

# A *Bind-free* Experience Report

Proving a type of inequality with bind-free guided rewriting

Hanbing Liu

May 11, 2009

# Background: Verifying FP Algorithms

At AMD,

- We verify our floating point DIV/SQRT algorithms
- A typical algorithm may look like this:

```
y := lookup-reciprocal(b) ;;      1/b *(1+e0)
e := rnd(1 - b*y,64)          ;;   (1-b*y)*(1+e1)
y1:= rnd(y + y*e,64)          ;;   (y+y*e)*(1+e2)
y2:= rnd(y + y1*e,64)         ;;   (y+y1*e)*(1+e3)
q := rnd(a*y2,23)
r := a - q*b
Q := rn(q + r*y2,23)
```

- Such algorithms have two stages:
  - Approximation stage
  - Rounding stage
- One task is to show that the *relative error* between  $a*y2$  and the true value  $a/b$  is bounded by a small constant

# Background: Verifying FP Algorithms

At AMD,

- We verify our floating point DIV/SQRT algorithms
- A typical algorithm may look like this:

```
y := lookup-reciprocal(b) ;;      1/b *(1+e0)
e := rnd(1 - b*y,64)         ;;    (1-b*y)*(1+e1)
y1:= rnd(y + y*e,64)         ;;    (y+y*e)*(1+e2)
y2:= rnd(y + y1*e,64)        ;;    (y+y1*e)*(1+e3)
q := rnd(a*y2,23)
r := a - q*b
Q := rn(q + r*y2,23)
```

- Such algorithms have two stages:
  - Approximation stage
  - Rounding stage
- One task is to show that the *relative error* between  $a*y2$  and the true value  $a/b$  is bounded by a small constant

In short, we often need to prove  $|P(\vec{e})| \leq C$  type theorems

# Problem: ACL2 Needs Better Guidance

A simple but illuminating example:

- Prove p2 theorem — easy

```
(defthm p2
  (implies (and (<= (abs e1) 1)
                (<= (abs e2) 1))
            (<= (abs (+ e1 e2)) 2)))
```

- Prove p10 theorem — hard

```
(defthm p10
  (implies (and (<= (abs e1) 1)
                (<= (abs e2) 1)
                ...
                (<= (abs e10) 1))
            (<= (abs (+ e1 e2 ... e10)) 10)))
```

- Prove p100 theorem — not practical

# Problem: ACL2 Needs Better Guidance

A simple but illuminating example:

- Prove p2 theorem — easy

```
(defthm p2
  (implies (and (<= (abs e1) 1)
                (<= (abs e2) 1))
            (<= (abs (+ e1 e2)) 2)))
```

- Prove p10 theorem — hard

```
(defthm p10
  (implies (and (<= (abs e1) 1)
                (<= (abs e2) 1)
                ...
                (<= (abs e10) 1))
            (<= (abs (+ e1 e2 ... e10)) 10)))
```

- Prove p100 theorem — not practical

When  $P(\vec{e})$  is complex, the ACL2 built-in linear procedures and strategies (as embodied in the its arithmetic library) are too general to be effective. ACL2 needs better guidance.

# Solution: A Simple Strategy

To prove a p100 theorem:

$$\begin{aligned} &(\text{implies } (\mathbf{and} \ (\leq (\mathbf{abs} \ e1) \ 1) \\ &\quad (\leq (\mathbf{abs} \ e2) \ 1) \\ &\quad \dots \\ &\quad (\leq (\mathbf{abs} \ e100) \ 1)) \\ &\quad (\leq (\mathbf{abs} \ (+ \ e1 \ e2 \ \dots \ e100)) \ 100)) \end{aligned}$$

A simple strategy does exist

- Prove the following rule

$$\mathbf{abs}(\text{term}) \leq d1$$
$$\mathbf{abs}(\text{poly}) \leq d2$$
$$d1+d2 \leq C$$
$$\Rightarrow$$
$$\mathbf{abs}(\text{term} + \text{poly}) \leq C$$

- Apply this rule and backchain to relieve the second hypothesis  $\mathbf{abs}(\text{poly}) \leq d2$

# Solution: A Simple Strategy

To prove a p100 theorem:

$$\begin{aligned} &(\text{implies } (\mathbf{and} \ (\leq (\mathbf{abs} \ e1) \ 1) \\ &\quad (\leq (\mathbf{abs} \ e2) \ 1) \\ &\quad \dots \\ &\quad (\leq (\mathbf{abs} \ e100) \ 1))) \\ &(\leq (\mathbf{abs} \ (+ \ e1 \ e2 \ \dots \ e100)) \ 100)) \end{aligned}$$

A simple strategy does exist

- Prove the following rule

$$\mathbf{abs}(\text{term}) \leq d1$$
$$\mathbf{abs}(\text{poly}) \leq d2$$
$$d1+d2 \leq C$$
$$\Rightarrow$$
$$\mathbf{abs}(\text{term} + \text{poly}) \leq C$$

- Apply this rule and backchain to relieve the second hypothesis  $\mathbf{abs}(\text{poly}) \leq d2$

The key is that the ACL2 theorem prover does not know how to find suitable bindings for *free variable* in the rule:  $d1$  and  $d2$

# Solution: Two Tasks And *Bind-free* Trick

To help the ACL2 theorem prover to mimic what one would do:

## Two Tasks

- Introduce **rewrite rules** that codify the general (backchain) strategy. They have *free variables* in their hypotheses. They are “templates” for what kind of proof obligations to create.
- Define **an algorithm** that examines the conjecture and finds suitable bindings for the “parameters” (free variables) in the “templates”.

## *Bind-free* trick

- Allow the ACL2 theorem prover to invoke the algorithm during rewriting to find the right way to backchain
- Details on this later



# Rewrite Rules For Our $|P(\vec{e})| \leq C$ Type problem

Match how a polynomial may be constructed.

- One rule for each type

```
(defthmd over-estimate-rule-var-leaf
  (implies (and (syntaxp (symbolp x))
                (<= (abs x) d1)
                (<= d1 d2))
            (<= (abs x) d2)))
```

```
...
(defthmd over-estimate-rule-add
  (implies (and (<= (abs x) d1)
            (<= (abs y) (+ (- d1) d2)))
            (<= (abs (+ x y)) d2)))
```

We note that, in their current forms, the ACL2 theorem prover could not make use these rules properly.

# One Workable Algorithm For Picking Bindings

Essentially a simple upper bound finding algorithm

- Two inputs:
  - A polynomial:  $'(+ (* e1 e2) (* e2 (+ e3 e3)) \dots)$
  - A list of upper bounds on the absolute value of variables:  
 $'((e1 . 1/16) (e2 . 1) (e3 . 1) \dots)$
- Output: upper bound of the polynomial under the assumption
- Operations:
  - For *atomic* polynomial such as a simple variable, looking up the upper bound in the input list
  - For *compound* polynomial, find the upper bounds for subcomponent recursively; combine the upper bounds found in a conservative way

# Bind-free Trick

```
(defthmd over-estimate-rule-add ;; old
  (implies (and (<= (abs x) d1)
            (<= (abs y) (+ (- d1) d2)))
            (<= (abs (+ x y)) d2)))
```

```
(defthmd over-estimate-rule-add ;; new
  (implies
    (and (bind-free (bind-d1-with-hints x hints) (d1))
          (less_equal_than_with_hints (abs x) d1 hints)
          (less_equal_than_with_hints (abs y)
                                       (+ (- d1) d2) hints))
    (less_equal_than_with_hints (abs (+ x y)) d2 hints)))
```

- Adding the *bind-free* hypothesis to the rewrite rule
- Replacing  $\leq$  with *less\_equal\_than\_with\_hints*
- Coming up with a suitable *hints*

# Example

Suppose we want to prove the follow:

```
(defthmd numeric-fact-old
  (implies
    (and (<= (abs e) (expt 2 -14))
         (<= (abs rne2) (expt 2 -64))
         (<= (abs rne3) (expt 2 -64))
         (rationalp e)
         (rationalp rne2)
         (rationalp rne3))
    (<= (abs (+ 1 (* -1 e)
                  (* rne3 rne3)
                  (* rne2 rne3 (+ e e))))
        2))))
```

# Example

```
(defthmd numeric-fact-new
  (implies
    (and (less_equal_than (abs e) (expt 2 -14))
          (less_equal_than (abs rne2) (expt 2 -64))
          (less_equal_than (abs rne3) (expt 2 -64))
          (rationalp e)
          (rationalp rne2)
          (rationalp rne3))
    (less_equal_than_with_hints
      (abs (+ 1 (* -1 e) (* rne3 rne3)
                (* rne2 rne3 (+ e e))))
      2
      '((e . 1/16384)
        (rne2 . 1/18446744073709551616)
        (rne3 . 1/18446744073709551616))))
: hints (("Goal" :in-theory
           (e/d (over-estimate-rule-add
                 over-estimate-rule-prod
                 over-estimate-rule-var-leaf
```

# Conclusion

Our type of  $|P(\vec{e})| \leq C$  inequality is both easy and difficult

- $P(\vec{e})$  has an explicit structure
- $C$  does not have such an explicit structure

Our technique is simple and effective

- Write an algorithm to analyze the structure of  $P(\vec{e})$
- Introduce bind-free hypothesis into a few rewrite rules
- Extract the hypotheses into a “hints” constant

This is a good showcase of how one might use bind-free