvement on a predicate once the classifier for it has been sufficiently improved. We sample queries from a distribution designed to capture this intuition. The probability assigned to a predicate by this distribution increases linearly, for estimated F1 below a threshold, and decreases linearly thereafter.<sup>4</sup> The number of queries sampled is a hyperparameter. We obtain the best results by sampling 3 queries of each type.

## 5.5 Baseline Static Policy

As a baseline, we use a static policy similar to that used by Thomason et al. (2017). At each state, a single label query and example query are sampled. The agent asks a fixed number of queries before guessing. Thomason et al. (2017) use thresholds that prevent queries from being asked when there are no predicates whose classifiers have sufficiently low estimated accuracy. Since we used a dataset with a much larger number of predicates, these thresholds were always crossed if the agent had even one candidate query.

<sup>4</sup>The equation for this distribution with some further discussion on its design is included in the supplementary material.

## 5.6 Policy Learning

We use the REINFORCE algorithm (Williams, 1992) to learn a policy for the MDP. The agent learns a policy  $\pi(a|s; \theta)$ , parameterized with weights  $\theta$  that computes the probability of taking action  $a$  in state  $s$ . Given a feature representation  $f(s, a)$  for a state-action pair  $(s, a)$ , the policy is of the form:

$$\pi(a|s; \theta) = \frac{e^{\theta^T f(s, a)}}{\sum_{a'} e^{\theta^T f(s, a')}}$$

where the denominator is a sum over all actions possible in state  $s$ . The weights are updated using a stochastic gradient ascent rule:

$$\theta \leftarrow \theta + \alpha \nabla_{\theta} J(\theta)$$

where  $J(\theta)$  is the expected return from the policy according to the distribution over trajectories induced by the policy.

The state consists of the predicates in the current description, the candidate objects, and the current classifiers. Since both the number of candidate objects and classifiers varies, and the latter is quite large, it is necessary to identify useful features for the task to obtain a vector representation needed by most learning algorithms. In our problem setting, the number of candidate actions available to the agent in a given state is variable. Hence we need to create features for state-action pairs, rather than just states.

## 5.7 Features for Policy Learning

The object retrieval task consists of two parts – identifying useful queries to improve classifiers, and correctly guessing the image being referred to by a given description. The current dialog length is also provided to influence the trade-off between guessing and querying.

### 5.7.1 Guess-success features

Let  $P_A = \{p_1, p_2, \dots, p_k\}$  be the predicates extracted from the current description. For each predicate  $p \in P_A$ , we have the estimated F1 of the classifier  $C(p)$ , and for each object  $o$  in the active test set, we have a decision  $d(p, o) \in \{-1, 1\}$  from the classifier. We refer to  $s(p, o) = d(p, o) * C(p)$  as the score of the classifier of  $p$  for object  $o$ . The following features are used to predict whether the current best guess is likely to be correct:

- Lowest, highest, second highest, and average estimated F1 among classifiers of predicates

in  $P_A$  – learned thresholds on these values can be useful to decide whether to trust the guess.

- Highest score among regions in the active test set, and the differences between this and the second highest, and average scores respectively – a good guess is expected to have a high score to indicate relevance to the description, and substantial differences would indicate that the guess is discriminative. Similar features are also formed using the un-weighted sum of decisions.
- An indicator of whether the two most confident classifiers agree on the decision of the top scoring region, which increases the likelihood of its being correct.

We compared directly using these features to training a regressor that uses them to predict the probability of a successful guess, and then using this as a higher-level policy feature. We found no difference between the two methods and the results reported directly use these features in the vector provided to the policy learner.

### 5.7.2 Query-evaluation features

The following features are expected to be useful in predicting whether it is useful to query for the label of a particular predicate:

- Indicator of whether the predicate is new or already has a classifier – this allows the policy to decide between strengthening existing classifiers or creating classifiers for novel predicates.
- Current estimated F1 of the classifier for the predicate – as there is more to be gained from improving a poor classifier.
- Fraction of previous dialogs in which the predicate has been used, and the agent’s success rate in these – as there is more to be gained from improving a frequently used predicate but less if the agent already makes enough correct guesses for it.
- Is the query opportunistic – as these will not help the current guess.

Label queries also have an image region specified, and for these we have additional features that use the VGG feature space in which the region is represented for classification:

- Margin of the image region from the hyper-plane of the classifier of the predicate – motivated by uncertainty sampling.
- Average cosine distance of the image region to others in the dataset – motivated by density weighting to avoid outliers.
- Fraction of the  $k$ -nearest neighbors of the region that are unlabeled for this predicate – motivated by density weighting to identify a data point that can influence many labels.

## 6 Experimental Methodology

### 6.1 Dataset

The Visual Genome dataset contains a total of 108,077 images with 540,6592 annotated regions. Since objects and attributes are annotated with free-form text rather than from a fixed, pre-defined vocabulary, there is considerable diversity in the language used for annotation. There are 80,908 unique objects annotated and 44,235 attributes. We assume that any objects that partially overlap with a region are present in it, as these are usually used in descriptions. Using the annotations, we can associate a list of objects and attributes relevant to each image region. We lower-case all annotations, remove special characters and perform stemming to help normalize terms.

### 6.2 Sampling dialogs

We want the agent to learn a policy that is independent of the actual predicates present at policy training and policy test time. In order to be able to evaluate this, we divide the set of possible regions into policy training and policy test regions as follows. We select all objects and attributes present in at least 1,000 regions. Half of these were randomly assigned to the policy test set. All regions that contain one of these objects or attributes are assigned to the policy test set, and the rest to the policy training set. Thus regions seen at test time may contain predicates seen during training, but will definitely contain at least one novel predicate. Further, the policy training and policy test sets are respectively partitioned into a classifier training and classifier test set using a uniform 60-40 split.

During policy training, the active training set of each dialog is sampled from the classifier-training subset of the policy-training regions, and the active test set of the dialog is sampled from the classifier-test subset of the policy-training set.

During policy testing, the active training set of each dialog is sampled from the classifier training subset of the policy test regions, and the active test set of the dialog is sampled from the classifier test subset of the policy test set.

### 6.3 Experiment phases

For efficiency, we run dialogs in batches, and perform classifier and policy updates at the end of each batch. We use batches of 100 dialogs each. Our experiment runs in 3 phases:

- Initialization – Since learning starting with a random policy can be difficult, we first run batches of dialogs on the policy training set using the static policy from section 5.5, and update the RL policy using states, actions and rewards seen in these dialogs. This “supervised” learning phase is used to initialize the RL policy.
- Training – We run batches of dialogs on the policy training set using the RL policy, starting it without any classifiers. In this phase, the policy is updated using its own experience.
- Testing – We fix the parameters of the RL policy, and run batches of dialogs on the policy test set. During this phase, the agent is again reset to start with no classifiers. We do this to ensure that performance improvements seen at test time are purely from learning a strategy for opportunistic active learning, not from acquiring useful classifiers in the process of learning the policy.

## 7 Experimental Results and Analysis

We initialize the policy with 10 batches of dialogs, and then train on another 10 batches of dialogs, both sampled from the policy training set. Following this, the policy weights are fixed, the agent is reset to start with no classifiers, and we test on 10 batches of dialogs from the policy test set. Table 1 compares the average success rate (fraction of successful dialogs in which the correct object is identified), and average dialog length (average number of system turns) of the best learned policy, and the baseline static policy on the final batch of testing. We also compare the effect of ablating the two main groups of features. The learned agent guesses correctly in a significantly higher fraction

Policy	Success rate	Average Dialog Length
Learned	<b>0.44</b>	<b>12.95</b>
–Guess	0.37	<b>6.12</b>
–Query	0.35	<b>6.16</b>
Static	0.29	16

Table 1: Results on dialogs sampled from the policy test set after 10 batches of classifier training. –*Guess* and –*Query* are conditions with the guess and query features, respectively, ablated. Boldface indicates that the difference in that metric with respect to the *Static* policy is statistically significant according to an unpaired Welch t-test with  $p < 0.05$ .

of dialogs compared to the static agent, using a significantly lower number of questions per dialog.

When either the group of guess or query features is ablated, the success rate clearly decreases. While the mean success rate still remains above the baseline, the difference is no longer statistically significant. Further, at the end of the initialization phase, the average dialog length in all three conditions is about the same. In the two ablated conditions, the dialog length does not increase to become close to that of the static policy, which suggests that the agent does not learn that asking more queries improves dialog success. This is expected because the agent is either not able to evaluate the usefulness of queries, or the likelihood of success of a guess. However, in the learned policy with all features, the agent is able to identify a benefit in asking queries, and utilizes them to improve its success rate.

It is important to note that it is non-trivial to decide how to trade-off dialog success with dialog length. This should be decided for any given application by comparing the cost of an error with that of the user time involved in answering queries, and the reward function should be set appropriately based on this. Ideally, we would like to see an increase in dialog success rate *and* a decrease in dialog length, as is the case when comparing the learned and static policies. However, depending on the application, it may also be beneficial to see a smaller increase in success rate with a larger decrease in dialog length, as is the case in the ablated conditions.

We also explored ablating individual features. We found that the effect of ablating most single features is similar to that of ablating a group of features. The mean success rate decreases compared



to the full policy with all features. It remains better than that of the static policy, but in most cases the difference stops being statistically significant. Among features for evaluating the guess, the removal of the difference between the two highest scores in the active test set has a fairly large effect, compared with the value of the highest score. This is expected because for retrieval it is sufficient if an object is simply scored higher than the other candidates. Further, since classifiers improve over time, the score threshold that indicates a good guess changes, and hence would be difficult to learn. An interesting result is that removal of features involving the predictions of the second best classifier has more effect than that of the best classifier. This is possibly because when noisy classifiers are in use, support of multiple classifiers is helpful. Among query evaluation features, we find, unsurprisingly, that removal of the feature providing the margin of the object in a label query affects performance much more than removal of features such as density and fraction of labeled neighbors, which merely indicate whether the object is an outlier. The full results of this experiment are included in the supplementary material.

Qualitatively, we found that the dialog success rate was higher for both short, and very long dialogs, with a decrease for dialogs of intermediate length. This suggests that longer dialogs are used to accumulate labels via opportunistic off-topic questions, as opposed to on-topic questions. The learned policy still suffers from high variance in dialog length suggesting that trading off task completion against model improvement is a difficult decision to learn. We find that the labels collected by the learned policy are more equitably distributed across predicates than the static policy, resulting in a tendency to have fewer classifiers of low estimated F1. There is relatively little difference in the number of predicates for which classifiers are learned. This suggests that the policy learns to focus on a few predicates, as the baseline does, but learn all of these equally well, in contrast to the baseline which has much higher variance in the number of labels collected per predicate.

## 8 Future Work

It would be interesting to examine how a policy learned using a dataset such as Visual Genome generalizes to a different domain such as images captured by a robot operating in an indoor

environment, possibly with some fine-tuning using a smaller in-domain dataset. The simulation could also potentially be improved using positive-unlabeled learning methods (Liu et al., 2002; Li and Liu, 2003) instead of assuming that an object or attribute not labeled in an image region is not present in the image. It would also be interesting to compare the effectiveness of the opportunistic active learning framework, as well as the policy learning, across a variety of applications.

## 9 Conclusion

This paper has shown how to formulate an opportunistic active learning problem as a reinforcement learning problem, and learn a policy that can effectively trade-off opportunistic active learning queries against task completion. We evaluated this approach on the task of grounded object retrieval from natural language descriptions and learn a policy that retrieves the correct object in a larger fraction of dialogs than a previously proposed static baseline, while also lowering average dialog length.

## Acknowledgements

This work is supported by an NSF NRI grant (IIS-1637736). A portion of this work has taken place in the Learning Agents Research Group (LARG) at UT Austin. LARG research is supported in part by NSF (CNS-1305287, IIS-1637736, IIS-1651089, IIS-1724157), TxDOT, Intel, Raytheon, and Lockheed Martin. Peter Stone serves on the Board of Directors of Cogitai, Inc. The terms of this arrangement have been reviewed and approved by the University of Texas at Austin in accordance with its policy on objectivity in research.

## References

- Philip Bachman, Alessandro Sordoni, and Adam Trischler. 2017. [Learning algorithms for active learning](#). In *Proceedings of the 34th International Conference on Machine Learning*, volume 70, pages 301–310, Sydney, Australia. PMLR.
- Klaus Brinker. 2006. [On active learning in multi-label classification](#). In *From Data and Information Analysis to Knowledge Engineering*, pages 206–213. Springer-Verlag.
- Maya Cakmak, Crystal Chao, and Andrea L Thomaz. 2010. [Designing interactions for robot active learners](#). *IEEE Transactions on Autonomous Mental Development*, 2(2):108–118.

- Abhishek Das, Satwik Kottur, Khushi Gupta, Avi Singh, Deshraj Yadav, José MF Moura, Devi Parikh, and Dhruv Batra. 2017. [Visual dialog](#). In *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*, volume 2, pages 326–335.
- Harm De Vries, Florian Strub, Sarath Chandar, Olivier Pietquin, Hugo Larochelle, and Aaron Courville. 2017. [Guesswhat?! visual object discovery through multi-modal dialogue](#). In *The IEEE Conference on Computer Vision and Pattern Recognition (CVPR)*.
- Meng Fang, Yuan Li, and Trevor Cohn. 2017. [Learning how to active learn: A deep reinforcement learning approach](#). In *Proceedings of the 2017 Conference on Empirical Methods in Natural Language Processing*. ACL.
- Sergio Guadarrama, Erik Rodner, Kate Saenko, Ning Zhang, Ryan Farrell, Jeff Donahue, and Trevor Darrell. 2014. [Open-vocabulary object retrieval](#). In *Robotics: Science and Systems*, volume 2, page 6.
- Thomas Kollar, Jayant Krishnamurthy, and Grant Strimel. 2013. [Toward interactive grounded language acquisition](#). In *Robotics: Science and Systems IX*. Robotics: Science and Systems Foundation.
- Ranjay Krishna, Yuke Zhu, Oliver Groth, Justin Johnson, Kenji Hata, Joshua Kravitz, Stephanie Chen, Yannis Kalantidis, Li-Jia Li, David A. Shamma, Michael S. Bernstein, and Li Fei-Fei. 2017. [Visual genome: Connecting language and vision using crowdsourced dense image annotations](#). *International Journal of Computer Vision*, 123(1):32–73.
- Johannes Kulick, Marc Toussaint, Tobias Lang, and Manuel Lopes. 2013. [Active learning for teaching a robot grounded relational symbols](#). In *Proceedings of the Twenty-Third International Joint Conference on Artificial Intelligence*, pages 1451–1457. AAAI Press.
- David D. Lewis and William A. Gale. 1994. [A sequential algorithm for training text classifiers](#). In *Proceedings of the 17th Annual International ACM SIGIR Conference on Research and Development in Information Retrieval SIGIR '94*, pages 3–12. Springer London.
- Xachan Li, Lei Wang, and Eric Sang. [Multi-label SVM active learning for image classification](#). In *2004 International Conference on Image Processing, 2004. ICIP'04*, volume 4, pages 2207–2210. IEEE.
- Xiaoli Li and Bing Liu. 2003. [Learning to classify texts using positive and unlabeled data](#). In *Proceedings of the 18th International Joint Conference on Artificial Intelligence, IJCAI'03*, pages 587–592, San Francisco, CA, USA. Morgan Kaufmann Publishers Inc.
- Xin Li and Yuhong Guo. 2013. [Active learning with multi-label svm classification](#). In *Proceedings of the Twenty-Third International Joint Conference on Artificial Intelligence*, pages 1479–1485.
- Bing Liu, Wee Sun Lee, Philip S. Yu, and Xiaoli Li. 2002. [Partially supervised classification of text documents](#). In *Proceedings of the Nineteenth International Conference on Machine Learning, ICML '02*, pages 387–394, San Francisco, CA, USA. Morgan Kaufmann Publishers Inc.
- Dipendra Misra, John Langford, and Yoav Artzi. 2017. [Mapping instructions and visual observations to actions with reinforcement learning](#). In *Proceedings of the 2017 Conference on Empirical Methods in Natural Language Processing*. ACL.
- Shiwali Mohan, Aaron H Mininger, James R Kirk, and John E Laird. 2012. [Acquiring grounded representations of words with situated interactive instruction](#). In *Advances in Cognitive Systems*.
- Aishwarya Padmakumar, Jesse Thomason, and Raymond J. Mooney. 2017. [Integrated learning of dialog strategies and semantic parsing](#). In *Proceedings of the 15th Conference of the European Chapter of the Association for Computational Linguistics (EACL 2017)*, pages 547–557, Valencia, Spain.
- Natalie Parde, Adam Hair, Michalis Papakostas, Konstantinos Tsiakas, Maria Dagioglou, Vangelis Karkaletsis, and Rodney D. Nielsen. 2015. [Grounding the meaning of words through vision and interactive gameplay](#). In *Proceedings of the 24th International Joint Conference on Artificial Intelligence*, pages 1895–1901, Buenos Aires, Argentina.
- Olivier Pietquin, Matthieu Geist, Senthilkumar Chandramohan, and Hervé Frezza-Buet. 2011. [Sample-efficient batch reinforcement learning for dialogue management optimization](#). *ACM Transactions on Speech and Language Processing*, 7(3):1–21.
- Guo-Jun Qi, Xian-Sheng Hua, Yong Rui, Jinhui Tang, and Hong-Jiang Zhang. 2009. [Two-dimensional multilabel active learning with an efficient online adaptation model for image classification](#). *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 31(10):1880–1897.
- Nicholas Roy and Andrew McCallum. 2001. [Toward optimal active learning through sampling estimation of error reduction](#). In *Proceedings of the Eighteenth International Conference on Machine Learning, ICML '01*, pages 441–448, San Francisco, CA, USA. Morgan Kaufmann Publishers Inc.
- Olga Russakovsky, Jia Deng, Hao Su, Jonathan Krause, Sanjeev Satheesh, Sean Ma, Zhiheng Huang, Andrej Karpathy, Aditya Khosla, Michael Bernstein, Alexander C. Berg, and Li Fei-Fei. 2015. [ImageNet large scale visual recognition challenge](#). *International Journal of Computer Vision*, 115(3):211–252.
- Iulian Vlad Serban, Alessandro Sordoni, Yoshua Bengio, Aaron C Courville, and Joelle Pineau. 2016. [Building end-to-end dialogue systems using generative hierarchical neural network models](#). In *Proceedings of the Thirtieth AAAI Conference on Artificial Intelligence*, volume 16, pages 3776–3784.

- Burr Settles. 2010. [Active learning literature survey](#). *University of Wisconsin, Madison*, 52(55-66):11.
- Burr Settles and Mark Craven. 2008. [An analysis of active learning strategies for sequence labeling tasks](#). In *Proceedings of the Conference on Empirical Methods in Natural Language Processing - EMNLP'08*. ACL.
- H. S. Seung, M. Opper, and H. Sompolinsky. 1992. [Query by committee](#). In *Proceedings of the Fifth Annual Workshop on Computational Learning Theory, COLT '92*, pages 287–294, New York, NY, USA. ACM.
- Manali Sharma and Mustafa Bilgic. 2016. [Evidence-based uncertainty sampling for active learning](#). *Data Mining and Knowledge Discovery*, 31(1):164–202.
- Karen Simonyan and Andrew Zisserman. 2014. [Very deep convolutional networks for large-scale image recognition](#). *Computing Research Repository*, arXiv:1409.1556.
- Mohan Singh, Eoin Curran, and Pádraig Cunningham. 2009. [Active learning for multi-label image annotation](#). In *Proceedings of the 19th Irish Conference on Artificial Intelligence and Cognitive Science*, pages 173–182.
- Jesse Thomason, Aishwarya Padmakumar, Jivko Sinapov, Justin Hart, Peter Stone, and Raymond J. Mooney. 2017. [Opportunistic active learning for grounding natural language descriptions](#). pages 67–76.
- Jesse Thomason, Jivko Sinapov, Maxwell Svetlik, Peter Stone, and Raymond Mooney. 2016. [Learning multi-modal grounded linguistic semantics by playing “I spy”](#). In *Proceedings of the 25th International Joint Conference on Artificial Intelligence (IJCAI)*, pages 3477–3483.
- Cynthia A. Thompson, Mary Elaine Califf, and Raymond J. Mooney. 1999. [Active learning for natural language parsing and information extraction](#). In *Proceedings of the Sixteenth International Conference on Machine Learning (ICML-99)*, pages 406–414, Bled, Slovenia.
- Deepak Vasisht, Andreas Damianou, Manik Varma, and Ashish Kapoor. 2014. [Active learning for sparse bayesian multilabel classification](#). In *Proceedings of the 20th ACM SIGKDD international conference on Knowledge discovery and data mining - KDD'14*, pages 472–481. ACM Press.
- Adam Vogel, Karthik Raghunathan, and Dan Jurafsky. 2010. [Eye spy: Improving vision through dialog](#). In *Association for the Advancement of Artificial Intelligence*, pages 175–176.
- Tsung-Hsien Wen, Milica Gašić, Nikola Mrkšić, Pei-Hao Su, David Vandyke, and Steve Young. 2015. [Semantically conditioned LSTM-based natural language generation for spoken dialogue systems](#). In *Proceedings of the 2015 Conference on Empirical Methods in Natural Language Processing*. ACL.
- Ronald J. Williams. 1992. [Simple statistical gradient-following algorithms for connectionist reinforcement learning](#). In *Reinforcement Learning*, pages 5–32. Springer US.
- Mark Woodward and Chelsea Finn. 2017. [Active one-shot learning](#). *Computing Research Repository*, arXiv:1702.06559.
- Bishan Yang, Jian-Tao Sun, Tengjiao Wang, and Zheng Chen. 2009. [Effective multi-label active learning for text classification](#). In *Proceedings of the 15th ACM SIGKDD international conference on Knowledge discovery and data mining - KDD'09*. ACM Press.
- Yanchao Yu, Arash Eshghi, and Oliver Lemon. 2017. [Learning how to learn: An adaptive dialogue agent for incrementally learning visually grounded word meanings](#). In *Proceedings of the First Workshop on Language Grounding for Robotics*. ACL.

## A Supplemental Material

### A.1 Sampling predicates for label queries

A large number of perceptual predicates can be used to describe objects. When choosing a predicate whose classifier is to be improved by active learning, the simplest way to choose between predicates is to favor those for which the agent currently has poor classifiers. However, if the number of possible predicates is much larger than the number of queries available for learning, it becomes necessary to focus on a small number of predicates, possibly stopping the improvement on a predicate once the classifier for it has been sufficiently improved.

We use the following distribution to obtain probability weights for predicates as a function of estimated classifier F1. Let  $w(p_i)$  be the weight for predicate  $p_i$  with estimated F1  $C(p_i)$ . Weights start at  $w_{min}$  for  $C = 0.0$  and increase linearly to  $w_{max}$  at some  $C_{max} \in (0, 1)$ . For  $C > C_{max}$ , weights again linearly decrease to  $w_{min}$  for  $C = 1.0$ . That is, for  $C \leq C_{max}$ ,

$$w(p_i) = \frac{C(p_i)}{C_{max}}(w_{max} - w_{min})$$

For  $C > C_{max}$ ,

$$w(p_i) = \frac{1.0 - C(p_i)}{1.0 - C_{max}}(w_{max} - w_{min})$$

The weights are then normalized to obtain a probability distribution. A beam of label queries can then be sampled from this.

## A.2 Results of Individual Feature Ablation

Table 2 contains the results of ablation of individual features. We use the notation  $P_A = \{p_1, p_2, \dots, p_k\}$  for the predicates extracted from the current description. For each predicate  $p \in P_A$ , we have the estimated F1 of the classifier  $C(p)$ , and for each object  $o$  in the active test set, we have a decision  $d(p, o) \in \{-1, 1\}$  from the classifier. We refer to  $s(p, o) = d(p, o) * C(p)$  as the score of the classifier of  $p$  for object  $o$ . The best classifier for the current interaction is the one with maximum estimated F1, that is, the classifier for  $p_{best} = \operatorname{argmax}_{p \in P_A} C(p)$ . The second best classifier is  $p_{sec} = \operatorname{argmax}_{p \in P_A - p_{best}} C(p)$

Feature Ablated	Success rate	Average Dialog Length
None	<b>0.44</b>	<b>12.95</b>
Number of system turns used - normalized	0.41	<b>3.8</b>
Density of object in label query	0.4	<b>12.89</b>
Fraction of previous dialogs using predicate in query that have succeeded	0.39	<b>5.46</b>
Score (normalized) of top region	0.39	<b>6.3</b>
Fraction of k nearest neighbors of the object in label query, which are unlabeled	0.39	<b>10.41</b>
Indicator for guess action	0.38	<b>7.21</b>
Minimum value of $C(p)$ for $p \in P_A$	0.37	<b>6.37</b>
Decision of $p_{sec}$ for object with highest score	0.37	<b>11.21</b>
Difference between decision of $p_{best}$ for object with highest score, and the average of its decisions for objects in the active test set	0.36	<b>2.78</b>

Feature Ablated	Success rate	Average Dialog Length
Indicator of whether the question is on-topic	0.36	<b>5.25</b>
Is decision of $p_{best}$ same for objects with top two scores	0.36	<b>5.32</b>
Indicate of whether the predicate in the query has a classifier	0.36	<b>13.97</b>
Frequency of use of the predicate in query - normalized	0.35	<b>4.48</b>
Indicator for the action of asking a positive example	0.35	<b>5.36</b>
Second highest value of $C(p)$ for $p \in P_A$	0.35	<b>5.9</b>
Current estimated F1 for classifier of the predicate in query	0.35	<b>6.53</b>
Decision of $p_{best}$ for object with highest score	0.34	<b>3.85</b>
Indicator for the action of asking a label	0.34	<b>7.05</b>
Average value of $C(p)$ for $p \in P_A$	0.34	<b>7.63</b>
Margin of object in label query	0.34	<b>8.08</b>
Maximum value of $C(p)$ for $p \in P_A$	0.33	<b>6.31</b>
Difference between decision of $p_{sec}$ for object with highest score, and the average of its decisions for objects in the active test set	0.33	<b>8.84</b>
Difference between top two scores in the active test set	0.32	<b>8.05</b>
Is decision of $p_{best}$ same for objects with top two scores	0.32	<b>10.01</b>
Difference between top score and average score in the active test set	0.31	<b>7.18</b>
Baseline	0.29	16

Table 2: Results of individual feature ablation. Bold-face indicates that the difference in that metric with respect to *Static* is statistically significant according to an