

## Topic 25 Heaps

"You think you know when you can **learn**,  
are more sure when you can **write**,  
even more when you can **teach**,  
but certain when you can **program**."

- Alan Perlis



### Another Option

- The *heap* data structure
  - not to be confused with the runtime heap (portion of memory for dynamically allocated variables)
- Typically a complete binary tree (variations with more than 2 children possible)
  - all levels have maximum number of nodes except deepest where nodes are filled in from left to right
- Maintains the *heap order property*
  - in a min heap the value in the root of any subtree is less than or equal to all other values in the subtree

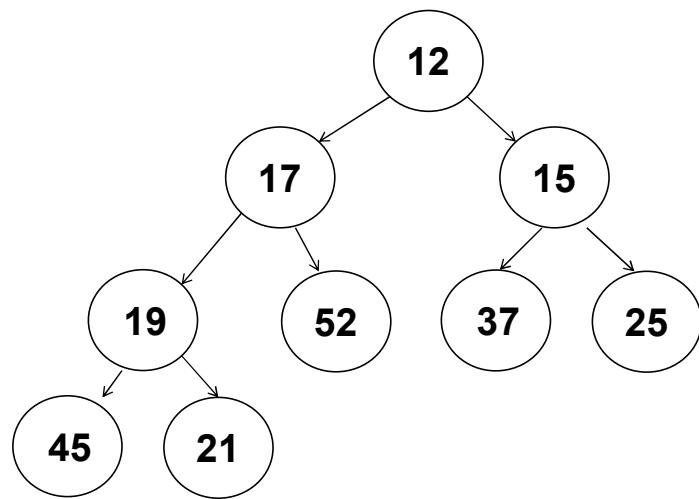
## Priority Queue

- Recall priority queue
  - elements enqueued based on priority
  - dequeue removes the highest priority item
- Options?
  - List? Binary Search Tree? **Clicker 1**
  - Array List enqueue      BST enqueue
  - A.  $O(N)$                        $O(1)$
  - B.  $O(N)$                        $O(\log N)$
  - C.  $O(N)$                        $O(N)$
  - D.  $O(\log N)$                  $O(\log N)$
  - E.  $O(1)$                          $O(\log N)$

## Clicker 2

- In a max heap with no duplicates where is the largest value?
  - A. the root of the tree
  - B. in the left-most node
  - C. in the right-most node
  - D. a node in the lowest level
  - E. none of these

## Example Min Heap



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## Add Operation

- ▶ Add new element to next open spot in array
- ▶ Swap with parent if new value is less than parent
- ▶ Continue back up the tree as long as the new value is less than new parent node

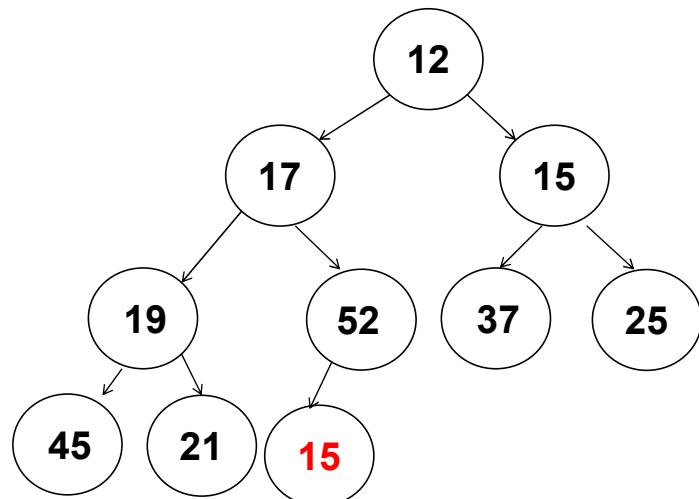
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## Add Example

- ▶ Add 15 to heap (initially next left most node)



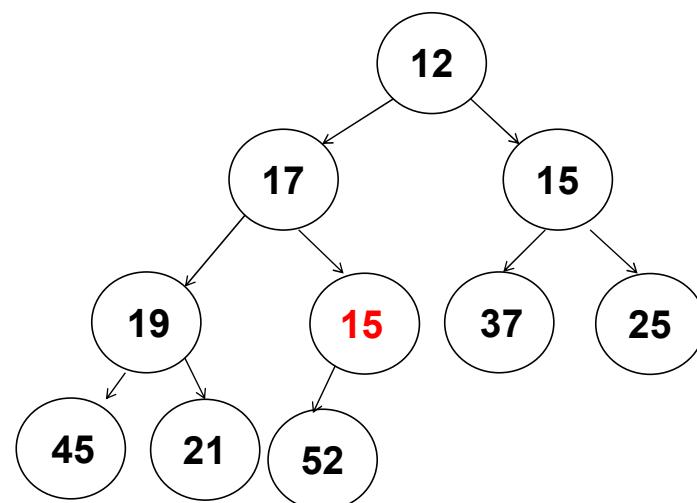
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## Add Example

- ▶ Swap 15 and 52



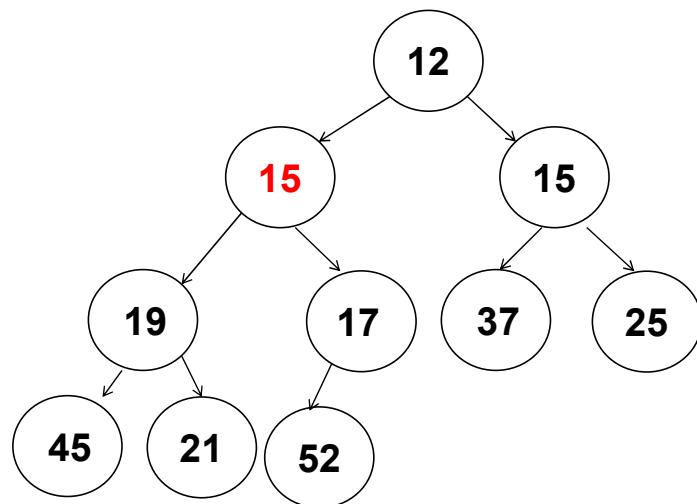
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## Enqueue Example

- Swap 15 and 17, then stop



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## Add Example

- Insert the following values 1 at a time into a min heap:

16 9 5 8 13 8 8 5 5 19 27 9 3

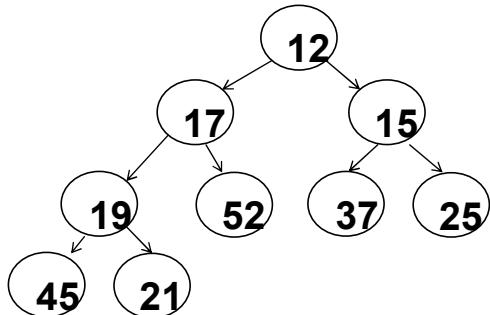
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## Internal Storage

- Interestingly heaps are often implemented with an array instead of nodes



for element at index  $i$ :  
parent index:  $i / 2$   
left child index:  $i * 2$   
right child index:  $i * 2 + 1$

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
12	17	15	19	52	37	25	45	21							

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In Honor of  
Elijah,  
The Meme King,  
Spring 2020

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# PriorityQueue Class

```
public class PriorityQueue<E extends Comparable<? super E>>
{
    private int size;
    private E[] con;

    public PriorityQueue() {
        con = getArray(2);
    }

    private E[] getArray(int size) {
        return (E[]) (new Comparable[size]);
    }
}
```

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# PriorityQueue enqueue / add

```
public void enqueue(E val) {
    if ( size >= con.length - 1 )
        enlargeArray(con.length * 2);

    size++;
    int indexToPlace = size;
    while ( indexToPlace > 1
            && val.compareTo( con[indexToPlace / 2] ) < 0 ) {

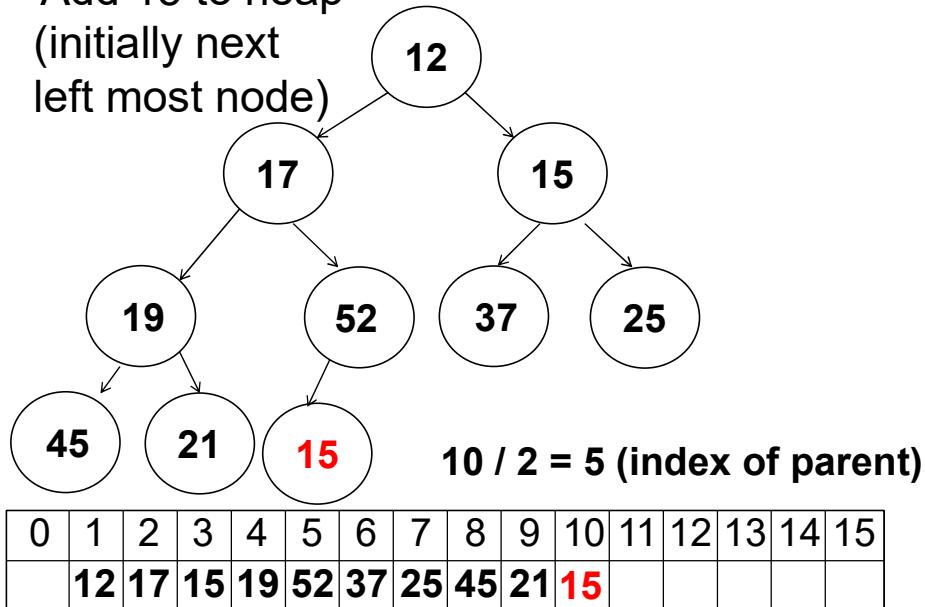
        con[indexToPlace] = con[indexToPlace / 2]; // swap
        indexToPlace /= 2; // change indexToPlace to parent
    }
    con[indexToPlace] = val;
}

private void enlargeArray(int newSize) {
    E[] temp = getArray(newSize);
    System.arraycopy(con, 1, temp, 1, size);
    con = temp;
}
```

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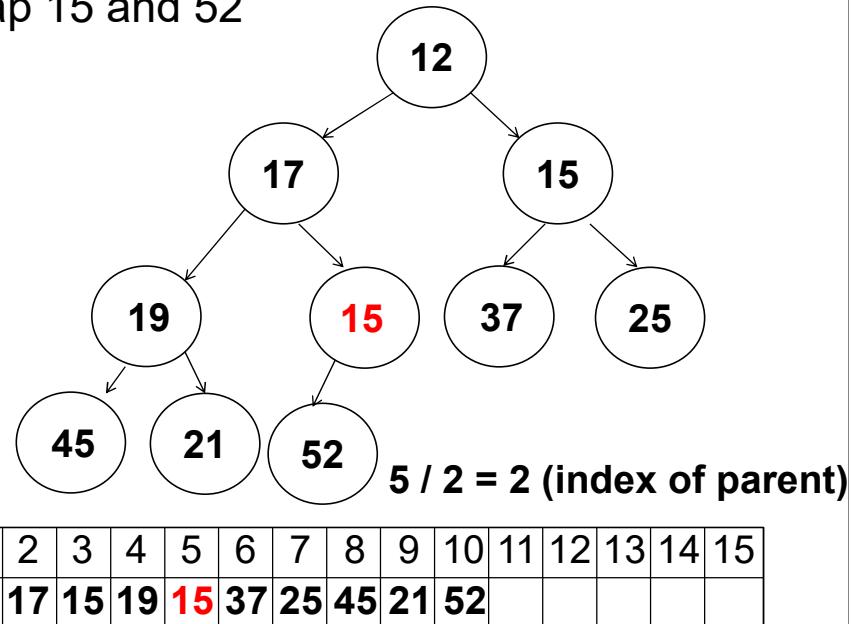
## Enqueue / add Example With Array Shown

- >Add 15 to heap  
(initially next left most node)



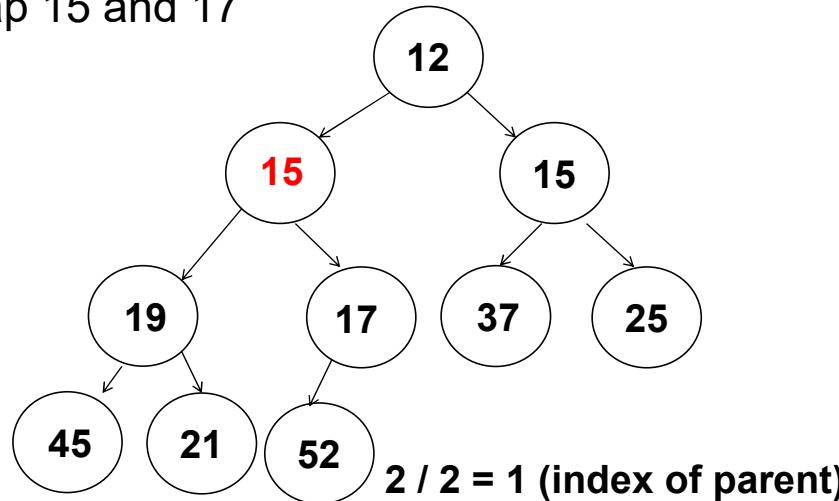
## Enqueue Example With Array Shown

- Swap 15 and 52



## Enqueue Example With Array Shown

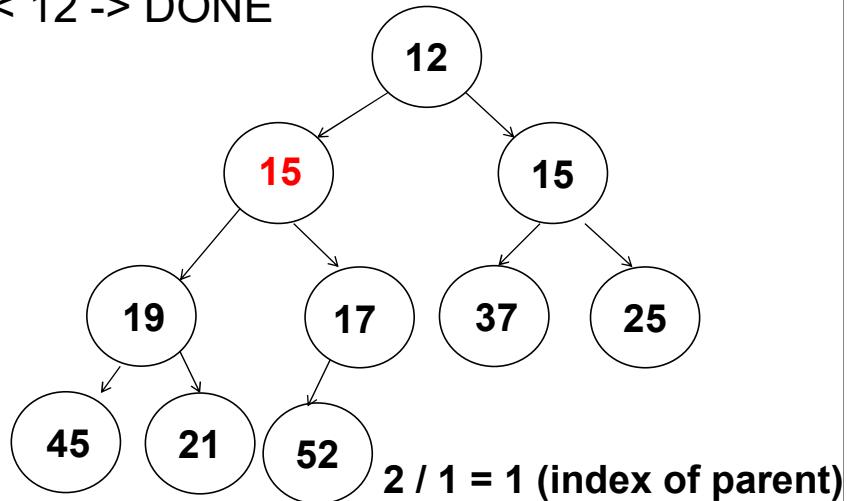
- Swap 15 and 17



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
12	15	15	19	17	37	25	45	21	52						

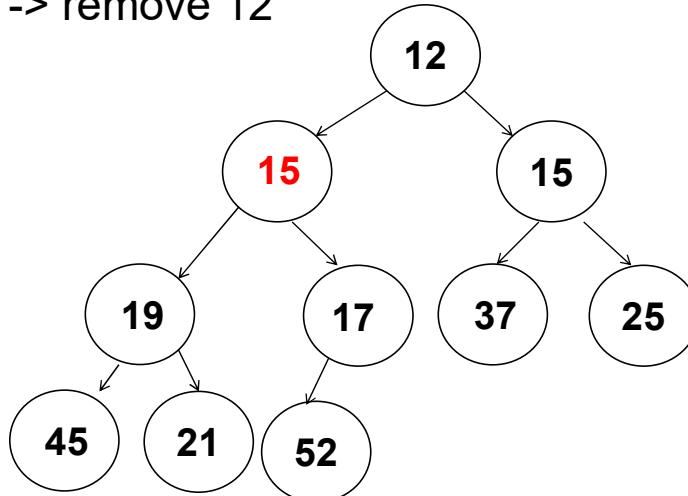
## Enqueue Example With Array Shown

- 15 !< 12 -> DONE



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
12	15	16	19	17	37	25	45	21	52						

- Remove -> remove 12



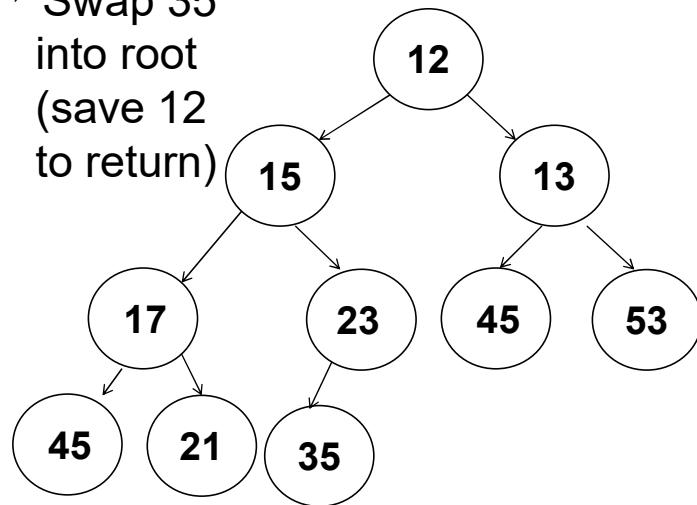
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## Remove / Dequeue

- min value / front of queue is in root of tree
- swap value from last node to root and move down swapping with smaller child unless values is smaller than both children

## Dequeue Example

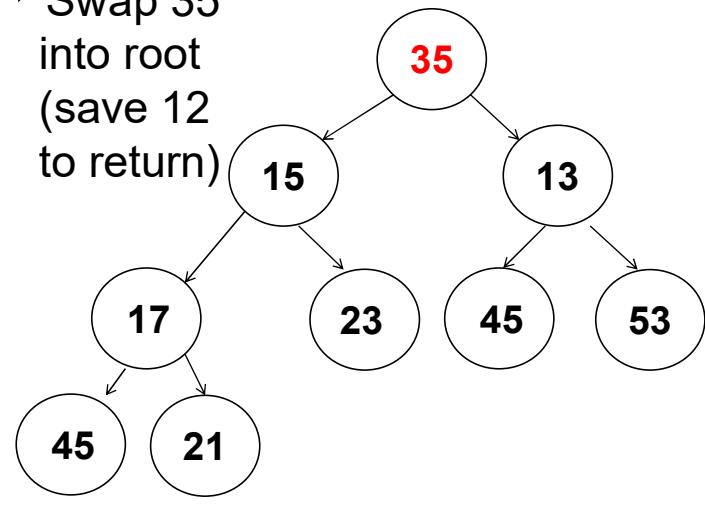
- Swap 35 into root (save 12 to return)



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
12	15	13	17	23	45	53	45	21	35						

## Dequeue Example

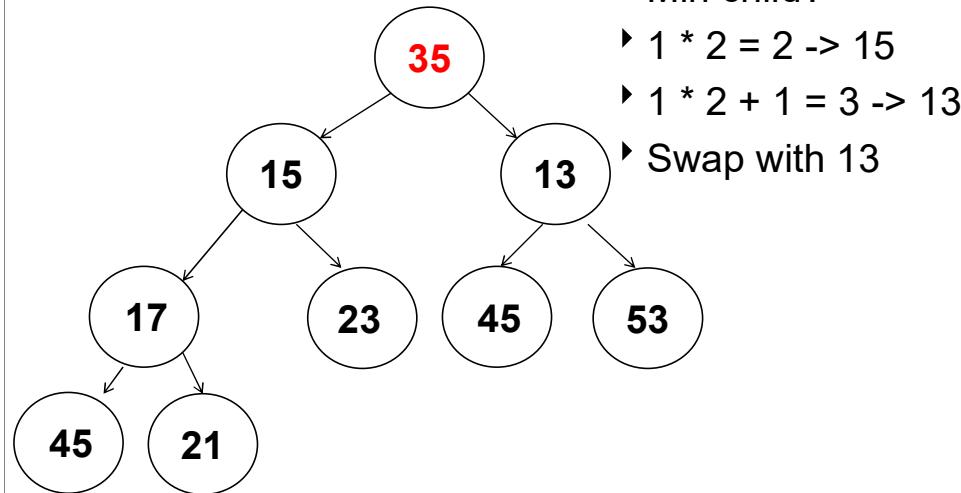
- Swap 35 into root (save 12 to return)



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
35	15	13	17	23	45	53	45	21							

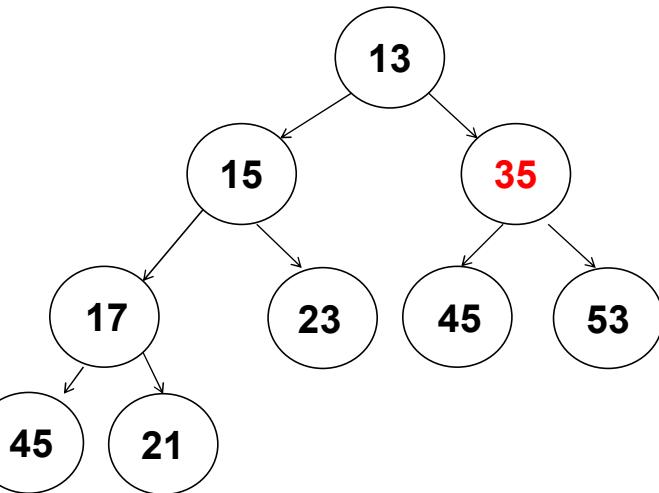
## Dequeue Example

- Min child?
- $1 * 2 = 2 \rightarrow 15$
- $1 * 2 + 1 = 3 \rightarrow 13$
- Swap with 13



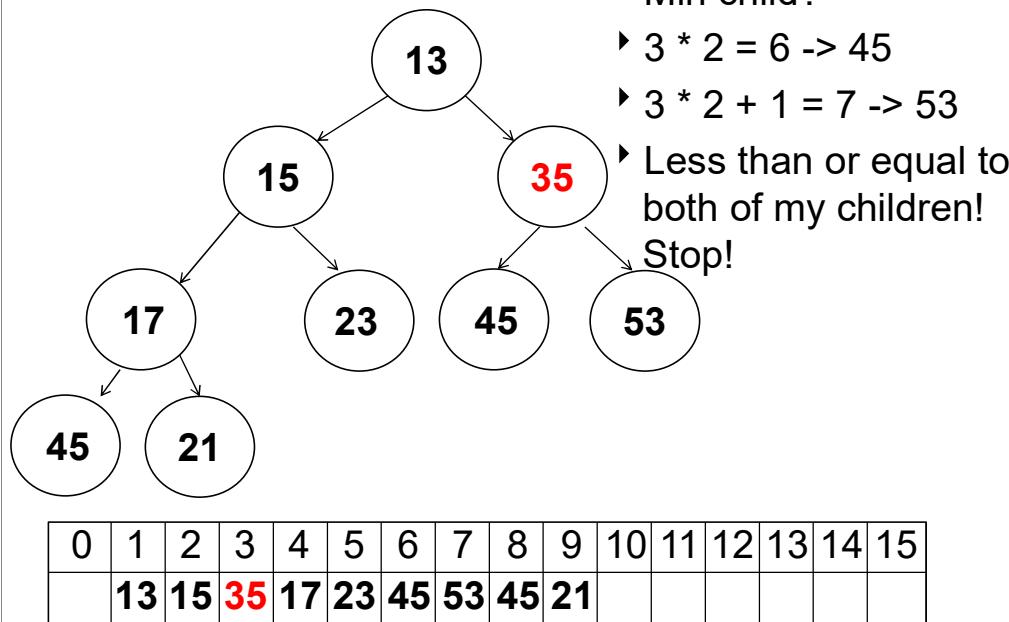
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
35	15	13	17	23	45	53	45	21							

## Dequeue Example



0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
13	15	35	17	23	45	53	45	21							

## Dequeue Example



- Min child?
- $3 * 2 = 6 \rightarrow 45$
- $3 * 2 + 1 = 7 \rightarrow 53$
- Less than or equal to both of my children!  
Stop!

## Dequeue Code

```

public E dequeue( ) {
    E top = con[1];
    int hole = 1;
    boolean done = false;
    while ( hole * 2 < size && ! done ) {
        int child = hole * 2;
        // see which child is smaller
        if ( con[child].compareTo( con[child + 1] ) > 0 )
            child++; // child now points to smaller

        // is replacement value bigger than child?
        if ( con[size].compareTo( con[child] ) > 0 ) {
            con[hole] = con[child];
            hole = child;
        }
        else
            done = true;
    }
    con[hole] = con[size];
    size--;
    return top;
}
  
```

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## Clicker 3 - PriorityQueue Comparison

- Run a Stress test of PQ implemented with Heap and PQ implemented with BinarySearchTree
- What will result be?
  - Heap takes half the time or less of BST
  - Heap faster, but not twice as fast
  - About the same
  - BST faster, but not twice as fast
  - BST takes half the time or less of Heap

## Data Structures

- Data structures we have studied
  - arrays, array based lists, linked lists, maps, sets, stacks, queues, trees, binary search trees, graphs, hash tables, red-black trees, priority queues, heaps, tries
- Most program languages have some built in data structures, native or library
- Must be familiar with performance of data structures
  - best learned by implementing them yourself

# Data Structures

- We have *not* covered every data structure

## Abstract data types [ edit source | edit beta ]

- Container
- Map/Associative array/Dictionary
- Multimap
- List
- Set
- Multiset
- Priority queue
- Queue
- Deque
- Stack
- String
- Tree
- Graph

Some properties of abstract data types:

Structure	Stable	Unique	Cells per Node
Bag (multiset)	no	no	1
Set	no	yes	1
List	yes	no	1
Map	no	yes	2

"Stable" means that input order is retained. Other str

[http://en.wikipedia.org/wiki/List\\_of\\_data\\_structures](http://en.wikipedia.org/wiki/List_of_data_structures)

## Arrays [ edit source | edit beta ]

- Array
- Bidirectional map
- Bit array
- Bit field
- Bitboard
- Bitmap
- Circular buffer
- Control table
- Image
- Dynamic array
- Gap buffer
- Hashed array tree
- Heightmap
- Lookup table
- Matrix
- Parallel array
- Sorted array
- Sparse array
- Sparse matrix
- Iffie vector
- Variable-length array

## Lists [ edit source | edit beta ]

- Doubly linked list
- Linked list
- Self-organizing list
- Skip list
- Unrolled linked list
- VList
- Xor linked list
- Zipper
- Doubly connected edge list
- Difference list

## Heaps [ edit source | edit beta ]

- Heap
- Binary heap
- Weak heap
- Binomial heap
- Fibonacci heap
  - AF-heap
- 2-3 heap
- Soft heap
- Pairing heap
- Leftist heap
- Treap
- Beap
- Skew heap
- Ternary heap
- D-ary heap

## Trees [ edit source | edit beta ]

In these data structures each

- Tree
- Radix tree
- Suffix tree
- Suffix array
- Compressed suffix array
- FM-index
- Generalised suffix tree
- B-tree
- Judy array
- X-fast tree
- Y-fast tree
- Ctree

## Multitree [ edit source ]

## Graphs [ edit source | edit beta ]

## Graph

## Adjacency list

## Adjacency matrix

## Graph-structured stack

## Scene graph

## Binary decision diagram

## Zero suppressed decision diagram

## And-inverter graph

## Directed graph

## Directed acyclic graph

## Propositional directed acyclic graph

## Multigraph

## Hypergraph

## Other [ edit source | edit beta ]

- Lightmap
- Winged edge
- Doubly connected edge list
- Quad-edge
- Routing table
- Symbol table

# Data Structures

- deque, b-trees, quad-trees, binary space partition trees, skip list, sparse list, sparse matrix, union-find data structure, Bloom filters, AVL trees, 2-3-4 trees, and more!
- Must be able to learn new and apply new data structures