

Trapezoidal Generalization Over Linear Constraints

David Greve Andrew Gacek

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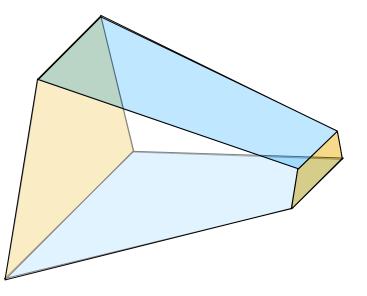


Building trust every day



Trapezoidal Generalization Talk Overview

- Motivation
 - Model-Based Fuzzing
- Previous Work
 - High-Level Spec
- Proof
 - Overview and Proof Pearls
- Future Efforts
 - Sampling







Model-Based Fuzzing

The use of Behavioral Models to perform Directed Fuzzing in search of Cyber Vulnerabilities in Embedded System Targets

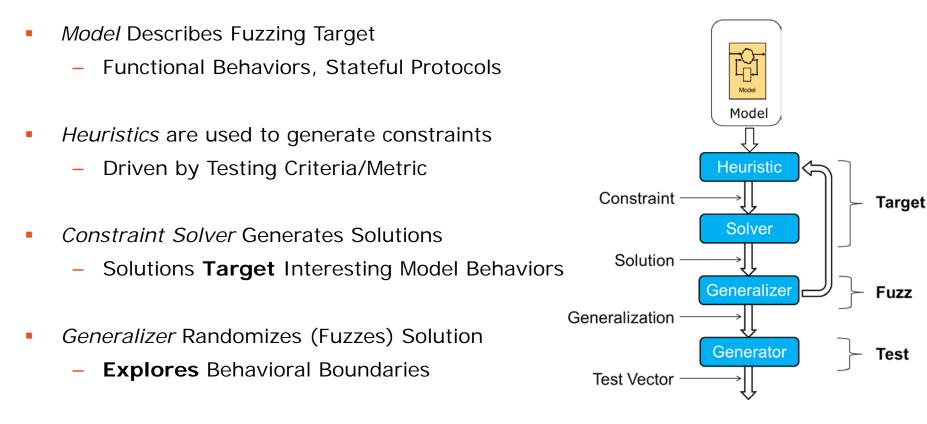
- Limited Knowledge of System Under Test
 - Requirements Specifications (Grey Box)
- Limited Visibility of System Behavior
 - Anomalous Behavior must Manifest at "System Level"
- Leverages Synergy Between Fuzzer and Solver Technologies
 - Solver Targets Known Behavior
 - Fuzzer Searches Unknown Behavior

Target What We Know Fuzz What We Don't





Model-Based Fuzzing Pipeline



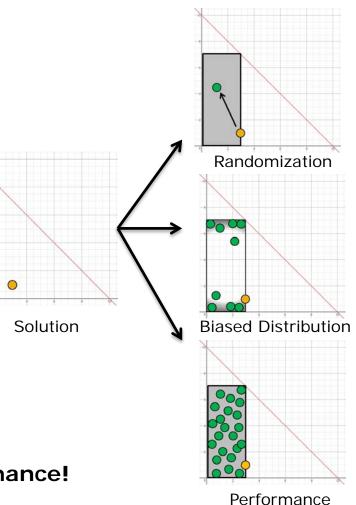
- Generator Samples Generalization to produce Test Vectors
 - Much Faster than Solver





Generalization in Model-Based Fuzzing

- Generalization
 - Transforms a Concrete Solution
 - Into a Set of Solutions
 - Produces a symbolic expression
 - In terms of system inputs
 - That Satisfies Constraint
- We use Generalization to
 - Randomize Solver Solutions
 - Influence Test Distributions
 - Boundary Value Testing
 - Decouple Solver from Test Generation
 - Boost Test Generation Performance!

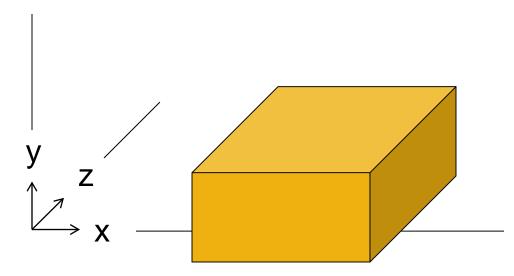






Rectilinear Generalization

Lower Bound	Variable	Upper Bound
100 <	Х	< 200
0 <	У	< 100
-50 <	Z	< 50







Trapezoidal Generalization

Lower Bound	Variable	Upper Bound
100 <	X	< 200
3x - 290 <	У	< -3x + 970
y + x – 250 <	Z	< -y + 7

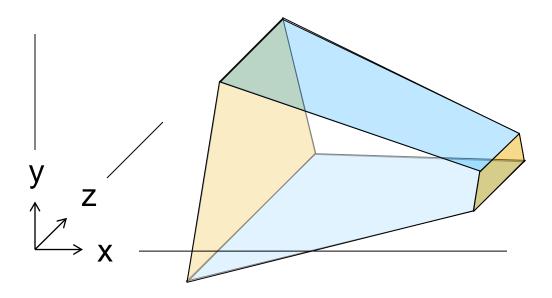
- List of Variable Bounds sorted by arbitrary variable ordering
 Interpreted as a conjunction
- One Bound (Upper and Lower) Per Variable
- Bounds are rational 1st order multivariate polynomials
 - Expressed in terms of "smaller" variables





Trapezoidal Generalization

Lower Bound	Variable	Upper Bound
100 <	Х	< 200
3x - 290 <	У	< -3x + 970
y + x – 250 <	Z	< -y + 7







Trapezoidal Generalization (vs. Intervals)

- Reduced Dependency on Original Solution
- Better Approximation of Linear Features (Boundaries)
 - Enhanced Boundary Value Fuzzing
- Larger Generalization Regions
 - Each Counterexample yields more test vectors
- Bounded Representation Size
 - Worst Case Quadratic in #Inputs
- Efficient Computation
 - Worst Case Cubic Intersection
 - Worst Case Quartic for Integer Restriction
- Supports Efficient Sampling (Vector Generation)
 - Nearly As Efficient As Intervals





Sampling

Lower Bound	Variable	Upper Bound
100 <	Х	< 200
3x - 290 <	У	< -3x + 970
y + x - 250 <	Z	< -y + 7

Test Values			
x = 110			
У			
Z			

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Sampling

Lower Bound	Variable	Upper Bound
100 <	Х	< 200
3x - 290 = 40 <	У	< 640 = -3x + 970
y + x - 250 <	Z	< -y + 7

Test Values			
x = 110			
y = 50			
Z			

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Sampling

Lower Bound	Variable	Upper Bound
100 <	Х	< 200
3x - 290 = 40 <	У	< 640 = -3x + 970
y + x - 250 = -90 <	Z	< -43 = -y + 7

Test Values				
x = 110				
y = 50				
z = -50				

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Trapezoidal Intersection Example 1

Lower	Variable	Upper		Lower	Variable	Upper
2 <=	Х		ጲ		Х	< 5
	У	< -x + 6	U	3x + 2 <	У	

Lower	Variable	Upper
2 <=	Х	< 5
3x + 2	У	< -X + 6

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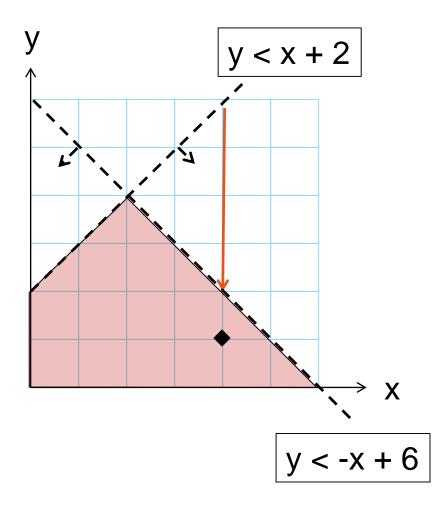
Trapezoidal Intersection Example 2

Lower	Variable	Upper		Lower	Variable	Upper
			ጲ			
	У	< x + 2	U.		У	< -x + 6

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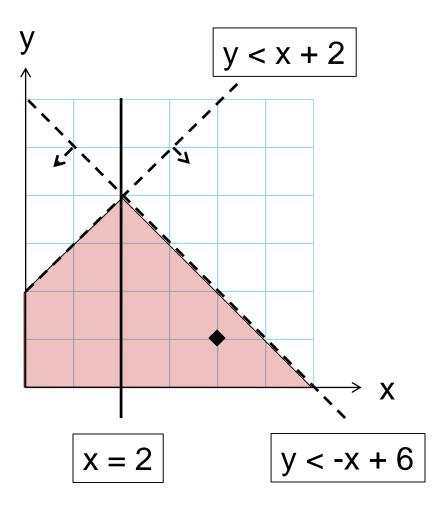
Domain Restriction







Domain Restriction

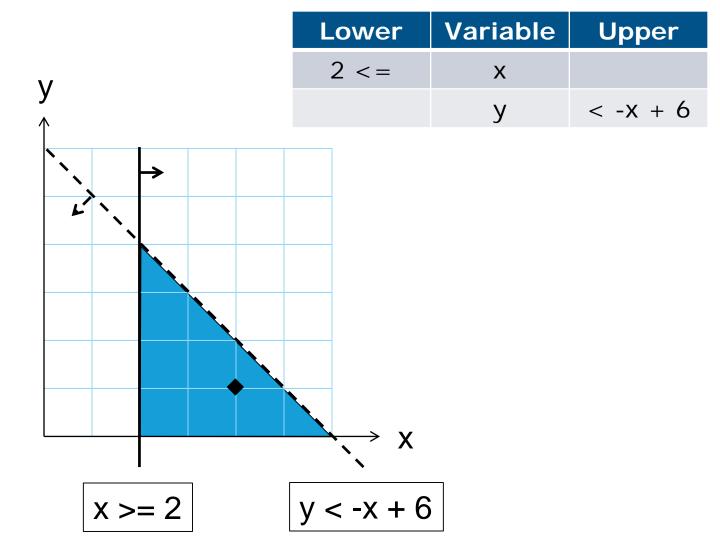




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Domain Restriction







Trapezoidal Intersection Example 2

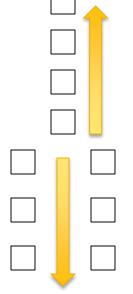
Lower	Variable	Upper		Lower	Variable	Upper
			ጲ			
	У	< -X + 6	U		У	< x + 2

Lower	Variable	Upper
2 <=	Х	
	У	< -X + 6

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Trapezoid Intersection

- If we intersect two trapezoids from smallest to largest
 - Domain Restrictions will be applied from largest to smallest
- Intersection of two variable constraints
 - May result in a Domain Restriction
- Domain Restrictions
 - Expressed in terms of Smaller Variables
- Intersection with a Domain Restriction
 - May result in 1 more (even smaller) restriction
 - Etc.
- Computational Complexity
 - Order N operations to intersect two trapezoids
 - Order N^2 operations to apply domain restrictions
 - Interval Intersection is Order N
 - Total Complexity Order N^3

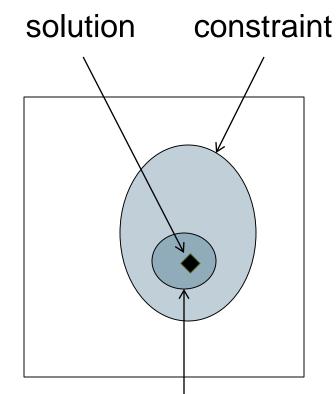






Generalization Problem Statement

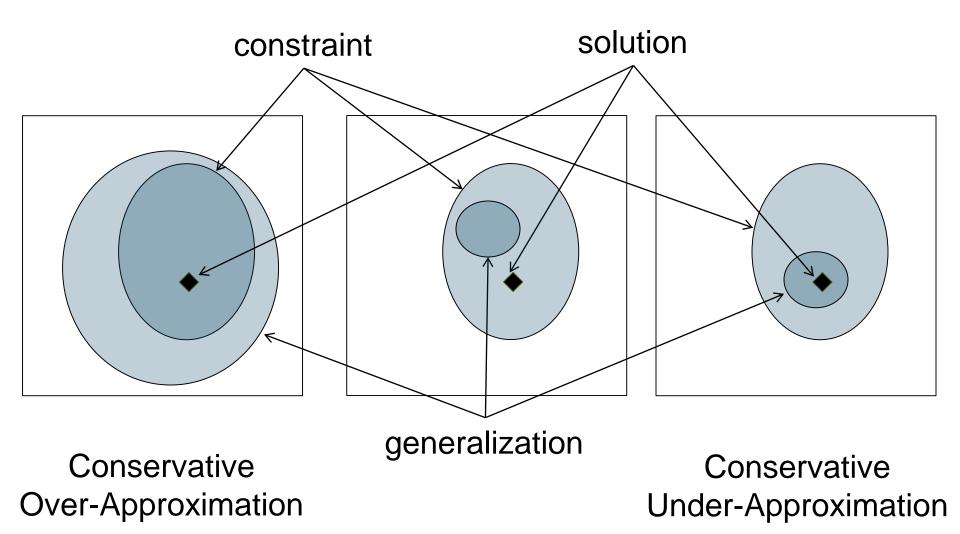
- Given
 - System Model
 - Constraint
 - Solution provided by Constraint Solver
- Generate a Generalization
 - Convert a single solution into a set of solutions
 - Express Result Concisely
 - Usually Generalization != Constraint
 - Result is Inexact



generalization



Possible Generalizations



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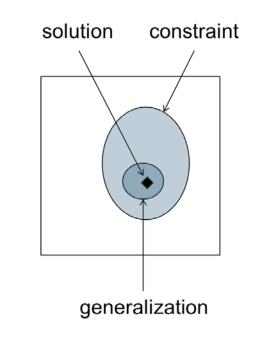
Previous Work (2017 Rump Session)

- Identified Conservative Under-Approximation
 - As Appropriate for our Application
- Formalized this Concept in ACL2
 - Expressed Correctness using 2 Invariants
- Refined a Set of Generalization Rules
 - We initially assumed that "Doing Nothing" was conservative
 - If you don't change the expression, it trivially satisfies correctness
 - We were wrong !
 - It is easy to make these kinds of mistakes
 - ACL2 can help during algorithmic development
- Motivated continued Formalism
 - Verify Concrete Implementation



Generalization Correctness Statements

- Top Level Correctness Statement
 - Generalization Contains Original Solution
 - Generalization is a Subset of Original Constraint
- Invariants
 - Can be enforced incrementally
 - During Symbolic Simulation
 - Reduce to Correctness when applied to top level constraint



- Correctness Invariants
 - 1. Evaluating Solution on Generalization must be the same as Evaluating Solution on original expression
 - Any input whose evaluation differs from that of the solution on the original expression must also differ on the Generalization





Trapezoidal Generalization: ACL2 Formalism

- Linear Rational Multi-Variate Polynomial Library
 - Formalization of Solving Equality/Inequality for one variable
- Interval Bounds
 - Bounds single variable w/to polynomials
 - Upper and/or Lower Inequalities or a single Equality
- Trapezoidal Data Structure, Regions
 - Ordered List of Interval Bounds
- Operational Building Blocks
 - Model Derived From Implementation Source Code
- Generalization Procedure
 - Generalizes a Solution Vector and produces a Region
 - Relative to arbitrary Boolean combinations of Linear Constraints
- Proof of Generalization Correctness
 - w/to 2 Correctness Invariants



Trapezoidal Data Types

```
(defun normalized-variableBound-p (term)
 (declare (type t term))
 (and (variableBound-p term)
       (>-all (bound-varid term) (bounding-variables term))
       (normalized-variableInterval-p term)))
(defun trapezoid-p (list)
 (declare (type t list))
 (if (not (consp list)) (null list)
   (let ((bv (car list)))
     (and (normalized-variableBound-p bv)
           (variableBound-listp (cdr list))
           (>-all (bound-varid by) (all-bound-list-variables (cdr list)))
           (trapezoid-p (cdr list)))))
(defun region-p (term)
 (declare (type t term))
 (case-match term
   ((`not x) (trapezoid-p x))
   ((x) (trapezoid-p x))
             nil)))
    (&
```





Evaluator

```
(def::und eval-ineq (term env)
 (declare (xargs :signature ((t t) booleanp)
                  :congruence ((nil env-equiv) equal)))
 (case-match term
   (('and x y))
    (let ((x (eval-ineq x env))
           (y (eval-ineq y env)))
       (and x y)))
   (('or x y)
    (let ((x (eval-ineq x env))
           (y (eval-ineq y env)))
       (or x y)))
    (('not x)
    (let ((x (eval-ineq x env)))
       (not x)))
   (('= var poly)
    (let ((x (eval-poly (bound-poly var) env))
           (y (eval-poly poly env)))
       (equal x y)))
   (('!= var poly)
    (let ((x (eval-poly (bound-poly var) env))
           (y (eval-poly poly env)))
       (not (equal x y))))
   ((' < x y))
    (let ((x (eval-poly (bound-poly x) env))
           (y (eval-poly y env)))
       (< x y)))
   ((' <= x y))
    (let ((x (eval-poly (bound-poly x) env))
           (y (eval-poly y env)))
       (<= x y)))
   ((' > x y))
    (let ((x (eval-poly (bound-poly x) env))
           (y (eval-poly y env)))
       (> x y)))
   ((' >= x y))
    (let ((x (eval-poly (bound-poly x) env))
           (y (eval-poly y env)))
       (>= x y)))
    (& nil)))
```





```
(def::un generalize-ineq (term sln)
  (declare (xargs :signature ((t env-p) region-p)))
  (case-match term
   (('and x y)
    (let ((x (generalize-ineg x sln))
           (y (generalize-ineg y sln)))
       (and-regions x y sln)))
   (('or x y))
    (let ((x (generalize-ineq x sln))
           (y (generalize-ineq y sln)))
       (not-region (and-regions (not-region x) (not-region y) sln))))
    (('not x)
    (let ((x (generalize-ineg x sln)))
       (not-region x)))
    (('= var poly)
    (let ((x (bound-poly var))
           (y (poly-fix! poly)))
       (normalize-equal-0 (sub x y) sln)))
   (('!= var poly)
    (let ((x (bound-poly var))
           (y (poly-fix! poly)))
       (not-region (normalize-equal-0 (sub x y) sln))))
    ((' < x y))
    (let ((x (bound-poly x))
           (y (poly-fix! y)))
       (normalize-gt-0 :exclusive (sub y x) sln)))
    ((' <= x y))
    (let ((x (bound-poly x))
           (y (poly-fix! y)))
       (normalize-gt-0 :inclusive (sub y x) sln)))
    ((' > x y))
    (let ((x (bound-poly x))
           (y (poly-fix! y)))
       (normalize-gt-0 :exclusive (sub x y) sln)))
    ((' > = x y))
    (let ((x (bound-poly x))
           (y (poly-fix! y)))
       (normalize-gt-0 :inclusive (sub x y) sln)))
    (& (negated-region nil))))
```

Generalizer

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```
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```



Generalization Correctness

```
Evaluating Solution on Generalization must be the
(defthm inv1-generalize-ineg
 (implies
                                                        same as Evaluating Solution on original expression
  (env-p sln)
  (and (wf-region-p (generalize-ineg term sln) sln)
       (iff (eval-region (generalize-ineg term sln) sln)
            (eval-ineq term sln))))
 :hints (("Goal" :induct (generalize-ineg term sln)
          :do-not-induct t)
         (and stable-under-simplificationp
              '(:in-theory (enable eval-ineq)))))
(def::signature generalize-ineg (t env-p) (lambda (x) (wf-region-p x x1))
 :hints (("Goal" :in-theory (disable wf-region-p))))
(in-theory (disable wf-region-p alt-eval-region))
(defthm inv2-generalize-ineq
 (implies
                                             Any input whose evaluation differs from that of the solution on
  (and
                                             the original expression must also differ on the Generalization
   (env-p sln)
   (iff (eval-ineq term sln)
        (not (eval-ineq term any))))
  (iff (eval-region (generalize-ineq term sln) any)
       (eval-ineq term any)))
 :hints (("Goal" :induct (generalize-ineq term sln)
                                                             Establishes Correctness of detailed
          :do-not-induct t)
         (and stable-under-simplificationp
                                                             Generalization Procedure Model
              '(:in-theory (enable eval-ineg)))))
```



against our Formal specification



Proof Pearls (Weird Things Dave Does in ACL2)

- Non-Traditional Congruences (nary)
 - Used to verify variable ordering invariants
- Delayed/Partial Termination (def::ung)
 - Used to admit/reason about awkward functions
- Question about ACL2 Linear Capabilities





Traditional backchaining (member/subset)

```
;; Traditionally ..
(defund choose-one (list)
  (car list))
(defthm choose-one-is-member
  (implies
   (consp list)
   (list::memberp (choose-one list) list))
  :hints (("Goal" :in-theory (enable choose-one))))
(defthm memberp-from-memberp-subsetp-backchain
  (implies
   (and
    (subset-p x y)
    (list::memberp a x))
   (list::memberp a y)))
(defthm some-other-proof-subgoal
  (implies
   (and
   (subset-p x y)
    (consp x))
   (list::memberp (choose-one x) y)))
```

```
(defthm >-all-is-greater-than-members
  (implies
    (and
    (>-all v list)
    (list::memberp x list))
  (< (varid-fix x) (varid-fix v))))</pre>
```





Non-Traditional (one-way) "equivalences"

```
(include-book "coi/nary/nary" :dir :system)
(encapsulate
     ()
                    (defequiv+ (subset-p x y)
   :equiv set-upper-bound-equiv
   :context set-upper-bound-ctx
   :pred set-upper-bound-pred
   :congruences ((y set-equiv-guant))
   :keywords nil
   :skip nil
 (defequiv+ (list::memberp a x)
          memberp-upper-bound-pred
   :pred
   :equiv memberp-upper-bound-equiv
   :context memberp-upper-bound-ctx
   :congruences ((x set-equiv-quant))
   :chaining-ctx set-upper-bound-ctx
   :keywords nil
   :skip nil
   )
          _____
```

Alluded to in 2006 Workshop: "Parameterized Congruences in ACL2"

```
(defthm generalized-cong-rule
(implies
(< x a)
(equal (foo x)
(foo a))))
```





Non-Traditional Congruences

```
. . . . . . . . . . . . . . . . .
::
(defcongp+ memberp-upper-bound-equiv-cons-1
 (cons x y)
                                                         Goal'''
 :rhs (append maxx y)
 :cong ((x (equal maxx (memberp-upper-bound-ctx x))))
 :equiv set-upper-bound-equiv
 :skip nil
(defcongp+ memberp-upper-bound-equiv-cons-2
 (cons x y)
 :rhs (cons x maxy)
 :cong ((y (equal maxy (set-upper-bound-ctx y))))
 :equiv set-upper-bound-equiv
 :skip nil
(defcongp+ set-upper-bound-append
 (append x y)
 :rhs (append a b)
 :equiv set-upper-bound-equiv
 :cong ((x (equal a (set-upper-bound-ctx x)))
        (y (equal b (set-upper-bound-ctx y))))
 :skip nil
            ;;
```

Goal'''
(IMPLIES (LIST::MEMBERP X MAXX)
(SUBSET-P (CONS X Y) (APPEND MAXX Y))).



Non-Traditional "Driver" Rules

```
;; ------
                                            . . . . . . . . . . . . . . . . . . . .
(defthm memberp-upper-bound-driver
 (implies
  (and
   (bind-contextp (a (equal max (memberp-upper-bound-ctx a))) :asymmetric t)
   (double-rewrite (subset-p max x)))
  (list::memberp a x)))
                                                                      Goal'4'
                                                                      (IMPLIES (AND (LIST::MEMBERP A MAX)
(defthm not-memberp-upper-bound-driver
 (implies
                                                                                    (SUBSET-P MAX X))
  (and
                                                                               (LIST::MEMBERP A X)).
   (bind-contextp (x (equal max (set-upper-bound-ctx x))))
   (double-rewrite (not (list::memberp a max))))
  (not (list::memberp a x))))
(defthm subset-p-upper-bound-driver
 (implies
  (and
   (bind-contextp (x (equal max (set-upper-bound-ctx x))))
   (force (double-rewrite (subset-p max z))))
  (subset-p x z)))
(defthm >-all-upper-bound-driver
 (implies
  (and
   (bind-contextp (list (equal max (set-upper-bound-ctx list))))
   (force (double-rewrite (>-all varid max))))
   (>-all varid list)))
                                                            If we modified the ancestors check (?)
(defthm >=all-upper-bound-driver
                                                            perhaps these could be rewrite rules ...
 (implies
  (and
   (bind-contextp (list (equal max (set-upper-bound-ctx list))))
   (force (double-rewrite (>=all varid max))))
  (>=all varid list)))
                      -----
```





Proof Using Non-Traditional Congruences

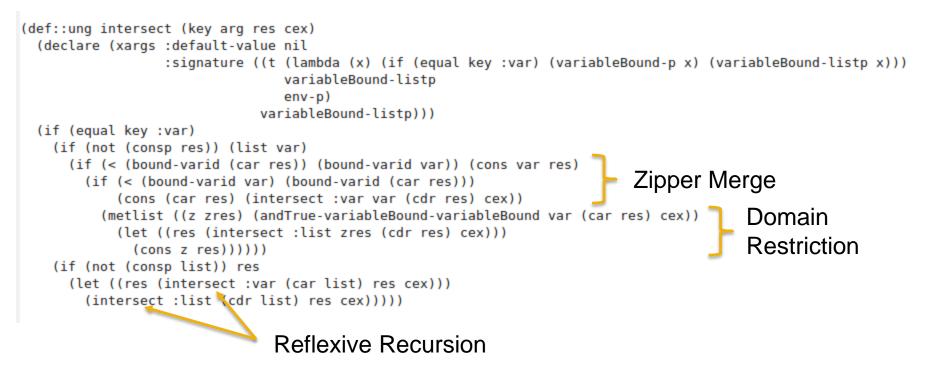
```
(defund choose-one (list)
  (car list))
(defthm choose-one-to-list
  (implies
  (consp list)
   (memberp-upper-bound-equiv (choose-one list) list))
  :hints ((and stable-under-simplificationp '(:in-theory (enable choose-one)))))
;;ACL2 !>:trans1 (memberp-upper-bound-equiv (choose-one list) list)
;;
   (EQUAL (MEMBERP-UPPER-BOUND-CTX (CHOOSE-ONE LIST))
::
          (MEMBERP-UPPER-BOUND-PRED T (CHOOSE-ONE LIST) LIST))
;;
:: Goal'
;; (IMPLIES (CONSP LIST)
            (LIST::MEMBERP (CHOOSE-ONE LIST) LIST))
;;
(defthm memberp-upper-bound-driver
  (implies
   (and
    (bind-contextp (a (equal max (memberp-upper-bound-ctx a))) :asymmetric t)
    (double-rewrite (subset-p max x)))
   (list::memberp a x)))
(defthm some-other-proof-subgoal
  (implies
   (and
   (consp x)
   (subset-p x y))
   (list::memberp (choose-one x) y))
 :hints (("Goal" :in-theory '(memberp-upper-bound-driver
                               choose-one-to-list
                               MEMBERP-UPPER-BOUND-CTX UNFIX CHECK REDUCTION 2
                               ))))
```



;; Non-Traditional Congruence



Admitting Awkward Functions (def::ung)







intersect type theorems

```
(defthm trapezoid-p-intersect
 (implies
   (and
   (if (equal key :var) (normalized-variableBound-p arg) (normalized-variableBound-listp arg))
   (trapezoid-p res)
   (env-p cex))
   (and
   (trapezoid-p (intersect key arg res cex))
   (subset-p (all-bound-list-variables (intersect key arg res cex))
              (append
               (if (equal key :var) (all-bound-variables arg) (all-bound-list-variables arg))
               (all-bound-list-variables res)))))
  :hints (("Goal" :do-not-induct t
           :induct (intersect key arg res cex))))
(defthm set-upper-bound-equiv-all-bound-list-variables-intersect
  (implies
   (and
   (if (equal key :var) (normalized-variableBound-p arg) (normalized-variableBound-listp arg))
   (trapezoid-p res)
   (env-p cex))
   (set-upper-bound-equiv (all-bound-list-variables (intersect key arg res cex))
                          (append
                           (if (equal key :var) (all-bound-variables arg) (all-bound-list-variables arg))
                           (all-bound-list-variables res))))
  :hints (("Goal" :in-theory (disable trapezoid-p-intersect)
           :use trapezoid-p-intersect)))
```





intersect measure and (conditional) termination





- Doublecheck
 - Framework can emit ACL2 theorems during generalization
 - Instances of invariants 1 & 2
 - Trapezoids : Conjunctions of linear constraints
- Original Theorems Failed/Took Forever
 - Function Applications rather than Variables
- Generalized Theorems Don't Prove Consistently





```
(include-book "arithmetic-5/top" :dir :system)
(defthm hmm
(IMPLIES (AND (RATIONALP GETVAL)
                (RATIONALP GETVAL96)
                . . .
                (RATIONALP GETVAL131)
                (RATIONALP GETVAL132)
               (<= 128 GETVAL)</pre>
                (<= -128 GETVAL96)</pre>
               (< GETVAL96 113)
                (<= GETVAL96 GETVAL98)</pre>
               (< GETVAL98 128)
               (<= GETVAL96 GETVAL101)</pre>
               (< (+ GETVAL101 (* 2/3 GETVAL98))</pre>
                   (+ 5 (* 5/3 GETVAL96)))
               (<= (+ 15 (* 5 GETVAL96))</pre>
                    (+ GETVAL101 (* 2 GETVAL107)
                       (* 2 GETVAL98)))
               (<= (+ GETVAL101 GETVAL107 (* 2 GETVAL98))</pre>
                    (+ 15 (* 4 GETVAL96)))
                (<= GETVAL96 GETVAL114)</pre>
                (< GETVAL114 GETVAL98)</pre>
                (< GETVAL98 GETVAL)</pre>
                (< (+ GETVAL101 GETVAL107</p>
                      GETVAL114 GETVAL98 (* 2 GETVAL))
                   (+ 15 (* 6 GETVAL96))))
          (NOT (EQUAL (+ GETVAL GETVAL101
                          GETVAL107 GETVAL114 GETVAL127 GETVAL98)
                       (+ 15 (* 6 GETVAL96)))))
```



)



```
(include-book "arithmetic-5/top" :dir :system)
(include-book "projects/smtlink/top" :dir :system)
(add-default-hints '((smt::smt-computed-hint clause)))
(defthm hmm
(IMPLIES (AND (RATIONALP GETVAL)
                (RATIONALP GETVAL96)
                (RATIONALP GETVAL131)
                (RATIONALP GETVAL132)
               (<= 128 GETVAL)
               (<= -128 GETVAL96)</pre>
               (< GETVAL96 113)
               (<= GETVAL96 GETVAL98)</pre>
               (< GETVAL98 128)
               (<= GETVAL96 GETVAL101)</pre>
               (< (+ GETVAL101 (* 2/3 GETVAL98))</pre>
                   (+ 5 (* 5/3 GETVAL96)))
               (<= (+ 15 (* 5 GETVAL96))
                    (+ GETVAL101 (* 2 GETVAL107)
                       (* 2 GETVAL98)))
                (<= (+ GETVAL101 GETVAL107 (* 2 GETVAL98))</pre>
                    (+ 15 (* 4 GETVAL96)))
               (<= GETVAL96 GETVAL114)</pre>
               (< GETVAL114 GETVAL98)</pre>
               (< GETVAL98 GETVAL)</pre>
               (< (+ GETVAL101 GETVAL107</pre>
                      GETVAL114 GETVAL98 (* 2 GETVAL))
                   (+ 15 (* 6 GETVAL96))))
          (NOT (EQUAL (+ GETVAL GETVAL101
                          GETVAL107 GETVAL114 GETVAL127 GETVAL98)
                       (+ 15 (* 6 GETVAL96)))))
:hints (("Goal" :smtlink nil)))
```





- Doublecheck
 - Framework can emit ACL2 theorems during generalization
 - Instances of invariants 1 & 2
 - Trapezoids : Conjunctions of linear constraints
- Original Theorems Failed/Took Forever
 - Function Applications rather than Variables
- Generalized Theorems Don't Prove Consistently

How does Linear Reasoning differ from LP?





Sampling (Oops ..)

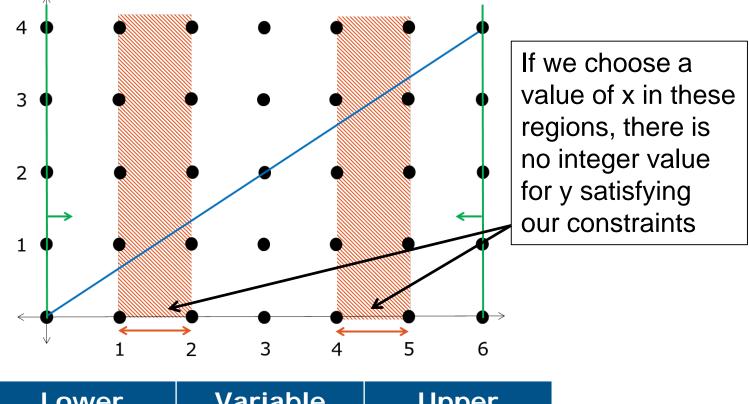
Lower Bound	Variable	Upper Bound
100 <	X	< 200
3x - 290 = 40 <	У	< 640 = -3x + 970
y + x - 250 = 490 <	z z	< -623 = -y + 7

Test Values		
x = 110		
y = 630		
z = ??		





Integer Equality

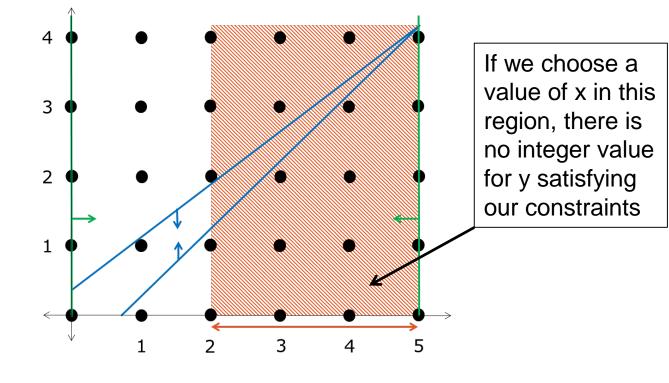


Lower	Variable	Upper
0 <=	х	<= 6
(2/3)x =	У	= (2/3)x





Integer Intervals



Lower	Variable	Upper
0 <=	Х	<= 5
.9x4 <=	У	<=.74x + .4





Future Work

- We have defined a technique for restricting trapezoids
 - Restricted Trapezoids can be sampled
 - Without Inconsistencies
 - Without Backtracking
 - Even for Integer Valued Variables
- Remaining Challenge:
 - Prove that Restriction Works

