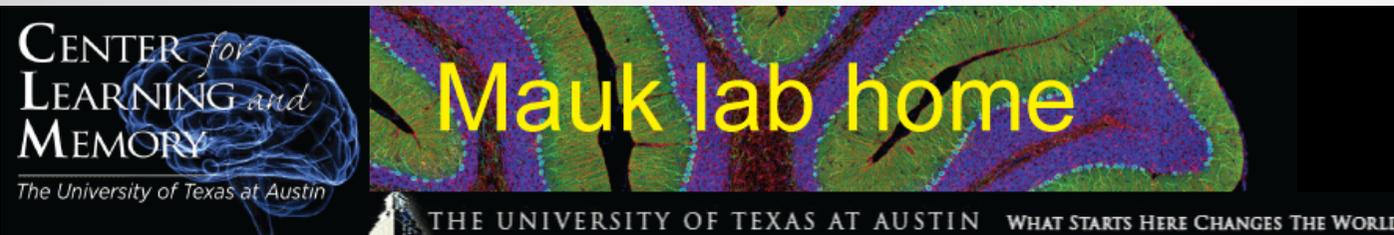


# Cerebellar Learning and Applications

Matthew Hausknecht, Wenke Li,  
Mike Mauk, Peter Stone

January 23, 2014



# Motivation

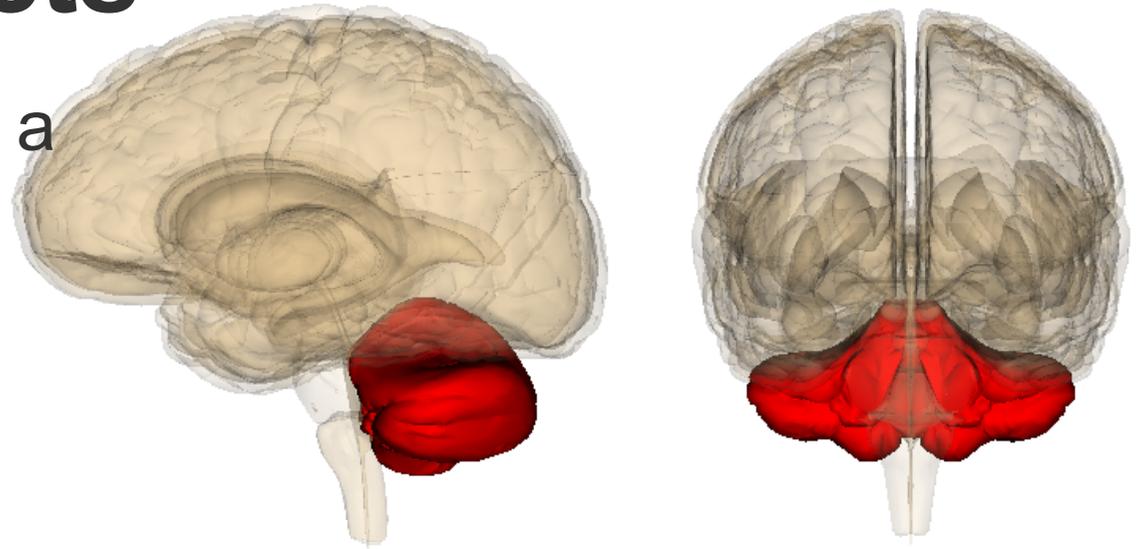
- Introduces a novel learning agent: the cerebellum simulator.
- Study the successes and failures the cerebellum on machine learning tasks.
- Characterize the cerebellum's capabilities and limitations.
- Develop a set of guidelines to help understand what tasks are amenable to cerebellar learning.

# Outline

- Introduction: Biology of the cerebellum
- Cerebellum Simulator
- Experimental Domains
  - Eyelid Conditioning
  - Cartpole
  - PID Control
  - Robocup Balance
  - Pattern Recognition
  - Audio Recognition
- Conclusions

# Cerebellum Facts

- Brain region that plays a role in motor control.
- Located beneath the cerebral hemispheres.
- Highly regular structure in contrast to the convolutions of the cerebral cortex.
- 10% of total brain volume but contains more neurons than rest of brain put together. (Half of the total neurons in brain are cerebellar granule cells)
- Does not initiate movement, but instead is responsible for fine tuning, timing, and coordinating fine motor skills.



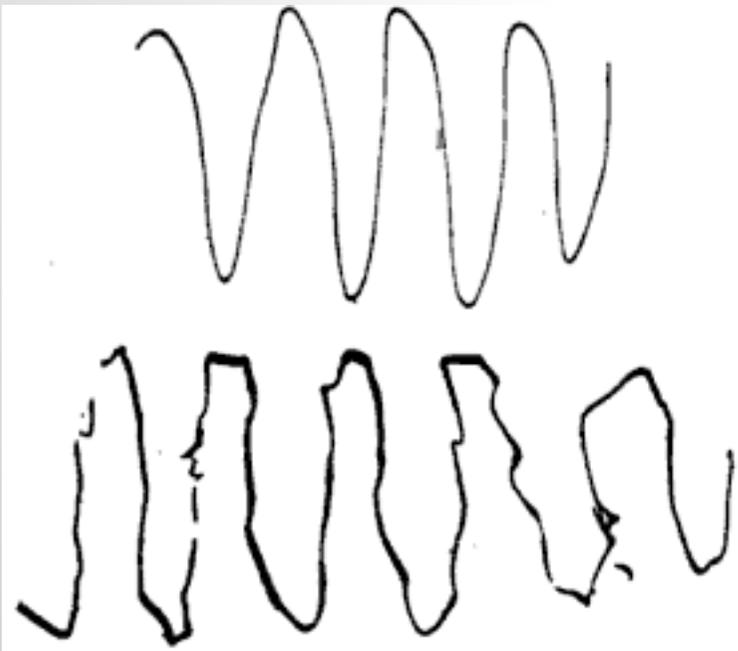
# Ataxia

Damage to the cerebellum results not in paralysis, but instead produces disorders fine movement, equilibrium, posture and motor learning.

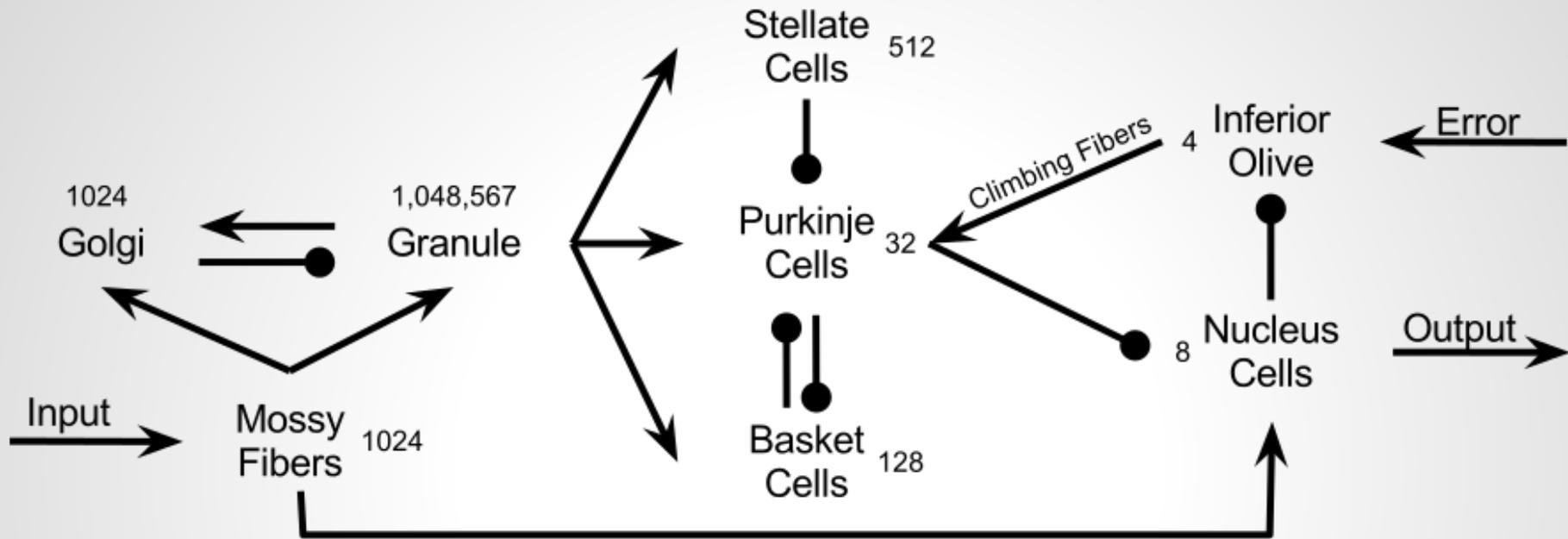


Top: Altered gate of woman with cerebellar disease.

Left: Attempt by cerebellar diseased patient to reproduce trace on top

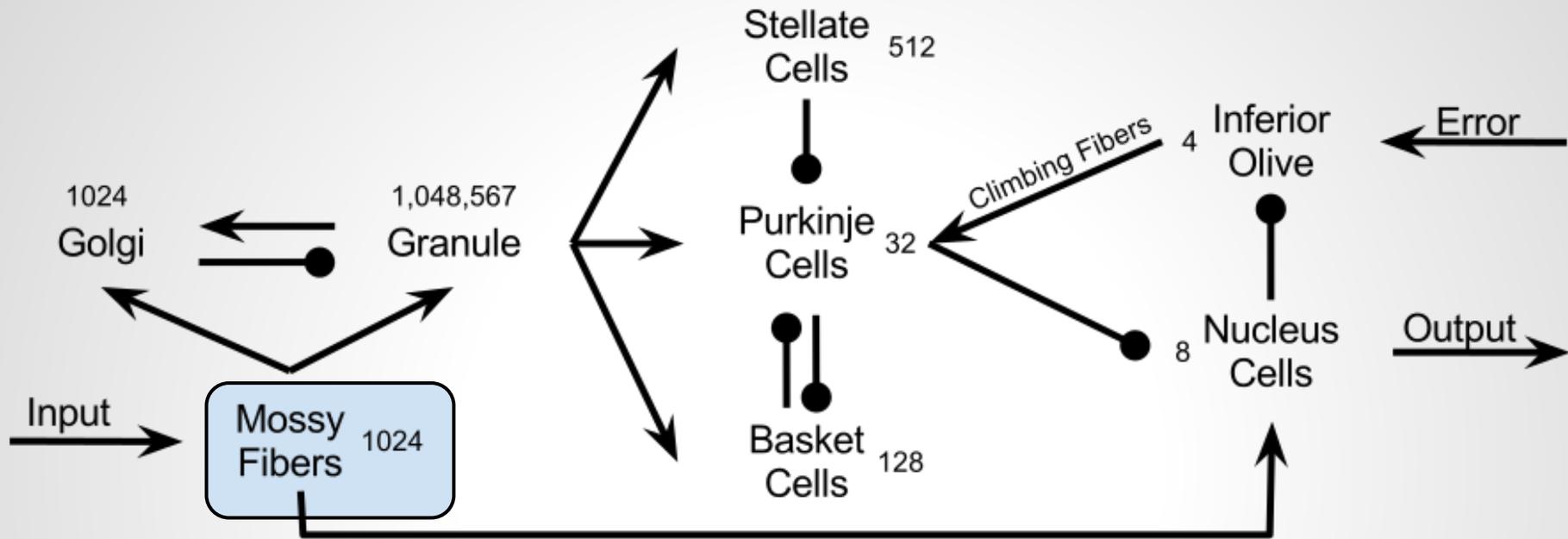


# Synaptic Connectivity



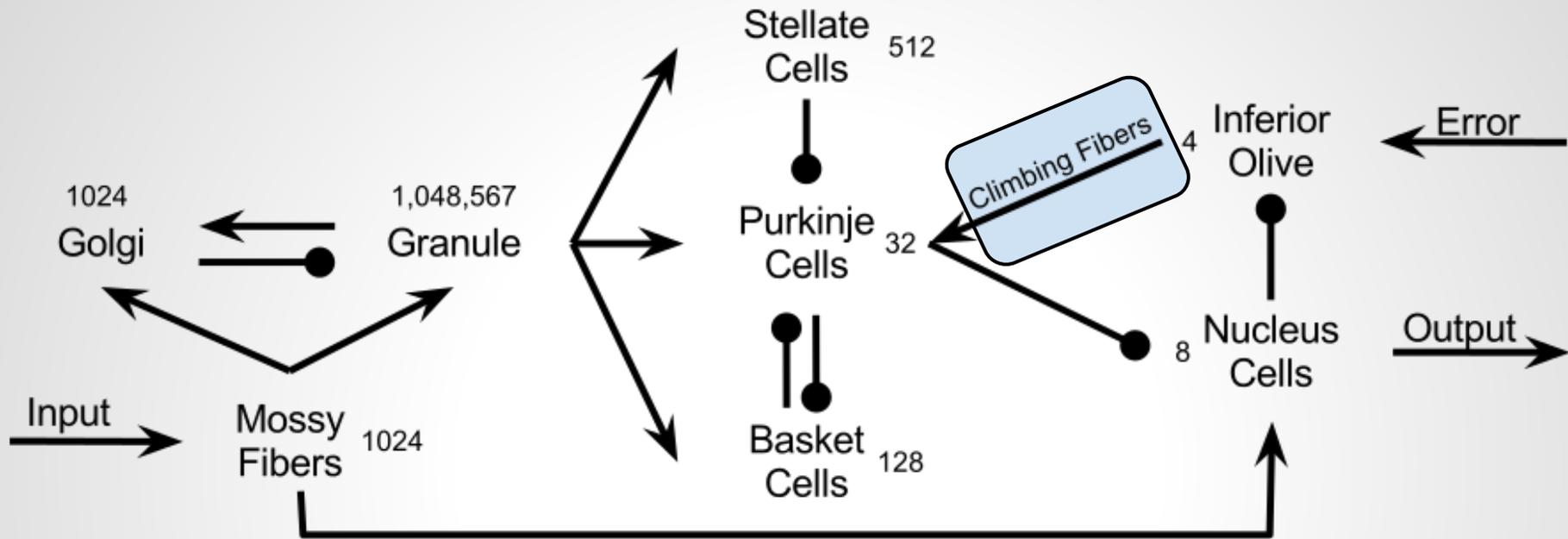
- Cerebellar connectivity is highly regular with an enormous number of neurons but a limited number of neuron types.
- Arrows denote excitatory connections while circles denote inhibitory connections. Numbers indicate number of simulated cells.

# Mossy Fibers



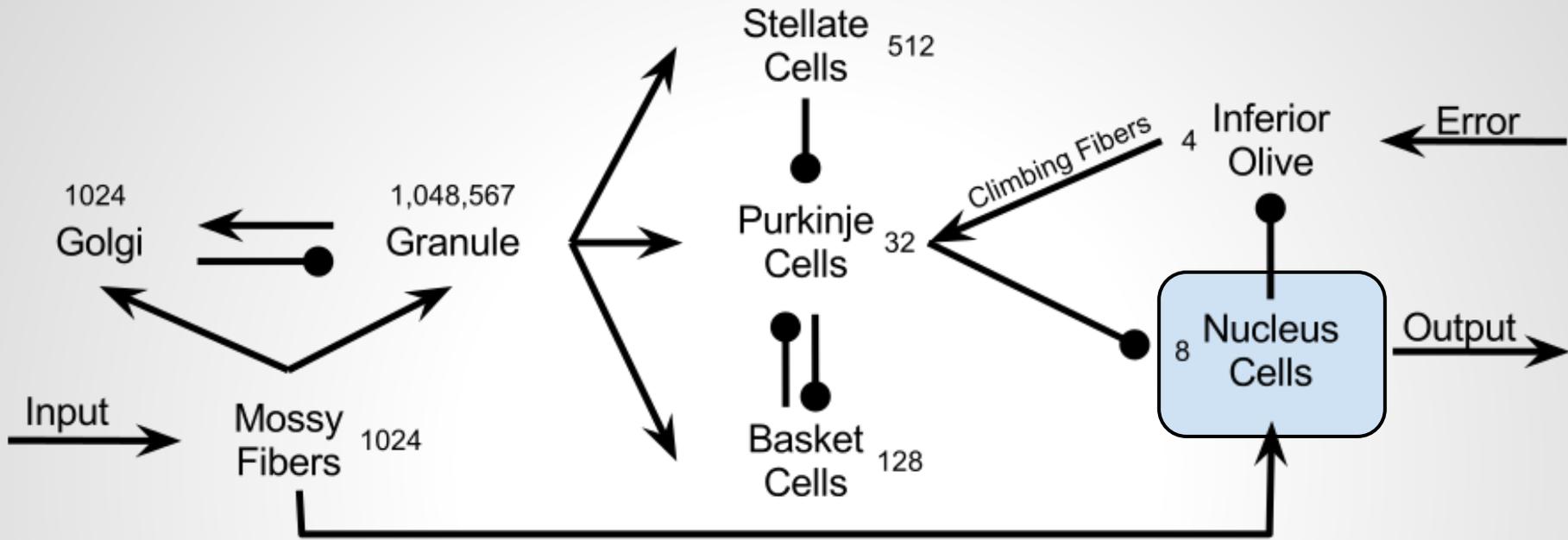
- Carry external information about the state of the world to the rest of the cerebellum.

# Climbing Fibers



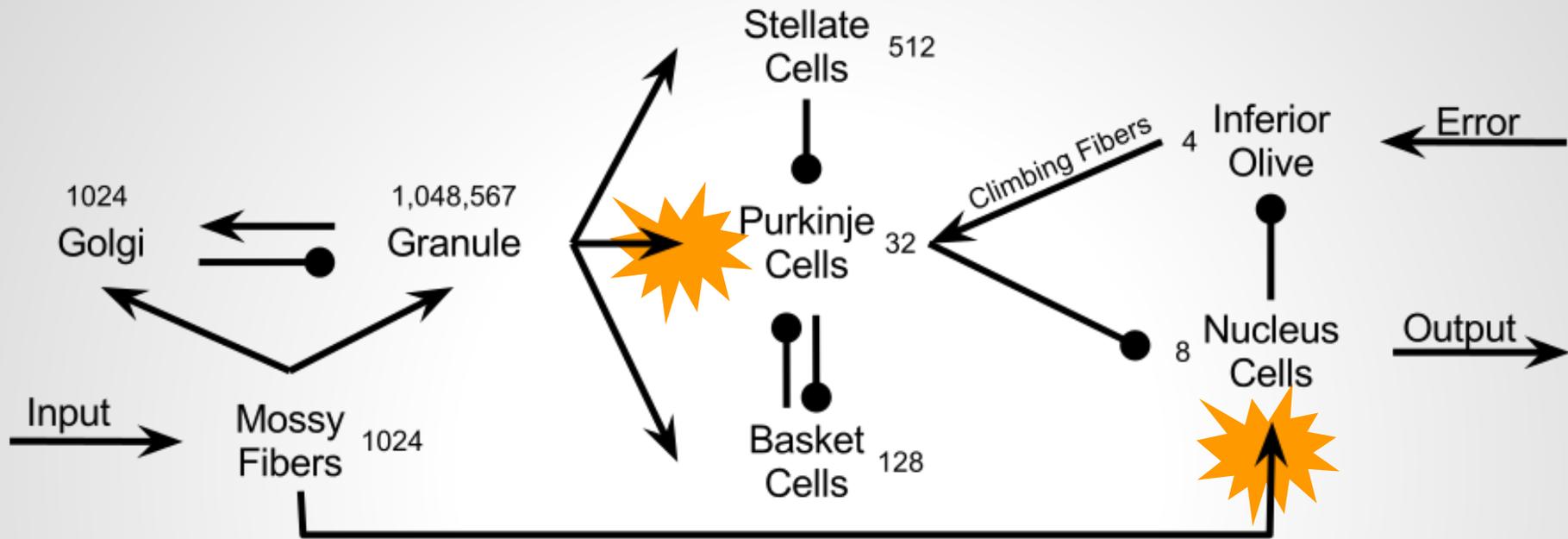
- Teaching signals originate in the Inferior Olive and are transmitted via the Climbing Fibers.
- Teaching signals indicate the need for changes in synaptic plasticity and ultimately behavior.

# Nucleus Cells



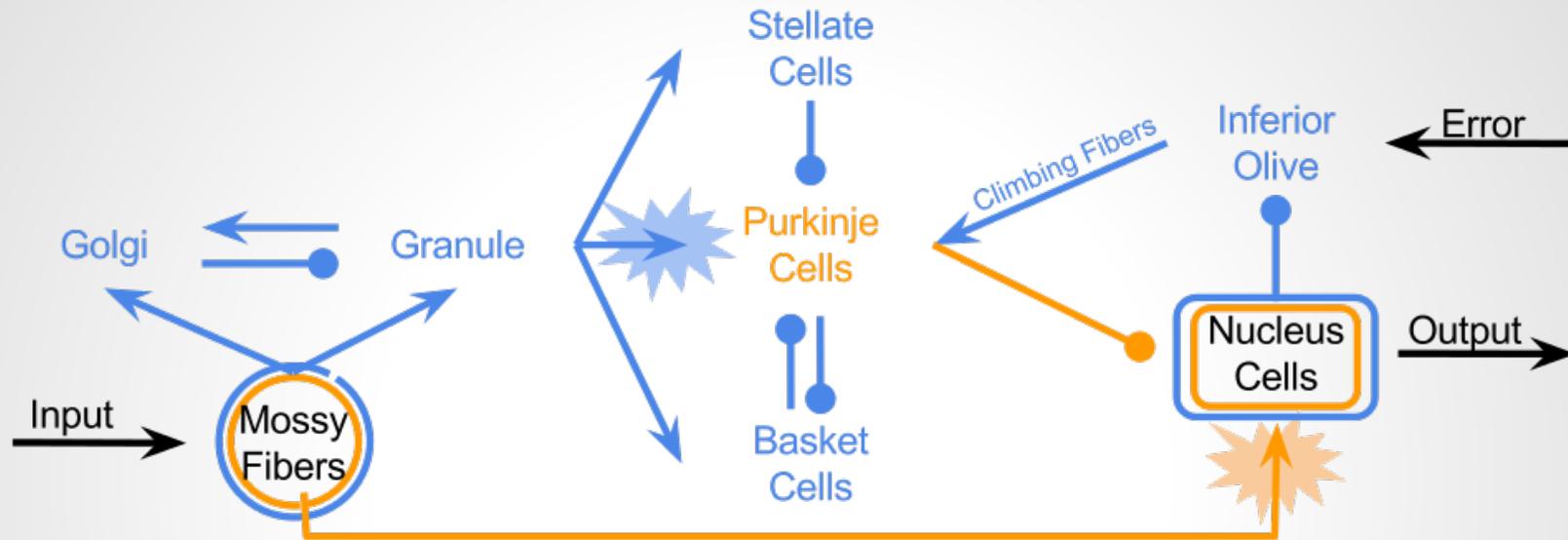
- Outputs from the nucleus cells form the basis of muscle control.

# Cerebellar Learning Mechanisms



- Learning takes place by updating synaptic plasticity at two sites: GR:Purkinje and MF:Nucleus.
- Synaptic plasticity is the ability of the connection or synapses between two neurons to change in strength.

# Learning Pathways



- Direct pathway:

$$\Delta w_i^{mf} = \delta_-^{mf} \cdot MF_i \cdot \Theta_{LTD}^{PKJ}(50) + \delta_+^{mf} \cdot MF_i \cdot \Theta_{LTP}^{PKJ}(50)$$

- Indirect pathway:

$$\Delta w_i^{gr} = \delta_-^{gr} \cdot GR_i \cdot CF(100) + \delta_+^{gr} \cdot GR_i \cdot (1 - CF(100))$$

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# Cerebellum Simulator

- Cellular level simulation of the cerebellum.
- Based on a previous simulator built by Buonomano and Mauk<sup>1</sup>.
- Primary difference from previous simulator is a nearly 100x increase in the number of cells: from 12,000 to 1,048,567.
- At this scale divergence/convergence ratios of granule cell connectivity more closely approximate those in the brain.
- Developed and parallelized by Wenke Li.

<sup>1</sup>Dean V. Buonomano and Michael D. Mauk. *Neural network model of the cerebellum: temporal discrimination and the timing of motor responses*. Neural Comput., 6:38–55, January 1994.

# Parallel Implementation

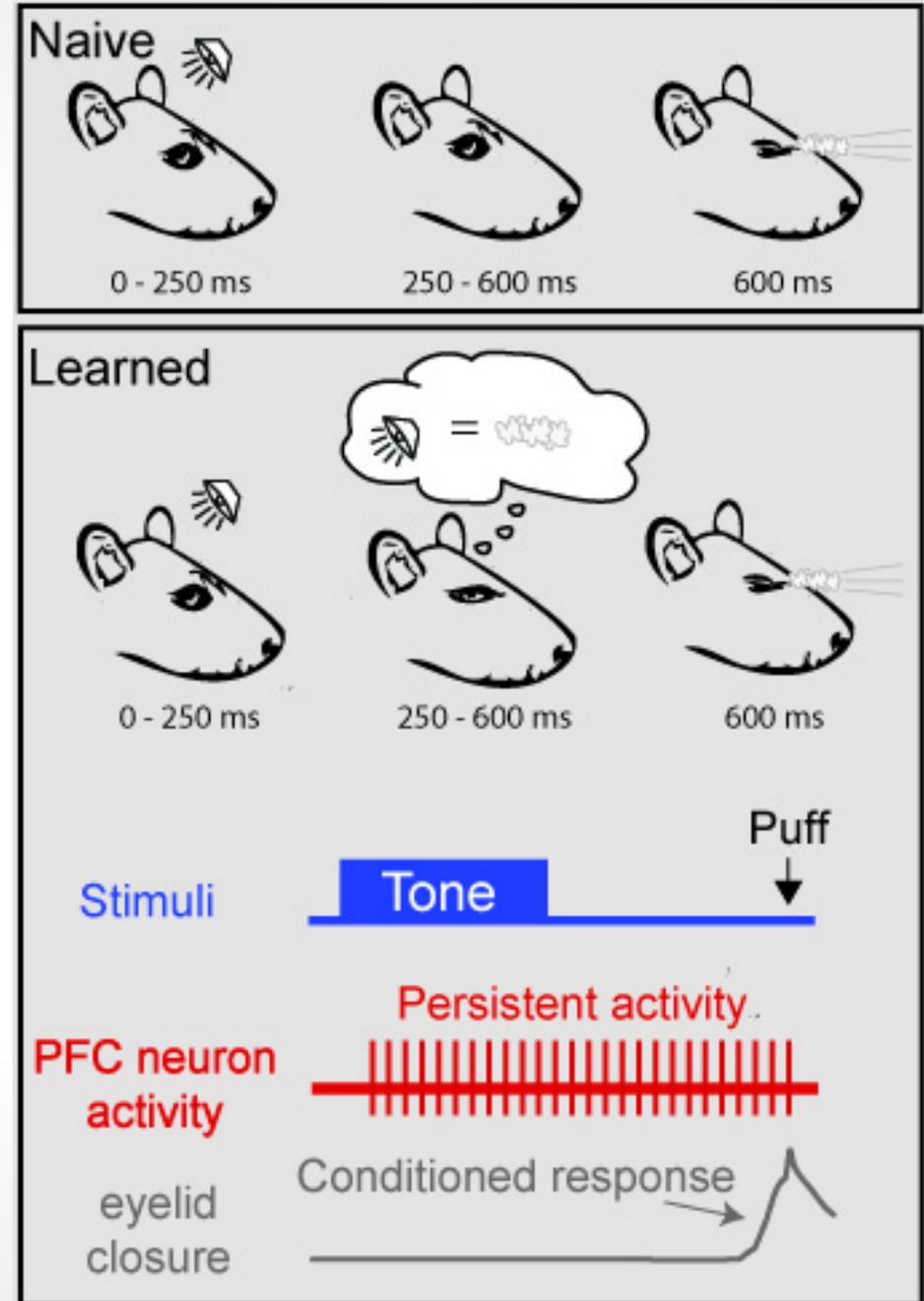
- Relies on Nvidia Cuda GPUs to compute granule cell firings in parallel.
- Traditional parallel programming approach (OpenMP etc) were inadequate due to high memory bandwidth required ~128 GB/s for real-time operation.
- GPU computation provides necessary memory bandwidth as well as several hundred cores.
- A single Nvidia Fermi GTX580 GPU brings the simulation to 50% real-time speed.

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# Eyelid Conditioning

- Rabbits learn to close their eyes in response to a tone being played.
- Lesioning of cerebellum renders animals incapable of learning responses<sup>1</sup>.
- Unpaired CS+US results in extinction.
- Simulator tuned from to match experimental data collected from rabbits.



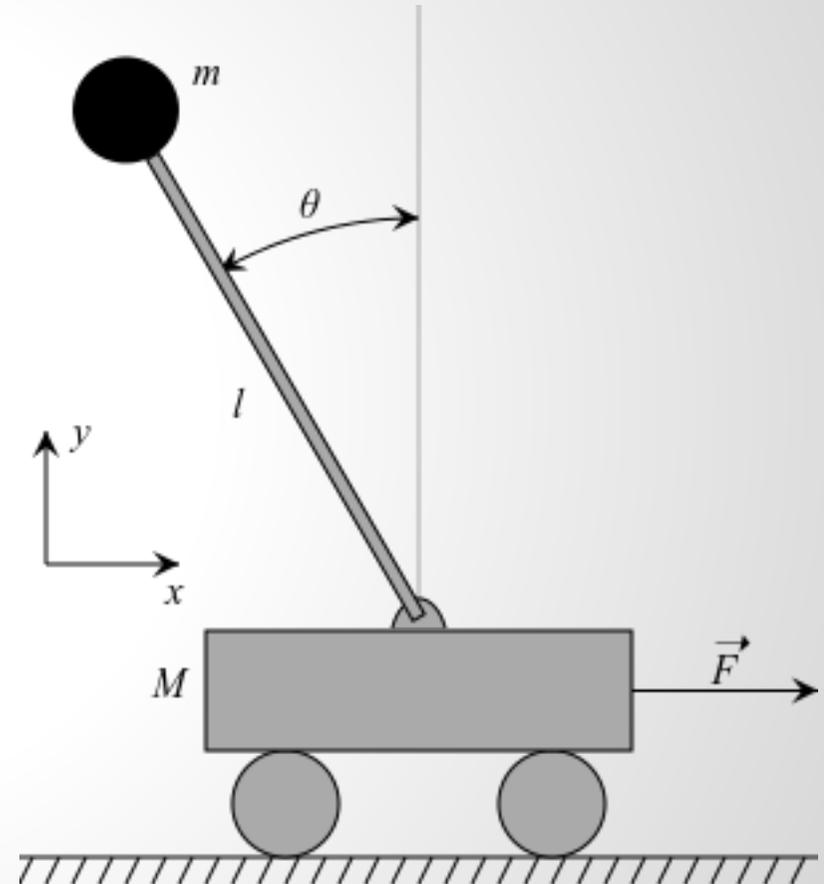
<sup>1</sup>McCormick et al. (1981)

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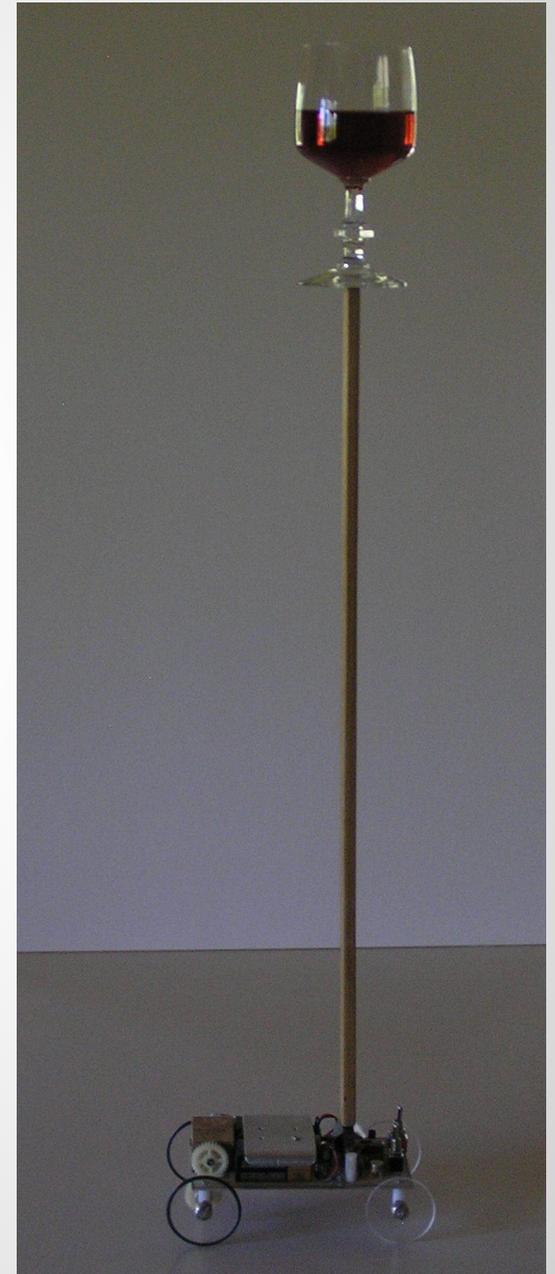
# Inverted Pendulum Balancing

- Objective: keep an inverted pole balanced for as long as possible.
- Forces are applied to the cart along the axis of movement.
- Differs from Eyelid conditioning in that forces now need to be applied in two directions.

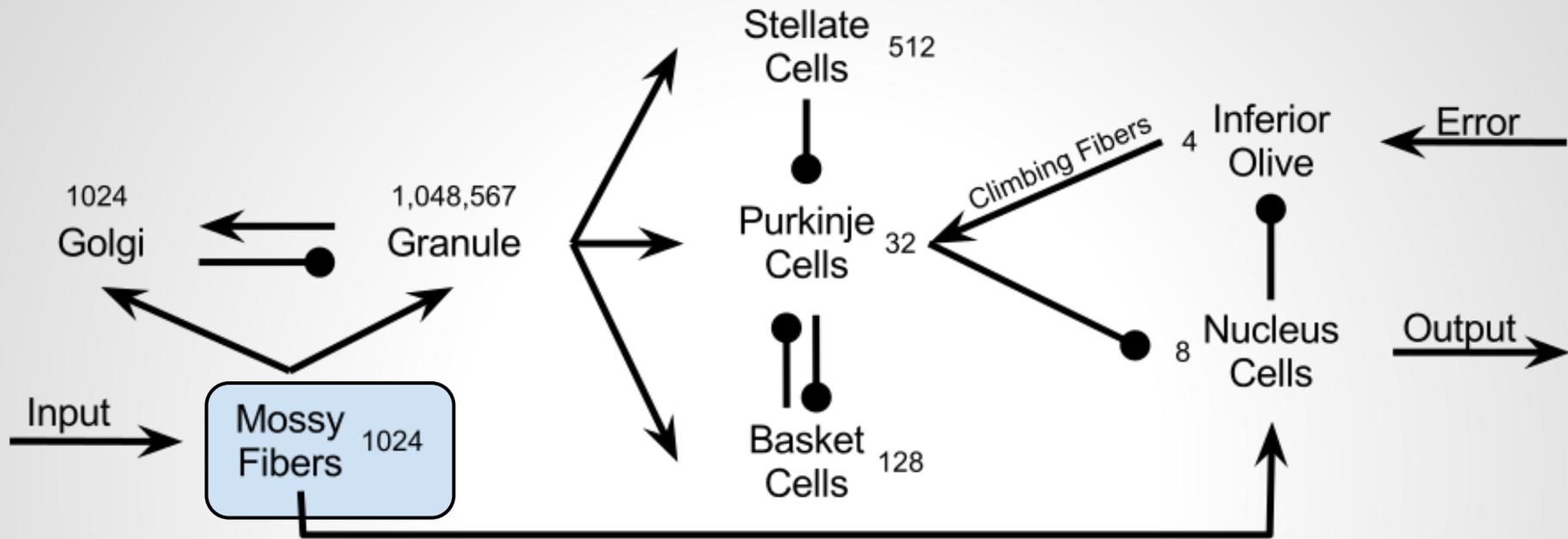


# Inverted Pendulum Balancing

- Main challenge: How best to interface the cerebellum simulator to the inverted pendulum domain?
- Three main questions:
  1. How to encode state of cart & pole?
  2. How and when to deliver error signals?
  3. How to interpret outputs as forces?

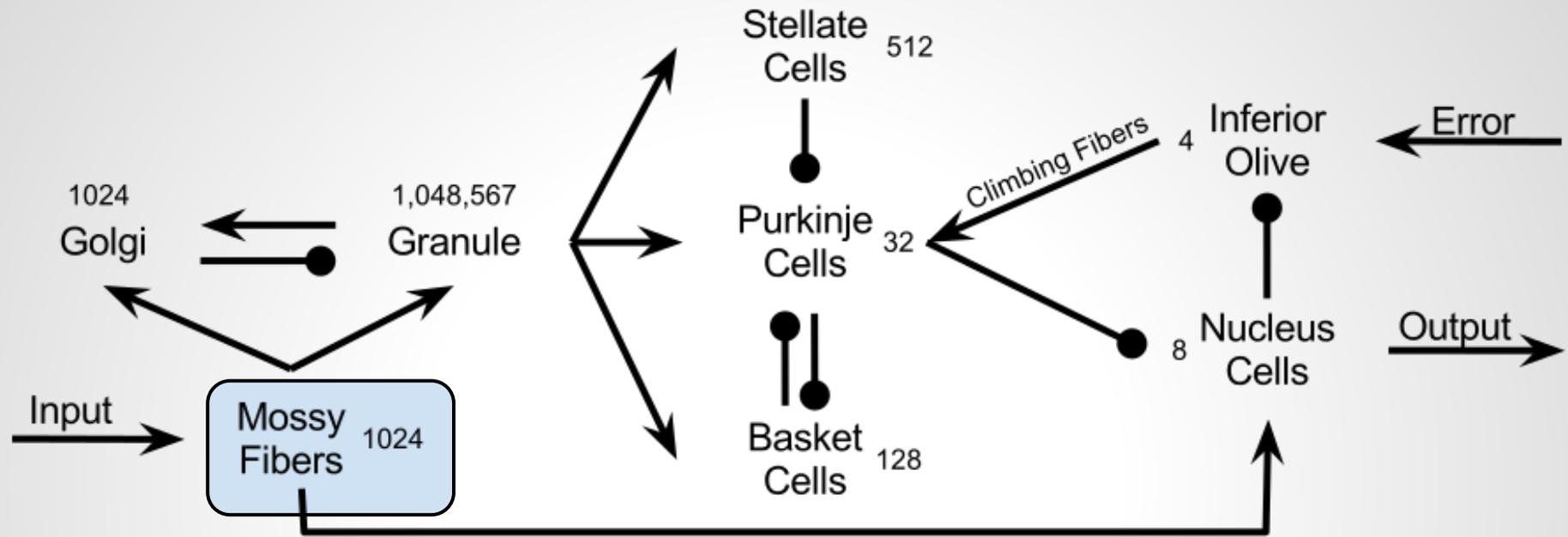


# Mossy Fibers



- Carry external information about the state of the world to the rest of the cerebellum.

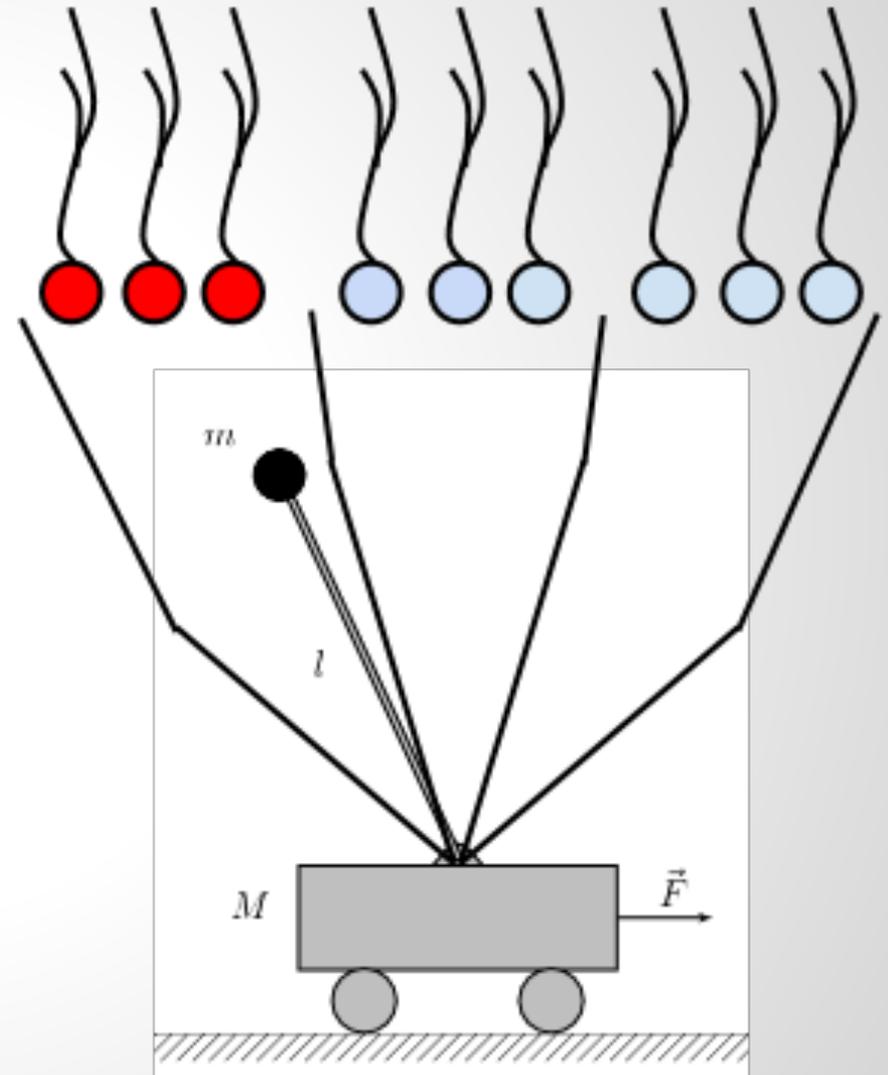
# State Signal Interface



- Challenge: Convey Pole Angle, Pole Velocity, Cart Position, and Cart Velocity.
- 1024 Mossy Fibers (MFs) available.
- When at rest MFs fire with a low background frequency.
- When excited, MF firing rate increases.
- Need to selectively excite MFs.

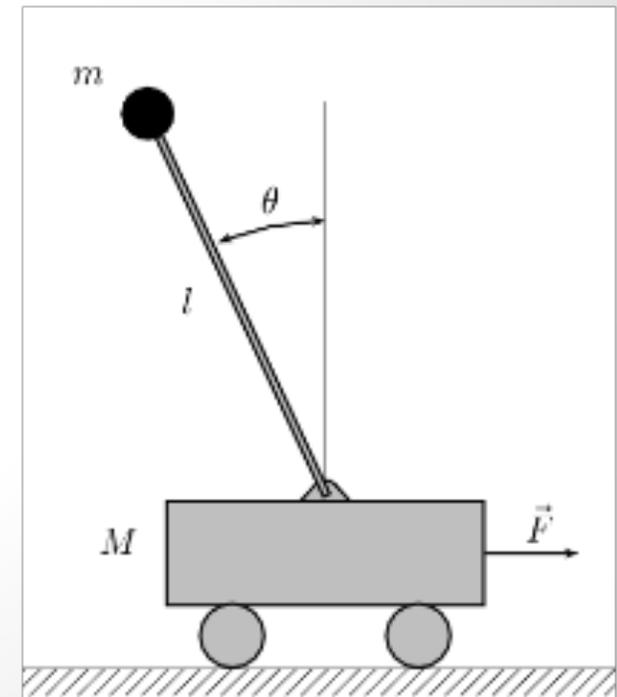
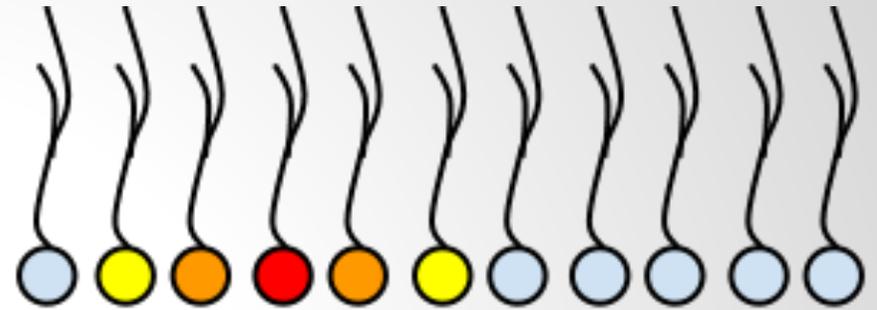
# Boolean State Encoding

- Has 3 receptive zones (tiles).
- Increases firing rates of MFs in the active zone.
- Conveys rough information about the location of the pole.



# Gaussian State Encoding

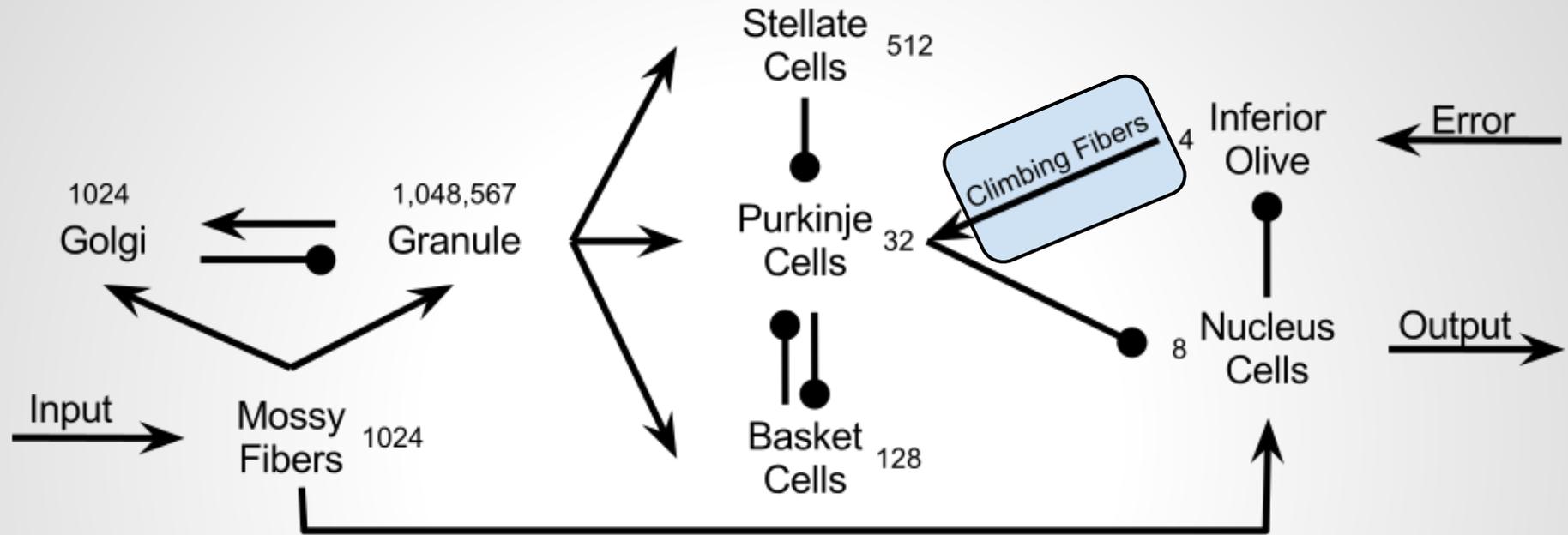
- Multiple receptive zones (tiles).
- Assign MFs values in 'input space.'
- Each MF fires proportional to how close the pole angle value is to its value in input space.
- Conveys fine-grained information about the location of the pole.



# State Signal Interface

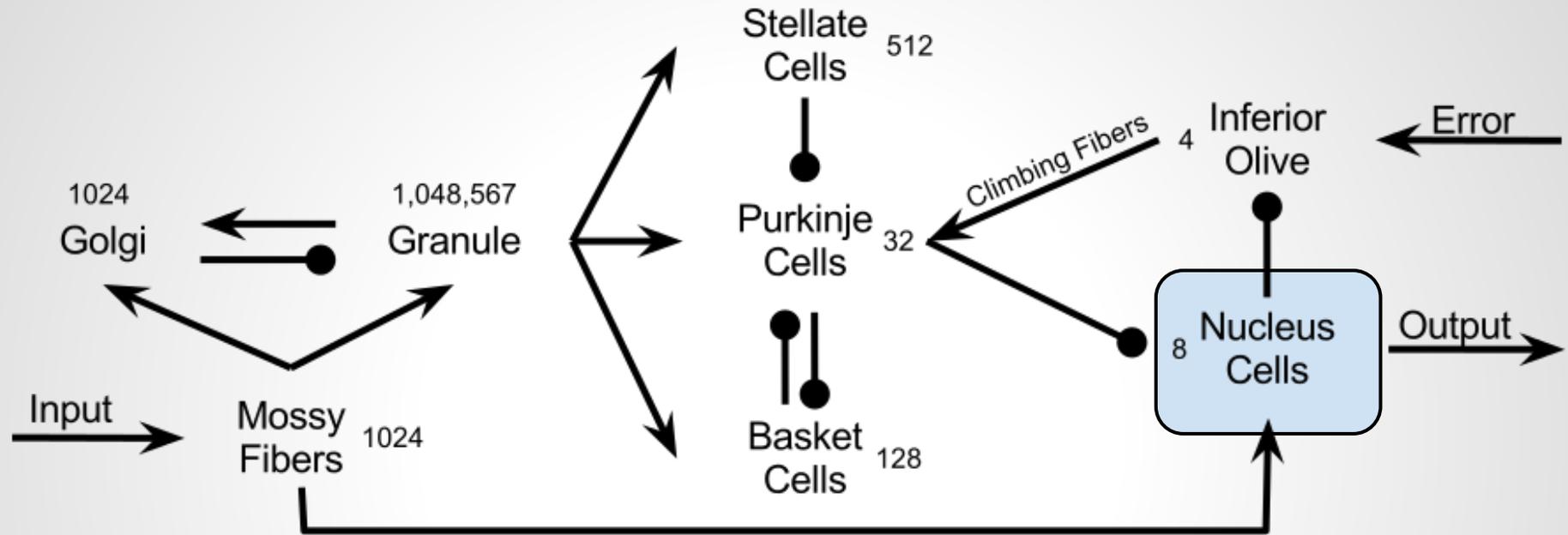
- 1024 total Mossy Fibers (MFs) process input.
- We assign 30 random MFs each to encode pole angle, pole velocity, cart position, and cart velocity.
- Lastly we have 30 MFs which fire with high frequency regardless of state.
- MFs for each state variable are randomly distributed throughout the 1024, so the cerebellum must decide which MFs carry signal and which do not.
- Both Boolean and Gaussian encodings have proved successful.

# Error Signal Interface



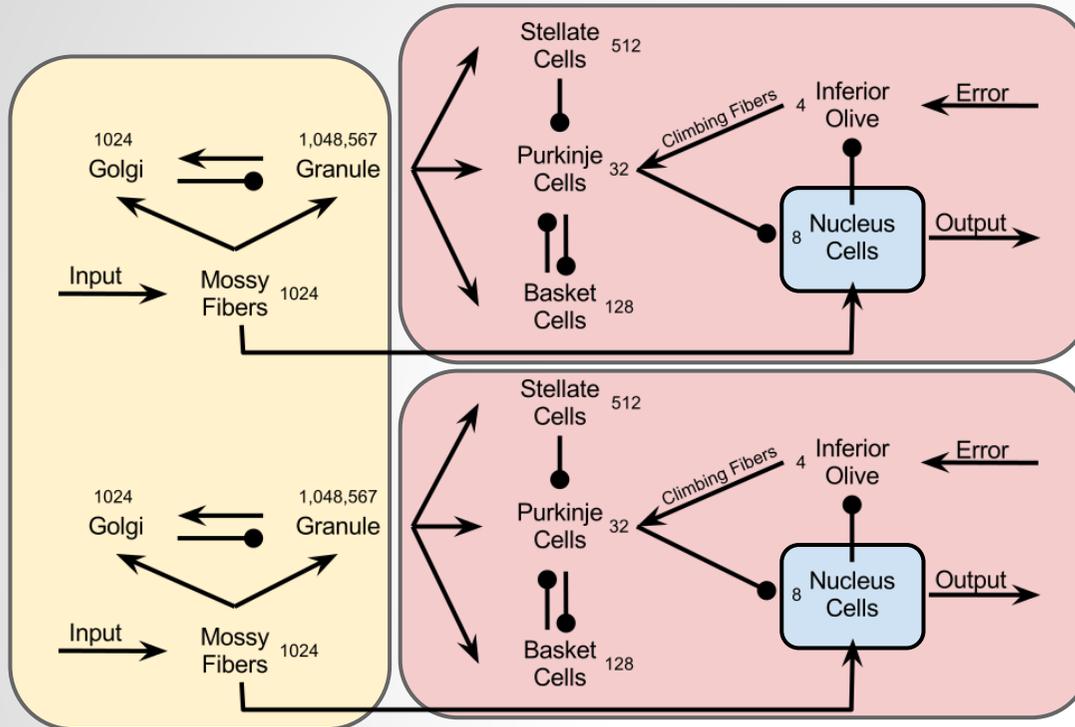
- Four Climbing Fibers transmit error input.
- Inverted pendulum domain receives error with probability proportional to how far the pole differs from upright.
- Errors are boolean in nature, so at each timestep if error is received either all 4 climbing fibers activate or none.

# Output Signal Interface



- Output is produced by 8 Nucleus Cells.
- Combine NC firings into a single output force in range  $[0,1]$ :  $NumberFiringNCs / 8$ .
- This provides a single output force, but Inverted Pendulum requires two opposing forces.

# Microzones

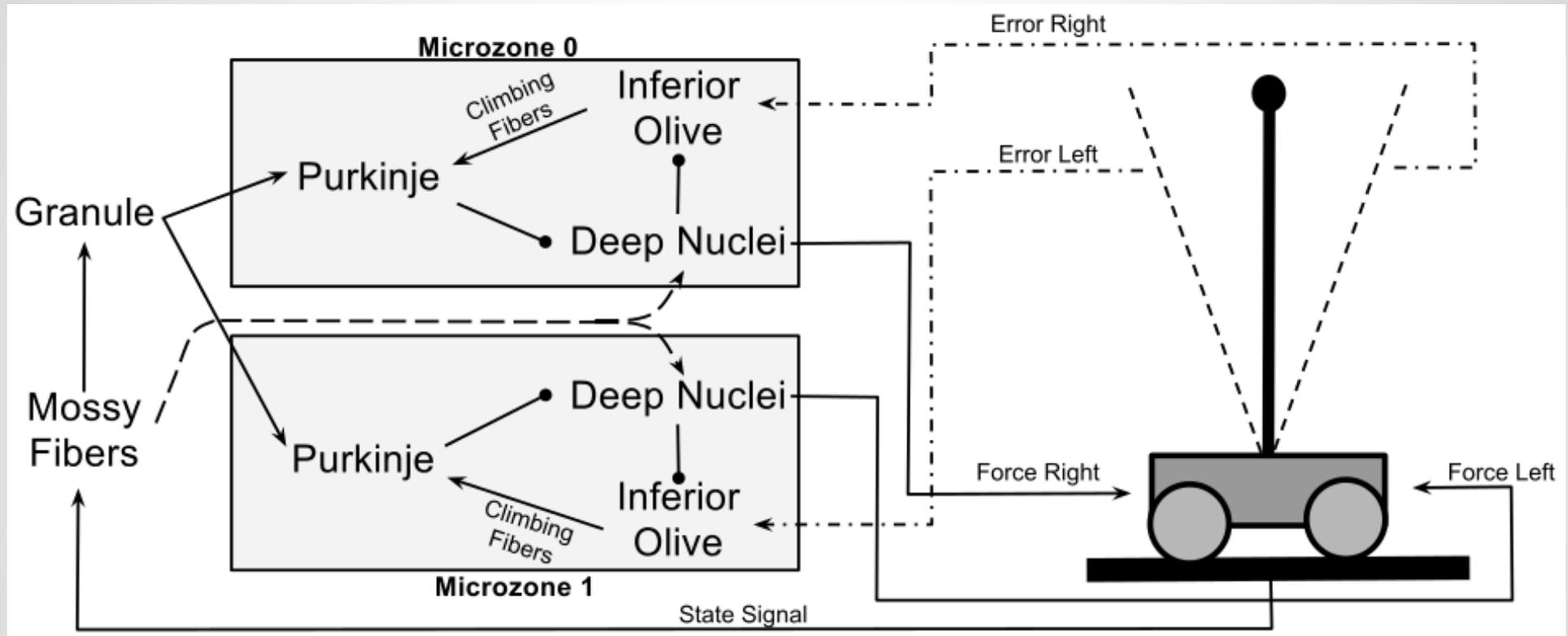


Output Network 1

Output Network 2

- Frequently need to control 2 or more effectors
- Group common input cells and duplicate only the output networks
- These output networks are called “Microzones”

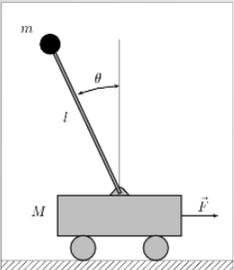
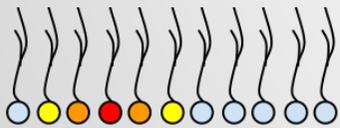
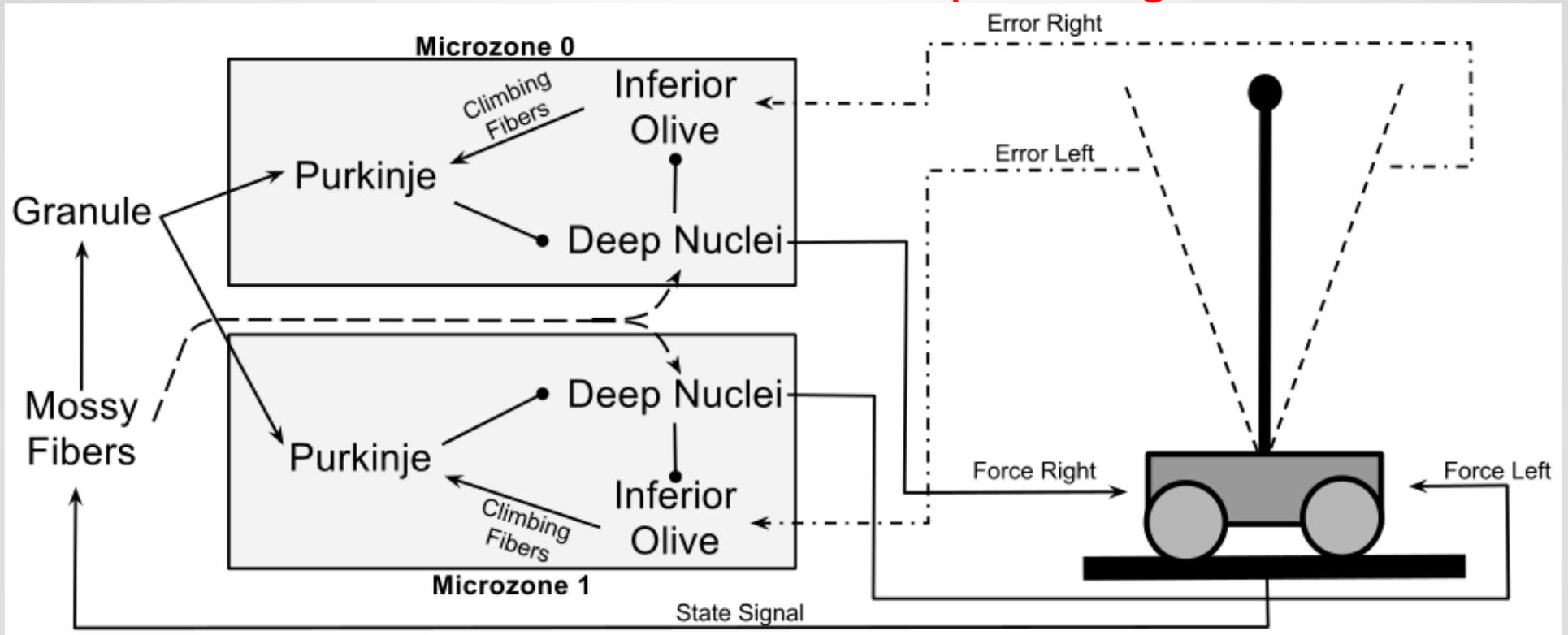
# Full Cerebellum-Cartpole Interface



- Directional error signals are delivered to corresponding Microzones, encouraging greater force output.

# Interface Summary

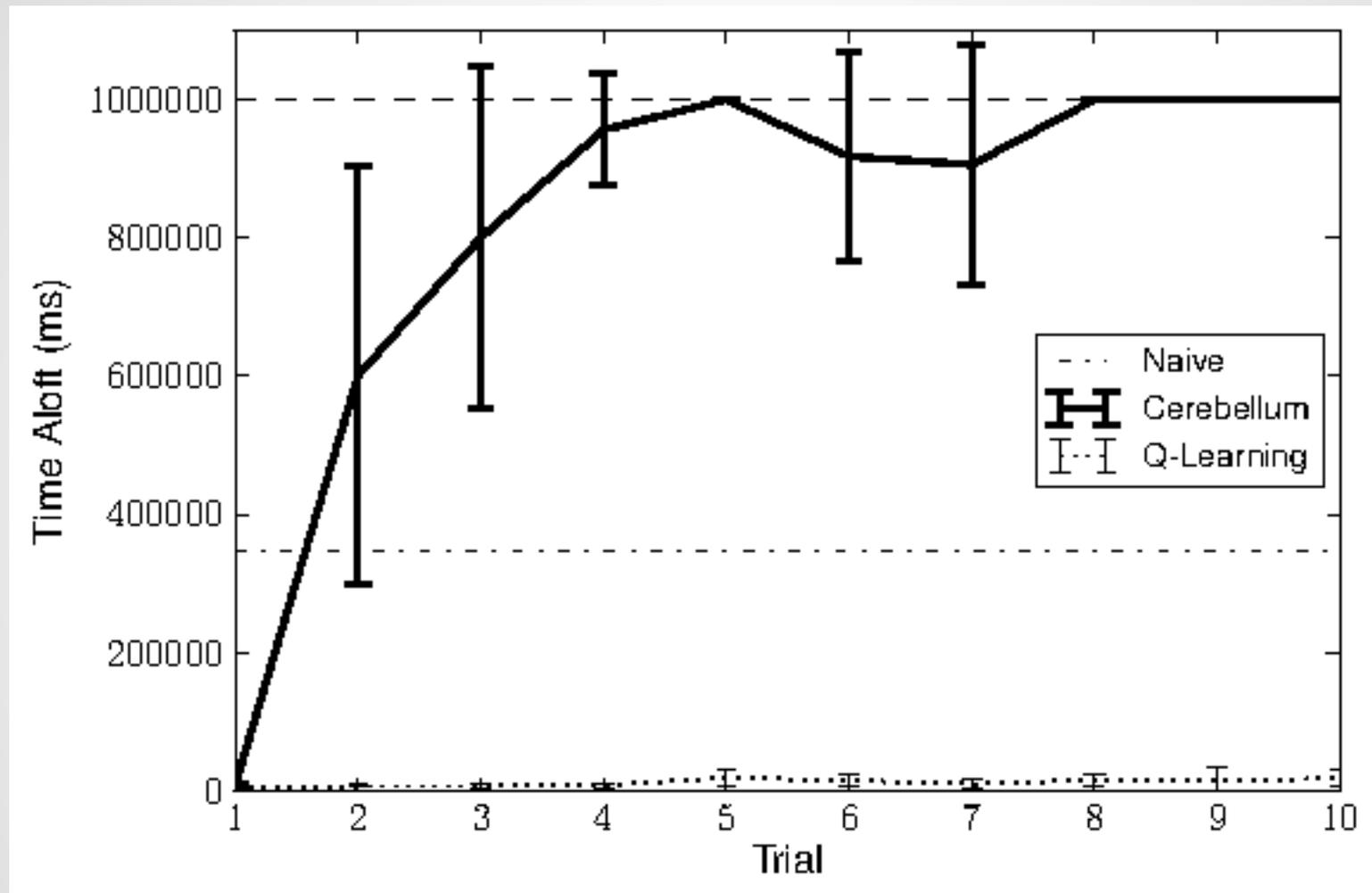
- Errors proportional to pole angle



- Forces are real  $[0, 1]$  values =  $\text{NumFiringNC} / 8$ .

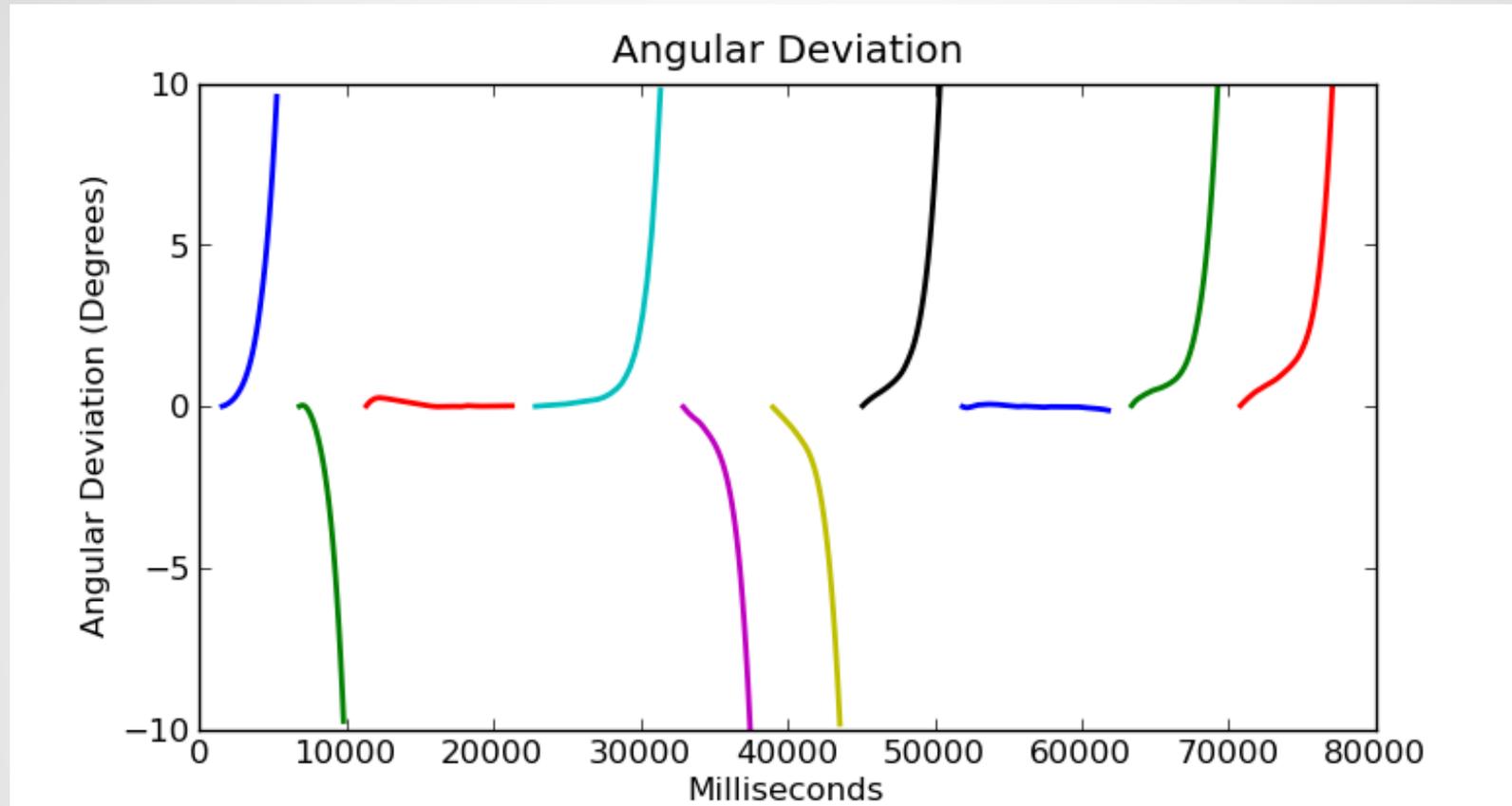
- Gaussian MF Encoding

# Q-Learning Comparison



- Q-Learning uses same state & error encoding.
- Requires 1,000-10,000 trials before comparative performance is achieved.

# Extinction



- Error signals delivered at end of trial result in cycles of learning & unlearning (extinction)
- Reliable performance requires regular error signals even if performance is good

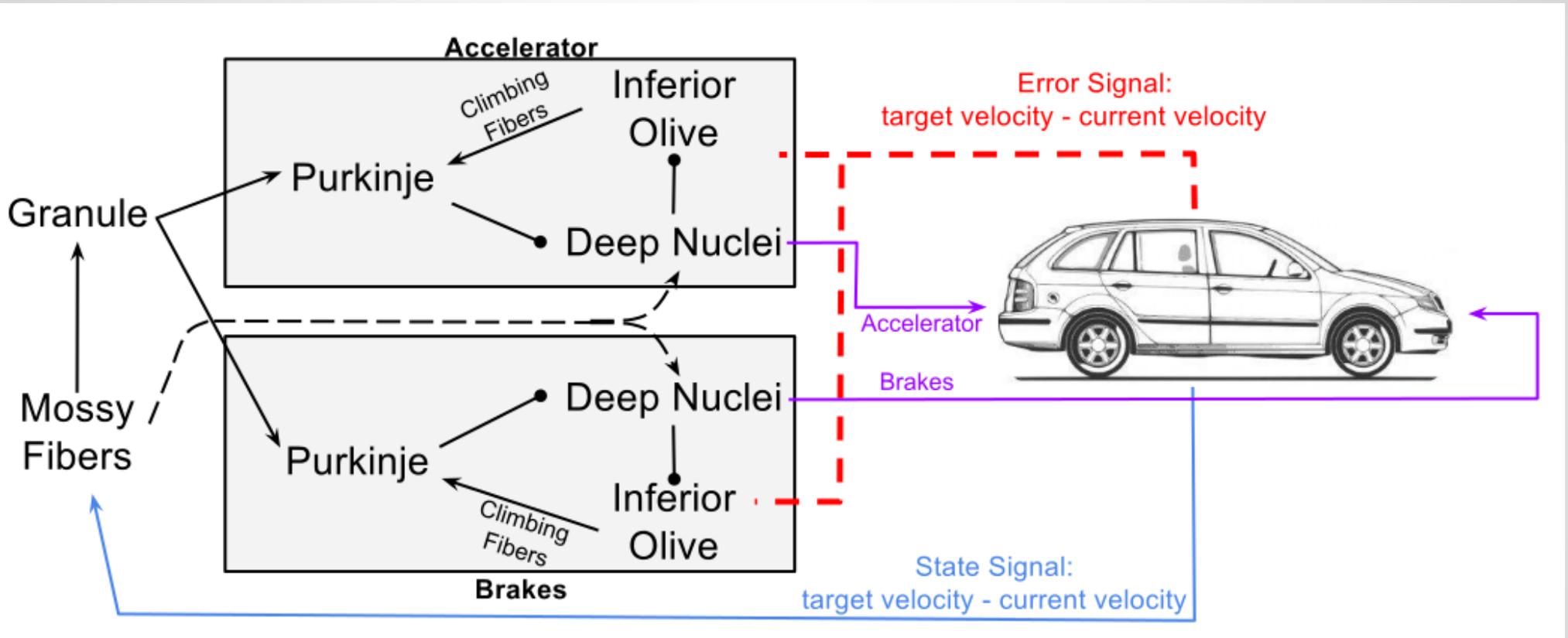
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# PID Control

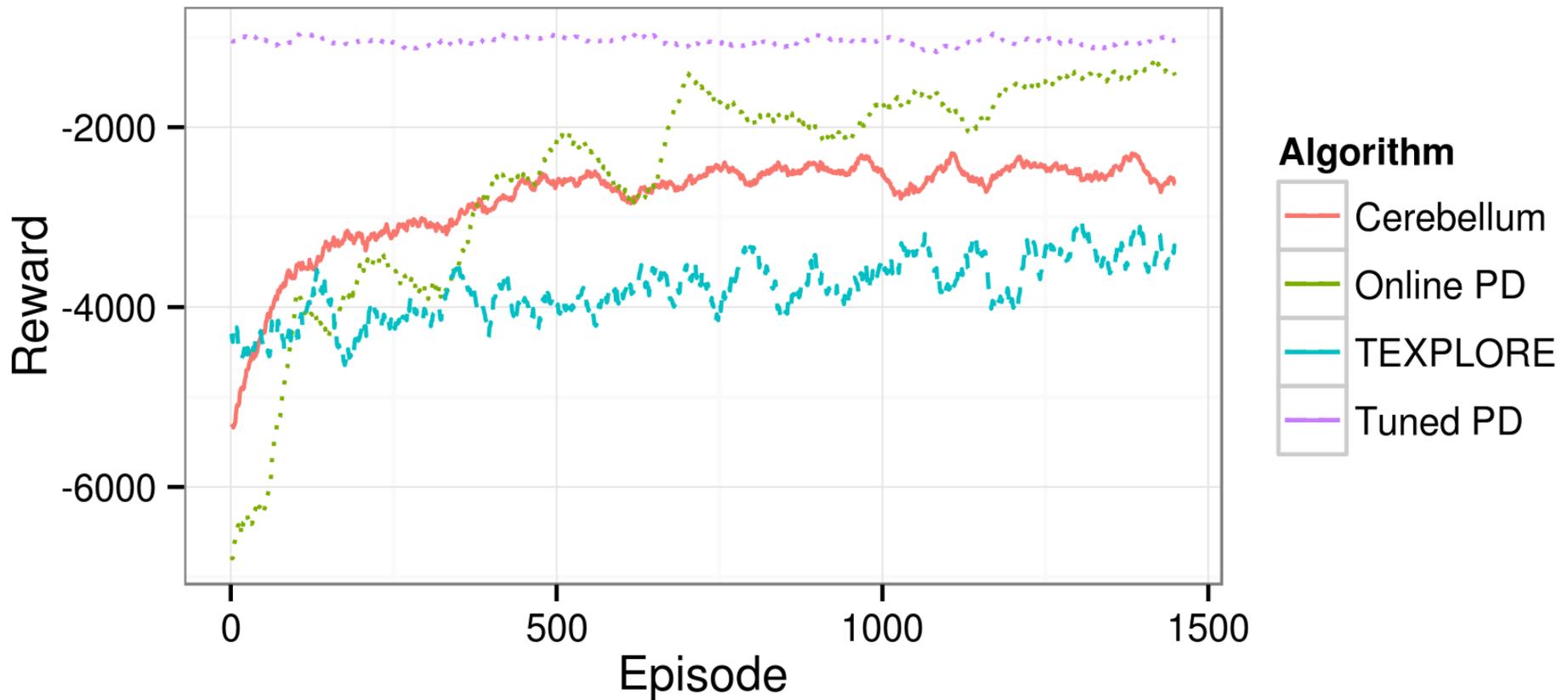
- Setpoint control generalizes the pendulum balancing domain (vertical setpoint)
- Typically setpoint control tasks solved by PID controllers
- Focus on simulated autonomous vehicle acceleration control

# Velocity Control Architecture



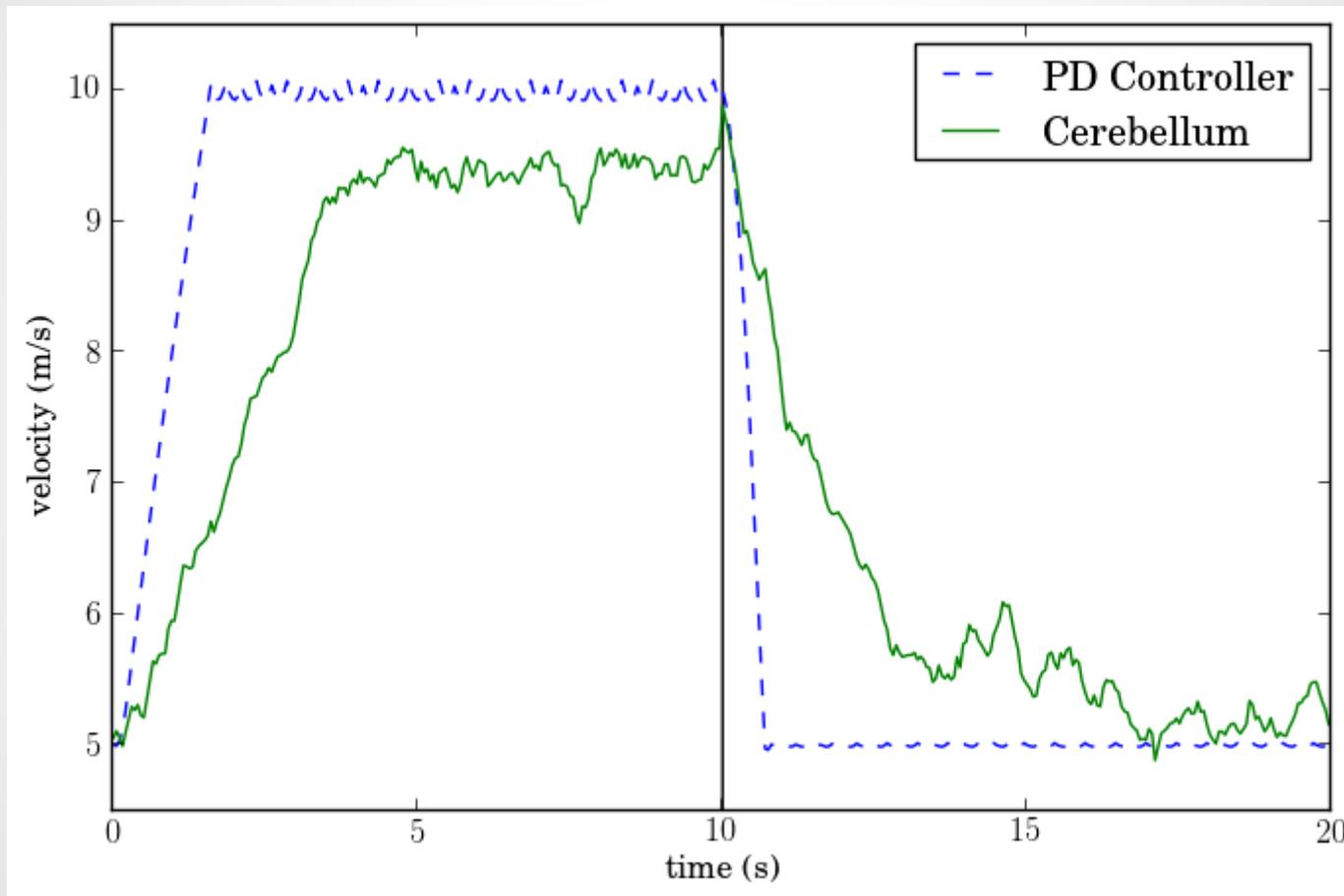
- Randomly generated current/target velocity in range [0,11] m/s
- Each trial lasts 10 seconds simulated time
- Reward =  $10 * \text{Sum}(\text{abs}(\text{target velocity} - \text{current velocity}))$

# Velocity Control Results



Results averaged over 10 trials and smoothed with a 50 episode sliding window.

# Velocity Control Analysis



Cerebellum is slower than PD controller to reach the target point.

# Velocity Control Conclusions

- Cerebellum can perform PID/setpoint control tasks to some degree of precision
- These tasks feature supervised error signals which occur regularly

# Outline

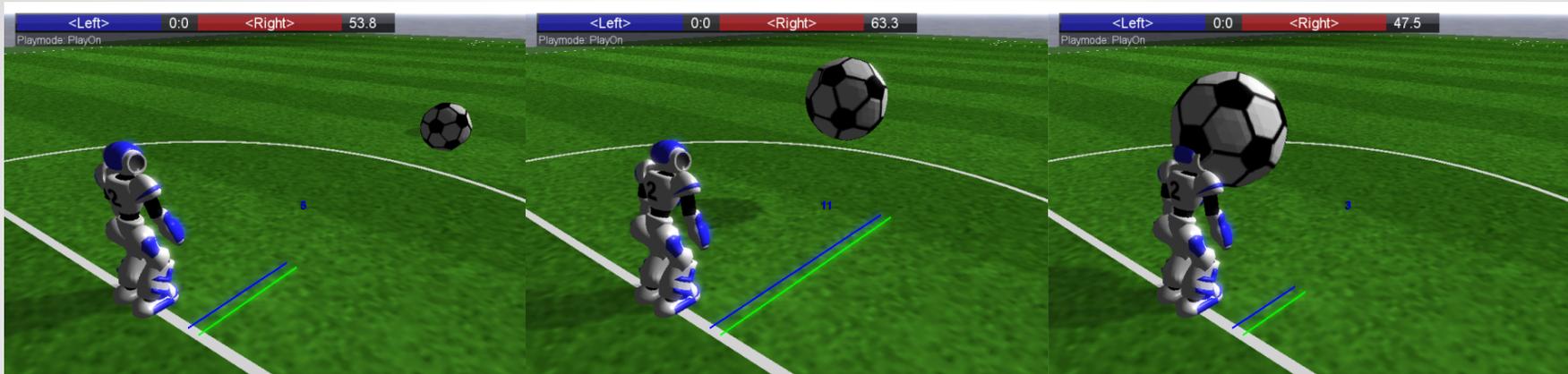
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# Simulated Robocup Balance

- Domain: Robocup  
3D Simulator
- Objective: Dynamic  
Balance
- Difference from  
previous domains:  
Delayed error signals



# Task Specifics



- Large Soccer Ball - 10x mass, 6x size, 10m/s
- Objective: Don't fall after impact!
- Control: Hip Joints - allow the robot to lean forwards & backwards
- Sensing: Timer counting down to the shot

# Complexity

- Task requires the robot to lean forwards in anticipation of impact, then lean backwards shortly thereafter.
- Failure to do either will result in a fall.
- Simple policy can solve this task: Lean forwards .5 seconds before impact, then return to neutral.



# Manual Error Encoding

59

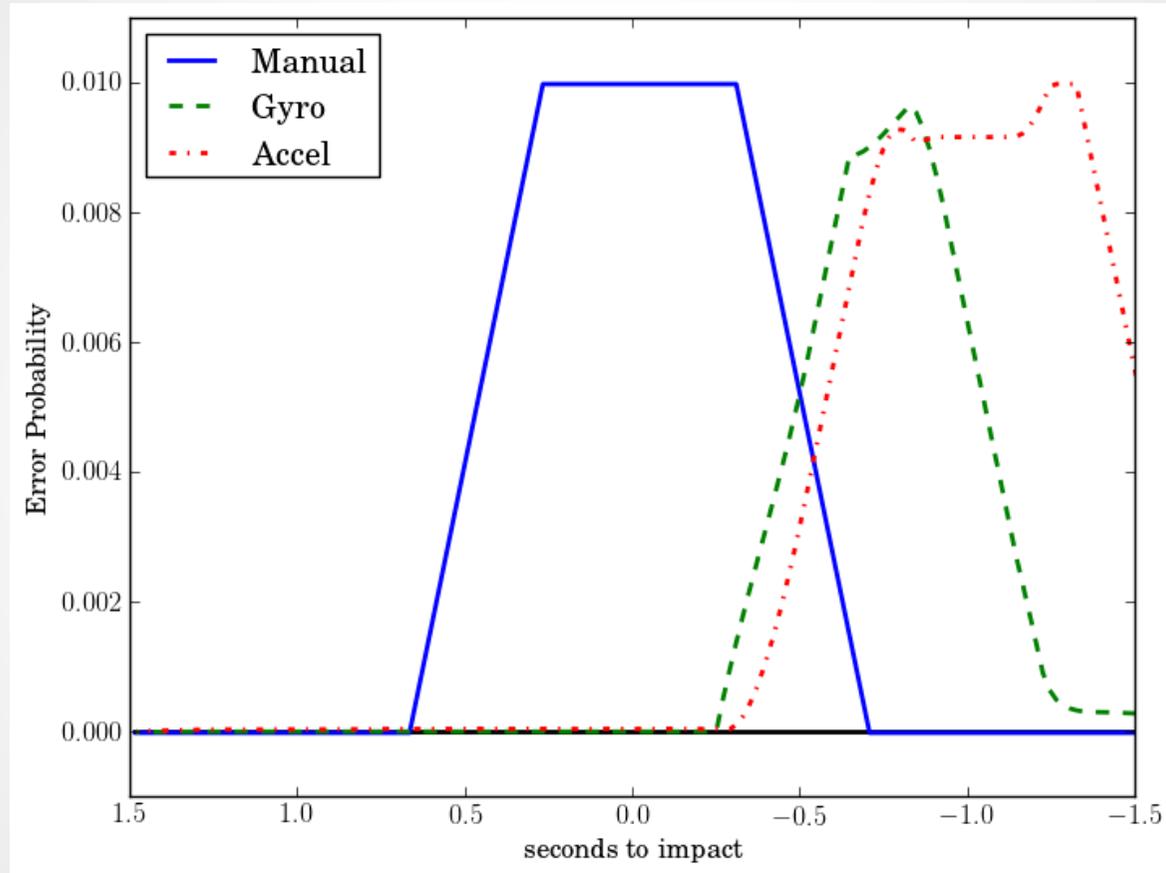
# Balance Results

Error Encoding	Manual	Gyro	Accelerometer
No Fall	40.4%	.4%	2.4%
Fall Back	52.4%	95.2%	87.2%
Fall Forwards	7.2%	4.4%	10.4%

Experiments run up to 250 trials. Single run per result.

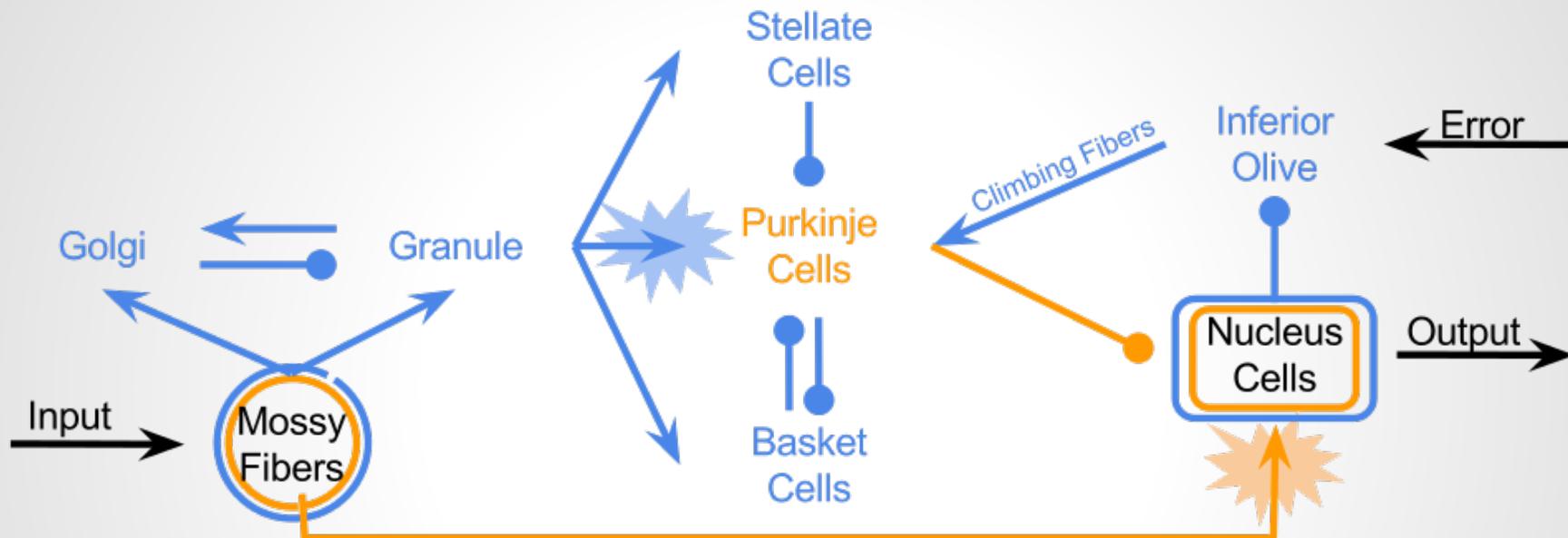
- Why do the Gyro and Accelerometer-based error signals perform so much worse than Manual?

# Delayed Rewards



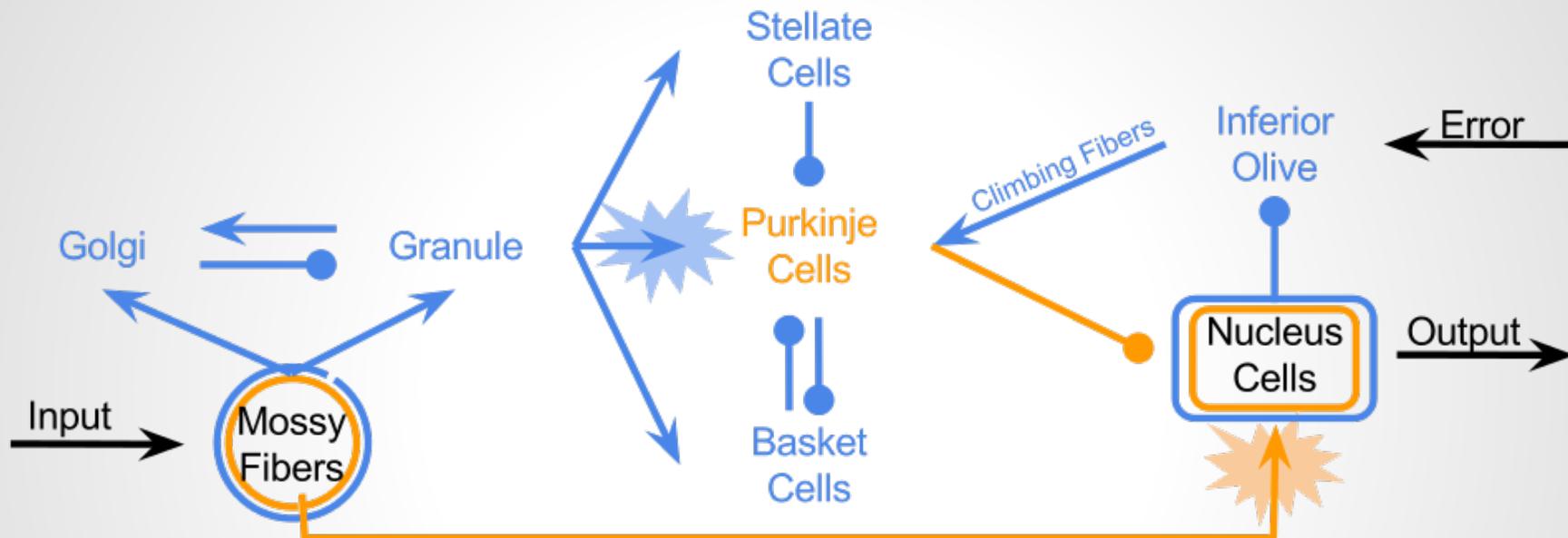
- How to analyze cerebellar learning with these different encodings?

# Granule Weight Measure



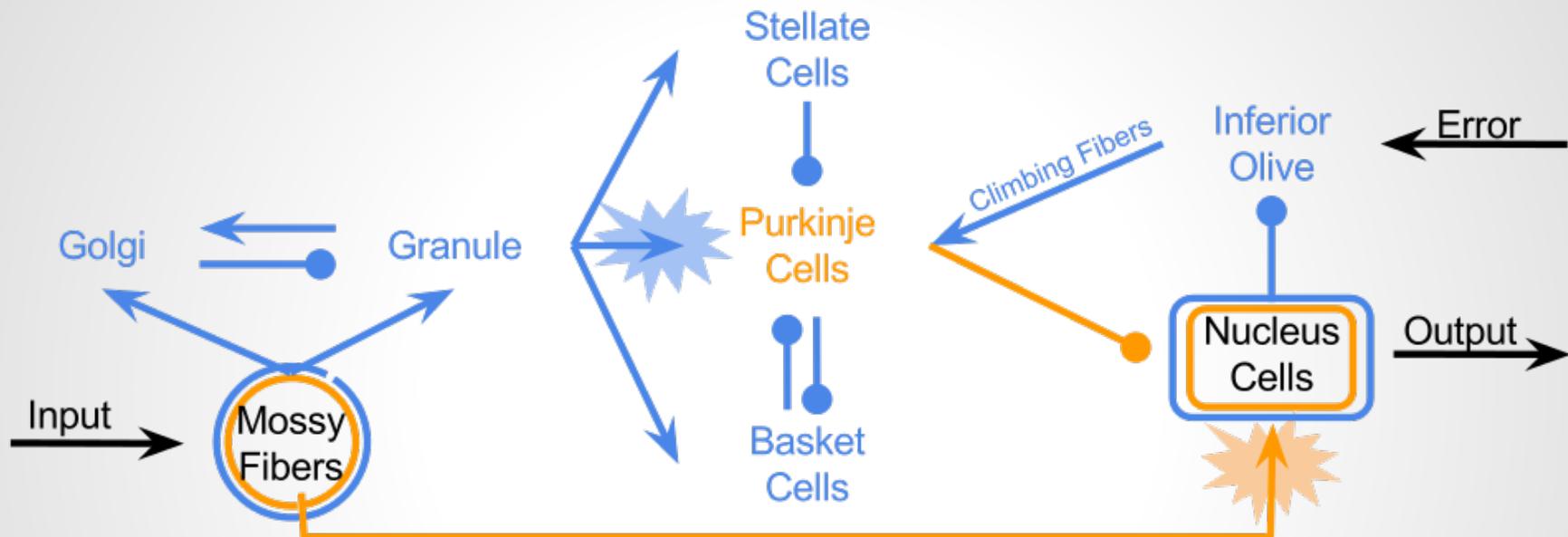
- Analyzes how each MF affects output forces by examining the weights of connected Granule Cells

# Granule Weight Measure



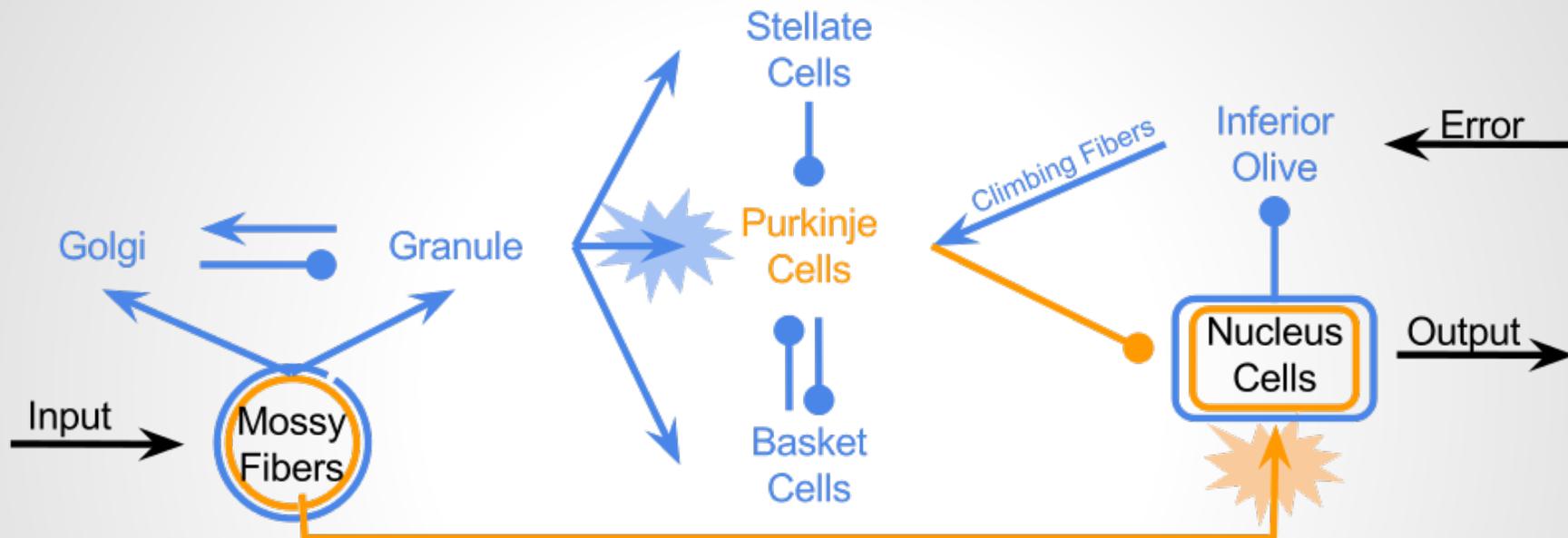
- Each MF connected to 1024 Granule Cells
- Initial MF→GR Connection weights  $\approx 1$
- Expected Sum Connected GR weights  $\approx 1000$
- Weights change as the cerebellum learns

# Granule Weight Measure



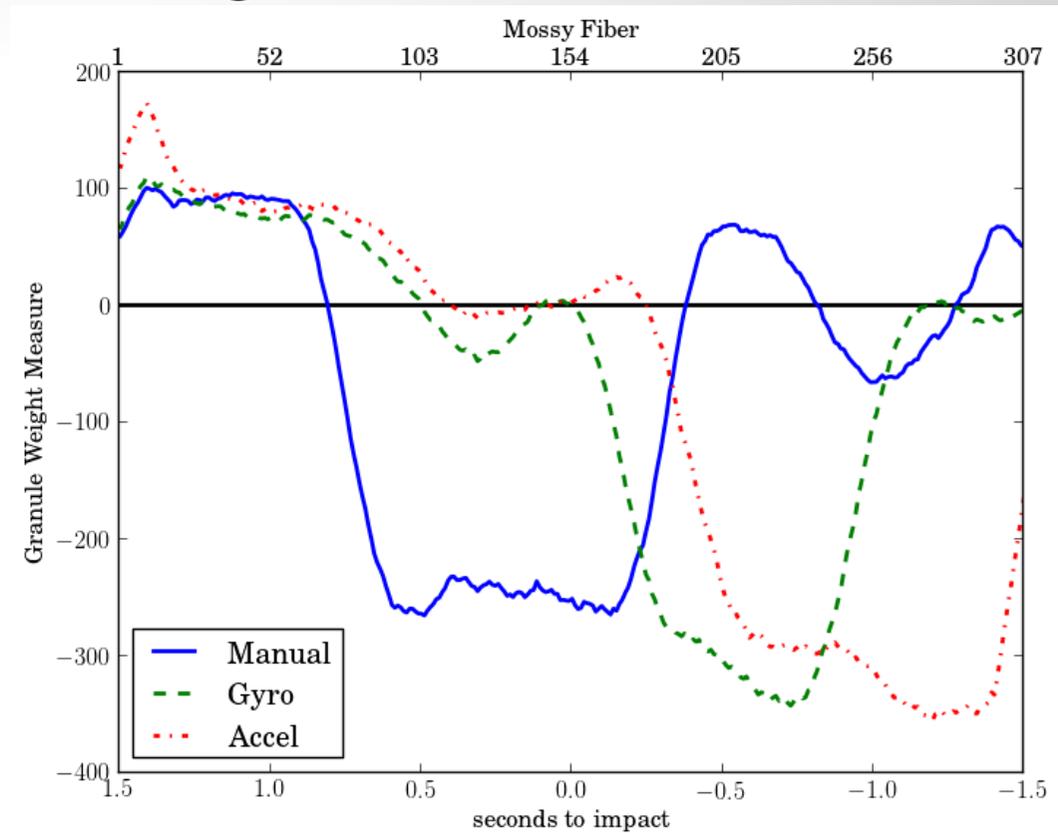
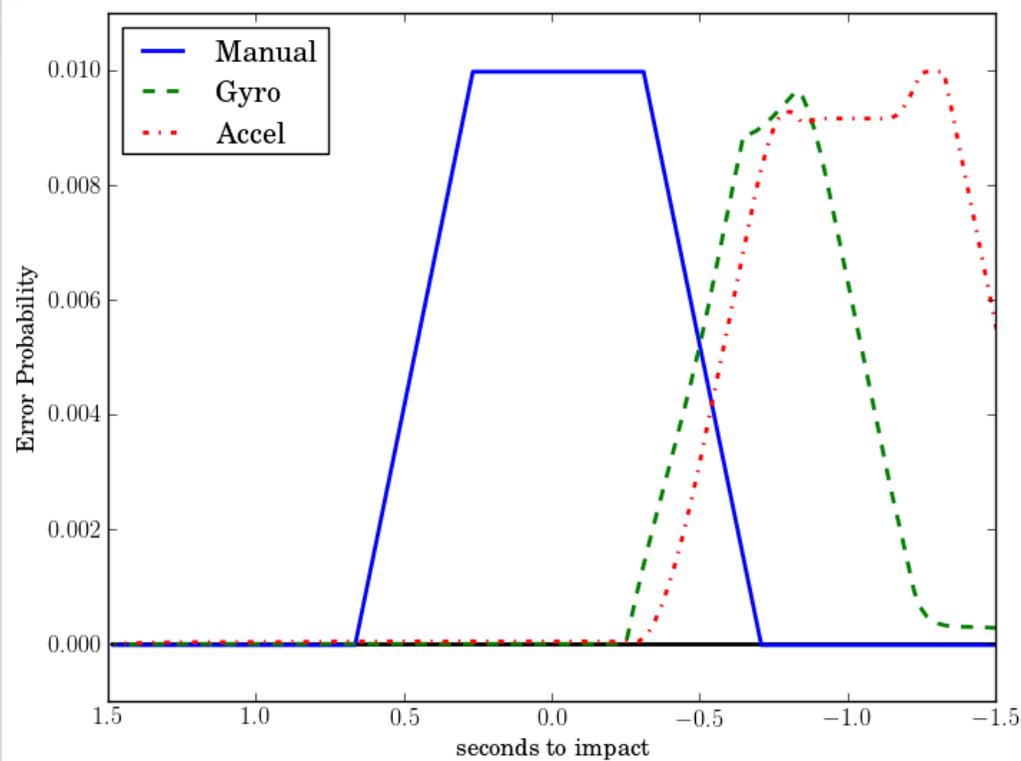
GWM (Mossy Fiber  $m$ ) =  
Sum over connected granule cells  $g$ :  
weight( $g$ )  
Minus expected sum of granule weights ( $\sim 1000$ )

# Granule Weight Measure



- High GWM indicates that whenever  $m$  is active, output will be low
- Low GWM predicts high cerebellar output forces for associated MF input  $m$

# Dynamic Balance Analysis



- GWM corresponds with error signal
- No temporal credit assignment!

# Dynamic Balance Conclusions

- Simulated Cerebellar balance pretty shoddy
- Shouldn't be this way... Something Missing?
- Cerebellum alone cannot perform credit assignment
- Cerebellum needs supervised error signals - it is not a Reinforcement Learner
- Basal Ganglia hypothesized to do RL

*\*Complementary roles of basal ganglia and cerebellum in learning and motor control. Doya '00. Opinion in Neurobiology.*

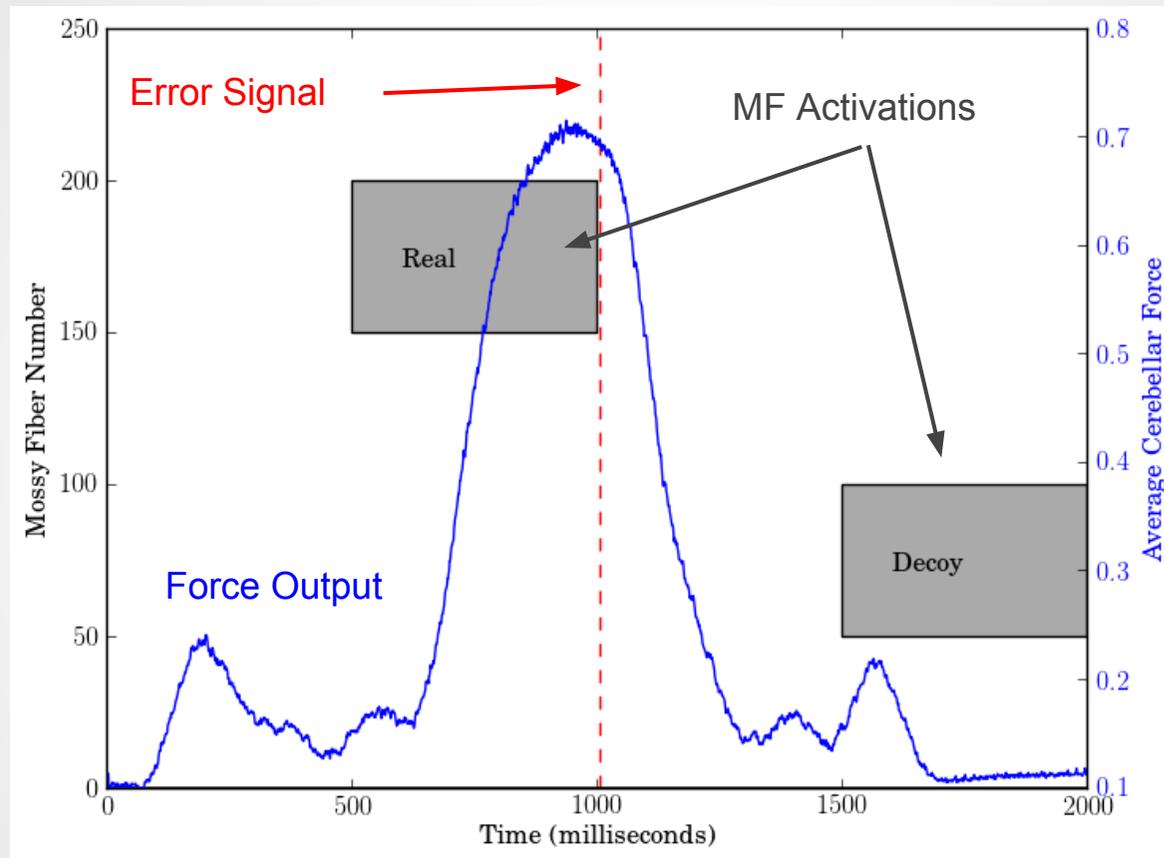
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  - Audio Recognition
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# Pattern Recognition

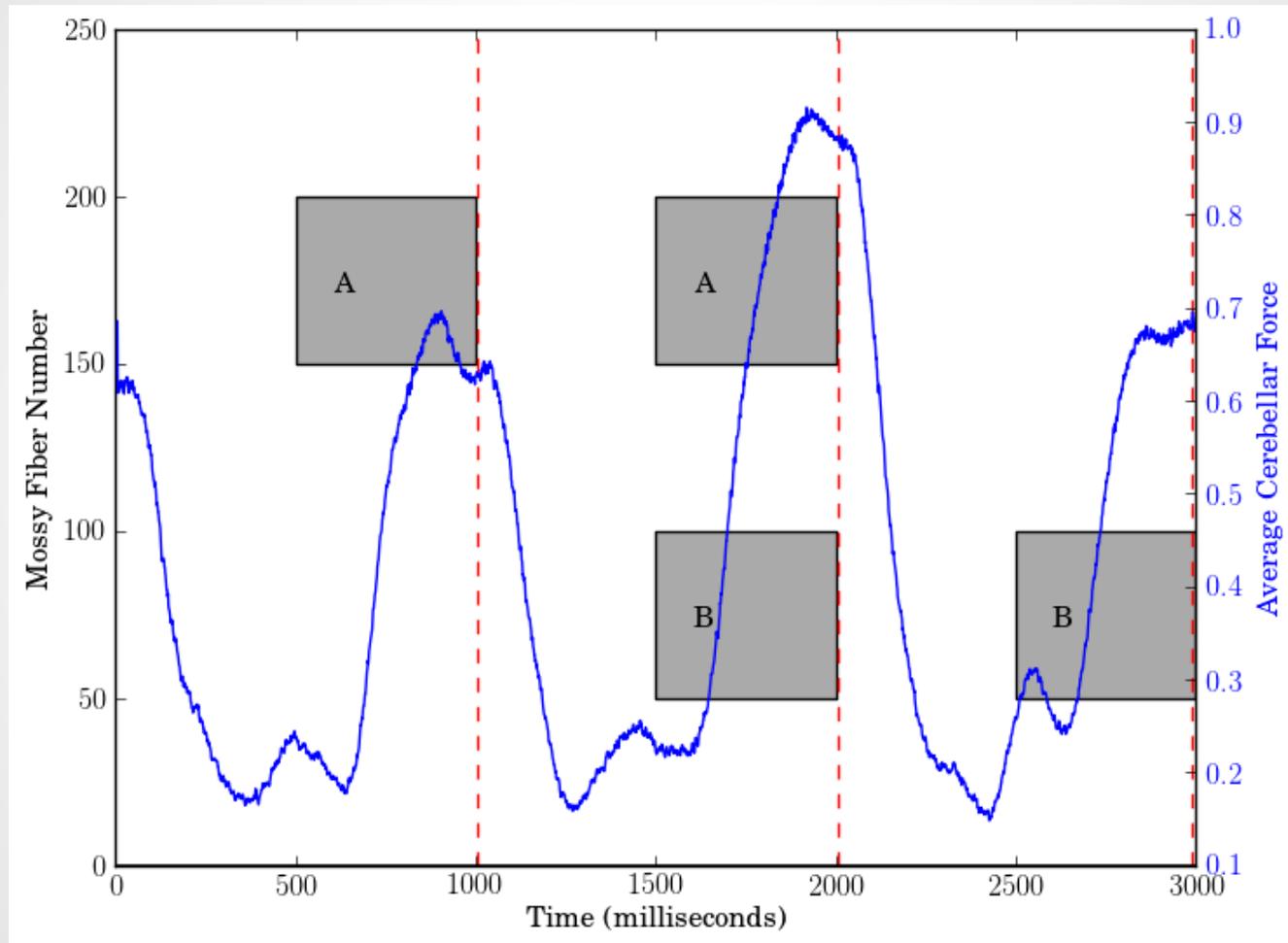
- Alright, the cerebellum is a supervised learner
- What types of patterns (functions) of state input can it identify?
- Start with static patterns and next move to temporal patterns

# Static Pattern Recognition: Identity



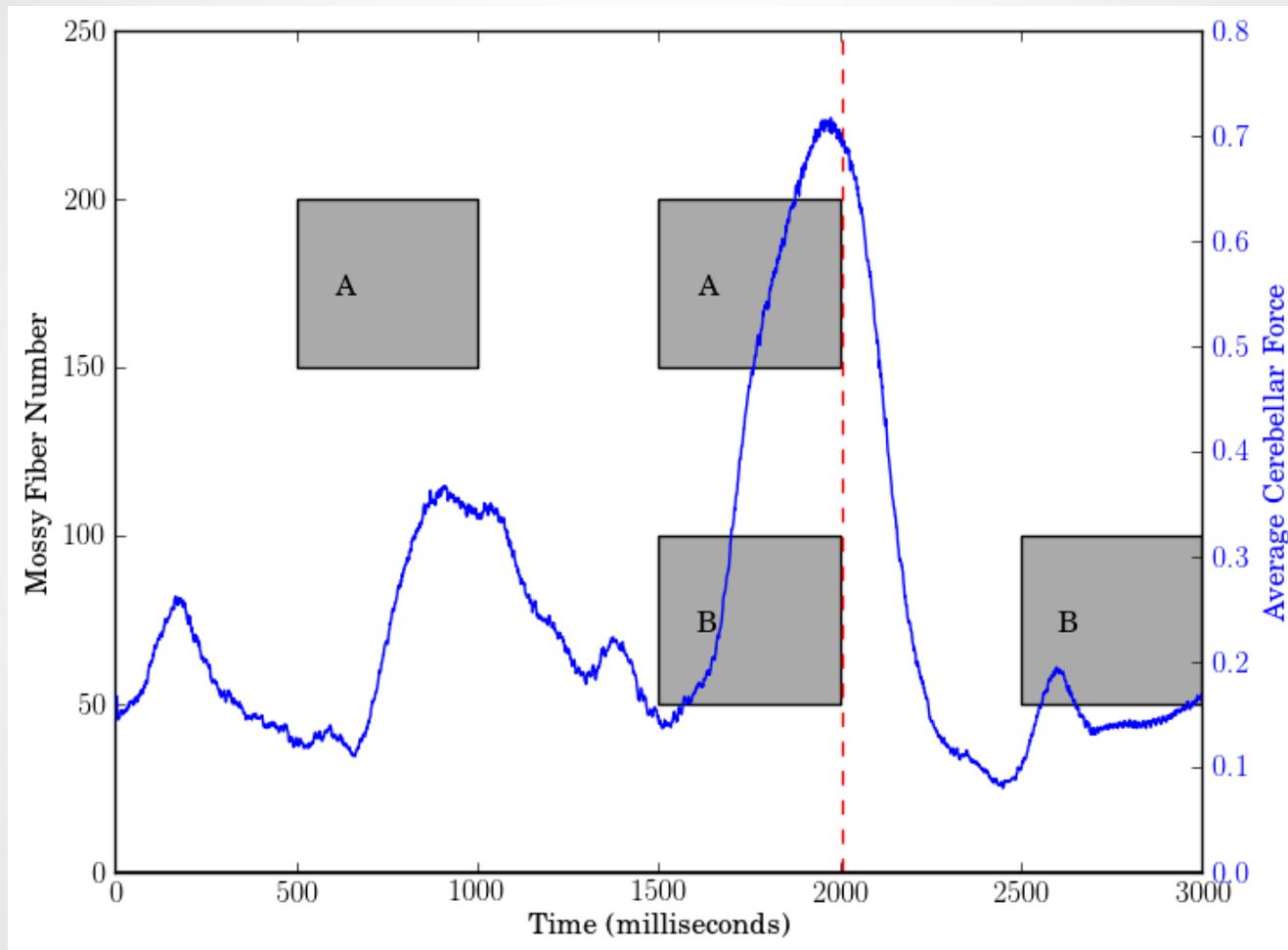
Objective: High force output preceding error signal(s)

# Static Pattern Recognition: Disjunction



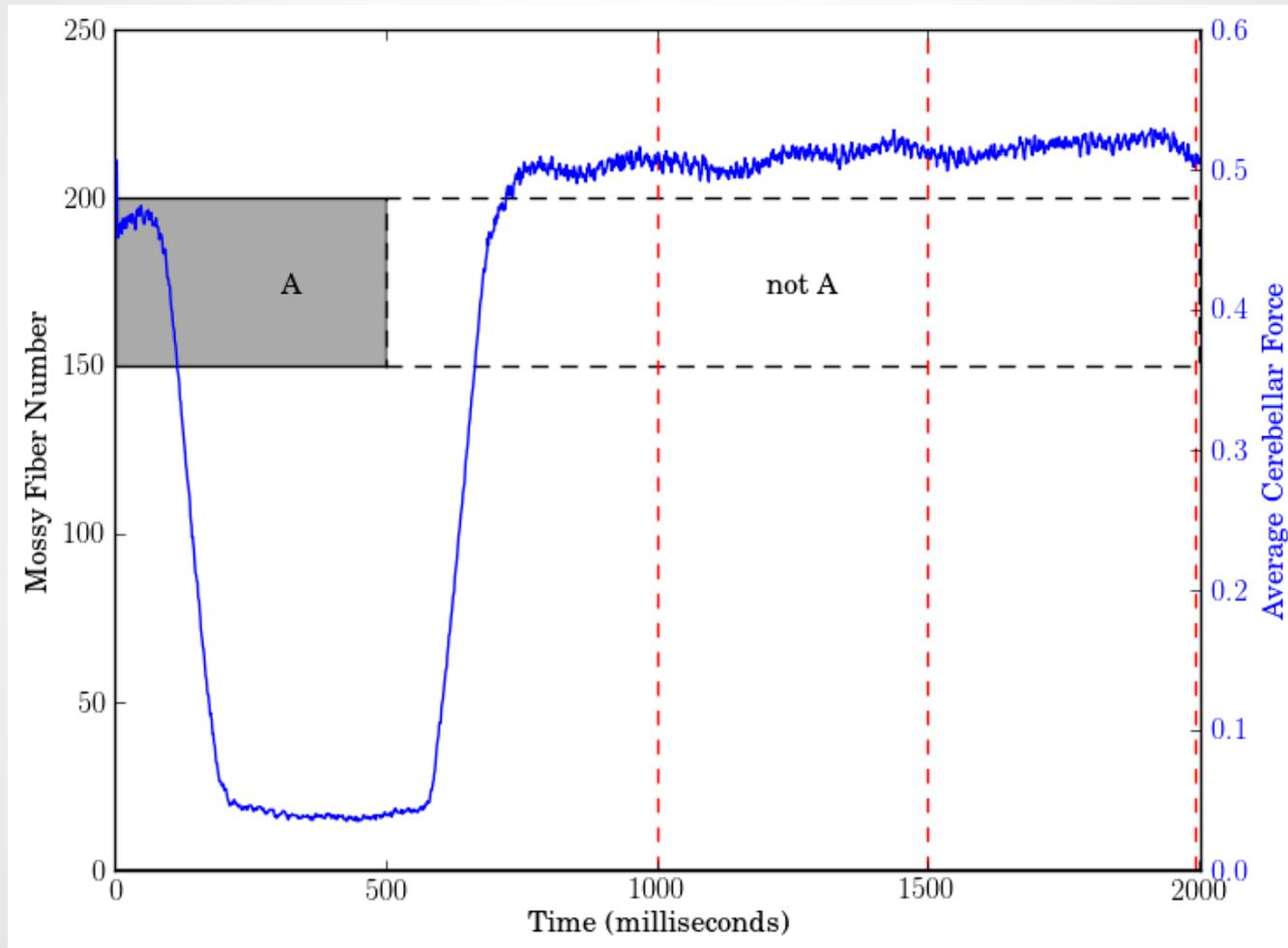
Successfully Recognized

# Static Pattern Recognition: Conjunction



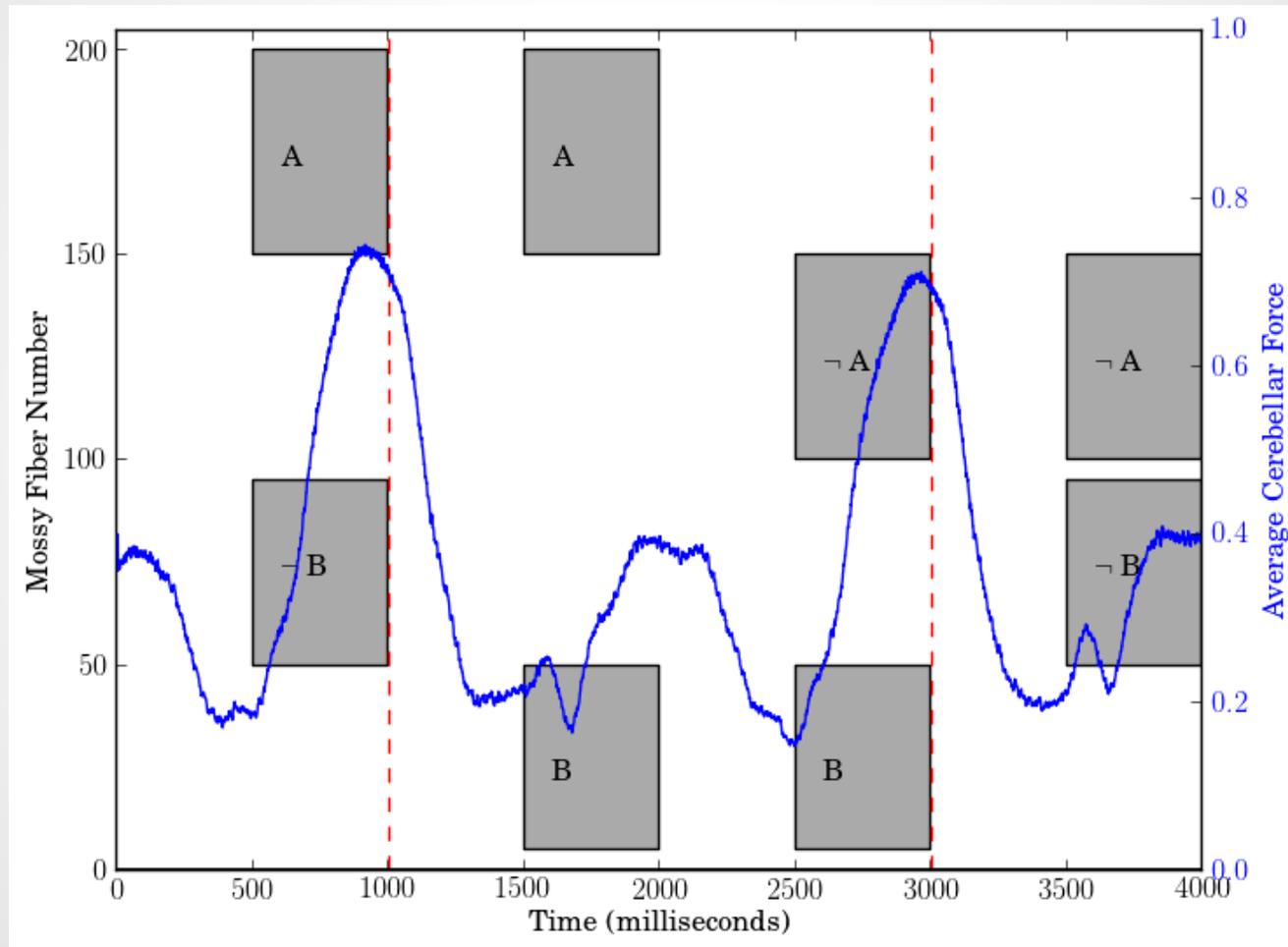
Successfully Recognized

# Static Pattern Recognition: Negation



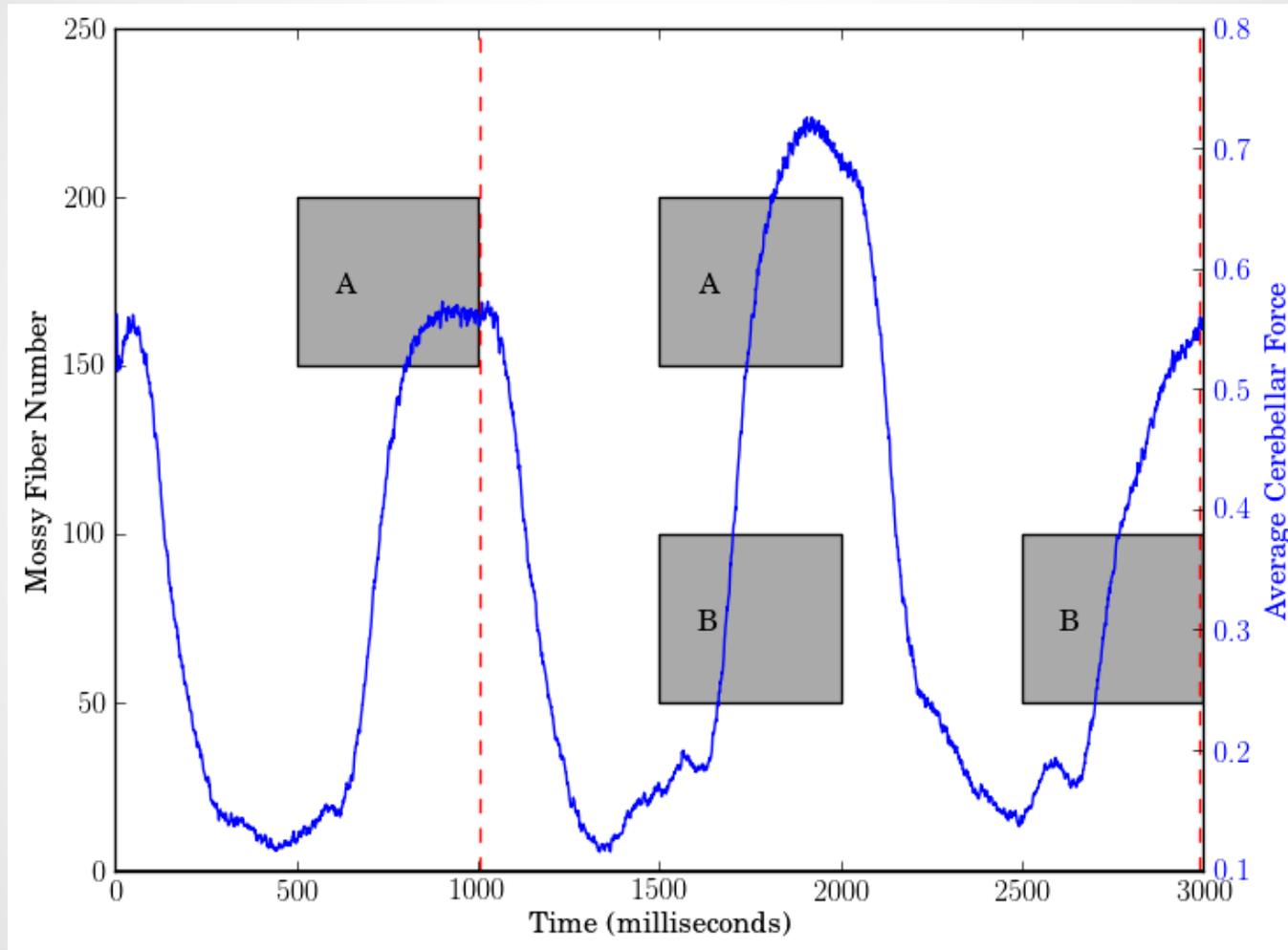
Successfully Recognized

# Static Pattern Recognition: XOR



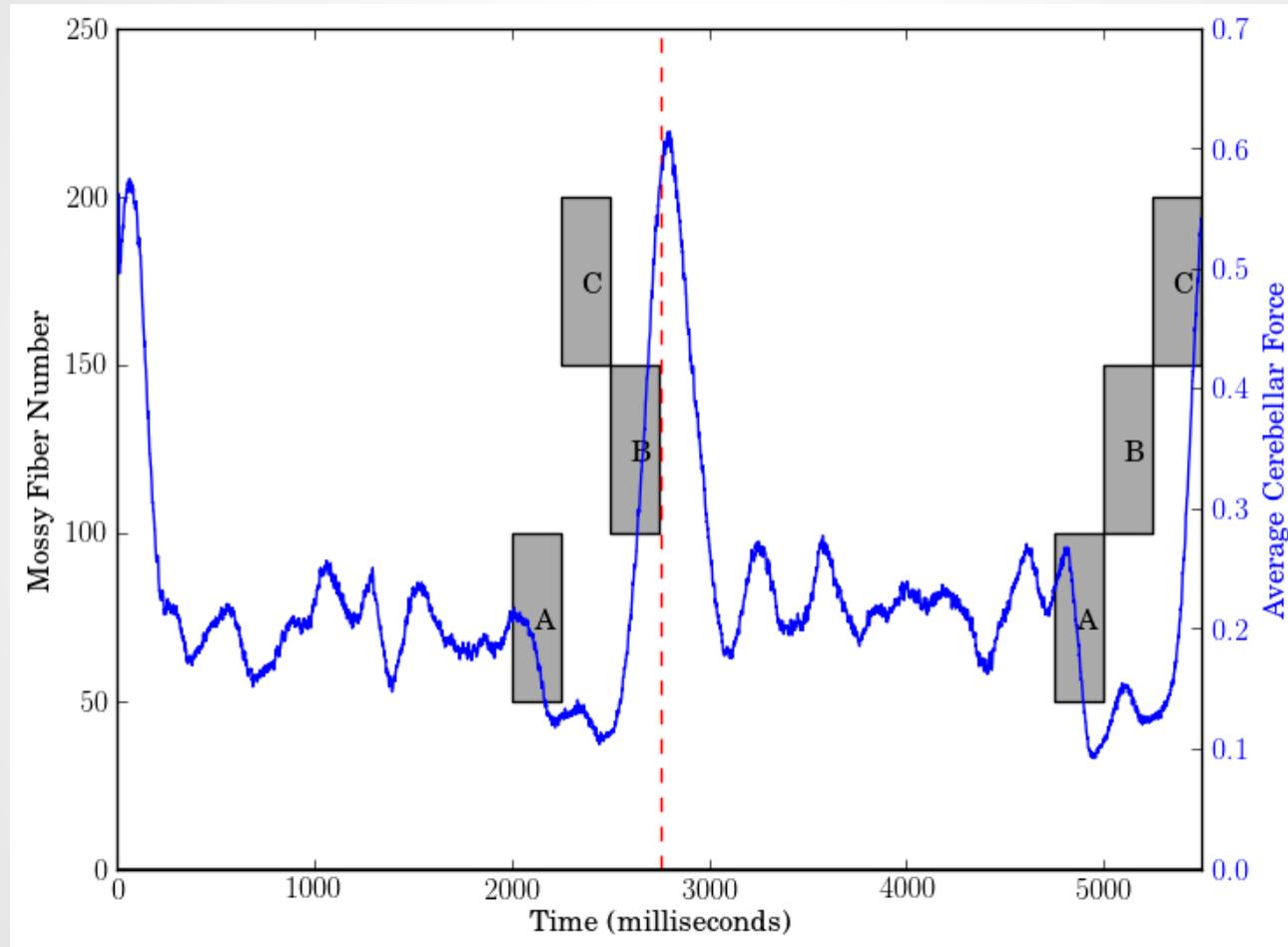
Successfully Recognized

# Static Pattern Recognition: NAND



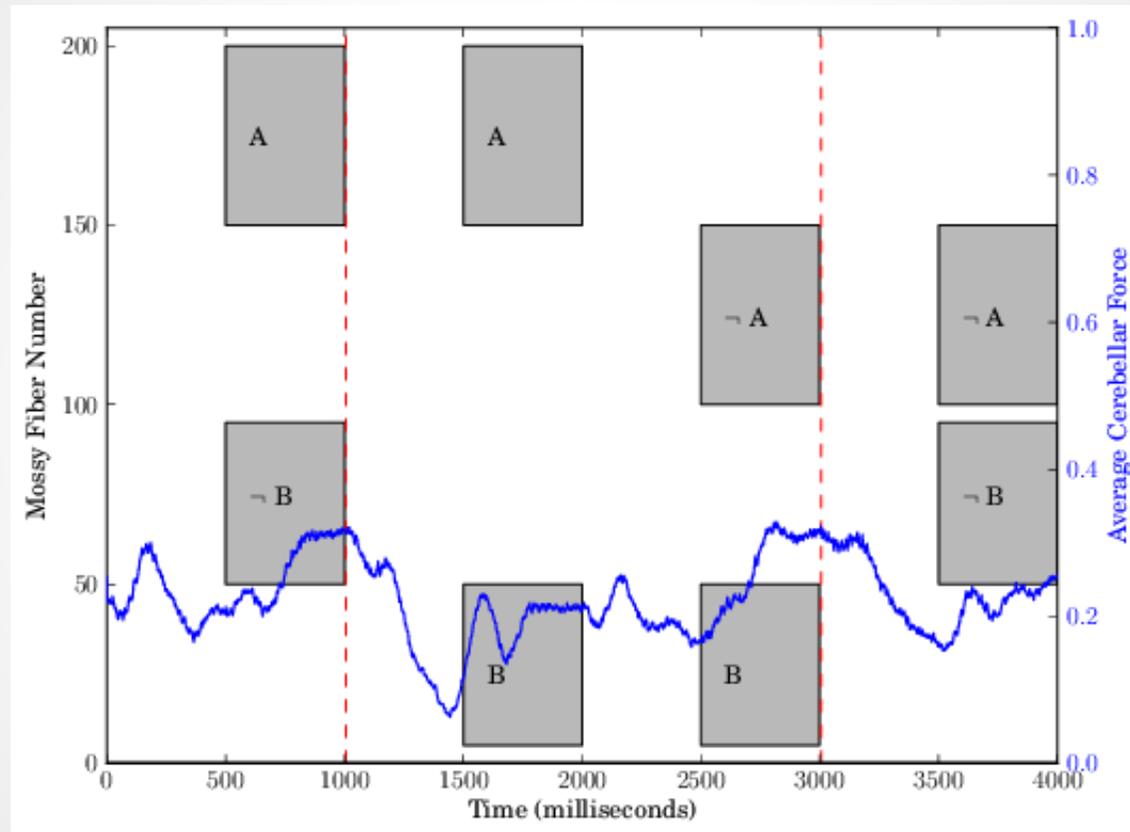
Not Recognized

# Temporal Pattern Recognition



Not Recognized

# Alternating XOR



When tones are played in alternating timesteps, recognition is lost

# Pattern Recognition Conclusions

- Cerebellum can recognize all boolean functions of 1-2 variables except NAND
- Temporal pattern recognition is extremely limited

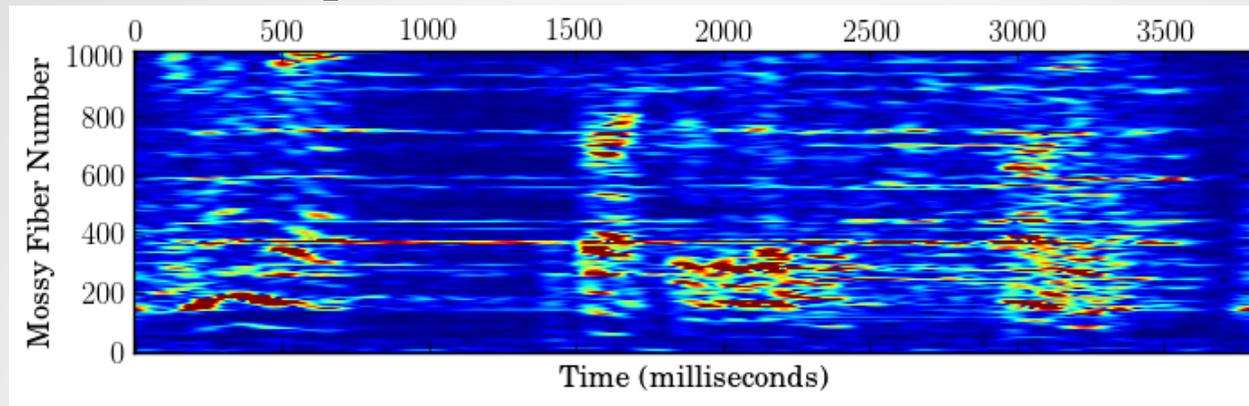
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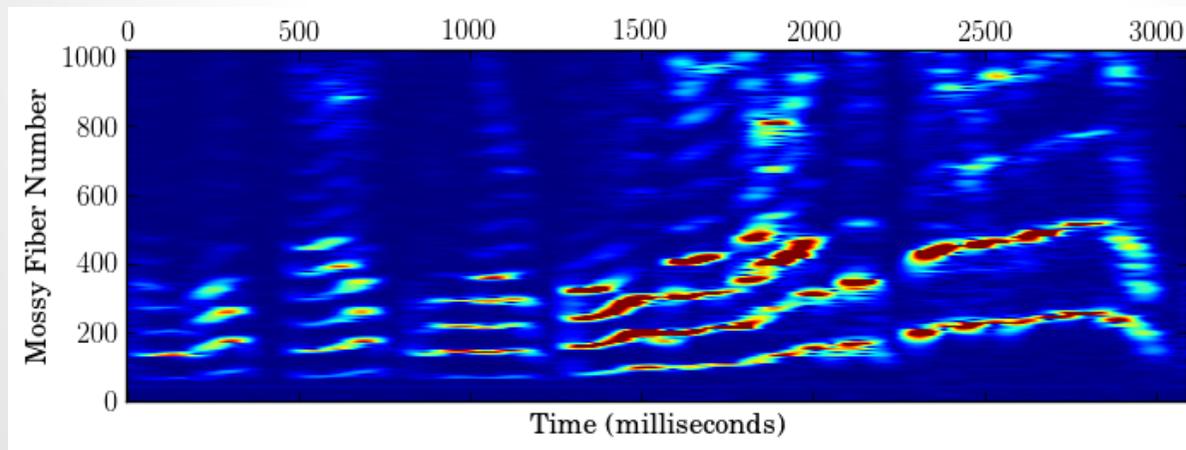
# Audio Recognition

- Test cerebellum's pattern recognition capabilities in a real world domain
- Objective: distinguish between two different audio clips
- Clips are transformed by FFT and then converted to MF activations

# Audio Preparation



*Force*: “The force will be with you, always.” - Obi Wan Kenobi



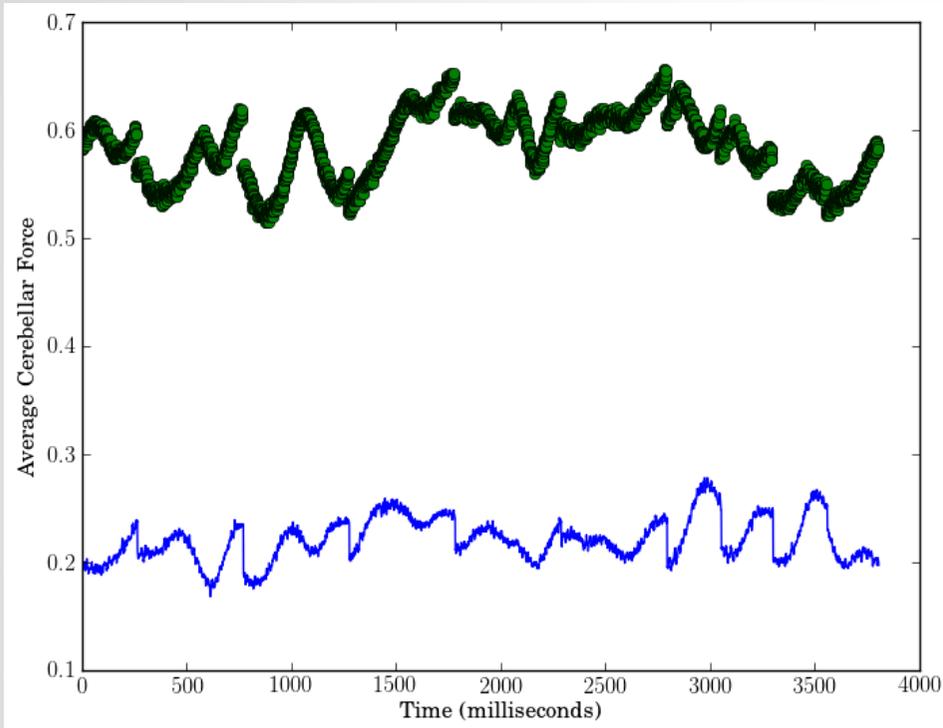
*Thermo*: “In this house we obey the laws of thermodynamics!” - Homer Simpson

# Training

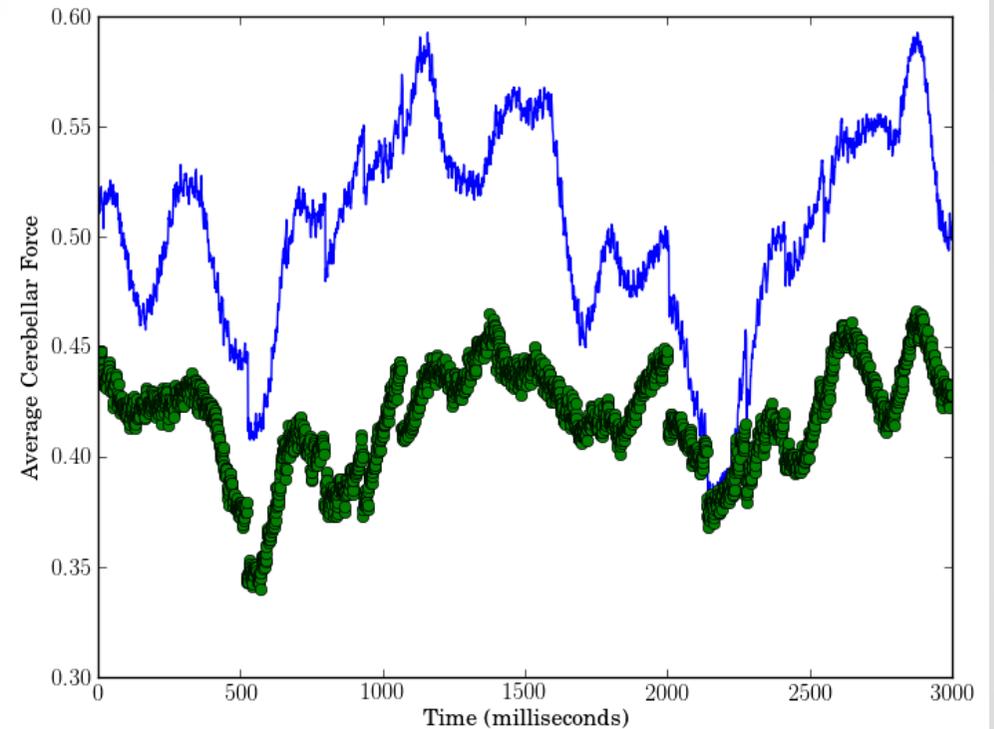
- Audio clips were played in alternation
- Two Microzones trained - one to recognize each different clip
- Training: While a clip is playing, the associated MZ gets periodic error signals
- Test: A clip is played back and the associated MZ should exhibit high force output

# Audio Recognition Results

Force.wav



Thermo.wav

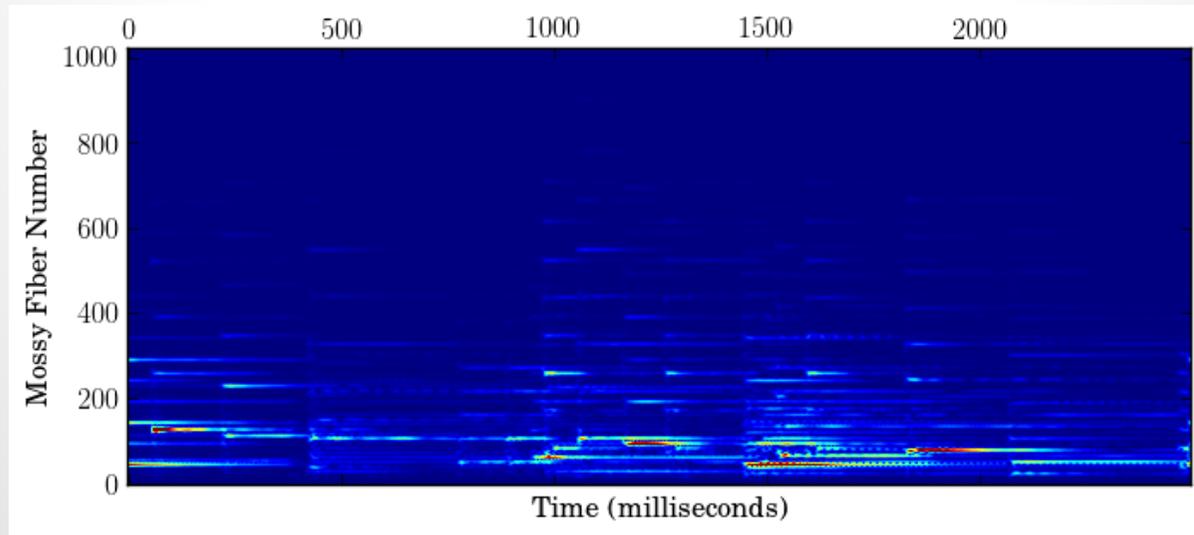
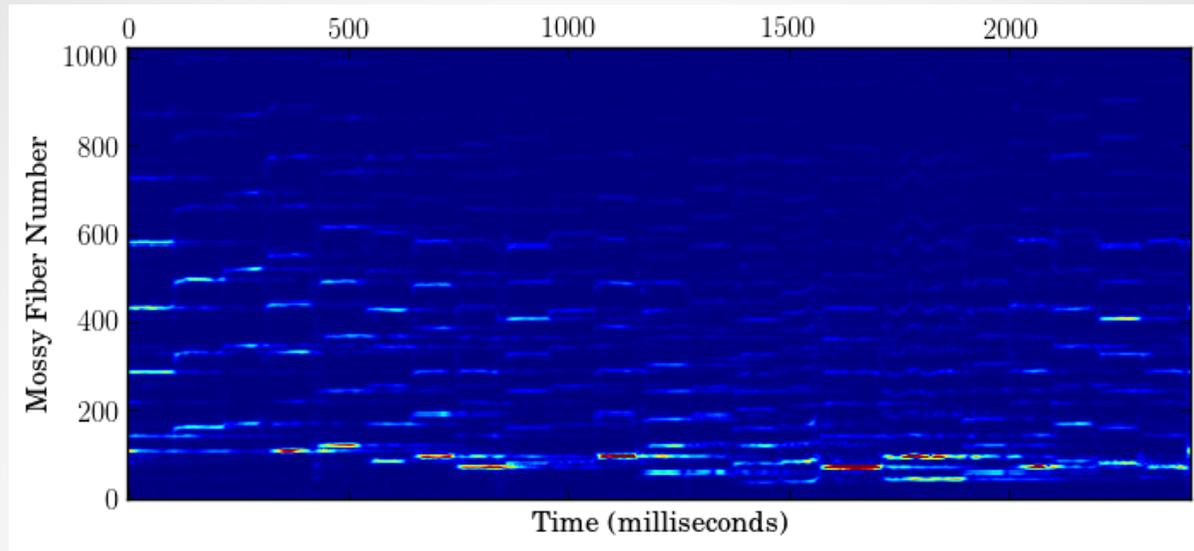


**Green:** Output from MZ trained on Force Clip

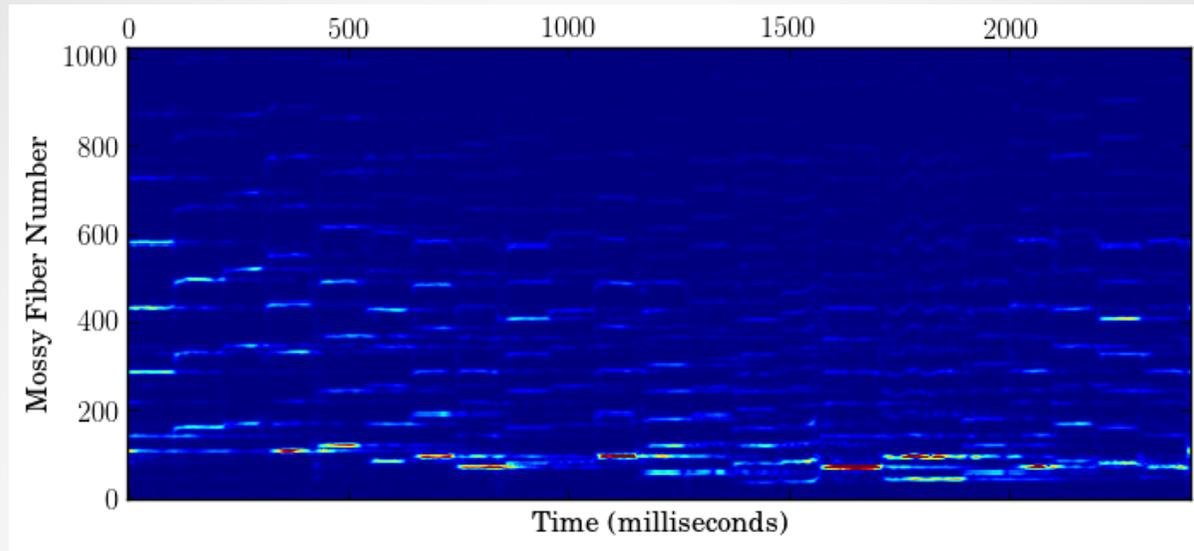
**Blue:** Output from MZ trained on Thermo Clip

**Conclusion:** Successful recognition!

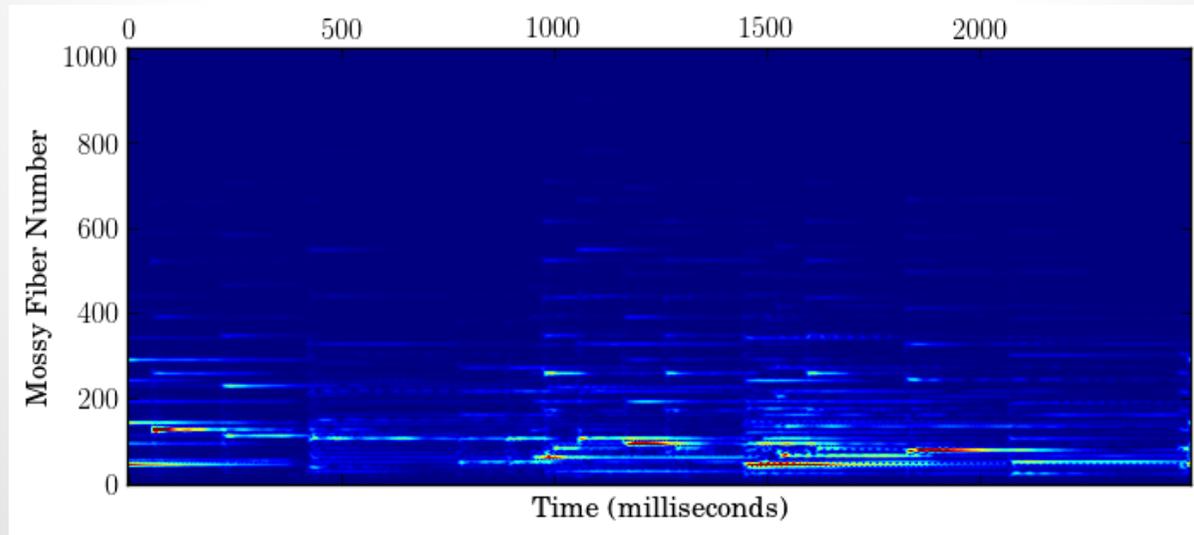
# Can you identify piano/violin?



# Harder Audio Recognition



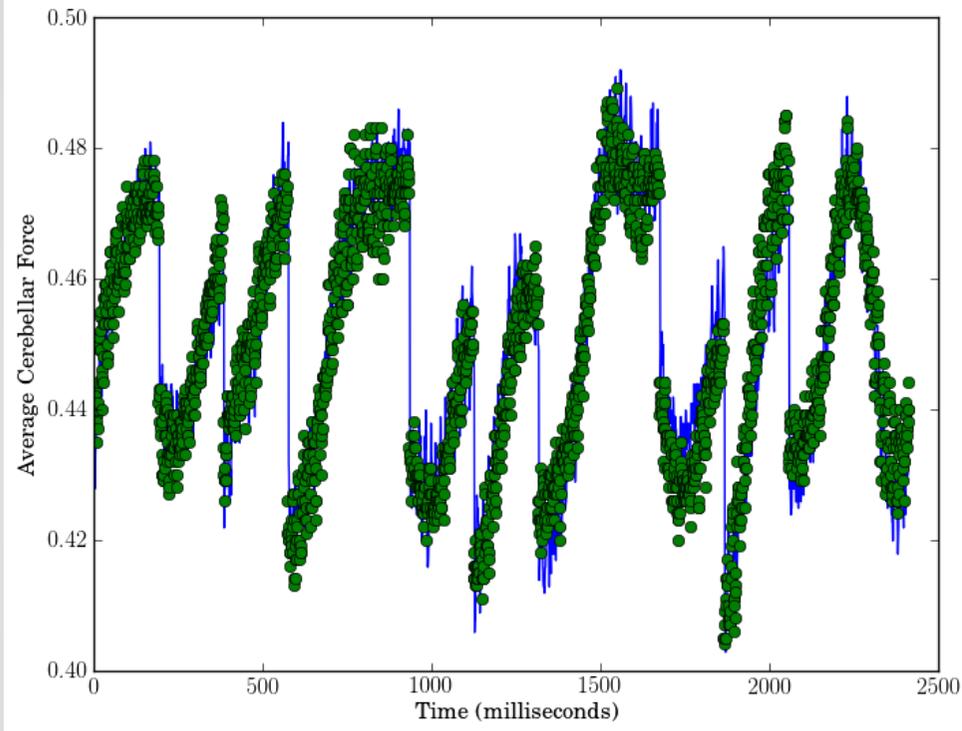
Violin



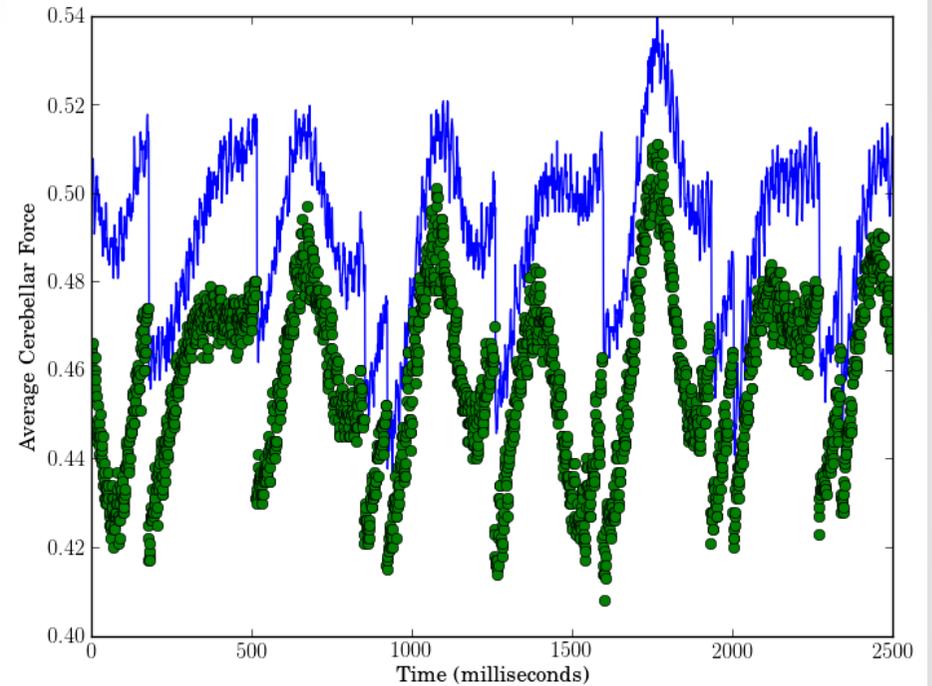
Piano

# Audio Recognition Results

Violin.wav



Piano.wav



Green: Output from MZ trained on **Violin.wav**

Blue: Output from MZ trained on **Piano.wav**

**Conclusion:** Differences not robust!

# Audio Recognition Conclusions

- Cerebellum can identify different audio signals provided their frequencies are sufficiently separated (e.g. different static patterns)
- More advanced audio recognition requires temporal pattern recognition and proves difficult for the cerebellum

# Outline

- Introduction: Biology of the cerebellum
- Cerebellum Simulator
- Experimental Domains
  - Eyelid Conditioning
  - Cartpole
  - PID Control
  - Robocup Balance
  - Pattern Recognition
  - Audio Recognition
- Conclusions

# Guidelines for Cerebellar Tasks

- Tasks need supervised error signals that occur regularly regardless of performance.
- Nearly all static patterns of state input are recognized (except NAND). Temporal patterns generally not recognized.
- Overcoming limitations of cerebellar learning likely requires integration of additional brain regions.