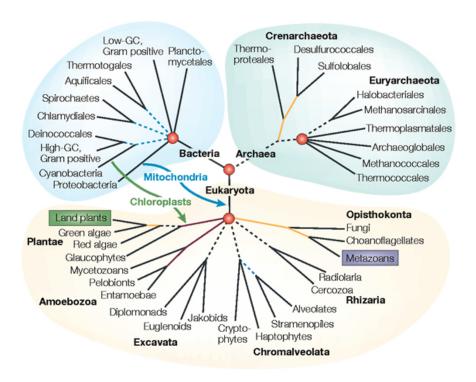
Introduction to Phylogenomics and Metagenomics

Tandy Warnow

The Department of Computer Science

The University of Texas at Austin

The "Tree of Life"



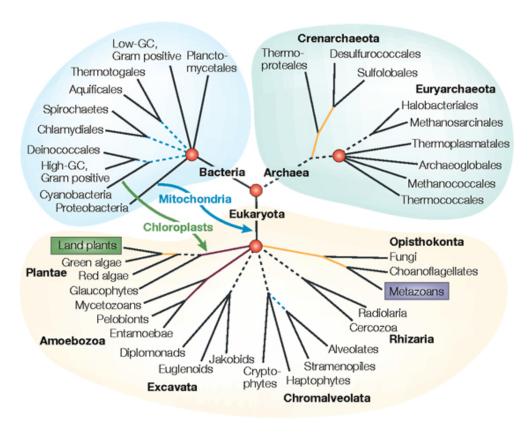
Applications of phylogenies to:
 protein structure and function
 population genetics
 human migrations

Estimating phylogenies is a complex analytical task

Large datasets are very hard to analyze with high accuracy

Nature Reviews | Genetics

Phylogenetic Estimation: Big Data Challenges



NP-hard problems

Large datasets: 100,000+ sequences 10,000+ genes

"BigData" complexity

Nature Reviews | Genetics

Avian Phylogenomics Project

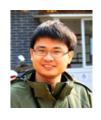
Erich Jarvis, HHMI



MTP Gilbert, Copenhagen



G Zhang, BGI



T. Warnow UT-Austin



S. Mirarab Md. S. Bayzid UT-Austin UT-Austin





Plus many many other people...

- Approx. 50 species, whole genomes
- 8000+ genes, UCEs
- Gene sequence alignments and trees computed using SATé

Challenges:

Maximum likelihood tree estimation on multi-million-site sequence alignments

Massive gene tree incongruence

1kp: Thousand Transcriptome Project

G. Ka-Shu Wong U Alberta J. Leebens-Mack U Georgia N. Wickett Northwestern N. Matasci iPlant

T. Warnow, UT-Austin

S. Mirarab, UT-Austin N. Nguyen, UT-Austin Md. S.Bayzid UT-Austin

















Plus many many other people...

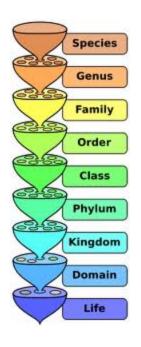
- Plant Tree of Life based on transcriptomes of ~1200 species
- More than 13,000 gene families (most not single copy)

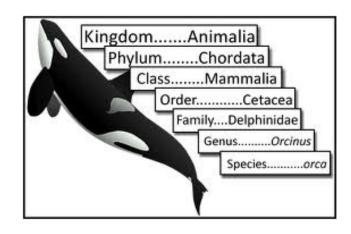
Challenge:

Alignment of datasets with > 100,000 sequences Gene tree incongruence

Metagenomic Taxon Identification

Objective: classify short reads in a metagenomic sample





Basic Questions

1. What is this fragment? (Classify each fragment as well as possible.)

2. What is the taxonomic distribution in the dataset? (Note: helpful to use marker genes.)

3. What are the organisms in this metagenomic sample doing together?

Phylogenomic pipeline

- Select taxon set and markers
- Gather and screen sequence data, possibly identify orthologs
- Compute multiple sequence alignments for each marker (possibly "mask" alignments)
- Compute species tree or network:
 - Compute gene trees on the alignments and combine the estimated gene trees, OR
 - Perform "concatenation analysis" (aka "combined analysis")
- Get statistical support on each branch (e.g., bootstrapping)
- Use species tree with branch support to understand biology

Phylogenomic pipeline

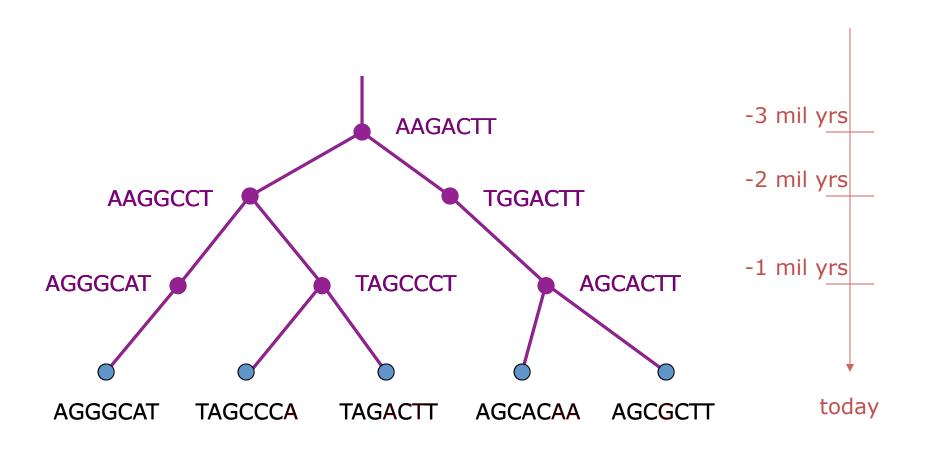
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This talk

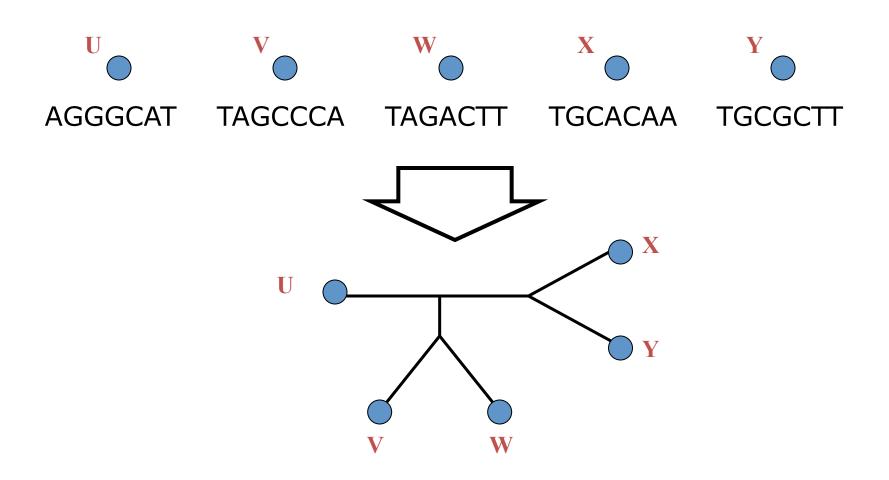
- Phylogeny estimation methods
- Multiple sequence alignment (MSA)
- Species tree estimation methods from multiple gene trees
- Phylogenetic Networks
- Metagenomics
- What we'll cover this week

Phylogeny Estimation methods

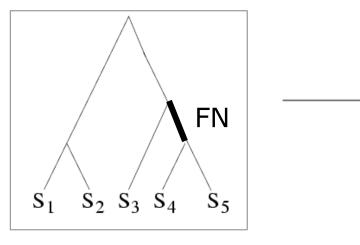
DNA Sequence Evolution



Phylogeny Problem



Quantifying Error



TRUE TREE

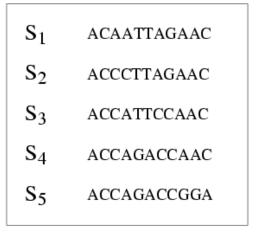
FN: false negative

(missing edge)

FP: false positive

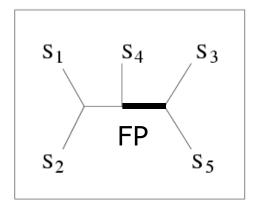
(incorrect edge)

50% error rate



DNA SEQUENCES





INFERRED TREE

Markov Model of Site Evolution

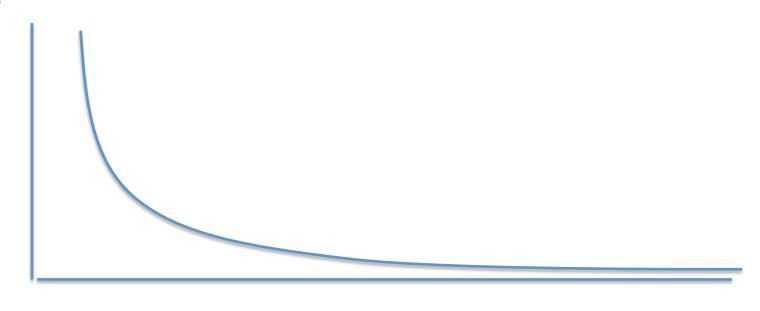
Simplest (Jukes-Cantor):

- The model tree T is binary and has substitution probabilities p(e) on each edge e.
- The state at the root is randomly drawn from {A,C,T,G} (nucleotides)
- If a site (position) changes on an edge, it changes with equal probability to each of the remaining states.
- The evolutionary process is Markovian.

More complex models (such as the General Markov model) are also considered, often with little change to the theory.

Statistical Consistency

error



Data

Data are sites in an alignment

Tree Estimation Methods

- Maximum Likelihood (e.g., RAxML, FastTree, PhyML)
- Bayesian MCMC (e.g., MrBayes)
- Maximum Parsimony (e.g., TNT, PAUP*)
- Distance-based methods (e.g., neighbor joining)
- Quartet-based methods (e.g., Quartet Puzzling)

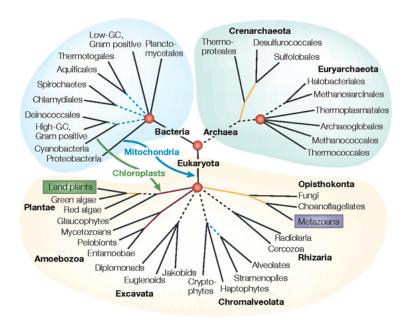
General Observations

- Maximum Likelihood and Bayesian methods probably most accurate, have statistical guarantees under many statistical models (e.g., GTR). However, these are often computationally intensive on large datasets.
- No statistical guarantees for maximum parsimony (can even produce the incorrect tree with high support) – and MP heuristics are computationally intensive on large datasets.
- Distance-based methods can have statistical guarantees, but may not be so accurate.

General Observations

- Maximum Parsimony and Maximum Likelihood are NP-hard optimization problems, so methods for these are generally heuristic – and may not find globally optimal solutions.
- However, effective heuristics exist that are reasonably good (and considered reliable) for most datasets.
 - MP: TNT (best?) and PAUP* (very good)
 - ML: RAxML (best?), FastTree (even faster but not as thorough), PhyML (not quite as fast but has more models), and others

Estimating The Tree of Life: a Grand Challenge



Nature Reviews | Genetics

Most well studied problem:

Given DNA sequences, find the Maximum Likelihood Tree

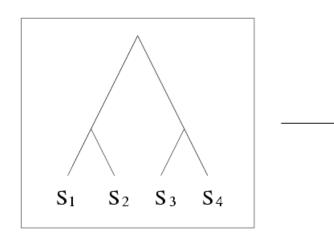
NP-hard, lots of heuristics (RAxML, FastTree-2, PhyML, GARLI, etc.)

More observations

- Bayesian methods: Basic idea find a distribution of trees with good scores, and so don't return just the single best tree.
- These are even slower than maximum likelihood and maximum parsimony. They require that they are run for a long time so that they "converge". May be best to limit the use of Bayesian methods to small datasets.
- Example: MrBayes.

Distance-based methods

Distance-based estimation



TRUE TREE

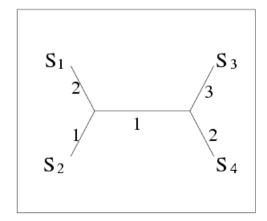
 S_1 ACAATTAGAAC

S₂ ACCCTTAGAAC

S₃ ACCATTCCAAC

S₄ ACCAGACCAAC

DNA SEQUENCES



INFERRED TREE

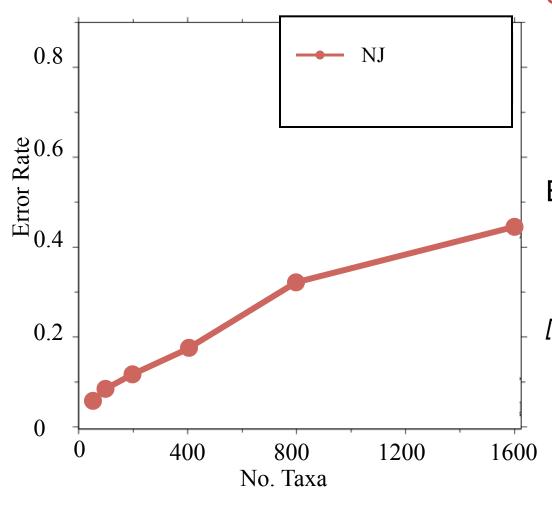
METHODS SUCH AS NEIGHBOR JOINING

		S_1	S_2	S_3	S_4
	S_1	0	3	6	5
	S_2		0	5	4
	S_3			0	5
	S_4				0

DISTANCE MATRIX

STATISTICAL ESTIMATION OF PAIRWISE DISTANCES

Neighbor Joining on large diameter trees

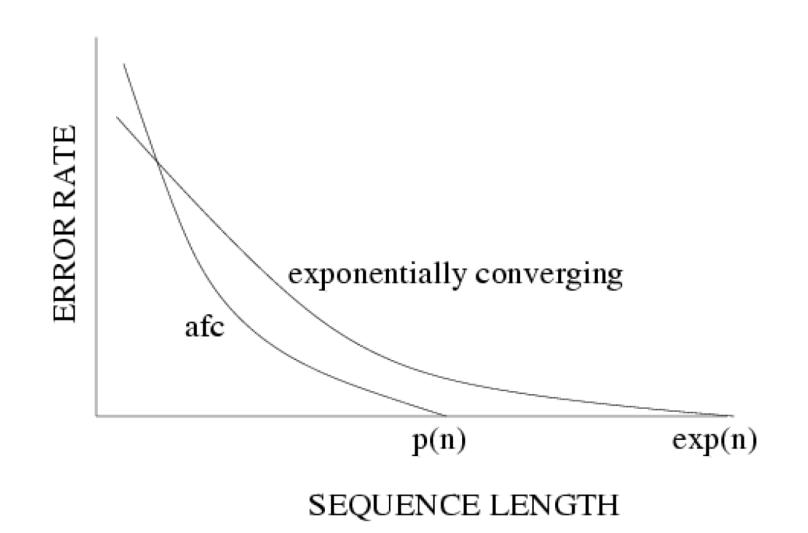


Simulation study based upon fixed edge lengths, K2P model of evolution, sequence lengths fixed to 1000 nucleotides.

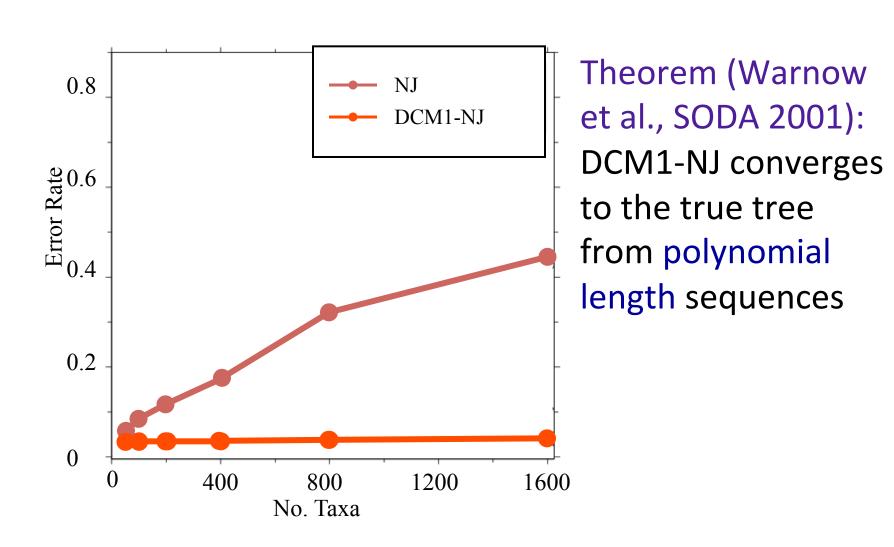
Error rates reflect proportion of incorrect edges in inferred trees.

[Nakhleh et al. ISMB 2001]

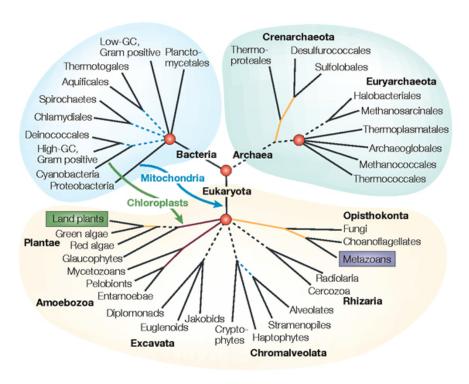
Statistical consistency, exponential convergence, and absolute fast convergence (afc)



DCM1-boosting distance-based methods [Nakhleh et al. ISMB 2001]



Large-scale Phylogeny: A grand challenge!



Nature Reviews | Genetics

Estimating phylogenies is a complex analytical task

Large datasets are very hard to analyze with high accuracy -- many sites not the same challenge as many taxa!

High Performance Computing is necessary but not sufficient

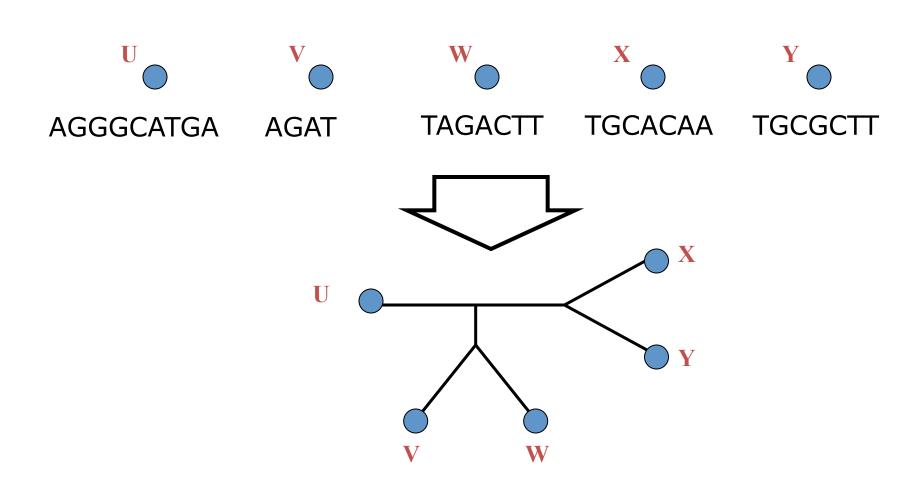
Summary

- Effective heuristics for Maximum likelihood (e.g., RAxML and FastTree) and Bayesian methods (e.g., MrBayes) have statistical guarantees and give good results, but they are slow.
- The best distance-based methods also have statistical guarantees and can give good results, but are not necessarily as accurate as maximum likelihood or Bayesian methods.
- Maximum parsimony has no guarantees, but can give good results. Some effective heuristics exist (TNT, PAUP*).

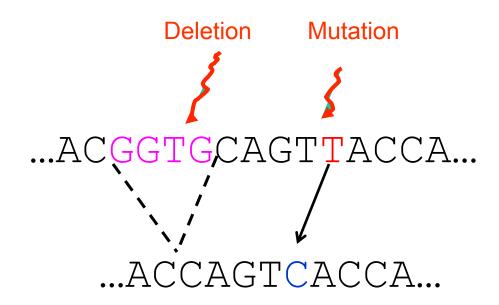
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- Effective heuristics for Maximum likelihood (e.g., RAxML and FastTree) and Bayesian methods (e.g., MrBayes) have statistical guarantees and give good results, but they are slow.
- The best distance-based methods also have statistical guarantees and can give good results, but are not necessarily as accurate as maximum likelihood or Bayesian methods.
- Maximum parsimony has no guarantees, but can give good results. Some effective heuristics exist (TNT, PAUP*).
- However, all these results assume the sequences evolve only with substitutions.

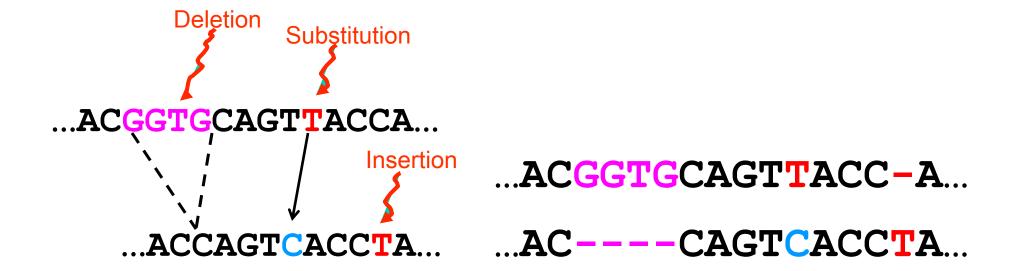
The "real" problem



Indels (insertions and deletions)



Multiple Sequence Alignment



The true multiple alignment

- Reflects historical substitution, insertion, and deletion events
- Defined using transitive closure of pairwise alignments computed on edges of the true tree

Input: unaligned sequences

```
S1 = AGGCTATCACCTGACCTCCA
```

S2 = TAGCTATCACGACCGC

S3 = TAGCTGACCGC

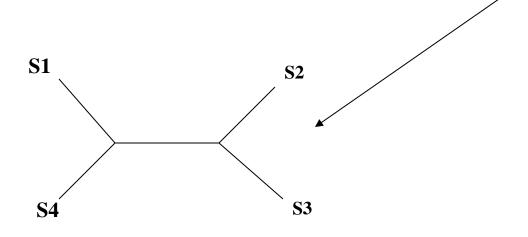
S4 = TCACGACCGACA

Phase 1: Alignment

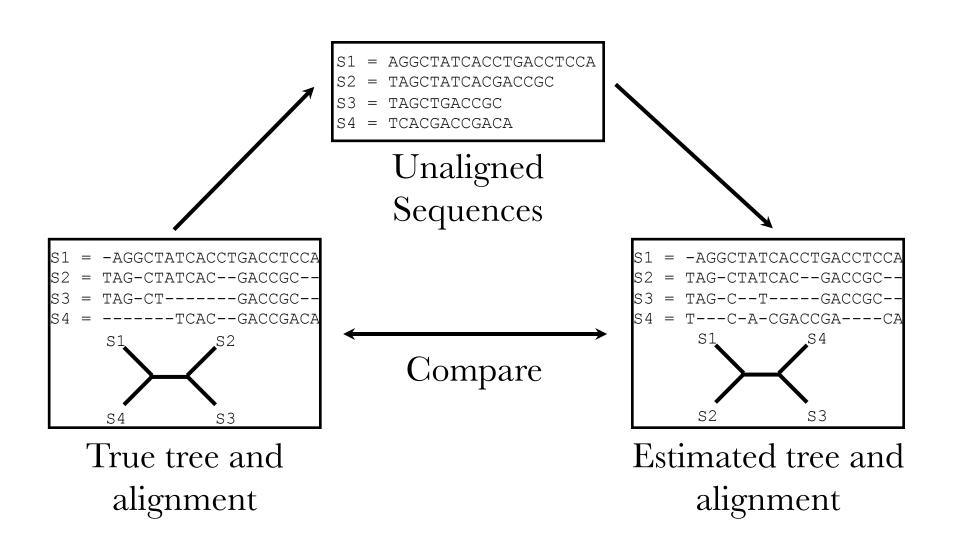
```
S1 = AGGCTATCACCTGACCTCCA
S2 = TAGCTATCACGACCGC
S3 = TAGCTGACCGC
S4 = TCACGACCGACA
S1 = -AGGCTATCACCTGACCTCCA
S2 = TAG-CTATCAC--GACCGC--
S3 = TAG-CT-----GACCGC--
S4 = -----TCAC--GACCGACA
```

Phase 2: Construct tree

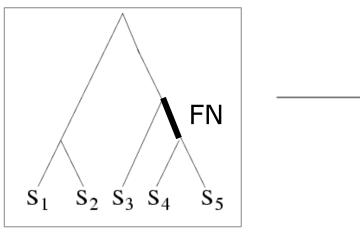
```
S1 = AGGCTATCACCTGACCTCCA
S2 = TAGCTATCACGACCGC
S3 = TAGCTGACCGC
S4 = TCACGACCGACA
S1 = -AGGCTATCACCTGACCTCCA
S2 = TAG-CTATCAC--GACCGC--
S3 = TAG-CT------GACCGC--
S4 = -----TCAC--GACCGACA
```



Simulation Studies



Quantifying Error



TRUE TREE

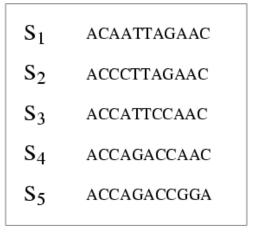
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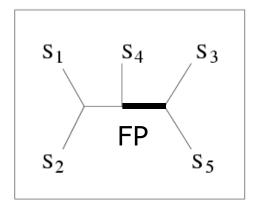
(incorrect edge)

50% error rate



DNA SEQUENCES





INFERRED TREE

Two-phase estimation

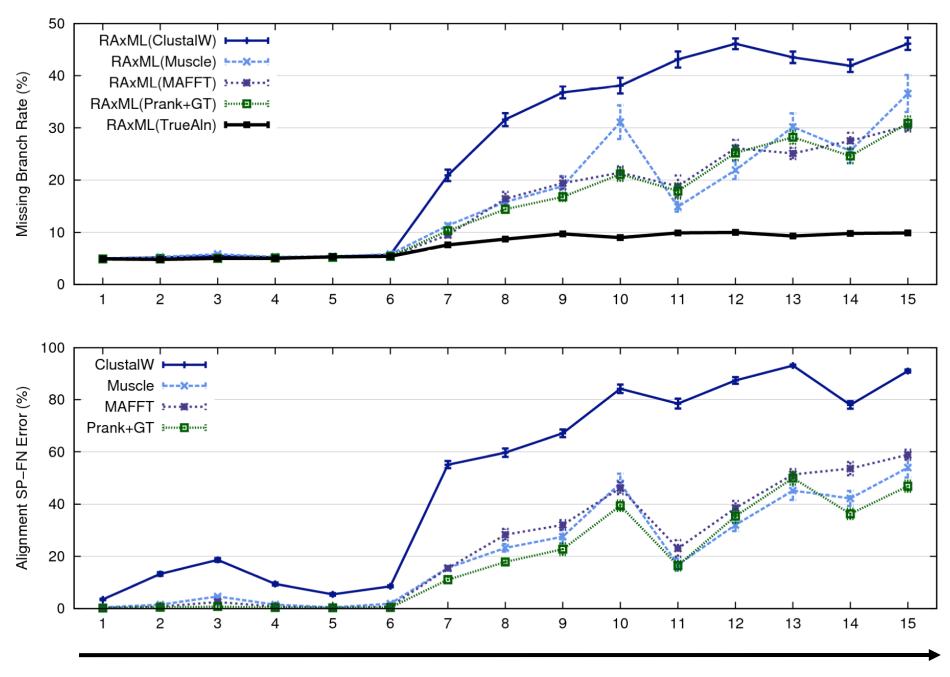
Alignment methods

- Clustal
- POY (and POY*)
- Probcons (and Probtree)
- Probalign
- MAFFT
- Muscle
- Di-align
- T-Coffee
- Prank (PNAS 2005, Science 2008)
- Opal (ISMB and Bioinf. 2007)
- FSA (PLoS Comp. Bio. 2009)
- Infernal (Bioinf. 2009)
- Etc.

Phylogeny methods

- Bayesian MCMC
- Maximum parsimony
- Maximum likelihood
- Neighbor joining
- FastME
- UPGMA
- Quartet puzzling
- Etc.

RAXML: heuristic for large-scale ML optimization



1000-taxon models, ordered by difficulty (Liu et al., 2009)

Multiple Sequence Alignment (MSA): another grand challenge¹

Novel techniques needed for scalability and accuracy

NP-hard problems and large datasets
Current methods do not provide good accuracy
Few methods can analyze even moderately large datasets

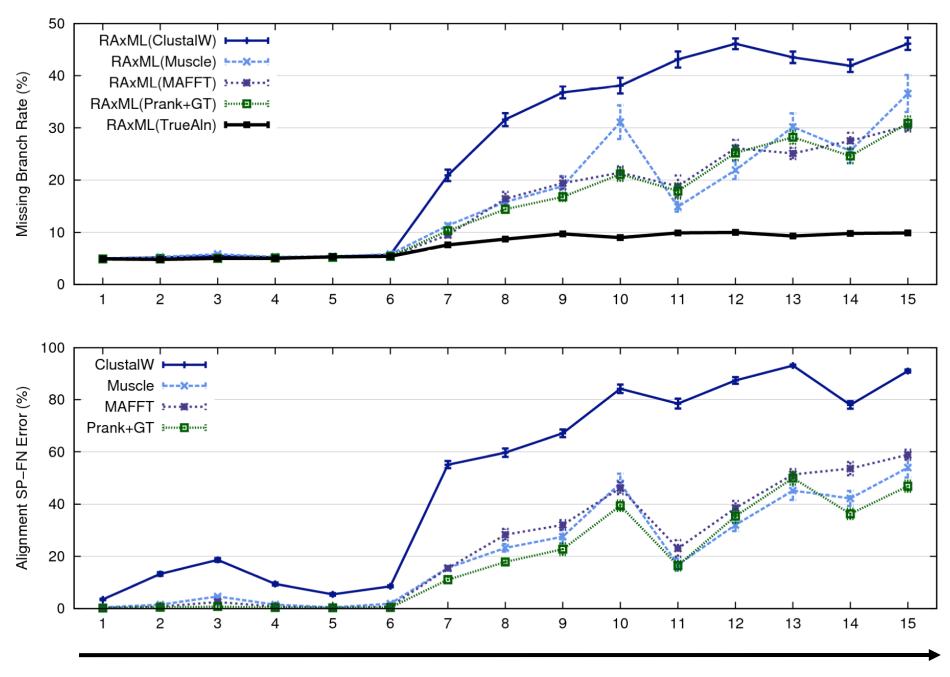
Many important applications besides phylogenetic estimation

¹ Frontiers in Massive Data Analysis, National Academies Press, 2013

SATé

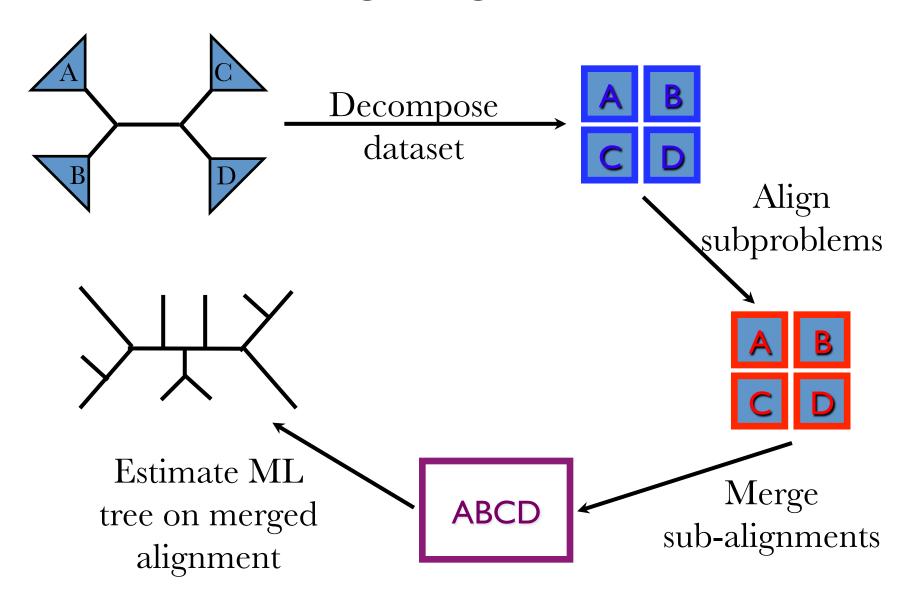
SATé (Simultaneous Alignment and Tree Estimation)

- Liu et al., Science 2009
- Liu et al., Systematic Biology 2012
- Public distribution (open source software) and user-friendly GUI



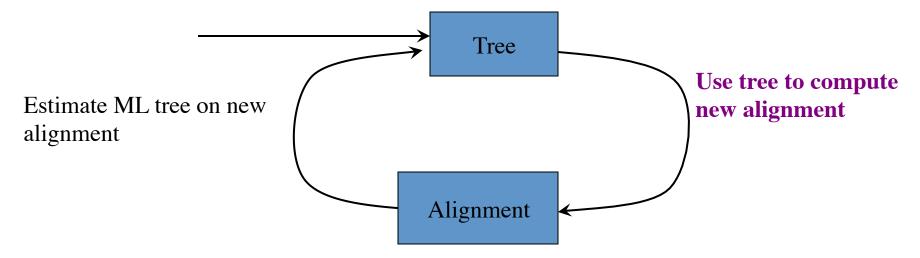
1000-taxon models, ordered by difficulty (Liu et al., 2009)

Re-aligning on a tree

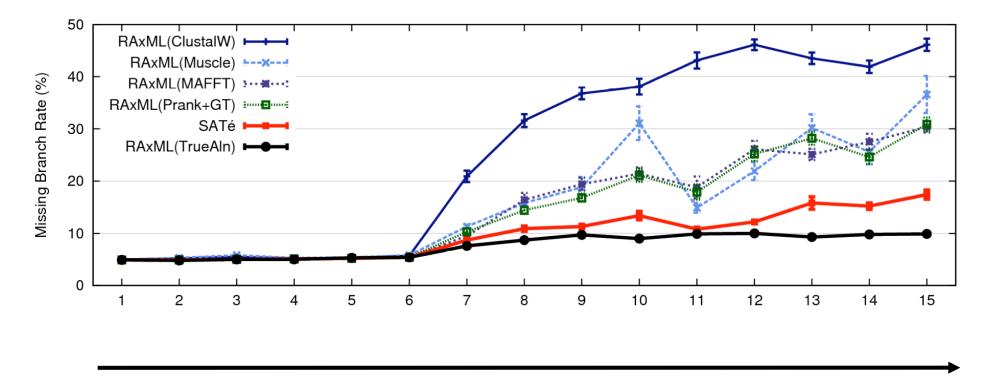


SATé Algorithm

Obtain initial alignment and estimated ML tree

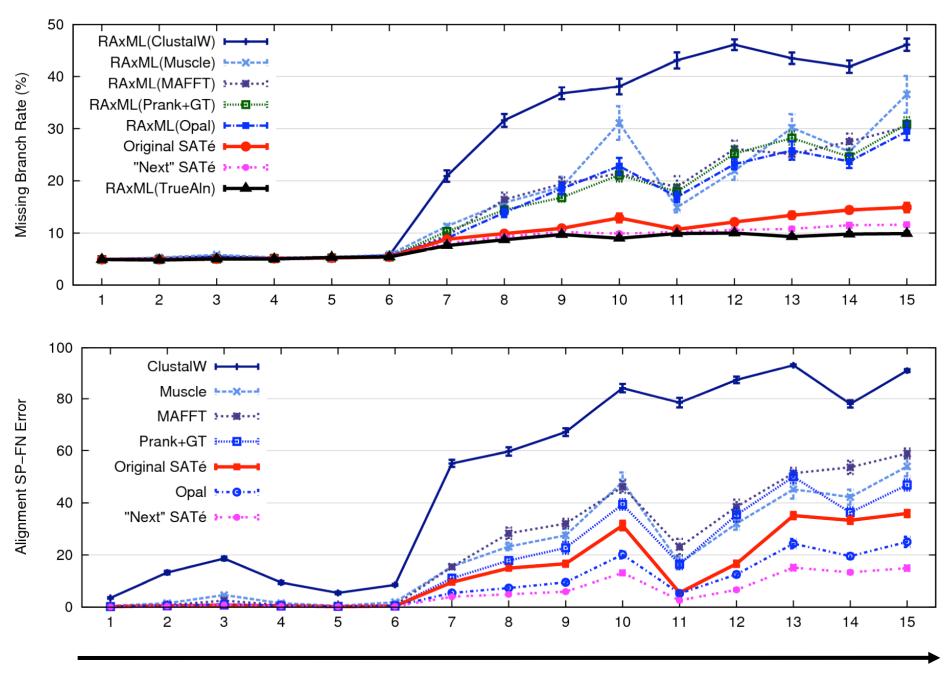


If new alignment/tree pair has worse ML score, realign using a different decomposition Repeat until termination condition (typically, 24 hours)



1000 taxon models, ordered by difficulty

24 hour SATé analysis, on desktop machines (Similar improvements for biological datasets)

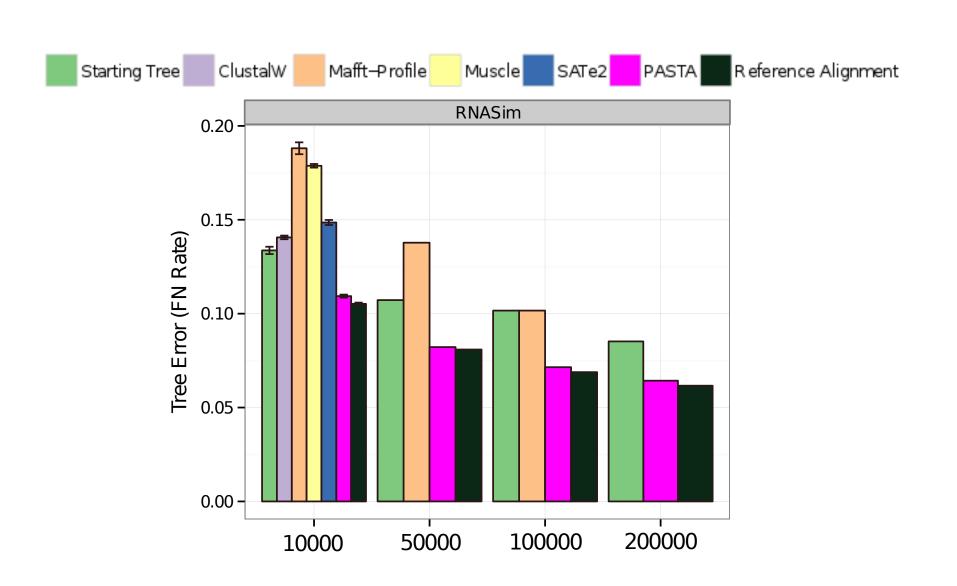


1000 taxon models ranked by difficulty

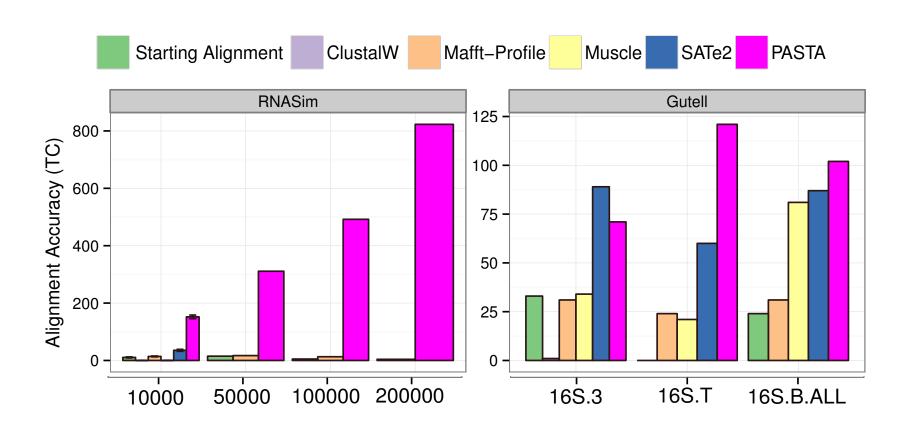
SATé and PASTA

- SATé-1 (Science 2009) can analyze 10,000 sequences
- SATé-2 (Systematic Biology 2012) can analyze 50,000 sequences, is faster and more accurate than SATé-1
- PASTA (RECOMB 2014) can analyze 200,000 sequences, and is faster and more accurate than both SATé versions.

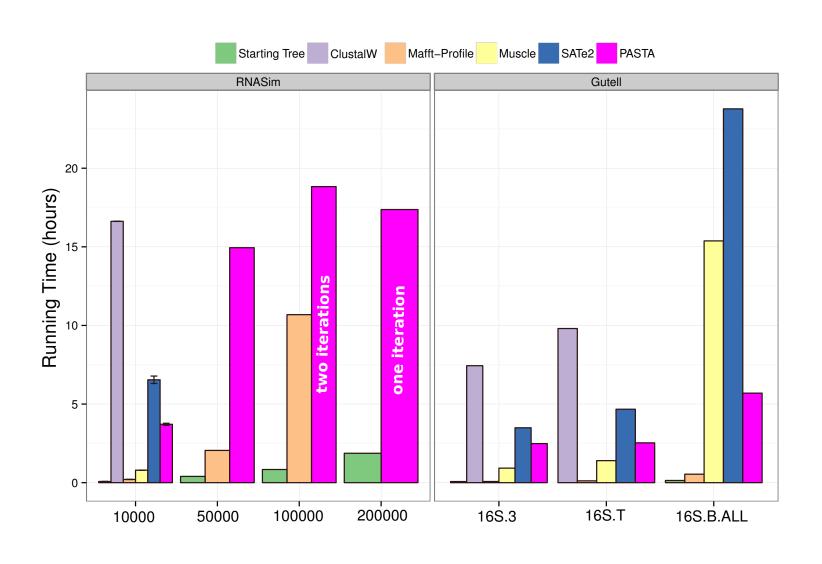
Tree Error – Simulated data



Alignment Accuracy – Correct columns



Running time



PASTA – tutorial tomorrow

- PASTA: Practical Alignments using SATé and TrAnsitivity (Published in RECOMB 2014)
- Developers: Siavash Mirarab, Nam Nguyen, and Tandy Warnow
- GOOGLE user group
- Paper online at http://www.cs.utexas.edu/~tandy/pasta-download.pdf
- Software at <u>http://www.cs.utexas.edu/users/phylo/software/pasta/</u>

Co-estimation

- PASTA and SATé co-estimate the multiple sequence alignment and its ML tree, but this co-estimation is not performed under a statistical model of evolution that considers indels.
- Instead, indels are treated as "missing data". This is the default for ML phylogeny estimation. (Other options exist but do not necessarily improve topological accuracy.)
- Other methods (such as SATCHMO, for proteins) also perform co-estimation, but similarly are not based on statistical models that consider indels.

Other co-estimation methods

Statistical methods:

- BAli-Phy (Redelings and Suchard): Bayesian software to coestimate alignments and trees under a statistical model of evolution that includes indels. Can scale to about 100 sequences, but takes a very long time.
 - http://www.bali-phy.org/
- StatAlign: http://statalign.github.io/

Extensions of Parsimony

- POY (most well known software)
 - http://www.amnh.org/our-research/computational-sciences/ research/projects/systematic-biology/poy
- BeeTLe (Liu and Warnow, PLoS One 2012)

1kp: Thousand Transcriptome Project

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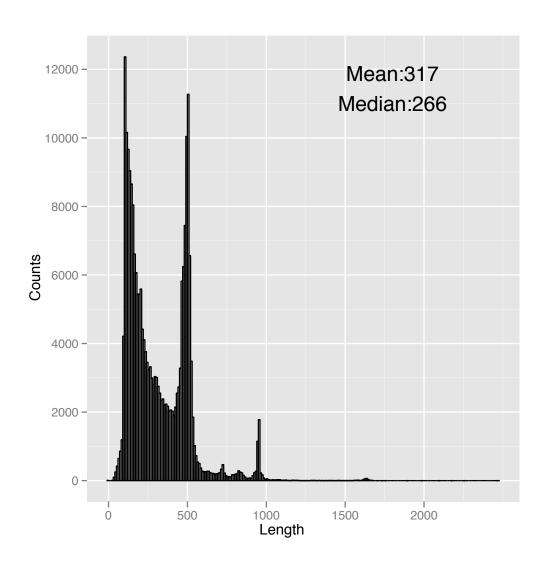


Plus many many other people...

- Plant Tree of Life based on transcriptomes of ~1200 species
- More than 13,000 gene families (most not single copy)

Challenge:

Alignment of datasets with > 100,000 sequences with many fragmentary sequences



1KP dataset:

More than 100,000 sequences Lots of fragmentary sequences

Mixed Datasets

- Some sequences are very short much shorter than the full-length sequences – and some are full-length (so mixture of lengths)
- Estimating a multiple sequence alignment on datasets with some fragments is very difficult (research area)
- Trees based on MSAs computed on datasets with fragments have high error
- Occurs in transcriptome datasets, or in metagenomic analyses

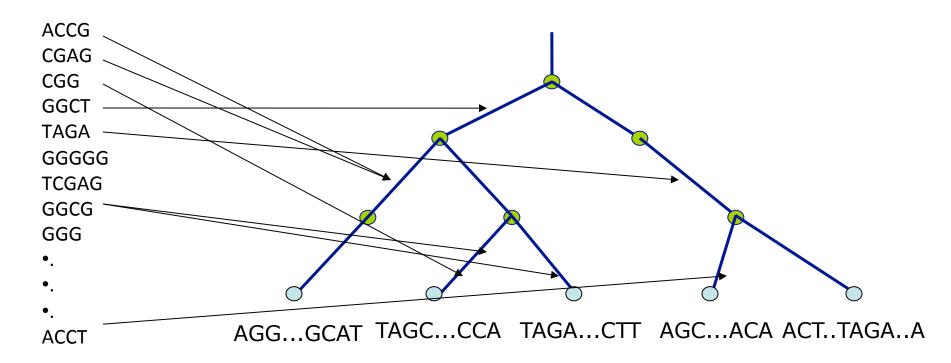
Phylogenies from "mixed" datasets

Challenge: Given set of sequences, some full length and some fragmentary, how do we estimate a tree?

- Step 1: Extract the full-length sequences, and get MSA and tree
- Step 2: Add the remaining sequences (short ones) into the tree.

Fragmentary sequences from some gene

Full-length sequences for same gene, and an alignment and a tree



- Input: Tree and MSA on full-length sequences (called the "backbone tree and backbone MSA") and a set of "query sequences" (that can be very short)
- Output: placement of each query sequence into the "backbone" tree

Several methods for Phylogenetic Placement developed in the last few years

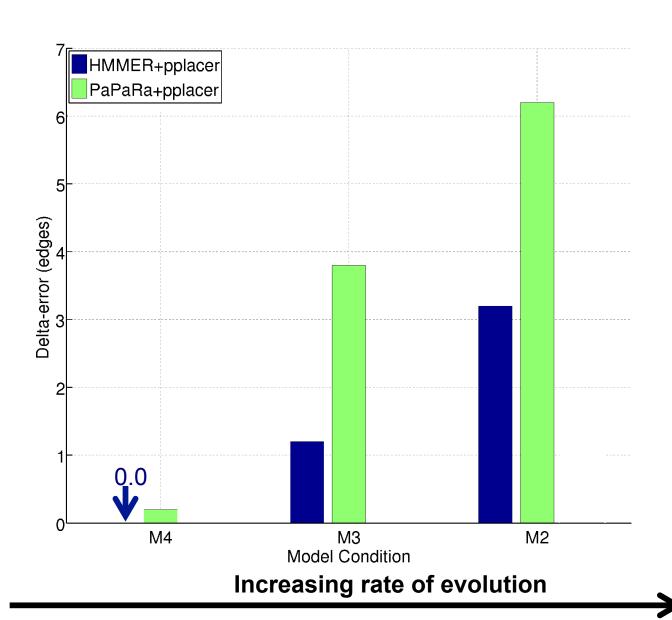
Step 1: Align each query sequence to backbone alignment

Step 2: Place each query sequence into backbone tree, using extended alignment

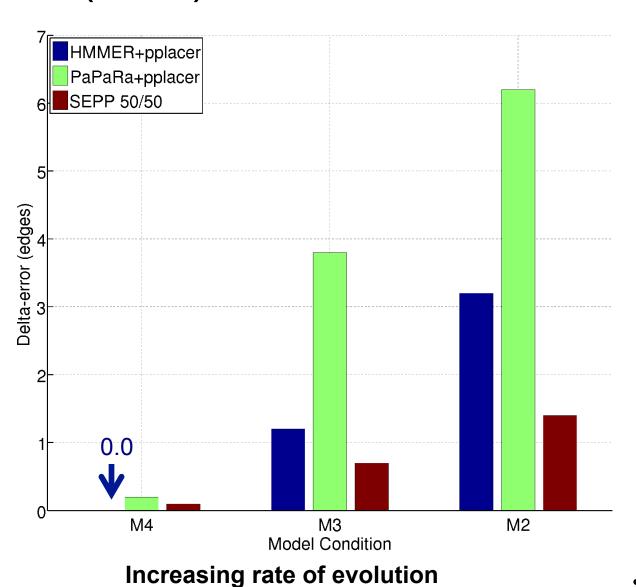
- Align each query sequence to backbone alignment
 - HMMALIGN (Eddy, Bioinformatics 1998)
 - PaPaRa (Berger and Stamatakis, Bioinformatics 2011)
- Place each query sequence into backbone tree
 - Pplacer (Matsen et al., BMC Bioinformatics, 2011)
 - EPA (Berger and Stamatakis, Systematic Biology 2011)

Note: pplacer and EPA use maximum likelihood, and are reported to have the same accuracy.

HMMER vs. PaPaRa placement error



SEPP(10%), based on ~10 HMMs



SEPP

- SEPP = SATé-enabled Phylogenetic Placement
- Developers: Nam Nguyen, Siavash Mirarab, and Tandy Warnow
- Software available at https://github.com/smirarab/sepp
- Paper available at http://psb.stanford.edu/psb-online/proceedings/psb12/mirarab.pdf
- Tutorial on Thursday

Summary so far

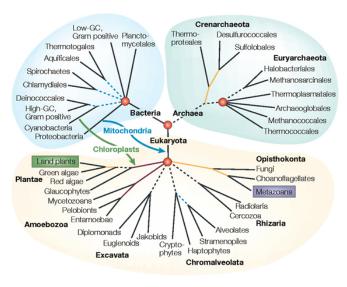
- Great progress in multiple sequence alignment, even for very large datasets with high rates of evolution – provided all sequences are full-length.
- Trees based on good MSA methods (e.g., MAFFT for small enough datasets, PASTA for large datasets) can be highly accurate – but sequence length limitations reduces tree accuracy.
- Handling fragmentary sequences is challenging, but phylogenetic placement is helpful.
- However, all of this is just for a single gene (more generally, a single location in the genome) – no rearrangements, duplications, etc.

Summary so far

- Great progress in multiple sequence alignment, even for very large datasets with high rates of evolution – provided all sequences are full-length.
- Trees based on good MSA methods (e.g., MAFFT for small enough datasets, PASTA for large datasets) can be highly accurate – but sequence length limitations reduces tree accuracy.
- Handling fragmentary sequences is challenging, but phylogenetic placement is helpful.
- However, all of this is just for a single gene (more generally, a single location in the genome) – no rearrangements, duplications, etc.

Phylogenomics

(Phylogenetic estimation from whole genomes)







Species Tree Estimation

Not all genes present in all species

	gene 1	_			gene 3
S_1 S_2	TCTAATGGAA GCTAAGGGAA		a a b a 0	S ₁	TATTGATACA
S_3	TCTAAGGGAA		gene 2	$ S_3$	TCTTGATACC
S_4	TCTAACGGAA	S_4	GGTAACCCTC	S_4	TAGTGATGCA
S ₇ S ₈	TCTAATGGAC	S_5	GCTAAACCTC	S_7	TAGTGATGCA
S_8	TATAACGGAA	S_6	GGTGACCATC	$S_8^{'}$	CATTCATACC
	I	S_7	GCTAAACCTC		

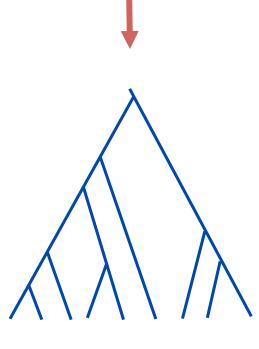
Two basic approaches for species tree estimation

 Concatenate ("combine") sequence alignments for different genes, and run phylogeny estimation methods

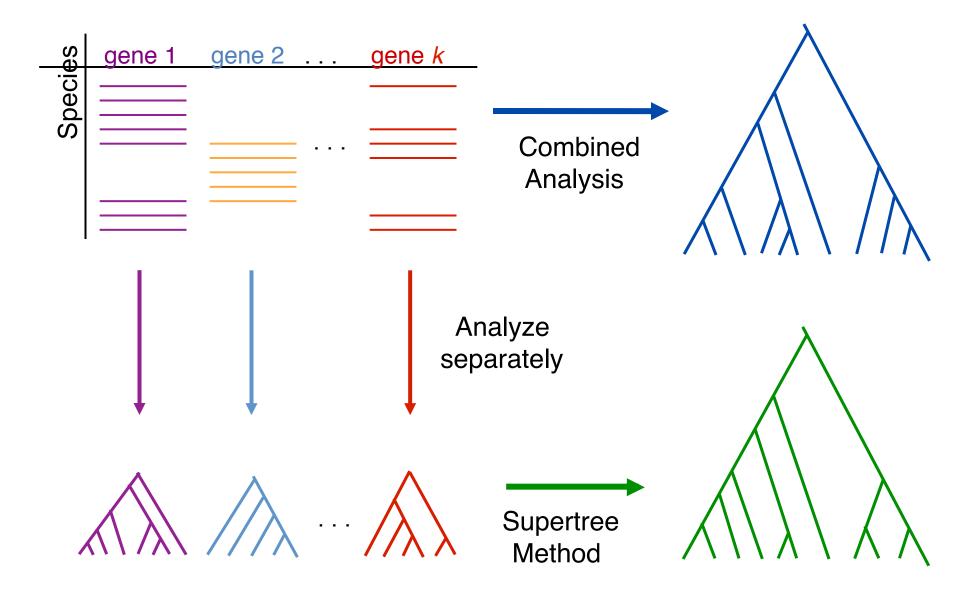
 Compute trees on individual genes and combine gene trees

Combined analysis

gene 1 gene 2 gene 3



Two competing approaches



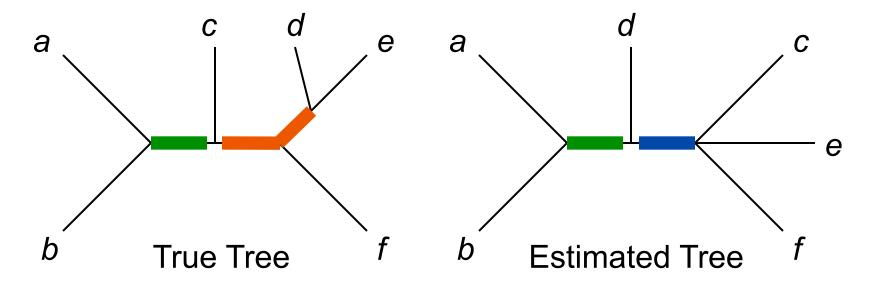
Many Supertree Methods

Matrix Representation with Parsimony (Most commonly used and most accurate)

- MRP
- weighted MRP
- MRF
- MRD
- Robinson-Foulds
 Supertrees
- Min-Cut
- Modified Min-Cut
- Semi-strict Supertree

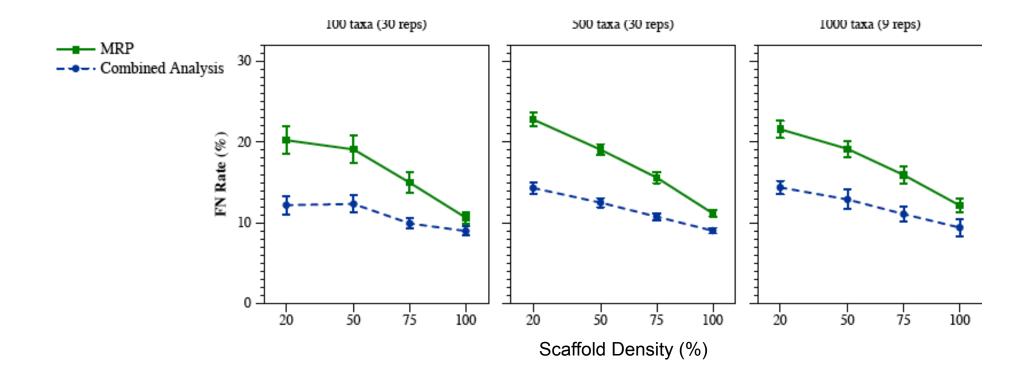
- QMC
- Q-imputation
- SDM
- PhySIC
- Majority-Rule Supertrees
- Maximum Likelihood Supertrees
- and many more ...

Quantifying topological error



- False negative (FN): $b \in B(T_{\text{true}})$ - $B(T_{\text{est.}})$
 - False positive (FP): $b \in B(T_{est.})$ - $B(T_{true})$

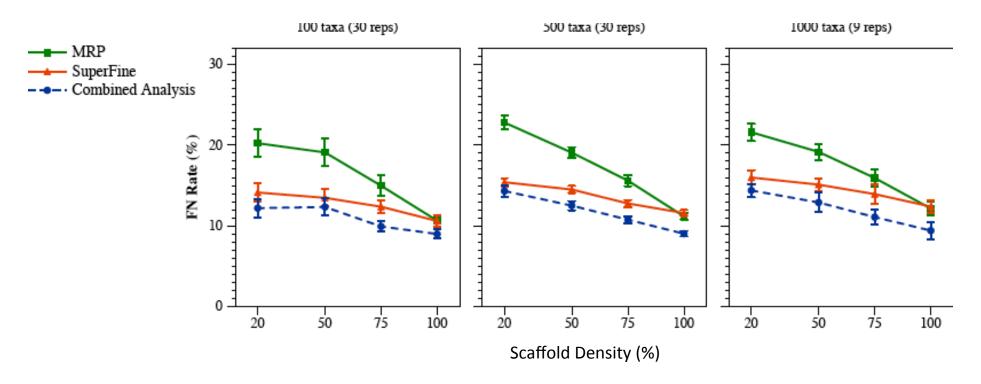
FN rate of MRP vs. combined analysis



SuperFine

- SuperFine: Fast and Accurate Supertree Estimation
- Systematic Biology 2012
- Authors: Shel Swenson, Rahul Suri, Randy Linder, and Tandy Warnow
- Software available at http://www.cs.utexas.edu/~phylo/software/ superfine/

SuperFine-boosting: improves MRP



(Swenson et al., Syst. Biol. 2012)

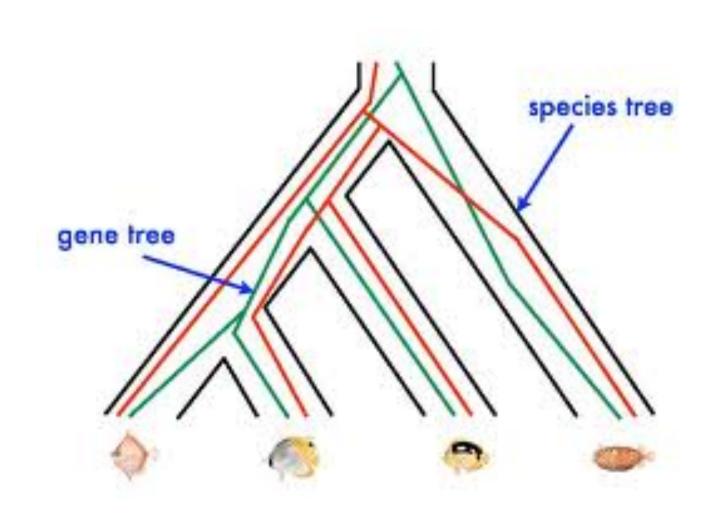
Summary

- Supertree methods approach the accuracy of concatenation ("combined analysis")
- Supertree methods can be much faster than concatenation, especially for whole genome analyses (thousands of genes with millions of sites).
- But...

But...

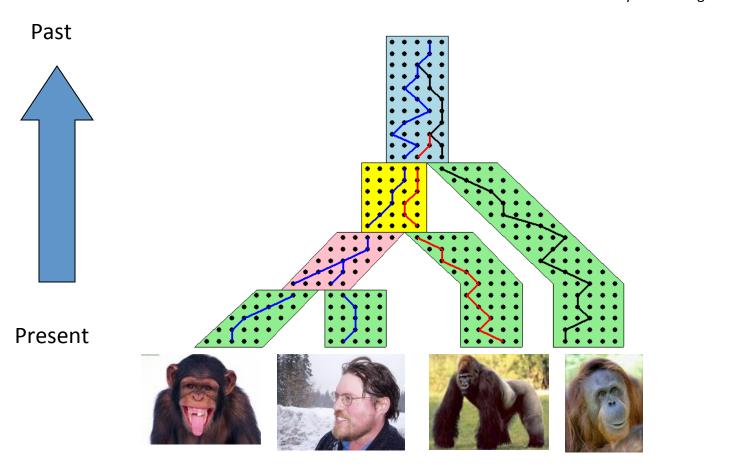
- Gene trees may not be identical to species trees:
 - Incomplete Lineage Sorting (deep coalescence)
 - Gene duplication and loss
 - Horizontal gene transfer
- This makes combined analysis and standard supertree analyses inappropriate

Red gene tree ≠ species tree (green gene tree okay)



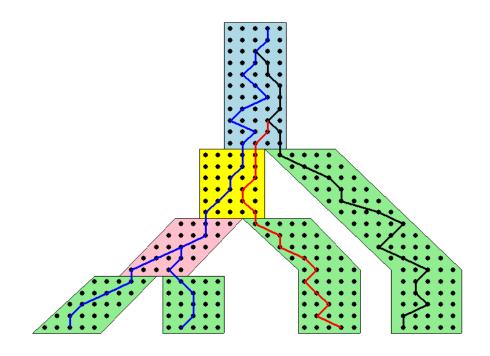
The Coalescent

Courtesy James Degnan



Gene tree in a species tree

Courtesy James Degnan



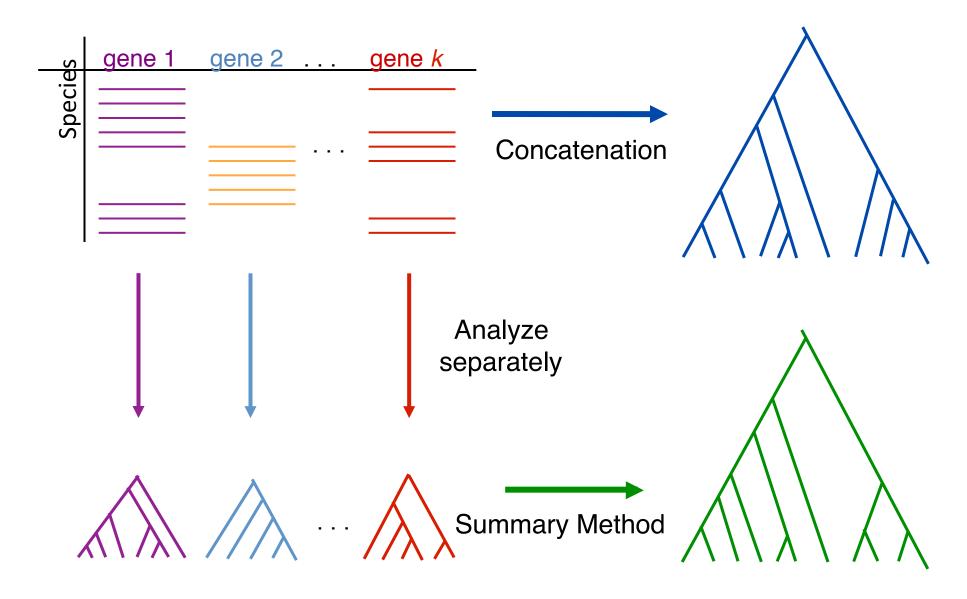
Deep coalescence

- Population-level process
- Gene trees can differ from species trees due to short times between speciation events

Incomplete Lineage Sorting (ILS)

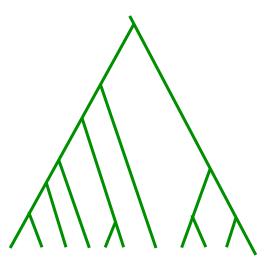
- 2000+ papers in 2013 alone
- Confounds phylogenetic analysis for many groups:
 - Hominids
 - Birds
 - Yeast
 - Animals
 - Toads
 - Fish
 - Fungi
- There is substantial debate about how to analyze phylogenomic datasets in the presence of ILS.

Two competing approaches



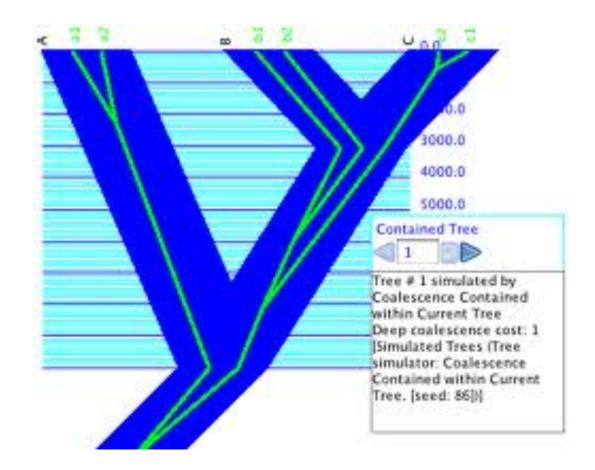
How to compute a species tree?

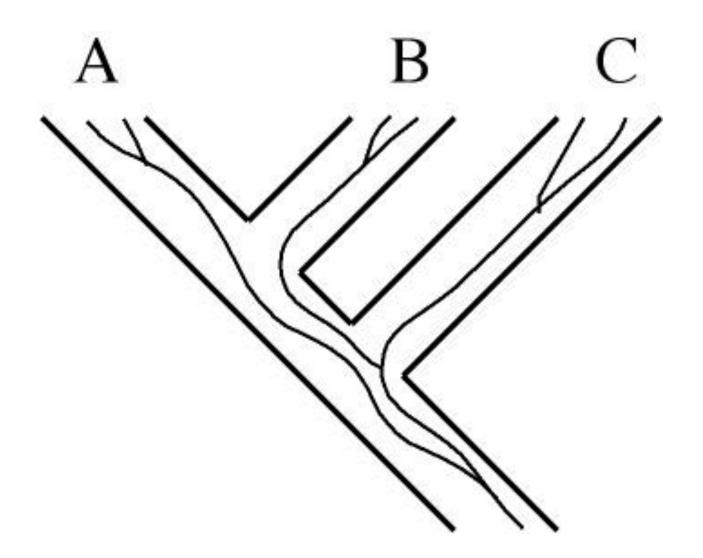




MDC: count # extra lineages

- Wayne Maddison proposed the MDC (minimize deep coalescence) problem: given set of true gene trees, find the species tree that implies the fewest deep coalescence events
- (Really amounts to counting the number of extra lineages)





How to compute a species tree?



Techniques:

MDC?

Most frequent gene tree?

Consensus of gene trees?

Other?



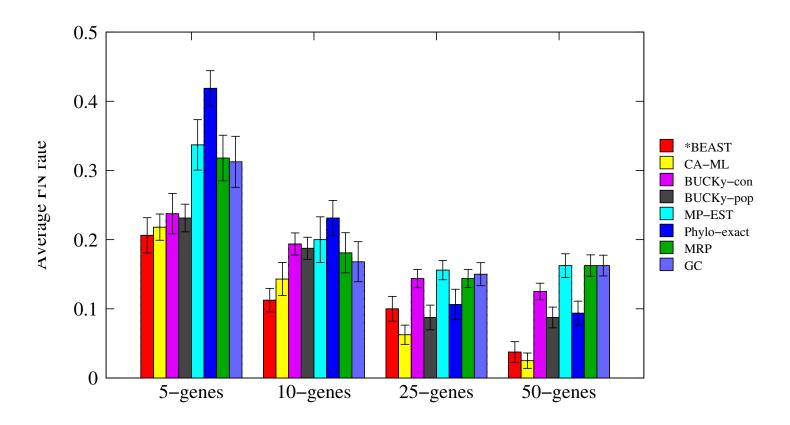
Statistically consistent under ILS?

- MP-EST (Liu et al. 2010): maximum likelihood estimation of rooted species tree – YES
- BUCKy-pop (Ané and Larget 2010): quartet-based Bayesian species tree estimation –YES
- MDC NO
- Greedy NO
- Concatenation under maximum likelihood open
- MRP (supertree method) open

The Debate: Concatenation vs. Coalescent Estimation

- In favor of coalescent-based estimation
 - Statistical consistency guarantees
 - Addresses gene tree incongruence resulting from ILS
 - Some evidence that concatenation can be positively misleading
- In favor of concatenation
 - Reasonable results on data
 - High bootstrap support
 - Summary methods (that combine gene trees) can have poor support or miss well-established clades entirely
 - Some methods (such as *BEAST) are computationally too intensive to use

Results on 11-taxon datasets with strongILS



*BEAST more accurate than summary methods (MP-EST, BUCKy, etc)
CA-ML: (concatenated analysis) also very accurate

Datasets from Chung and Ané, 2011 Bayzid & Warnow, Bioinformatics 2013

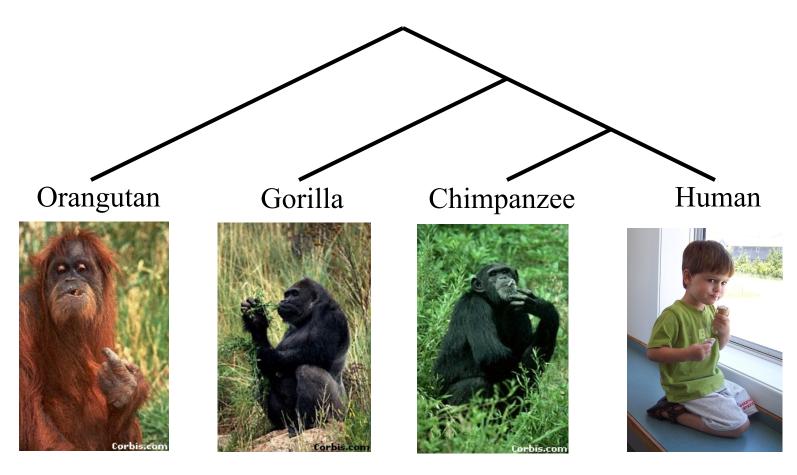
Is Concatenation Evil?

- Joseph Heled:
 - YES

- John Gatesy
 - No

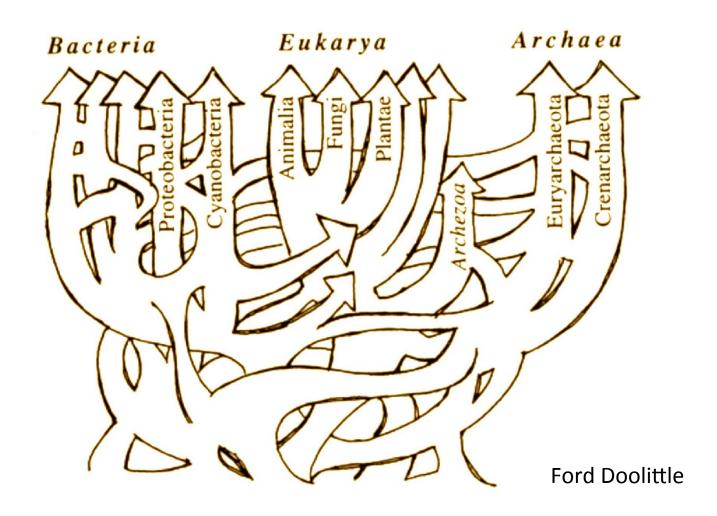
- Data needed to held understand existing methods and their limitations
- Better methods are needed

Species tree estimation: difficult, even for small datasets



From the Tree of the Life Website, University of Arizona

Horizontal Gene Transfer – Phylogenetic Networks

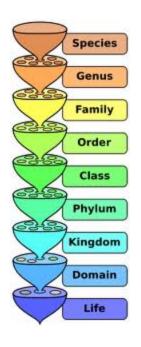


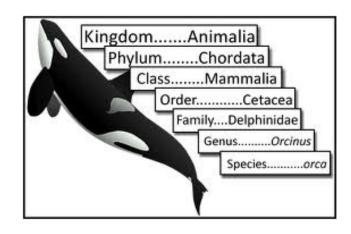
Species tree/network estimation

- Methods have been developed to estimate species phylogenies (trees or networks!) from gene trees, when gene trees can conflict from each other (e.g., due to ILS, gene duplication and loss, and horizontal gene transfer).
- Phylonet (software suite), has effective methods for many optimization problems – including MDC and maximum likelihood.
- Tutorial on Wednesday.
- Software available at http://bioinfo.cs.rice.edu/phylonet?destination=node/3

Metagenomic Taxon Identification

Objective: classify short reads in a metagenomic sample





Two Basic Questions

1. What is this fragment? (Classify each fragment as well as possible.)

2. What is the taxonomic distribution in the dataset? (Note: helpful to use marker genes.)

SEPP

- SEPP: SATé-enabled Phylogenetic
 Placement, by Mirarab, Nguyen, and Warnow
- Pacific Symposium on Biocomputing, 2012 (special session on the Human Microbiome)
- Tutorial on Thursday.

Other problems

- Genomic MSA estimation:
 - Multiple sequence alignment of very long sequences
 - Multiple sequence alignment of sequences that evolve with rearrangement events
- Phylogeny estimation under more complex models
 - Heterotachy
 - Violation of the rates-across-sites assumption
 - Rearrangements
- Estimating branch support on very large datasets

Warnow Laboratory











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TACC and UTCS computational resources

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