

## Classes, Objects, Subtyping

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## Objects

- **What objects are:**
  - Aggregate structures that combine data (fields) with computation (methods)
  - Fields have public/private qualifiers (can model ADTs)
- **Need special support in many compilation stages:**
  - Type checking
  - Static analysis and optimizations
  - Implementation, run-time support
- **Features:**
  - inheritance, subclassing, polymorphism, subtyping, overriding, overloading, dynamic dispatch, abstract classes, interfaces, etc.

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## Inheritance

- Inheritance = mechanism that exposes common features of different objects
- Class B extends class A = "B has the features of A, plus some additional ones", i.e., B inherits the features of A
  - B is **subclass** of A; and A is **superclass** of B

```
class Point {  
    float x, y;  
    float getx() { ... };  
    float gety() { ... };  
}  
  
class ColoredPoint extends Point {  
    int color;  
    int getcolor() { ... };  
}
```

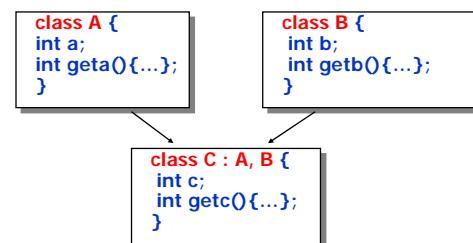
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## Single vs. Multiple Inheritance

- Single inheritance: inherit from at most one other object (Java)
- Multiple inheritance: may inherit from multiple objects (C++)



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## Inheritance and Scopes

- How do objects access fields and methods of:
  - Their own?
  - Their superclasses?
  - Other unrelated objects?
- Each class declaration introduces a scope
  - Contains declared fields and methods
  - Scopes of methods are sub-scopes
- Inheritance implies a hierarchy of class scopes
  - If B extends A, then scope of A is a parent scope for B

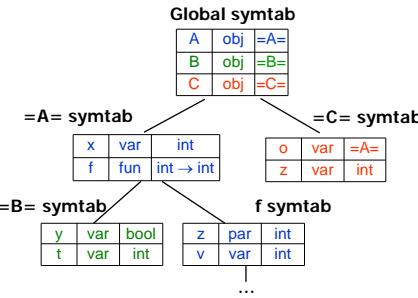
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## Example

```
class A {
    int x;
    int f(int z) {
        int v; ...
    }
}
class B extends A {
    bool y;
    int t;
}
class C {
    A o;
    int z;
}
```



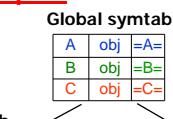
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## Example

```
class A {
    int x;
    int f(int z) {
        int v; ...
    }
}
class B extends A {
    bool y;
    int t;
}
class C {
    A o;
    int z;
}
```



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## Class Scopes

- Resolve an identifier occurrence in a method:
  - Look for symbols starting with the symbol table of the current block in that method
- Resolve qualified accesses:
  - Accesses o.f, where o is an object of class A
  - Walk the symbol table hierarchy starting with the symbol table of class A and look for identifier f
  - Special keyword `this` refers to the current object, start with the symbol table of the enclosing class

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## Class Scopes

- **Multiple inheritance:**
    - A class scope has multiple parent scopes
    - Which should we search first?
    - Problem: may find symbol in both parent scopes
  - **Overriding fields:**
    - Fields defined in a class and in a subclass
    - Inner declaration shadows outer declaration
    - Symbol present in multiple scopes

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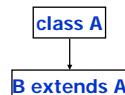
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## Inheritance and Typing

- Classes have types
    - Type is Cartesian product of field and method types
    - Type name is the class name
  - What is the relation between types of parent and inherited objects?
  - Subtyping: if class B extends A then
    - Type B is a **subtype** of A
    - Type A is a **supertype** B
  - Notation:  $B \subset A$

```
graph TD; A["class A"] -- "Extends" --> B["class B"]
```



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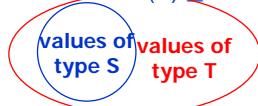
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## Subtype $\approx$ Subset

**"A value of type S may be used wherever  
a value of type T is expected"**

$$S < T \rightarrow \underline{\text{values}(S)} \subseteq \text{values}(T)$$



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## Subtype Properties

- If type S is a subtype of type T ( $S <: T$ ), then:  
a value of type S may be used wherever a value of type T is expected (e.g., assignment to a variable, passed as argument, returned from method)  

```
graph TD; ColoredPoint -- "subtype" --> Point; Point -- "supertype" --> ColoredPoint;
```

ColoredPoint     $<:$     Point

Point x;  
ColoredPoint y;  
x = y;
  - Polymorphism: a value is usable as several types
  - Subtype polymorphism: code using T's can also use S's; S objects can be used as S's or T's.

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## Assignment Statements (Revisited)

$$\frac{A, \text{id}:T \vdash E : T}{A, \text{id}:T \vdash \text{id} = E : T} \text{ (original)}$$

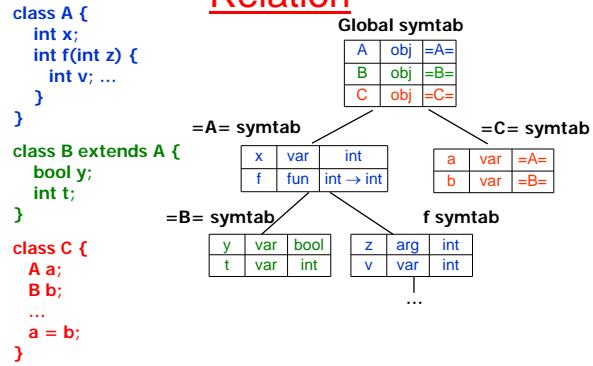
A, id:T | – E : S where S<:T (with subtyping)  
A, id:T | – id = E : T

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## How To Test the SubType Relation



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## Implications of Subtyping

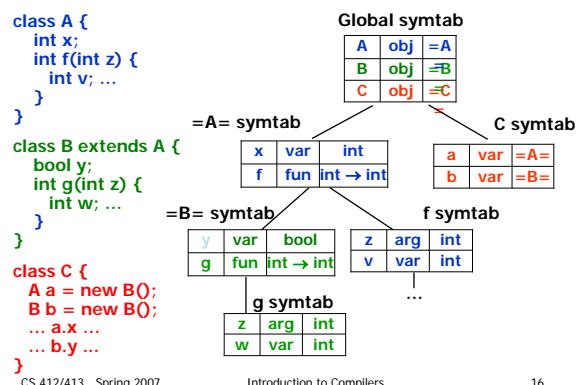
- Type of object may be different from the declared type of reference
  - Can be the declared class or any subclass
  - Precise types of objects known only at run-time
- Problem: overridden fields / methods
  - Declared in multiple classes in hierarchy. Don't know statically which declaration to use at compile time
  - Java solution:
    - statically resolve fields using declared type of reference; no field overriding
    - dynamically resolve methods using the object's type (dynamic dispatch); in support of static type checking, a method m overrides m' only if the signatures are "nearly" identical --- the same number and types of parameters, and the return type of m a subtype of the return type of m'

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## Example



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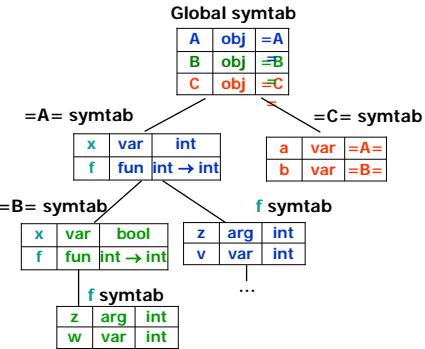
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## Example

```
class A {
    int x;
    int f(int z) {
        int v; ...
    }
}

class B extends A {
    bool x;
    int f(int z) {
        int w; ...
    }
}

class C {
    A a = new B();
    B b = new B();
    ... a.x ...
    ... b.x ...
}
```



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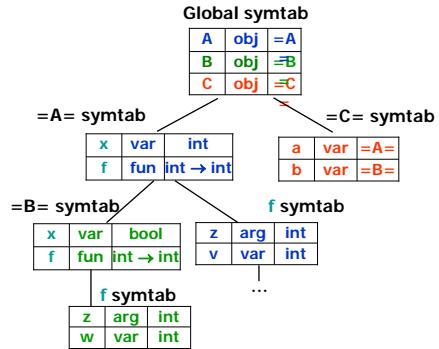
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## Example

```
class A {
    int x;
    int f(int z) {
        int v; ...
    }
}

class B extends A {
    bool x;
    int f(int z) {
        int w; ...
    }
}

class C {
    A a = new B();
    B b = new B();
    ... a.f(1) ...
    ... b.f(1) ...
}
```



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## Objects and Typing

- Objects have types
  - ... but also have implementation code for methods
- ADT perspective:
  - Specification = typing
  - Implementation = method code, private fields
  - Objects mix specification with implementation
- Can we separate types from implementation?

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## Interfaces

- Interfaces are pure types; they don't give any implementation

### implementation

```
class MyList implements List {
    private int len;
    private Cell head, tail;

    public int length() {...};
    public List append(int d) {...};
    public int first() {...};
    public List rest() {...};
}
```

### specification

```
interface List {
    int length();
    List append(int d);
    int first();
    List rest();
}
```

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## Multiple Implementations

- Interfaces allow multiple implementations

```
interface List {  
    int length();  
    List append(int);  
    int first();  
    List rest(); }  
  
class SimpleList implements List {  
    private int data;  
    private SimpleList next;  
    public int length()  
    { return 1+next.length(); } ...  
  
class LenList implements List {  
    private int len;  
    private Cell head, tail;  
    private LenList() {...}  
    public List append(int d) {...}  
    public int length() { return len; }  
    ... }
```

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## Implementations of Multiple Interfaces

```
interface A {  
    int foo();  
}  
interface B {  
    int bar();  
}  
class AB implements A, B {  
    int foo(){...}  
    int bar(){...}  
}
```

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## Subtyping vs. Subclassing

- Can use inheritance for interfaces
  - Build a hierarchy of interfaces

**B <: A**

interface A {...}

interface B extends A {...}

- Objects can implement interfaces

**C <: A**

class C implements A {...}

- Subtyping: interface inheritance
- Subclassing: object (class) inheritance

– Subclassing implies subtyping

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## Abstract Classes

- Classes define types and some values (methods)
- Interfaces are pure object types
- Abstract classes are halfway:
  - define some methods
  - leave others unimplemented
  - no objects (instances) of abstract class

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## Subtypes in Java

interface  $I_1$   
extends  $I_2 \{ \dots \}$



$I_1 <: I_2$

class C  
implements  $I \{ \dots \}$



$C <: I$

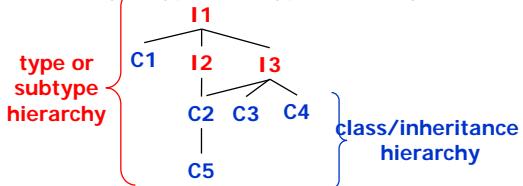
class  $C_1$   
extends  $C_2$



$C_1 <: C_2$

## Subtype Hierarchy

- Introduction of subtype relation creates a hierarchy of types: subtype hierarchy



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## Type-checking

- Problem: what are the valid types for an object?
- Subsumption rule connects subtyping relation and ordinary typing judgements

$$\frac{A \vdash E : S \quad S <: T \rightarrow \text{values}(S) \subseteq \text{values}(T)}{A \vdash E : T}$$

- If expression E has type S, it also has type T for every T such that  $S <: T$

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## Type-checking

- Rules for checking code must allow a subtype where a supertype was expected
- Old rule for assignment:

$$\frac{\text{id} : T \in A \quad A \vdash E : T}{A \vdash \text{id} = E : T}$$

What needs to change here?

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## Type-checking Overview

- Rules for checking code must allow a subtype where a supertype was expected
- New rule for assignment:

$$A \vdash E : T_p \quad T_p \leq T \quad id : T \in A \\ id : T \in A = \frac{A \vdash E : S \quad S \leq T}{A \vdash E : T} + \frac{A \vdash E : T}{A \vdash id = E : T}$$

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## Type-checking Code

```
class Assignment extends ASTNode {
    Variable var; ExprNode E;
    Type typeCheck() {
        Type Tp = E.typeCheck();
        Type T = var.getType();
        if (Tp.subtypeOf(T)) return T;
        else throw new TypecheckError(E);
    }
}
```

$$A \vdash E : T_p \quad T_p \leq T \quad id : T \in A \\ A \vdash id = E : T$$

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## Issues

- When are two object/record types identical?
  - Do `struct foo { int x,y; }` and `struct bar { int x,y; }` have the same type?
- We know inheritance (i.e. adding methods and fields) induces subtyping relation
- Issues in the presence of subtyping:
  - Types of records with object fields**  
`class C1 { Point p; } class C2 { ColoredPoint p; }`
  - Is it safe to allow fields to be written?**
  - Types of functions (methods)**  
`Point foo(Point p) ColoredPoint bar(ColoredPoint p)`

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## Type Equivalence

- Types derived with constructors have names
- When are record types equivalent?
- When they have the same fields (i.e. same `structure`)?  
`struct point { int x,y; } = struct edge { int n1, n2; }`?
- ... or only when they have the same `names`?
  - Types with the same structure are different if they have different names

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## Type Equivalence

- **Name equivalence:** types are equal if they are defined by the same type constructor expression and bound to the same name
  - C/C++ example:  
`struct foo { int x; };`      `struct bar { int x; };`
- **Structural equivalence:** two types are equal if their constructor expressions are equivalent
  - C/C++ example:  
`typedef struct foo t1[ ];`  
`typedef struct foo t2[ ];`

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## Named vs. Structural Subtyping

- **Name equivalence of types** (e.g. Java): direct subtypes explicitly declared; subtype relationships inferred by transitivity
- **Structural equivalence of types** (e.g., Modula-3): subtypes inferred based on structure of types; extends declaration is optional
- Java: still need to check explicit interface declarations similarly to structural subtyping

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## The Subtype Relation

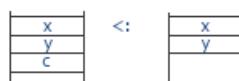
For records:

$$S \leq : T \\ \{ \text{int } x; \text{int } y; \text{int color;} \} \leq : \{ \text{int } x; \text{int } y; \} ?$$

- Heap-allocated:



- Stack allocated:



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## Width Subtyping for Records

- Example:

$$\{ \text{int } x; \text{int } y; \text{int color;} \} \leq : \{ \text{int } x; \text{int } y; \}$$

- General rule:

$$\frac{n \leq m}{A \vdash \{ a_1: T_1, \dots, a_m: T_m \} \leq : \{ a_1: T_1, \dots, a_n: T_n \}}$$

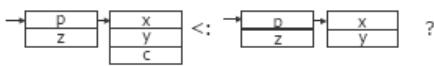
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## Object Fields

- Assume fields can be objects
- Subtype relations for individual fields
- How does it translate to subtyping for the whole record?
- If `ColoredPoint <: Point`, allow  
`{ ColoredPoint p; int z; } <: { Point p; int z; } ?`



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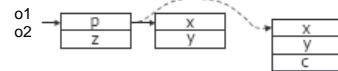
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## Field Invariance

- Try `{ p: ColoredPoint; int z; } <: { p: Point; int z; }`
- ```
class C1 { Point p; int z; }
class C2 { ColoredPoint p; int z; }
C2 o2 = new C2();
C1 o1 = o2;
o1.p = new Point( );
o2.p.c = 10;
```
- Point  
↓  
ColoredPoint

- Mutable (assignable) fields must be type invariant!



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## Immutable Record Subtyping

- Rule: corresponding immutable fields may be subtypes; exact match not required

$$\frac{A \vdash T_i <: T'_i \ (0 \leq i \leq n)}{A \vdash \{a_1; T_1 \dots a_n; T_n\} <: \{a_1; T'_1 \dots a_n; T'_n\}}$$

$$n \leq m$$

$$A \vdash \{a_1; T_1 \dots a_m; T_m\} <: \{a_1; T_1 \dots a_n; T_n\}$$

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## Signature Conformance

- Subclass method signatures must conform to those of superclass
  - Argument types
  - Return type
  - Exceptions
- How much conformance is really needed?

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## Example 1

- Consider the program:  

```
interface List { List rest(int); }
class SimpleList implements List
    { SimpleList rest(int); }
```
- Is this a valid program?
- Is the following subtyping relation correct?  
 $\{ \text{rest: int} \rightarrow \text{SimpleList} \} <: \{ \text{rest: int} \rightarrow \text{List} \}$   
 $\text{int} \rightarrow \text{SimpleList} <: \text{int} \rightarrow \text{List} ?$

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## Example 2

- Consider the program:  

```
class Shape { int setLLCorner(Point p); }
class ColoredRectangle extends Shape
    { int setLLCorner(ColoredPoint p); }
```
- Legal in language Eiffel
- Is this safe?  
 $\text{ColoredPoint} \rightarrow \text{int} <: \text{Point} \rightarrow \text{int} ?$

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## Function Subtyping

- From definition of subtyping:  $F: T_1 \rightarrow T_2 <: F': T'_1 \rightarrow T'_2$   
if a value of type  $T_1 \rightarrow T_2$  can be used wherever  $T'_1 \rightarrow T'_2$  is expected
- Requirement 1:** whenever result of  $F'$  is used, result of  $F$  can also be used  
– Implies  $T_2 <: T'_2$
- Requirement 2:** any argument to  $F'$  must be a valid argument for  $F$   
– Implies  $T'_1 <: T_1$

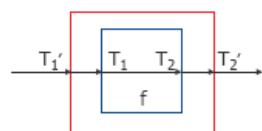
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## General Rule

- Function subtyping:  $T_1 \rightarrow T_2 <: T'_1 \rightarrow T'_2$
- Consider function  $f$  of type  $T_1 \rightarrow T_2$ :



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## Contravariance/Covariance

- Function argument types may be contravariant
- Function result types may be covariant

$$\frac{T_1' \leq T_1 \quad T_2 \leq T_2'}{T_1 \rightarrow T_2 \leq T_1' \rightarrow T_2'}$$

- Java is conservative!

{ rest: int→SimpleList } <: { rest: int→List }

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## Unification

- Some rules more problematic: if

- Rule:

$$\frac{A \vdash E : \text{bool} \quad A \vdash S_1 : T \quad A \vdash S_2 : T}{A \vdash \text{if}(E) S_1 \text{ else } S_2 : T}$$

- Problem: if  $S_1$  has type  $T_1$ ,  $S_2$  has type  $T_2$ . Old check:  $T_1 = T_2$ . New check: need type  $T$ . How to unify  $T_1, T_2$ ?
- Occurs in Java: ?: operator

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## General Typing Derivation

$$\frac{\begin{array}{c} A \vdash S_1 : T_1 \quad T_1 \leq T \\ A \vdash S_2 : T_2 \quad T_2 \leq T \end{array}}{A \vdash \text{if}(E) S_1 \text{ else } S_2 : T}$$

How to pick  $T$ ?

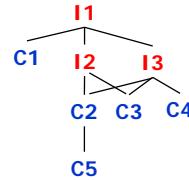
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## Type unification

- Unified type is least common ancestor in type hierarchy
- Least common ancestor is also known as least upper bound (lub)
- What if lub does not exist?



What is lub(C2,C3)?

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## Conclusions

- Adding objects and subtyping can complicate type checking
- No consensus yet on subtyping
  - Many design choices
  - What is best in practice?