**Concurrent Programming:**
*Why you should care, deeply*

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**Student Questions**

1. It is said that user-level threads are implemented by a library at the user-level. We have POSIX for starting user threads in C++. How do I start a kernel thread?

2. We all know that creating a kernel thread is more expensive than creating a user thread. Can you explain more about *how* it is expensive?
   - System call 1,000s of cycles
   - Function call 10s of cycles

3. Why is creating a process more expensive than creating a kernel thread?
Power and heat lay waste to processor makers

  - 1.3GHz to 3.8GHz, 31 stage pipeline
  - "Prescott" in 02/04 was too hot. Needed 5.2GHz to beat 2.6GHz Athalon

- Intel Pentium Core, (2006-)
  - 1.06GHz to 3GHz, 14 stage pipeline
  - Based on mobile (Pentium M) micro-architecture
    - Power efficient
  - 2% of electricity in the U.S. feeds computers
    - Doubled in last 5 years
What about Moore’s law?

- Number of transistors double every 24 months
  - Not performance!

Architectural trends that favor multicore

- Power is a first class design constraint
  - Performance per watt the important metric
- Leakage power significant with small transistors
  - Chip dissipates power even when idle!
- Small transistors fail more frequently
  - Lower yield, or CPUs that fail?
- Wires are slow
  - Light in vacuum can travel ~1m in 1 cycle at 3GHz
  - Motivates multicore designs (simpler, lower-power cores)
- Quantum effects
- Motivates multicore designs (simpler, lower-power cores)
**Multicores are here, and coming fast!**

<table>
<thead>
<tr>
<th>4 cores in 2007</th>
<th>16 cores in 2009</th>
<th>80 cores in 20??</th>
</tr>
</thead>
</table>

AMD Quad Core    Sun Rock    Intel TeraFLOP

"[AMD] quad-core processors … are just the beginning…."
http://www.amd.com

"Intel has more than 15 multi-core related projects underway"
http://www.intel.com

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**Multicore programming will be in demand**

- Hardware manufacturers betting big on multicore
- Software developers are needed
- Writing concurrent programs is not easy
- You will learn how to do it in this class
Concurrency Problem

- Order of thread execution is non-deterministic
  - Multiprocessing
    - A system may contain multiple processors → cooperating threads/processes can execute simultaneously
  - Multi-programming
    - Thread/process execution can be interleaved because of time-slicing
- Operations often consist of multiple, visible steps
  - Example: \( x = x + 1 \) is not a single operation
    - read \( x \) from memory into a register
    - increment register
    - store register back to memory
  - Goal:
    - Ensure that your concurrent program works under ALL possible interleaving

Questions

- Do the following either completely succeed or completely fail?
- Writing an 8-bit byte to memory
  - A. Yes  B. No
- Creating a file
  - A. Yes  B. No
- Writing a 512-byte disk sector
  - A. Yes  B. No
Sharing among threads increases performance...

```c
int a = 1, b = 2;
main() {
    CreateThread(fn1, 4);
    CreateThread(fn2, 5);
}
fn1(int arg1) {
    if(a) b++;
}
fn2(int arg1) {
    a = arg1;
}
```

What are the values of `a` & `b` at the end of execution?

Sharing among theads increases performance, but can lead to problems!!

```c
int a = 1, b = 2;
main() {
    CreateThread(fn1, 4);
    CreateThread(fn2, 5);
}
fn1(int arg1) {
    if(a) b++;
}
fn2(int arg1) {
    a = 0;
}
```

What are the values of `a` & `b` at the end of execution?
Some More Examples

- What are the possible values of x in these cases?

<table>
<thead>
<tr>
<th>Thread1: x = 1;</th>
<th>Thread2: x = 2;</th>
</tr>
</thead>
</table>

Initially y = 10;
<table>
<thead>
<tr>
<th>Thread1: x = y + 1;</th>
<th>Thread2: y = y * 2;</th>
</tr>
</thead>
</table>

Initially x = 0;
<table>
<thead>
<tr>
<th>Thread1: x = x + 1;</th>
<th>Thread2: x = x + 2;</th>
</tr>
</thead>
</table>

Critical Sections

- A critical section is an abstraction
- Consists of a number of consecutive program instructions
- Usually, crit sec are mutually exclusive and can wait/signal
  - Later, we will talk about atomicity and isolation
- Critical sections are used frequently in an OS to protect data structures (e.g., queues, shared variables, lists, …)
- A critical section implementation must be:
  - Correct: the system behaves as if only 1 thread can execute in the critical section at any given time
  - Efficient: getting into and out of critical section must be fast. Critical sections should be as short as possible.
  - Concurrency control: a good implementation allows maximum concurrency while preserving correctness
  - Flexible: a good implementation must have as few restrictions as practically possible
The Need For Mutual Exclusion

- Running multiple processes/threads in parallel increases performance
- Some computer resources cannot be accessed by multiple threads at the same time
  - E.g., a printer can’t print two documents at once
- Mutual exclusion is the term to indicate that some resource can only be used by one thread at a time
  - Active thread excludes its peers
- For shared memory architectures, data structures are often mutually exclusive
  - Two threads adding to a linked list can corrupt the list

Exclusion Problems, Real Life Example

- Imagine multiple chefs in the same kitchen
  - Each chef follows a different recipe
- Chef 1
  - Grab butter, grab salt, do other stuff
- Chef 2
  - Grab salt, grab butter, do other stuff
- What if Chef 1 grabs the butter and Chef 2 grabs the salt?
  - Yell at each other (not a computer science solution)
  - Chef 1 grabs salt from Chef 2 (preempt resource)
  - Chefs all grab ingredients in the same order
    - Current best solution, but difficult as recipes get complex
    - Ingredient like cheese might be sans refrigeration for a while
The Need To Wait

- Very often, synchronization consists of one thread waiting for another to make a condition true
  - Master tells worker a request has arrived
  - Cleaning thread waits until all lanes are colored
- Until condition is true, thread can sleep
  - Ties synchronization to scheduling
- Mutual exclusion for data structure
  - Code can wait (await)
  - Another thread signals (notify)

Even more real life, linked lists

```c
lprev = elt = NULL;
for(lptr = lhead; lptr; lptr = lptr->next) {
    if(lptr->val == target){
        elt = lptr;
        // Already head?, break
        if(lprev == NULL) break;
        // Move cell to head
        lprev->next = lptr->next;
        lptr->next = lhead;
        lhead = lptr;
        break;
    }
    lprev = lptr;
} return elt;
```

- Where is the critical section?
Even more real life, linked lists

Thread 1

// Move cell to head
lprev->next = lptr->next;
lptr->next = lhead
lhead = lptr;

Thread 2

lprev->next = lptr->next;
lptr->next = lhead;
lhead = lptr;

◆ A critical section often needs to be larger than it first appears
  ➢ The 3 key lines are not enough of a critical section

Even more real life, linked lists

Thread 1

if(lptr->val == target) {
  elt = lptr;
  // Already head?, break
  if(lprev == NULL) break;
  // Move cell to head
  lprev->next = lptr->next;
  // lptr no longer in list
  for(lptr = lhead; lptr;
      lptr = lptr->next) {
      if(lptr->val == target) {

Thread 2

◆ Putting entire search in a critical section reduces concurrency, but it is safe.
  ➢ Mutual exclusion is conservative
  ➢ Transactions are optimistic
Safety and Liveness

- **Safety property**: “nothing bad happens”
  - holds in every finite execution prefix
    - Windows™ never crashes
    - a program never terminates with a wrong answer

- **Liveness property**: “something good eventually happens”
  - no partial execution is irremediable
    - Windows™ always reboots
    - a program eventually terminates

- Every property is a combination of a safety property and a liveness property - (Alpern and Schneider)

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Safety and liveness for critical sections

- At most k threads are concurrently in the critical section
  - A. Safety
  - B. Liveness
  - C. Both

- A thread that wants to enter the critical section will eventually succeed
  - A. Safety
  - B. Liveness
  - C. Both

- Bounded waiting: If a thread i is in entry section, then there is a bound on the number of times that other threads are allowed to enter the critical section (only 1 thread is allowed in at a time) before thread i’s request is granted.
  - A. Safety
  - B. Liveness
  - C. Both