A Logical Account of Causal and Topological Maps

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¹This work has taken place in the Intelligent Robotics Lab at the Artificial Intelligence Laboratory, The University of Texas at Austin. Research of the Intelligent Robotics lab is supported in part by NSF grants IRI-9504138 and CDA 9617327, and by funding from Tivoli Corporation.

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> > Abstract

We consider the problem of how an agent creates a discrete spatial representation from its continuous interactions with the environment. Such representation will be the *minimal* one that explains the experiences of the agent in the environment. In this paper we take the Spatial Semantic Hierarchy as the agent's target spatial representation, and use a circumscriptive theory to specify the minimal models associated with this representation. We provide a logic program to calculate the models of the proposed theory. We also illustrate how the different levels of the representation assume different spatial properties about both the environment and the actions performed by the agent. These spatial properties play the role of "filters" the agent applies in order to distinguish the different environment states it has visited.³

1 Introduction

The problem of map building –how an agent creates a discrete spatial representation from its continuous interactions with the environment– can be stated formally as an abduction task where the actions and observations of the agent are explained by connectivity relations among places in the environment [Shanahan, 1996, Shanahan, 1997, Remolina and Kuipers, 1998]. In this paper we consider the Spatial Semantic Hierarchy (SSH) [Kuipers, 2000, Kuipers and Byun, 1988, Kuipers and Byun, 1991] as the agent's target spatial representation. The SSH is a set of distinct representations for large scale space, each with its own ontology and each abstracted from the levels below it. The SSH describes the different states of knowledge that an agent uses in order to organize its sensorimotor experiences and create a spatial representation (i.e. a map). Using the SSH representation, navigation among places is not dependent on the accuracy, or even the existence, of metrical knowledge of the environment.

In order to define the *preferred models* associated with the experiences of the agent, we use a circumscriptive theory to specify the SSH's (minimal) models. Different models can exist that explain the same set of experiences. This occurs because the agent

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³This report is the extended version of the paper [Remolina and Kuipers, 2001] to appear in IJCAI-01, August, 20001.

could associate the same sensory description to different environment states, or because the agent has not completely explored the environment. The different SSH levels assume different spatial properties about the environment and the actions performed by the agent. These spatial properties play the role of "filters" the agent applies in order to distinguish the different environment states it has visited. For instance, at the SSH causal level two environment states are considered the same if any sequence of actions started at these states renders the same sequence of observations. At the SSH topological level, two environment states are considered the same if they are at the same place along the same paths. Finally, at the SSH metrical level, two environment states are the same, if it is possible to assign to them the same coordinates in any frame of reference available to the agent. In sections 3 and 4 we make precise the claims above.

Finally, we use the SSH circumscriptive theory as the specification for a logic program used to implement the abduction task. In the paper we provide the logic program for the SSH causal level theory and illustrate how to encode the minimality condition associated with this theory. We have implemented the program using Smodels [Niemelä and Simons, 1997] and confirm that the theory yields the intended models.

2 Related Work

The SSH grew out of the TOUR model proposed in [Kuipers, 1977, Kuipers, 1978]. Other computational theories of the cognitive map have been proposed: [Kortenkamp *et al.*, 1995, McDermott and Davis, 1984, Leiser and Zilbershatz, 1989, Yeap, 1988]. These theories share the same basic principles: the use of multiple frames of reference, qualitative representation of metrical information, and connectivity relations among landmarks. They differ in how they define what a landmark is, or the description (view, local 2D geometry) associated with a landmark. Except for McDermott and Davis, none of the theories above has a formal account like the one presented in this paper for the SSH.

Considering map building as a formal abduction task has been proposed by Shanahan [1996, 1997]. He proposes a logic-based framework (based on the circumscriptive event calculus) in which a robot constructs a model of the world through an abductive process whereby sensor data is explained by hypothesizing the existence, locations, and shapes of objects. In Shanahan's work, space is considered a real-valued coordinate system. As pointed out in [Shanahan, 1997], a problem of Shanahan's approach is the existence of many minimal models (maps) that explain the agent's experiences. We have alleviated this problem by considering the SSH topological map instead of an Euclidean space as the agent's target spatial representation.

The problem of distinguishing environment states by outputs (views) and inputs (actions) has been studied in the framework of automata theory [Basye *et al.*, 1995]. In this framework, the problem we address here is the one of finding the smallest automaton (w.r.t. the number of states) consistent with a given set of input/output pairs. Without any particular assumptions about the environment or the agent's perceptual abilities, the problem of finding this smallest automaton is NP-complete [Basye *et al.*, 1995].

The SSH [Kuipers, 2000, Kuipers and Byun, 1988, Kuipers and Byun, 1991] ab-

stracts the structure of an agent's spatial knowledge in a way that is relatively independent of its sensorimotor apparatus and the environment within which it moves. At the *SSH control level*, the agent and its environment are modeled as continuous dynamical systems whose equilibrium points are abstracted to a discrete set of *distinctive states*. A distinctive state has associated a *view* describing the sensory input obtained at that distinctive state. The control laws, whose executions define trajectories linking these distinctive states, are abstracted to *actions*, giving a discrete causal graph representation for the state space. The causal graph of states and actions can in turn be abstracted to a topological network of *places*, *paths* and *regions* (i.e. the *topological map*). Local metrical models, such as occupancy grids, of neighborhoods of places and paths can then be built on the framework of the topological network while avoiding global metrical consistency problems. In the next sections we formally describe the SSH causal and topological levels.

3 SSH Causal level

We use a first order sorted language in order to describe the SSH causal level. The sorts of this language include *distinctive states*, *views*, *actions* and *schemas*. The sort of distinctive states corresponds to the names given by the agent to the fixpoints of hillclimbing control strategies. It is possible for the agent to associate different distinctive state names with the same environment state. This is the case since the agent might not know at which of several environment states it is currently located. A distinctive state has an associated view. We use the predicate View(ds, v) to represent the fact that v is a *view* associated with *distinctive state* ds. We assume that a distinctive state has a unique view. However, we do **not** assume that views uniquely determine distinctive states (i.e. $View(ds, v) \wedge View(ds', v) \neq ds = ds'$). This is the case since the sensory capabilities of an agent may not be sufficient to distinguish distinctive states.

An action has a unique type, either *travel* or *turn*, associated with it. We use the predicate $Action_type(a, type)$ to represent the fact that the type of action *a* is *type*. Turn actions have associated a unique turn description, either *turnLeft*, *turnRight* or *turnAround*. We use the predicate $Turn_desc(a, desc)$ to indicate that desc is the turn description associated with the turn action *a*.

A schema represents an action execution performed by the agent in the environment. An action execution is characterized in terms of the distinctive states the agent was at before and after the action was performed.⁴ We use the predicate CS(s, ds, a, ds')to denote the fact that according to schema s, action a was performed starting at distinctive state ds and ending at distinctive state ds'. While schemas are explicit objects of our theory, most of the time it is convenient to leave them implicit. We introduce the following convenient notation:

$$\begin{aligned} \langle ds, a, ds' \rangle &\equiv_{def} \exists s \ CS(s, ds, a, ds') \\ \langle ds, type, ds' \rangle &\equiv_{def} \exists a \ \{ \langle ds, a, ds' \rangle \land Action_type(a, type) \} \\ \langle ds, desc, ds' \rangle &\equiv_{def} \exists a \ \{ \langle ds, a, ds' \rangle \land Turn_desc(a, desc) \} \end{aligned}$$

⁴An action execution also has metrical information associated with it. This metrical information represents an estimate of, for example, the distance or the angle between the distinctive states associated with the action execution.

Example 1

Consider a robot moving in the environment depicted in figure 1. The robot moves from distinctive state a to distinctive state b by performing a follow-midline action, ml. Then the robot performs the same action to move to distinctive state c. We assume that all corridor intersections look alike (v+). This set of experiences can be described by the formulae:

$$\begin{split} Action_type(ml,travel)\;, CS(s1,a,ml,b)\;,\; CS(s2,b,ml,c)\;,\\ View(a,v+)\;,\; View(b,v+)\;,\; View(c,v+)\;. \end{split}$$

(a)	(b)

Figure 1: (a) Distinctive states *a*, *b* and *c* are not distinguishable at the causal level. Topological information is needed in order to distinguish them. (b) All distinctive states are distinguished at the causal level given the new information $\langle c, travel, d \rangle$.

Given this set of experiences, at the SSH causal level distinctive states a, b and c are not distinguishable. Any known sequence of actions renders the same set of views. However, at the SSH topological level all these distinctive states are distinguishable since the robot has traveled from a to b and then to c following the same *path* (see example 3). Should the robot continue the exploration and visit distinctive state d, with view \Box , then by relying just on known actions and views the agent can distinguish all distinctive states it has visited (see example 2). {*end of example*}

The agent's experiences in the environment are described in terms of *CS*, *View*, *Action_type* and *Turn_desc* atomic formulae. Hereafter we use **E** to denote a particular agent's experience formulae. By **HS**(**E**) we denote the formulae stating that the sorts of schemas, distinctive states, views and actions are completely defined by the sets of *schema*, *distinctive states*, *view* and *action* constant symbols occurring in *E* respectively.⁵ By **DT** we denote our domain theory, the formulae stating that: (-) the sets {turn, travel}, {turnLeft,turnRight,turnAround}, completely define the sorts of *action_types* and *turn_descriptions*; (-) an action has associated a unique action type ; (-) distinctive states have associated a unique view; (-) the description (-) the type of actions as well as the qualitative description of turn actions is the one specified in *E*. The SSH causal level. We use the predicate ceq(ds, ds') to denote this fact. We will assume that actions are *deterministic*: ⁶

$$\langle ds, a, ds' \rangle \wedge \langle ds, a, ds'' \rangle \to ds' = ds''$$
 (1)

⁵That *sort* is completely defined by the constant symbols s_1, \ldots, s_n means that an interpretation for *sort* is the *Herbrand* interpretation defined by the set $\{s_1, \ldots, s_n\}$.

⁶Throughout this paper we assume that formulas are universally quantified.

CT(E) is the following nested abnormality theory [Lifschitz, 1995]:⁷

 $CT(E) = E, HS(E), DT, Axiom 1, CEQ_block$

where CEQ_block is defined as

$$\{ \begin{array}{l} max \ ceq : \\ ceq(ds, ds') \rightarrow ceq(ds', ds), \\ ceq(ds, ds') \wedge ceq(ds', ds'') \rightarrow ceq(ds, ds''), \\ ceq(ds, ds') \rightarrow View(ds, v) \equiv View(ds', v), \\ ceq(ds_1, ds_2) \wedge \langle ds_1, a, ds'_1 \rangle \wedge \langle ds_2, a, ds'_2 \rangle \rightarrow ceq(ds'_1, ds'_2) \end{array}$$

$$\{ \begin{array}{l} max \ ceq : \\ ceq(ds, ds') \rightarrow View(ds', ds), \\ ceq(ds_1, ds_2) \wedge \langle ds_1, a, ds'_1 \rangle \wedge \langle ds_2, a, ds'_2 \rangle \rightarrow ceq(ds'_1, ds'_2) \end{array}$$

It can be proved that the predicate ceq defines an equivalence relation on the sort of distinctive states. Axiom 44 states that indistinguishable distinctive states have the same view. Axiom 44 states that if distinctive states ds and ds' are indistinguishable and action a has been performed for both ds and ds', then the action links these states with indistinguishable states. By maximizing ceq we identify distinctive states that cannot be distinguished by actions and/or views, and thereby minimize the set of states represented by the model.⁸

Axioms 44 and 44 allow us to prove the following useful lemma:

Lemma 1 Let A denote a sequence of action symbols. Let A(ds) denote the distinctive state symbol resulting of starting the sequence A at distinctive state ds or \perp if A is not defined for ds.⁹ Then,

$$ceq(ds, ds') \land A(ds) \neq \perp \land A(ds') \neq \perp$$
$$\rightarrow View(A(ds), v) \equiv View(A(ds'), v)$$

Example 2

Consider the situation depicted in Figure 1b, with the corresponding schemas and views as in example 1. Using lemma 1 one can conclude that all distinctive states a, b and c are distinguishable by actions and views alone. For instance, $\{ml, ml\}(a) = c$, $\{ml, ml\}(b) = d$, $View(\{ml, ml\}(a), v+)$, $View(\{ml, ml\}(b), \Box)$, and consequently, $\neg ceq(a, b)$. $\{end \ of \ example\}$

The Herbrand models of CT(E) are in a one to one correspondence with the answer sets [Gelfond and Lifschitz, 1991] of the logic program in Figure 2.¹⁰ In this program, the X and Y variables range over distinctive states and the variable V ranges over views in E. The sets of rules 4 and 5 are the facts corresponding to the agent's

⁷See appendix A, page 15.

⁸See appendix D, page 22, for a throughly discussion on the formal properties of *ceq*.

⁹Given an action symbol A and distinctive state ds, A(ds) = ds' if the schema $\langle ds, A, ds' \rangle$ has been observed, otherwise, $A(ds) = \bot$. Moreover, $A(\bot) = \bot$. The definition is then extended to action sequences in the standard way. Notice that A(ds) being well-defined relies on our assumption that actions are deterministic (Axiom 1).

¹⁰See appendix C, page 20, for the definition and properties of answer sets. See appendix F, page 29, for a proof of the correctness of the logic program in Figure 2.

experiences. Rule 6 states that an answer set of the program should be *complete* with respect to *ceq*. Rules 7-9 require *ceq* to be an equivalence class. Rules 9 and 10 are the counterpart of axiom 44. Rule 12 is the counterpart of axiom 44. In order to define the maximality condition of *ceq*, the auxiliar predicate p(X, Y, X1, Y1) is introduced. This predicate reads as "*If X and Y were the same, then X1 and Y1 would be the same*". The predicate dist(X, Y) defines when distinctive states X and Y are distinguishable. Constraint 13 establishes the maximality condition on *ceq: ceq(X,Y)* should be the case unless X and Y are distinguishable.¹¹

$\{cs(ds, a, ds') \leftarrow \ldots : cs(ds, a, ds') \in E\}$	(4)
$\{view(ds,v) \leftarrow \ . \ : view(ds,v) \in E\}$	(5)
$ceq(X,Y), \neg ceq(X,Y) \leftarrow$.	
$p(X, Y, X, Y) \leftarrow .$	
$p(X, Y, X2, Y1) \leftarrow p(X, Y, X1, Y1), ceq(X1, X2).$	
$p(X, Y, X1, Y2) \leftarrow p(X, Y, X1, Y1), ceq(Y1, Y2).$	
$p(X, Y, X2, Y2) \leftarrow p(X, Y, X1, Y1), cs(X1, A, X2), cs(Y1, A, Y2).$	
$p(X, Y, Y1, X1) \leftarrow p(X, Y, X1, Y1).$	
$p(X, Y, X1, Y2) \leftarrow p(X, Y, X1, Y1), p(X, Y, Y1, Y2).$	
$dist(X,Y) \leftarrow p(X,Y,X1,Y1), view(X1,V), not \ view(Y1,V).$	
$dist(X,Y) \leftarrow p(X,Y,X1,Y1), not \ view(X1,V), view(Y1,V).$	
$ceq(X,Y); \neg ceq(X,Y) \leftarrow .$	(6)
$\leftarrow not \ ceq(X, X).$	(7)
$\leftarrow ceq(X,Y), not ceq(Y,X).$	(8)
$\leftarrow ceq(X,Y), ceq(Y,Z), not ceq(X,Z).$	(9)
$\leftarrow ceq(X,Y), view(X,V), not view(Y,V).$	(10)
$\leftarrow ceq(X,Y), not view(X,V), view(Y,V).$	(11)
$\leftarrow not \ ceq(X1,Y1), ceq(X,Y), cs(X,A,X1), cs(Y,A,Y1).$	(11)
(1,1,1,1), (0,1,1), (0,1,1), ((12)
$\leftarrow not \ ceq(X,Y), not \ dist(X,Y).$	(13)

Figure 2: Logic program associated with CT(E).

4 SSH Topological Level

We are to define the SSH topological theory, TT(E), associated with a set of experiences E. The language of this theory is a sorted language with sorts for *places*, *paths*

$$ceq(X,Y), \neg ceq(X,Y) \leftarrow$$

becomes

$$ceq(X,Y), \neg ceq(X,Y) \leftarrow dstate(X), dstate(Y)$$

where *dstate* is our predicate to identify the sort of distinctive states.

¹¹We have implemented this logic program in Smodels [Niemelä and Simons, 1997]. In the implementation, one has to add variable domain restrictions to the different rules. For example, rule

and *path directions*.¹² The main purpose of TT(E) is to minimize the set of paths and places consistent with the given experiences E. A place can be a *topological place* (hereafter place) or a *region*. A place is a set of distinctive states linked by turn actions. A region is a set of places. We use the predicates *tplace* and *is_region* to identify these subsorts. A path defines an order relation among places connected by travel with no turn actions. They play the role of streets in a city layout. We use the predicate *tpath* to identify the sort of paths. By minimizing the extent of *tplace*, *is_region* and *tpath* we minimize the sort of places and paths respectively.¹³ The language of the SSH topological level includes the following other predicates: teq(ds,ds') – distinctive states *ds* and *ds'* are *topologically* indistinguishable; at(ds,p) – distinctive state *ds* is at place *p*; *along(ds,pa,dir)* –distinctive state *ds* is along path *pa* in direction *dir*; *OnPath(pa,p)* –place *p* is on path *pa*; *PO(pa,dir,p,q)* –place *p* is before place *q* when facing direction *dir* on path *pa* (PO stands for Path Order).

TT(E), is the following nested abnormality theory:

$$\begin{aligned} \forall p, tplace(p) \equiv \neg is_region(p), \forall pa, tpath(pa), \\ \{min \ is_region : \\ CT(E), T_block, AT_block \} \end{aligned}$$
 (14)

The first line in Axioms 14 says that topological places and regions are the two subsorts of places, and that the predicate tpath represents the sort of paths. The block **CT(E)** is the one defined in the previous section. The block **T_block** defines the predicates turn, travel, and travel such that turn is the equivalence closure of the schemas $\langle \cdot, turn, \cdot \rangle$; travel and travel are the equivalence and transitive closure of the schemas $\langle \cdot, travel, \cdot \rangle$.

The block **AT_block** (Figure 3) is the heart of our theory.¹⁴ The purpose of this block is to define the extent of the predicates *tpath*, *tplace*, *at*, *along*, *PO* and *teq*, while identifying a minimum set of places and paths that explain E. The block has associated the circumscription policy¹⁵

circ
$$tpath \succ along \succ PO \succ OnPath \succ tplace$$
 var $SSH pred$

where SSHpred stands for the tuple of predicates *at*, *teq*, *travel_eq*, and *turn_eq*.¹⁶ This circumscription policy states (among others) that a minimum set of paths is preferred over a minimum set of places. Next we discuss the axioms in AT_block.

Predicate teq is the equivalence relation defined by axiom 15. teq(ds, ds') is the case whenever ds and ds' cannot be distinguished by views and actions (i.e. ceq(ds, ds')) and it is consistent to group ds and ds' into the same place. If we assume that views uniquely identify distinctive states (e.g. $View(ds, V) \land View(ds', V) \rightarrow ds = ds'$),

¹²The sort of directions is completely defined by the symbols *pos* and *neg*.

¹³Notice that our logic has sorts for *places* and *paths* but in order to minimize these sorts we have to explicitly have predicates representing them.

¹⁴Notice that the predicate *is_region* is not mentioned in the theory of figure 3. In the next section we will add to this theory axioms dealing with regions. For the purpose of this section, the minimization of *is_region* in conjunction with $\forall p, tplace(p) \equiv \neg is_region(p)$ implies (the default) $\forall p tplace(p)$.

¹⁵The symbol \succ indicates prioritized circumscription (see [Lifschitz, 1994] section 7.2).

¹⁶Block 20 in Figure 3 states that the predicate $turn_eq$ corresponds to the relation turn modulo teq. Block 32 defines $travel_eq$ to be the relation travel modulo teq.

$teq(ds, ds') \equiv \exists p \left\{ ceq(ds, ds') \wedge at(ds, p) \wedge at(ds', p) ight\},$	
at(ds, p) ightarrow tplace(p),	
$\exists ! p \ at(ds, p),$	
$\langle ds, turn, ds' angle \wedge at(ds, p) ightarrow at(ds', p),$	
$at(ds,p) \wedge at(ds',p) ightarrow turn_eq(ds,ds'),$	
$\{min \ turn_eq:$	
$teq(ds, ds') \wedge teq(dr, dr') \wedge \widehat{turn}(ds', dr') ightarrow turn_eq(ds, dr),$	
$turn_eq(ds, ds') \land turn_eq(ds', ds'') ightarrow turn_eq(ds, ds'') $ }	
along(ds, pa, dir) ightarrow tpath(pa),	
$at(ds,p) \wedge at(ds',q) \wedge trec{avel}(ds,ds') ightarrow$	
$\exists pa, \ dir \ \Big\{PO(pa, dir, p, q) \land along(ds, pa, dir) \land along(ds', pa, dir)\Big\},$	
$along(ds, pa, dir) \wedge along(ds, pa1, dir1) ightarrow pa = pa1,$	
$at(ds,p) \wedge at(ds',p) \wedge along(ds,pa,dir) \wedge \\$	
along(ds', pa, dir) ightarrow teq(ds, ds'),	
$ig \{ \langle ds, turn_desc, ds' angle \ \land \ turn_desc eq turnAround \land \$	
$along(ds, pa, dir) \wedge along(ds', pa1, dir1) \Big\} ightarrow pa eq pa1,$	
$\langle ds, turnAround, ds' angle ightarrow along(ds, pa, dir) \equiv along(ds', pa, -dir),$	
$PO(pa, pos, p, q) \equiv PO(pa, neg, q, p),$	
eg PO(pa, dir, p, p),	
$PO(pa, \mathit{dir}, p, q) \land PO(pa, \mathit{dir}, q, r) ightarrow PO(pa, \mathit{dir}, p, r),$	
PO(pa, dir, p, q) ightarrow OnPath(pa, p)	
$OnPath(pa, p) \land OnPath(pa, q) \land tpath(pa) \rightarrow$	
$\exists ds, ds' \{ at(ds, p) \land at(ds', q) \land travel_eq(ds, ds') \},$	
{min travel_eq:	
$teq(ds, ds') \wedge teq(dr, dr') \wedge travel(ds', dr') \rightarrow travel_eq(ds, dr),$	
$travel_eq(ds, ds') \land travel_eq(ds', ds'') \rightarrow travel_eq(ds, ds'') \ \}$	
circ $tpath \succ along \succ PO \succ OnPath \succ tplace$ var $SSHpred$	

Figure 3: AT_block.

then predicates ceq and teq will reduce to equality. This is expected since all that is required to identify a distinctive state is its view.¹⁷

Every distinctive state is at a unique place (Axiom 17). Whenever the agent turns, it stays at the same place (Axiom 18). Distinctive states grouped into a topological place should be turn connected (modulo teq) (Axiom 19). Travel actions among distinctive states are abstracted to topological paths connecting the places associated with those distinctive states (Axiom 22). A distinctive state is along at most one path (Axiom 23). At each place there is at most one distinctive state along a given path direction

¹⁷See appendix E, page 27, for a discussion of other simplification that can be made when views uniquely identify distinctive states.

(Axiom 24). Turn actions other than *turnAround* change the path the initial and final distinctive states are at (Axiom 25). *TurnAround* actions relate distinctive states being in the same path but opposite directions (Axiom 26). The order of places in a given path direction is the inverse of the order of places in the other path direction (Axiom 27). Axioms 28 and 29 require $PO(pa, dir, \cdot, \cdot)$ to be a non-reflexive transitive order for the places on *pa*. Places ordered by a path should belong to that path (Axiom 30). Axiom 31 requires the agent to have traveled among the places on a same path.

Our theory does not assume a "rectilinear" environment where paths intersect at most in one place. It is possible for different paths to have the same order of places (see Figure 4). Topological information can distinguish distinctive states not distinguishable by view and actions.

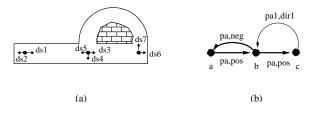


Figure 4: The environment in (a) illustrates a case where different paths intersect at more than one place. (b) depicts the topological map associated with this environment.

Example 3

Consider the scenario of example 1. Since the same view is experienced at a, band c, the extent of ceq is maximized by declaring ceq = true. Using the topological theory, from axiom 17 we conclude that there exist places P and Q, such that at(a, P)and at(c, Q). Since it is the case that travel(a, c), from axioms 22 and 28 we conclude, for instance, that $P \neq Q$. Distinctive states a and c are topologically distinguishable though they are "causally indistinguishable" (i.e. $ceq(a, c) \land \neg teq(a, c)$). {end of example}

Given a minimal model M of TT(E), the SSH topological map is defined by the extent in M of *tpath*, *tplace*, *along*, PO and *at*. Since the positive and negative direction of a path are chosen arbitrarily (Axiom 22), there is not a unique minimal model for TT(E). We will consider these "up to path direction isomorphic" models to be the same. However, it is still the case that the theory TT(E) has minimal models that are not isomorphic up to path direction (see Figure 5).

5 SSH Boundary Regions

In addition to connectivity and order among places and paths, the topological map includes topological boundary relations: assertions that a place lies to the right of, to the left of, or on a path. In order to determine boundary relations we formally state the following default heuristic. Suppose the agent is at an intersection on a given path,

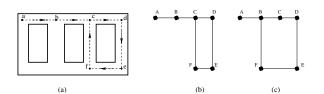


Figure 5: (a) The robot goes around the block visiting places A, \ldots, F, C in the order suggested in the figure. Intersections B and C look alike to the agent. Two minimal models can be associated with the set of experiences in (a) (see (b) and (c)). Topological information is not enough to decide whether the agent is back to B or C. Notice that if the agent accumulates more information, by turning at c and traveling to d, then it can deduce that the topology of the environment is the one in (b). In addition, when available, metrical information can be used to refute the incorrect topology.

and it then turns right. If the agent now travels, any place it finds while traveling with no turns will be on the right of the starting path. When conflicting information exists about whether a place is to the right or left of a path, we deduce no boundary relation (see Figure 6).

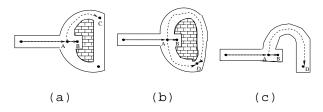


Figure 6: Different environments illustrating how our default to determine boundary relations works. In (a), we conclude by default that place C is to the left of the path from A to B. In (b) we conclude nothing about the location of place D with respect to the path from A to B. In (c), we conclude that place D is to the left of the path from A to B. This is the case since there is no information to conclude otherwise.

We use the predicates TotheRightOf/TotheLeftOf(p1, pa, dir, pa1, dir1) to represent the facts that (i) p1 is a *place* on both paths, pa and pa1, and (ii) when the agent is at *place* p1 facing in the direction *dir* of pa, after executing a turn right (left) action, the agent will be facing on the direction *dir1* of pa1 (see Figure 7). The predicates *TotheLeftOf* and *TotheRightOf* are derived from the actions performed by the agent at a place:

$$\langle ds, turnRight, ds1 \rangle \wedge at(ds, p) \wedge along(ds, pa, dir) \wedge$$

$$along(ds1, pa1, dir1) \rightarrow TotheRightOf(p, pa, dir, pa1, dir1)$$
(33)

We use the predicates LeftOf(pa, dir, lr) and RightOf(pa, dir, rr) to denote that region lr(rr) is the left (right) region of path pa with respect to the path's direction dir. The left/right regions of a path are unique, disjoint, and related when changing the path direction (i.e. $LeftOf(pa, dir, r) \equiv RightOf(pa, -dir, r)$). From the relative

orientation between paths at a place, we deduce the relative location of places with respect to a path (see Figure 7): 18

$$To the Right Of(p1, pa, dir, pa1, dir1) \land PO(pa1, dir1, p1, p) \land$$

$$Right Of(pa, dir, rr) \land \neg Ab(pa, p) \to in_region(p, rr)$$
(34)



Figure 7: Path *Pa1* is to the right of path *Pa* at place p1. Place p is after place p1 on path pa1. By default, we conclude that place p is to the right of path pa.

The predicate **Ab** is the standard "abnormality" predicate used to represent defaults in circumscriptive theories [Lifschitz, 1994]. Axiom 34 states that "normally", if at place p1 path pa1 is to the right of path pa, and place p is after p1 on path pa1, then it should be the case that p is on the right of pa (Figure 7). In order to capture this default, boundary regions domain theory axioms¹⁹ are added to the block **AT_block** (see Figure 3). Since we are interested in the extent of the new predicates *in_region*, *LeftOf*, *RightOf*, *TotheLeftOf* and *TotheRightOf*, we allow them to vary in the circumscription policy. The new circumscription policy becomes

> circ $tpath \succ along \succ PO \succ Onpath \succ Ab \succ is_region \succ$ in_region $\succ tplace$ var newSSHpred

where newSSHpred stands for the tuple of predicates *at*, *along*, *teq*, *travel_eq*, *turn_eq*, **LeftOf**, **RightOf**, **TotheLeftOf**, and **TotheRightOf**. The circumscription policy states that boundary relations should be established even at the expense of having more places on the map. In addition, by minimizing the predicates *is_region* and *in_region*, we require the models of our theory to have only the regions that are explicitly created by the agent, and not arbitrary ones.

Example 4

Boundary relations determine distinctions among environment states that could not be derived from the connectivity of places alone. Consider an agent visiting the different corners of a square room in the order suggested by Figure 8a. In addition, suppose the agent defines *views* by characterizing the direction of walls and open space. Accordingly, the agent experiences *four* different views, *v1-v4*, in this environment.

The set of experiences *E* in the environment are:

View(ds1,v1)	View(ds2,v2)	View(ds3,v1)
View(ds4, v2)	View(ds5,v1)	$\langle ds1, turnRight, ds2 angle$
$\langle ds2, travel, ds3 angle$	$\langle ds4, travel, ds5 angle$	$\langle ds3, turnRight, ds4 angle$

¹⁸The predicate *in_region*(p,r) states that *place* p is in *region* r.

¹⁹In the spirit of axioms 33-34.

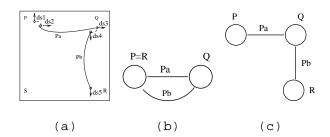


Figure 8: (a) The figure shows the sequence of actions followed by an agent while navigating a square room. Starting at distinctive state ds1, distinctive states are visited in the order suggested by their number. Dashed lines indicate Turn actions. Solid lines indicate Travel actions. (b) and (c) depict the topological map associated with the environment in (a) without and using boundary regions, respectively.

Suppose that the agent does not use boundary regions when building the topological map. Then the minimal topological model associated with *E* has two paths²⁰ and two places. In this model, teq(ds1, ds5) is the case. The environment looks perfectly symmetric to the agent (Figure 8b).!!

Suppose now that the agent relies on boundary regions. Let P, Q, R, be the topological places associated with ds1, ds3 and ds5 respectively. From Axiom 22, let Pa, Pb, dir_a and dir_b be such that $PO(Pa, dir_a, P, Q)$, $along(ds2, Pa, dir_a)$, $along(ds3, Pa, dir_a),$ $PO(Pb, dir_b, Q, R),$ $along(ds4, Pb, dir_b),$ and $along(ds5, Pb, dir_b)$ hold. From Axiom 33 we can conclude then $To the Right Of(Q, Pa, dir_a, Pb, dir_b).$ In the proposed model, the extent of Ab is minimized by declaring Ab = false and consequently from Axiom 34 we conclude $in_region(R, right(Pa, dir_a))$ where $right(Pa, dir_a)$ denotes the right region of Pa when facing dir_a . Finally, since a path and its regions are disjoint, and OnPath(Pa, P) is the case, we conclude $P \neq R$ and so $\neq teq(ds1, ds5)$. The resulting topological map is depicted in Figure 8c. {*end of example*}

If the agent's sensory capabilities are so impoverished that many distinctive states are perceived to be similar, then metrical information could be used to distinguish different environment states. Figure 9 summarizes different representations an agent could build depending on the spatial properties it relies on.

6 Conclusions

Starting with an informal description of the SSH we have formally specified its intended models. These models correspond to the models of the circumscriptive theory TT(E). The formal account of the theory allows us to illustrate the deductive power of the different SSH ontologies. For instance, example 4 shows how the use of boundary relations allows the agent to determine distinctions among environment states that could not be derived from the connectivity of places and paths alone.

²⁰Notice that from $\langle ds3, turnRight, ds4 \rangle$ and Axiom 25 we can deduce that $Pa \neq Pb$ in Figure 8b.

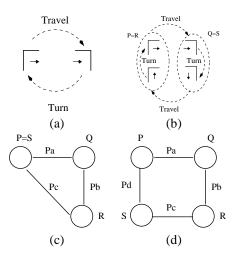


Figure 9: Consider the same environment and agent as in figure 8. Assumes the agent keeps turning right and following the left wall until it is back to distinctive state ds_1 , at place P. Only two kind of views $|\Rightarrow |ar| \Rightarrow |ar|$ are observed by the agent. Next we summarizes different maps the agent could build depending on the spatial properties it relies on. (a) If the agent only relies on causal information, the map consists of two states. (b) When topological information is used, but without boundary relations, the map consists of four states and two places. (c) When boundary relations are used, the map consists of six states and three places. There is no fixed correspondence between the three places in the map and the four indistinguishable places in the real world. (d) If metrical information is accurate enough to refute the hypothesis P = S, the map will consist of eight states and four places.

The theory TT(E) is rather complex so it may be difficult to determine the effect of the different defaults in combination. However, it is possible to translate this theory into a logic program whose answer sets determine the models of TT(E). We have illustrated the case for the SSH causal theory CT(E), but the same techniques apply for TT(E). The major subtleties in the translation are the minimality and maximality conditions associated with the theory. We have used Smodels to calculate the models of TT(E) and confirm that the theory yields the intended models. However, when the number of distinctive states is big, Smodels may not be able to ground the theory as the number of rules associated with the program grows exponentially. We are still working on solving this problem.

Acknowledgments

We are grateful to Vladimir Lifschitz for his valuable feedback during this work and for suggesting the use of Smodels to implement the ideas proposed here.

A Nested Abnormality theories

In this appendix we define circumscription and nested abnormalities theories following [Lifschitz, 1994, Lifschitz, 1995].

The main idea of circumscription is to consider, instead of arbitrary models of an axiom set, only the models that satisfy a certain minimality condition (usually set inclusion). Mathematically, circumscription is defined as a syntactic transformation of logical formulas. It transforms a sentence A into a stronger sentence A^* , such that the models of A^* are precisely the minimal models of A.

Definition 1 (Circumscription)

Let $A(P, Z_1, ..., Z_m)$ be a sentence containing a predicate constant P and object, function and/or predicate constants $Z_1, ..., Z_m$ (and possibly other object, function and predicate constants). The *circumscription of P in A with varied* $Z_1, ..., Z_m$ is the sentence

$$A(P, Z_1, \dots, Z_m) \land \neg \exists p, z_1, \dots, z_m \left[A(p, z_1, \dots, z_m) \land p < P \right]$$

$$(35)$$

where p < P denotes the formula

$$\forall x \ \{p(x) \to P(x)\} \ \land \exists x \{p(x) \land \neg P(x)\} \ .$$

We denote the formula 35 by CIRC[A; P; Z]. {end of definition}

Intuitively, the models of CIRC[A; P; Z] are the models of A in which the extent of P cannot be smaller without losing the property A, even at the price of changing the interpretations of the constants Z. In order to make this claim precisely, the following order, $\leq^{P;Z}$, is defined among structures of the language of A.

Definition 2 ($\leq^{P;Z}$)

Let M_1 and M_2 be two structures for a given one-sorted language. Then $M_1 \leq^{P;Z} M_2$ whenever

- $|M_1| = |M_2|^{21}$
- $M_1[C] = M_2[C]$,²² for every constant C which is different from P and does not belong to Z,
- $M_1[P] \subseteq M_2[P]$

{end of definition}

 $M_1 \leq^{P;Z} M_2$ means that M_1 and M_2 differ only in how they interpret P and Z, and the extent of P in M_1 is a subset of its extent in M_2 . The next relation (proposition 1 in [Lifschitz, 1994]) relates CIRC[A; P; Z] and $\leq^{P;Z}$:

²¹For a structure M, |M| denotes the universe of M.

 $^{^{22}}M\left[C\right]$ denotes the interpretation of C in M.

Theorem 1 A structure M is a model of CIRC [A; P; Z] if and only if M is minimal relative to $\leq^{P;Z}$.

Example 5

Circumscription is usually used in order to formalize default associated with an axiomatic theory. Suppose the we would like to represent the default "Normally a block in on the table". Suppose block B_1 is not on the table and let B_2 denotes another block. The circumscriptive theory below allow us to conclude $Ontable(B_2)$:

$$Block(x) \land \neg Ab(x) \to Ontable(x)$$
 (36)

$$\neg Ontable(B_1)$$
 (37)

$$Block(B_1), Block(B_2), B_1 \neq B_2$$

$$(38)$$

where we have extended our notation such that the above should be understood as

$$CIRC [36 \land 37 \land 38; Ab; Ontable]$$

In the above theory, it is the case that $Ab(x) \equiv x = B_1$, and consequently $Ontable(B_2)$ follows. The role of the predicate Ab is to single out the blocks that are "abnormal" relative to the default (36). {end of example}

It is often convenient to arrange different defaults by assigning priorities to them. For example, consider formalizing the enhancement of the theory above in which "Blocks are usually heavy", and "heavy block are usually not in the table". Next we define two extensions two the basic definition of circumscription: parallel and prioritized circumscription.

Definition 3 (Parallel Circumscription)

The parallel circumscription

$$CIRC\left[A; P^1, \ldots, P^k; Z\right]$$

is the sentence

$$A(P,Z) \land \neg \exists p, z [A(p,z) \land p \prec P]$$

where *P* stands for the tuple of predicates P^1, \ldots, P^n and $p \prec P$ stands for the formula $\forall 1 \leq i \leq n \ p^i \leq P^i \ \land \exists 1 \leq i \leq n \ p^i < P^i$ {*end of definition*}

The parallel circumscription of several predicates has a simple model theoretics characterization, similar to the one presented by theorem 1. When P is a tuple P^1, \ldots, P^n , the relation $M_1 \leq^{P;Z} M_2$ between structures M_1 and M_2 is defined as before, except that the condition $M_1[P] \subseteq M_2[P]$ is replaced by $M_1[P^i] \subseteq M_2[P^i]$ for all $i = 1, \ldots, n$.

Definition 4 (Prioritized Circumscription)

The prioritized circumscription

$$CIRC \left[A; P^1 \succ \ldots \succ P^k; Z\right]$$

is the sentence

$$A(P,Z) \land \neg \exists p, z [A(p,z) \land p \prec P]$$

where P stands for the tuple of predicates P^1, \ldots, P^n and $p \prec P$ stands for the formula

$$\bigvee_{i=1}^k \left(igwedge_{j=1}^{i-1} (p^j = P^j) \wedge (p^i < P^i)
ight) \; \; .$$

{*end of definition*}

The formula $p \prec P$ defines a *lexicographic* order among the predicates in p and P. When k = 1 it becomes p < P; if k = 2, it becomes

$$(p^1 < P^1) \lor ((p^1 = P^1) \to (p^2 < P^2))$$

Proposition 15 in [Lifschitz, 1994] shows that prioritized circumscription can be reduced to parallel circumscription as follows:

Theorem 2 The circumscription CIRC $[A; P^1 \succ ... \succ P^k; Z]$ is equivalent to

$$\bigwedge_{i=1}^{k} CIRC\left[A; P^{i}; P^{i+1}, \dots, P^{k}, Z\right]$$

B Nested Abnormality theories (NAT's)

Nested abnormality theories allows one to apply the circumscription operator to a subset of axioms, by structuring the knowledge base (the theory) into blocks. Each block can be viewed as a group of axioms that describes a certain collection of predicates and functions, and the nesting of blocks reflects the dependence of these descriptions on each other.

Definition 5 (NAT's)

Consider a second-order language L that does *not* include Ab among its symbols. For every natural number k, by L_k we denote the language obtained from L by adding Abas a k-ary predicate constant. *Blocks* are defined recursively as follows: For any k and any list of function and/or predicate constants C_1, \ldots, C_m of L, if each of A_1, \ldots, A_n is a formula of L_k or a *block*, then $\{C_1, \ldots, C_m : A_1, \ldots, A_n\}$ is a *block*. The last expression reads: C_1, \ldots, C_m are such that A_1, \ldots, A_n . About C_1, \ldots, C_m we say that they are *described* by this block. The semantics of NAT's is characterized by a map φ that translates blocks into sentences of L. It is convenient to make φ defined also on formulas of the languages L_k . If A is such a formula, then $\varphi(A)$ stands for the universal closure of A. For blocks we define, recursively:

 $\varphi \{C_1, \dots, C_m : A_1, \dots, A_n\} = \exists ab \ CIRC \ [\varphi A_1, \dots, \varphi A_n : ab : C_1, \dots, C_m]$ $\{end \ of \ definition\}$

Example 6

Consider the standard example: objects normally don't fly: birds normally do; canaries are birds; Tweety is a canary. These assertions can be formalized as the NAT whose only axiom is

```
 \{Flies : Flies(x) \rightarrow Ab(x), \\ \{Flies : Bird(x) \land \neg Ab(x) \rightarrow Flies(x), \\ Canary(x) \rightarrow Bird(x), \\ Canary(Tweety) \\ \}
```

The outer block describe the ability of objects to fly; the inner block gives more specific information about the ability of *birds* to fly. Each occurrence of the predicate Ab is "local" to its block, and so, the two occurrences of the predicate Ab refer two "unrelated" predicates though we use the same name. {*end of example*}

Most often, it is desirable not to mention the predicate Ab at all. We will adopt the following notations:

• $\{C_1, \ldots, C_m, \min P : A_1, \ldots, A_n\}$ stands for

 $\{C_1,\ldots,C_m,P : P(x) \to Ab(x), A_1,\ldots,A_n\}$

• $\{C_1,\ldots,C_m, max P : A_1,\ldots,A_n\}$ stands for

 $\{C_1,\ldots,C_m,P : \neg Ab(x) \to P(x), A_1,\ldots,A_n\}$

Using this notation, we could rewrite our previous example as

$$\{min \ Flies : \\ \{ \ Flies : \\ \}$$

```
Bird(x) \wedge \neg Ab(x) \rightarrow Flies(x), \ Canary(x) \rightarrow Bird(x), \ Canary(Tweety) \ \}
```

where we dispense the occurrence of one Ab predicate. The reader is referred to [McCarthy, 1980, McCarthy, 1986, Lifschitz, 1994, Lifschitz, 1995] for a complete survey of the uses and properties of circumscription and NATs.

}

C Answer Sets

In this appendix we defined the answer set semantics for a logic program as defined in [Lifschitz, 1999, Gelfond and Lifschitz, 1991].

Consider a set of propositional symbols, called **atoms**. A **literal** is an expression of the form A or $\neg A$, where A is an atom (we call the symbol \neg "classical negation", to distinguish it from the symbol *not* used for negation as failure). A rule element is an expression of the form L or *not* L, where L is a literal. A **rule** is an ordered pair

$$Head \leftarrow Body$$
 (40)

where *Head* and *Body* are finite sets of rule elements. If

$$Head = \{L_1, \ldots, L_k, not \, L_{k+1}, \ldots, not \, L_l\}$$

and

$$Body = \{L_{l+1}, \ldots, L_m, not \ L_{m+1}, \ldots, not \ L_n\}$$

 $(n \ge m \ge l \ge k \ge 0)$ then we write (40) as

$$L_1; \ldots, L_k; not L_{k+1}, \ldots, not L_l \leftarrow L_{l+1}, \ldots, L_m, not L_{m+1}, \ldots, not L_n$$

A rule (40) is a **constraint** if $Head = \emptyset$. A program is a set of rules.

The notion of an answer set is defined first for program that do not contain negation as failure (l = k and n = m in every rule of the program). Let Π be such program, and let X be a consistent set of literals. We say that X is **closed** under Π if, for every rule in Π , $Head \cap X \neq \emptyset$ whenever $Body \subseteq X$. We say that X is an **answer set** of Π if X is minimal among the sets closed under Π (relative to set inclusion).

Example 7

The program

$$\begin{array}{l} p; q \leftarrow \\ \neg r \leftarrow p \end{array}$$

has two answer sets: $\{p, \neg r\}$ and $\{q\}$. If we add the constraint

 $\leftarrow q$

to this program, we will get a program whose only answer set is $\{p, \neg r\}$ (see theorem 3 below). *{end of example}*

To extend the definition of an answer set to programs with negation as failure, take any program Π , and let X be a consistent set of literals. The **reduct** Π^X of Π relative to X is the set of rules

$$L_1;\ldots,L_k;\leftarrow L_{l+1},\ldots,L_m$$

for all rules (40) in Π such that X contains all the literals L_{k+1}, \ldots, L_l but does not contain any of the L_{m+1}, \ldots, L_n . Thus Π^X is a program without negation as failure. We say that X is an **answer set** for Π if X is an answer set for Π^X .

Example 8

The program

$$p \leftarrow not q$$
$$q \leftarrow not p$$

has two answer sets: $\{p\}$ and $\{q\}$. $\{end \ of \ example\}$

Adding a constraint to a program affects its collection of answer sets by eliminating the answer sets that "violate" this constraint. Next we prove this property of answer sets.

Theorem 3 Let Π_1 and Π_2 be logic programs such that Π_2 is obtained from Π_1 by adding a set of constraints C (i.e. $\Pi_2 = \Pi_1 \cup C$). Let X be a consistent set of literals. Then X is an answer set for Π_2 if and only if X is an answer set for Π_1 such that for each rule $\leftarrow L_1, \ldots, L_m$, not L_{m+1}, \ldots , not $L_n \in C$, $\{L_1, \ldots, L_m\} \not\subseteq X$ whenever X does not contain any of L_{m+1}, \ldots, L_n .

Proof. Let X and Y be consistent sets of literals. Let $C^X(Y)$ denote the fact that Y does not violates any constraint in C^X , that is, if $\leftarrow L_1, \ldots, L_m \in C^X$ then $\{L_1, \ldots, L_m\} \not\subseteq Y$. In particular,

$$Y \subseteq X \wedge C^X(X) \to C^X(Y) \tag{41}$$

Moreover, it is the case that

$$C^X(Y) \equiv Y \text{ is closed under } C^X \tag{42}$$

In fact, if Y is closed under C^X and $\leftarrow L_1, \ldots, L_m \in C^X$, then $\{L_1, \ldots, L_m\} \not\subseteq Y$ for otherwise $Y \cap \emptyset \neq \emptyset$. Conversely, if $C^X(Y)$ is the case, it is easy to see that Y is closed under C^X . From the definition of reduct we have that $\Pi_2^X = \Pi_1^X cup C^X$. Using (41) it is then the case that

$$\{Y: closed_{\Pi_2^X}(Y)\} = \{Y: closed_{\Pi_1^X}(Y) \land C^X(Y)\}$$

$$(43)$$

From facts (41) and (43) the theorem follows:

X answer set for Π_2

- \equiv X answer set for Π_2^X
- $\equiv X minimal of \{Y : closed_{\Pi_2^X}(Y)\}$
- $\stackrel{(43)}{\equiv} \quad X \text{ minimal of } \{Y : \ closed_{\Pi_{1}^{X}}(Y) \land C^{X}(Y)\}$

$$\equiv \quad C^{X}(X) \land \forall Y \left(Y \neq X \land Y \subseteq X \land C^{X}(Y) \rightarrow \neg closed_{\Pi_{1}^{X}}(Y) \right)$$

$$\stackrel{(41)}{\equiv} \quad C^X(X) \land \forall Y \left(Y \neq X \land Y \subseteq X \to \neg closed_{\Pi_1^X}(Y) \right)$$

$$\equiv C^X(X) \wedge X \text{ answer set for } \Pi_1^X$$

$$\equiv C^X(X) \wedge X \text{ answer set for } \Pi_1$$

D Ceq properties

In this appendix we provide proofs for the different properties of the predicated ceq defined in section 3 (Page 6). We start by proving that predicate ceq is indeed an equivalence relation.²³ We then illustrate that it in general it is necessary to explicitly ask ceq to be symmetric and transitive.²⁴ We show that have the agent completely explored the environment, then the maximization principle defining ceq will guaranty that ceq is an equivalence relation without explicitly requiring so (Page 6). Moreover, we show that in this case the predicate ceq captures the idea that two distinctive states are the same if they render the same views under any sequence of actions.

Theorem 4 The predicate ceq is an equivalence relation.

Proof. For the purpose of the proof next we reproduce the definition of the theory CT(E):

 $\begin{array}{l} CT(E) = \\ E, \ HS(E), \ DT, \\ \langle ds, a, ds' \rangle \land \langle ds, a, ds'' \rangle \rightarrow ds' = ds'', \qquad (Axiom \ 1) \\ CEQ_block = \\ \{ \ max \ ceq : \\ ceq(ds, ds') \rightarrow ceq(ds', ds), \\ ceq(ds, ds') \land ceq(ds', ds'') \rightarrow ceq(ds, ds''), \\ \\ ceq(ds, ds') \rightarrow View(ds, v) \equiv View(ds', v), \\ ceq(ds_1, ds_2) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle \rightarrow ceq(ds'_1, ds'_2) \\ \\ \end{array}$

We need to prove that ceq(ds, ds) is the case. Let M_1 be a model for the axioms inside the *CEQ_block* as well as the other axioms of CT(E). Let M_2 be a structure identical to M_1 except that

$$ceq^{M_2}(ds, ds') \equiv ceq^{M_1}(ds, ds') \lor ds = ds'$$
.

Our theorem follows once we prove that M_2 is a model for the axioms inside the *CEQ_block*.²⁵ Next we show why this is the case:

• $M_2 \models ceq(ds, ds') \rightarrow ceq(ds', ds)$. In fact,

$$ceq^{M_2}(ds, ds')$$

$$\equiv ceq^{M_1}(ds, ds') \lor ds = ds'$$

$$\rightarrow ceq^{M_1}(ds', ds) \lor ds' = ds$$

$$\equiv ceq^{M_2}(ds', ds)$$

²³See ceq's definition below.

 $^{^{24}}$ The maximization associated with ceq's definition does not guaranty these properties.

 $^{^{25}}M_2$ satisfies the other axioms in CT(E) since ceq does not occur in them.

• $M_2 \models ceq(ds, ds') \land ceq(ds', ds'') \rightarrow ceq(ds, ds'')$. In fact,

 $ceq^{M_2}(ds,ds') \wedge ceq^{M_2}(ds',ds'')$

- $\equiv (ceq^{M_1}(ds, ds') \lor ds = ds') \land (ceq^{M_1}(ds', ds'') \lor ds' = ds'')$
- $= \left(ceq^{M_1}(ds, ds') \land ceq^{M_1}(ds', ds'') \right) \lor \left(ds = ds' \land ceq^{M_1}(ds', ds'') \right) \lor \\ \left(ceq^{M_1}(ds, ds') \land ds' = ds'' \right) \lor \left(ds = ds' \land ds' = ds'' \right)$
- $\rightarrow ceq^{M_1}(ds, ds'') \lor (ds = ds' \land ds' = ds'')$
- $\equiv ceq^{M_2}(ds, ds'')$
- $M_2 \models ceq(ds, ds') \rightarrow View(ds, v) \equiv View(ds', v)$. In fact,
 - $ceq^{M_2}(ds, ds')$
 - $\equiv ceq^{M_1}(ds, ds') \lor ds = ds'$
 - $\rightarrow \forall v [View(ds, v) \equiv View(ds', v)] \lor ds = ds'$
 - $\rightarrow \quad \forall v \left[View(ds, v) \equiv View(ds', v) \right] \lor \forall v \left[View(ds, v) \equiv View(ds', v) \right]$
 - \equiv View(ds, v) \equiv View(ds', v)
- $M_2 \models ceq(ds_1, ds_2) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle \rightarrow ceq(ds'_1, ds''_2)$. In fact,

$$\begin{array}{rcl} ceq^{M_2}\left(ds_1, ds_2\right) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle \\ &\equiv & \left(ceq^{M_1}\left(ds_1, ds_2\right) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle\right) \lor \\ & & \left(ds_1 = ds_2 \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle\right) \\ & \rightarrow & ceq^{M_1}\left(ds'_1, ds'_2\right) \lor \left(\langle ds_1, a, ds'_1 \rangle \land \langle ds_1, a, ds'_2 \rangle\right) \\ & \stackrel{(1)}{\rightarrow} & ceq^{M_1}\left(ds'_1, ds'_2\right) \lor ds'_1 = ds'_2 \\ &\equiv & ceq^{M_2}\left(ds'_1, ds'_2\right) \end{array}$$

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Axiom 1 (i.e. actions are deterministic) is fundamental in the proof above. Without this axiom, we could have a set of experiences like

$$\begin{aligned} Action_type(ml, travel) , \\ CS(s1, a, ml, b) , \ CS(s2, a, ml, c) . \\ View(a, v) , \ View(b, v1) , \ View(c, v2) \end{aligned}$$

for which ceq(a, a) is not the case.

In general it is not possible to remove the ceq's symmetry and transitivity axioms from inside CEQ_block . Consider the following example.

Example 9

Let E be the set defined by the following formulae:

 $CS(s1, ds_1, a_1, ds_2) , CS(s2, ds_1, a_2, ds_3) ,$ $CS(s3, ds_2, a_3, ds_4) , CS(s4, ds_3, a_3, ds_5) ,$ $View(ds_1, v) , View(ds_2, v) , View(ds_3, v) ,$ $View(ds_4, v1) , View(ds_5, v2) .$

Suppose our definition of CEQ_block were :

 $\begin{array}{ll} CEQ_block &= \\ \{ \begin{array}{l} max \ ceq : \\ ceq(ds,ds') \rightarrow View(ds,v) \equiv View(ds',v), \\ ceq(ds_1,ds_2) \wedge \langle ds_1,a,ds'_1 \rangle \wedge \langle ds_2,a,ds'_2 \rangle \rightarrow ceq(ds'_1,ds'_2) \\ \} \end{array}$

Since $v1 \neq v2$ we conclude $\neg ceq(ds_4, ds_5)$. This in turn implies $\neg ceq(ds_2, ds_3)$. However, in order to maximize ceq we can make $ceq(ds_1, ds_2) \land ceq(ds_1, ds_3) \land ceq(ds_2, ds_1) \land ceq(ds_3, ds_1) \land \forall ds ceq(ds, ds)$ to be the case. In such a model, ceq is not transitive. {end of example}

There is a special case in which ceq is symmetric and transitive without explicitly tell so. This is the case when the result of every action at every distinctive state is known. In this case, we said that the set of experiences is complete.

Definition 6

A set of experiences E is complete whenever

$$E \models \forall a, ds \exists ds' \langle ds, a, ds' \rangle$$
.

{*end of definition*}

Theorem 5

Let *E* be a complete set of experiences. Let CT(E) be defined as follows:

```
\begin{array}{l} CT(E) \ = \\ E, \ HS(E), \ DT, \\ \langle ds, a, ds' \rangle \land \langle ds, a, ds'' \rangle \rightarrow ds' = ds'', \qquad (Axiom \ 1) \\ CEQ\_block \ = \\ \{ \ max \ ceq : \\ ceq(ds, ds') \rightarrow View(ds, v) \equiv View(ds', v), \\ ceq(ds_1, ds_2) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle \rightarrow ceq(ds'_1, ds'_2) \\ \} \end{array}
```

Then the predicate *ceq* is an equivalence relation.

Proof. Let M_1 be a model for the axioms inside the *CEQ_block* as well as the other axioms of CT(E). We need to prove that it is possible to have a structure M_2 identical to M_1 except that $ceq^{M_1} \subseteq ceq^{M_2}$, M_2 is a model for the axioms inside the *CEQ_block*, the other axioms of CT(E), and ceq^{M_2} is an equivalence class. The proof goes along the lines of theorem's 4 proof. Indeed, the same proof as in theorem 4 allow us to assume that M1 is reflexive.

Let M_2 be a model identical to M_1 except that

$$ceq^{M_2}(ds, ds') = ceq^{M_1}(ds, ds') \lor ceq^{M_1}(ds', ds)$$
.

By definition, ceq^{M_2} is symmetric. We need to prove that M_2 satisfy the axioms inside (the new) CEQ_block :

• $M_2 \models ceq(ds, ds') \rightarrow View(ds, v) \equiv View(ds', v)$. In fact,

$$\begin{aligned} & \operatorname{ceq}^{M_2}(ds, ds') \\ & \equiv \quad \operatorname{ceq}^{M_1}(ds, ds') \lor \operatorname{ceq}^{M_1}(ds', ds) \\ & \to \quad \forall v \left[\operatorname{View}(ds, v) \equiv \operatorname{View}(ds', v) \right] \lor \forall v \left[\operatorname{View}(ds', v) \equiv \operatorname{View}(ds, v) \right] \\ & \equiv \quad \operatorname{View}(ds, v) \equiv \operatorname{View}(ds', v) \end{aligned}$$

• $M_2 \models ceq(ds_1, ds_2) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle \rightarrow ceq(ds'_1, ds'_2)$. In fact,

$$\begin{split} &ceq^{M_2}(ds_1, ds_2) \wedge \langle ds_1, a, ds'_1 \rangle \wedge \langle ds_2, a, ds'_2 \rangle \\ &\equiv & \left[ceq^{M_1}(ds_1, ds_2) \wedge \langle ds_1, a, ds'_1 \rangle \wedge \langle ds_2, a, ds'_2 \rangle \right] \vee \\ & \left[ceq^{M_1}(ds_2, ds_1) \wedge \langle ds_1, a, ds'_1 \rangle \wedge \langle ds_2, a, ds'_2 \rangle \right] \\ &\rightarrow & ceq^{M_1}(ds'_1, ds'_2) \vee ceq^{M_1}(ds'_2, ds'_1) \\ &\equiv & ceq^{M_2}(ds'_1, ds'_2) \end{split}$$

Finally, let M_2 be a model identical to M_1 except that

$$ceq^{M_2} = transitive_closure(ceq^{M_1})$$

By definition, ceq^{M_2} is transitive. If ceq^{M_1} is reflexive and simmetric, so is ceq^{M_2} . We need to prove that M_2 satisfies the axioms inside (the new) CEQ_block :

• $M_2 \models ceq(ds, ds') \rightarrow View(ds, v) \equiv View(ds', v)$. In fact, $ceq^{M_2}(ds, ds')$ $\equiv \exists ds^0, ds^1, \dots, ds^n [ds = ds^0, ds' = ds^n, ceq^{M_1}(ds^i, ds^{i+1}), 0 \le i < n]$ $\rightarrow \exists ds^0, ds^1, \dots, ds^n$ $[ds = ds^0, ds' = ds^n, View(ds^i, v) \equiv View(ds^{i+1}, v), 0 \le i < n]$ $\rightarrow \exists ds^0, ds^n [ds = ds^0, ds' = ds^n, View(ds^0, v) \equiv View(ds^n, v)]$ $\equiv View(ds, v) \equiv View(ds', v)$

•
$$M_2 \models ceq(ds_1, ds_2) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle \rightarrow ceq(ds'_1, ds'_2)$$
. In fact,
 $ceq^{M_2}(ds_1, ds_2) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle$
 $\equiv \exists ds^i(1 \le i \le n) [ds_1 = ds^1, ds_2 = ds^n, ceq^{M_1}(ds^i, ds^{i+1}), 1 \le i < n]$
 $\land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle$
^{hyp.} $\exists ds^i \exists \langle ds^i, a, ds^{i'} \rangle$
 $[ds_1 = ds^1, ds_2 = ds^n, ds'_1 = ds^{1'}, ds'_2 = ds^{n'}, ceq^{M_1}(ds^i, ds^{i+1}), 1 \le i < n]$
 $\rightarrow \exists ds^{i'} [ds'_1 = ds^{1'}, ds'_2 = ds^{n'}, ceq^{M_1}(ds^{i'}, ds^{(i+1)'}), 1 \le i < n]$
 $\equiv ceq^{M_2}(ds'_1, ds'_2)$

When a set of experiences is complete the predicate *ceq* captures the idea that two distinctive states are the same if they render the same views under any sequence of actions. Assume that E is complete and let $A^* = a_1, \ldots, a_n$ a sequence of actions. The term $T(A^*)(ds)$ denotes the distinctive state resulting from executing A^* starting at ds. By definition, $T(A^*)(ds) = ds$ if n = 0, $T(A^*)(ds) = ds'$ such that $(E \models \langle T(a_1, \ldots, a_{n-1})(ds), a_n, ds' \rangle$. Notice that the definition of $T(A^*)(ds)$ makes sense since E is complete and actions are deterministic.

Theorem 6 Let E be a complete set of experiences. Then,

 $ceq(ds, ds') \equiv \forall A^*, v \ [View(T(A^*)(ds), v) \equiv View(T(A^*)(ds'), v)]$.

Proof. Let M_1 be a model for the axioms inside the *CEQ_block* as well as the other axioms of CT(E). Let M_2 be a model identical to M_1 except that

 $ceq^{M_2}(ds, ds') \equiv \forall A^*, v \ [View(T(A^*)(ds), v) \equiv View(T(A^*)(ds'), v)] \ .$

By induction in the length of action sequences on can prove that $ceq^{M_1} \subseteq ceq^{M_2}$. Our proof is complete by showing that M_2 satisfy the axioms inside (the new) CEQ_block :

• $M_2 \models ceq(ds, ds') \rightarrow View(ds, v) \equiv View(ds', v)$. In fact, suppose $M_2 \models ceq(ds, ds')$ and consider the empty sequence of actions. Then

 $View(ds, V) \equiv View(T(\{\})(ds), v) \equiv View(T(\{\})(ds'), v) \equiv View(ds', v) .$

• $M_2 \models ceq(ds_1, ds_2) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle \rightarrow ceq(ds'_1, ds'_2)$. In fact, $ceq^{M_2}(ds'_1, ds'_2)$ $\equiv \forall A^*, v \; [View(T(A^*)(ds'_1), v) \equiv view(T(A^*)(ds'_2), v)]$ $\leftarrow \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle \land$ $\forall A^*, v \; [View(T(aA^*)(ds_1), v) \equiv View(T(aA^*)(ds_2), v)]$ $\leftarrow \; ceq^{M_2}(ds_1, ds_2) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle$

E What if Views uniquely identify distinctive states

In this section we explore how our theory is simplified by the assumption that views uniquely identify distinctive states. Under this hypothesis, we add the following axiom to our theory:

$$View(ds, V) \land View(ds', V) \to ds = ds'$$

$$(44)$$

Since = satisfies all the axioms in **CEQ_block** (Page 6), we know that $= \subseteq ceq$. From 44 and 44 we conclude that $ceq \subseteq =$. Consequently, we do not need to have block CEQ_block and we can replace ceq by =.

Let's consider the predicate teq defined by Axiom 15 (Page 9). Since ceq is equal to =, we deduce that

$$teq(ds, ds') \equiv \exists p \{ ds = ds' \land at(ds, p) \land at(ds', p) \}$$
$$\equiv ds = ds' \land \exists p \ at(ds, p)$$
$$\equiv ds = ds'$$

Consequently, teq can also be replaced by =. The fact that ceq and teq reduce to = is expected since all that is required to identify a distinctive state is its view. Next we show that the predicates $turn_eq$ and $travel_eq$ can be replaced by \widehat{turn} and \widehat{travel} , respectively.

By replacing teq by =, block 20 can be rewritten as:

$$\left\{ \begin{array}{ccc} \min \ turn_eq: \\ \widehat{turn}(ds', dr') \wedge teq(ds, ds') \wedge teq(dr, dr') \rightarrow turn_eq(ds, dr) \\ turn_eq(ds, ds') \wedge turn_eq(ds', ds'') \rightarrow turn_eq(ds, ds'') \end{array} \right\} \\ \equiv \\ \left\{ \begin{array}{ccc} \min \ turn_eq: \\ \widehat{turn}(ds', dr') \wedge ds = ds' \wedge dr = dr' \rightarrow turn_eq(ds, dr) \\ turn_eq(ds, ds') \wedge turn_eq(ds', ds'') \rightarrow turn_eq(ds, ds'') \end{array} \right\} \\ \equiv \\ \left\{ \begin{array}{ccc} \min \ turn_eq: \\ \widehat{turn}(ds, dr) \rightarrow turn_eq(ds, dr) \\ turn_eq(ds, ds') \wedge turn_eq(ds', ds'') \rightarrow turn_eq(ds, ds'') \end{array} \right\} \\ \equiv \\ \left\{ \begin{array}{ccc} \min \ turn_eq: \\ \widehat{turn}(ds, dr) \rightarrow turn_eq(ds, dr) \\ turn_eq(ds, ds') \wedge turn_eq(ds', ds'') \rightarrow turn_eq(ds, ds'') \end{array} \right\} \\ \equiv \\ \widehat{turn} = turn_eq \end{cases}$$

where the last equality follows from the fact that turn is transitive, and $turn_eq$ is the minimum transitive predicate containing turn.

From the definition of $travel_eq$ (block 32), and a similar argument to the one above, we conclude that $travel_eq = travel$. Consequently, we can dispense with the use of the predicates $turn_eq$ and $travel_eq$ in our formalization.

Therefore, if views uniquely identify distinctive states, **AT_block** (Page 9) can be rewritten as follows:

```
\begin{split} AT\_block &= \\ \left\{ : & at(ds,p) \rightarrow tplace(p), \\ & \exists !p \ at(ds,p), \\ & \langle ds, turn, ds' \rangle \wedge at(ds,p) \rightarrow at(ds',p), \\ & at(ds,p) \wedge at(ds',p) \rightarrow turn(ds, ds'), \\ & along(ds, pa, dir) \rightarrow tpath(pa), \\ & at(ds,p) \wedge at(ds',q) \wedge travel(ds, ds') \rightarrow \\ & \exists pa, dir \left\{ PO(pa, dir, p, q) \wedge along(ds, pa, dir) \wedge along(ds', pa, dir) \right\}, \\ & along(ds, pa, dir) \wedge along(ds, pa1, dir1) \rightarrow pa = pa1, \\ & at(ds,p) \wedge at(ds', p) \wedge along(ds, pa, dir) \wedge along(ds', pa, dir) \rightarrow ds = ds', \\ \end{split}
```

```
along(ds, pa, dir) \land along(ds', pa1, dir1) \} \rightarrow pa \neq pa1,
\{\langle ds, turn\_desc, ds' \rangle \land turn\_desc \neq turnAround \land
along(ds, pa, dir) \land along(ds', pa1, dir1) \} \rightarrow pa \neq pa1,
\langle ds, turnAround, ds' \rangle \rightarrow along(ds, pa, dir) \equiv along(ds', pa, -dir),
```

```
\begin{split} &PO(pa, pos, p, q) \equiv PO(pa, neg, q, p), \\ &\neg PO(pa, dir, p, p), \\ &PO(pa, dir, p, q) \land PO(pa, dir, q, r) \rightarrow PO(pa, dir, p, r), \\ &PO(pa, dir, p, q) \rightarrow OnPath(pa, p) \\ &OnPath(pa, p) \land OnPath(pa, q) \land tpath(pa) \\ &\rightarrow \exists ds, ds' \{at(ds, p) \land at(ds', q) \land travel(ds, ds')\}, \end{split}
```

circ $tpath \succ along \succ PO \succ OnPath \succ tplace$ var at

}

F Logic Program Correctness

Given a set of experiences E, the models of the theory CT(E) (Page 6) indicate under what circumstances it is possible to consider two distinctive states as referring to the same environment state. In order to calculate these models, next we define a logic program such that its answer sets are in a one to one correspondence with the models of CT(E).

Recall that the theory CT(E) is defined as follows:

The logic program Π we will consider is defined as follows:

$$CT(E) = E, HS(E), DT$$

$$(45)$$

$$(46)$$

$$(46)$$

$$ceq(ds, ds), \tag{47}$$

$$ceq(ds, ds') \to ceq(ds', ds),$$

$$ceq(ds, ds') \land ceq(ds', ds'') \to ceq(ds, ds''),$$
(48)
$$(48)$$

$$(49)$$

$$ceq(ds, ds') \to View(ds, v) \equiv View(ds', v), \tag{50}$$

$$ceq(ds_1, ds_2) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle \to ceq(ds'_1, ds'_2)$$
(51)

(52)
(53)
(54)
(55)
(56)
(57)
(58)
(59)
(60)
(61)
(62)
(63)

}

	p(X, Y, X, Y)	\leftarrow	
p	(X, Y, X2, Y1)	\leftarrow	p(X, Y, X1, Y1), ceq(X1, X2).
p	(X, Y, X1, Y2)	\leftarrow	p(X, Y, X1, Y1), ceq(Y1, Y2).
p	(X, Y, X2, Y2)	\leftarrow	p(X, Y, X1, Y1), cs(X1, A, X2), cs(Y1, A, Y2).
p	(X, Y, Y1, X1)	\leftarrow	p(X, Y, X1, Y1).
p	(X, Y, X1, Y2)	\leftarrow	p(X, Y, X1, Y1), p(X, Y, Y1, Y2).
	dist(X,Y)	\leftarrow	$p(X, Y, X1, Y1), view(X1, V), not \ view(Y1, V).$
	dist(X,Y)	\leftarrow	$p(X, Y, X1, Y1), not \ view(X1, V), view(Y1, V).$
	$ceq(X,Y); \neg ceq$	l(X, Y)	$Y) \leftarrow .$
		\leftarrow	$not \ ceq(X, X).$
		\leftarrow	$ceq(X,Y), not \ ceq(Y,X).$
		\leftarrow	$ceq(X,Y), ceq(Y,Z), not \ ceq(X,Z).$
		_	cea(X, V) view (X, V) not view (Y, V)

\leftarrow	$ceq(X,Y), view(X,V), not \ view(Y,V).$	(64)
\leftarrow	$ceq(X,Y), not \ view(X,V), view(Y,V).$	(65)

$$\leftarrow \quad not \ ceq(X1,Y1), ceq(X,Y), cs(X,A,X1), cs(Y,A,Y1). \ (66)$$

$$\leftarrow \quad not \ ceq(X,Y), not \ dist(X,Y). \tag{67}$$

where the variables Xs and Ys variable range over distinctive states and the variable V ranges over views. Rule 60 states that an answer set of the program should be *complete* with respect to *ceq*. Rules 61-63 require *ceq* to be an equivalence class. Rules 64 and 65 are the counterpart of axiom 50. Rule 66 is the counterpart of axiom 51. In order to define the maximality condition of *ceq*, the auxiliar predicate p(X, Y, X1, Y1) is introduced. This predicate reads as "*If* X and Y were the same, then X1 and Y1 would be the same". The predicate dist(X, Y) defines when distinctive states X and Y are distinguishable. Constraint 67 establishes the maximality condition on *ceq*: ceq(X, Y) should be the case unless X and Y are distinguishable.

Notation. Given a set of experiences E, $\Pi(E)$ denotes the grounded program consisting of the rules $\{cs(ds, a, ds') \leftarrow . : E \models \langle ds, a, ds' \rangle\}$, $\{view(ds, v) \leftarrow . : E \models View(ds, v)\}$, and replacing in Π the occurrences of the variables X, X1, X2, Y, Y1, Y2 and V by distinctive states and view symbols in E, respectively.

Given a model M for the axioms 45-51, $\Pi(E)(ceq^M)$ denotes the program consisting of $\Pi(E)$ and the rules $\{ceq(a,b) \leftarrow . : M \models ceq(a,b)\}, \{\neg ceq(a,b) \leftarrow . : M \not\models ceq(a,b)\}$.

Similarly, $\Pi(E)_1(ceq^M)$ denotes the program resulting by removing from $\Pi(E)(ce^M)$ the rules associated with grounding rule 67. By $AS(\Pi(E)_1(ceq^M))$ we denote the answer set of $\Pi(E)_1(ceq^M)$ (see lemma 2, page 32).

We say that ceq^M is maximal in M whenever M is a model for CT(E).²⁶ {end of notation}

Using the notation above we can state our theorem as follows:

Theorem 7 ceq^M is maximal in M if and only if $AS(\Pi(E)_1(ceq^M))$ is an answer set for $\Pi(E)(ceq^M)$.

Notice that theorem 7 establishes a one to one correspondence between the answer sets of $\Pi(E)$ and the models of CT(E). Given a model M for CT(E), ceq^M is maximal in M, and so $AS(\Pi(E)_1(ceq^M))$ is an answer set for $\Pi(E)(ceq^M)$, thus, an answer set for $\Pi(E)$.²⁷Conversely, given any answer set X for $\Pi(E)$, the model M defined such that $M \models E$ and $ceq^M = \{(ds, ds') : (ds, ds') \in X\}$, is such that $AS(\Pi(E)_1(ceq^M)) = X$.²⁸ Consequently, ceq^M is maximal in M, that is, M is a model for CT(E).

Proof of theorem 7.

(a) Suppose ceq^M is maximal in M and $AS(\Pi(E)_1(ceq^E))$ is **not** an answer set for $\Pi(E)(ceq^M)$. Since $AS(\Pi(E)_1(ceq^M))$ is an answer set for $\Pi(E)_1(ceq^M)$, then

²⁶Recall that ceq^M denotes the interpretation of ceq in the structure M.

²⁷Suppose, X is an answer set for $\Pi(E)(ceq^M)$ and consider $Y \subseteq X$ closed under $\Pi(E)$. Then, in virtue of 60, Y is closed under ceq^M and so X = Y.

²⁸Given any program Π and X an answer set for Π , X is the unique answer set for $\Pi \cup X$.

 $AS(\Pi(E)_1(ceq^M))$ does not satisfy constraint 67.²⁹ Consequently, there exist distinctive states X and Y such that:

- 1. $ceq(X, Y) \not\in AS(\Pi(E)_1(ceq^M))$, and
- 2. $dist(X, Y) \not\in AS(\Pi(E)_1(ceq^M)).$

Define $ceq1^M$ in M such that $M \models ceq1(a, b)$ whenever $M \models ceq(a, b)$ or $p(X, Y, a, b) \in AS(\Pi(E)_1(ceq^M))$. Symbolically,

$$ceq1^{M}(a,b) \equiv ceq^{M}(a,b) \lor p(X,Y,a,b)$$
 .

By definition, $ceq^M \subset ceq1^{M}$.³⁰ We are to prove that $ceq1^M$ satisfies axioms 47-51, which will contradict the fact that ceq^M is maximal in M:

- By lemma 3, $ceq1^M$ is an equivalence relation.
- Suppose that $ceq1^{M}(ds, ds')$ is the case. If $ceq^{M}(ds, ds')$ is the case, then $M \models View(ds, v) \equiv View(ds', v)$. If p(X, Y, ds, ds') is the case, then $View(ds, v) \equiv View(ds', v)$ is the case, since otherwise dist(X, Y) will belong to $AS(\Pi(E)_1(ceq))$.³¹
- Suppose that $M \models ceq1(ds_1, ds_2) \land \langle ds_1, a, ds'_1 \rangle \land \langle ds_2, a, ds'_2 \rangle$. If $M \models ceq(ds_1, ds_2)$ is the case, then $M \models ceq(ds'_1, ds'_2)$ is the case. If $p(X, Y, ds_1, ds_2)$ is the case, by rule 55, $p(X, Y, ds'_1, ds'_2) \in AS(\Pi(E)_1(ceq^M))$ and so $M \models ceq1(ds'_1, ds'_2)$.

(b) Suppose that $AS(\Pi(E)_1(ceq))$ is an answer set for $\Pi(E)(ceq^M)$ and ceq^M is *not* maximal in M. Then, there exists $ceq1^M$, $ceq^M \subset ceq1^M$, $ceq1^M$ maximal in M. Moreover, by the if part of this theorem, (a) above, $AS(\Pi(E)_1(ceq1^M))$ is an answer set for $\Pi(E)(ceq1^M)$. Let X and Y be such that:

1. $M \models ceq1(X, Y),$

2. $M \not\models ceq(X, Y)$, and so (Lemma 2) $ceq(X, Y) \notin AS(\Pi(E)_1(ceq^M))$.

Since $AS(\Pi(E)_1(ceq^M))$ satisfies constraint 67, then $dist(X,Y) \in AS(\Pi(E)_1(ceq^M))$, and consequently (rules 58-59) there exist X1,Y1 and V, such that

- 1. $p(X, Y, X1, Y1) \in AS(\Pi(E)_1(ceq^M)),$
- 2. $M \models View(X1, V) \not\equiv View(Y1, V)$.

²⁹The answer sets of $\Pi(E)(ceq^M)$ are those answer sets of $\Pi(E)_1(ceq^M)$ that satisfy constraint 67. ³⁰Since $p(X, Y, X, Y) \in AS(\Pi(E)_1(ceq^M))$

³¹This is the case according to rules 58 and 59, and the fact that $AS(\Pi(E)_1(ceq))$ is the answer set for $\Pi(E)_1(ceq)$.

Since $ceq^M \subset ceq^{1M}$, then $p(X, Y, X1, Y1) \in AS(\Pi(E)_1(ceq^{1M}))$ (Lemma 4). Since $ceq(X, Y) \in AS(\Pi(E)_1(ceq^{1M}))$, then $ceq(X1, Y1) \in AS(\Pi(E)_1(ceq^{1M}))$ (Lemma 5) which is a contradiction since $AS(\Pi(E)_1(ceq^{1M}))$ satisfies constraints 64-65.



Lemma 2 Let M be a model for axioms 45-51. Let ceq^M be the interpretation of ceq in M^{32} Then $\Pi(E)_1(ceq^M)$ has a unique answer set which we denote by $AS(\Pi(E)_1(ceq^M))$. Moreover, for any two distinctive states ds and ds', $M \models$ ceq(ds, ds') if and only if $ceq(ds, ds') \in AS(\Pi(E)_1(ceq^M))$.

Proof. Let $\Pi(E)_2(ceq^M)$ denote the program resulting of removing from $\Pi(E)_1(ceq^M)$ those constraints resulting from grounding rules 61-66. The answer sets of $\Pi(E)_1(ceq^M)$ are those answer sets of $\Pi(E)_2(ceq^M)$ satisfying constraints 61-66. We are to prove that $\Pi(E)_2(ceq^M)$ has a unique answer set satisfying constraints 52-59.

Let *Facts* denote the union of the sets $\{cs(ds, a, ds') \leftarrow . : E \models \langle ds, a, ds' \rangle\}$, $\{view(ds, v) \leftarrow . : E \models View(ds, v)\}$, $\{ceq(a, b) \leftarrow . : M \models ceq(a, b)\}$, and $\{\neg ceq(a, b) \leftarrow . : M \not\models ceq(a, b)\}$. Any answer set of $\Pi(E)_2(ceq^M)$ contains *Facts*. Let X and Y denote two possible answer sets for $\Pi(E)_2(ceq^M)$. Then the reduct of X and Y are the same, $\Pi(E)_2(ceq^M)^X = \Pi(E)_2(ceq^M)^Y$, since both X and Y agree on the literals of the form view(ds, v).³³ Consequently, $\Pi(E)_2(ceq^M)$ has at most one answer set. In fact, $Cn(\Pi(E)_2(ceq^M)^{Facts})$ is such answer set.³⁴ In particular, $ceq(ds, ds') \in Cn(\Pi(E)_2(ceq^M)^{Facts})$ iff $ceq(ds, ds') \in Facts$ iff $M \models ceq(ds, ds')$.

Finally, since ceq^M satisfies axioms 47-51 then $Cn(\Pi(E)_2(ceq^M)^{Facts})$ satisfies constraints 61-66, thus, it is an answer set for $\Pi(E)_1(ceq^M)$. \Box

Lemma 3 Let M be a model for axioms 45-51. Let ceq^M be the interpretation of ceqin M, and let $AS(\Pi(E)_1(ceq^M))$ be the answer set for $\Pi(E)_1(ceq^M)$. Let X and Y be two arbitrary distinctive state symbols. Let $ceq1^M$ in M be such that $M \models ceq1(a, b)$ whenever $M \models ceq(a, b)$ or $p(X, Y, a, b) \in AS(\Pi(E)_1(ceq^M))$. Symbolically,

$$ceq1^{M}(a,b) \equiv ceq^{M}(a,b) \lor p(X,Y,a,b)$$
.

Then, $ceq1^M$ is an equivalence relation.

Proof.

• ceq1 is reflexive. Indeed,

$$ceq1^M(ds, ds) \equiv ceq^M(ds, ds) \lor p(X, Y, ds, ds) \stackrel{47}{\equiv} ceq^M(ds, ds)$$
.

 $^{^{32}}$ The interpretation of *ceq* in *M* is not necessarily maximal.

³³Notice that $view(ds, ds') \in X$ iff $view(ds, ds') \in Facts$.

 $^{{}^{34}\}mathbf{Cn}(\mathbf{\Pi})$ denotes the set of consequences of a logic program without negation as failure.

• ceq1 is symmetric. Indeed,

$$ceq1^{M}(ds, ds') \equiv ceq^{M}(ds, ds') \lor p(X, Y, ds, ds')$$

$$\stackrel{48}{\equiv} ceq^{M}(ds', ds) \lor p(X, Y, ds, ds')$$

$$\stackrel{56}{\equiv} ceq^{M}(ds', ds) \lor p(X, Y, ds', ds)$$

$$\equiv ceq1^{M}(ds1, ds)$$

• ceq1 is transitive. Indeed,

Lemma 4 Let M be a model for axioms 45-51, and let ceq^M , ceq^M , $ceq^M \subset ceq^M$, be two relations such that both satisfy axioms 47-51. Then, $AS(\Pi(E)_1(ceq^M)) \subset AS(\Pi(E)_1(ceq^1^M))$.

Proof. Let $\Pi(E)_2(ceq^M)$ denote the program resulting of removing from $\Pi(E)_1(ceq^M)$ those constraints resulting from grounding rules 61-66. Let *Facts* denote the union of the sets $\{cs(ds, a, ds') \leftarrow . : E \models \langle ds, a, ds' \rangle\}$, $\{view(ds, v) \leftarrow . : E \models view(ds, v)\}$, $\{ceq(a, b) \leftarrow . : M \models ceq(a, b)\}$, and $\{\neg ceq(a, b) \leftarrow . : M \not\models ceq(a, b)\}$. Define *Facts*1 in the same way as *Facts* but using *ceq*1 instead of *ceq*. Since, $ceq^M \subset ceq1^M$, it is the case that *Facts* \subset *Fact*1 and consequently $\Pi(E)_2(ceq^M) \subset \Pi(E)_2(ceq^{1M})$.

Since, Facts and Facts1 agree on literals of the form view(ds, v), it follows that $\Pi(E)_2(ceq^M)^{Facts} \subset \Pi(E)_2(ceq^M)^{Facts1}$. It follows then that $Cn(\Pi(E)_2(ceq^M)^{Facts}) \subset Cn(\Pi(E)_2(ceq^M)^{Facts1}) \subset Cn(\Pi(E)_2(ceq^M)^{Facts1})$. In lemma 2 we prove that $AS(\Pi(E)_1(ceq^M)) = Cn(\Pi(E)_2(ceq^M)^{Facts})$ and $AS(\Pi(E)_1(ceq^M)) = Cn(\Pi(E)_2(ceq^M)^{Facts})$. Therefore, $AS(\Pi(E)_1(ceq^M)) \subset AS(\Pi(E)_1(ceq^M))$.

Lemma 5 Let M be a model for axioms 45-51. If $ceq^{M}(ds, ds')$ and $p(ds, ds', ds1, ds1') \in AS(\Pi(E)_1(ceq^{M}))$, then $ceq^{M}(ds1, ds1')$.

Proof. Consider the program $\hat{\Pi}(E)_1(ceq^M)$ resulting from $\Pi(E)_1(ceq^M)$, by removing those instances of rules 56 and 57 where X = ds and Y = ds'. Let AS denote the answer set for $\hat{\Pi}(E)_1(ceq^M)$. We are to prove that $AS(\Pi(E)_1(ceq^M)) = AS$, which in virtue of property 68, proves our theorem. Notice that $AS(\Pi(E)_1(ceq^M))$ is closed under $\hat{\Pi}(E)_1(ceq^M)$, and consequently $AS \subseteq AS(\Pi(E)_1(ceq^M))$. We need to prove then that AS is closed under $\Pi(E)_1(ceq^M)$.

Since AS is the answer set for $\hat{\Pi}(E)_1(ceq^M)$, $p(ds, ds', X, Y) \in AS$ if and only if there exist distinctive states $X_0, \hat{X}_0, Y_0, \hat{X}_0, \dots, X_n, \hat{X}_n, Y_n, \hat{X}_n$ and actions A_0, \dots, A_{n-1} such that

(X₀, Y₀) = (ds, ds'), (X̂_n, Ŷ_n) = (X, Y),
 ceq(X_i, X̂_i), ceq(Y_i, Ŷ_i), 0 ≤ i ≤ n.
 cs(X̂_i, A_i, X_{i+1}), cd(Ŷ_i, A_i, Y_{i+1}), 0 ≤ i < n.

By induction on n we can prove then that

$$if \ p(ds, ds', X, Y) \in AS \ then \ ceq(X, Y) \in AS.$$
(68)

For n = 0 we have that $(X_0, Y_0) = (ds, ds')$, $(\hat{X}_0, \hat{Y}_0) = (X, Y)$, ceq(ds, X), and ceq(ds', Y). Since ceq is an equivalence relation and ceq(ds, ds'), it follows that ceq(X, Y). Suppose now that $(\hat{X}_n, \hat{Y}_n) = (X, Y)$ for some n > 0. By induction hypothesis, $ceq(\hat{X}_{n-1}, \hat{Y}_{n-1})$. Since ceq satisfies constraint 66, it follows that $ceq(X_n, Y_n) \in AS$. Since ceq is an equivalence relation, it follows then that $ceq(\hat{X}_n, \hat{Y}_n)$.

Using 68 we prove that AS is closed under $\Pi(E)_1(ceq^M)$). Indeed,

$$\begin{array}{ccc} p(ds,ds',Y,X) & \stackrel{54}{\leftarrow} & p(ds,ds',Y,Y), ceq(Y,X) \\ & \stackrel{53,48}{\leftarrow} & p(ds,ds',X,Y), ceq(X,Y) \\ & \stackrel{68}{\leftarrow} & p(ds,ds',X,Y) \in AS \end{array}$$

$$\begin{array}{rcl} p(ds,ds',X,Z) & \stackrel{53}{\leftarrow} & p(ds,ds',Y,Z) \wedge ceq(Y,X) \\ & \stackrel{49}{\leftarrow} & p(ds,ds',X,Y) \wedge ceq(X,Y) \wedge \\ & & p(ds,ds',Y,Z) \wedge ceq(Y,Z) \\ & \stackrel{68}{\leftarrow} & p(ds,ds',X,Y) \in AS \wedge p(ds,ds',Y,Z) \in AS \end{array}$$

We have proved that AS is closed under $\Pi(E)_1(ceq^M)$). It follows that $AS = AS(\Pi(E)_1(ceq^M))$. \Box

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