From Past to Present: Personalized Attention Session-Aware RNN Recommender System

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Outline

1. Introduction
2. Related Work
3. PASAR Model
4. Evaluation
5. Conclusion
6. Discussion
Introduction: Recommender Systems

↑↓ Expanding ←→ Wide-Ranging

e-commerce

music

social media

video

app store
Introduction: Personalized Recommendation

Influential Factors

Internal
- Personality
- Culture
- Fashion Style
- Aesthetic Taste
- Age
- Education
- Figure
- Marriage
- ...

External
- Environments
- Weather
- Festivals
- Location
- Ads
- Friends
- Family
- Income
- ...

Past
- Periodic Purchases
- Makeups
- Car wiper blade
- Favorite brands
- Preferred Color
- Clothing styles
- Fast Moving Consumer Goods
- ...

Present
- Current Needs
- Umbrella
- Birthday
- Travel suits
- Mood
- Promotions
- Hang around
- ...

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Introduction: Rec Sys Development

- **Context-Aware**
  - temporal information
  - spatial location
  - user profiles
  - cross-domain features

- **Sequence-Aware**
  - the ordering of interactions
  - outperform popular alternatives

- **Time-Aware**
  - easy-to-obtain
  - indicative information of user's needs

- **CF-based**
- **NN-based**
Introduction: Limitation and Motivation

The sequential interactions between users and items are crucial data sources for recommender systems.

Limitation

Most existing session-based RNN methods solely focus on short-term user interactions within a single session and completely discard all the other long-term user interaction data that cross different sessions.

Motivation

The goal of this work is to make effective use of both intra-session and inter-session profiles and construct a better personalized session-aware recommender system.
Introduction: Challenges

1. Traditional RNN cannot train with too long sequence length, which will result in extreme training latency and large memory cost.

2. The interaction data is very noisy: some clicks are meaningful, some are clicked by small interest, while some may even be clicked by mistake.

3. Data from the past sessions should play as different roles as present session, but there is no specific rule for integrating the session-based short-term profiles and session-aware long-term profiles.
Introduction: Motivated by Empirical Data Analysis

- The motivation of our model design is inspired by real data observations and analysis.

- It comes from online Tianchi e-commerce navigation log data having around 100M interactions, 1M users and 4M items from 10K categories.

- We come up with the following three observations.
1. Short-term profile predominates in the selection of the recommendations

The blue bar represents the mean percentage of user interactions hanging in the top 10 categories during the same session, and the orange one represents for items.

Overall both of them are subject to exponential decrease, which proves that user's short-term shopping goal plays a predominant role for the intra-session interaction choices.
Introduction: Empirical Data Analysis

**2 Longer-term behavioral patterns and user preferences can also be important**

The figure shows mean percentage value of a user clicking some repeated categories and items that he/she had clicked before in the previous 10 sessions.

Inter-session information provides **30 to 60 percent** of information for next-basket category prediction and **5 to 20 percent** of knowledge about repeated items.
The click gap time (item dwell time) helps in connecting short-term and long-term interaction data.

The figure shows the normalized histogram of the click gap (view dwell time) of user interactions, which follows a gamma distribution with a maximum around 10 seconds.

Generally speaking, the longer time a user spends on the item, the more interest he has in it. This perfectly bridges the gap of discrete interaction sequence data with potential weights.
Introduction: Motivated by Empirical Data Analysis

- In this paper, we want to quantify, exploit and integrate the effectiveness of user's intra-session and inter-session profiles with temporal dynamics.

1. Short-term profile predominates
   The very last actions in the present session should represent an important piece of context information.

2. Longer-term profile counts
   Long-term profiles are important for recommender system, while current state-of-art session-based approaches fail to model them effectively.

3. The dwell time helps
   Finally, with the help of temporal dynamics scheme, we incorporate temporal context in the RNN and perform efficient combination for short-term session sequence information and long-term user and item profiles.
Introduction: Contributions

**Personalized Session–Aware RS**

We propose PASAR, a novel Personalized Attention Session–Aware Recommender system model, to seamlessly integrate intra–session and inter–session profiles.

**Temporal Dynamics by Attention Net**

We offer an extendable attention scheme to leverage temporal dynamics scheme exploiting more intra–session information so as to enhance session–based RS in time dimension.

**Activate Long–term in Session RS**

We include long–term user profiles for session–based RS to learn the cross–session pattern and user favorite evolution in a seamless way.

**Empirical Results**

We conduct extensive experiments on four real datasets and demonstrate the effectiveness of PASAR for personalized recommendation.
## Related Work: Overview

<table>
<thead>
<tr>
<th>Methods \ Features</th>
<th>General</th>
<th>Multiplicative</th>
<th>Evolution</th>
<th>Time</th>
<th>Sequence</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>User Taste</td>
<td>Item Impression</td>
<td>User-Item Interaction</td>
<td>User Favorite</td>
<td>Item Trend</td>
</tr>
<tr>
<td>Notation</td>
<td>$p_u$</td>
<td>$q_i$</td>
<td>$b_{ui}$</td>
<td>$b_u(t)$</td>
<td>$q_i(t)$</td>
</tr>
<tr>
<td>BPR-MF</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>x</td>
</tr>
<tr>
<td>TimeSVD++</td>
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<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>FPMC</td>
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<td>✓</td>
<td>✓</td>
<td>x</td>
</tr>
<tr>
<td>DNN</td>
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<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>x</td>
</tr>
<tr>
<td>GRU4REC</td>
<td>x</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>x</td>
</tr>
<tr>
<td>PASAR</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
<td>✓</td>
</tr>
</tbody>
</table>

Related works compared by different methodology categories exploiting various domain features.
Although CF-based methods have been theoretically well developed and are less expensive for computational cost, their practicableness and scalability yield to NN-based approaches.
Related Work: NN–Based Rec Sys

- **YouTube** DNN Model (Covington et al, RecSys’16)
- Neural Collaborative Filtering (NCF, He et al, WWW’17)
- Deep & Cross model (DCN, Wang et al, ADKDD’17)
- Latent Cross model (Beutel et al, WSDM’18)
- **Time-LSTM** model (Zhu et al, IJCAI’17)
- Recurrent Recommender Networks (RRN, Wu et al, WSDM’17)
- Neural Survival Recommendation (NSR, Jing et al, WSDM’17)
- Temporal Point Process (TPS, Song et al, GaTech)
- **Session-based RNN** (GRU4REC, Hidasi, ICLR’16)

While these approaches did not adapt to session-based scheme, which could play a predominant actor for recommendation as shown in EDA.
Related Work: Session-Based Rec Sys

- **GRU4REC RNN Model** (Hidasi et al, ICLR’16)
- **Data augmentation** (Improved RNN, Tan et al, DLRS’16)
- **Exploit dwell time** (Dallmann et al, RecSys’17)
- **Add different types of interactions** (Wu et al, CIKM’17)
- **Hierarchical RNN** (Quadrana et al, RecSys’17)

These works made incremental improvements for GRU4REC, but they do not make significant modification and haven't consider long-term intra-session info and user action gap time feature, which can make great gain.
Related Work: Long and Short-term Combination

<table>
<thead>
<tr>
<th></th>
<th>Long-term</th>
<th>Short-term</th>
</tr>
</thead>
<tbody>
<tr>
<td>[CIKM’15] STAR</td>
<td>LDA</td>
<td>MCMC</td>
</tr>
<tr>
<td></td>
<td>(Latent Dirichlet Allocation)</td>
<td>(Monte Carlo Markov Chain)</td>
</tr>
<tr>
<td>[RecSys’17] HRNN</td>
<td>GRUuser</td>
<td>GRUUses</td>
</tr>
</tbody>
</table>

To sum up, RNNs show their privilege in short-term sequential pattern mining than other item-based or Markov Chain-based approaches.

To facilitate RNN with long-term profiling, the goal of this paper is to make effective use of both long-term and short profiles and construct a better personalized session-aware RNN recommender system.
We define the activity session sequence \( S \) as: \[ S = \{s_j \mid j = 1, \ldots, m\} \] Totally there are \( m \) sessions.

Where \( s_j \) represents the number \( j_{th} \) session: \[ s_j = \{i^1_j, i^2_j, \ldots, i^n_j\} \] Each session are of length \( n_j \).

We formulate this as a top-K ranking problem: \[ \hat{r}_k = f(i^n \mid i^1, \ldots, i^{n-1}) \] where \( i \in I \) and \( I \) is the item set.
Different session length $\rightarrow$ session-parallel mini-batch approach

One-hot mini-batch vector is fed into a GRU layer, and the hidden states are reset when switching sessions.

The output of RNN can be treated as session-representations: 

$$ h_{session} = \text{GRU}(e_j, h_{session-1}) $$

The likelihood in predictor is: 

$$ \hat{r}_k = g(e_k, h_k) $$

Intra-session

Independent
PASAR Model: Use of Item Dwell Time

Session gap time is helpful for survival analysis to predict user return time. Action timestamp can be used for periodical purchasing feature training directly as contextual information.

We create a dwell time sequence with the same dimension of item sequence:

\[ t_j = \{t_j^1, t_j^2, ..., t_j^n\} \]

Since it follows gamma distribution, we can take Scott binning of time to reduce dimensionality and accelerate the training process:

\[ t_{\text{bin}} = \sigma \sqrt[3]{\frac{24 \times \sqrt{\pi}}{n}} \]

Next, we use an embedding method to represent dwell time importance within sessions:

\[ E = \{e_{t,j}\} = \{e_{t,j}^1, e_{t,j}^2, ..., e_{t,j}^{n_t,j}\} \]
PASAR Model: Use of Item Dwell Time

Attention Design:

Intuitively, such attention vectors are perfectly used to modulate the outputs of hidden states representing session orders, and it's reported as a very useful tool to extract the importance of sequence vector.

Attention Problems:

1. Sequence-In-Sequence-Out RNNs in NLP tasks
2. Enable data augmentation to get more training samples, all subsequences need to be forward to the attention network
PASAR Model: Use of Item Dwell Time

Triangle parallel attention method:

\[ q_t = \sum_i \alpha_i \cdot h_{session} \]

\[ \alpha_i = \frac{e^{p_i^T \cdot h_{session}}}{\sum_i e^{p_i^T \cdot h_{session}}} \]

\[ P = [P_i] = [p_0^T \cdot h_s, \ldots, p_i^T \cdot h_s, 0, \ldots, 0] \]

\[ p_i = \tanh(W_s h_{time} + b_s) \]
PASAR Model: Use of User Long-Term Profile

User-grouped session-parallel mini-batch approach: \( E(u) = \{e_{u,j}\} = \{e^1_{u,j}, e^2_{u,j}, ..., e^n_{u,j}\} \)

User-based negative sampling:

We select negative samples in proportion to the item popularity within mini-batch sequences.

Furthermore, for each user, we need to rule out the items appeared in his/her history.

This way, the local negative sampling method not only improves performance but also reduces the computational time.
PASAR Model: Use of User Long-Term Profile

Concatenation Design:

Attention Design:

The likelihood in predictor is:

\[ \hat{r}_{j,k} = g(q_t \cdot e_k + b_j + b_k) \]

Self-attention mechanism:

\[ \alpha_i = \frac{e^{p^T_i \cdot e_u}}{\sum_i e^{p^T_i \cdot e_u}} \]
PASAR Model: Improving Extensions

Loss functions:

BPR loss: \[ L = -\frac{1}{N_S} \sum_{j=1}^{N_S} \log(\sigma(\hat{r}_j - r_k)) \]

TOP1 loss: \[ L = \frac{1}{N_S} \sum_{j=1}^{N_S} \sigma(\hat{r}_j - r_k) + \sigma(\hat{r}_j^2) \]

Hinge loss: \[ L = \max((\hat{r}_j - r_k) + 1, 0) \]

Data augmentation:

First, we train each sequence with all hidden outputs and make the predictions, which fully explores the subsequences information.

Second, we leverage the dropout layer for the sequences such that it makes regularization as well as diversifies the input sequence data.
### Evaluation: Datasets

Totally we use four datasets in our experiments.

<table>
<thead>
<tr>
<th></th>
<th>MovieLens</th>
<th>Recsys15</th>
<th>Tianchi</th>
<th>JD</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Events</strong></td>
<td>53,309</td>
<td>17,920,066</td>
<td>6,921,446</td>
<td>254,398</td>
</tr>
<tr>
<td><strong>Users</strong></td>
<td>237</td>
<td>/</td>
<td>12,332</td>
<td>3,035</td>
</tr>
<tr>
<td><strong>Items</strong></td>
<td>1,395</td>
<td>23,459</td>
<td>31,893</td>
<td>1,173</td>
</tr>
<tr>
<td><strong>Sessions</strong></td>
<td>3,609</td>
<td>4,247,567</td>
<td>93,287</td>
<td>45,878</td>
</tr>
<tr>
<td><strong>Session Support</strong></td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td><strong>Item Support</strong></td>
<td>10</td>
<td>20</td>
<td>10</td>
<td>20</td>
</tr>
<tr>
<td><strong>User Support</strong></td>
<td>10</td>
<td>/</td>
<td>10</td>
<td>20</td>
</tr>
</tbody>
</table>

Totally, we use four datasets in our experiments.
## Evaluation: Comparison Baselines and PASAR Versions

<table>
<thead>
<tr>
<th>Models</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Baseline Models</strong></td>
<td></td>
</tr>
<tr>
<td>BPR-MF</td>
<td>Matrix factorization techniques apply SVD factoring the user-item rating matrix</td>
</tr>
<tr>
<td>YouTube DNN</td>
<td>YouTube model includes two stages: candidate generation and ranking</td>
</tr>
<tr>
<td>WaveNet CNN</td>
<td>Inner multiplicative can be exploited by its stacked causal atrous convolutions</td>
</tr>
<tr>
<td>GRU4REC RNN</td>
<td>Basic GRU layers and TOP-1 loss and session-parallel mini-batching mechanism</td>
</tr>
<tr>
<td><strong>PASAR Variants</strong></td>
<td></td>
</tr>
<tr>
<td>PASAR_user_att</td>
<td>Adding user profile embedding by self-attention network</td>
</tr>
<tr>
<td>PASAR_user_cat</td>
<td>Adding the user profile by concatenating hidden outputs and user embeddings</td>
</tr>
<tr>
<td>PASAR_time_att</td>
<td>Adding time profile embedding by global attention network</td>
</tr>
<tr>
<td>PASAR_time_cat</td>
<td>Adding time profile by concatenating time embeddings and item embeddings</td>
</tr>
<tr>
<td>PASAR_time_user</td>
<td>Integrating both time and user profiles as final PASAR model</td>
</tr>
</tbody>
</table>
Experimental Comparison Results -- shown are the MRR top 20 and Recall top 20 scores of four baseline models and five PASAR variants on four datasets. We highlight some focal improvements in bold and underline the best results.
Evaluation: Comparison Results

- Comparison Result on MovieLens Dataset (MRR@K)
- Comparison Result on Recsys15 Dataset (MRR@K)
- Comparison Result on Tianchi Dataset (MRR@K)
- Comparison Result on OUR Dataset (MRR@K)

The detailed MRR@10, MRR@20, MRR30, MRR40 and MRR@all results for each datasets
Evaluation: Comparison Results

Train speed time (iterations/second) and Training memory cost (MiB).

We did experiments on NVIDIA Tesla P40 GPUs. (172.20.190.45)

MF method is fastest and CNN method takes the most memory.

Our model is half slower than baseline RNN model and takes similar memory cost.
Conclusion:

- In this paper, we quantify, qualify and exploit the long-term user profile and short-term temporal dynamics for session-based RNN recommender systems.

- In particular, we propose a complete session-aware recommender system model, called "PASAR", to integrate intra-session and inter-session profiles for both users and items.

- We offer an extendable attention scheme to leverage temporal dynamics scheme exploiting more intra-session information so as to enhance session-based RS in time dimension.

- We also include long-term user profiles for session-based RS to learn the cross-session pattern and user favorite evolution in a seamless way.

- We demonstrate the improvement by our model design on four real-world datasets.
Discussion:

1. We can further optimize the attention scheme by local method, since the nearer item list attributes more for sequence predicting.
2. We can use grouped user representation to reduce dimensionality and accelerate the training process.
3. We can test on different support mechanism, like sparse and dense data, and make the model more robust.
4. More importantly, we can improve the negative sampling method and use better input embedding to solve the cold-start and low-rank problem.
5. CNN model is valuable to be further developed with signal processing techs.
6. We can use list-wise ranking paradigm for such top-k ranking models.
Summary:

1 Paper  
2 Patents  
Codes  
4 Datasets

Many thanks to my mentors Weizhi and Chris.

Love JD. ❤️
Thanks