# Yet Another Characterization of Strong Equivalence\*

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#### — Abstract

Strong equivalence of disjunctive logic programs is characterized here by a calculus that operates with syntactically simple formulas.

## 1 Introduction

Logic programs  $\Pi_1$  and  $\Pi_2$  are said to be strongly equivalent to each other if, for every logic program  $\Pi$ , the program  $\Pi_1 \cup \Pi$  has the same stable models as  $\Pi_2 \cup \Pi$  [4]. The study of strong equivalence is important because we learn from it how one can simplify a part of a logic program without looking at the rest of it. Characterizations of strong equivalence of logic programs that allow us to establish it more easily than by using the definition directly are given in [4], [6], and [7].

According to the main theorem of the first of these papers, grounded programs are strongly equivalent to each other iff the equivalence between them can be proved in the logic of here-and-there HT—the extension of intuitionistic propositional logic obtained by adding to it the axiom schema

$$F \lor (F \to G) \lor \neg G. \tag{1}$$

This statement assumes that grounded rules are viewed as alternative notation for propositional formulas. Specifically, a disjunctive rule

$$A_1, \ldots, A_k$$
; not  $A_{k+1}, \ldots$ ; not  $A_l \leftarrow A_{l+1}, \ldots, A_m$ , not  $A_{m+1}, \ldots$ , not  $A_n$  (2)

 $(n \geq m \geq l \geq k \geq 0)$ , where each  $A_i$  is an atom, is identified with the propositional formula

$$A_{l+1} \wedge \cdots \wedge A_m \wedge \neg A_{m+1} \wedge \cdots \wedge \neg A_n \to A_1 \vee \cdots \vee A_k \vee \neg A_{k+1} \vee \cdots \vee \neg A_l. \tag{3}$$

In the special case when each rule of the program has the form (2) without negation in head (l=k), strong equivalence can be characterized by a calculus that operates with such rules directly, without rewriting them as propositional formulas [8]. This fact shows that under some conditions strong equivalence can be described in terms of derivations that do not involve syntactically complex expressions, such as (1).

In this note we show that results of [1, Chapter 5] give us, implicitly, a calculus similar to the one proposed in [8], slightly more general (negation in the head is allowed) and slightly simpler (an inference rule with many premises is replaced by a rule with one premise). In this modification of the calculus from [8], derivable objects are "flat implications"—arbitrary formulas of the form (3). Negation in the head is important because it is needed to encode

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the choice construct, frequently used in answer set programming [3]. For instance, the choice rule

$$\{p\} \leftarrow q, not \ r$$

can be thought of as shorthand for

$$p$$
;  $not p \leftarrow q$ ,  $not r$ .

## Calculus of Flat Implications

A flat implication is a propositional formula of the form  $C \to D$ , where C is a conjunction of literals (possibly the empty conjunction  $\top$ ), and D is a disjunction of literals (possibly the empty disjunction  $\bot$ ).

In the description of the calculus of flat implications CFI below, A is an atom; L is a literal; C,  $C_1$ ,  $C_2$  are conjunctions of literals; D,  $D_1$ ,  $D_2$  are disjunctions of literals; N is a disjunction of negative literals.

The calculus consists of two axiom schemas

$$A \to A,$$
 (4)

$$A \land \neg A \to \bot$$
 (5)

and three inference rules: cut

$$\frac{C_1 \to D_1 \vee L \qquad L \wedge C_2 \to D_2}{C_1 \wedge C_2 \to D_1 \vee D_2},$$

regularity

$$\frac{A \wedge C \to N}{C \to N \vee \neg A},$$

and the structural rule

$$\frac{C \to D}{C_1 \to D_1}$$

where each member of C is a member of  $C_1$  and each member of D is a member of  $D_1$ .

**Theorem.** A flat implication I is derivable from a set  $\Pi$  of flat implications in CFI iff I is derivable from  $\Pi$  in HT.

In Section 4 we will show that this theorem is essentially a restatement of [1, Theorem 5.36].

Corollary. For any sets  $\Pi_1$ ,  $\Pi_2$  of flat implications, the following conditions are equivalent:

- $\blacksquare$   $\Pi_1$  is strongly equivalent to  $\Pi_2$ ,
- in the calculus of flat implications, each element of  $\Pi_1$  can be derived from  $\Pi_2$ , and each element of  $\Pi_2$  can be derived from  $\Pi_1$ .

The main feature of *CFI* that distinguishes it from the calculus proposed in [8] is the regularity rule, which takes advantage of the availability of negation in the heads of rules.

## 3 Examples

**Example 1.** We would like to verify that in the presence of the choice rule  $\{p\}$ , the rule  $p \leftarrow q$  can be replaced by the constraint  $\leftarrow q$ , not p. In other words, we want to show that the program

$$\begin{cases} p \\ p \leftarrow q \end{cases}$$

is strongly equivalent to

$$\begin{array}{l} \{p\} \\ \leftarrow q, not \ p. \end{array}$$

According to the corollary above, it is sufficient to derive in the calculus of flat implications

- (a)  $q \land \neg p \to \bot$  from  $q \to p$ ;
- (b)  $q \to p$  from  $\top \to p \lor \neg p$  and  $q \land \neg p \to \bot$ .

Part (a):

- 1.  $q \rightarrow p$  (assumption).
- 2.  $p \land \neg p \to \bot$  (axiom).
- 3.  $q \land \neg p \to \bot$  (by cut from 1 and 2).

Part (b):

- 1.  $\top \to p \vee \neg p$  (assumption).
- 2.  $q \wedge \neg p \rightarrow \bot$  (assumption).
- 3.  $\neg p \land q \rightarrow \bot$  (by the structural rule from 2).
- 4.  $q \rightarrow p$  (by cut from 1 and 3).

Example 2. We would like to verify that the disjunctive program

$$p; q \\ \leftarrow p, q$$

is strongly equivalent to the nondisjunctive program

$$\begin{array}{l} p \leftarrow not \ q \\ q \leftarrow not \ p \\ \leftarrow p, q. \end{array}$$

It is sufficient to derive in the calculus of flat implications

(a)  $\top \to p \vee q$  from the formulas

$$\neg q \rightarrow p, \quad \neg p \rightarrow q, \quad p \wedge q \rightarrow \bot;$$

(b)  $\neg q \rightarrow p$  and  $\neg p \rightarrow q$  from the formulas

$$\top \to p \lor q, \quad p \land q \to \bot.$$

Part (a):

- 1.  $p \wedge q \rightarrow \bot$  (assumption).
- 2.  $q \rightarrow \neg p$  (by regularity from 1).
- 3.  $\top \rightarrow \neg p \vee \neg q$  (by regularity from 2).

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- 4.  $\neg q \rightarrow p$  (assumption).
- 5.  $\top \rightarrow \neg p \lor p$  (by cut from 3 and 4).
- 6.  $\top \to p \vee \neg p$  (by the structural rule from 5).
- 7.  $\neg p \rightarrow q$  (assumption).
- 8.  $\top \to p \lor q$  (by cut from 6 and 7).

Part (b):

- 1.  $\top \to p \lor q$  (assumption).
- 2.  $q \land \neg q \to \bot$  (axiom).
- 3.  $\neg q \rightarrow p$  (by cut from 2 and 1).

The derivation of  $\neg p \rightarrow q$  is similar.

#### 4 Proof of the Theorem

According to [1], a bisequent is an expression of the form

$$a:b \Vdash c:d$$
 (6)

where a, b, c, d are finite sets of atoms. Bisequents can be thought of as flat implications in disguise if we agree to identify (6) with the formula

$$\bigwedge_{A \in a} A \wedge \bigwedge_{A \in b} \neg A \ \rightarrow \ \bigvee_{A \in c} A \vee \bigvee_{A \in d} \neg A.$$

From [1, Proposition 5.84] we see that, given this convention, stable models of a set  $\Pi$  of flat implications are identical to the extensions of  $\Pi$  in the sense of [1, Definitions 5.7 and 5.8].

The characterization of strong-extension equivalence of bisequent theories given by [1, Theorem 5.36] provides a characterization of strong equivalence of sets of flat implications in terms of a calculus that is almost identical to CFI. The axioms of that calculus are our axioms (4) and (5) (called in the book positive reflexivity and consistency, see [1, Definitions 3.1] and [3.8]) plus the axiom schema

$$\neg A \to \neg A$$
 (7)

(negative reflexivity, see [1, Definition 3.1]). Its inference rules are the inference rules of *CFI* (monotonicity, positive cut, negative cut, and C-regularity, see [1, Definition 5.7 and Section 3.2.5]). It remains to observe that (7) can be derived from (5) by one application of the regularity rule.

The "only if" part of the theorem can be proved also by noting that all postulates of CFI can be justified in HT. In fact, the axioms, the cut rule, and the structural rule are even intuitionistically acceptable. As to the regularity rule, its conclusion can be intuitionistically derived from its premise and the weak excluded middle axiom  $\neg A \lor \neg \neg A$ ; the latter is provable in HT (in the axiom schema (1), take A as F and  $\neg A$  as G). This line of reasoning shows, incidentally, that on the level of flat implications the logic of here-and-there does not differ from the logic of the weak excluded middle WEM—a fact known from [2].

#### 5 Conclusion

There is a certain degree of freedom when we decide which monotonic logic can be viewed as the basis of the stable model semantics of disjunctive logic programs. From the results

of [4] and [2] we see that each of the systems HT and WEM can play this role; the theorem presented in this note shows that CFI would do as well.

In [5], the theorem from [4] is extended to logic programs with variables and to a first-order version of HT. It would be interesting to extend the property of CFI proved above in a similar way.

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