

# On Understanding Data Abstraction

o o o

## Revisited

William R. Cook  
The University  
of Texas at Austin

Dedicated to P. Wegner

# Objects

????

# Abstract Data Types

Ignore  
non-essentials:

“Objects Model  
the Real World”

# Inheritance

# Mutable State

# Subtyping

... these are  
not essential  
for OOP

(very nice but not essential)

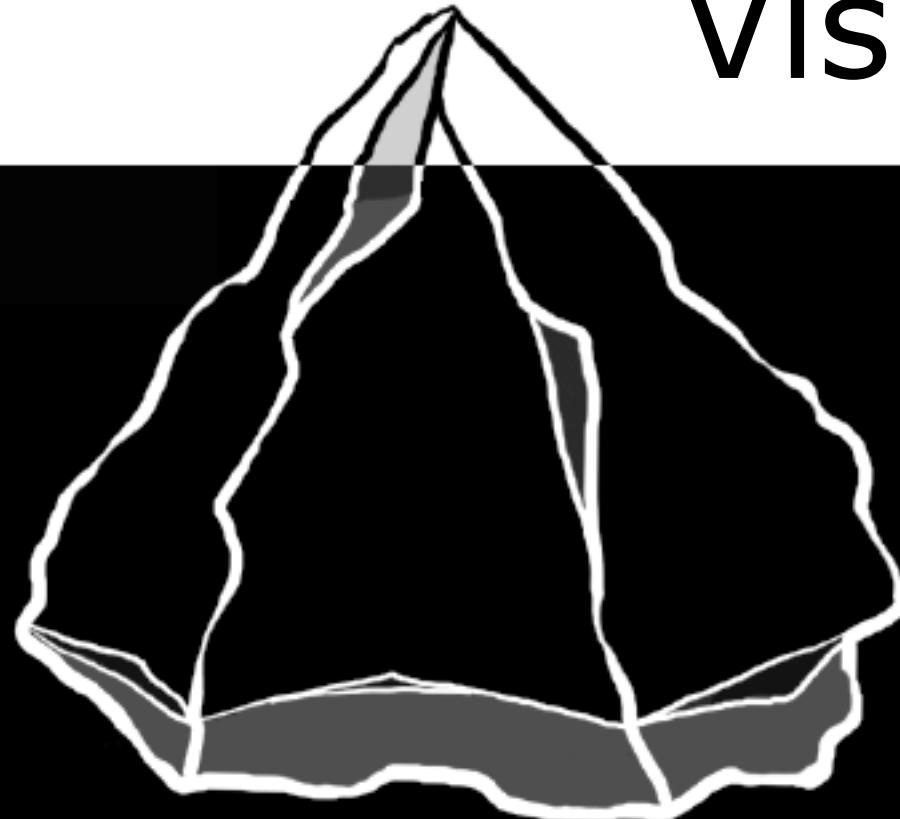
Essential:  
Interfaces  
as types

{ discuss  
inheritance  
later }

Abstraction



Visible



Hidden

# Procedural Abstraction

```
bool f(int x) { ... }
```

Procedural  
Abstraction  
 $\text{int} \rightarrow \text{bool}$

(one kind of)

Type

Abstraction

class Set<T>

(one kind of)

Type

Abstraction

$\forall T. Set[T]$

# Abstract Data Type

signature Set

empty : Set

insert : Set, Int → Set

isEmpty : Set → Bool

contains : Set, Int → Bool

# Abstract Data Type

signature Set  Abstract

empty : Set

insert : Set, Int → Set

isEmpty : Set → Bool

contains : Set, Int → Bool

Type

+

Operations

# ADT Implementation

```
abstype Set = List of Int  
empty          = []  
insert(s, n)    = (n : s)  
isEmpty(s)     = (s == [])  
contains(s, n)  = (n ∈ s)
```

# Using ADT values

Set x = empty

Set y = insert(x, 3)

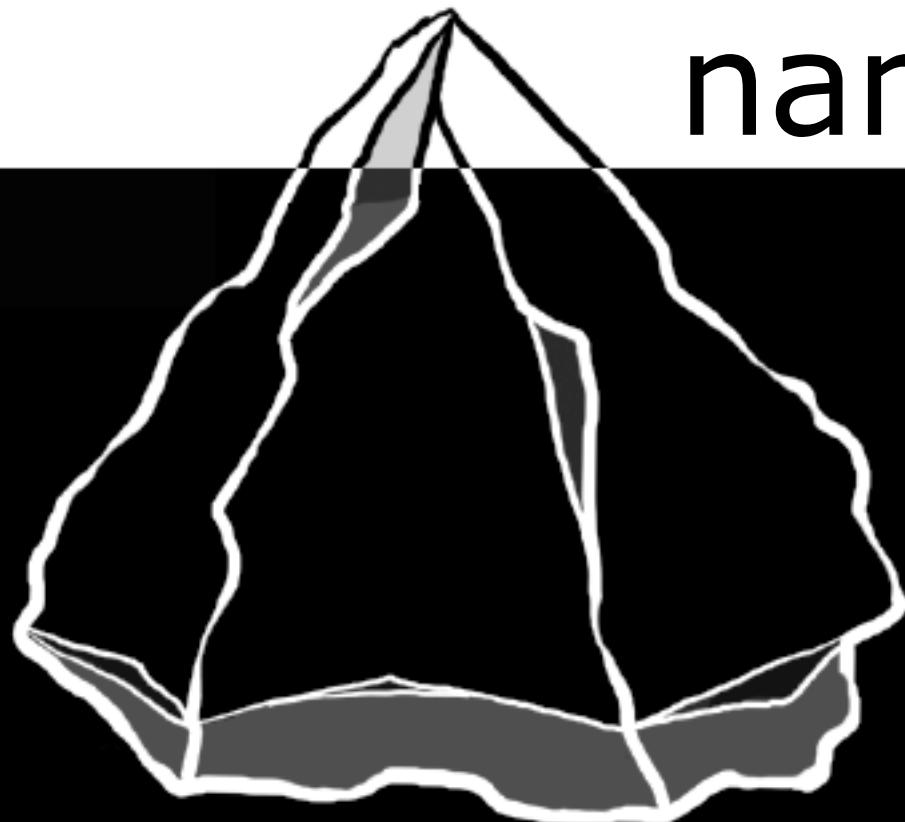
Set z = insert(y, 5)

print( contains(z, 2) )

=> false



Visible  
name: Set



Hidden  
representation:  
List of Int

```
ISetModule = ∃Set.{  
    empty      : Set  
    insert     : Set, Int → Set  
  
    isEmpty   : Set → Bool  
    contains  : Set, Int → Bool  
}
```

Natural !

just like  
built-in types

# Mathematical Abstract Algebra

# Type Theory

$\exists x.P$

(existential types)

# Abstract Data Type

=

# Data Abstraction

Right?

$$S = \{ 1, 3, 5, 7, 9 \}$$

Another way

$$P(n) = \text{even}(n) \ \& \ 1 \leq n \leq 9$$

$$S = \{ 1, 3, 5, 7, 9 \}$$

$$P(n) = \text{even}(n) \ \& \ 1 \leq n \leq 9$$

Sets as  
characteristic  
functions

type Set =

Int → Bool

Empty =

$\lambda n. \text{false}$

$\text{Insert}(s, m) =$

$\lambda n. (n == m) \text{ or } s(n)$

# Using them is easy

Set x = Empty

Set y = Insert(x, 3)

Set z = Insert(y, 5)

print( z(2) )

**=> false**

So What?

# Flexibility

set of all  
even numbers

Set ADT:

Not Allowed!

or...

break open ADT  
& change  
representation

set of  
even numbers  
as a  
function?

Even =

$\lambda n. (n \% 2 == 0)$

# Even interoperates

Set x = Even

Set y = Insert(x, 3)

Set z = Insert(y, 5)

print( z(2) )

=> true

Sets-as-functions

are

objects!

No type abstraction

type Set = Int → Bool

multiple  
methods?

sure. . .

interface Set {

contains: Int → Bool

isEmpty: Bool

}

What about  
Empty and Insert?

(they are classes)

```
class Empty {  
    contains(n) { return false; }  
    isEmpty() { return true; }  
}
```

```
class Insert(s, m) {  
    contains(n) { return (n==m)  
                 or s.contains(n); }  
    isEmpty() { return false; }  
}
```

# Using Classes

```
Set x = Empty()
```

```
Set y = Insert(x, 3)
```

```
Set z = Insert(y, 5)
```

```
print( z.contains(2) )
```

**=> false**

An object

is the

set of observations

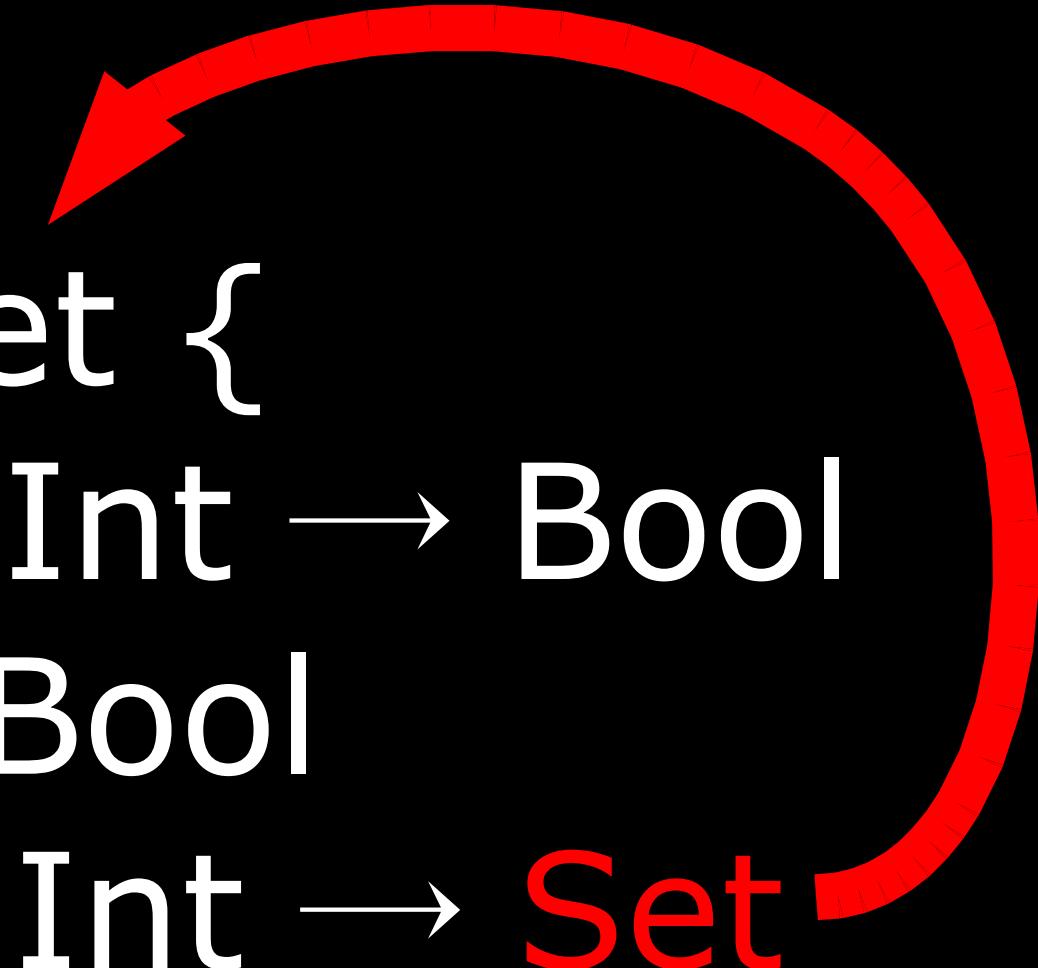
that can be

made upon it

Including  
more methods

```
interface Set {  
    contains: Int → Bool  
    isEmpty: Bool  
    insert : Int → Set  
}
```

```
interface Set {  
    contains: Int → Bool  
    isEmpty: Bool  
    insert    : Int → Set  
}
```



Type  
Recursion

```
class Empty {  
    contains(n) { return false; }  
    isEmpty() { return true; }  
    insert(n) { return  
        Insert(this, n); }  
}
```

```
class Empty {  
    contains(n) { return false; }  
    isEmpty() { return true; }  
    insert(n) { return  
        Insert(this, n); }  
}
```

Value  
Recursion

# Using objects

Set x = Empty

Set y = x.insert(3)

Set z = y.insert(5)

print( z.contains(2) )

**=> false**

# Autognosis

# Autognosis

An object can only access other objects through public interfaces

operations  
on  
multiple objects?

union  
of  
two sets

```
class Union(a, b) {  
    contains(n) { a.contains(n)  
                  or b.contains(n); }  
    isEmpty() { a.isEmpty(n)  
                and b.isEmpty(n); }  
    ...  
}
```

```
interface Set {  
    contains: Int → Bool  
    isEmpty: Bool  
    insert    : Int → Set  
    union     : Set → Set  
}
```

Complex Operation  
(binary)

intersection

of

two sets

??

```
class Intersection(a, b) {  
    contains(n) { a.contains(n)  
        and b.contains(n); }  
  
    isEmpty() { ???no way!??? }  
  
    ...  
}
```

Autognosis:  
complicates some  
operations  
(complex ops)

Autognosis:  
complicates some  
optimizations  
(complex ops)

Inspecting two  
representations &  
optimization is  
easy in ADT

Objects are  
fundamentally  
different  
from ADTs

## Object Interface (recursive types)

```
Set = {  
    isEmpty : Bool  
    contains : Int → Bool  
    insert   : Int → Set  
    union    : Set → Set  
}  
  
Empty : Set  
Insert : Set, Int → Set  
Union  : Set, Set → Set
```

## ADT (existential types)

```
SetImpl = ∃ Set . {  
    empty   : Set  
    isEmpty : Set → Bool  
    contains: Set, Int → Bool  
    insert   : Set, Int → Set  
    union    : Set, Set → Set  
}
```

# Operations/Observations

	s	
	Empty	Insert(s', m)
isEmpty(s)	true	false
contains(s, n)	false	n=m   contains(s', n)
insert(s, n)	Insert(s, n)	Insert(s, n)
union(s, s")	s"	Union(s, s")

# ADT Organization

	$s$	
	Empty	Insert( $s'$ , $m$ )
isEmpty( $s$ )	true	false
contains( $s$ , $n$ )	false	$n=m$   contains( $s'$ , $n$ )
insert( $s$ , $n$ )	Insert( $s$ , $n$ )	Insert( $s$ , $n$ )
union( $s$ , $s''$ )	$s''$	Union( $s$ , $s''$ )

# 00 Organization

	$s$	
$\text{isEmpty}(s)$	Empty true	$\text{Insert}(s', m)$ <b>false</b>
$\text{contains}(s, n)$	false	$n=m \mid$ $\text{contains}(s', n)$
$\text{insert}(s, n)$	$\text{Insert}(s, n)$	$\text{Insert}(s, n)$
$\text{union}(s, s'')$	$s''$	$\text{Union}(s, s'')$

Objects are  
fundamental  
(too)

Mathematical  
functional  
representation  
of data

# Type Theory

$\mu X.P$

(recursive types)

ADTs require  
a  
static type system

Objects work great  
with  
dynamic typing

# “Binary” Operations?

Stack, Socket, Window,  
Service, DOM,  
Enterprise Data, ...

Objects are

very

higher-order

(functions passed as data and  
returned as results)

# Verification

ADTs: construction

Objects: observation

ADTs: induction

Objects: coinduction

complicated by:

callbacks, state

Objects are  
designed to be as  
difficult as  
possible to verify

# Simulation

One object can  
simulate another!  
(identity is bad)

Java

What is a type?

Declare variables

Classify values

Class as type

=> representation

Class as type

=> ADT

# Interfaces as type

=> behavior

pure objects

# Harmful !

```
instanceof Class  
(Class) exp  
Class x;
```

Object-Oriented

subset of Java:

class name is

only after “new”

Its not an  
accident that  
“int” is an ADT  
in Java

Smalltalk

class True

ifTrue: a ifFalse: b

^a value

class False

ifTrue: a ifFalse: b

^b value

True =

$\lambda a . \lambda b .$

a

False =

$\lambda a . \lambda b .$

b

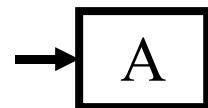
# Inheritance

(in one slide)

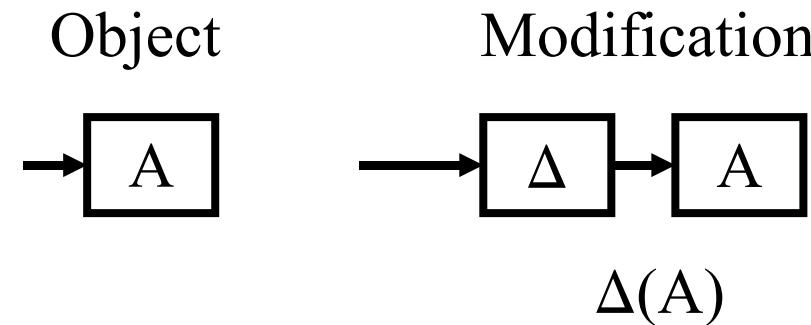
(animated)

# Inheritance

Object

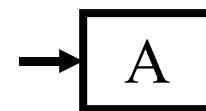


# Inheritance



# Inheritance

Object

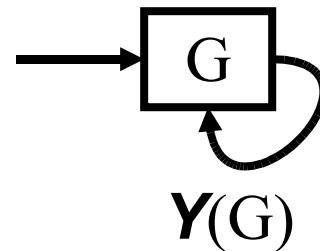


Modification



$\Delta(A)$

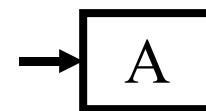
Self-reference



$Y(G)$

# Inheritance

Object

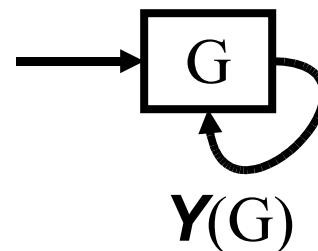


Modification

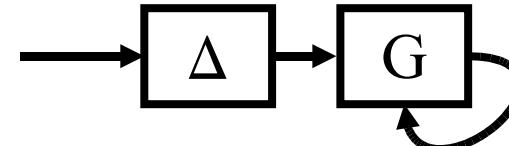


$\Delta(A)$

Self-reference

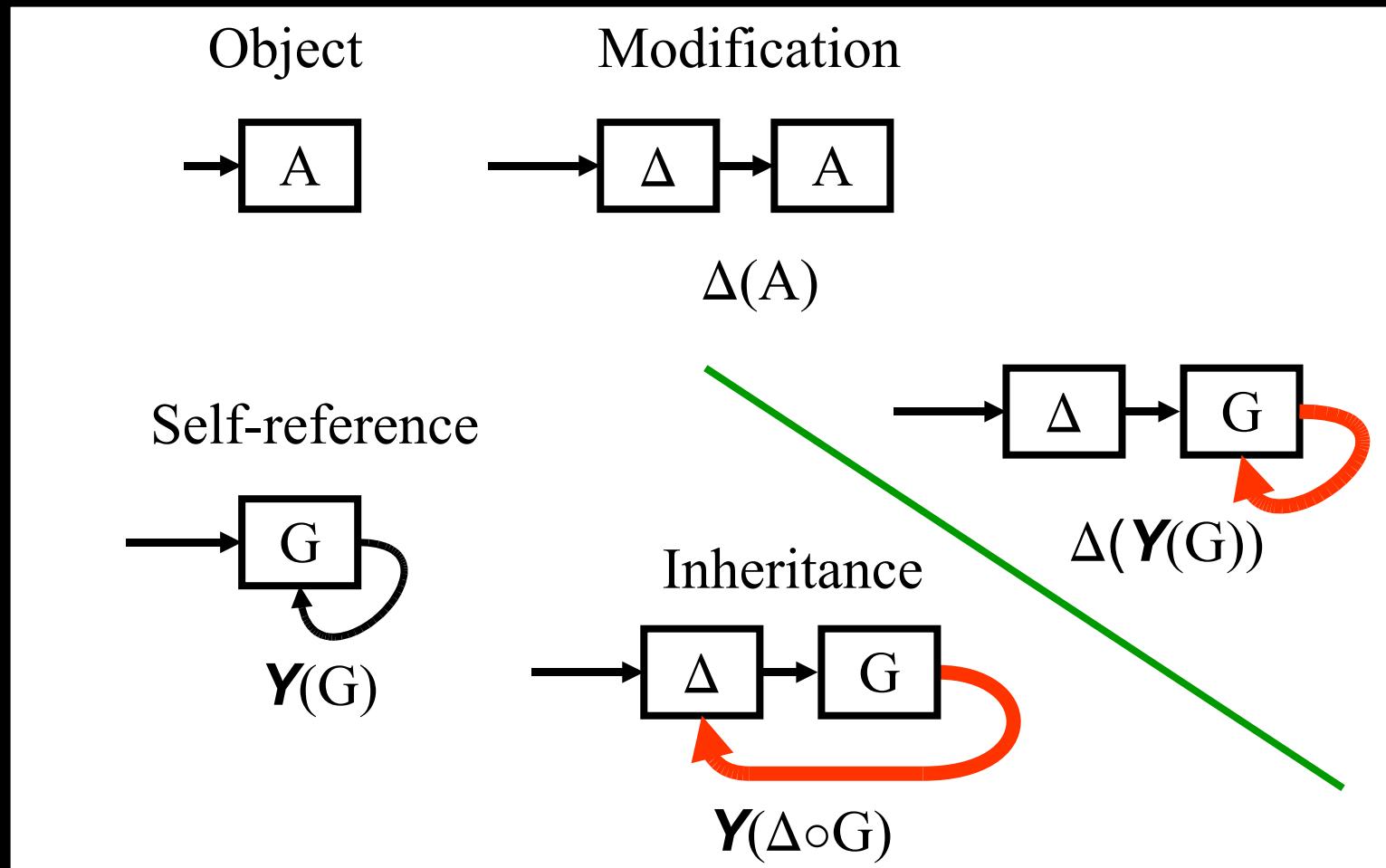


$Y(G)$



$\Delta(Y(G))$

# Inheritance



History

User-defined types  
and  
procedural data structures  
as  
complementary approaches  
to  
data abstraction

by J. C. Reynolds  
New Advances in Algorithmic Languages  
INRIA, 1975

Abstract data types  
~~User defined types~~  
and ~~procedural data structures~~ objects  
as complementary approaches  
to data abstraction

by J. C. Reynolds  
New Advances in Algorithmic Languages  
INRIA, 1975

“[an object with two methods]  
is more a tour de force than  
a specimen of clear  
programming.”

- J. Reynolds

# Extensibility Problem

(aka Expression Problem)

1975 Discovered by J. Reynolds

1990 Elaborated by W. Cook

1998 Renamed by P. Wadler

2005 Solved by M. Odersky (?)

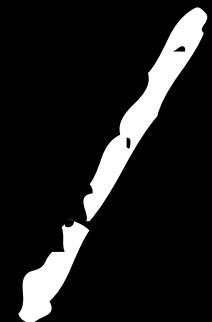
2025 Widely understood (?)

# Summary

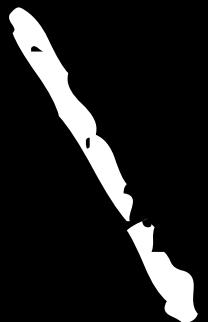
It is possible to  
do Object-Oriented  
programming in  
Java

Lambda-calculus  
was the first  
object-oriented  
language (1941)

# Data Abstraction



ADT



Objects