Bottom-Up Relational Learning of Pattern Matching Rules
for Information Extraction

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Abstract
Information extraction is a form of shallow text processing that locates a specified set of
relevant items in a natural-language document. Systems for this task require significant
domain-specific knowledge and are time-consuming and difficult to build by hand, making
them a good application for machine learning. We present a system, RAPIER, that uses
pairs of sample documents and filled templates to induce pattern-match rules that directly
extract fillers for the slots in the template. RAPIER employs a bottom-up learning algorithm
which incorporates techniques from several inductive logic programming systems and acquires
unbounded patterns that include constraints on the words, part-of-speech tags, and
semantic classes present in the filler and the surrounding text. We present encouraging
experimental results on two domains.

Keywords: Natural Language Processing, Information Extraction, Relational Learning

1. Introduction
In the wake of the recent explosive growth in on-line text on the web and in other places,
has come a need for systems to help people cope with the information explosion. A number
of researchers in language processing have begun to develop information extraction systems:
systems that pull specific data items out of text documents.

Information extraction systems seem to be a promising way to deal with certain types of
text documents. However, a difficulty with information extraction systems is that they are
difficult and time-consuming to build, and they generally contain highly domain-specific
components, making porting to new domains also time-consuming. Thus, more efficient
means for developing information extraction systems are desirable.

This situation has made information extraction systems an attractive application for
machine learning. Several researchers have begun to use learning methods to aid in the
construction of information extraction systems (Soderland et al., 1995, Riloff, 1993, Kim and
Moklovan, 1995, Huffman, 1996). However, in these systems, learning is used for part of a
larger information extraction system. Our system RAPIER (Robust Automated Production

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of Information Extraction Rules) learns rules for the complete information extraction task, rules producing the desired information pieces directly from the documents without prior parsing or any post-processing. We do this by using a structured (relational) symbolic representation, rather than learning classifiers.

Using only a corpus of documents paired with filled templates, RAPIER learns Eliza-like patterns (Weizenbaum, 1966) that make use of limited syntactic and semantic information, using freely available, robust knowledge sources such as a part-of-speech tagger or a lexicon. The rules built from these patterns can consider an unbounded context, giving them an advantage over more limited representations which consider only a fixed number of words. This relatively rich representation requires a learning algorithm capable of dealing with its complexities. Therefore, RAPIER employs a relational learning algorithm which uses techniques from several Inductive Logic Programming (ILP) systems (Lavrač and Džeroski, 1994). These techniques are appropriate because they were developed to work on a rich, relational representation (first-order logic clauses). Our algorithm incorporates ideas from several ILP systems, and consists primarily of a specific to general (bottom-up) search. We show that learning can be used to build useful information extraction rules, and that relational learning is more effective than learning using only simple features and a fixed context.

Simultaneous with RAPIER’s development other learning systems have recently been developed for this task which also use relational learning (Freitag, 2000, Soderland, 1999). Other recent approaches to this problem include using hidden Markov models (HMMs) (Freitag and McCallum, 2000) and combining boosting with the learning of simpler “wrappers” (Freitag and Kushmerick, 2000).

Experiments using RAPIER were performed in two different domains. One of the domains was a set of computer-related job postings from Usenet newsgroups. The utility is evident in the success of FlipDog, a job posting website (www.flipdog.com) developed by WhizBang! (www.whizbanglabs.com) using information extraction techniques. It should be noted that our template is both much more detailed than the one used for FlipDog and that it is specific to computer-related jobs. The second domain was a set of seminar announcements compiled at Carnegie Mellon University. The results were compared to the two other relational learners and to a Naïve Bayes-based system. The results are encouraging.

The remainder of the article is organized as follows. Section 2 presents background material on information extraction and relational learning. Section 3 describes RAPIER’s rule representation and learning algorithm. Section 4 presents and discusses experimental results, including comparisons to a simple Bayesian learner and two other relational learners. Section 5 suggests some directions for future work. Section 6 describes some related work in applying learning to information extraction, and Section 7 presents our conclusions.

2. Background

This section provides background on the task of information extraction and on the relational learning algorithms that are the most immediate predecessors of our learning algorithm.

2.1 Information Extraction

Information extraction is a shallow form of natural language understanding useful for certain types of document processing, which has been the focus of ARPA’s Message Understanding
Posting from Newsgroup

Subject: US-TN-SOFTWARE PROGRAMMER
Date: 17 Nov 1996 17:37:29 GMT
Organization: Reference.Com Posting Service
Message-ID: <S6nigp@mrs@bilbo.reference.com>

SOFTWARE PROGRAMMER

Position available for Software Programmer experienced in generating software for PC-Based Voice Mail systems. Experienced in C Programming. Must be familiar with communicating with and controlling voice cards; preferable Dialogic, however, experience with others such as Rhetorix and Natural Microsystems is okay. Prefer 5 years or more experience with PC Based Voice Mail, but will consider as little as 2 years. Need to find a Senior level person who can come on board and pick up code with very little training. Present Operating System is DOS. May go to OS-2 or UNIX in future.

Please reply to:
Kim Anderson
AdNET
(901) 458-2888 fax
kimander@memphisonline.com

Figure 1: A sample job posting from a newsgroup.

Conferences (MUC) (Lehnert and Sundheim, 1991, DARPA, 1992, 1993). It is useful in situations where a set of text documents exist containing information which could be more easily used by a human or computer if the information were available in a uniform database format. Thus, an information extraction system is given the set of documents and a template of slots to be filled with information from the document. Information extraction systems locate and in some way identify the specific pieces of data needed from each document.

Two different types of data may be extracted from a document: more commonly, the system is to identify a string taken directly from the document, but in some cases the system selects one from a set of values which are possible fillers for a slot. The latter type of slot-filler may be items like dates, which are most useful in a consistent format, or they may simply be a set of terms to provide consistent values for information which is present in the document, but not necessarily in a consistently useful way. In this work, we limit ourselves to dealing with strings taken directly from the document in question.

Information extraction can be useful in a variety of domains. The various MUC’s have focused on tasks such as the Latin American terrorism domain mentioned above, joint ventures, microelectronics, and company management changes. Others have used information extraction to track medical patient records (Soderland et al., 1995), to track company mergers (Huffman, 1996), and to extract biological information (Craven and Kumlien, 1999, Ray
Filled Template

computer_science_job
id: 56n1gp$ers@bilbo.reference.com
title: SOFTWARE PROGRAMMER
salary:
company:
recruiter:
state: TN
city:
country: US
language: C
platform: PC \ DOS \ OS-2 \ UNIX
application:
area: Voice Mail
req_years_experience: 2
desired_years_experience: 5
req_degree:
desired_degree:
post_date: 17 Nov 1996

Figure 2: The filled template corresponding to the message shown in Figure 1. All of the slot-fillers are strings taken directly from the document. Not all of the slots are filled, and some have more than one filler.

and Craven, 2001). More recently, researchers have applied information extraction to less formal text genres such as rental ads (Soderland, 1999) and web pages (Freitag, 1998a, Hsu and Dung, 1998, Muslea et al., 1998).

Another domain which seems appropriate, particularly in the light of dealing with the wealth of online information, is to extract information from text documents in order to create easily searchable databases from the information, thus making the wealth of text online more easily accessible. For instance, information extracted from job postings in USENET newsgroups such as misc.jobs.offered can be used to create an easily searchable database of jobs. An example of the information extraction task for such a system limited to computer-related jobs appears in Figures 1 and 2.

2.2 Relational Learning

Since much empirical work in natural language processing has employed statistical techniques (Manning and Schütze, 1999, Charniak, 1993, Miller et al., 1996, Smadja et al., 1996, Wermter et al., 1996), this section discusses the potential advantages of symbolic relational learning. In order to accurately estimate probabilities from limited data, most statistical techniques base their decisions on a very limited context, such as bigrams or trigrams (2 or 3 word contexts). However, NLP decisions must frequently be based on much larger contexts that include a variety of syntactic, semantic, and pragmatic cues. Consequently, researchers have begun to employ learning techniques that can handle larger
contexts, such as decision trees (Magerman, 1995, Miller et al., 1996, Aone and Bennett, 1995), exemplar (case-based) methods (Cardie, 1993, Ng and Lee, 1996), and a maximum entropy modeling method (Ratnaparkhi, 1997). However, these techniques still require the system developer to specify a manageable, finite set of features for use in making decisions. Developing this set of features can require significant representation engineering and may still exclude important contextual information.

In contrast, relational learning methods (Birnbaum and Collins, 1991) allow induction over structured examples that can include first-order logical predicates and functions and unbounded data structures such as lists and trees. In particular, inductive logic programming (ILP) (Lavrač and Džeroski, 1994, Muggleton, 1992) studies the induction of rules in first-order logic (Prolog programs). ILP systems have induced a variety of basic Prolog programs (e.g. append, reverse, sort) as well as potentially useful rule bases for important biological problems (Muggleton et al., 1992, Srivivasan et al., 1996). Detailed experimental comparisons of ILP and feature-based induction have demonstrated the advantages of relational representations in two language related tasks, text categorization (Cohen, 1995a) and generating the past tense of an English verb (Mooney and Califf, 1995). Recent research has also demonstrated the usefulness of relational learning in classifying web pages (Shettery and Craven, 1998).

While RAPIER is not an ILP system, it is a relational learning algorithm learning a structured rule representation, and its algorithm was inspired by ideas from ILP systems. The ILP-based ideas are appropriate because they were designed to learn using rich, unbounded representations. Therefore, the following sections discuss some general design issues in developing ILP and other rule learning systems and then describe the three ILP systems that most directly influenced RAPIER’s learning algorithm: GOLEM, CHILLIN, and PROGOL.

2.2.1 General Algorithm Design Issues

One of the design issues in rule learning systems is the overall structure of the algorithm. There are two primary forms for this outer loop: compression and covering. Systems that use compression begin by creating an initial set of highly specific rules, typically one for each example. At each iteration a more general rule is constructed, which replaces the rules it subsumes, thus compressing the rule set. At each iteration, all positive examples are under consideration to some extent, and the metric for evaluating new rules is biased toward greater compression of the rule set. Rule learning ends when no new rules to compress the rule set are found. Systems that use compression include DUCE, a propositional rule learning system using inverse resolution (Muggleton, 1987), CIGOL, an ILP system using inverse resolution (Muggleton and Buntine, 1988), and CHILLIN (Zelle and Mooney, 1994).

Systems that use covering begin with a set of positive examples. Then, as each rule is learned, all positive examples the new rule covers are removed from consideration for the creation of future rules. Rule learning ends when all positive examples have been covered. This is probably the more common way to structure a rule learning system. Examples include FOIL (Quinlan, 1990), GOLEM (Muggleton and Feng, 1992), PROGOL (Muggleton, 1995), CLAUDIEN (De Raedt and Bruynooghe, 1993), and various systems based on FOIL such as FOCL (Pazzani et al., 1992), mFOIL (Lavrač and Džeroski, 1994), and FOLI (Mooney and Califf, 1995).
There are trade-offs between these two designs. The primary difference is the trade-off between a more efficient search or a more thorough search. The covering systems tend to be somewhat more efficient, since they do not seek to learn rules for examples that have already been covered. However, their search is less thorough than that of compression systems, since they may not prefer rules which both cover remaining examples and subsume existing rules. Thus, the covering systems may end up with a set of fairly specific rules in cases where a more thorough search might have discovered a more general rule covering the same set of examples.

A second major design decision is the direction of search used to construct individual rules. Systems typically work in one of two directions: bottom-up (specific to general) systems create very specific rules and then generalize those to cover additional positive examples, and top-down (general to specific) systems start with very general rules—typically rules which cover all of the examples, positive and negative, and then specialize those rules, attempting to uncover the negative examples while continuing to cover many of the positive examples. Of the systems above, DUCE, CIGOL, and GOLEM and pure bottom-up systems, while FOIL and the systems based on it are pure top-down systems. CHILLIN and PROGOL both combine bottom-up and top-down methods.

Clearly, the choice of search direction also creates trade-offs. Top-down systems are often better at finding general rules covering large numbers of examples, since they start with a most general rule and specialize it only enough to avoid the negative examples. Bottom-up systems may create overly-specialized rules that don’t perform well on unseen data because they may fail to generalize the initial rules sufficiently. Given a fairly small search space of background relations and constants, top-down search may also be more efficient. However, when the branching factor for a top-down search is very high (as it is when there are many ways to specialize a rule), bottom-up search will usually be more efficient, since it constrains the constants to be considered in the construction of a rule to those in the example(s) that the rule is based on. The systems that combine bottom-up and top-down techniques seek to take advantage of the efficiencies of each.

2.2.2 GOLEM

As mentioned above, GOLEM (Muggleton and Feng, 1992) uses a greedy covering algorithm. The construction of individual clauses is bottom-up, based on the construction of least-general generalizations (LGGs) of more specific clauses (Plotkin, 1970). A clause \( G \) subsumes a clause \( C \) if there is a substitution for the variables in \( G \) that make the literals in \( G \) a subset of the literals in \( C \). Informally, we could turn \( C \) into \( G \) by dropping some conditions and changing some constants to variables. If \( G \) subsumes \( C \), anything that can be proved from \( C \) could also be proved from \( G \), since \( G \) imposes fewer conditions. Hence \( G \) is said to be more general than \( C \) (assuming \( C \) does not also subsume \( G \), in which case the clauses must be equivalent except for renaming of variables).

The LGG of clauses \( C_1 \) and \( C_2 \) is defined as the least general clause that subsumes both \( C_1 \) and \( C_2 \). An LGG is easily computed by “matching” compatible literals of the clauses; wherever the literals have differing structure, the LGG contains a variable. When identical pairings of differing structures occurs, the same variable is used for the pair in all locations.
uncle(john, deb) :-
  sibling(john, ron), sibling(john, dave),
  parent(ron, deb), parent(ron, ben),
  male(john), male(dave), female(deb).
uncle(bill, jay) :-
  sibling(bill, bruce),
  parent(bill, jay), parent(bruce, rach),
  male(bill), male(jay).

Figure 3: Two specific instances of uncle relationships

uncle(A, B) :-
  sibling(A, C), sibling(A, D),
  parent(C, B), parent(C, E), parent(C, F), parent(C, E),
  male(A), male(G), male(H), male(I).

Figure 4: The LGG of the clauses in Figure 3

For example, consider the clauses in Figure 3. These two specific clauses describe the
concept uncle in the context of some known familial relationships. The rather complex
LGG of these clauses is shown in Figure 4. Here A replaces the pair (john, bill), B replaces
(deb, jay), C replaces (ron, bruce), etc. Note that the result contains four parent literals
(two of which are duplicates) corresponding to the four ways of matching the pairs of parent
literals from the original clauses. Similarly, there are four literals for male. In the worst
case, the result of an LGG operation may contain $n^2$ literals for two input clauses of length
n. The example LGG contains no female literal since the second clause does not contain
a compatible literal. Straightforward simplification of the result by removing redundant
literals yields the clause in Figure 5. This is one of the two clauses defining the general
concept, uncle/2.

The construction of the LGG of two clauses is in some sense “context free.” The resultant
generalization is determined strictly on the form of the input clauses, there is no
consideration of potential background knowledge. In order to take background knowledge
into account GOLEM produces candidate clauses by considering Relative LGGs (RLGGs)
of positive examples with respect to the background knowledge. The idea is to start with
the assumption that any and all background information might be relevant to determining
that a particular instance is a positive example. Thus, each positive example is represented
by a clause of the form: E :- (every ground fact) where (every ground fact)
is a conjunction of all true ground literals that can be derived from the background relations.
In the case of member/2, this would include facts such as components([1], 1, []),
components([1, 2], 1, [2]), components([2], 2, []), etc. An RLGG of two examples is
simply the LGG of the examples' representative clauses. The LGG process serves to gener-
alize away the irrelevant conditions.
uncle(A, B):-
    sibling(A, C), parent(C, B), male(A).

Figure 5: The result of simplifying the clause from Figure 4 by removing redundant clauses

Let \( Pairs \) = random sampling of pairs of positive examples
Let \( RLggs = \{ C : (e, e') \in Pairs \text{ and } C = RLGG(e, e') \text{ and } C \text{ consistent} \} \)
Let \( S \) be set of the pair \( e, e' \) with best cover \( RLgg \) in \( RLggs \)
Do
    Let \( Examples \) be a random sampling of positive examples
    Let \( RLggs = \{ C : e' \in Examples \text{ and } C = RLGG(S \cup e') \text{ and } C \text{ consistent} \} \)
    Find \( e' \) which produces greatest cover in \( RLggs \)
    Let \( S = S \cup e' \)
    Let \( Examples = Examples - \text{cover}(RLGG(S)) \)
While increasing-cover

Figure 6: GOLEM's clause construction algorithm

One difficulty of this approach is that interesting background relations will give rise to an infinite number of ground facts. For example, there can be no finite set of facts that completely describes the components/3 relation, since lists may be indefinitely long. GOLEM builds initial representative clauses for examples by considering a finite subset corresponding to the facts that can be derived from the background predicates through a fixed number of binary resolutions. Figure 6 shows GOLEM's clause construction algorithm.

2.2.3 CHILLIN

CHILLIN (Zelle and Mooney, 1994) is an example of an ILP algorithm that uses compression for its outer loop. It combines elements of both top-down and bottom-up induction techniques including a mechanism for demand-driven predicate invention. The basic compaction algorithm appears in Figure 7.

CHILLIN starts with a most specific definition (the set of positive examples) and introduces generalizations which make the definition more compact (as measured by a CIGOL-like size metric (Muggleton and Buntine, 1988)). The search for more general definitions is carried out in a hill-climbing fashion. At each step, a number of possible generalizations are considered; the one producing the greatest compaction of the theory is implemented, and the process repeats. To determine which clauses in the current theory a new clause should replace, CHILLIN uses a notion of empirical subsumption. If a clause \( A \) covers all of the examples covered by clause \( B \) along with one or more additional examples, then \( A \) empirically subsumes \( B \).

The build_gen algorithm attempts to construct a clause which empirically subsumes some clauses of \( \text{DEF} \) without covering any of the negative examples. The first step is to construct the LGG of the input clauses. If the LGG does not cover any negative examples,
DEF := \{ E : \text{true} \mid E \in \text{Positives} \}
Repeat
PAIRS := a sampling of pairs of clauses from DEF
GENS := \{ G \mid G = \text{build-gen}(C_i, C_j, \text{DEF, Positives, Negatives}) \text{ for } (C_i, C_j) \in \text{PAIRS} \}
G := \text{Clause in GENS yielding most compaction}
DEF := (\text{DEF} - \text{(Clauses subsumed by } G) \cup G
Until no further compaction

Figure 7: CHILLIN's compaction algorithm

no further refinement is necessary. If the clause is too general, an attempt is made to refine it using a FOIL-like mechanism which adds literals derivable either from background or previously invented predicates. If the resulting clause is still too general, it is passed to a routine which invents a new predicate to discriminate the positive examples from the negatives which are still covered.

2.2.4 PROGOL

PROGOL (Muggleton, 1995) also combines bottom-up and top-down search. Like FOIL and GOLEM, PROGOL uses a covering algorithm for its outer loop. As in the propositional rule learner AQ (Michalski, 1983), individual clause construction begins by selecting a random seed example. Using mode declarations provided for both the background predicates and the predicate being learned, PROGOL constructs a most specific clause for that random seed example, called the \textit{bottom} clause. The mode declarations specify for each argument of each predicate both the argument’s type and whether it should be a constant, a variable bound before the predicate is called, or a variable bound by the predicate. Given the bottom clause, PROGOL employs an \textit{A*}-like search through the set of clauses containing up to \( k \) literals from the bottom clause in order to find the simplest consistent generalization to add to the definition. Advantages of PROGOL are that the constraints on the search make it fairly efficient, especially on some types of tasks for which top-down approaches are particularly inefficient, and that its search is guaranteed to find the simplest consistent generalization if such a clause exists with no more than \( k \) literals. The primary problems with the system are its need for the mode declarations and the fact that too small a \( k \) may prevent PROGOL from learning correct clauses while too large a \( k \) may allow the search to explode.

3. The \texttt{RAPIER} System

3.1 Rule Representation

\texttt{RAPIER}'s rule representation uses Eliza-like patterns (Weizenbaum, 1966) that can make use of limited syntactic and semantic information. The extraction rules are indexed by template name and slot name and consist of three parts: 1) a pre-filler pattern that matches text immediately preceding the filler, 2) a pattern that must match the actual slot filler, and 3) a post-filler pattern that must match the text immediately following the filler. Each pattern is a sequence (possibly of length zero in the case of pre- and post-filler patterns) of pattern
elements. RAPIER makes use of two types of pattern elements: *pattern items* and *pattern lists*. A pattern item matches exactly one word or symbol from the document that meets the item’s constraints. A pattern list specifies a maximum length N and matches 0 to N words or symbols from the document (a limited form of Kleene closure), each of which must match the list’s constraints. RAPIER uses three kinds of constraints on pattern elements: constraints on the words the element can match, on the part-of-speech tags assigned to the words the element can match, and on the semantic class of the words the element can match. The constraints are disjunctive lists of one or more words, tags, or semantic classes and document items must match one of those words, tags, or classes to fulfill the constraint.

A note on part-of-speech tags and semantic classes: in theory, these could be from any source. RAPIER’s operation does not depend on any particular tagset or tagging method. In practice, we used Eric Brill’s tagger as trained on the Wall Street Journal corpus (Brill, 1994). Although the rule representation does not require a particular type of semantic class, we used WordNet synsets as the semantic classes (Miller et al., 1993), and RAPIER’s handling of semantic classes is heavily tied to that representation.

Figure 8 shows an example of a rule that shows the various types of pattern elements and constraints. This is a rule constructed by RAPIER for extracting the transaction amount from a newswire concerning a corporate acquisition. This rule extracts the value “undisclosed” from phrases such as “sold to the bank for an undisclosed amount” or “paid Honeywell an undisclosed price”. The pre-filler pattern consists of two pattern elements. The first is an item with a part-of-speech constraining the matching word to be tagged as a noun or a proper noun. The second is a list of maximum length two with no constraints. The filler pattern is a single item constrained to be the word “undisclosed” with a POS tag labeling it an adjective. The post-filler pattern is also a single pattern item with a semantic constraint of “price”.

In using these patterns to extract information, we apply all of the rules for a given slot to a document and take all of the extracted strings to be slot-fillers, eliminating duplicates. Rules may also apply more than once. In many cases, multiple slot fillers are possible, and the system seldom proposes multiple fillers for slots where only one filler should occur.
3.2 The Learning Algorithm

3.2.1 Algorithm Design Choices

RAPIER, as noted above, is inspired by ILP methods, particularly by GOLEM, CHILLIN, and PROGOL. It is compression-based and primarily consists of a specific to general (bottom-up) search. The choice of a bottom-up approach was made for two reasons. The first reason is the very large branching factor of the search space, particularly in finding word and semantic constraints. Learning systems that operate on natural language typically must have some mechanism for handling the search imposed by the large vocabulary of any significant amount of text (or speech). Many systems handle this problem by imposing limits on the vocabulary considered—using only the $n$ most frequent words, or considering only words that appear at least $k$ times in the training corpus (Yang and Pederson, 1997). While this type of limitation may be effective, using a bottom-up approach reduces the consideration of constants in the creation of any rule to those appearing in the example(s) from which the rule is being generalized, thus limiting the search without imposing artificial hard limits on the constants to be considered.

The second reason for selecting a bottom-up approach is that we decided to prefer overly specific rules to overly general ones. In information extraction, as well as other natural language processing task, there is typically a trade-off between high precision (avoiding false positives) and high recall (identifying most of the true positives). For the task of building a database of jobs which partially motivated this work, we wished to emphasize precision. After all, the information in such a database could be found by performing a keyword search on the original documents, giving maximal recall (given that we extract only strings taken directly from the document), but relatively low precision. A bottom-up approach will tend to produce specific rules, which also tend to be precise rules.

Given the choice of a bottom-up approach, the compression outer loop is a good fit. A bottom-up approach has a strong tendency toward producing specific, precise rules. Using compression for the outer loop may partially counteract this tendency toward a more thorough search for general rules. So, like CHILLIN (Zelle and Mooney, 1994), RAPIER begins with a most specific definition and then attempts to compact that definition by replacing rules with more general rules. Since in RAPIER's rule representation rules for the different slots are independent of one another, the system actually creates the most specific definition and then compacts it separately for each slot in the template.

3.2.2 Initial Rulebase Construction

To construct the initial rulebase, most-specific patterns for each slot are created for each example, specifying words and tags for the filler and its complete context. Thus, the pre-filler pattern contains an item for each word from the beginning of the document to the word immediately preceding the filler with constraints listing the specific word and its POS tag. Likewise, the filler pattern has one item from each word in the filler, and the post-filler pattern has one item for each word from the end of the filler to the end of the document.

Using semantic class information creates some issues is the construction of the initial rulebase. The semantic class is left unconstrained because a single word often has multiple possible semantic classes because of the homonymy and polysemy of language. If semantic constraints were immediately created, RAPIER would have to either use a disjunction of all
of the possible classes at the lowest level of generality (in the case of WordNet- the synsets that the word for which the item is created belongs to) or choose a semantic class. The first choice is somewhat problematic because the resulting constraint is quite likely to be too general to be of much use. The second choice is the best, if and only if the correct semantic class for the word in context is known, a difficult problem in and of itself. Selecting the most frequent choice from WordNet might work for some cases, but certainly not in all cases, and there is the issue of domain specificity. The most frequent meaning of a word in all contexts may not be the most frequent meaning of that word in the particular domain in question. And, of course, even within a single domain words will have multiple meanings so even determining the most frequent meaning of a word in a specific domain may often be a wrong choice. RAPIER avoids the issue altogether by waiting to create semantic constraints until generalization. Thus, it implicitly allows the disjunction of classes, selecting a specific class only when the item is generalized against one containing a different word. By postponing the choice of a semantic class until there are multiple items required to fit the semantic constraint, RAPIER narrows the number of possible choices for the semantic class to classes that cover two or more words. Details concerning the creation of semantic constraints are discussed below.

3.2.3 Rule Generalization

Given this maximally specific rule-base, RAPIER attempts to compress the rules for each slot. New rules are created by selecting pairs of existing rules and creating generalizations, somewhat like GOLEM (Muggleton and Feng, 1992). However, RAPIER differs from GOLEM significantly in its use of this basic concept. First, GOLEM always selects random pairs of examples, and RAPIER selects random pairs of rules. When the rules selected by RAPIER are the most-specific rules covering a single example, there is no real difference between these two, since an example in Inductive Logic Programming is essentially a most-specific rule. However, RAPIER may select rules that resulted from an earlier generalization and generalize them further. This points to a second difference between the two learning algorithms: the difference in the way they repeat generalization to achieve rules as general as possible. While GOLEM takes the rule resulting from generalizing a pair of examples and attempts to generalize it further to cover new, randomly selected examples, RAPIER simply adds the rule to the rulebase where it may be later selected for generalization with another rule. These differences stem primarily from the differing approaches of the two algorithms at the outer level. Since GOLEM takes a covering approach, it must fully generalize a given rule initially, since the examples the rule covers will be removed from further consideration. RAPIER’s compression approach leads it to do less generalization at each iteration of the loop.

The most unique aspect of RAPIER’s learning algorithm is the way in which it actually creates a new rule from a random pair of rules. The straightforward method of generalizing two rules together would be find the least general generalization (LGG) of the two pre-filler patterns and use that as the pre-filler pattern of the new rule, make the filler pattern of the new rule be the LGG of the two filler patterns, and then do the same for the post-filler pattern. There are, however, two serious problems with this obvious approach.

The first problems is the expense of computing the LGGs of the pre-filler and post-filler patterns. These patterns may be very long, and the pre-filler or post-filler patterns of two
rules may be of different lengths. Generalizing patterns of different lengths is computationally expensive because each individual pattern element in the shorter pattern may be generalized against one or more elements of the longer pattern, and it is not known ahead of time how elements should be combined to produce the LGG. Thus, the computation of the LGG of the pre-filler and post-filler patterns in their entirety may be prohibitively computationally expensive.

The second problem is not a matter of computational complexity, but rather a problem caused by the power of the rule representation. Because RAPIER’s rule representation allows for unlimited disjunction, the LGG of two constraints is always their union. When the two constraints differ, the resulting disjunct may be the desirable generalization, but often a better generalization results from simply removing the constraint instead of creating the disjunction. Therefore, when generalizing pattern elements, rather than simply taking the LGG of the constraints, it is useful to consider multiple generalizations if the constraints on the pattern elements differ, and this is the approach the RAPIER takes – considering for each pair of differing constraints the generalization created by dropping the constraint as well as the generalization which is the union of the constraints. However, considering multiple generalizations of each pair of pattern elements greatly increases the computational complexity of generalizing pairs of lengthy patterns such as the most-specific pre-fillers and post-fillers tend to be.

Because of these issues, RAPIER does not use a pure bottom-up approach. Instead, like PROGOL, it combines the bottom-up approach with a top-down component, using a specific rule to constrain a top-down search for an acceptable general rule. However, instead of using a single seed or using some type of user-provided information (such as PROGOL’s modes), RAPIER uses a pair of rules to constrain the search, more like CHILLIN does. This approach, along with the difference in representation, makes RAPIER’s top-down search very different from PROGOL’s.

RAPIER’s rule generalization method operates on the principle that the relevant information for extracting a slot-filler will be close to that filler in the document. Therefore, RAPIER begins by generalizing the two filler patterns and creates rules with the resulting generalized filler patterns and empty pre-filler and post-filler patterns. It then specializes these rules by adding pattern elements to the pre-filler and post-filler patterns, working outward from the filler. The elements to be added to the patterns are created by generalizing the appropriate portions of the pre-fillers or post-fillers of the pair of rules from which the new rule is generalized. Working in this way takes advantage of the locality of language, but does not preclude the possibility of using pattern elements that are fairly distant from the filler.

Figure 9 shows RAPIER’s basic algorithm. RuleList is a priority queue of length $k$ which maintains the list of rules still under consideration, where $k$ is a parameter of the algorithm. The priority of the rule is its value according to RAPIER’s heuristic metric for determining the quality of a rule (see Section 3.2.4). RAPIER’s search is basically a beam-search: a breadth-first search keeping only the best $k$ items at each pass. However, the search does differ somewhat from a standard beam-search in that the nodes (or rules) are not fully expanded at each pass (since at each iteration the specialization algorithms only consider pattern elements out to a distance of $n$ from the filler), and because of this the old rules are only thrown out when they fall off the end of the priority queue.
For each slot, $S$ in the template being learned

1. **SlotRules** = most specific rules for $S$ from example documents
   while compression has failed fewer than $CompressLim$ times
2. initialize $RuleList$ to be an empty priority queue of length $k$
3. randomly select $M$ pairs of rules from $SlotRules$
4. find the set $L$ of generalizations of the fillers of each rule pair
5. for each pattern $P$ in $L$
   - create a rule $NewRule$ with filler $P$ and empty pre- and
     post-fillers
   - evaluate $NewRule$ and add $NewRule$ to $RuleList$
6. let $n=0$
7. loop
   - increment $n$
   - for each rule, $CurRule$, in $RuleList$
     - $NewRuleList$ = $SpecializePreFiller$ ($CurRule$, $n$)
     - evaluate each rule in $NewRuleList$ and add it to $RuleList$
   - for each rule, $CurRule$, in $RuleList$
     - $NewRuleList$ = $SpecializePostFiller$ ($CurRule$, $n$)
     - evaluate each rule in $NewRuleList$ and add it to $RuleList$
8. until best rule in $RuleList$ produces only valid fillers or
   - the value of the best rule in $RuleList$ has failed to
     improve over the last $LimitNoImprovements$ iterations
9. if best rule in $RuleList$ covers no more than an allowable
   percentage of spurious fillers
   - add it to SlotRules and remove empirically subsumed rules

**Figure 9:** RAPIER Algorithm for Inducing Information Extraction Rules

### 3.2.4 Rule Evaluation

One difficulty in designing the RAPIER algorithm was in determining an appropriate heuristic metric for evaluating the rules being learned. The first issue is the measurement of negative examples. Clearly, in a task like information extraction there are a very large number of possible negative examples — strings which should not be extracted — a number large enough to make explicit enumeration of the negative examples difficult, at best. Another issue is the question of precisely which substrings constitute appropriate negative examples: should all of the strings of any length be considered negative examples, or only those strings with lengths similar to the positive examples for a given slot. To avoid these problems, RAPIER does not enumerate the negative examples, but uses a notion of implicit negatives instead (Zelle et al., 1995). First, RAPIER makes the assumption that all of the strings which should be extracted for each slot are specified, so that any strings which a rule extracts that are not specified in the template are assumed to be spurious extractions and, therefore, negative examples. Whenever a rule is evaluated, it is applied to each document in the training set. Any fillers that match the fillers for the slot in the training templates are considered positive examples; all other extracted fillers are considered negative examples covered by the rule.
Given a method for determining the negative as well as the positive examples covered by the rule, a rule evaluation metric can be devised. Because RAPIER does not use a simple search technique such as hill-climbing, it cannot use a metric like information gain (Quinlan, 1990) which measures how much each proposed new rule improves upon the current rule in order to pick the new rule with the greatest improvement. Rather, each rule needs an inherent value which can be compared with all other rules. One such value is the informativity of each rule.

\[ I(T) = - \log_2(\frac{T_+}{|T|}) \]  

However, while informativity measures the degree to which a rule separates positive and negative examples (in this case, identifies valid fillers but not spurious fillers), it makes no distinction between simple and complex rules. The problem with this is that, given two rules which cover the same number of positives and no negatives but different levels of complexity (one with two constraints and one with twenty constraints), we would expect the simpler rule to generalize better to new examples, so we would want that rule to be preferred. Many machine learning algorithms encode such a preference; all top-down hill-climbing algorithms which stop when a rule covering no negatives is found have this preference for simple rather than complex rules. Since RAPIER's search does not encode such a preference, but can, because of its consideration of multiple ways of generalizing constraints, produce many rules of widely varying complexities at any step of generalization or specialization, the evaluation metric for the rules needs to encode a bias against complex rules. Finally, we want the evaluation metric to be biased in favor of rules which cover larger number of positive examples.

The metric RAPIER uses takes the informativity of the rule and weights that by the size of the rule divided by the number of positive examples covered by the rule. The informativity is computed using the Laplace estimate of the probabilities. The size of the rule is computed by a simple heuristic as follows: each pattern item counts 2; each pattern list counts 3; each disjunct in a word constraint counts 2; and each disjunct in a POS tag constraint or semantic constraint counts 1. This size is then divided by 100 to bring the heuristic size estimate into a range which allows the informativity and the rule size to influence each other, with neither value being overwhelmed by the other. Then the evaluation metric is computed as:

\[ \text{ruleVal} = - \log_2\left(\frac{p + 1}{p + n + 2}\right) + \frac{\text{ruleSize}}{p} \]

where \( p \) is the number of correct fillers extracted by the rule and \( n \) is the number of spurious fillers the rule extracts.

RAPIER does allow coverage of some spurious fillers. The primary reason for this is that human annotators make errors, especially errors of omission. If RAPIER rejects a rule covering a large number of positives because it extracts a few negative examples, it can be prevented from learning useful patterns by the failure of a human annotator to notice even a single filler that fits that pattern which should, in fact, be extracted. If RAPIER's specialization ends due to failure to improve on the best rule for too many iterations and the best rule still extracts spurious examples, the best rule is used if it meets the criteria:

\[ \frac{p - n}{p + n} > \text{noiseParam} \]
where \( p \) is the number of valid fillers extracted by the rule and \( n \) is the number of spurious fillers extracted. This equation is taken from \textsc{ripper} (Cohen, 1995b), which uses it for pruning rules measuring \( p \) and \( n \) using a hold-out set. Because \textsc{riper} is usually learning from a relatively small number of examples, it does not use a hold-out set or internal cross-validation in its evaluation of rules which cover spurious fillers, but uses a much higher default value of \textit{noiseParam} (Cohen uses a default of 0.5; \textsc{riper}'s default value is 0.9).

Note that \textsc{riper}'s noise handling does not involve pruning, as noise handling often does. Pruning is appropriate for top-down approaches, because in noise handling the goal is to avoid creating rules that are too specialized and over-fit the data and, in pure top-down systems, the only way to generalize a too-specific rule is some sort of pruning. Since \textsc{riper} is a primarily bottom-up compression-based system, it can depend on subsequent iterations of the compression algorithm to further generalize any rules that may be too specific. The noise handling mechanism need only allow the acceptance of noisy rules when the “best” rule, according to the rule evaluation metric, covers negative examples.

The description of \textsc{riper}'s algorithm thus far has given the overall structure of the algorithm and has described its search pattern but has left vague three important steps: the generalization of a pair of fillers and the two specialization phases: specialization of the pre-filler pattern and specialization of the post-filler pattern. Crucial to an understanding of these three steps is the understanding of how \textsc{riper} generalizes pairs of patterns. Therefore, this section describes how this is done, starting by describing the generalization of constraints, then the generalization of pattern elements, and finally the generalization of patterns.

3.2.5 Constraint Generalization

The generalization of a pair of word or tag constraints is straightforward. The only issue involved in this generalization is that simply taking the LGG of the constraints will always produce a disjunction, and it is preferable to consider both the disjunction and the more general option of simply dropping the constraint. Throughout the following discussion, an empty constraint is used to describe the result of dropping a constraint.

In three cases, \textsc{riper} does simply take the LGG as the only appropriate generalization. Clearly, if the two constraints are identical, then the new constraint is simply the same as the original constraints. If either constraint is empty (the word or tag is unconstrained), then the generalized constraint is also empty. If either constraint is a superset of the other, the new constraint will be the superset. The reason for only creating the disjunction in this case is that the disjunction which is the superset must have been one of two generalizations created, so the result of dropping the constraint either is still under consideration elsewhere or has been rejected in favor of the disjunction.

In all other cases, two alternative generalizations are created: one is the union of the two constraints and one is the empty constraint. Thus, the results of generalizing \{\textit{nn}, \textit{nnp}\} and \{\textit{adj}\} are \{\textit{nn}, \textit{nnp}, \textit{adj}\} and the empty tag constraint.

The generalization of semantic constraints is more complex for two reasons. First, as mentioned above, because a word can be a member of many semantic classes, \textsc{riper} does not create semantic constraints in the initial rules, but instead waits until generalization so that a single semantic class covering at least two different words is chosen, making it more
likely that the semantic class is a useful generalization. The second cause for the greater complexity is the use of a semantic hierarchy. Rather than simply creating disjunctions of classes, the system seeks to find a superclass covering all of classes in the initial constraints. Given these issues, the generalization of semantic constraints proceeds as follows:

- If the two constraints are non-empty and identical, the new constraint is the same as the original constraints.

- If both constraints are empty and either pattern element has an empty word constraint or a word constraint containing more than one word, the new constraint is empty. In this case, the constraints in the element with the empty word constraint or the word constraint with multiple words must be the result of a previous generalization. Since the semantic class is unconstrained, search for an appropriate semantic constraint must have already failed.

- If both semantic constraints are empty and the pattern elements’ word constraints each consist of a single word and the two word constraints differ, RAPIER attempts to create a semantic constraint. The system searches the semantic hierarchy for a class which covers both of the words in the word constraints. The goal is to find the least general class which covers a meaning for each of the words. In WordNet, this means finding the synsets for the two words and searching, following the hyponym links, for the synset which is closest to a synset for each word. If the two words are “man” and “woman”, the semantic class will be the synset shared by “humanity”, “mankind”, “world”, “humankind”, and “man”, which is the second synset for “world” and the third synset for “man”. The words “man” and “woman” would result in the semantic class “person”, while the words “man” and “rock” would result in “entity”. If no match is found, the new semantic constraint will be empty. RAPIER avoids creating a semantic constraint when the two word constraints are identical for the same reason that it does not create semantic constraints for the initial rules: having a only a single word leaves the choice of a semantic class insufficiently constrained. This will not prevent it from creating a semantic class later, because the word constraint in the rule will still be a single word.

- If one of the semantic constraints is empty and the other is not, there are two cases:
  1. If the pattern element with the empty semantic constraint also has an empty word constraint or a word constraint with multiple words, the generalized constraint will be empty.
  2. Otherwise, the system starts with the semantic class in the semantic constraint and climbs the semantic hierarchy as necessary to find a semantic class which covers the word in the first element’s word constraint. That semantic class will be the new semantic constraint.

- Finally, if both semantic constraints are non-empty, the system searches for the lowest class in the semantic hierarchy which is a superclass of both constraints, and makes that the new semantic constraint. If no matching superclass is found, the new constraint is empty. Thus, for semantic classes, RAPIER does not actually make use of disjunction in the current implementation.
**Elements to be generalized**

<table>
<thead>
<tr>
<th>Element A</th>
<th>Element B</th>
</tr>
</thead>
<tbody>
<tr>
<td>word: man</td>
<td>word: woman</td>
</tr>
<tr>
<td>syntactic: nn</td>
<td>syntactic: nn</td>
</tr>
<tr>
<td>semantic:</td>
<td>semantic:</td>
</tr>
</tbody>
</table>

**Resulting Generalizations**

<table>
<thead>
<tr>
<th>word:</th>
<th>word: {man, woman}</th>
</tr>
</thead>
<tbody>
<tr>
<td>syntactic: {nn, unp}</td>
<td>syntactic: {nn, unp}</td>
</tr>
<tr>
<td>semantic: person</td>
<td>semantic: person</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>word:</th>
<th>word: {man, woman}</th>
</tr>
</thead>
<tbody>
<tr>
<td>syntactic:</td>
<td>semantic:</td>
</tr>
<tr>
<td>semantic: person</td>
<td>semantic: person</td>
</tr>
</tbody>
</table>

Figure 10: An example of the generalization of two pattern elements. The words “man” and “woman” form two possible generalizations: their disjunction and dropping the word constraint. The tags “nn” (noun) and “unp” (proper noun) also have two possible generalizations. Thus, there are a total of four generalizations of the two elements.

It should be noted that the implementation of semantic constraints and their generalization is very closely tied to WordNet (Miller et al., 1993) since that is the semantic hierarchy used in this research. However, the code has been carefully modularized in order to make the process of substituting an alternative source for semantic information or modifying the generalization method to allow for disjunctions of classes relatively easy.

### 3.2.6 Generalizing Pattern Elements

Given the rules for generalizing constraints, the generalization of a pair of pattern elements is fairly simple. First, the generalizations of the word, tag and semantic constraints of the two pattern elements are computed as described above. From that set of generalizations, RAPIER computes all combinations of a word constraint, a tag constraint, and the semantic constraint and creates a pattern element with each combination. See Figure 10 for an example of this combination. If both of the original pattern elements are pattern items, the new elements are pattern items as well. Otherwise, the new elements are pattern lists. The length of these new pattern lists is the maximum length of the original pattern lists (or the length of the pattern list in the case where a pattern item and a pattern list are being generalized).

### 3.2.7 Generalizing Patterns

Generalizing a pair of patterns of equal length is also quite straightforward. RAPIER pairs up the pattern elements from first to last in the patterns and computes the generalizations.
## Patterns to be Generalized

<table>
<thead>
<tr>
<th>Pattern A</th>
<th>Pattern B</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) word: ate</td>
<td>1) word: hit</td>
</tr>
<tr>
<td>syntactic: vb</td>
<td>syntactic: vb</td>
</tr>
<tr>
<td>2) word: the</td>
<td>2) word: the</td>
</tr>
<tr>
<td>syntactic: dt</td>
<td>syntactic: dt</td>
</tr>
<tr>
<td>3) word: pasta</td>
<td>3) word: ball</td>
</tr>
<tr>
<td>syntactic: nn</td>
<td>syntactic: nn</td>
</tr>
</tbody>
</table>

### Resulting Generalizations

1) word: \{ate, hit\}  
syntactic: vb  
2) word: the  
syntactic: dt  
3) word: \{pasta, ball\}  
syntactic: nn

1) word: \{ate, hit\}  
syntactic: vb  
2) word: the  
syntactic: dt  
3) word:  
syntactic: nn

---

Figure 11: Generalization of a pair of patterns of equal length. For simplicity, the semantic constraints are not shown, since they never have more than one generalization.

of each pair. It then creates all of the patterns made by combining the generalizations of the pairs of elements in order. Figure 11 shows an example.

Generalizing pairs of patterns that differ in length is more complex, and the problem of combinatorial explosion is greater. Suppose we have two patterns: one five elements long and the other three elements long. We need to determine how to group the elements to be generalized. If we assume that each element of the shorter pattern must match at least one element of the longer pattern, and that each element of the longer pattern will match exactly one element of the shorter pattern, we have a total of three ways to match each element of the shorter pattern to elements of the longer pattern, and a total of six ways to match up the elements of the two patterns. As the patterns grow longer and the difference in length grows larger, the problem becomes more severe.

In order to limit this problem somewhat, before creating all of the possible generalizations, RAPiER searches for any exact matches of two elements of the patterns being generalized, making the assumption that if an element from one of the patterns exactly matches an element of the other pattern then those two elements should be paired and the problem broken into matching the segments of the patterns to either side of these matching elements. However, the search for matching elements is confined by the first assumption of matching above: that each element of the shorter pattern should be generalized with at
Pattern to be Generalized
1) word: bank
   syntactic: nn
2) word: vault
   syntactic: nn

Resulting Generalizations
1) list: length 2
   word: \{bank, vault\}
   syntactic: nn
1) list: length 2
   word: ...
   syntactic: nn

Figure 12: Generalization of two pattern items matched with no pattern elements from the other pattern.

least one element of the longer pattern. Thus, if the shorter pattern, A, has three elements and the longer, B, has five, the first element of A is compared to elements 1 to 3 of B, element 2 of A to elements 2-4 of B, and element 3 of A to elements 3-5 of B. If any matches are found, they can greatly limit the number of generalizations that need to be computed.

Any exact matches that are found break up the patterns into segments which still must be generalized. Each pair of segments can be treated as a pair of patterns that need to be generalized, so if any corresponding pattern segments are of equal length, they are handled just like a pair of patterns of the same length as described above. Otherwise, we have patterns of uneven length that must be generalized.

There are three special cases of different length patterns. First, the shorter pattern may have 0 elements. In this case, the pattern elements in the longer pattern are generalized into a set of pattern lists, one pattern list for each alternative generalization of the constraints of the pattern elements. Each of the resulting pattern lists must be able to match as many document tokens as the elements in the longer pattern, so the length of the pattern lists is the sum of the lengths of the elements of the longer pattern, with pattern items naturally having a length of one. Figure 12 demonstrates this case.

The second special case is when the shorter pattern has a single element. This is similar to the previous case, with each generalization again being a single pattern list, with constraints generalized from the pattern elements of both patterns. In this case the length of the pattern lists is the greater of the length of the pattern element from the shorter pattern or the sum of the lengths of the elements of the longer pattern. The length of the shorter pattern must be considered in case it is a list of length greater than the length of the longer pattern. A example of this case appears in Figure 13.

The third special case is when the two patterns are long or very different in length. In this case, the number of generalizations becomes very large, so RAPIER simply creates a single pattern list with no constraints and a length equal to the longer of the two patterns (measuring sums of lengths of elements). This case happens primarily with slot fillers of very disparate length, where there is unlikely to be a useful generalization, and any useful rule is likely to make use of the context rather than the structure of the actual slot filler.
Patterns to be Generalized

<table>
<thead>
<tr>
<th>Pattern A</th>
<th>Pattern B</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) word: bank</td>
<td>1) list: length 3</td>
</tr>
<tr>
<td>syntactic: nn</td>
<td>word:</td>
</tr>
<tr>
<td>2) word: vault</td>
<td>syntactic: nnp</td>
</tr>
<tr>
<td>syntactic: nn</td>
<td>syntactic:</td>
</tr>
</tbody>
</table>

Resulting Generalizations

<table>
<thead>
<tr>
<th>1) list: length 3</th>
<th>1) list: length 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>word:</td>
<td>word:</td>
</tr>
<tr>
<td>syntactic: {nn,nnp}</td>
<td>syntactic:</td>
</tr>
</tbody>
</table>

Figure 13: Generalization of two pattern items matched with one pattern element from the other pattern. Because Pattern B is a pattern list of length 3, the resulting generalizations must also have a length of 3.

When none of the special cases holds, RAPIER must create the full set of generalizations as described above. RAPIER creates the set of generalizations of the patterns by first creating the generalizations of each of the elements of the shorter pattern against each possible set of elements from the longer pattern using the assumptions mentioned above: each element from the shorter pattern must correspond to at least one element from the longer pattern and each element of the longer pattern corresponds to exactly one element of the shorter pattern for each grouping. Once all of the possible generalizations of elements are computed, the generalizations of the patterns are created by combining the possible generalizations of the elements in all possible combinations which include each element of each pattern exactly once in order.

In the case where exact matches were found, one step remains after the various resulting pattern segment pairs are generalized. The generalizations of the patterns are computed by creating all possible combinations of the generalizations of the pattern segment pairs.

3.2.8 Specialization Phase

The final piece of the learning algorithm is the specialization phase, indicated by calls to SpecializePreFiller and SpecializePostFiller in Figure 9. These functions take two parameters, the rule to be specialized and an integer \( n \) which indicates how many elements of the pre-filler or post-filler patterns of the original rule pair are to be used for this specialization. As \( n \) is incremented, the specialization uses more context, working outward from the slot-filler. In order to carry out the specialization phase, each rule maintains information about the two rules from which it was created, which are referred to as the base rules: pointers to the two base rules, how much of the pre-filler pattern from each base rule has been incorporated into the current rule, and how much of the post-filler pattern from each base rule has been incorporated into the current rule. The two specialization functions return a list of rules which have been specialized by adding to the rule generalizations of the appropriate portions of the pre-fillers or post-fillers of the base rules.
SpecializePreFiller\((\text{CarRule}_{\text{n}})\)

Let \(\text{BaseRule}_1\) and \(\text{BaseRule}_2\) be the two rules from which \(\text{CarRule}\) was created.
Let \(\text{PreFiller}_1\) be the pre-filler pattern of \(\text{CarRule}\).
Let \(\text{PreFiller}_2\) be the pre-filler pattern of \(\text{BaseRule}_1\).
Let \(\text{PreFiller}_3\) be the pre-filler pattern of \(\text{BaseRule}_2\).
Let \(\text{PatternLen}_1\) be the length of \(\text{PreFiller}_1\).
Let \(\text{PatternLen}_2\) be the length of \(\text{PreFiller}_2\).
Let \(\text{FirstUsed}_1\) be the first element of \(\text{PreFiller}_1\) that has been used in \(\text{CarRule}\).
Let \(\text{FirstUsed}_2\) be the first element of \(\text{PreFiller}_2\) that has been used in \(\text{CarRule}\).

\[\text{GenSet}_1 = \text{Generalizations of elements } (\text{PatternLen}_1 + 1 - n) \text{ to } \text{FirstUsed}_1 \text{ of } \text{PreFiller}_1\]
\[\text{GenSet}_2 = \text{Generalizations of elements } (\text{PatternLen}_2 + 1 - (n - 1)) \text{ to } \text{FirstUsed}_2 \text{ of } \text{PreFiller}_2\]
\[\text{GenSet}_3 = \text{Generalizations of elements } (\text{PatternLen}_1 + 1 - n) \text{ to } \text{FirstUsed}_1 \text{ of } \text{PreFiller}_3\]

\[\text{GenSet} = \text{GenSet}_1 \cup \text{GenSet}_2 \cup \text{GenSet}_3\]

\[\text{NewRuleSet} = \text{empty set}\]

For each \(\text{PatternSegment}\) in \(\text{GenSet}\)
- \(\text{NewPreFiller} = \text{PatternSegment} \text{ concatenate } \text{CarPreFiller}\)
- Create \(\text{NewRule}\) from \(\text{CarRule}\) with pre-filler \(\text{NewPreFiller}\)
- Add \(\text{NewRule}\) to \(\text{NewRuleSet}\)

Return \(\text{NewRuleSet}\).

Figure 14: RAPIER Algorithm for Specializing the Pre-Filler of a Rule

One issue arises in these functions. If the system simply considers adding one element from each pattern at each step away from the filler, it may miss some useful generalizations since the lengths of the two patterns being generalized would always be the same. For example, assume we have two rules for required years of experience created from the phrases “6 years experience required” and “4 years experience is required.” Once the fillers were generalized, the algorithm would need to specialize the resulting rule(s) to identify the number as years of experience and as required rather than desired. The first two iterations would create items for “years” and “experience,” and the third iteration would match up “is” and “required.” It would be helpful if a fourth iteration could match up the two occurrences of “required,” creating a list from “is.” In order to allow this to happen, the specialization functions do not only consider the result of adding one element from each pattern; they also consider the results of adding an element to the first pattern, but not the second, and adding an element to the second pattern but not the first.

Pseudocode for SpecializePreFiller appears in Figure 14. SpecializePostFiller is analogous. In order to allow pattern lists to be created where appropriate, the functions generalize three pairs of pattern segments. The patterns to be generalized are determined by first determining how much of the pre-filler (post-filler) of each of the original pair of rules the current rule already incorporates. Using the pre-filler case as an example, if the current
rule has an empty pre-filler, the three patterns to be generalized are: 1) the last \( n \) elements of the pre-filler of BaseRule1 and the last \( n - 1 \) elements of the pre-filler of BaseRule2, 2) the last \( n - 1 \) elements of the pre-filler of BaseRule1 and the last \( n \) elements of the pre-filler of BaseRule2, and 3) the last \( n \) elements of the pre-filler of each of the base rules. If the current rule has already been specialized with a portion of the pre-filler, then whatever elements it already incorporates will not be used, but the pattern of the pre-filler to be used will start at the same place, so that \( n \) is not the number of elements to be generalized, but rather specifies the portion of the pre-filler which can be considered at that iteration.

The post-filler case is analogous to the pre-filler case except that the portion of the pattern to considered is that at the beginning, since the algorithm works outward from the filler.

3.2.9 Complete Sample Induction Trace

As an example of the entire process of creating a new rule, consider generalizing the rules based on the phrases “located in Atlanta, Georgia.” and “offices in Kansas City, Missouri.” These phrases are sufficient to demonstrate the process, though rules in practice would be much longer. The initial, specific rules created from these phrases for the city slot for a job template would be:

<table>
<thead>
<tr>
<th>Pre-filler Pattern:</th>
<th>Filler Pattern:</th>
<th>Post-filler Pattern:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) word: located</td>
<td>1) word: atlanta</td>
<td>1) word:</td>
</tr>
<tr>
<td>tag: vbn</td>
<td>tag: nnp</td>
<td>tag:</td>
</tr>
<tr>
<td>2) word: in</td>
<td></td>
<td>2) word: georgia</td>
</tr>
<tr>
<td>tag: in</td>
<td></td>
<td>tag: nnp</td>
</tr>
<tr>
<td></td>
<td></td>
<td>3) word: .</td>
</tr>
<tr>
<td></td>
<td></td>
<td>tag: .</td>
</tr>
</tbody>
</table>

and

<table>
<thead>
<tr>
<th>Pre-filler Pattern:</th>
<th>Filler Pattern:</th>
<th>Post-filler Pattern:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) word: offices</td>
<td>1) word: kansas</td>
<td>1) word:</td>
</tr>
<tr>
<td>tag: nns</td>
<td>tag: nnp</td>
<td>tag:</td>
</tr>
<tr>
<td>2) word: in</td>
<td>2) word: city</td>
<td>2) word: missouri</td>
</tr>
<tr>
<td>tag: in</td>
<td>tag: nnp</td>
<td>tag: nnp</td>
</tr>
<tr>
<td></td>
<td></td>
<td>3) word: .</td>
</tr>
<tr>
<td></td>
<td></td>
<td>tag: .</td>
</tr>
</tbody>
</table>

For the purposes of this example, we assume that there is a semantic class for states, but not one for cities. For simplicity, we assume the beam-width is 2. The fillers are generalized to produce two possible rules with empty pre-filler and post-filler patterns. Because one filler has two items and the other only one, they generalize to a list of no more than two words. The word constraints generalize to either a disjunction of all the words or no constraint. The tag constraints on all of the items are the same, so the generalized rule’s tag constraints are also the same. Since the three words do not belong to a single semantic class in the lexicon, the semantics remain unconstrained. The fillers produced are:

<table>
<thead>
<tr>
<th>Pre-filler Pattern:</th>
<th>Filler Pattern:</th>
<th>Post-filler Pattern:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1) list: max length: 2</td>
<td>1) list:</td>
</tr>
<tr>
<td></td>
<td>word: {atlanta, kansas, city}</td>
<td>word:</td>
</tr>
<tr>
<td></td>
<td>tag: nnp</td>
<td>tag: nnp</td>
</tr>
</tbody>
</table>

and

<table>
<thead>
<tr>
<th>Pre-filler Pattern:</th>
<th>Filler Pattern:</th>
<th>Post-filler Pattern:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>1) list:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>word:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>tag: nnp</td>
</tr>
</tbody>
</table>
1) list: max length: 2
    tag: nnp

Either of these rules is likely to cover spurious examples, so we add pre-filler and post-filler
generalizations. At the first iteration of specialization, the algorithm considers the first
pattern item to either side of the filler. This results in:

Pre-filler Pattern: | Filler Pattern: | Post-filler Pattern:
-------------------|-----------------|-------------------
1) word: in        | 1) list: max length: 2 | 1) word: ,
tag: in          | tag: nnp        | tag: ,

and

Pre-filler Pattern: | Filler Pattern: | Post-filler Pattern:
-------------------|-----------------|-------------------
1) word: in        | 1) list: max length: 2 | 1) word: ,
tag: in          | tag: nnp        | tag: ,

The items produced from the “in”s and the commas are identical and, therefore, unchanged.
Alternative, but less useful rules, will also be produced with lists in place of the items in
the pre-filler and post-filler patterns because of specializations produced by generalizing
the element from each pattern with no elements from the other pattern. Continuing the
specialization with the two alternatives above only, the algorithm moves on to look at
the second to last elements in the pre-filler pattern. This generalization of these elements
produce six possible specializations for each of the rules in the current beam:

list: length 1         list: length 1         word: {located, offices}
word: located          word: offices          tag: {vbn, nns}
tag: vbn

word:                  word:                  word: {located, offices}
tag: {vbn, nns}          tag:          tag: 

None of these specializations is likely to improve the rule, and specialization proceeds to the
second elements of the post-fillers. Again, the two pattern lists will be created, one for the
pattern item from each pattern. Then the two pattern items will be generalized. Since we
assume that the lexicon contains a semantic class for states, generalizing the state names
produces a semantic constraint of that class along with a tag constraint nnp and either no
word constraint or the disjunction of the two states. Thus, a final best rule would be:

Pre-filler Pattern: | Filler Pattern: | Post-filler Pattern:
-------------------|-----------------|-------------------
1) word: in        | 1) list: max length: 2 | 1) word: ,
tag: in          | tag: nnp        | tag: ,

2) tag: nnp
    semantic: state

4. Experimental Evaluation

We present here results from two data sets: a set of 300 computer-related job postings from
austin.jobs and a set of 485 seminar announcements from CMU.\footnote{The seminar dataset was annotated by Dayne Freitag, who graciously provided the data.} In order to analyze
the effect of different types of knowledge sources on the results, three different versions of
RAPIER were tested. The full representation used words, POS tags as assigned by Brill's
tagger (Brill, 1994), and semantic classes taken from WordNet. The other two versions are
ablutions, one using words and tags (labeled RAPIER-WT in tables), the other words only
(labeled RAPIER-W).

We also present results from three other learning information extraction systems. One
is a Naive Bayes system which uses words in a fixed-length window to locate slot fillers
(Freitag, 1998b). Very recently, two other systems have been developed with goals very
similar to RAPIER's. These are both relational learning systems which do not depend
on syntactic analysis. Their representations and algorithms; however, differ significantly
from each other and from RAPIER. SRV (Freitag, 2000) employs a top-down, set-covering
rule learner similar to FOIL (Quinlan, 1990). It uses four pre-determined predicates which
allow it to express information about the length of a fragment, the position of a particular
token, the relative positions of two tokens, and various user-defined token features (e.g.
capitalization, digits, word length). The second system is WHISK (Soderland, 1999) which
like RAPIER uses pattern-matching, employing a restricted form of regular expressions. It
can also make use of semantic classes and the results of syntactic analysis, but does not
require them. The learning algorithm is a covering algorithm, and rule creation begins by
selection of a single seed example and creates rules top-down, restricting the choice of terms
to be added to a rule to those appearing in the seed example (similar to PROGOL).

4.1 Computer-Related Jobs

The first task is extracting information from computer-related job postings that could be
used to create a database of available jobs. The computer job template contains 17 slots,
including information about the employer, the location, the salary, and job requirements.
Several of the slots, such as the languages and platforms used, can take multiple values. We
performed ten-fold cross-validation on 300 examples, and also trained on smaller subsets of
the training examples for each test set in order to produce learning curves. We present two
measures: precision, the percentage of slot fillers produced which are correct, and recall,
the percentage of slot fillers in the correct templates which are produced by the system.
Statistical significance was evaluated using a two-tailed paired t-test.

Figure 15 shows the learning curve for precision and Figure 16 shows the learning curve
for recall. Clearly, the Naive Bayes system does not perform well on this task, although it has
been shown to be fairly competitive in other domains, as will be seen below. It performs well
on some slots but quite poorly on many others, especially those which usually have multiple
fillers. In order to compare at reasonably similar levels of recall (although Naive Bayes' recall
is still considerably less than Rapier's), Naive Bayes' threshold was set low, accounting for
the low precision. Of course, setting the threshold to obtain high precision results in even
lower recall. These results clearly indicate the advantage of relational learning since a
simpler fixed-context representation such as that used by Naive Bayes appears insufficient
to produce a useful system.

By contrast, RAPIER's precision is quite high, over 89% for words only and for words
with POS tags. This fact is not surprising, since the bias of the bottom-up algorithm is for
specific rules. High precision is important for such tasks, where having correct information
in the database is generally more important than extracting a greater amount of less-reliable
information. Also, the learning curve is quite steep. The RAPIER algorithm is apparently quite effective at making maximal use of a small number of examples. The precision curve flattens out quite a bit as the number of examples increases; however, recall is still rising, though slowly, at 270 examples. The use of active learning to intelligently select training
examples can improve the rate of learning even further (Califf, 1998). Overall, the results are very encouraging.

In looking at the performance of the three versions of RAPIER, an obvious conclusion is that word constraints provide most of the power. Although POS and semantics can provide useful classes that capture important generalities, with sufficient examples, these relevant classes can be implicitly learned from the words alone. The addition of POS tags does improve performance at lower number of examples. The recall of the version with tag constraints is significantly better at least at the 0.05 level for each point on the training curve up to 120 examples. Apparently, by 270 examples, the word constraints are capable of representing the concepts provided by the POS tags, and any differences are not statistically significant. WordNet's semantic classes provided no significant performance increase over words and POS tags only.

One other learning system, WHISK (Soderland, 1999), has been applied to this data set. In a 10-fold cross-validation over 100 documents randomly selected from the data set, WHISK achieved a precision of 85% and recall of 55%. This is slightly worse than RAPIER's performance at 90 examples with part-of-speech tags with precision of 86% and recall of 60%. In making this comparison, it is important to note that the test sets are different and that Whisk system's performance was actually counted a bit differently, since duplicates were not eliminated.

4.2 Seminar Announcements

For the seminar announcements domain, we ran experiments with the three versions of RAPIER, and we report those results along with previous results on this data using the same 10 data splits with the Naive Bayes system and SRV (Freitag, 2000). The dataset consists of 485 documents, and this was randomly split approximately in half for each of the 10 runs. Thus training and testing sets were approximately 240 examples each. The results for the other systems are reported by individual slots only. We also report results for WHISK. These results are from a 10-fold cross-validation using only 100 documents randomly selected from the training set. Soderland presents results with and without post-pruning of the rule set. Table 1 shows results for the six systems on the four slots for the seminar announcement task. The line labeled WHISK gives the results for unpruned rules; that labeled WH-PR gives the results for post-pruned rules.
All of the systems perform very well on the start time and end time slots, although RAPIER with semantic classes performs significantly worse on start time than the other systems. These two slots are very predictable, both in contents and in context, so the high performance is not surprising. Start time is always present, while end time is not, and this difference in distribution is the reason for the difference in performance by Naive Bayes on the two slots. The difference also seems to impact SRV’s performance, but RAPIER performs comparably on the two, resulting in better performance on the end time slot than the two CMU systems. WHISK also performs very well on the start time task with post-pruning, but also performs less well on the end time task.

Location is a somewhat more difficult field and one for which POS tags seem to help quite a bit. This is not surprising, since locations typically consist of a sequence of cardinal numbers and proper nouns, and the POS tags can recognize both of those consistently. SRV has higher recall that RAPIER, but substantially lower precision. It is clear that all of the relational systems are better than Naive Bayes on this slot, despite the fact that building names recur often in the data and thus the words are very informative.

The most difficult slot in this extraction task is the speaker. This is a slot on which Naive Bayes, WHISK, and RAPIER with words only perform quite poorly, because speaker names seldom recur through the dataset and all of these systems are using word occurrence information and have no reference to the kind of orthographic features which SRV uses or to POS tags, which can provide the information that the speaker names are proper nouns. RAPIER with POS tags performs quite well on this task, with worse recall than SRV, but better precision.

In general, in this domain semantic classes had very little impact on RAPIER’s performance. Semantic constraints are used in the rules, but apparently without any positive or negative effect on the utility of the rules, except on the start time slot, where the use of semantic classes may have discouraged the system from learning the precise contextual rules that are most appropriate for that slot. POS tags help on the location and speaker slots, where the ability to identify proper nouns and numbers is important.

4.3 Discussion

The results above show that relational methods can learn useful rules for information extraction, and that they are more effective than a propositional system such as Naive Bayes. Differences between the various relational systems are probably due to two factors. First, the three systems have quite different learning algorithms, whose biases may be more or less appropriate for particular extraction tasks. Second, the three systems use different representations and features. All use word occurrence and are capable of representing constraints on unbounded ordered sequences. However, RAPIER and SRV are capable of explicitly constraining the lengths of fillers (and, in RAPIER’s case, sequences in the pre and post fillers), and WHISK cannot. RAPIER makes use of POS tags, and the others do not (but could presumably be modified to do so). SRV uses orthographic features, and none of the other systems have access to this information (though in some cases POS tags provide similar information: capitalized words are usually tagged as proper nouns; numbers are tagged as cardinal numbers). One issue that should be addressed in future work is to examine the
effect of various features, seeing how much of the differences in performance depend upon the features rather than basic representational and algorithmic biases.

4.4 Sample Learned Rules

One final interesting thing to consider about RAPIER is the types of rules it creates. One common type of rule learned for certain kinds of slots is the rule that simply memorizes a set of possible slot-fillers. For example, RAPIER learns that “mac,” “mvs,” “aix,” and “vms” are platforms in the computer-related jobs domain, since each word only appears in documents where it is to be extracted as a platform slot-filler. One interesting rule along these lines is one which extracts “C++” or “Visual C++” into the language slot. The pre-filler and post-filler patterns are empty, and the filler pattern consists of a pattern list of length 1 with the word constraint “visual” and then pattern items for “c”, “+” and “+”.

In the seminar announcements domain, one rule for the location slot extracts “doherty,” “wean” or “welh” (all name of buildings at CMU) followed by a cardinal number. More often, rules which memorize slot-fillers also include some context to ensure that the filler should extracted in this particular case. For example, a rule for the area slot in the jobs domain extracts “gui” or “rpc” if followed by “software.”

Other rules rely more on context than on filler patterns. Some of these are for very formal patterns, such as that for the message id of a job posting:

<table>
<thead>
<tr>
<th>Pre-filler Pattern:</th>
<th>Filler Pattern:</th>
<th>Post-filler Pattern:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) word: message 1) list: length 5 1) word: &gt;</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2) word: - 3) word: id 4) word: : 5) word: &lt;</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Probably the majority of rules have some mix of context and the contents of the filler. An example is the following rule for a title in the computer-related jobs domain:

<table>
<thead>
<tr>
<th>Pre-filler Pattern:</th>
<th>Filler Pattern:</th>
<th>Post-filler Pattern:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) word: {, seeking} 1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2) {consultant, dba}</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

In the seminar announcements domain, the following rule for the start time relies on a combinations of the structure of the filler and its context:

<table>
<thead>
<tr>
<th>Pre-filler Pattern:</th>
<th>Filler Pattern:</th>
<th>Post-filler Pattern:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) word: {, for} 1) syntactic: cd</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2) 2) word: : syntactic: : 3) syntactic: cd 4) syntactic: nn</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

5. Future Work

There are number of directions in which this work could be extended. Here we list a few of those that we consider immediately promising or helpful.
As mentioned above, our understanding of the various relational learning systems for information extraction would benefit from a systematic study of these systems that used the same features for each system and thus distinguished the contributions of available features vs. algorithms. At present, there is very little evidence to indicate which of the systems is best or most promising as a step toward future improvements. Adding additional constraint types to RAPIER’s representation (such as the orthographical features employed by SRV) is a straightforward task.

Another useful extension to RAPIER would be the ability to learn rules which extract fillers for multiple slots. There are two advantages to learning such rules. First, if two slots often appear in a particular relationship to one another is a document, then learning a single rule for both slots may help to focus the search for a good rule. This could be helpful for learning start time and end time in the seminar announcements domain or for a position and a company in a management changes domain. There are also certain situations where there are multiple fillers for slots, but the fillers are in some way connected. For instance, in a rental ads domain on which WHISK has been tested (Soderland, 1999), it is common to have different sized apartments at different prices listed in the same ad. To simply extract the numbers of bedrooms and the prices without connecting them is not helpful. Learning rules that extract both the number of bedrooms and the related price can help to solve this problem.

Modifying RAPIER to handle multiple slot extraction rules should be fairly straightforward. A rule would simply have additional patterns: one for each slot-filler to be extracted, patterns between the slot-fillers, and the context patterns before the first slot-filler and after the last slot-filler. The primary issue that might be problematic would be the generalization of patterns in between two slot fillers. These would probably contain useful information, but might be long and of different sizes, causing generalizing a pair of them to be prohibitively expensive. It might be necessary to take a more top-down approach to learning the connecting patterns: starting with an unconstrained pattern list and breaking it up into pattern items or smaller lists and adding constraints (taken from those in the original rule pair to limit search) as long as such constraints improved rule quality.

Another desirable modification to RAPIER would be to enable the algorithm to take advantage of other kinds of pre-processing which somehow tag more than one token of text, such as parsing or named-entity recognition. This is less-straightforward than handling additional constraint types on a single token and would clearly complicate the generalization and specialization phases of RAPIER’s algorithm. However, named-entity recognition in particular could prove very useful in identifying filler patterns.

A final direction of research would be considering applying RAPIER’s representation and algorithm to other natural language processing tasks. It seems to us quite likely that this language-specific representation would fit well with other types of tasks and possibly allow success with less engineering of features than many of the learning systems currently used must employ.

6. Related Work

Some of the work closest to RAPIER was discussed in the previous section. In this section, we briefly mention some other related systems. Previous researchers have generally applied
machine learning only to parts of the information extraction task and have required more human interaction than providing texts with filled templates. CRYSTAL uses a form of clustering to create a dictionary of extraction patterns by generalizing patterns identified in the text by an expert (Soderland et al., 1995). AUTOLOG creates a dictionary of extraction patterns by specializing a set of general syntactic patterns (Riloff, 1993), and assumes that an expert will later filter the patterns it produces. PALKA learns extraction patterns relying on a concept hierarchy to guide generalization and specialization (Kim and Moldovan, 1995). These systems all rely on prior detailed sentence analysis to identify syntactic elements and their relationships, and their output requires further processing to produce the final filled templates. LIEP also learns information extraction patterns (Huffman, 1996), but also requires a sentence analyzer to identify noun groups, verbs, subjects, etc. and assumes that all relevant information is between two entities it identifies as “interesting.” Finally, ROBOTAG uses decision trees to learn the locations of slot-fillers in a document (Bennett et al., 1997). The features available to the decision trees are the result of pre-processing the text and are based on a fixed context. ROBOTAG learns trees to identify possible start and end tokens for slot-fillers and then uses a matching algorithm to pair up start and end tokens to identify actual slot-fillers.

Also requiring mention is work on learning information extraction and text categorization rules using ILP (Junker et al., 2000). Unlike RAPIER, WHEK, and SRV, which use text-specific representations and algorithms informed by ILP methods, they use a logic representation with an algorithm focused on text. Comparisons to this work is not yet possible, since they present no results.

Other effective approaches to learning information extraction rules have been studied recently. These approaches have been shown to work well on some portions of the tasks used in this paper. However, none of them has been tested on the complete set of tasks. Particularly, most have not been tested on the tasks that require the ability to produce multiple fillers for a given slot.

One approach has been learning hidden Markov models (Freitag and McCallum, 2000, McCallum et al., 2000, Lafferty et al., 2001). The HMM learning methods seem to work well, but they have been tested on only a few of the slots in the job and seminar datasets: tasks that are generally generally the more difficult for SRV and RAPIER. This work has been limited to locating a single string to extract and has not been applied to slots with multiple correct fillers.

Another approach has been to use a simpler learner used to develop “wrappers” for accomplishing information extraction from very structured texts such as web pages and applying boosting (Freitag and Kushmerick, 2000). The BWI system has been tested on a few slots of the seminar announcement and job posting tasks, but has not been applied to the full job postings task. It also has not been applied to extraction of multiple fillers per slot. The results using BWI are therefore inconclusive, but also positive.

Roth and Yih (2001) have presented a system—SNOW-IE—which they use to learn the relational representation desirable for the information extraction task using propositional learning mechanisms. Their system performs very well on the seminar task; however, it has not been tested on the job task, and is, in fact, geared specifically toward extraction of at most one filler per slot.
Another very successful recent approach to the information extraction task has been that of Ciravegna (2001). His symbolic rule learner learns rules that insert tags into the text independently, proceeding in four phases: an initial tag insertion phase; a contextual rules phase, in which rules are dependent on the presence of other tags; a correction phase, in which rules are applied to adjust the placement of tags; and a validation phase, in which tags without matches are removed (beginning tags without ending tags or vice versa). This system benefits from use of morphological and dictionary linguistic evidence. It outperforms existing work overall, although RAPIER does outperform it on several slots of the job task, indicating that there is room for improvement in both systems.

7. Conclusion

The ability to extract desired pieces of information from natural language texts is an important task with a growing number of potential applications. Tasks requiring locating specific data in newsgroup messages or web pages are particularly promising applications. Manually constructing such information extraction systems is a laborious task; however, learning methods have the potential to help automate the development process. The RAPIER system described here uses relational learning to construct unbounded pattern-match rules for information extraction given only a database of texts and filled templates. The learned patterns employ limited syntactic and semantic information to identify potential slot fillers and their surrounding context. Results from realistic applications demonstrate that fairly accurate rules can be learned from relatively small sets of examples, and that its results are superior to a probabilistic method applied to a fixed-length context.

Acknowledgments

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