



# Predicate Refinement and Equivalences

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Building trust every day

# Predicate Refinement and Equivalences

- “A foolish consistency is the hobgoblin of little minds”
  - Ralph Waldo Emerson
- “Predicate Refinement should entail Equivalence Refinement”
  - David “Little Mind” Greve
- $((A_{\text{Type-p}} x) \Rightarrow (B_{\text{Type-p}} x)) \Rightarrow ((\text{Bequiv } x y) \Rightarrow (\text{Aequiv } x y))$
- FTY seems to provides no support for conjunctive/disjunctive types
  - It should
  - I was concerned that it might be due to issues related to equivalence
    - Based on this study, I don’t think that is the case

# Example

```
(local
  (encapsulate
    ()

    (defthm natp-implies-integerp
      (implies
        (natp x)
        (integerp x)))

    (defun integer-equiv (x y)
      (equal (ifix x) (ifix y)))

    (defequiv integer-equiv)

    (defun nat-equiv (x y)
      (equal (nfix x) (nfix y)))

    (defequiv nat-equiv)

    (defrefinement integer-equiv nat-equiv)

  ))
```

# Conjunctions

```
;;  
;; -----  
;;  
;; There are specific conditions under which new predicates,  
;; constructed as conjunctions and disjunctions of existing  
;; predicates, exhibit this behavior.  
;;  
;; Sufficient conditions for 'and' are:  
;;  
;; (defcong t1-equiv xequiv (t1-and-t2-fix x) 1)  
;;  
;; (defcong t2-equiv xequiv (t1-and-t2-fix x) 1)  
;;  
;; See encapsualte below for conditions on 'replacing' fixers/  
;; default values. Note: xequiv is typically just 'equal'.  
;;  
;; -----
```

# Conjunction Model

```
(encapsulate
  (
    ((t1-p *) => *)
    ((t2-p *) => *)
    ((t1-witness) => *)
    ((t2-witness) => *)
    ((both-witness) => *)
    ((xequiv * *) => *)
  )

  (defequiv xequiv)
  (defcong xequiv equal (t1-p x) 1)
  (defcong xequiv equal (t2-p x) 1)

  (defthm t1-witness-types
    (t1-p (t1-witness))
    :rule-classes ( (:forward-chaining :trigger-terms ((t1-witness))))))

  (defthm t2-witness-types
    (t2-p (t2-witness))
    :rule-classes ( (:forward-chaining :trigger-terms ((t2-witness))))))

  (defthm both-witness-types
    (and (t1-p (both-witness))
         (t2-p (both-witness)))
    :rule-classes ( (:forward-chaining :trigger-terms ((both-witness))))))
```

# Conjunction Assumptions

```
;; For 'replacing' fixers, these are our main assumptions ..

(defthm xequiv-witness-2-to-1
  (implies
    (t1-p (t2-witness))
    (xequiv (t2-witness)
             (t1-witness))))

(defthm xequiv-witness-1-to-both
  (implies
    (t2-p (t1-witness))
    (xequiv (t1-witness)
             (both-witness))))

(defthm xequiv-witness-2-to-both
  (implies
    (t1-p (t2-witness))
    (xequiv (t2-witness)
             (both-witness))))

)
```

# Conjuncts

```
;; -----  
(defun t1-fix (x)  
  (if (t1-p x) x (t1-witness)))  
  
(defthm t1-fix-id  
  (implies  
    (t1-p x)  
    (equal (t1-fix x) x)))  
  
(defthm t1-p-t1-fix  
  (t1-p (t1-fix x)))  
  
(in-theory (disable t1-fix))  
  
(defun t1-equiv (x y)  
  (xequiv (t1-fix x) (t1-fix y)))  
  
(defequiv t1-equiv)  
  
(defthm t1-type-equiv-t1-fix  
  (t1-equiv (t1-fix x) x))  
  
(defcong t1-equiv xequiv (t1-fix x) 1)  
  
(in-theory (disable t1-equiv))  
;; -----
```

```
;; -----  
(defun t2-fix (x)  
  (if (t2-p x) x (t2-witness)))  
  
(defthm t2-fix-id  
  (implies  
    (t2-p x)  
    (equal (t2-fix x) x)))  
  
(defthm t2-p-t2-fix  
  (t2-p (t2-fix x)))  
  
(in-theory (disable t2-fix))  
  
(defun t2-equiv (x y)  
  (xequiv (t2-fix x) (t2-fix y)))  
  
(defequiv t2-equiv)  
  
(defthm t2-type-equiv-t2-fix  
  (t2-equiv (t2-fix x) x))  
  
(defcong t2-equiv xequiv (t2-fix x) 1)  
  
(in-theory (disable t2-equiv))  
;; -----
```

# The Conjunction

```
;; -----  
(defun t1-and-t2-p (x)  
  (and (t1-p x) (t2-p x)))  
  
(defun t1-and-t2-fix (x)  
  (if (t1-and-t2-p x) x  
      (both-witness)))  
  
(defthm t1-and-t2-fix-id  
  (implies  
    (t1-and-t2-p x)  
    (equal (t1-and-t2-fix x) x)))  
  
(defthm t1-and-t2-p-t1-and-t2-fix  
  (t1-and-t2-p (t1-and-t2-fix x))  
  :rule-classes ( (:forward-chaining :trigger-terms ((t1-and-t2-fix x))))))  
  
(in-theory (disable t1-and-t2-fix))  
  
(defun t1-and-t2-equiv (x y)  
  (xequiv (t1-and-t2-fix x)  
          (t1-and-t2-fix y)))  
  
(defequiv t1-and-t2-equiv)  
  
(defthm t2-and-t2-type-equiv-t1-and-t2-fix  
  (t1-and-t2-equiv (t1-and-t2-fix x) x))  
  
(defcong t1-and-t2-equiv xequiv (t1-and-t2-fix x) 1)  
  
(in-theory (disable t1-and-t2-equiv))  
  
;; -----
```



# Conjunction Refinement Properties

```
;; -----  
(defcong t1-equiv xequiv (t1-and-t2-fix x) 1  
  :hints (("Goal" :in-theory (enable t1-equiv t1-fix t1-and-t2-fix))))  
  
(defcong t2-equiv xequiv (t1-and-t2-fix x) 1  
  :hints (("Goal" :in-theory (enable t2-equiv t2-fix t1-and-t2-fix))))  
  
;; -----  
  
(defrefinement t1-equiv t1-and-t2-equiv  
  :hints (("Goal" :in-theory (enable t1-and-t2-equiv))))  
  
(defrefinement t2-equiv t1-and-t2-equiv  
  :hints (("Goal" :in-theory (enable t1-and-t2-equiv))))  
  
;; -----
```

# Disjunctions

```
;; -----  
;;  
;; There are specific conditions under which new predicates,  
;; constructed as conjunctions and disjunctions of existing  
;; predicates, exhibit this behavior.  
;;  
;; Sufficient conditions for 'or' are:  
;;  
;; (defcong t1-or-t2-equiv xequiv (t1-fix x) 1)  
;;  
;; (defcong t1-or-t2-equiv xequiv (t2-fix x) 1)  
;;  
;; See encapsualte below for conditions on 'replacing' fixers/  
;; default values. Note: xequiv is typically just 'equal'.  
;;  
;; -----
```

# Conjunction Model and Assumptions

```
(encapsulate
  (
    ((t1-p *) => *)
    ((t1-witness) => *)
    ((t2-p *) => *)
    ((t2-witness) => *)
    ((xequiv * *) => *)
  )

  (defequiv xequiv)
  (defcong xequiv equal (t1-p x) 1)
  (defcong xequiv equal (t2-p x) 1)

  (defthm t1-p-t1-witness
    (t1-p (t1-witness))
    :rule-classes ( (:forward-chaining :trigger-terms ((t1-witness))))))

  (defthm t2-p-t2-witness
    (t2-p (t2-witness))
    :rule-classes ( (:forward-chaining :trigger-terms ((t2-witness))))))

  ;; For 'replacing' fixers, this is our main assumption ..
  (defthm witness-reduction
    (implies
      (t2-p (t1-witness))
      (xequiv (t2-witness) (t1-witness))))

  )
```

# Disjuncts

```
;; -----  
(defun t1-fix (x)  
  (if (t1-p x) x (t1-witness)))  
  
(defthm t1-fix-id  
  (implies  
    (t1-p x)  
    (equal (t1-fix x) x)))  
  
(defthm t1-p-t1-fix  
  (t1-p (t1-fix x)))  
  
(in-theory (disable t1-fix))  
  
(defun t1-equiv (x y)  
  (xequiv (t1-fix x) (t1-fix y)))  
  
(defequiv t1-equiv)  
  
(defthm t1-type-equiv-t1-fix  
  (t1-equiv (t1-fix x) x))  
  
(defcong t1-equiv xequiv (t1-fix x) 1)  
  
(in-theory (disable t1-equiv))  
;; -----
```

```
;; -----  
(defun t2-fix (x)  
  (if (t2-p x) x (t2-witness)))  
  
(defthm t2-fix-id  
  (implies  
    (t2-p x)  
    (equal (t2-fix x) x)))  
  
(defthm t2-p-t2-fix  
  (t2-p (t2-fix x)))  
  
(in-theory (disable t2-fix))  
  
(defun t2-equiv (x y)  
  (xequiv (t2-fix x) (t2-fix y)))  
  
(defequiv t2-equiv)  
  
(defthm t2-type-equiv-t2-fix  
  (t2-equiv (t2-fix x) x))  
  
(defcong t2-equiv xequiv (t2-fix x) 1)  
  
(in-theory (disable t2-equiv))  
;; -----
```

# The Disjunction

```
;; -----  
  
(defun t1-or-t2-p (x)  
  (or (t1-p x)  
      (t2-p x)))  
  
(defun t1-or-t2-fix (x)  
  (if (t1-or-t2-p x) x  
      (t1-fix x)))  
  
(defthm t1-or-t2-fix-id  
  (implies  
    (t1-or-t2-p x)  
    (equal (t1-or-t2-fix x) x)))  
  
(defthm t1-or-t2-p-t1-or-t2-fix  
  (t1-or-t2-p (t1-or-t2-fix x))  
  :rule-classes ((:forward-chaining :trigger-terms ((t1-or-t2-fix x)))))  
  
(in-theory (disable t1-or-t2-fix))  
  
(defun t1-or-t2-equiv (x y)  
  (xequiv (t1-or-t2-fix x)  
          (t1-or-t2-fix y)))  
  
(defequiv t1-or-t2-equiv)  
  
(defthm t1-or-t2-type-equiv-t1-or-t2-fix  
  (t1-or-t2-equiv (t1-or-t2-fix x) x))  
  
(defcong t1-or-t2-equiv xequiv (t1-or-t2-fix x) 1)  
  
(in-theory (disable t1-or-t2-equiv))  
  
;; -----
```

# Disjunction Refinement Properties

```
;; -----  
(defcong t1-or-t2-equiv xequiv (t1-fix x) 1  
  :hints (("Goal" :in-theory (enable t1-or-t2-equiv t1-or-t2-fix t1-fix t2-fix))))  
  
(defcong t1-or-t2-equiv xequiv (t2-fix x) 1  
  :hints (("Goal" :in-theory (enable t1-or-t2-equiv t1-or-t2-fix t1-fix t2-fix))))  
  
;; -----  
  
(defrefinement t1-or-t2-equiv t1-equiv  
  :hints (("Goal" :in-theory (enable t1-equiv))))  
  
(defrefinement t1-or-t2-equiv t2-equiv  
  :hints (("Goal" :in-theory (enable t2-equiv))))  
  
;; -----
```



## Conclusion

- The assumptions under which type refinement entails equivalence refinement are not onerous and are likely to be common
- Good type discipline should include providing and proving these refinement relations when possible