

# Stacking With Auxiliary Features: Improved Ensembling for Natural Language and Vision

Nazneen Rajani

PhD Proposal

November 7, 2016

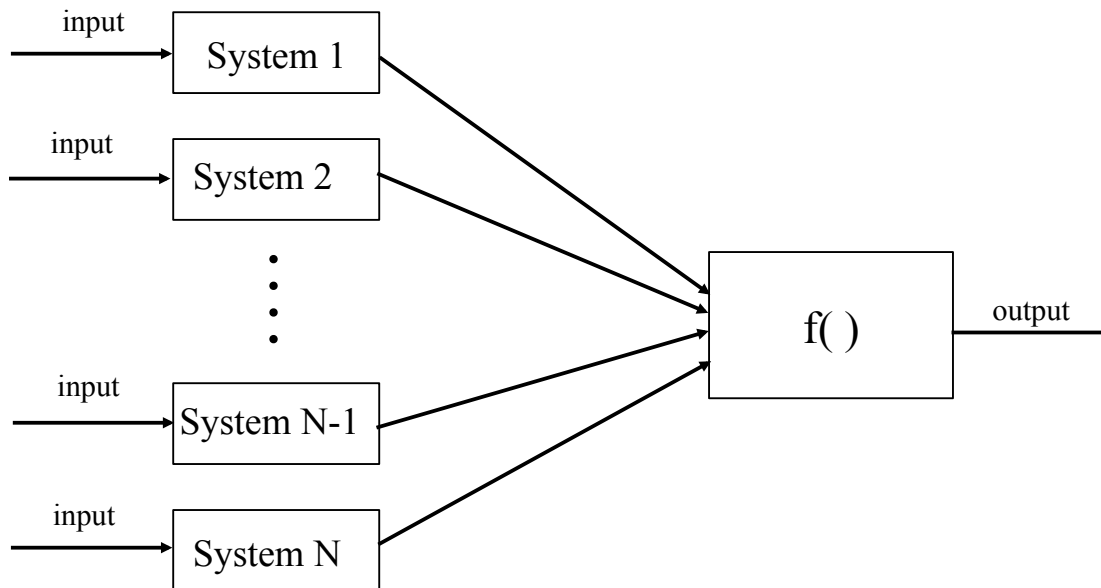
Committee members: Ray Mooney, Katrin Erk, Greg Durrett and Ken Barker

# Outline

- Introduction
- Background & Related Work
- Completed Work
  - Stacked Ensembles of Information Extractors for Knowledge Base Population (ACL 2015)
  - Stacking With Auxiliary Features (Under review)
  - Combining Supervised and Unsupervised Ensembles for Knowledge Base Population (EMNLP 2016)
- Proposed Work
  - Short-term proposals
  - Long-term proposals

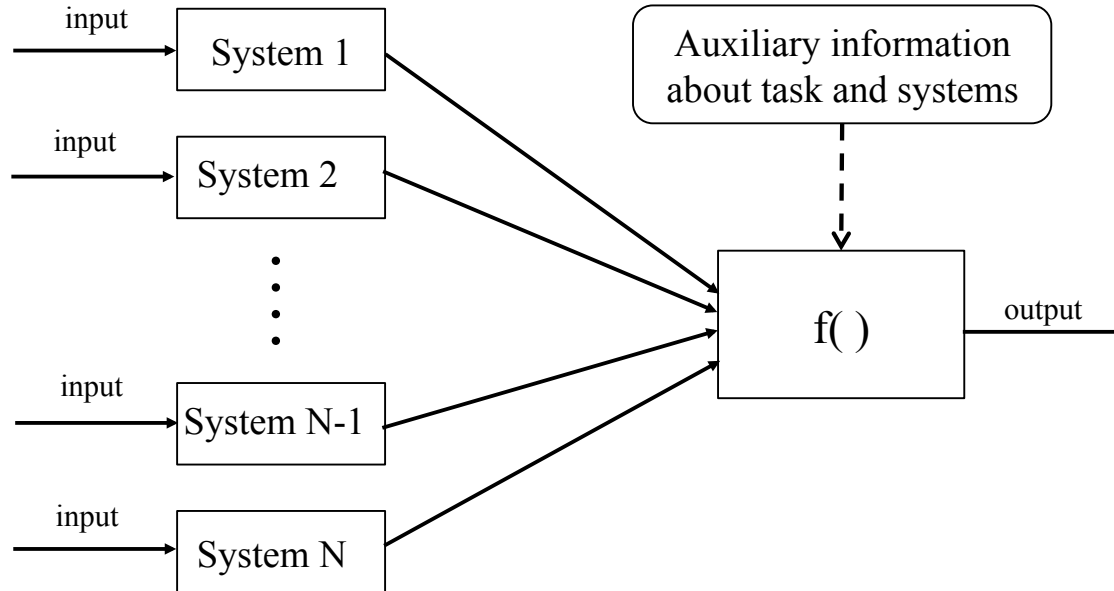
# Introduction

- Ensembling: Used by the \$1M winning team for the Netflix competition



# Introduction

- Make auxiliary information accessible to the ensemble



# Background and Related Work

# Cold Start Slot Filling (CSSF)

- Knowledge Base Population (KBP) is a task of discovering entity facts and adding to a KB
- Relation extraction, a KBP sub-task, using fixed ontology is slot filling
- CSSF is an annual NIST evaluation of building KB from scratch
  - query entities and pre-defined slots
  - text corpus

# Cold Start Slot Filling (CSSF)

- Some slots are single-valued (per: age) while some are list-valued (per: children)
- Entity types: PER, ORG, GPE
- Along with fills, systems must provide
  - confidence score
  - provenance — *docid: startoffset-endoffset*

# Cold Start Slot Filling (CSSF)

org: Microsoft

1. city\_of\_headquarters:
2. website:
3. subsidiaries:
4. employees:
5. shareholders:

Microsoft is a technology company, headquartered in Redmond, Washington that develops ...

**city\_of\_headquarters:**

Redmond

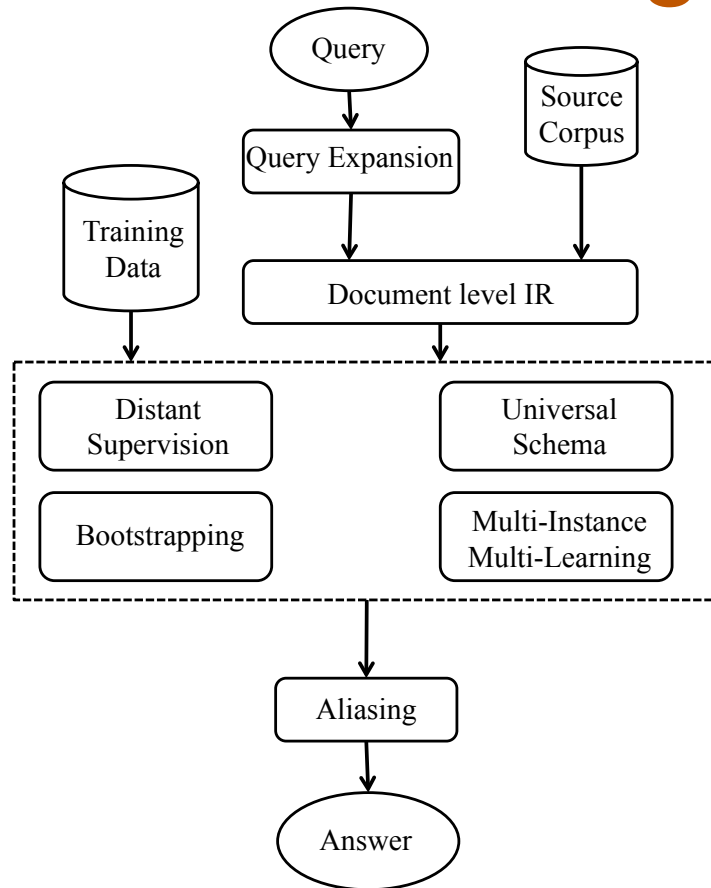
**provenance:**

**confidence score:**

1.0



# Cold Start Slot Filling (CSSF)



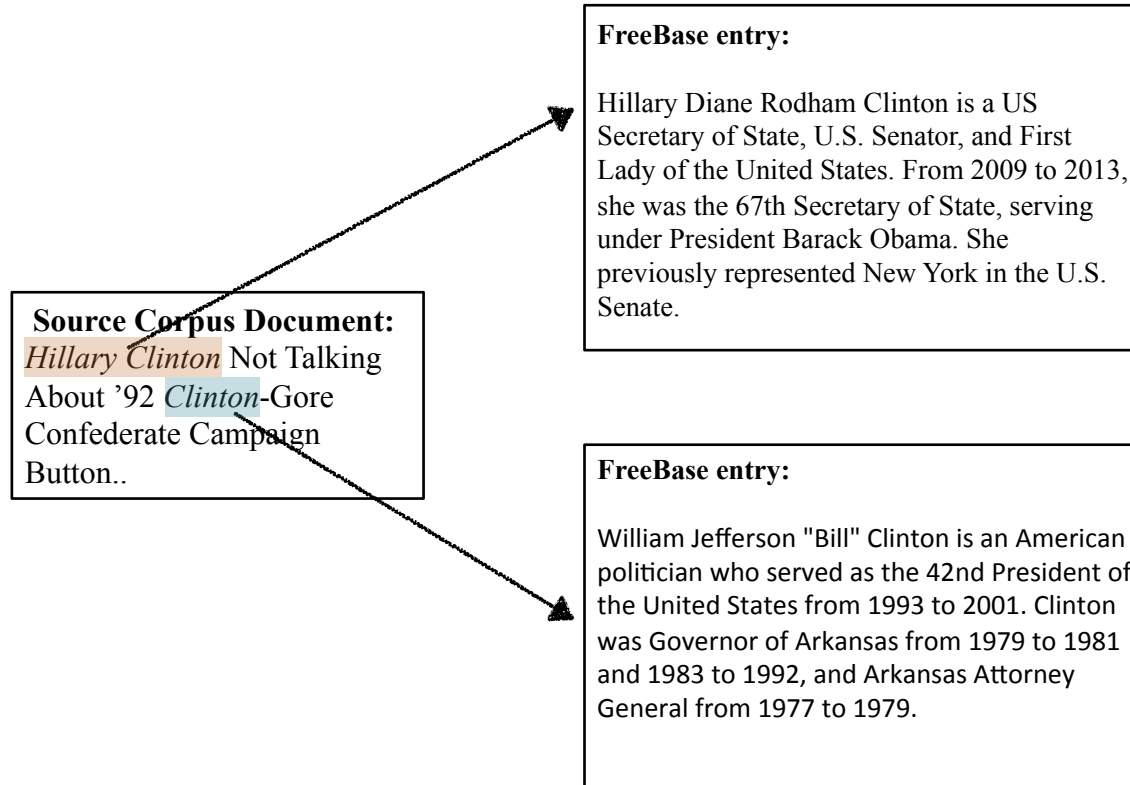
# Entity Discovery and Linking (EDL)

- KBP sub-task involving two NLP problems
  - Named Entity Recognition (NER)
  - Disambiguation
- EDL is an annual NIST evaluation in 3 languages: English, Spanish and Chinese
- Tri-lingual Entity Discovery and Linking (TEDL)

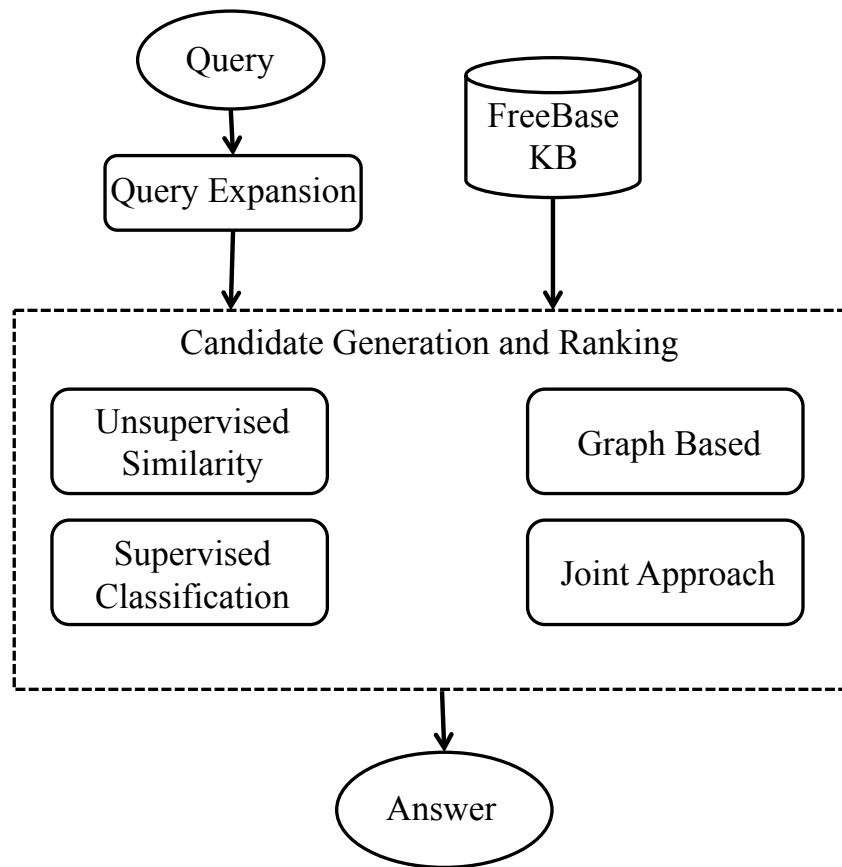
# Tri-lingual Entity Discovery and Linking (TEDL)

- Detect all entity mentions in corpus
- Link mentions to English KB (FreeBase)
- If no KB entry found, cluster into a NIL ID
- Entity types — PER, ORG, GPE, FAC, LOC
- Systems must also provide confidence score

# Tri-lingual Entity Discovery and Linking (TEDL)



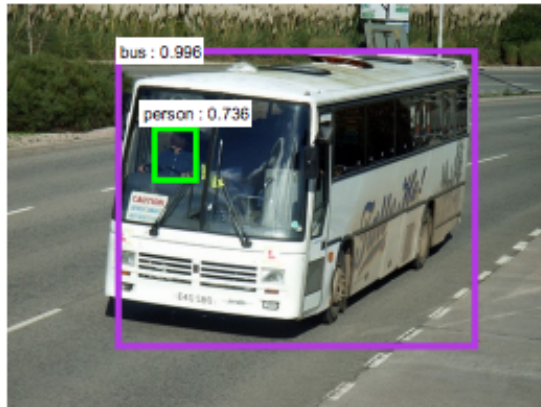
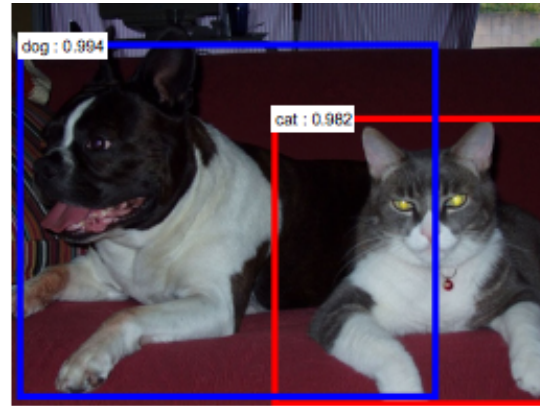
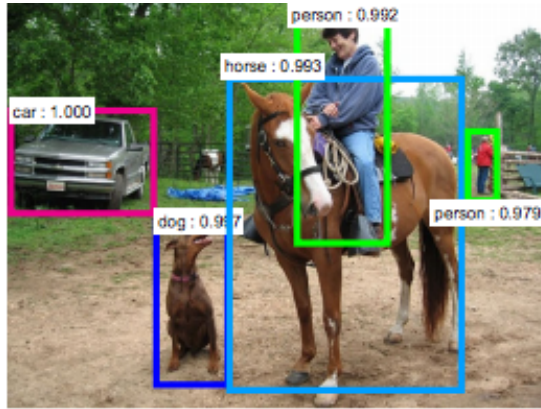
# Tri-lingual Entity Discovery and Linking (TEDL)



# ImageNet Object Detection

- Widely known annual competition in CV for large-scale object recognition
- Object detection
  - detect all instances of object categories (total 200) in images
  - localize using axis-aligned Bounding Boxes (BB)
- Object categories are WordNet synsets
- Systems also provide confidence scores

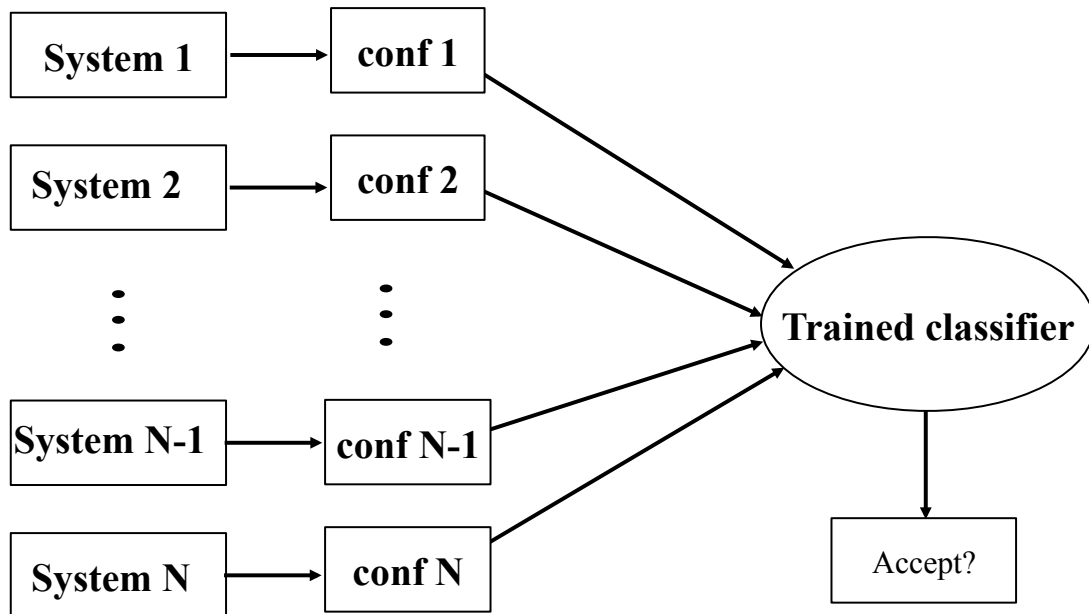
# ImageNet Object Detection



# Ensemble Algorithms

(Wolpert, 1992)

- Stacking





# Ensemble Algorithms

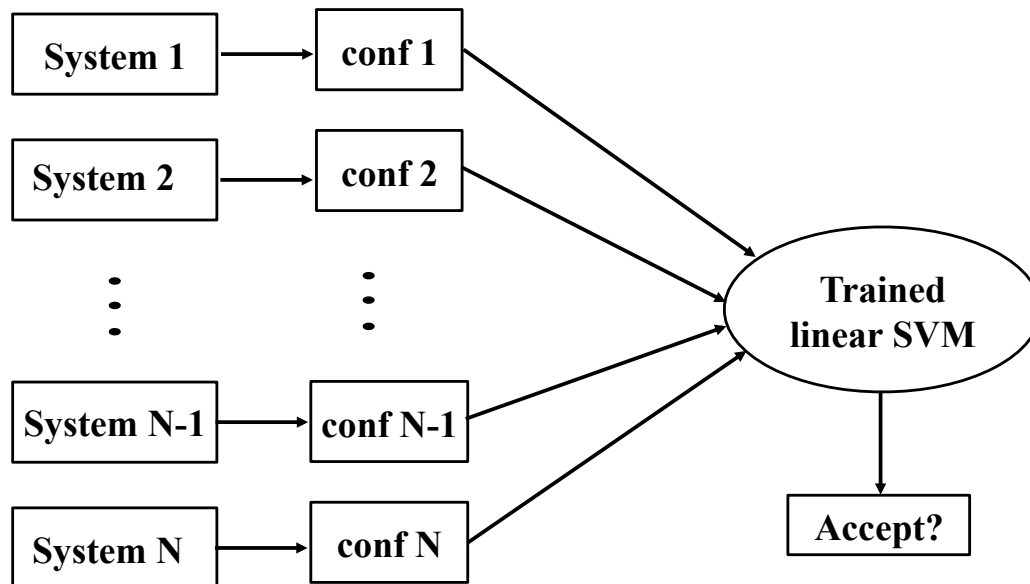
- Bipartite Graph-based Consensus Maximization (BGCM) (Gao et al., 2009)
  - ensembling -> optimization over bipartite graph
  - combining supervised and unsupervised models
- Mixtures of Experts (ME) (Jacobs et al., 1991)
  - partition the problem into sub-spaces
  - learn to switch experts based on input using a gating network
  - Deep Mixtures of Experts (Eigen et al., 2013)

Completed Work:  
I. Stacked Ensembles of Information Extractors for  
Knowledge Base Population (ACL2015)

# Stacking

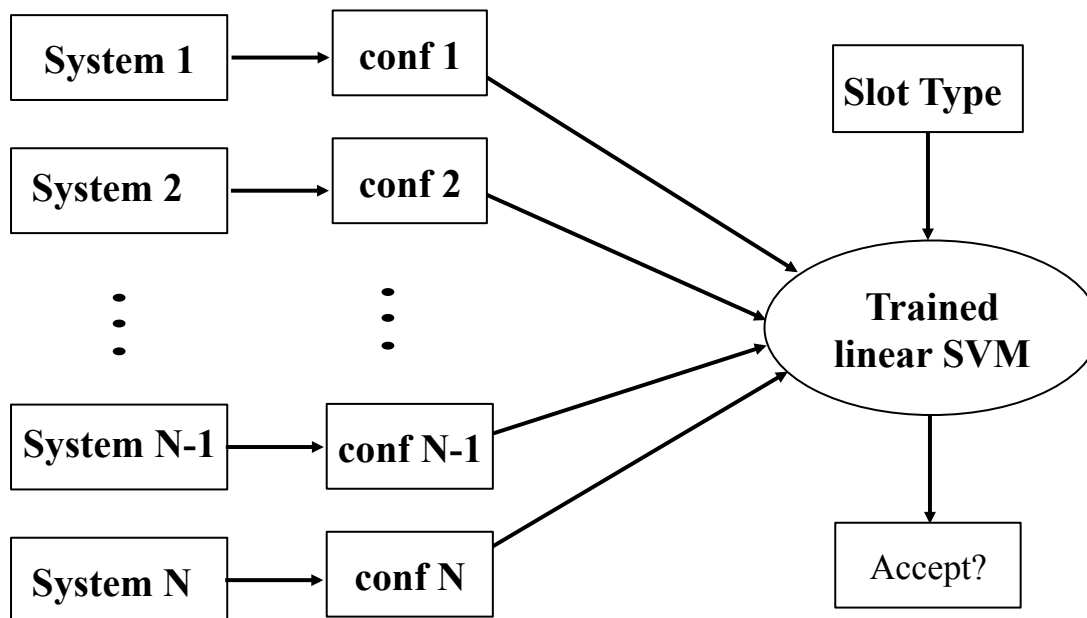
(Wolpert, 1992)

For a given proposed slot-fill, e.g. spouse(Barack, Michelle), combine confidences from multiple systems:



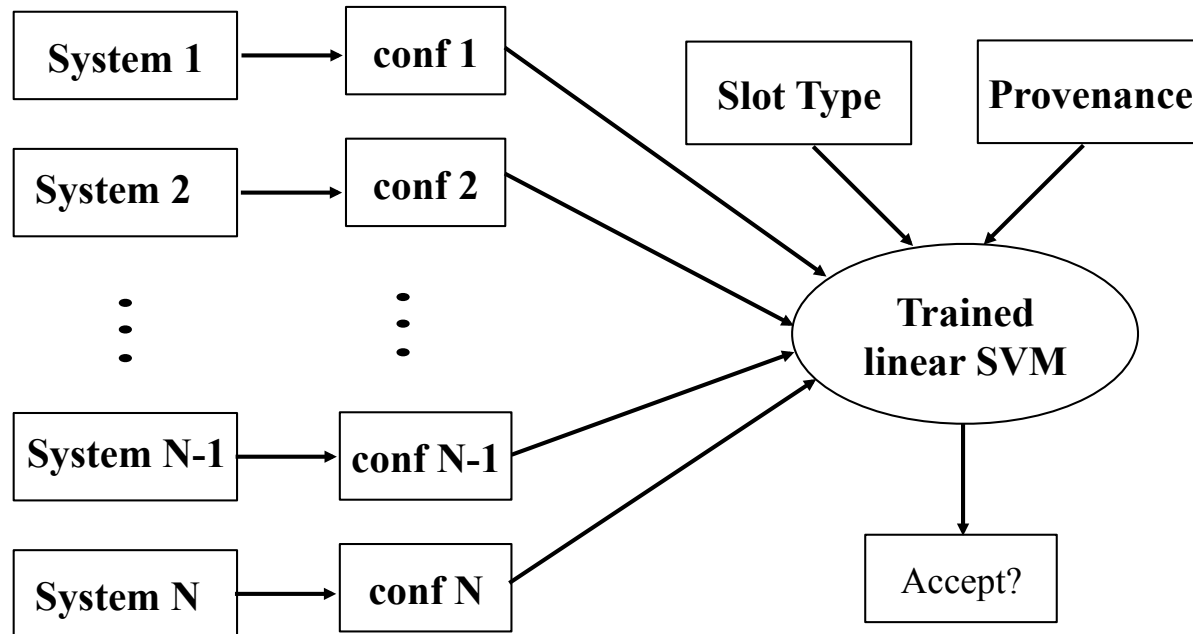
# Stacking with Features

For a given proposed slot-fill, e.g. spouse(Barack, Michelle), combine confidences from multiple systems:



# Stacking with Features

For a given proposed slot-fill, e.g. spouse(Barack, Michelle), combine confidences from multiple systems:



# Document Provenance Feature

- For a given query and slot, for each system,  $i$ , there is a feature  $DP_i$ :
  - $N$  systems provide a fill for the slot.
  - Of these,  $n$  give same provenance *docid* as  $i$ .
  - $DP_i = n/N$  is the document provenance score.
- Measures extent to which systems agree on document provenance of the slot fill.

# Offset Provenance Feature

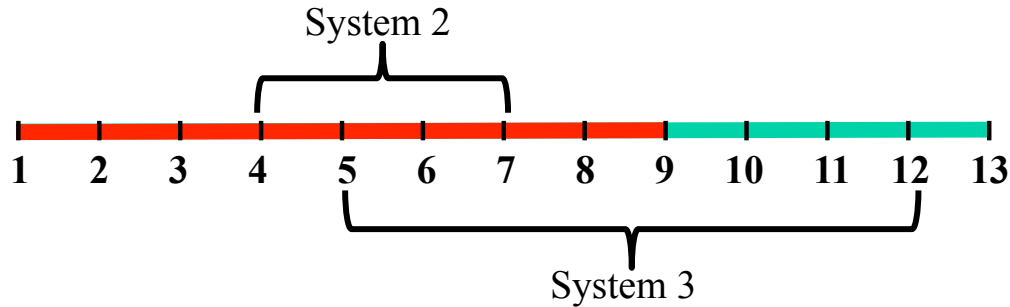
- Degree of overlap between systems' provenance strings.
- Uses Jaccard similarity coefficient.

$$PO(n) = \frac{1}{|N|} \times \sum_{i \in N, i \neq n} \frac{|\text{substring}(i) \cap \text{substring}(n)|}{|\text{substring}(i) \cup \text{substring}(n)|}$$

- Systems with different *docid* have zero OP

# Offset Provenance Feature

Offsets	System 1	System 2	System 3
Start Offset	1	4	5
End Offset	9	7	12



$$OP_1 = \frac{1}{2} \times \left( \frac{4}{9} + \frac{5}{12} \right)$$



# Results

- Using the 10 common systems between 2013 and 2014

Approach	Precision	Recall	F1
Union	0.176	<b>0.647</b>	0.277
Voting ( $\geq 3$ )	<b>0.694</b>	0.256	0.374
Best ESF system in 2014 (Stanford)	0.585	0.298	0.395
Stacking	0.606	0.402	0.483
Stacking + Relation	0.607	0.406	0.486
Stacking + Provenance + Relation	0.541	0.466	<b>0.501</b>

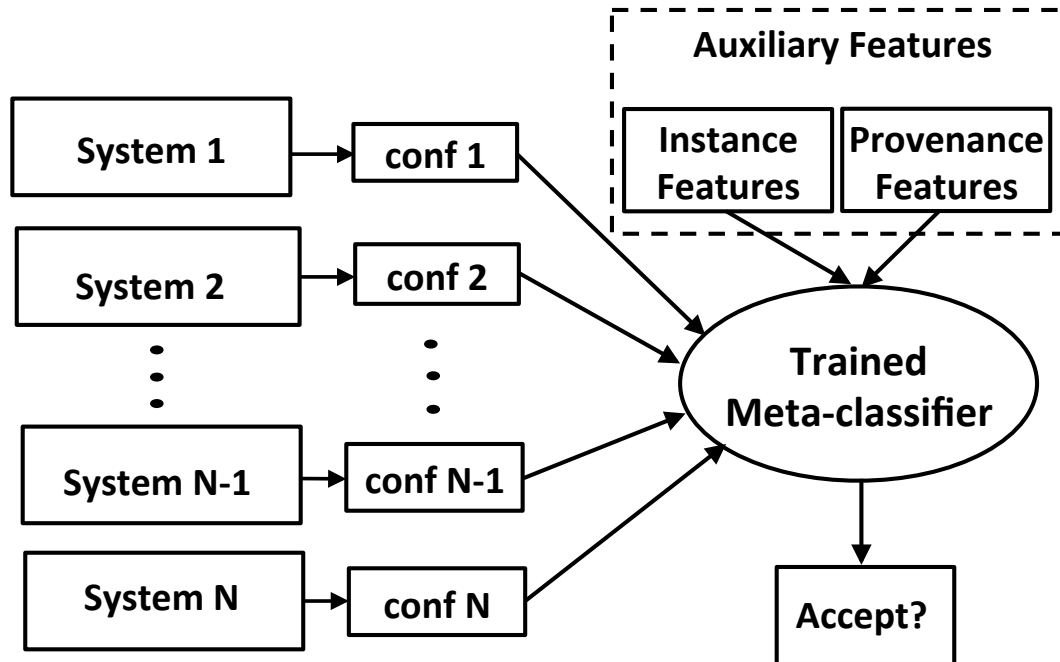
# Takeaways

- Stacked meta-classifier beats the best performing 2014 KBP SF system by an F1 gain of **11** points.
- Features that utilize auxiliary information improve stacking performance.
- Ensembling has clear advantages but naive approaches such as voting do not perform as well.
- Although systems change every year, there are advantages in training on past data.

## Completed Work: II. Stacking With Auxiliary Features (under review)

# Stacking With Auxiliary Features (SWAF)

- Stacking using two types of auxiliary features:



# Instance Features

- Enables stacker to discriminate between input instance types
- Some systems are better at certain input types
- CSSF — slot type (per: age)
- TEDL — entity type (PER/ORG/GPE/FAC/LOC)
- Object detection — object category and SIFT feature descriptors

# Provenance Features

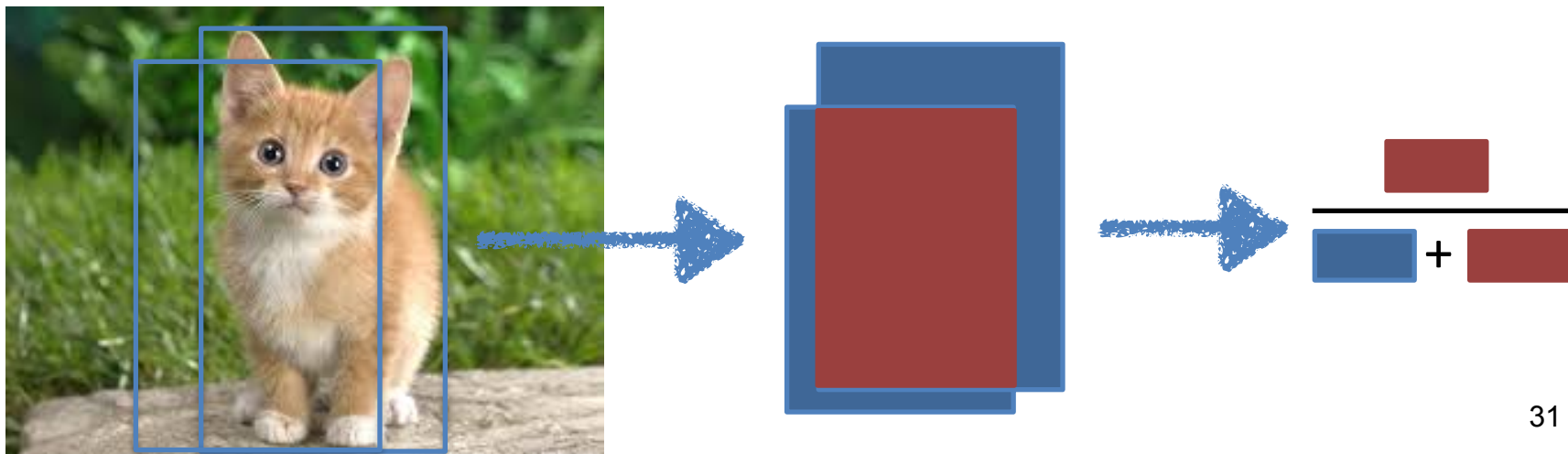
- Enables the stacker to discriminate between systems
- Output is reliable if systems agree on source
- CSSF same as slot filling
- TEDL — measures overlap of a mention

$$PO(n) = \frac{1}{|N|} \times \sum_{i \in N, i \neq n} \frac{|\text{substring}(i) \cap \text{substring}(n)|}{|\text{substring}(i) \cup \text{substring}(n)|}$$

# Provenance Features

- Object detection — measure BB overlap

$$BBO(n) = \frac{1}{|N|} \times \sum_{i \in N, i \neq n} \frac{|\text{Area}(i) \cap \text{Area}(n)|}{|\text{Area}(i) \cup \text{Area}(n)|}$$



# Post-processing

- CSSF
  - single valued slot fills — resolve conflicts
  - list values slot fills — always include
- TEDL
  - KB ID — include in output
  - \*NIL ID — merge across systems if at least one overlap
- Object detection
  - For each system, measure maximum sum overlap with other systems
  - Union/intersection — penalized by evaluation metric



# Results

- 2015 CSSF — 10 shared systems

Approach	Precision	Recall	F1
ME (Jacobs et al., 1991)	0.479	0.184	0.266
Oracle voting ( $\geq 3$ )	0.438	0.272	0.336
Top ranked system (Angeli et al., 2015)	0.399	0.306	0.346
Stacking	0.497	0.282	0.359
Stacking + instance features	0.498	0.284	0.360
Stacking + provenance features	<b>0.508</b>	0.286	0.366
SWAF	0.466	<b>0.331</b>	<b>0.387</b>

# Results

- 2015 TEDL — 6 shared systems

Approach	Precision	Recall	F1
Oracle voting ( $\geq 4$ )	0.514	<b>0.601</b>	0.554
ME (Jacobs et al., 1991)	0.721	0.494	0.587
Top ranked system (Sil et al., 2015)	0.693	0.547	0.611
Stacking	0.729	0.528	0.613
Stacking + instance features	0.783	0.511	0.619
Stacking + provenance features	0.814	0.508	0.625
<b>SWAF</b>	<b>0.814</b>	0.515	<b>0.630</b>

# Results

- 2015 ImageNet object detection— 3 shared systems

Approach	Mean AP	Median AP
Oracle voting ( $\geq 1$ )	0.366	0.368
Best standalone system (VGG + selective search)	0.434	0.430
Stacking	0.451	0.441
Stacking + instance features	0.461	0.45
Mixtures of Experts (Jacobs et al., 1991)	0.494	0.489
Stacking + provenance features	0.502	0.494
<b>SWAF</b>	<b>0.506</b>	<b>0.497</b>

# Results on object detection

object category: ping-pong ball



object category: pineapple



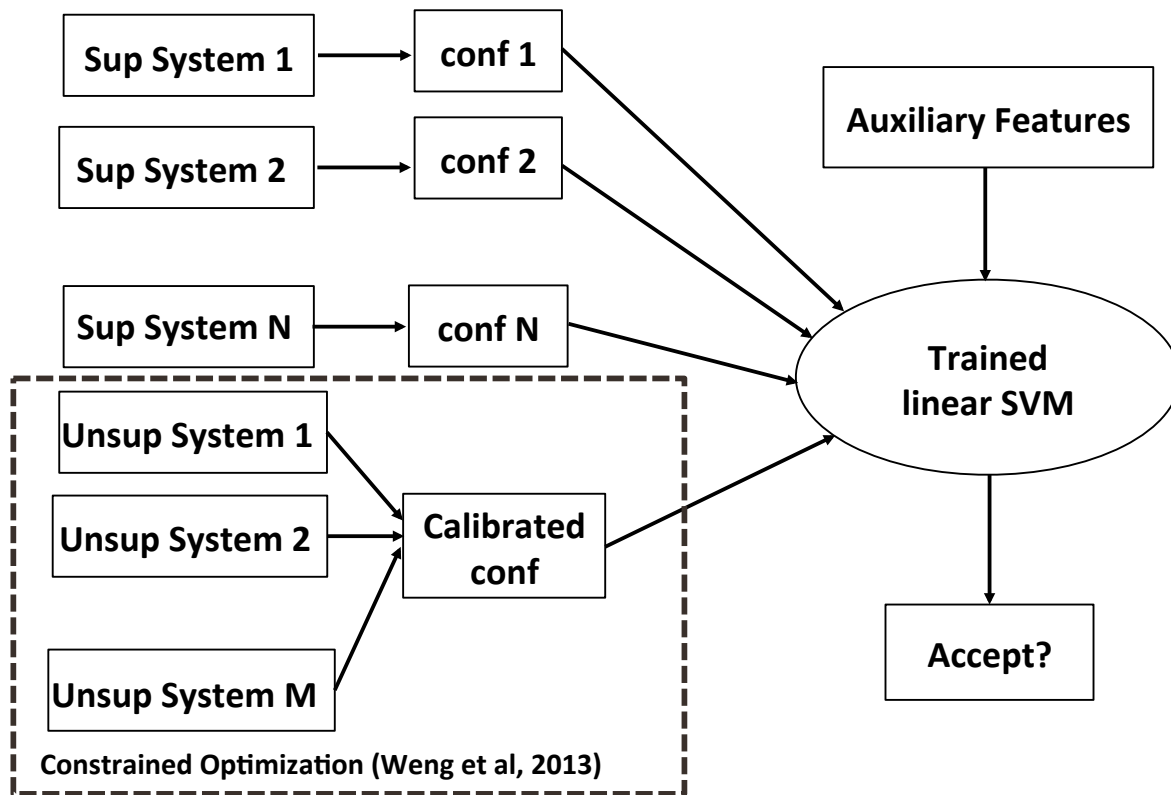
# Takeaways

- SWAF produced SOTA on CSSF and TEDL; significant improvements on object detection
- Our approach is more robust than ME in terms of number of component systems
- Works well for images with multiple instances of the same object

## Completed Work:

### III. Combining Supervised and Unsupervised Ensembles for Knowledge Base Population (EMNLP2016)

# Combining supervised & unsupervised ensembles



# Unsupervised ensemble

(Wang et al., 2013)

- Approach to aggregate raw confidence values
- Re-weight the confidence score of an instance
  - number of systems that produce it
  - rank of those systems
- Uniform weights for all systems
- Our work extends to entity linking



# Results

- 2015 CSSF — #sup systems=10, #unsup systems=13

Approach	Precision	Recall	F1
Constrained optimization	0.1712	0.3998	0.2397
Oracle voting ( $\geq 3$ )	0.4384	0.2720	0.3357
Top ranked system (Angeli et al., 2015)	0.3989	0.3058	0.3462
SWAF	0.4656	0.3312	0.3871
BGCM for combining sup + unsup	0.4902	0.3363	0.3989
Stacking for combining sup + unsup (BGCM)	<b>0.5901</b>	0.3021	0.3996
Stacking for combining sup + unsup (constrained optimization)	0.4676	<b>0.4314</b>	<b>0.4489</b>

# Results

- 2015 TEDL — #sup systems=6, #unsup systems=4

Approach	Precision	Recall	F1
Constrained optimization	0.176	0.445	0.252
Oracle voting ( $\geq 4$ )	0.514	0.601	0.554
Top ranked system (Sil et al., 2015)	0.693	0.547	0.611
SWAF	<b>0.813</b>	0.515	0.630
BGCM for combining sup + unsup	0.810	0.517	0.631
Stacking for combining sup + unsup (BGCM)	0.803	0.525	0.635
Stacking for combining sup + unsup (constrained optimization)	0.686	<b>0.624</b>	<b>0.653</b>

# Takeaways

- Many high ranking systems w/o training data
- Approximately 1/3 of possible outputs produced by unsupervised ensemble
- Combination improves recall substantially

## Proposed Work:

### I. Short-term proposals — Semantic Instance-level Features

# Instance-level features

- Completed work included only superficial instance features
- Focus more on the instance features — task specific
- Specifically, more semantic features
- Based on the results, these features:
  - help improve performance by themselves,
  - used along with provenance

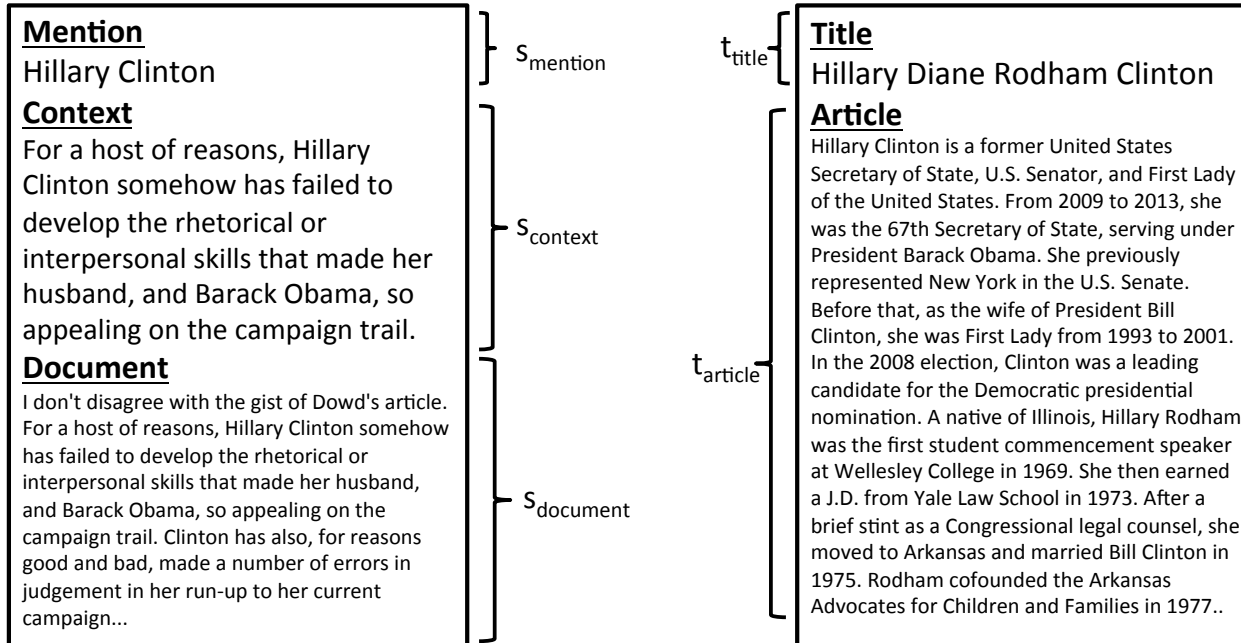
# EDL instance-level features

(Francis et al., 2016)

- Used contextual information to disambiguate entity mentions using CNNs for EDL
- Computes similarities between a mention's source document and its potential entity targets at multiple granularities.
- CNNs: text block → topic vector

# EDL instance-level features

- Example source and target granularities for an instance in the 2016 NIST KBP dataset.



# Object detection instance-level features

- ImageNet provides attributes dataset for certain categories
- Annotated with pre-defined sets of attributes:
  - **Color:** black, blue, brown, gray, green, orange, pink, red, violet, white, yellow
  - **Pattern:** spotted, striped
  - **Shape:** long, round, rectangular, square
  - **Texture:** furry, smooth, rough, shiny, metallic, vegetation, wooden, wet



## Proposed Work:

### I. Short-term proposals — Improve Foreign Language KBP

# Foreign language features

- This work will only apply to the KBP tasks
- Results on the 2016 TEDL task

Language	Precision	Recall	F1
English	<b>0.805</b>	<b>0.508</b>	<b>0.623</b>
Spanish	0.79	0.443	0.568
Chinese	0.792	0.495	0.609
Combined	0.789	0.481	0.597

# Foreign language features

- TEDL - foreign language training data
- Auxiliary features do not translate to Chinese and Spanish
- Straightforward feature — language indicator
- Use language independent features
  - non-lexical

# Language Independent Entity Linking (LIEL) solution to TEDL

(Sil and Florian, 2016)

- Entity category PMI
- Categorical relation frequency
- Title co-occurrence frequency

## Proposed Work:

# II. Long-term proposals — Visual Question Answering

# Visual Question Answering (VQA)

(Antol et al., 2015)

- Understand how DNNs do object detection

			
<p>What vegetable is on the plate? Neural Net: <b>broccoli</b> Ground Truth: broccoli</p>	<p>What color are the shoes on the person's feet ? Neural Net: <b>brown</b> Ground Truth: brown</p>	<p>How many school busses are there? Neural Net: <b>2</b> Ground Truth: 2</p>	<p>What sport is this? Neural Net: <b>baseball</b> Ground Truth: baseball</p>
			
<p>What is on top of the refrigerator? Neural Net: <b>magnets</b> Ground Truth: cereal</p>	<p>What uniform is she wearing? Neural Net: <b>shorts</b> Ground Truth: girl scout</p>	<p>What is the table number? Neural Net: <b>4</b> Ground Truth: 40</p>	<p>What are people sitting under in the back? Neural Net: <b>bench</b> Ground Truth: tent</p>

# Visual Question Answering (VQA)

- VQA involves both language and vision
- Demonstrate SWAF on VQA
- Ensemble based on the answers
  - Multiple choice questions
  - Open ended answers — 90% one-word answers
- Use explanations as auxiliary features

## Proposed Work:

### II. Long-term proposals — Explanations as auxiliary features



# Explanation as auxiliary features

- Completed work focused on using provenance
- Captured “where” aspect of the output
- Recent work on generating explanations to interpret DNNs:
  - Towards Transparent AI systems
  - Generating visual explanations
  - Visual Question Answering (VQA)
- DARPA program for explainable AI (XAI)

# Explanation as auxiliary features

- Use explanations as auxiliary features
- Capture “why” aspect of the output
- Two types of explanations:
  - Textual
  - Visual

# Text as Explanation

(Hendricks et al., 2016)

- Generating visual explanations
- Jointly predict visual class and generate text as explanation
- Uses descriptive properties visible in the image

# Text as Explanation

## Input image



## System A (Berkeley)

This is a Kentucky warbler because this is a yellow bird with a black cheek patch and a black crown

## System B

This is a Kentucky warbler because this is a yellow bird with a short tail

# Text as Explanation

- Trust agreement between systems with similar explanations
- MT metrics — BLEU/METEOR for similarity
- Minimum Bayes Risk (MBR) decoding
- Embeddings of words in the explanation

# Images as Explanation

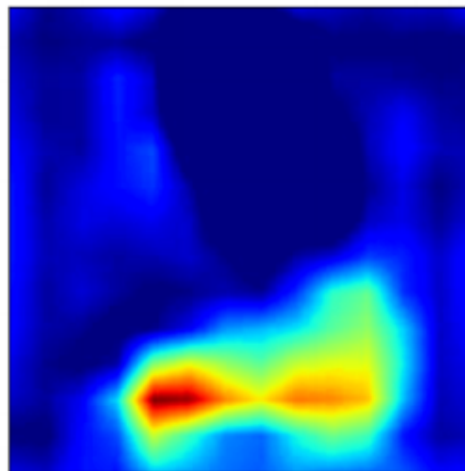
- DNNs attend to relevant parts of image while doing VQA (Goyal et al., 2016)
- Heat-map to visualize attention in images
- Humans trust systems with better explanations more even when they all predict the same output (Selvaraju et al., 2016)
- Enable the stacker to learn to rely on systems that “look” at the right region of the image while predicting the answer

# Images as Explanation

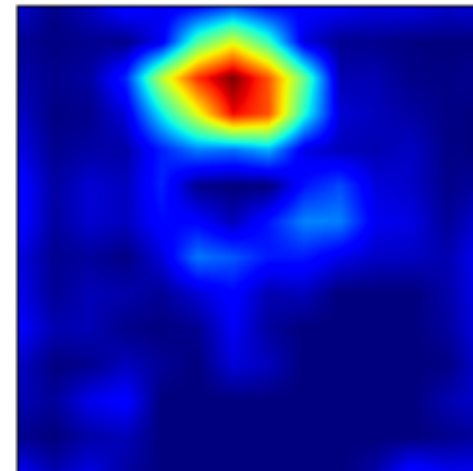
**Input image**



**System A**



**System B**



**Q: What color is the cat?**

**A: Brown**

**A: Brown**

# Images as Explanation

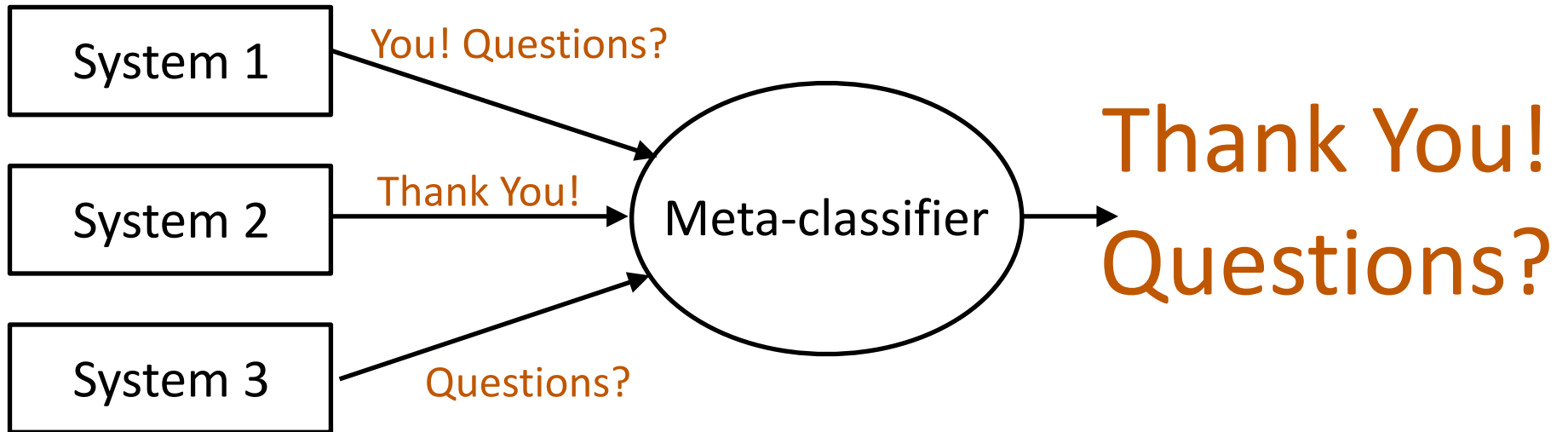
- Use visual explanation to improve VQA
- Measure agreement between systems' heat-maps
  - KL-divergence
  - Measure correlation
- Using visual explanation
  - improve performance
  - model with better explanations



# Conclusion

# Conclusion

- General problem of combining outputs from diverse systems
- SWAF on three difficult tasks
- Provenance captures “where” of the output
- Combining supervised and unsupervised ensembles improves recall
- Short-term: better auxiliary features
- Long-term: focus on “why” of the output



# Backup slides

# Results on CSSF

<doc id=b2b21b6fdbbeaa42a682e7f72980ac56e> : Thierry Henry has completed his return to Arsenal on loan from MLS side New York Red Bulls and could face Leeds United in the FA Cup on Monday night.

System 1

org:member\_of (Arsenal, NY Red Bulls)

System 2

org:member\_of (Arsenal, Leeds United)

System 3

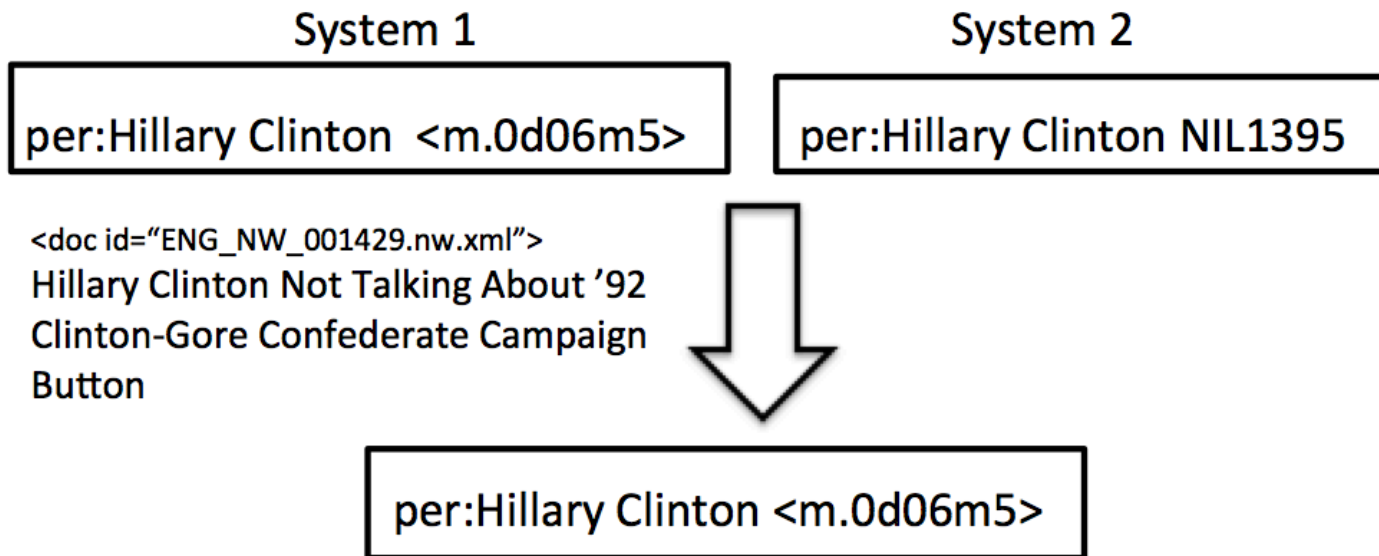
org:member\_of (Arsenal, NY Red Bulls)



SWAF

org:member\_of (Arsenal, Leeds United)

# Results on TEDL

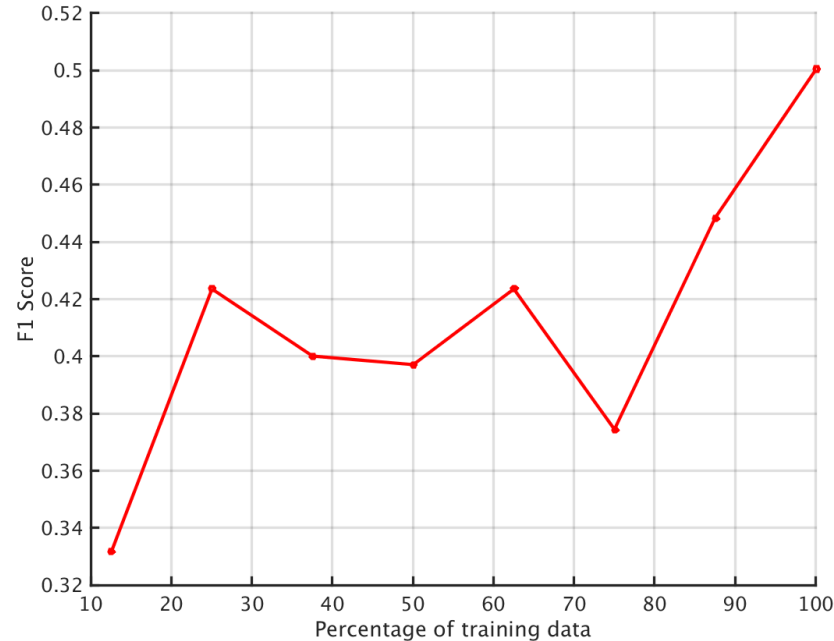


# Number of systems in 2016

	Supervised			Unsupervised		
	English	Chinese	Spanish	English	Chinese	Spanish
<b>TEDL</b>	5	4	4	7	3	3
<b>CSSF</b>	8	2	3	8	1	0

# Learning Curve

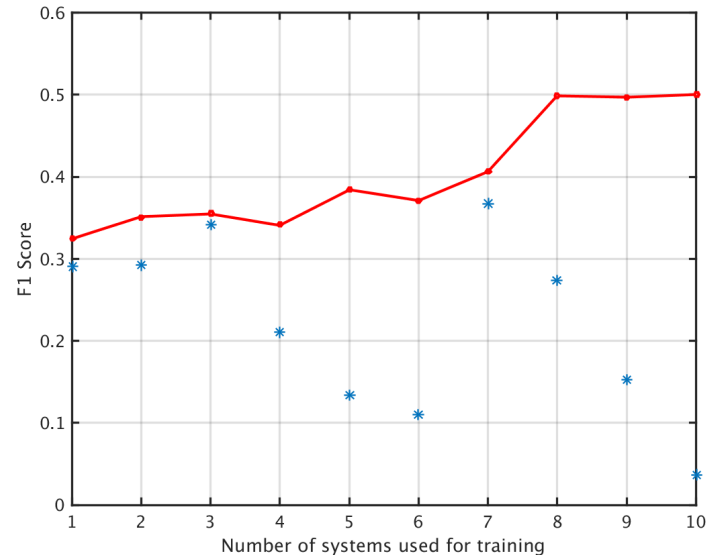
- Systems change each year.
- Still useful to train on past data.





# Incremental Training on Systems

- Sort the common systems based on their performance.
- Train the classifier adding one system at each step.
- Test on 2014 data.



# Unsupervised ensemble

- Mutual exclusion property

$$P(V_1) + P(V_2) + \dots + P(V_M) \leq 1$$

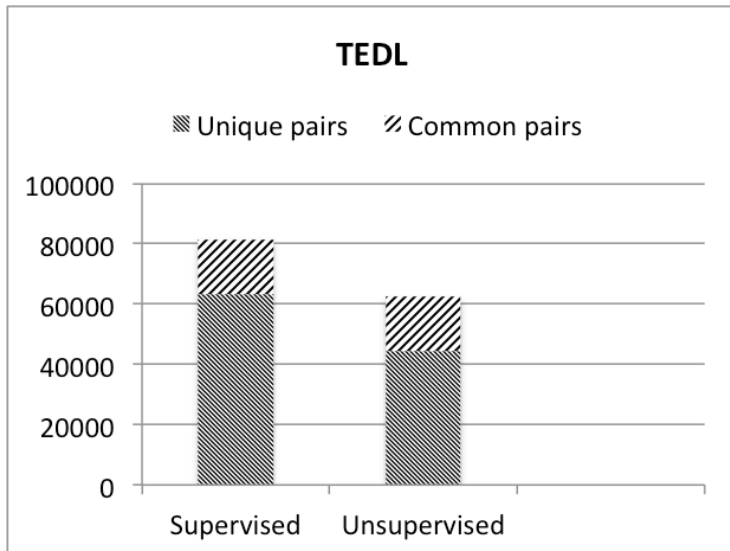
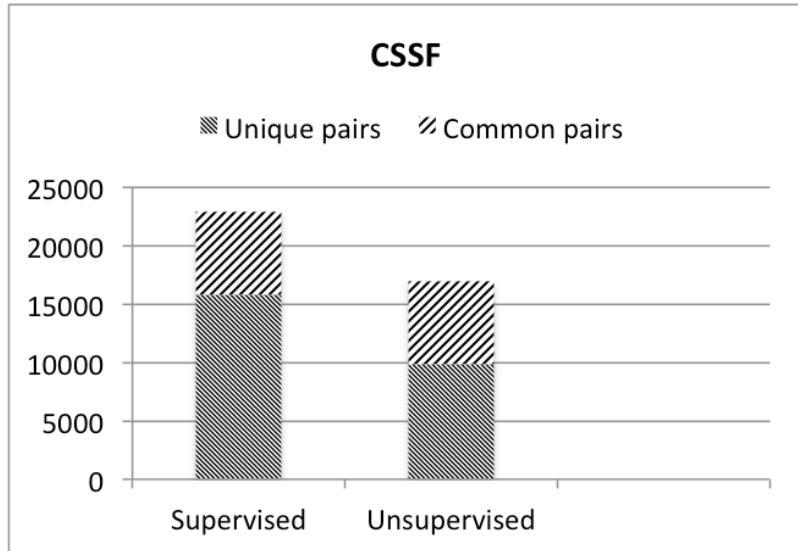
- List valued slot fill replace 1 by  
$$\frac{\text{avg no. of correct slot fills}}{\text{total no. of slot fills}}$$

- For entity-linking, 1 is replaced with

$$\frac{\text{avg no. of correct mentions for an entity type}}{\text{total no. of mentions for that entity type}}$$

# Ratio of sup and unsup systems

- Unsupervised ~1/3 of the combination
- Common output: 22% for CSSF and 15% for TEDL



# KBP instance-level features

- Embed the words in a  $d$ -dimensional space
  - $d=300$  with window size=21
- Words  $\rightarrow$  vector using a conv-net filter  $M_g$

$$\text{conv}_g(w_{1:n}) = \sum_{j=1}^{n-\ell} \max\{0, M_g w_{j:j+\ell}\}$$

- Similar semantic features between query document and provenance document for the CSSF task

# Language Independent Entity Linking (LIEL) solution to TEDL

(Sil and Florian, 2016)

- **Entity category PMI**
  - Calculates the PMI between pair of entities ( $e_1, e_2$ ) that co-occur in a document
- **Categorical relation frequency**
  - Count the number of KB relations that exists between pair of entities ( $e_1, e_2$ )
- **Title co-occurrence frequency**
  - For every pair of consecutive entities ( $e, e'$ ), computes the number of times  $e'$  appears as a link in the KB page for  $e$