

An efficient Coq Tactic for Deciding Kleene Algebras

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Motivations

- ▶ Ease the formalisation of proofs dealing with **binary relations** in Coq (bisimulations ...)

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- ▶ [Tarski et al.]: no finite axiomatisation
- ▶ A lot of partial axiomatisations
 - ▶ non-commutative monoids $(\cdot, 1)$
 - ▶ semi-lattices $(+, 0)$
 - ▶ non-commutative idempotent semirings $(\cdot, +, 1, 0)$
 - ▶ Kleene algebras $(\cdot, +, \star, 1, 0)$
 - ▶ Residuated semi-lattices $(\cdot, +, /, \backslash, 1, 0)$
 - ▶ Action algebras (Pratt) $(\cdot, +, /, \backslash, \star, 1, 0)$
 - ▶ Allegories (Freyd & Scedrov) $(\cdot, +, \wedge, /, \backslash, \bar{\cdot}, 1, 0)$
- ▶ In each case, different decidability / complexity properties

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- ▶ In each case, different decidability / complexity properties
- ▶ Tools and theorems rather than the algebraic hierarchy itself

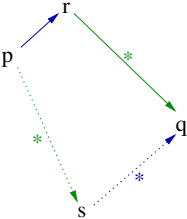
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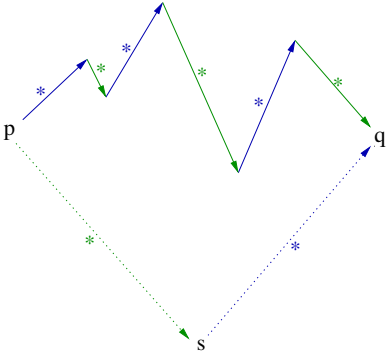
Kleene algebras

- ▶ Models of Kleene algebras : regular languages, binary relations, . . .
- ▶ Example: “Weak confluence implies the Church-Rosser property”
 - ▶ Standard (hand-waving) proof
 - ▶ Naive formalisation
 - ▶ Algebraic formalisation
 - ▶ Algebraic formalisation with tools

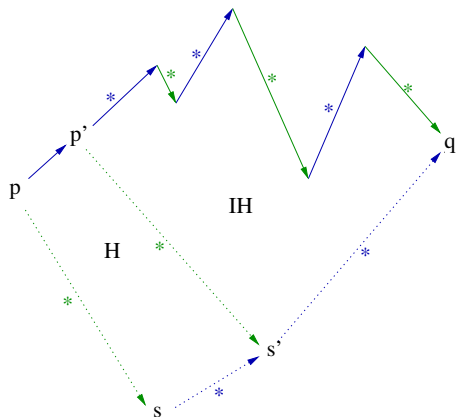
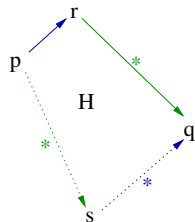
Church-Rosser



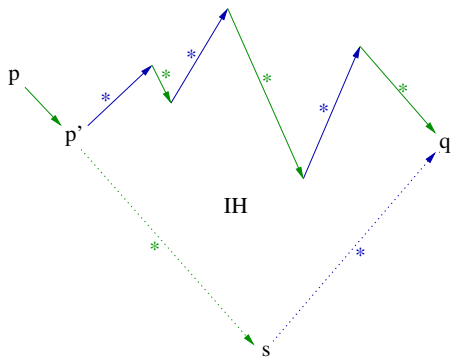
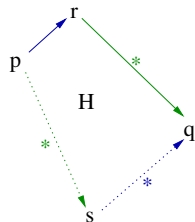
implies



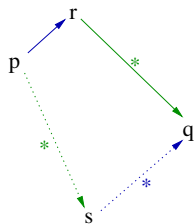
Church-Rosser (Diagrammatic proof)



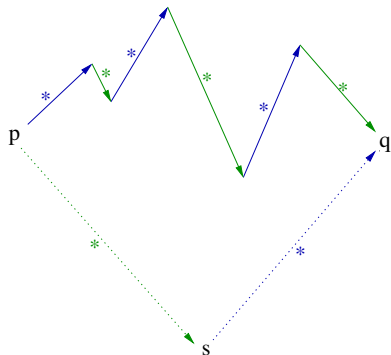
Church-Rosser (Diagrammatic proof)



Church-Rosser (more formally)



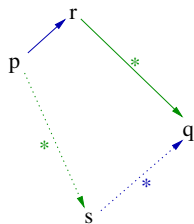
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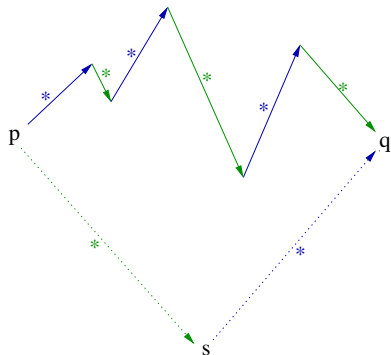
$$(\forall p, r, q, pRr, rS^*q \Rightarrow \exists s, pS^*s \wedge sR^*q)$$

$$\Rightarrow (\forall p, q, p(R \cup S)^*q \Rightarrow \exists s, pS^*s \wedge sR^*q)$$

Church-Rosser (more formally)



implies



$$(\forall p, r, q, pRr, rS^*q \Rightarrow \exists s, pS^*s \wedge sR^*q)$$

$$\Rightarrow (\forall p, q, p(R \cup S)^*q \Rightarrow \exists s, pS^*s \wedge sR^*q)$$

$$R \cdot S^* \subseteq S^* \cdot R^* \Rightarrow (R \cup S)^* \subseteq S^* \cdot R^*$$

Church-Rosser, with points

Variable P: Set.

Variables R S: relation P.

(** notations for reflexive and transitive closure,
and for union of relations **)

Notation "R*" := (clos_refl_trans_1n _ R).

Notation "R + S" := (union _ R S).

Definition WeakConfluence :=

$$\forall p r q, R p r \rightarrow S^* r q \rightarrow \exists s, S^* p s \wedge R^* s q.$$

Definition ChurchRosser :=

$$\forall p q, (R+S)^* p q \rightarrow \exists s, S^* p s \wedge R^* s q.$$

Church-Rosser, with points

Do not read this slide!

(** naive proof **)

Theorem WeakConfluence_is_ChurchRosser0:

WeakConfluence \rightarrow ChurchRosser.

Proof.

intros H p q Hpq.

induction Hpq as [p | p q q' Hpq Hqq' IH].

\exists p. constructor. constructor.

destruct Hpq as [Hpq|Hpq].

destruct IH as [s' Hqs' Hs'q'].

destruct (H p q s' Hpq Hqs') as [s Hps Hss'].

\exists s. **assumption.**

apply trans_rtin.

apply rt_trans with s';

apply rtin_trans;

assumption.

destruct IH as [s Hqs Hs'q']. ■

\exists s.

apply rtin_trans with q;

assumption.

assumption.

Qed.

■

P : Set

R : relation P

S : relation P

H : WeakConfluence

p : P

q : P

q' : P

Hpq : S p q

Hqq' : (R + S)* q q'

s : P

Hqs : S* q s

Hsq' : R* s q'

=====

$\exists s0 : P, S^* p s0 \wedge R^* s0 q'$

Church-Rosser, no points, no tools

Not yet a short proof, but readable context

```
Context '{KA: KleeneAlgebra}.
```

```
Variable A: T.
```

```
Variables R S: X A A.
```

```
(**  
   $\subseteq$  is the inclusion of relations  
   $*$  is the reflexive and transitive closure  
   $\cdot$  is the composition  
   $+$  is the union  
**)
```

```
Theorem WeakConfluence_is_ChurchRosser1:
```

```
R · S*  $\subseteq$  S* · R*  $\rightarrow$  (R+S)*  $\subseteq$  S* · R*.
```

```
Proof.
```

```
intro H.
```

```
star_left_induction.
```

```
rewrite dot_distr_left.
```

```
repeat apply plus_destruct_leq.
```

```
do 2 rewrite  $\leftarrow$  one_leq_star_a.
```

```
rewrite dot_neutral_left. reflexivity.
```

```
■ rewrite dot_assoc. rewrite H.
```

```
rewrite  $\leftarrow$  dot_assoc.
```

```
rewrite (star_trans R).
```

```
reflexivity.
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rewrite dot_assoc.
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rewrite a_star_a_leq_star_a.
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reflexivity.
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Qed.
```

```
■
```

```
G : Graph
```

```
Mo : Monoid_Ops
```

```
SLo : SemiLattice_Ops
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```
Ko : Star_Op
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```
KA : KleeneAlgebra
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A : T
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R : X A A
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S : X A A
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```
H : R · S*  $\subseteq$  S* · R*
```

```
=====
```

```
R · (S* · R*)  $\subseteq$  S* · R*
```

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Theorem WeakConfluence_is_ChurchRosser1:
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   $R \cdot S^* \subseteq S^* \cdot R^* \rightarrow (R+S)^* \subseteq S^* \cdot R^*$ .
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```
H :  $R \cdot S^* \subseteq S^* \cdot R^*$ 
```

```
=====
```

```
( $R \cdot S^*$ )  $\cdot R^* \subseteq S^* \cdot R^*$ 
```

Church-Rosser, with tools

With high-level tactics, we can skip the administrative steps

```
Theorem WeakConfluence_is_ChurchRosser2:
  R · S* ⊆ S* · R* → (R+S)* ⊆ S* · R*.
Proof.
intro H.
star_left_induction.
■ semiring_normalize.
repeat apply plus_destruct_leq.
do 2 rewrite ← one_leq_star_a.
    monoid_reflexivity.
rewrite H. monoid_rewrite (star_trans R).
    reflexivity.
rewrite a_star_a_leq_star_a. reflexivity.
Qed.
```

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R : X A A
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=====
1 + (R + S) · (S* · R*) ⊆ S* · R*
```


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H : R · S* ⊆ S* · R*
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1 + R · S* · R* + S · S* · R* ⊆ S* · R*
```

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=====
1 ⊆ 1 · 1
```

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R : X A A
S : X A A
H : R · S* ⊆ S* · R*
star_trans : ∀ R, R* · R* == R*
=====
(S* · R*) · R* ⊆ S* · R*
```

Church-Rosser, with better tools

We can do better: equational theory of Kleene Algebras is decidable

```
Theorem WeakConfluence_is_ChurchRosser3:  
  R · S* ⊆ S* · R* → (R+S)* ⊆ S* · R*.
```

```
Proof.
```

```
intro H.
```

```
star_left_induction.
```

```
semiring_normalize.
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```
rewrite H. ■
```

■

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```
H : R · S* ⊆ S* · R*
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```
=====
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```

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Theorem WeakConfluence_is_ChurchRosser3:  
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Proof.
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```

```
star_left_induction.
```

```
semiring_normalize.
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```
rewrite H. ■
```

```
kleene_reflexivity.
```

```
Qed.
```



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```

```
=====
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1 + S* · R* · R* + S · S* · R* ⊆ S* · R*
```

Objectives

- ▶ The algebraic view improves:
 - ▶ goals readability;
- ▶ but we saw the need for :
 - ▶ decision tactics (à la ring, omega) :
`kleene_reflexivity`, `monoid_reflexivity`,
`semiring_reflexivity`...
 - ▶ simplification tactics (`ring_simplify`) :
`semiring_normalize`, `aci_normalize`...
 - ▶ rewriting tactics (modulo A, modulo AC):
`monoid_rewrite`

btw, we now have a dedicated plugin for rewriting modulo AC

Outline

Motivations

Deciding Kleene Algebras in Coq

Underlying parts of the development

Conclusions and perspectives

Scott vs. Kozen

Let α and β be two regular expressions ($+$, \cdot , 0 , 1 , $*$).

Scott '50 α and β represent the same language iff the corresponding minimal automata are isomorphic.

Scott vs. Kozen

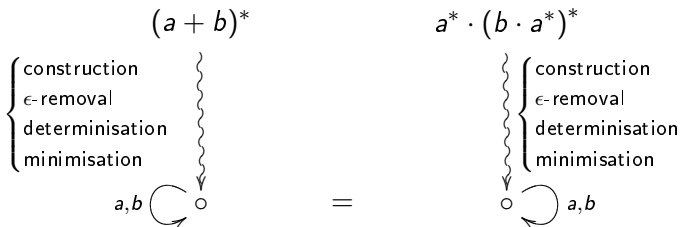
Let α and β be two regular expressions ($+$, \cdot , 0 , 1 , $*$).

Scott '50 α and β represent the same language iff the corresponding minimal automata are isomorphic.

Kozen '94 Initiality of this model for Kleene algebras:
If α and β lead to the same automata,
then $\mathcal{A} \vdash \alpha = \beta$, for any Kleene algebra \mathcal{A} .

Scott vs. Kozen (again)

Initiality of the model of regular languages



Scott '50 : We deduce $L((a + b)^*) = L(a^* \cdot (b \cdot a^*)^*)$.

Kozen '94 : We go further, we deduce $\mathcal{A} \vdash (a + b)^* = a^* \cdot (b \cdot a^*)^*$.

Making a reflexive tactic

- ▶ Theoretical complexity is PSPACE-complete...
 - ▶ however, tractable in practice...
 - ▶ as long as we take some care in the implementation

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- ▶ Coq is a programming language, we code the algorithm:

Definition `decide_Kleene: regexp → regexp → bool := ...`

Making a reflexive tactic

- ▶ Theoretical complexity is PSPACE-complete...
 - ▶ however, tractable in practice...
 - ▶ as long as we take some care in the implementation
- ▶ Coq is a programming language, we code the algorithm:

Definition `decide_Kleene: regexp → regexp → bool := ...`

- ▶ We formalize Kozen's proof in Coq:

Theorem Kozen: $\forall a b: \text{regexp}, \text{decide_Kleene } a b = \text{true} \leftrightarrow a \equiv b.$

(\equiv is the equality generated by the axioms of Kleene Algebras)

- ▶ Then we wrap this in a tactic.

Kozen's Proof

- ▶ The main idea is to represent automata algebraically, with matrices:

$$(\dots \quad u \quad \dots) \cdot \begin{pmatrix} \dots & \dots & \dots \\ \dots & M & \dots \\ \dots & \dots & \dots \end{pmatrix} \cdot \begin{pmatrix} \vdots \\ v \\ \vdots \end{pmatrix}$$

Kozen's Proof

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- ▶ Matrices over a Kleene algebra form a Kleene algebra.

Kozen's Proof

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- ▶ Matrices over a Kleene algebra form a Kleene algebra.
- ▶ Transcribe and validate the algorithms in this algebraic setting.

Kozen's Proof

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- ▶ Matrices over a Kleene algebra form a Kleene algebra.
- ▶ Transcribe and validate the algorithms in this algebraic setting.
in this talk, only a glimpse of these

Construction

A variant of Illie and Yu's

$$a + a \cdot (a+b)^*$$

①

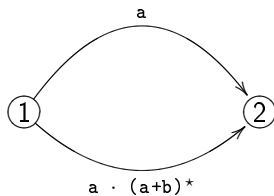
②

	1	2
1		
2		

Construction

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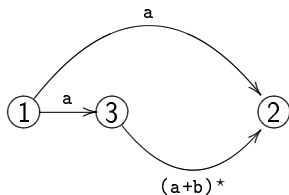


	1	2
1		a
2		

Construction

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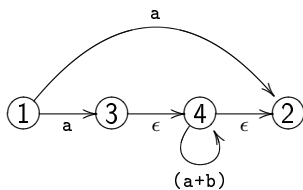


	1	2	3
1		a	a
2			
3			

Construction

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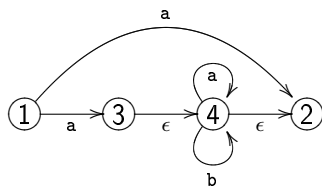


	1	2	3	4
1		a	a	
2				
3				ϵ
4		ϵ		

Construction

A variant of Illie and Yu's

$$a + a \cdot (a+b)^*$$



	1	2	3	4
1		a	a	
2				
3				ε
4		ε		a, b

About the construction

- ▶ We prove that the construction is **correct** algebraically

$$(1 \ 0 \ 0 \ 0) \cdot \begin{pmatrix} 0 & a & a & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \epsilon \\ 0 & \epsilon & 0 & a+b \end{pmatrix}^* \cdot \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} =_{\mathcal{A}} a + a \cdot (a+b)^*$$

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- ▶ We use **efficient** data-structures to represent the automata (Patricia trees for maps and sets vs matrices)

	1	2	3	4			
1		a	a		1	\xrightarrow{a} {2, 3}	
2					4	\xrightarrow{a} {4}	
3				ϵ	3	$\xrightarrow{\epsilon}$ {4}	
4		ϵ		a, b	4	\xrightarrow{b} {4}	
						4	$\xrightarrow{\epsilon}$ {2}

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$$(1 \ 0 \ 0 \ 0) \cdot \begin{pmatrix} 0 & a & a & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \epsilon \\ 0 & \epsilon & 0 & a+b \end{pmatrix}^* \cdot \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} =_{\mathcal{A}} a + a \cdot (a+b)^*$$

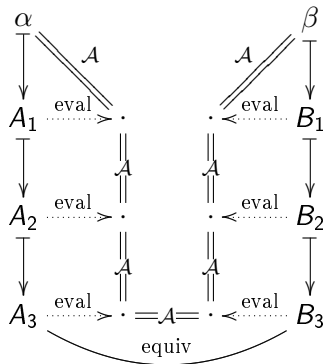
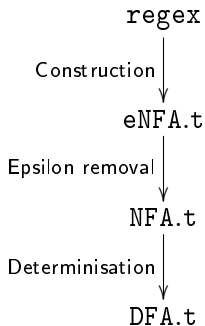
- ▶ We use **efficient** data-structures to represent the automata (Patricia trees for maps and sets vs matrices)

	1	2	3	4		
1		a	a		$1 \xrightarrow{a} \{2, 3\}$	
2					$4 \xrightarrow{a} \{4\}$	$3 \xrightarrow{\epsilon} \{4\}$
3				ϵ	$4 \xrightarrow{b} \{4\}$	$4 \xrightarrow{\epsilon} \{2\}$
4		ϵ		a, b		

- ▶ We prove that the constructions in the algebraic setting and the efficient setting are **equivalent**

The big picture

and the datastructures



No minimisation (too costly)

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Algebraic hierarchy

another one

- ▶ We follow the mathematical algebraic hierarchy using
Typeclasses:

SemiLattice

<: SemiRing <: KleeneAlg <: ...

Monoid

- ▶ We inherit the tools we developed for monoids, lattices, semi-rings, etc...

(e.g., `semiring_reflexivity` in the context of a Kleene algebra.)

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What about matrices ?

Matrices

- ▶ Infinite functions, with a constrained pointwise equality:

Definition $\text{MX } n \ m := \text{nat} \rightarrow \text{nat} \rightarrow X$.

Definition $\text{equal } n \ m \ (M \ N : \text{MX } n \ m) :=$
 $\forall i \ j, i < n \rightarrow j < m \rightarrow M \ i \ j \equiv N \ i \ j$.

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- ▶ Easy to manipulate (proof/programs separation)

$$(M * N)_{i,j} = \sum_{k=0}^m M_{i,k} * N_{k,j}$$

Fixpoint $\text{sum } k \ (f : \text{nat} \rightarrow X) :=$
 $\text{match } k \ \text{with } 0 \Rightarrow 0 \mid S \ k \Rightarrow f \ k + \text{sum } k \ f \ \text{end}$.

Definition $\text{dot } n \ m \ p \ (M : \text{MX } n \ m) \ (N : \text{MX } m \ p) :=$
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bounds proofs are easy to cope with, in proof mode

Matrices cont.

Thanks to typeclasses, we inherit tools and theorems for matrices:

- ▶ square matrices built over a semi-ring form a semi-ring;
- ▶ square matrices built over a Kleene algebra form a Kleene algebra.

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At several places, we need rectangular matrices!

How to deal with rectangular matrices?

- ▶ Without extra stuff, we cannot re-use tools for them: rectangular matrices do not form a semiring
 - ▶ operations $(\cdot, +, \dots)$ are partial (dimensions have to agree)

X : Type.

`dot`: $X \rightarrow X \rightarrow X$.

`one`: X .

`plus`: $X \rightarrow X \rightarrow X$.

`zero`: X .

`star`: $X \rightarrow X$.

`dot_neutral_left`:

$\forall x, \text{dot one } x = x$.

...

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- ▶ Introduce `typed` structures from the beginning

Typed structures

We handle heterogeneous relations ($X A B := A \rightarrow B \rightarrow \text{Prop}$), as well as matrices:

```
MxSemiLattice : SemiLattice → SemiLattice.
```

```
MxSemiRing : SemiRing → SemiRing.
```

```
MxKleeneAlgebra : KleeneAlgebra → KleeneAlgebra.
```

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- ▶ What about extending decision procedures to deal with typed structures?

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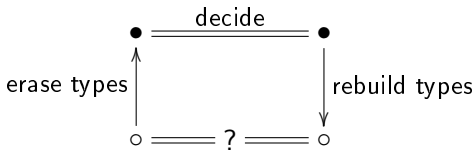
tackle the problem differently... let's erase types!

Untyping

The general scheme

untyped setting:

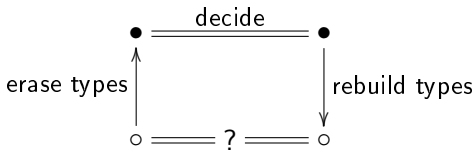
typed setting:



Untyping

The general scheme

untyped setting:



typed setting:

- ▶ Depending on the algebraic structure:

\mathcal{A}	
semi-lattices	trivial
monoids	rather easy
semirings	tricky
Kleene algebras	same as for semirings
residuated lattices	with constraints
action algebras/lattices	?

So, everything is fine...

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Conclusions

- ▶ A decision tactic for Kleene algebras (available on the web):
 - ▶ reflexive
 - ▶ efficient (first version:40 symbols, now:1000)
 - ▶ correct and complete
 - ▶ ~ 7000 lines of spec (definitions, functions)
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- ▶ Other tools for the underlying structures:
 - ▶ various algebraic structures,
 - ▶ matrices

Learnings

- ▶ Finite sets/Finite maps:
 - ▶ used a lot in our development
 - ▶ Patricia trees rule for binary positive numbers
 - ▶ mixing proofs and programs hinders performances (slightly)
- ▶ Typeclasses:
 - ▶ much more supple to use than modules
 - ▶ overhead due to the inference of implicit arguments
- ▶ Interfaces:
 - ▶ in order to compute, cannot hide a module behind a signature (coercions)
 - ▶ break proofs when changing the implementation
 - ▶ example: going from AVL based FSets to Patricia trees

What's coming next ?

- ▶ Kleene algebras with tests (automation for Hoare logic)
- ▶ Merging the equivalence check and the determinisation
- ▶ Back-end for simulation proof obligations ?

Thanks you for your attention

Any Questions ?

<http://sardes.inrialpes.fr/~braibant/atbr/>

Determinisation

- ▶ Construct the powerset automata
- ▶ Let X be the decoding matrix of the **accessible** subsets of the automata (u, M, v) :

$$X_{sj} \triangleq j \in s$$

- ▶ We can define \overline{M} and \overline{u} such that:

$$\overline{M}^* \cdot X = X \cdot M^* \qquad \overline{u} \cdot X = u$$

- ▶ We deduce

$$\begin{aligned} \overline{u} \cdot \overline{M}^* \cdot X \cdot v &= \overline{u} \cdot X \cdot M^* \cdot v \\ &= u \cdot M^* \cdot v \end{aligned}$$