

# A Mechanized Translation from Higher-Order Logic to Set Theory

Alexander Krauss and Andreas Schropp



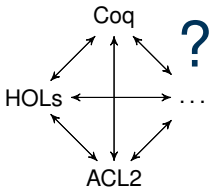
Theorem Proving Group  
Technische Universität München

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# Motivation (Long Term)

Explore set theory for interactive theorem proving:

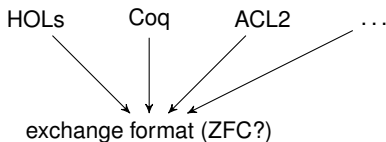
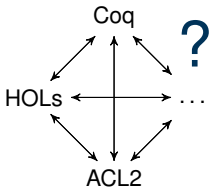
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("Grand Challenge", Gordon '08)



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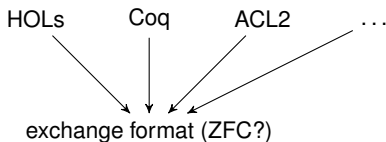
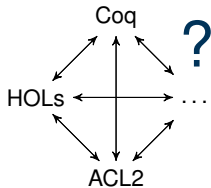
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# Motivation (Long Term)

Explore set theory for interactive theorem proving:

1. Exchange format between proof assistants?  
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2. Soft Types?

- ▶ Flexible type checking on top of an untyped logic
- ▶ Escape HOL's limitations without buying into dependent type theories

# Motivation (Short Term)

Explore HOL-style reasoning on top of ZF

- Standard HOL
- Isabelle-specific extensions:
  - ▶ Type classes
  - ▶ Overloading

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- Standard HOL
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  - ▶ Type classes
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Translate actual theories:

- Make the large Isabelle/HOL developments available in ZF
- Facilitate building proof tools

# Outline

1. Foundations (Isabelle/Pure, HOL, ZF)
2. Translation Scheme
  - 2.1 Basic Logic
  - 2.2 Type Classes (omitted)
  - 2.3 Overloading (by example)

# The Framework: Isabelle/Pure

(aka  $\lambda$ -HOL)



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Types

Terms

Proofs

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## Types

$\tau$	$::=$	$\alpha$	type variable
		$\kappa \overline{\tau}_n$	type constructor

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$p ::= h$	proof variable (hypothesis)
$\mid \lambda x : \tau. p$	abstraction over terms
$\mid \lambda h : \phi. p$	abstraction over proofs
$\mid p \odot t$	application of terms
$\mid p_1 \bullet p_2$	application of proofs
$\mid thm[\overline{\tau}_n]$	proof constant (theorem/axiom)

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$thm[\overline{\tau}_n]$	proof constant (theorem/axiom)

# HOL

types *bool*, ...

constants

$[\cdot]$  :  $bool \Rightarrow prop$   
 $\forall$  :  $(\alpha \Rightarrow bool) \Rightarrow bool$   
 $\longrightarrow, \vee, \wedge$  :  $bool \Rightarrow bool \Rightarrow bool$   
 $=$  :  $\alpha \Rightarrow \alpha \Rightarrow bool$   
*THE* :  $(\alpha \Rightarrow bool) \Rightarrow \alpha$   
*undefined* :  $\alpha$

axioms

$\wedge P : \alpha \Rightarrow bool. (\wedge x : \alpha. [P x]) \Longrightarrow [\forall x. P x]$

$\wedge (P : \alpha \Rightarrow bool) (a : \alpha). [\forall x. P x] \Longrightarrow [P a]$

$\wedge P Q : bool. ([P] \Longrightarrow [Q]) \Longrightarrow [P \longrightarrow Q]$

$\wedge P Q : bool. [P \longrightarrow Q] \Longrightarrow [P] \Longrightarrow [Q]$

$\wedge P : bool. [P = True \vee P = False]$

⋮



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# ZF

types *o*, *ι*

constants

$[\cdot]$  :  $o \Rightarrow prop$   
 $\forall$  :  $(\iota \Rightarrow o) \Rightarrow o$   
 $\longrightarrow, \vee, \wedge$  :  $o \Rightarrow o \Rightarrow o$   
 $=$  :  $\iota \Rightarrow \iota \Rightarrow o$   
 $\in$  :  $\iota \Rightarrow \iota \Rightarrow o$

axioms

FOL rules + ZFC axioms

definable

$(\lambda \cdot \in \dots) : \iota \Rightarrow (\iota \Rightarrow \iota) \Rightarrow \iota$   
 $'$  :  $\iota \Rightarrow \iota \Rightarrow \iota$   
 $[\langle \cdot \rangle]$  :  $\iota \Rightarrow prop$

# Translation Scheme

Type  $\tau$

Set  $[[\tau]] : \mathcal{L}$

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Operation on sets

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Term  $t : \tau$

Set  $\llbracket \tau \rrbracket : \iota$

Operation on sets

Term  $\llbracket t \rrbracket : \iota$  (s.t.  $\llbracket t \rrbracket \in \llbracket \tau \rrbracket$ )

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Term  $t : \tau$

$(\lambda x : \tau. \dots)$  and  $t_1 t_2$

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Types of variables  $v : \tau$

Set  $\llbracket \tau \rrbracket : \iota$

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Term  $\llbracket t \rrbracket : \iota$  (s.t.  $\llbracket t \rrbracket \in \llbracket \tau \rrbracket$ )

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Instrumented...



# Universe

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Must be closed under  $\Rightarrow$ , etc., and admit choice!

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We chose: All nonempty sets

Consequence: Need global choice axiom

$$\bigwedge A : \iota. [A \neq \emptyset] \implies [\text{choose } A \in A]$$

# Example

The transitivity rule

$$(\forall\alpha) \ \wedge r s t : \alpha. [r = s] \Longrightarrow [s = t] \Longrightarrow [r = t]$$

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which is equivalent to

$$\begin{aligned} \bigwedge A r s t : \iota. [A \neq \emptyset] \Longrightarrow [r \in A] \Longrightarrow [s \in A] \Longrightarrow [t \in A] \\ \Longrightarrow [\langle r =_A s \rangle] \Longrightarrow [\langle s =_A t \rangle] \Longrightarrow [\langle r =_A t \rangle] . \end{aligned}$$

# Types and Terms

*Translation of types:*

$$\begin{aligned} \llbracket \kappa \overline{\tau}_n \rrbracket &:= \widehat{\kappa} \overline{\llbracket \tau_m \rrbracket} \\ \llbracket \alpha \rrbracket &:= x_\alpha \end{aligned}$$

*Translation of terms:*

$$\begin{aligned} \llbracket c[\overline{\tau}_n] \rrbracket &:= \widehat{c} \overline{\llbracket \tau_m \rrbracket} \\ \llbracket \lambda x : \tau. t \rrbracket &:= \lambda x \in \llbracket \tau \rrbracket. \llbracket t \rrbracket \\ \llbracket x \rrbracket &:= x \\ \llbracket t_1 t_2 \rrbracket &:= \llbracket t_1 \rrbracket ' \llbracket t_2 \rrbracket \end{aligned}$$

*Translation of outer proposition structure:*

$$\begin{aligned} \llbracket (\forall \overline{\alpha}_m) \phi \rrbracket &:= \bigwedge \overline{x_{\alpha_m}} : \iota. \overline{[x_{\alpha_m} \neq \emptyset]} \implies \llbracket \phi \rrbracket \\ \llbracket \bigwedge x : \tau. \phi \rrbracket &:= \bigwedge x : \iota. [x \in \llbracket \tau \rrbracket] \implies \llbracket \phi \rrbracket \\ \llbracket \phi_1 \implies \phi_2 \rrbracket &:= \llbracket \phi_1 \rrbracket \implies \llbracket \phi_2 \rrbracket \\ \llbracket \llbracket t \rrbracket \rrbracket &:= \llbracket \langle \llbracket t \rrbracket \rangle \rrbracket \end{aligned}$$

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$$\llbracket \lambda x : \tau. p \rrbracket := \lambda x : \iota. \lambda h : [x \in \llbracket \tau \rrbracket]. \llbracket p \rrbracket$$

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$\{P\}$  : Placeholder for proof of  $P$  (by tactics)

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$\bullet_n$  : On-the-fly  $\beta\eta$ -normalization (by simplifier)

# Overloading (by example)

$plus[nat] \equiv nat\text{-}plus$

$plus[\alpha \times \beta] \equiv \lambda x y : \alpha \times \beta. (plus[\alpha] (fst\ x) (fst\ y), plus[\beta] (snd\ x) (snd\ y))$

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Dictionary parameters:

$plus_1 \equiv nat-plus$

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Solutions:

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Solutions:

- Disallow (but occurs in practice)
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- Unroll the type definition

# Conclusion

Really spelled out the details of HOL + type classes + overloading

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Next step: Implicit arguments for ZF?