

Evolving Neural Networks

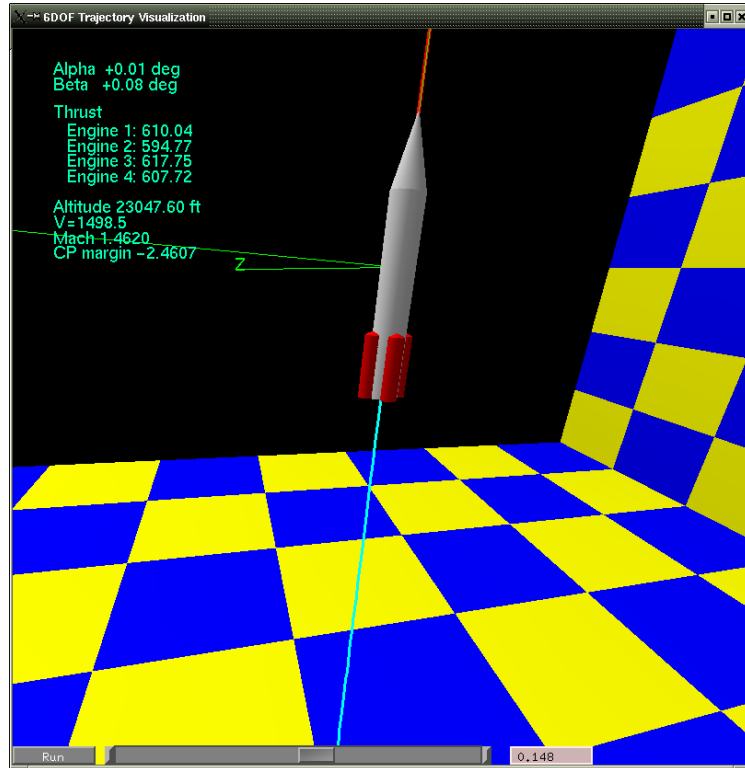
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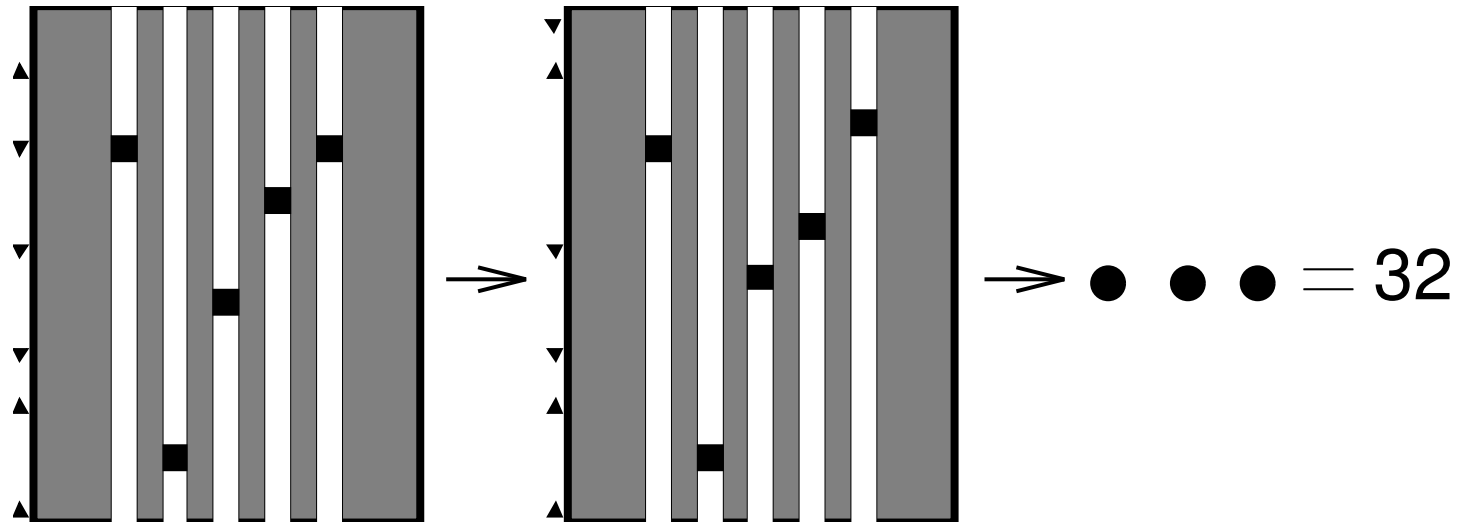
<http://www.cs.utexas.edu/~risto>

Why Neuroevolution?



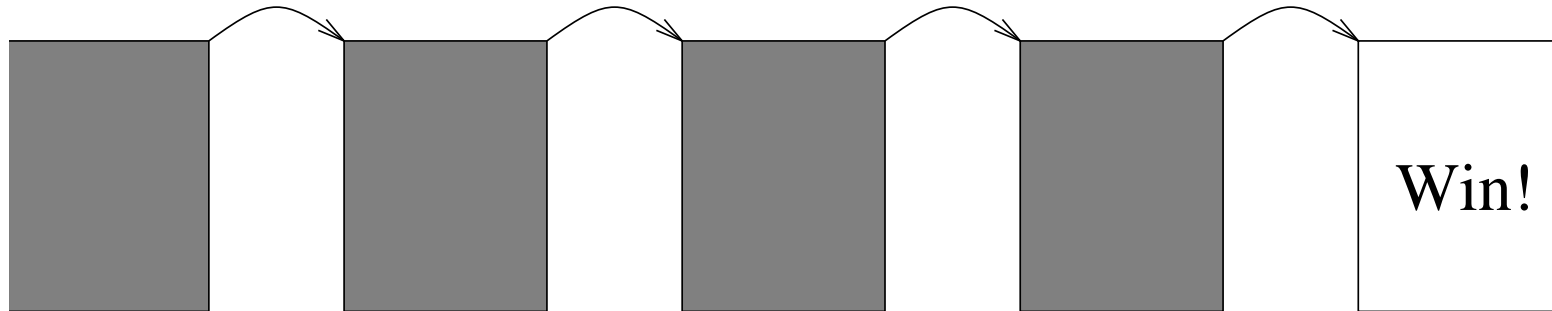
- Neural nets powerful in many statistical domains
 - E.g. control, pattern recognition, prediction, decision making
 - Where no good theory of the domain exists
- Good supervised training algorithms exist
 - Learn a nonlinear function that matches the examples
- What if correct outputs are not known?

Sequential Decision Tasks



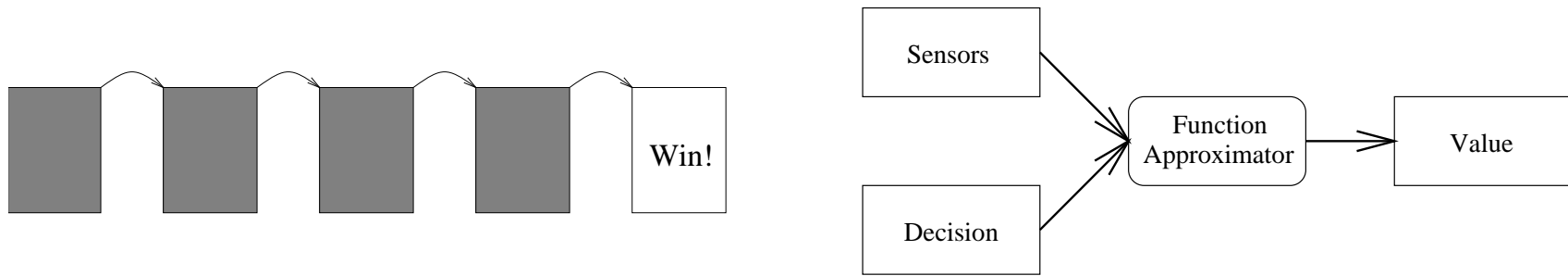
- POMDP: Sequence of decisions creates a sequence of states
- No targets: Performance evaluated after several decisions
- Many important real-world domains:
 - Robot/vehicle/traffic control
 - Computer/manufacturing/process optimization
 - Game playing

Forming Decision Strategies



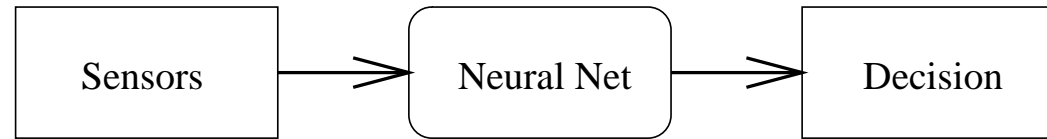
- Traditionally designed by hand
 - Too complex: Hard to anticipate all scenarios
 - Too inflexible: Cannot adapt on-line
- Need to discover through exploration
 - Based on sparse reinforcement
 - Associate actions with outcomes

Standard Reinforcement Learning



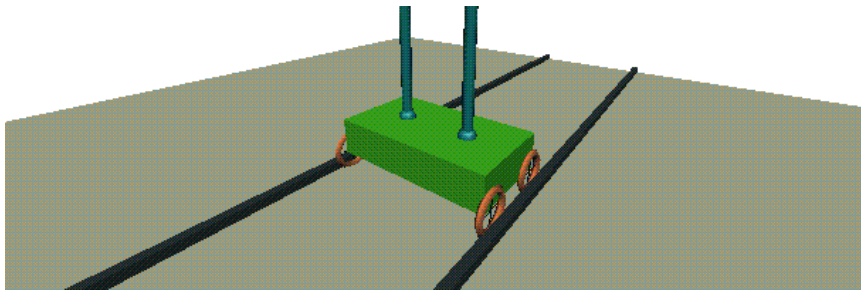
- AHC, Q-learning, Temporal Differences
 - Generate targets through prediction errors
 - Learn when successive predictions differ
- Predictions represented as a value function
 - Values of alternatives at each state
- Difficult with large/continuous state and action spaces
- Difficult with hidden states

Neuroevolution (NE) Reinforcement Learning



- NE = constructing neural networks with evolutionary algorithms
- Direct nonlinear mapping from sensors to actions
- Large/continuous states and actions easy
 - Generalization in neural networks
- Hidden states disambiguated through memory
 - Recurrency in neural networks⁸⁸

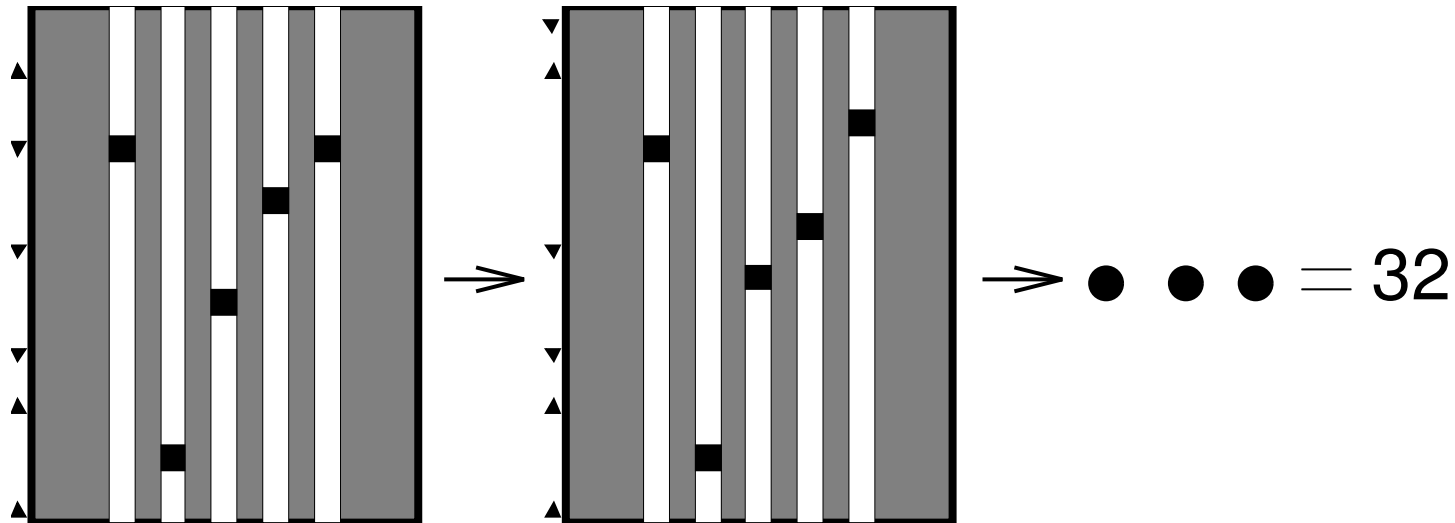
How well does it work?



Poles	Method	Evals	Succ.
One	VAPS	(500,000)	0%
	SARSA	13,562	59%
	Q-MLP	11,331	
	NE	127	
Two	NE	3,416	

- Difficult RL benchmark: Non-Markov Pole Balancing
- NE 3 orders of magnitude faster than standard RL²⁸
- NE can solve harder problems

Role of Neuroevolution



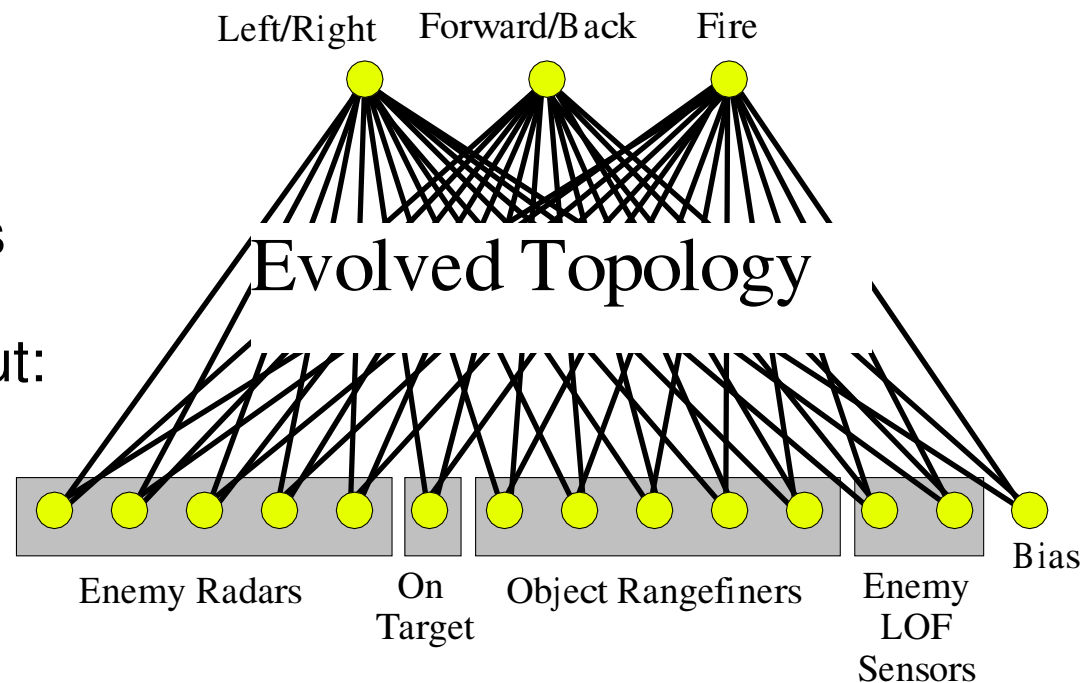
- Powerful method for sequential decision tasks^{16;28;54;104}
 - Optimizing existing tasks
 - Discovering novel solutions
 - Making new applications possible
- Also may be useful in supervised tasks^{50;61}
 - Especially when network topology important
- A unique model of biological adaptation/development^{56;69;99}
8/66

Outline

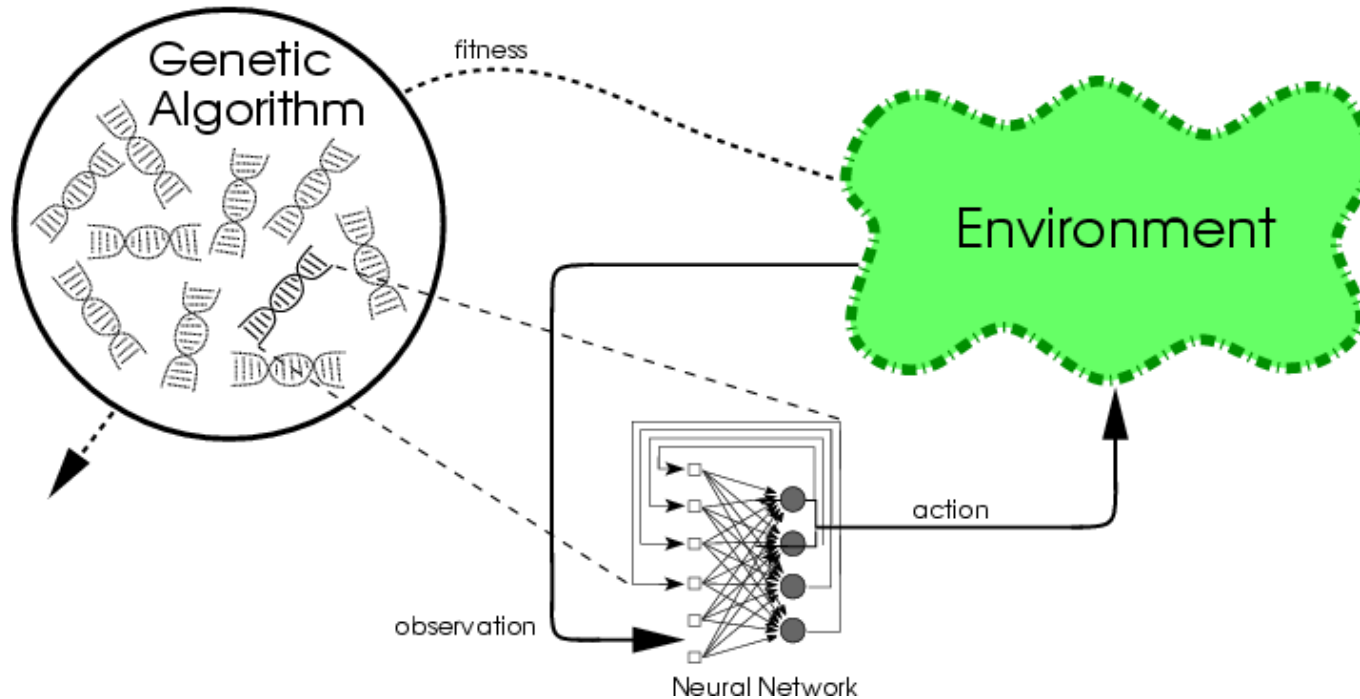
- Basic neuroevolution techniques
- Advanced techniques
 - E.g. combining learning and evolution; novelty search
- Extensions to applications
- Application examples
 - Control, Robotics, Artificial Life, Games

Neuroevolution Decision Strategies

- Input variables describe the state
- Output variables describe actions
- Network between input and output:
 - Nonlinear hidden nodes
 - Weighted connections
- Execution:
 - Numerical activation of input
 - Performs a nonlinear mapping
 - Memory in recurrent connections

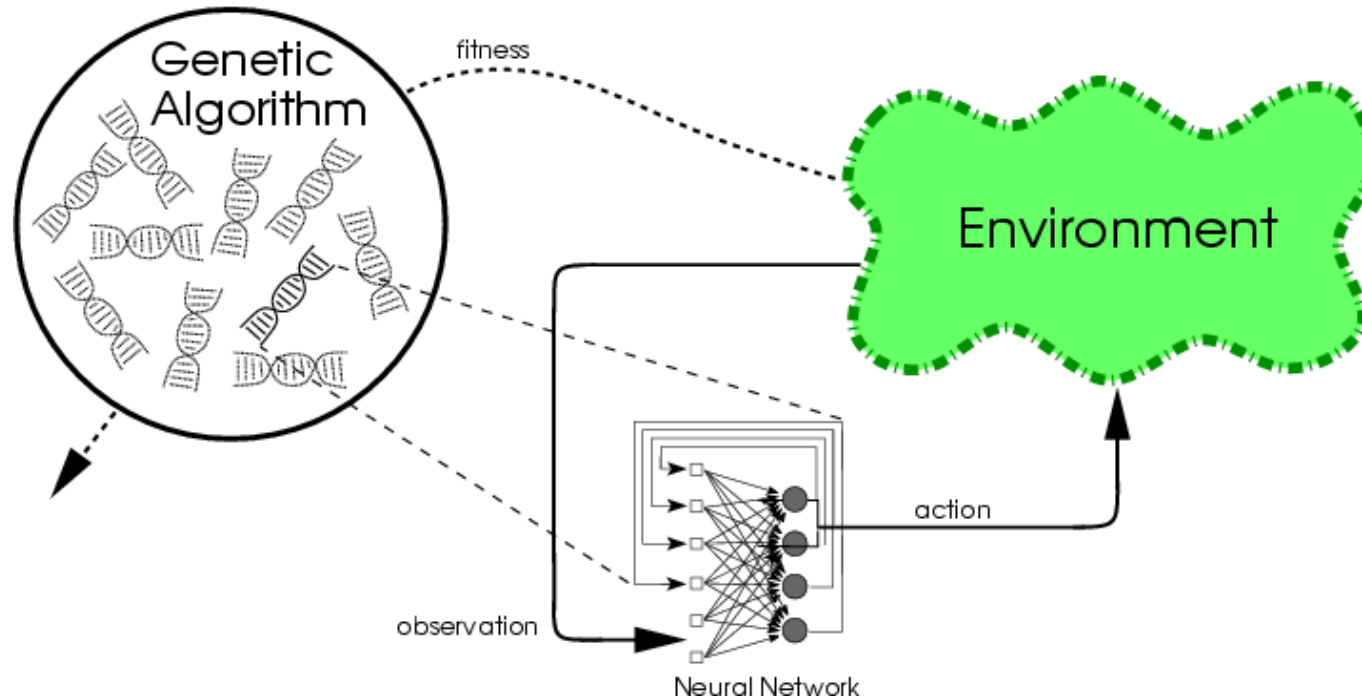


Conventional Neuroevolution (CNE)



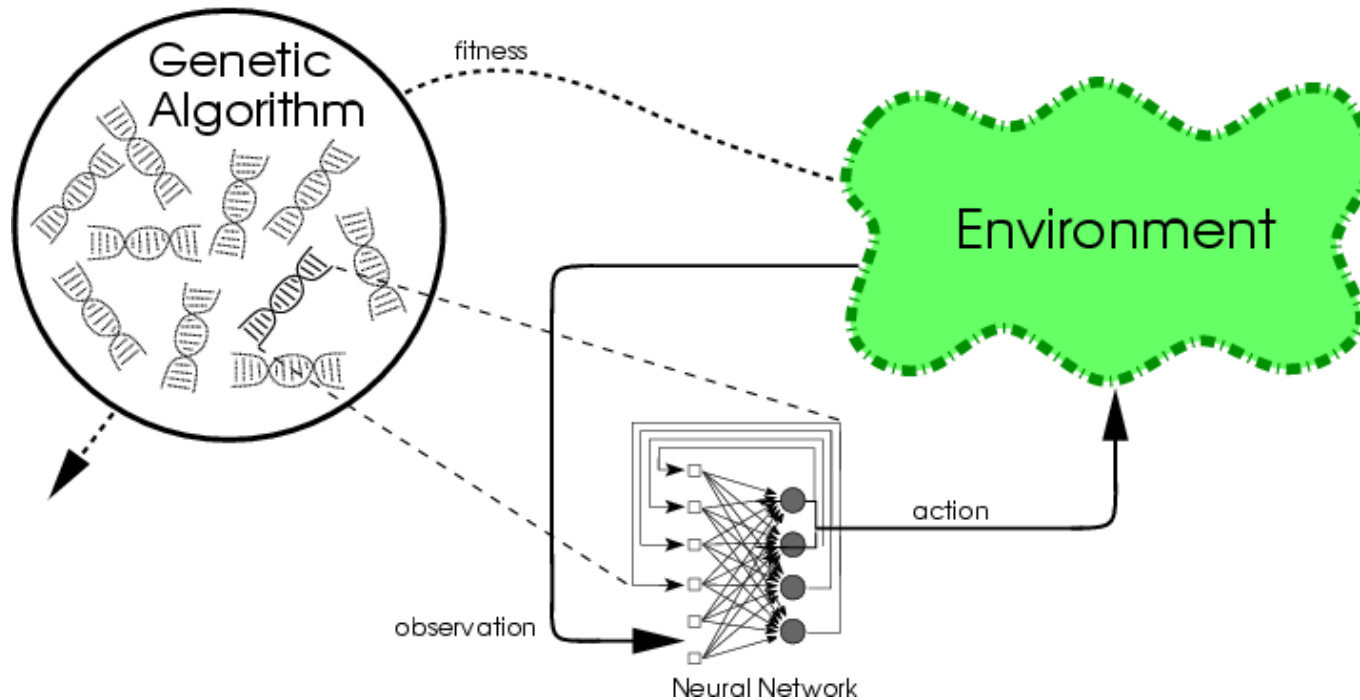
- Evolving connection weights in a population of networks^{50;70;104;105}
- Chromosomes are strings of connection weights (bits or real)
 - E.g. 10010110101100101111001
 - Usually fully connected, fixed topology
 - Initially random

Conventional Neuroevolution (2)



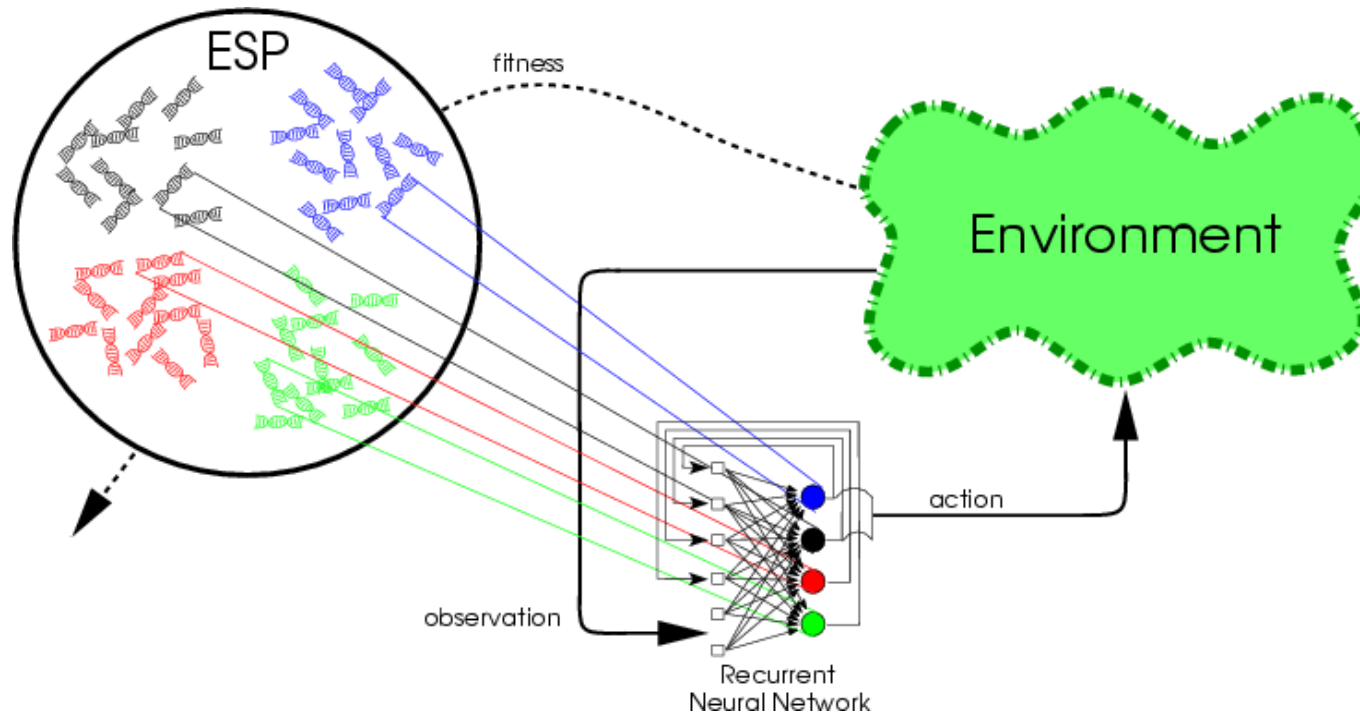
- Parallel search for a solution network
 - Each NN evaluated in the task
 - Good NN reproduce through crossover, mutation
 - Bad thrown away
- Natural mapping between genotype and phenotype
 - GA and NN are a good match!

Problems with CNE



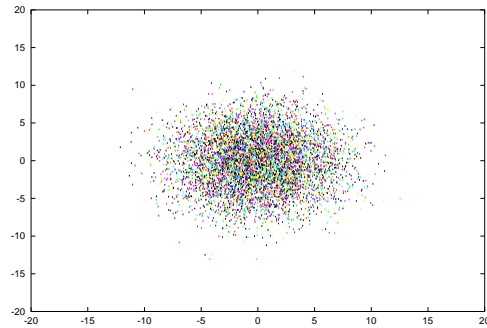
- Evolution converges the population (as usual with EAs)
 - Diversity is lost; progress stagnates
- Competing conventions
 - Different, incompatible encodings for the same solution
- Too many parameters to be optimized simultaneously
 - Thousands of weight values at once

Advanced NE 1: Evolving Partial Networks

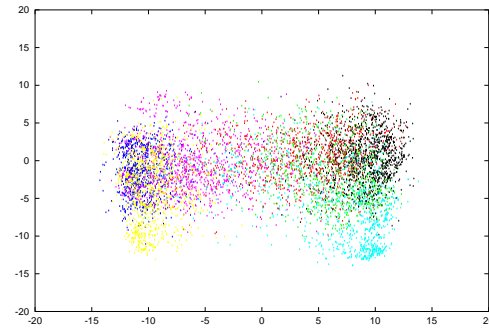


- Evolving individual neurons to cooperate in networks^{1;53;61}
- E.g. Enforced Sub-Populations (ESP²³)
 - Each (hidden) neuron in a separate subpopulation
 - Fully connected; weights of each neuron evolved
 - Populations learn compatible subtasks

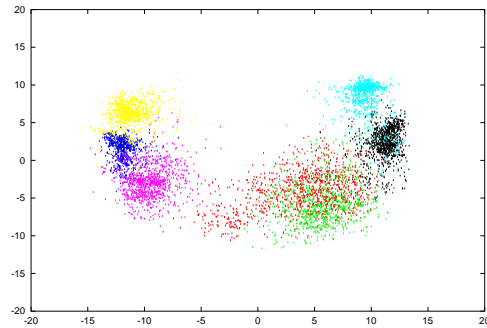
Evolving Neurons with ESP



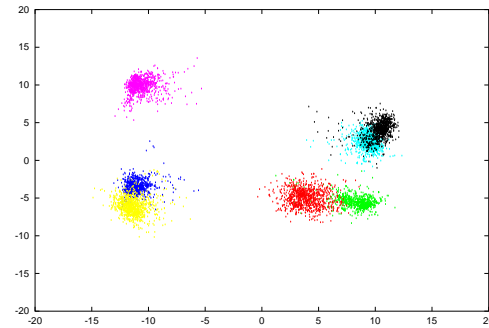
Generation 1



Generation 20



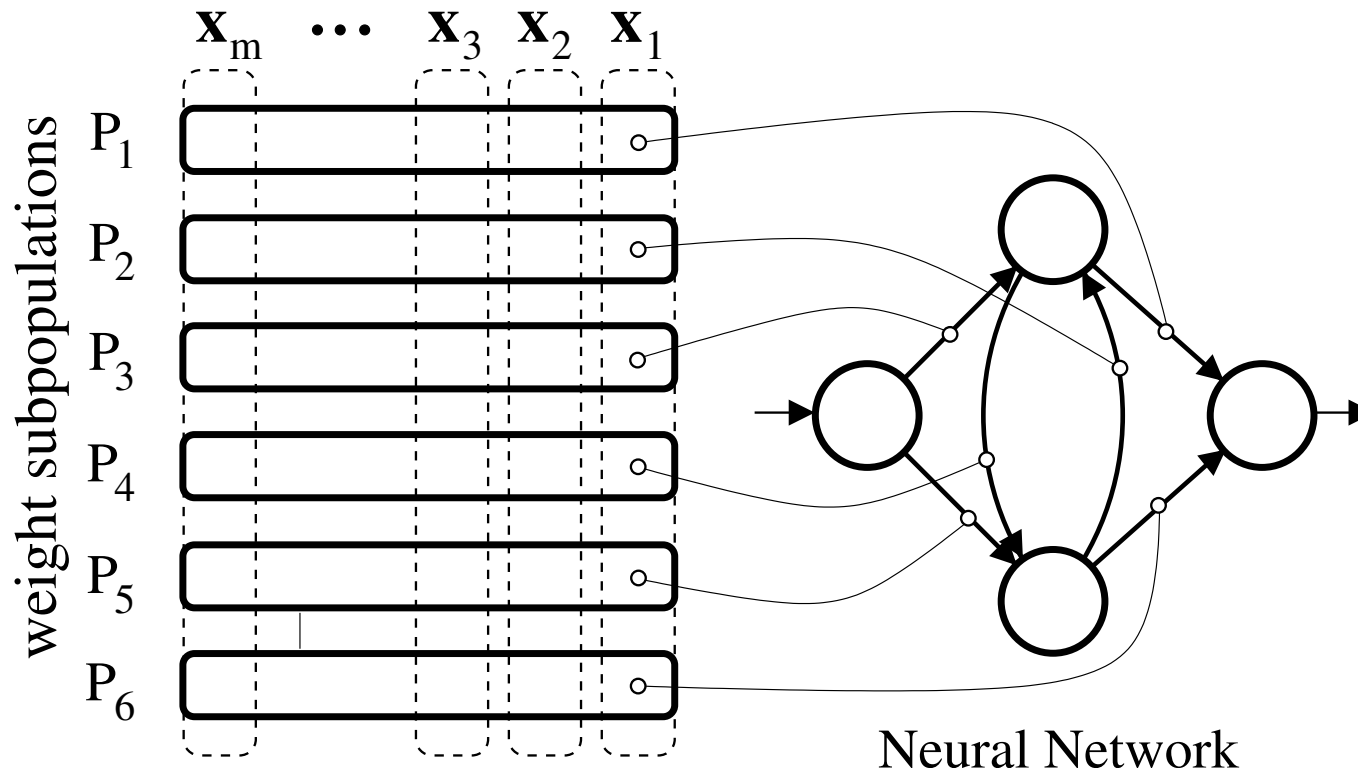
Generation 50



Generation 100

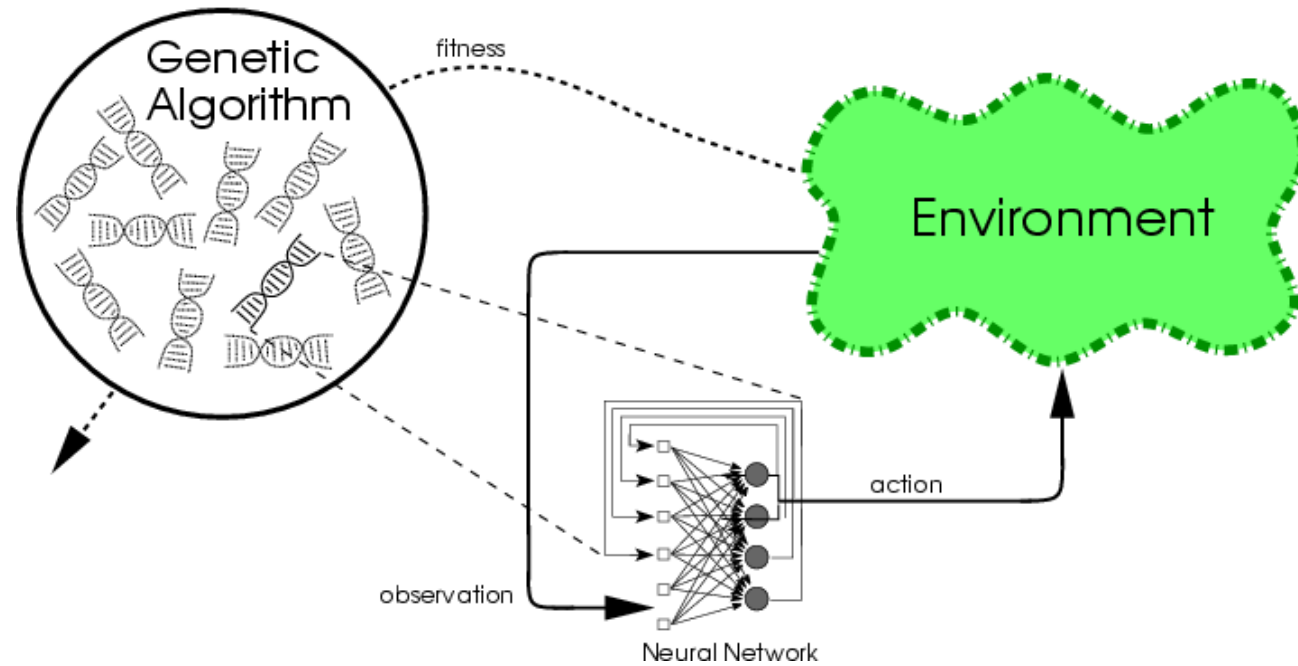
- Evolution encourages diversity automatically
 - Good networks require different kinds of neurons
- Evolution discourages competing conventions
 - Neurons optimized for compatible roles
- Large search space divided into subtasks
 - Optimize compatible neurons

Evolving Partial Networks (2)



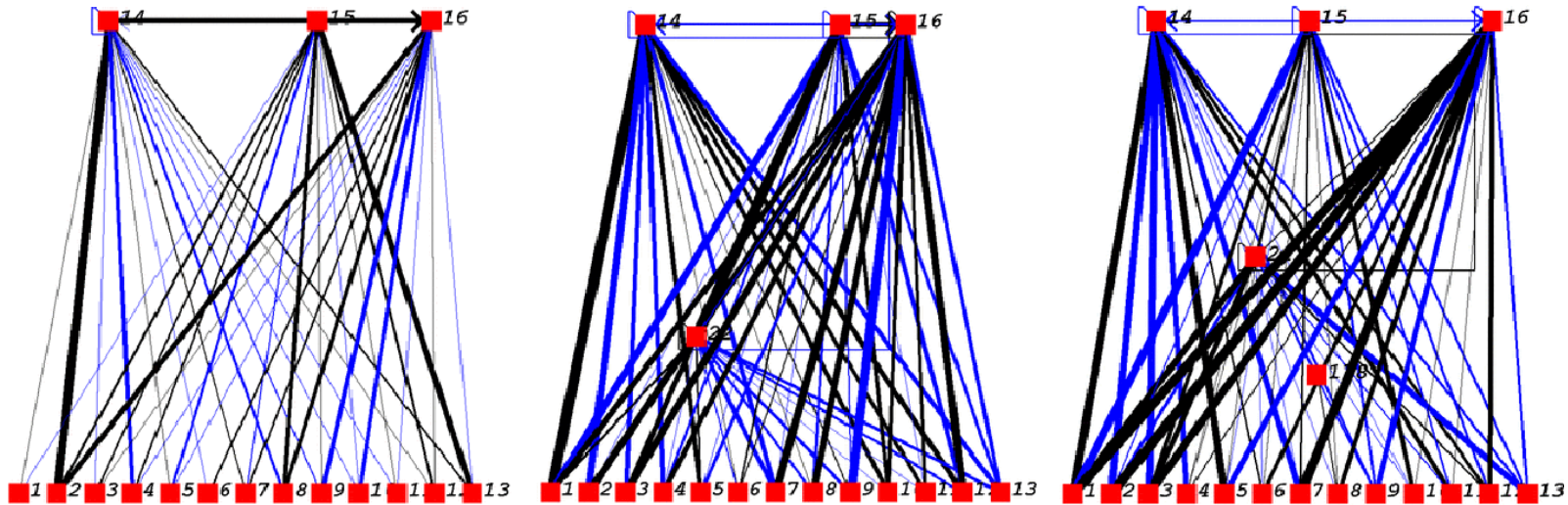
- Extend the idea to evolving connection weights
- E.g. Cooperative Synapse NeuroEvolution (CoSyNE²⁸)
 - Connection weights in separate subpopulations
 - Networks formed by combining neurons with the same index
 - Networks mutated and recombined; indices permuted
- Sustains diversity, results in efficient search

Advanced NE 2: Evolutionary Strategies



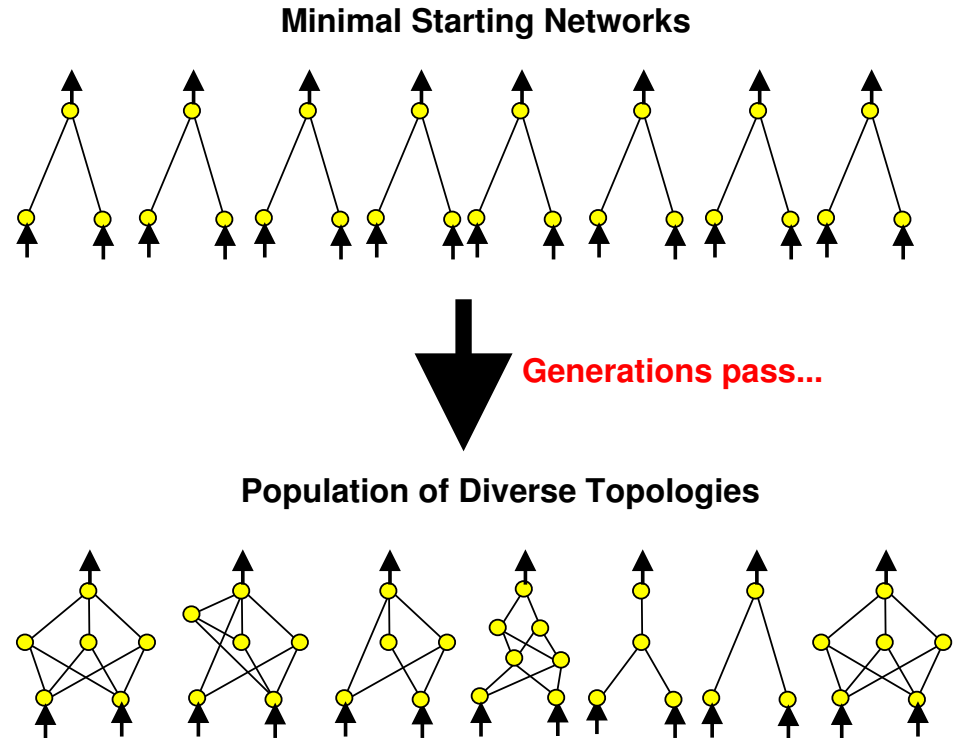
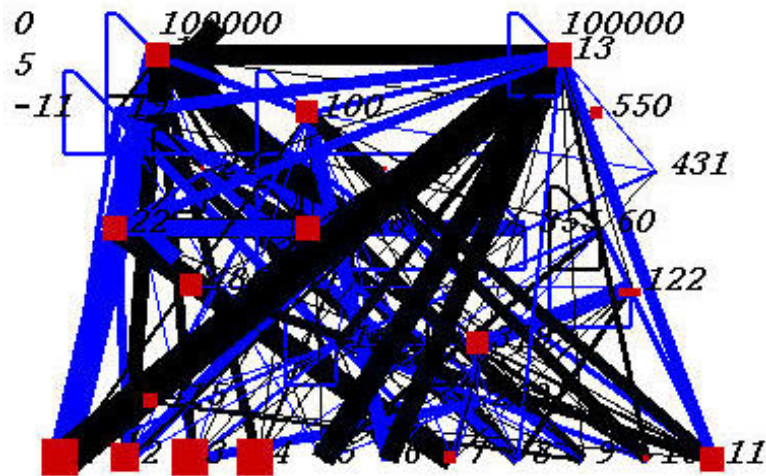
- Evolving complete networks with ES (CMA-ES³⁵)
- Small populations, no crossover
- Instead, intelligent mutations
 - Adapt covariance matrix of mutation distribution
 - Take into account correlations between weights
- Smaller space, less convergence, fewer conventions

Advanced NE 3: Evolving Topologies



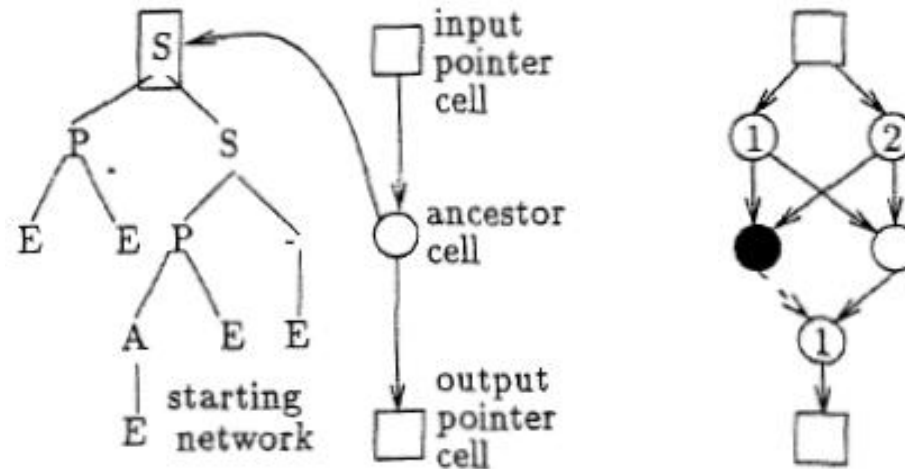
- Optimizing connection weights and network topology^{3;16;21;106}
- E.g. Neuroevolution of Augmenting Topologies (NEAT^{79;82})
- Based on *Complexification*
- Of networks:
 - Mutations to add nodes and connections
- Of behavior:
 - Elaborates on earlier behaviors

Why Complexification?



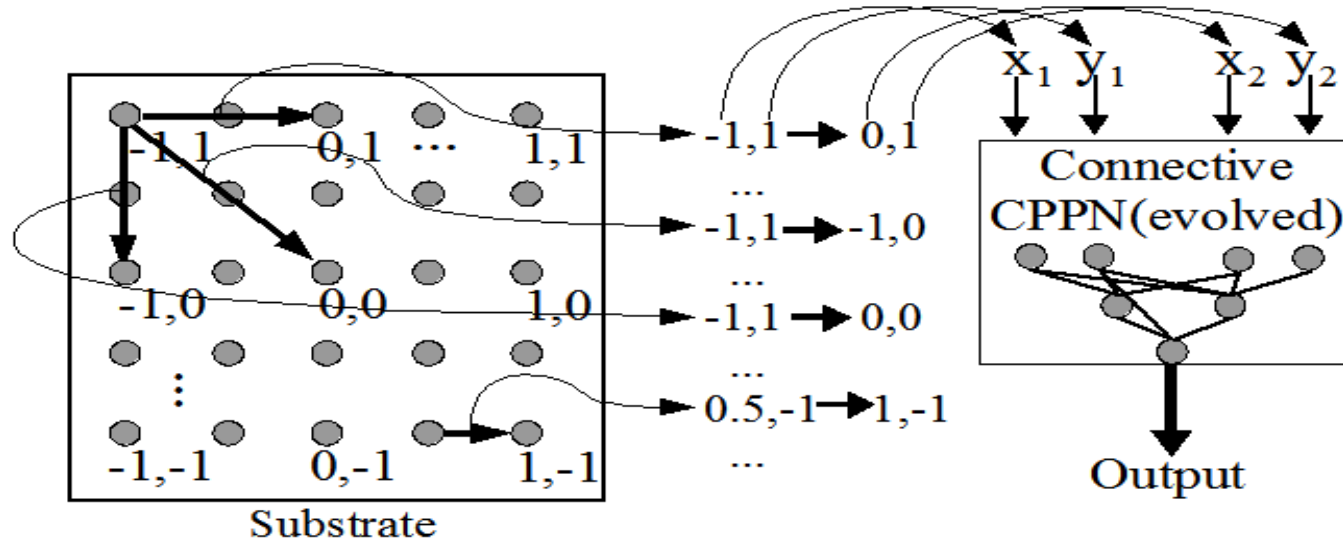
- Problem with NE: Search space is too large
- Complexification keeps the search tractable
 - Start simple, add more sophistication
- Incremental construction of intelligent agents

Advanced NE 4: Indirect Encodings

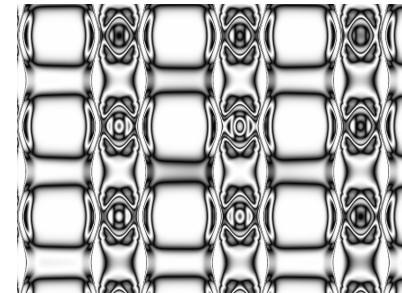


- Instructions for constructing the network evolved
 - Instead of specifying each unit and connection^{3;16;49;76;106}
- E.g. Cellular Encoding (CE³⁰)
- Grammar tree describes construction
 - Sequential and parallel cell division
 - Changing thresholds, weights
 - A “developmental” process that results in a network

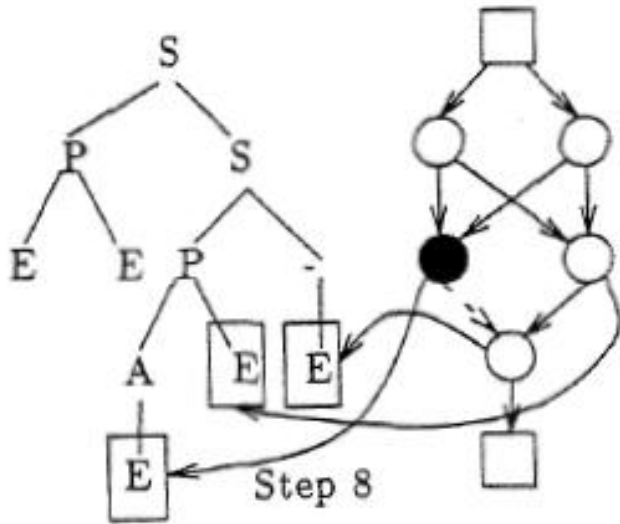
Indirect Encodings (2)



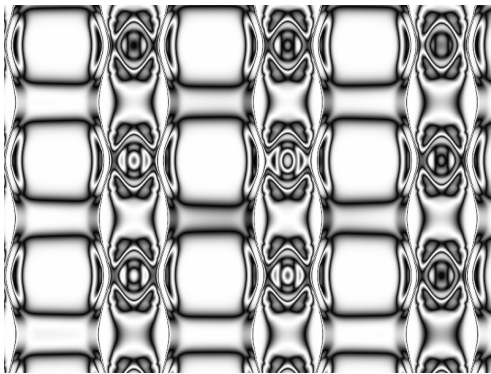
- Encode the networks as spatial patterns
- E.g. Hypercube-based NEAT (HyperNEAT¹²)
- Evolve a neural network (CPPN) to generate spatial patterns
 - 2D CPPN: (x, y) input \rightarrow grayscale output
 - 4D CPPN: (x_1, y_1, x_2, y_2) input $\rightarrow w$ output
 - Connectivity and weights can be evolved indirectly
 - Works with very large networks (millions of connections)



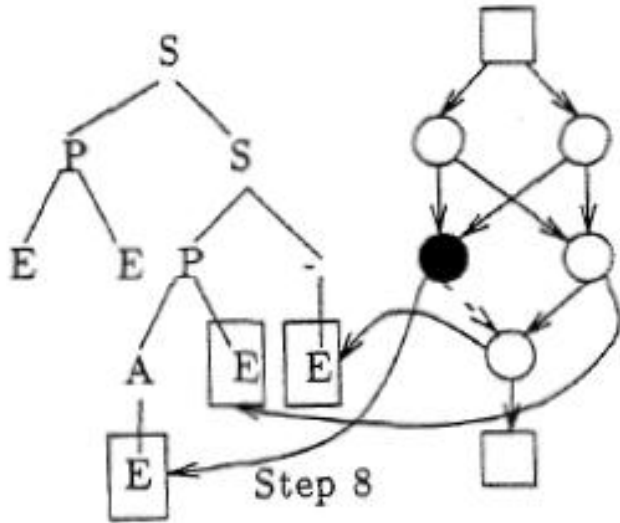
Properties of Indirect Encodings



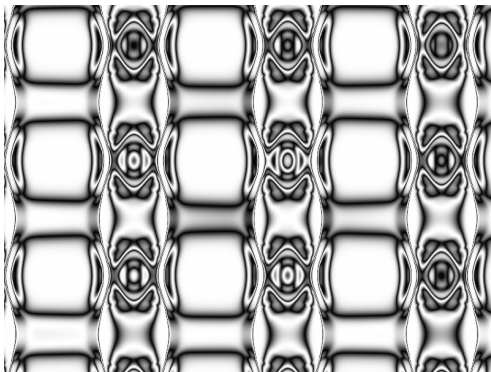
- Smaller search space
- Avoids competing conventions
- Describes classes of networks efficiently
- Modularity, reuse of structures
 - Recurrency symbol in CE: XOR \rightarrow parity
 - Repetition with variation in CPPNs
 - Useful for evolving morphology



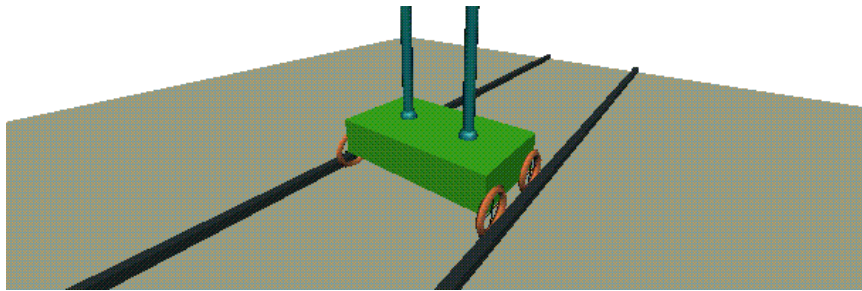
Properties of Indirect Encodings



- Not fully explored (yet)
 - See e.g. GDS track at GECCO
- Promising current work
 - More general L-systems; developmental codings; embryogeny⁸³
 - Scaling up spatial coding^{13;22}
 - Genetic Regulatory Networks⁶⁵
 - Evolution of symmetries⁹³



How Do the NE Methods Compare?



Poles	Method	Evals
Two	CE	(840,000)
	CNE	87,623
	ESP	26,342
	NEAT	6,929
	CMA-ES	6,061
	CoSyNE	3,416

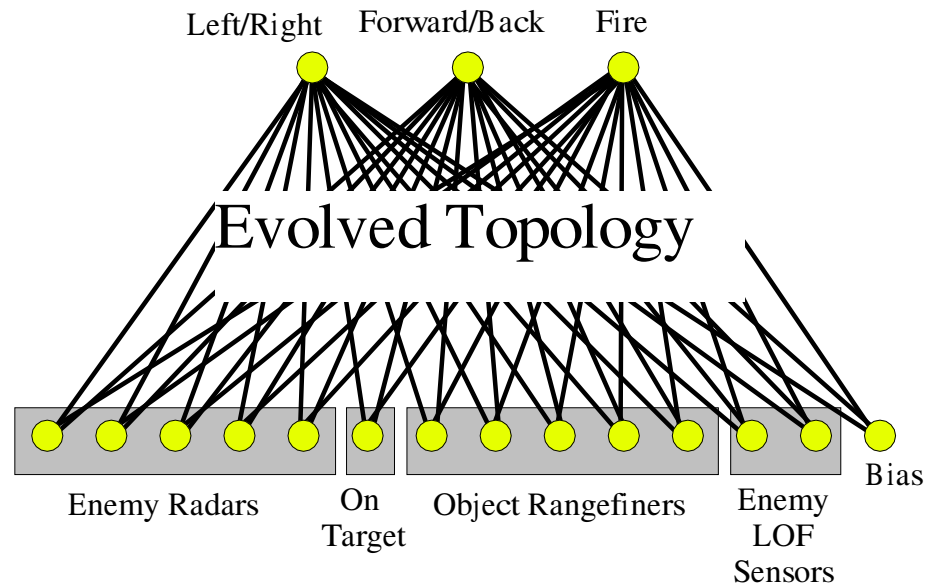
Two poles, no velocities, damping fitness²⁸

- Advanced methods better than CNE
- Advanced methods still under development
- Indirect encodings future work

Further NE Techniques

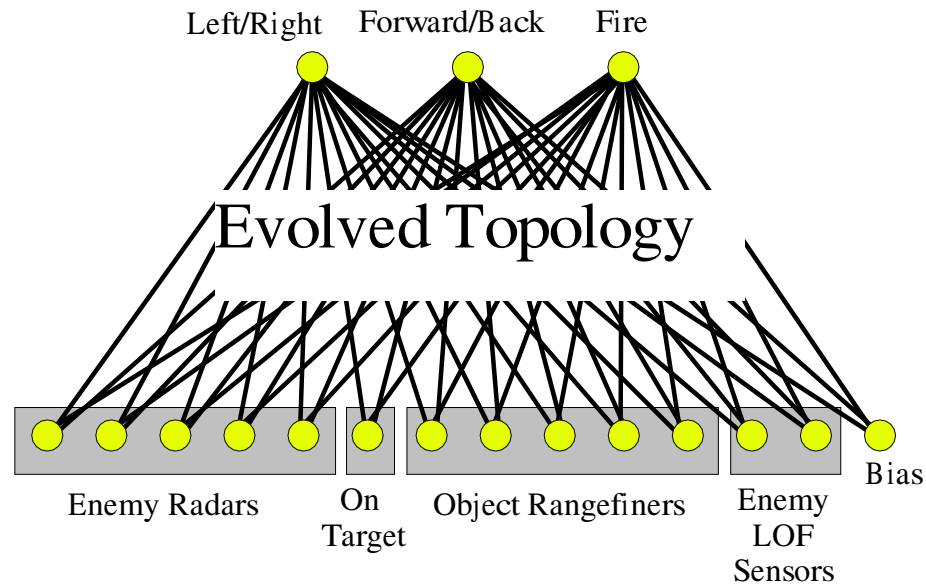
- Incremental and multiobjective evolution^{25;72;91;105}
- Utilizing population culture^{5;47;87}
- Utilizing evaluation history⁴⁴
- Evolving NN ensembles and modules^{36;43;60;66;101}
- Evolving transfer functions and learning rules^{8;68;86}
- Combining learning and evolution
- Evolving for novelty

Combining Learning and Evolution



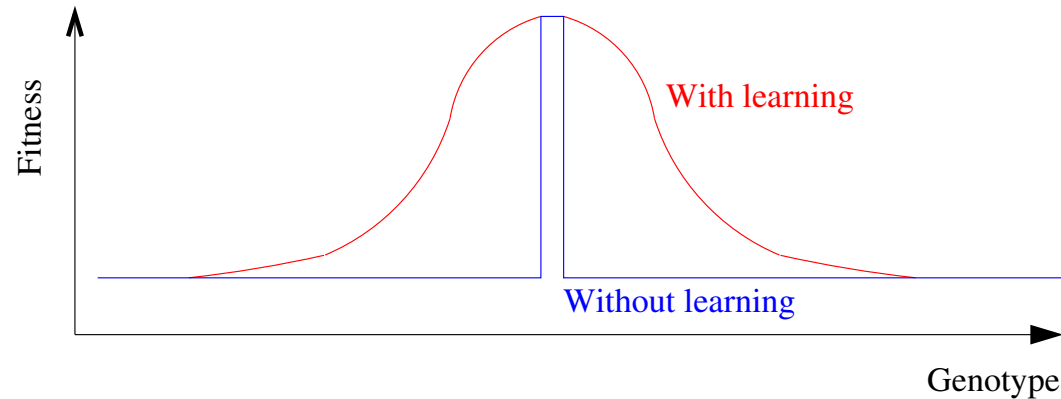
- Good learning algorithms exist for NN
 - Why not use them as well?
- Evolution provides structure and initial weights
- Fine tune the weights by learning

Lamarckian Evolution



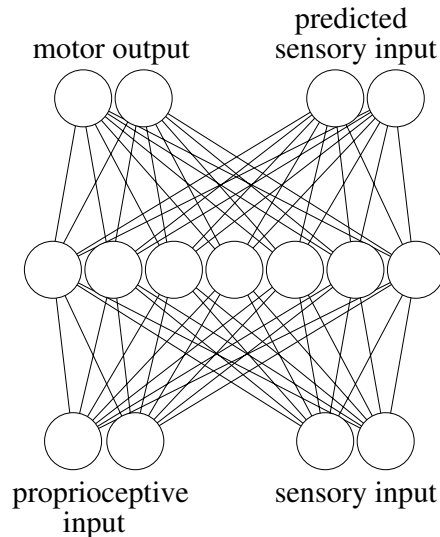
- Lamarckian evolution is possible^{7;30}
 - Coding weight changes back to chromosome
- Difficult to make it work
 - Diversity reduced; progress stagnates

Baldwin Effect

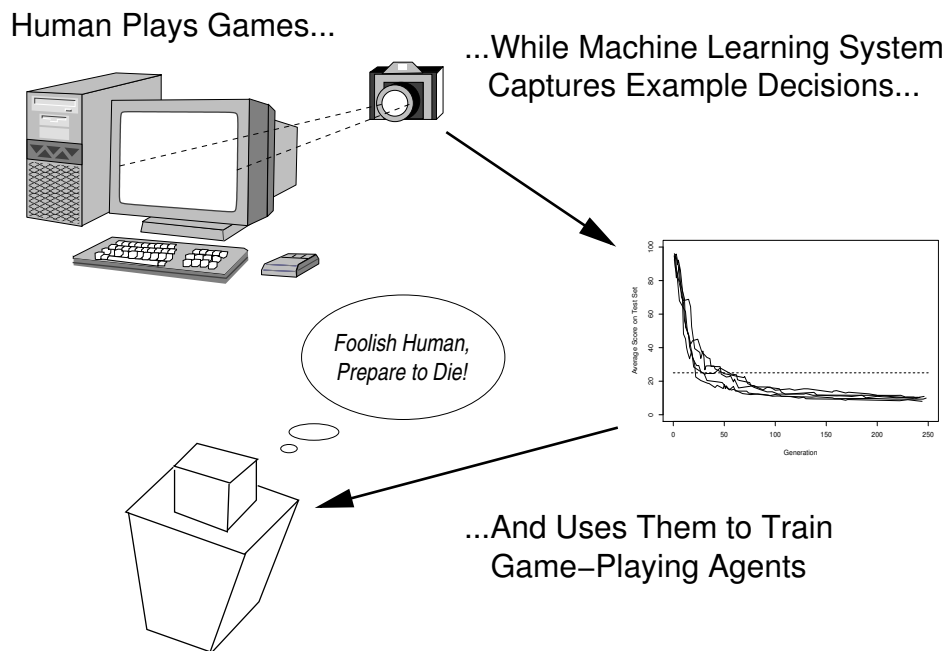


- Learning can guide Darwinian evolution as well^{4;30;32}
 - Makes fitness evaluations more accurate
- With learning, more likely to find the optimum if close
- Can select between good and bad individuals better
 - Lamarckian not necessary

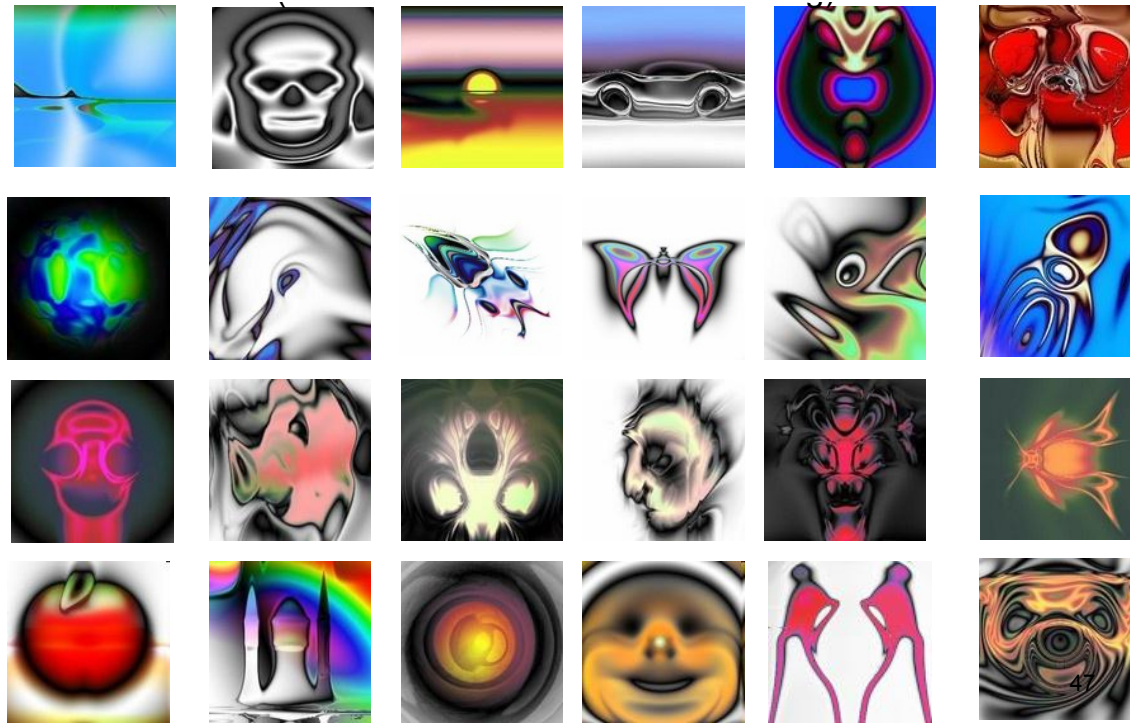
Where to Get Learning Targets?



- From a related task⁵⁶
 - Useful internal representations
- Evolve the targets⁵⁹
 - Useful training situations
- From Q-learning equations¹⁰²
 - When evolving a value function
- Utilize Hebbian learning^{18;80;95}
 - Correlations of activity
- From the population^{47;87}
 - Social learning
- From humans⁷
 - E.g. expert players, drivers

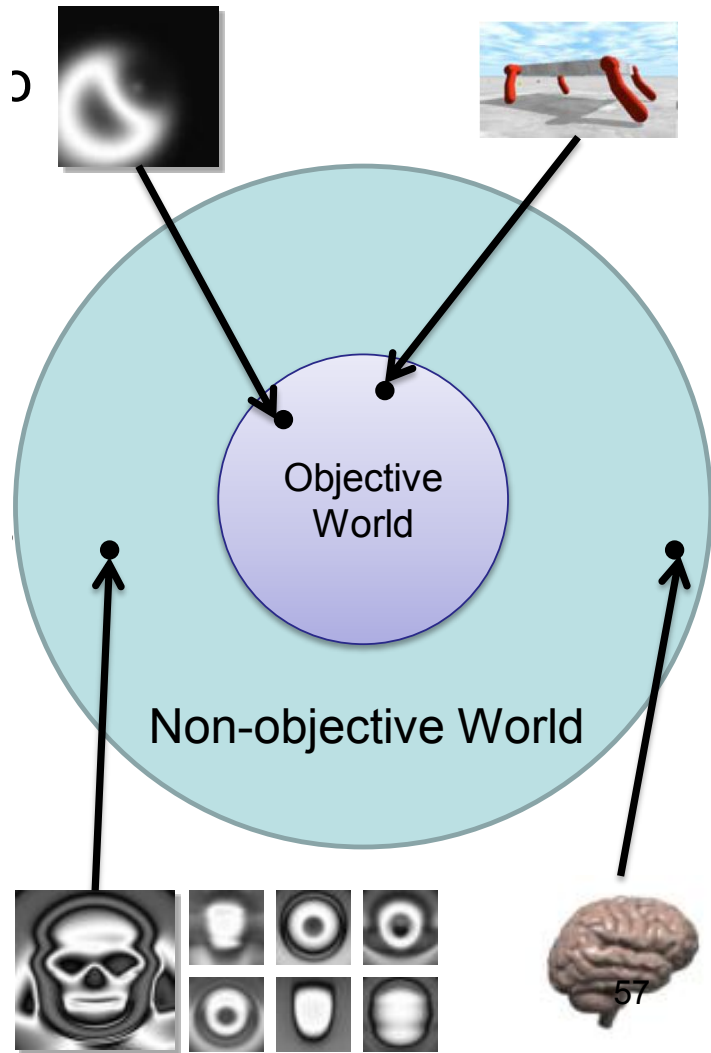


Evolving Novelty



- Motivated by humans as fitness functions
- E.g. picbreeder.com, endlessforms.com⁷³
 - CPPNs evolved; Human users select parents
- No specific goal
 - Interesting solutions preferred
 - Similar to biological evolution?

Novelty Search



- Reward maximally different solutions
 - Can be a secondary, diversity objective⁵⁵
 - Or, even as the only objective^{40;41}
- To be different, need to capture structure
 - Problem solving as a side effect
- DEMO (at eplex.cs.ucf.edu/noveltysearch)
- Potential for innovation
- Needs to be understood better

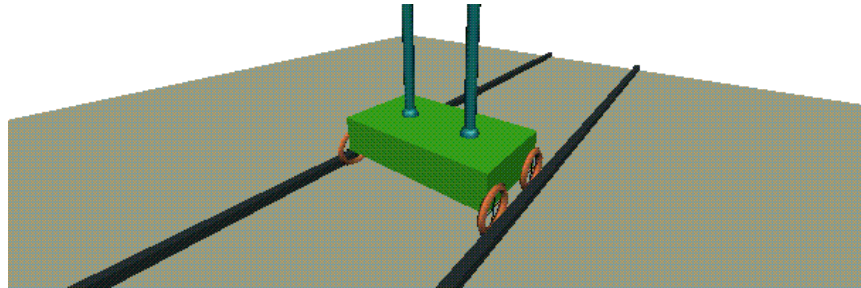
Extending NE to Applications

- Control
- Robotics
- Artificial life
- Gaming

Issues:

- Facilitating robust transfer from simulation^{27;92}
- Utilizing problem symmetry and hierarchy^{38;93;96}
- Utilizing coevolution^{67;84}
- Evolving multimodal behavior^{71;72;101}
- Evolving teams of agents^{6;81;107}
- Making evolution run in real-time⁸¹

Applications to Control



- Pole-balancing benchmark
 - Originates from the 1960s
 - Original 1-pole version too easy
 - Several extensions: acrobat, jointed, 2-pole, particle chasing⁶⁰
- Good surrogate for other control tasks
 - Vehicles and other physical devices
 - Process control⁹⁷

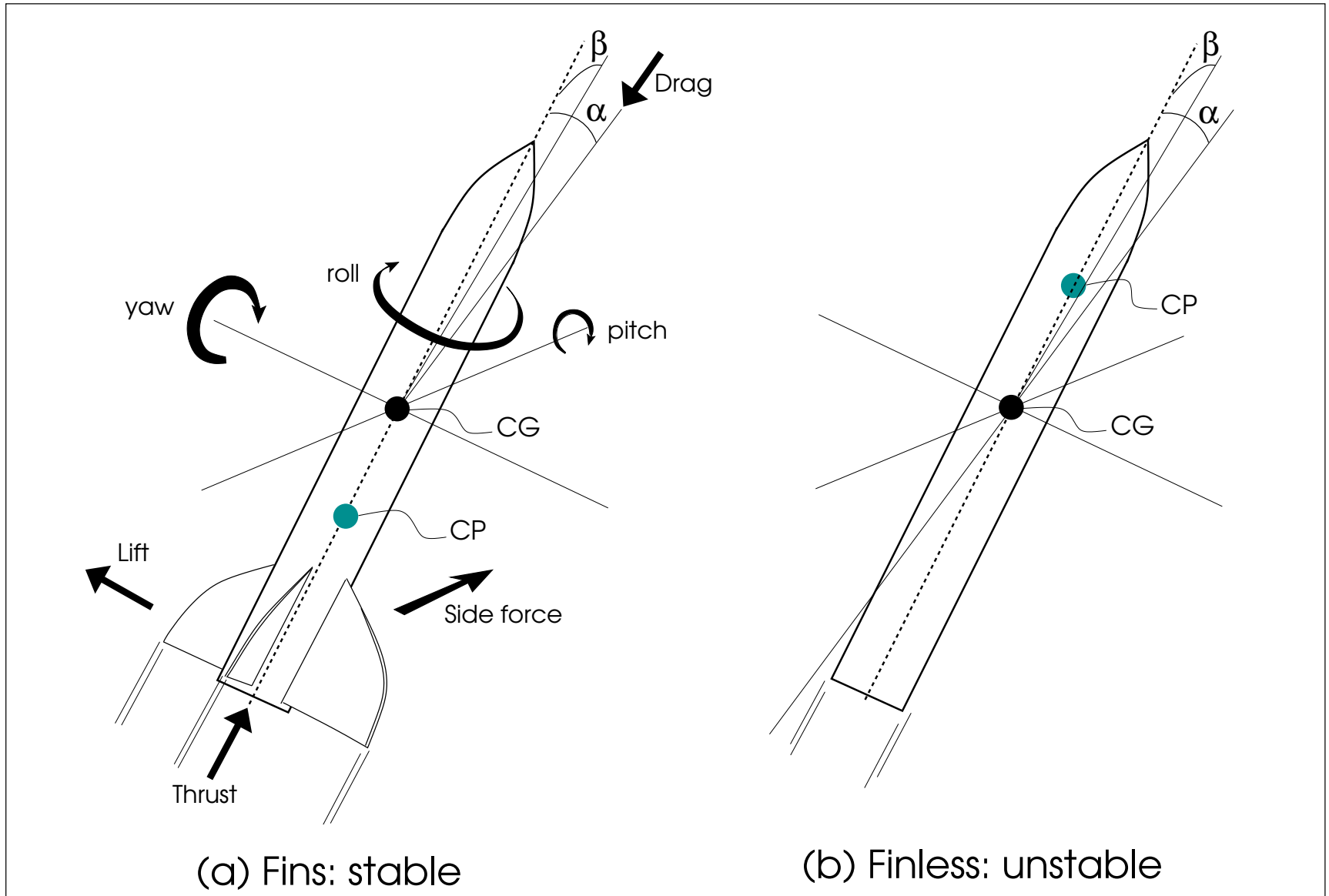
Controlling a Finless Rocket



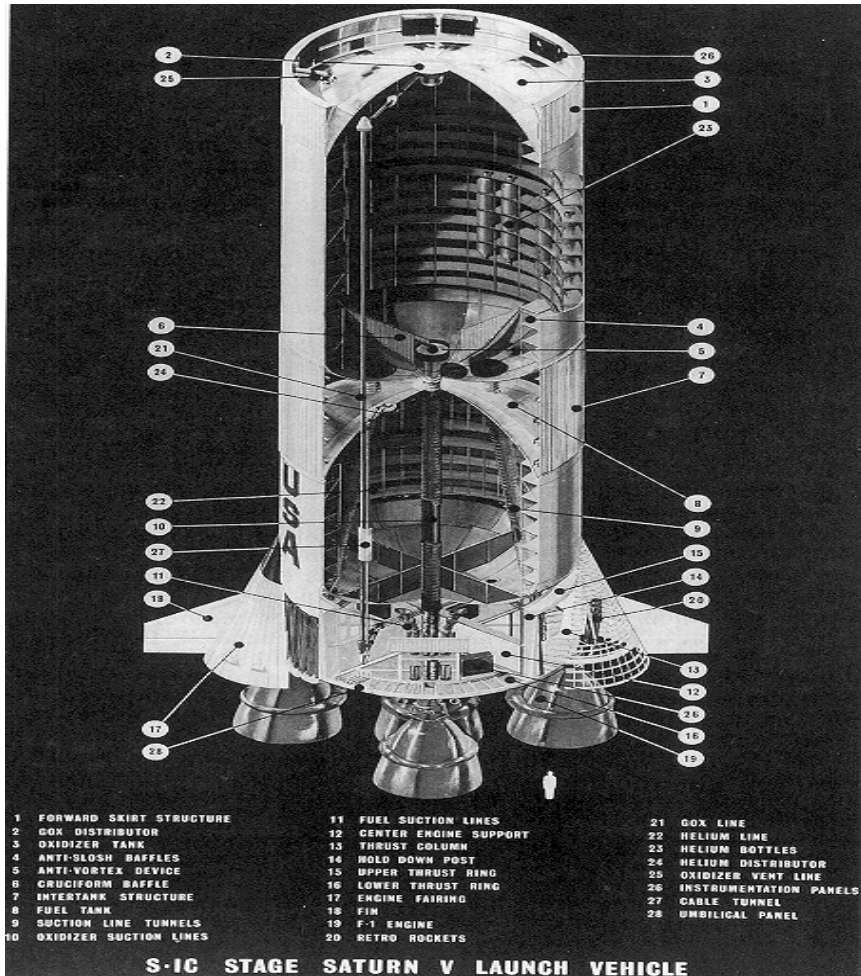
Task: Stabilize a finless version of the Interorbital Systems RSX-2 sounding rocket²⁶

- Scientific measurements in the upper atmosphere
- 4 liquid-fueled engines with variable thrust
- Without fins will fly much higher for same amount of fuel

Rocket Stability

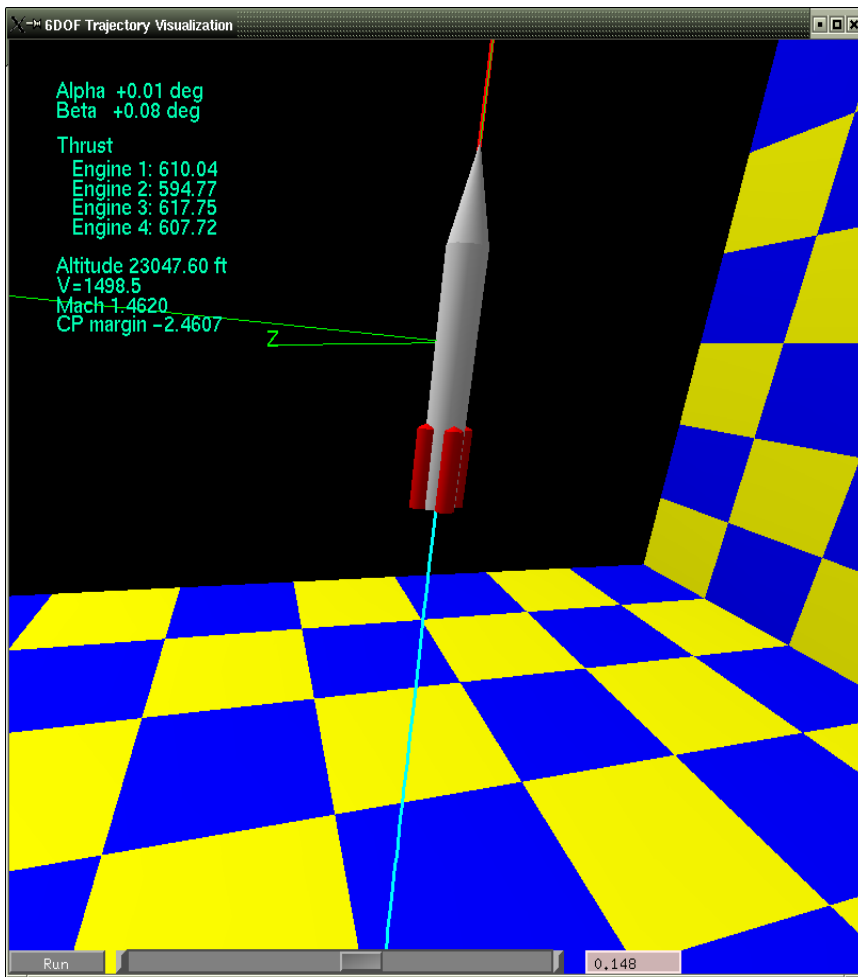


Active Rocket Guidance



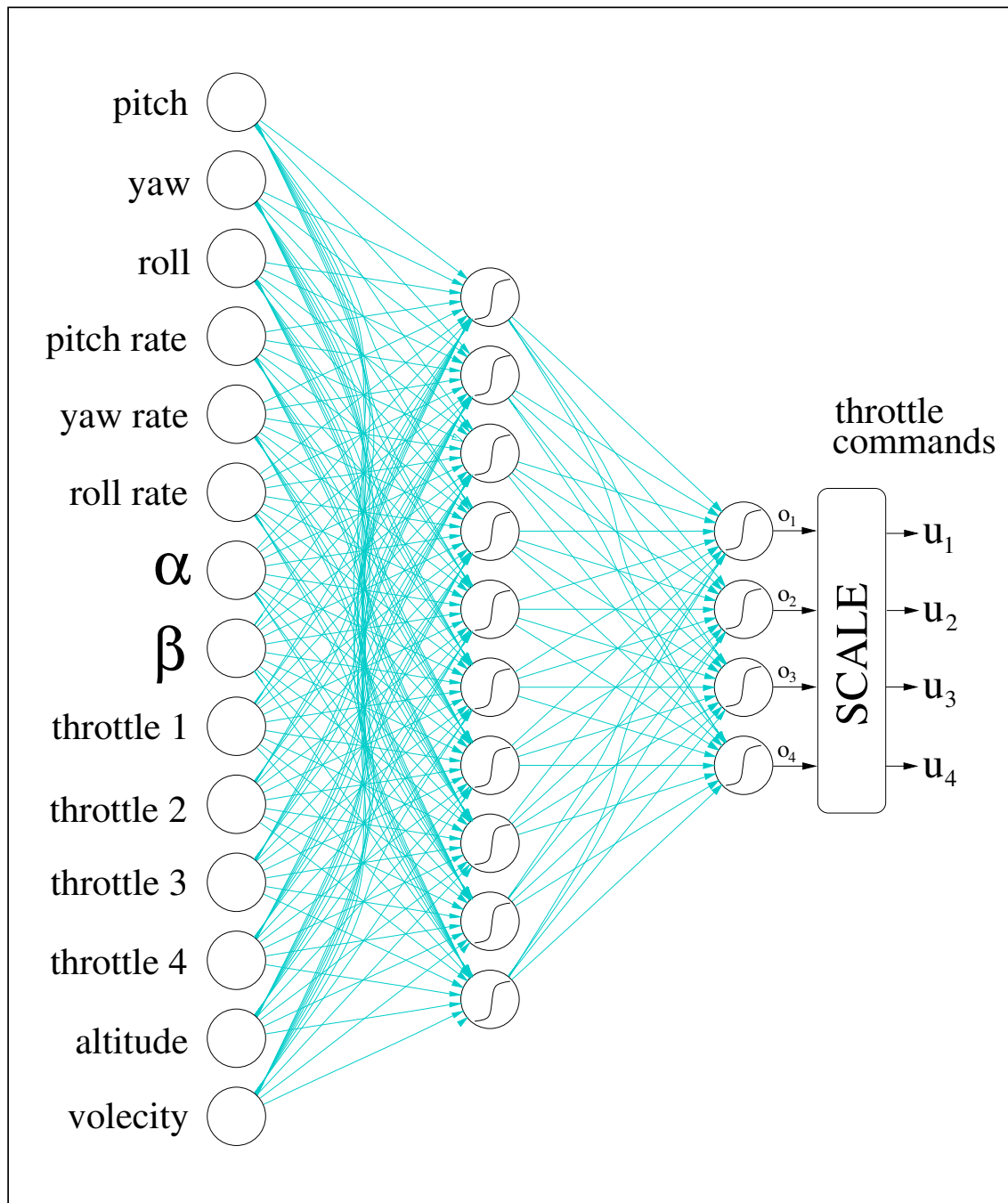
- Used on large scale launch vehicles (Saturn, Titan)
- Typically based on classical linear feedback control
- High level of domain knowledge required
- Expensive, heavy

Simulation Environment: JSBSim

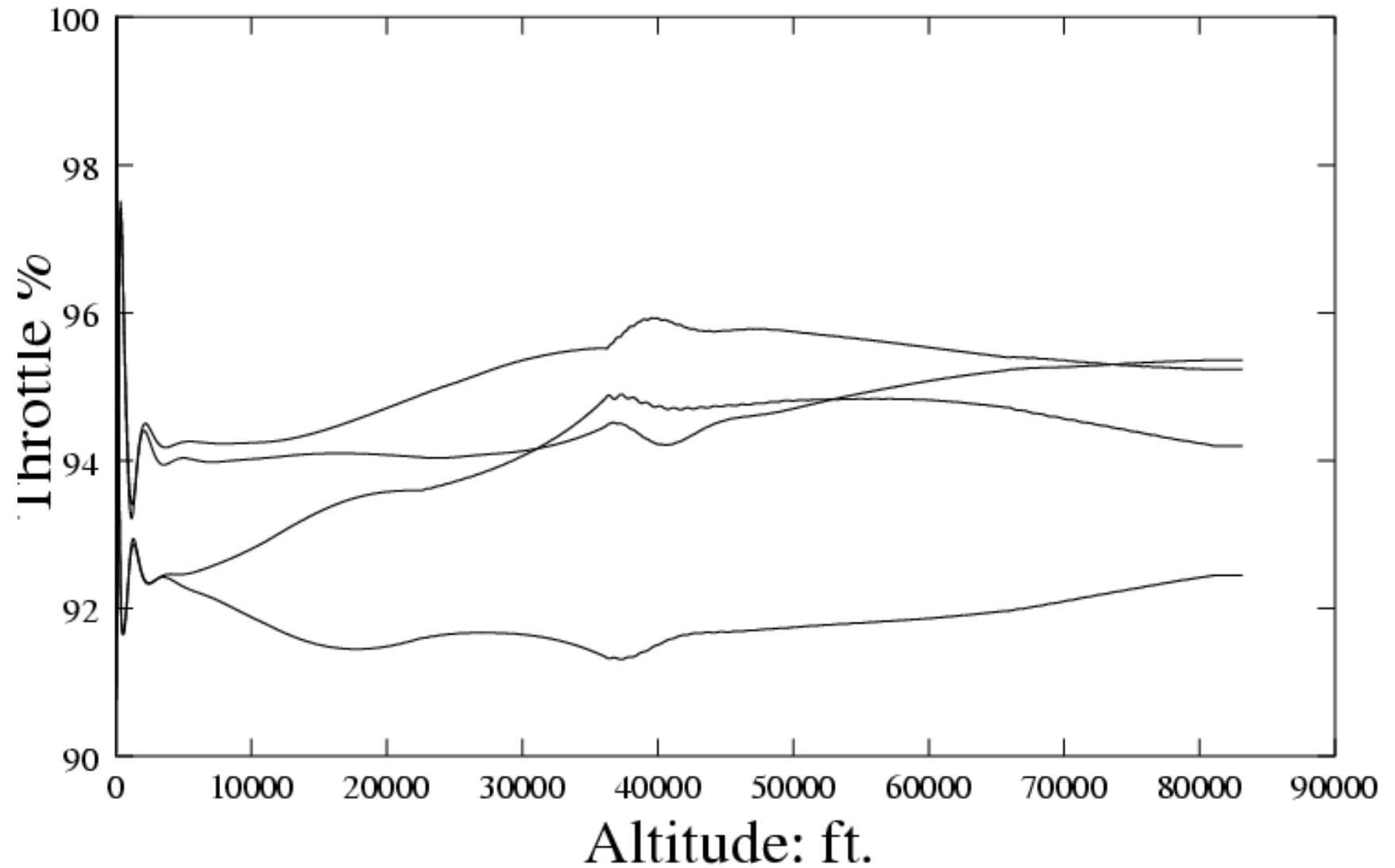


- General rocket simulator
- Models complex interaction between airframe, propulsion, aerodynamics, and atmosphere
- Used by IOS in testing their rocket designs
- Accurate geometric model of the RSX-2

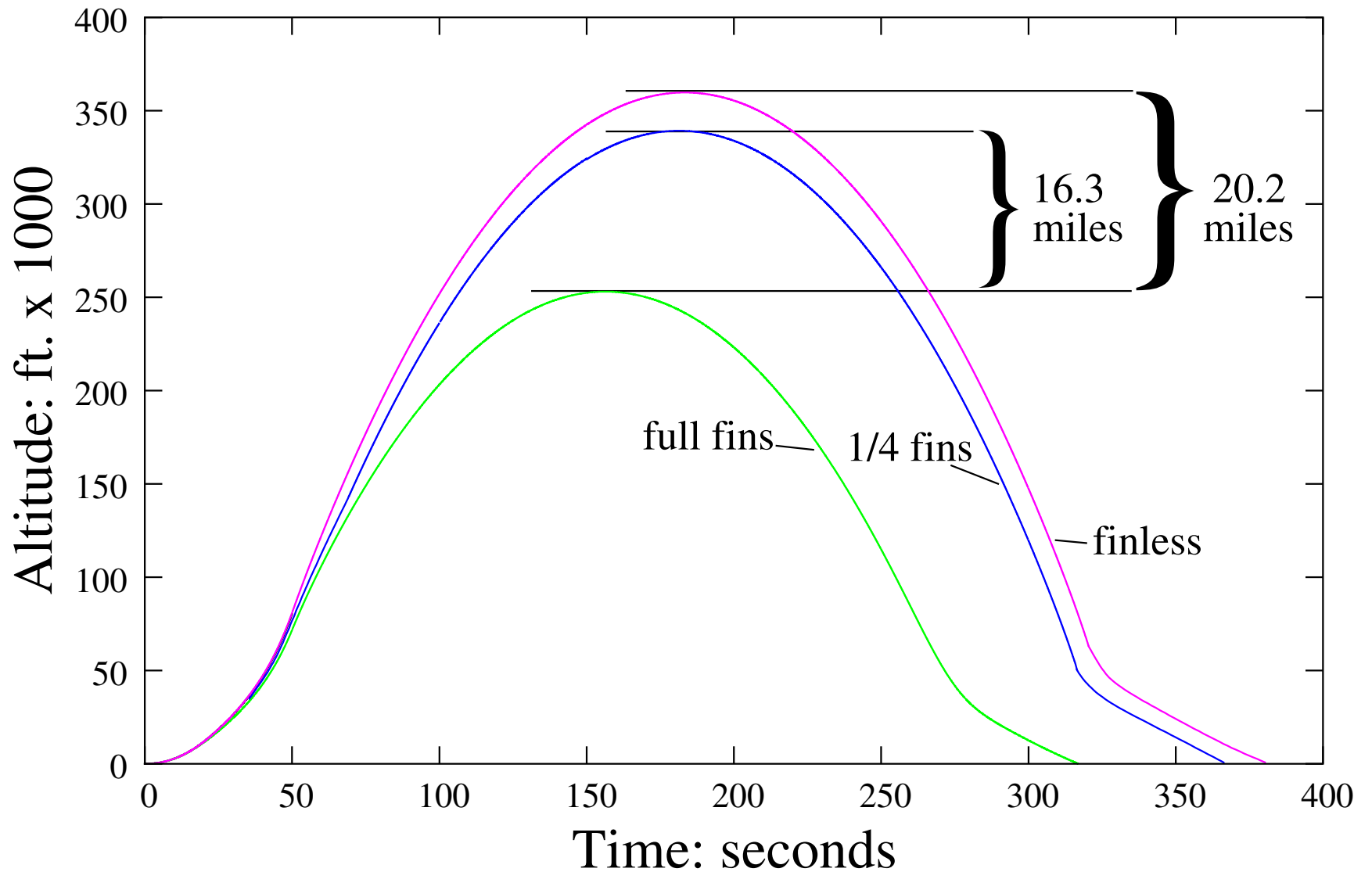
Rocket Guidance Network



Results: Control Policy

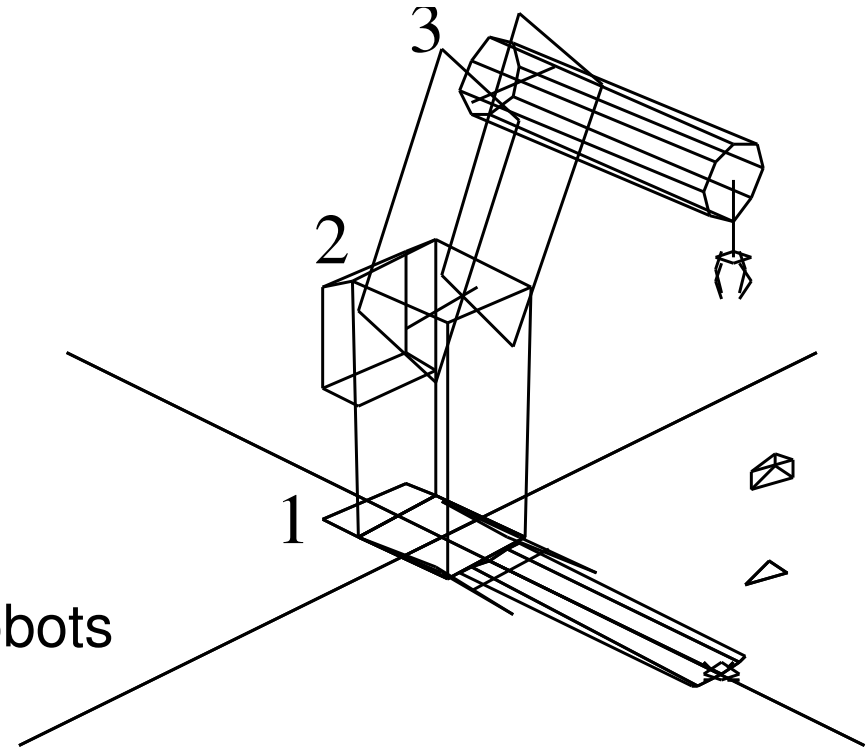


Results: Apogee

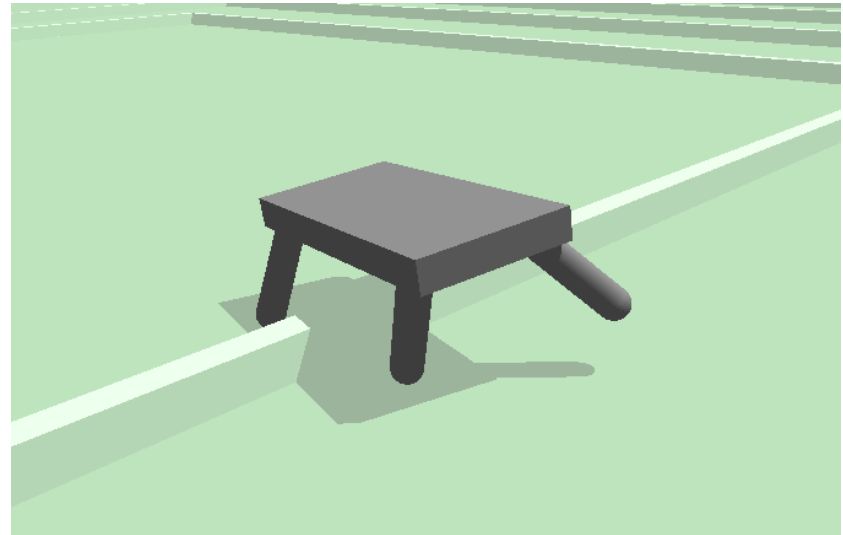


Applications to Robotics

- Controlling a robot arm⁵²
 - Compensates for an inop motor
- Robot walking^{34;75;96}
 - Various physical platforms
- Mobile robots^{11;17;57;78}
 - Transfers from simulation to physical robots
 - Evolution possible on physical robots

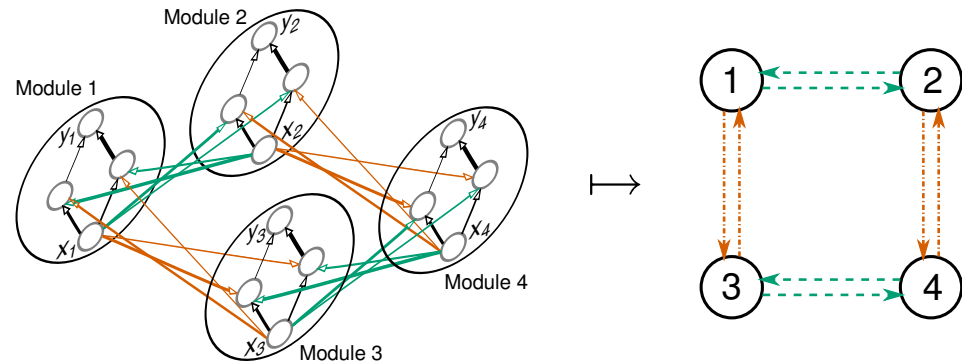


Multilegged Walking



- Navigate rugged terrain better than wheeled robots
- Controller design is more challenging
 - Leg coordination, robustness, stability, fault-tolerance, ...
- Hand-design is generally difficult and brittle
- Large design space often makes evolution ineffective

ENSO: Symmetry Evolution Approach



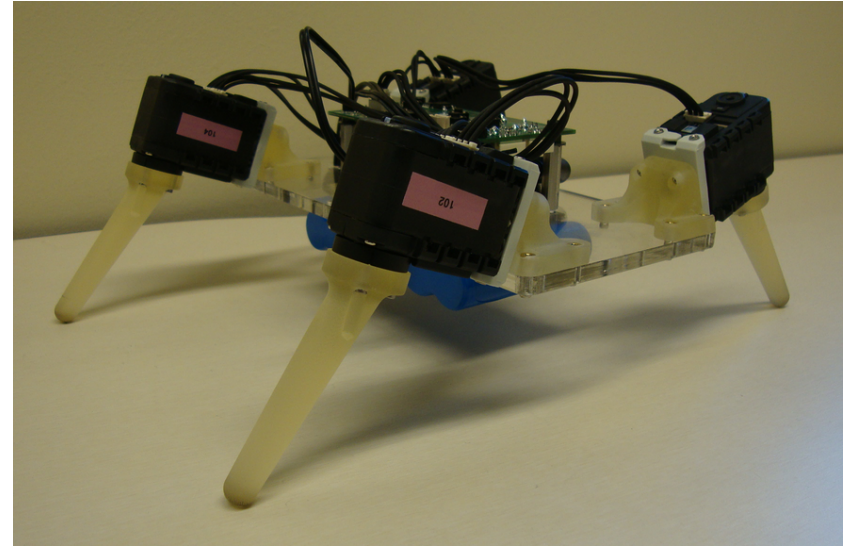
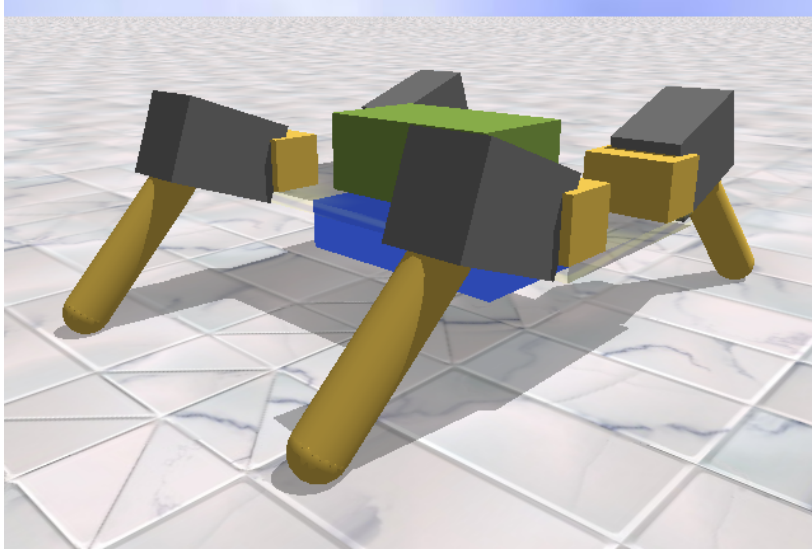
- Symmetry evolution approach^{93;94;96}
 - A neural network controls each leg
 - Connections between controllers evolved through symmetry breaking
 - Connections within individual controllers evolved through neuroevolution

Robust, Effective Solutions



- Different gaits on flat ground
 - Pronk, pace, bound, trot
 - Changes gait to get over obstacles
- Asymmetric gait on inclines
 - One leg pushes up, others forward
 - Hard to design by hand
- DEMO (available at nn.cs.utexas.edu)

Transfer to a Physical Robot



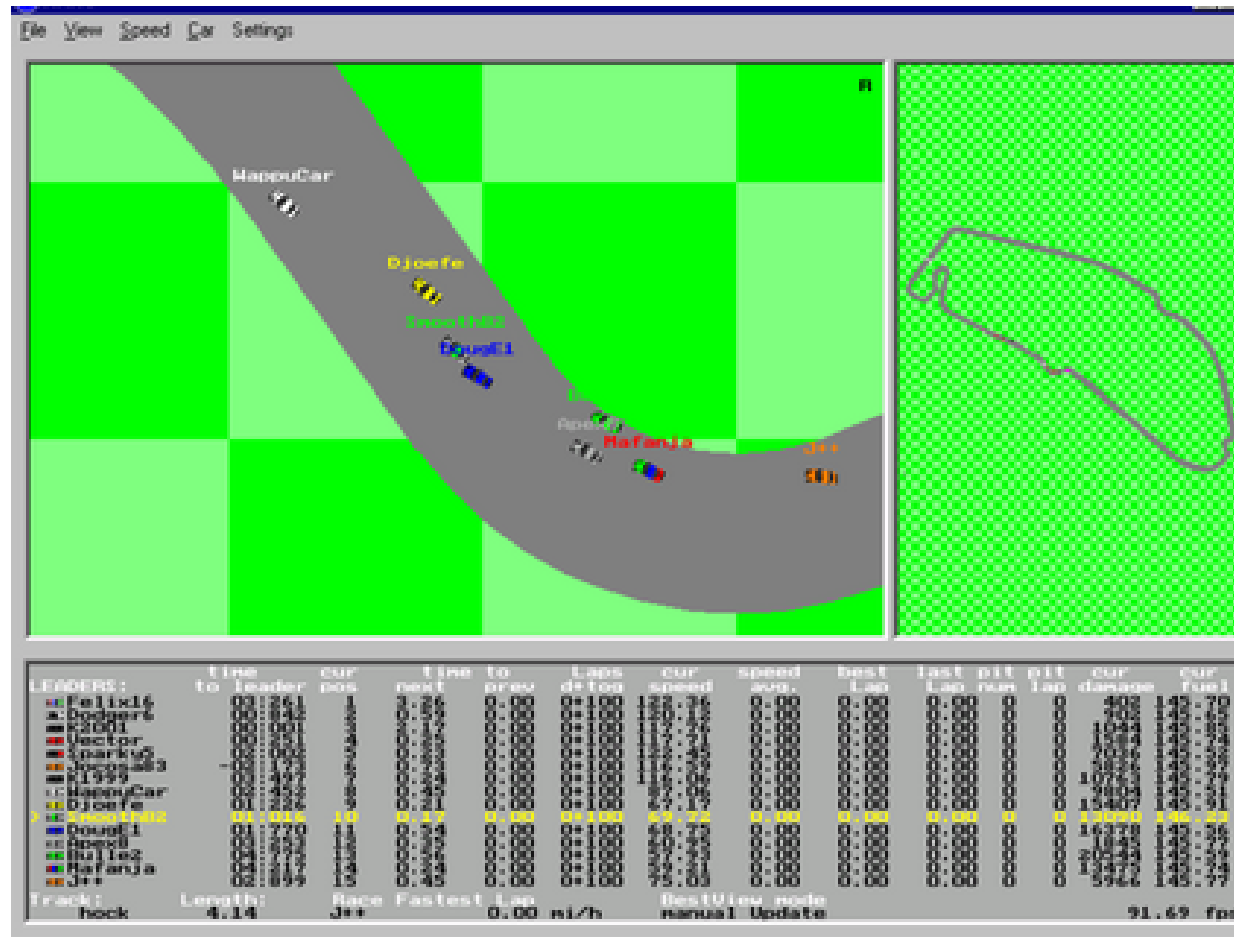
- Built at Hod Lipson's lab (Cornell U.)
 - Standard motors, battery, controller board
 - Custom 3D-printed legs, attachments
 - Simulation modified to match
- General, robust transfer⁹²
 - Noise to actuators during simulation
 - Generalizes to different surfaces, motor speeds
 - Evolved a solution for 3-legged walking!
- DEMO (available at nn.cs.utexas.edu)

Driving and Collision Warning



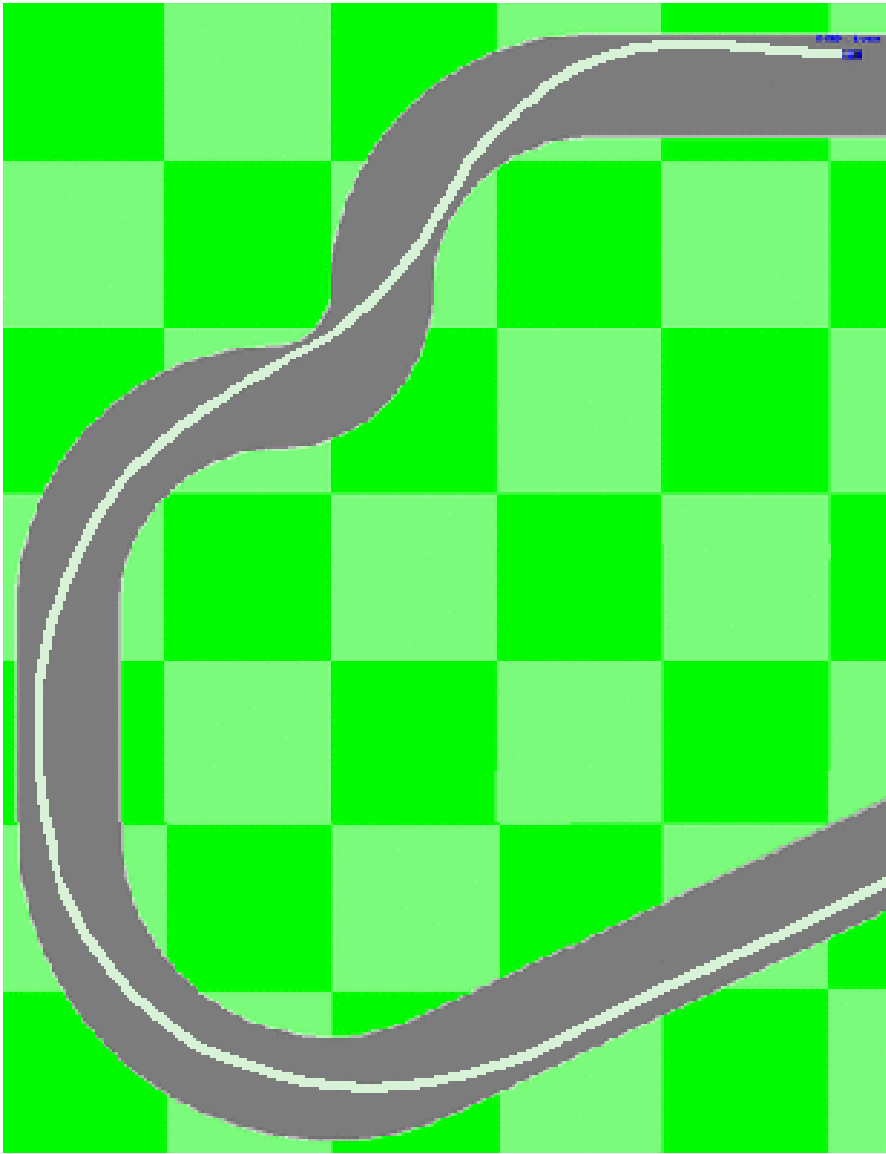
- Goal: evolve a collision warning system
 - Looking over the driver's shoulder
 - Adapting to drivers and conditions
 - Collaboration with Toyota³⁹

The RARS Domain



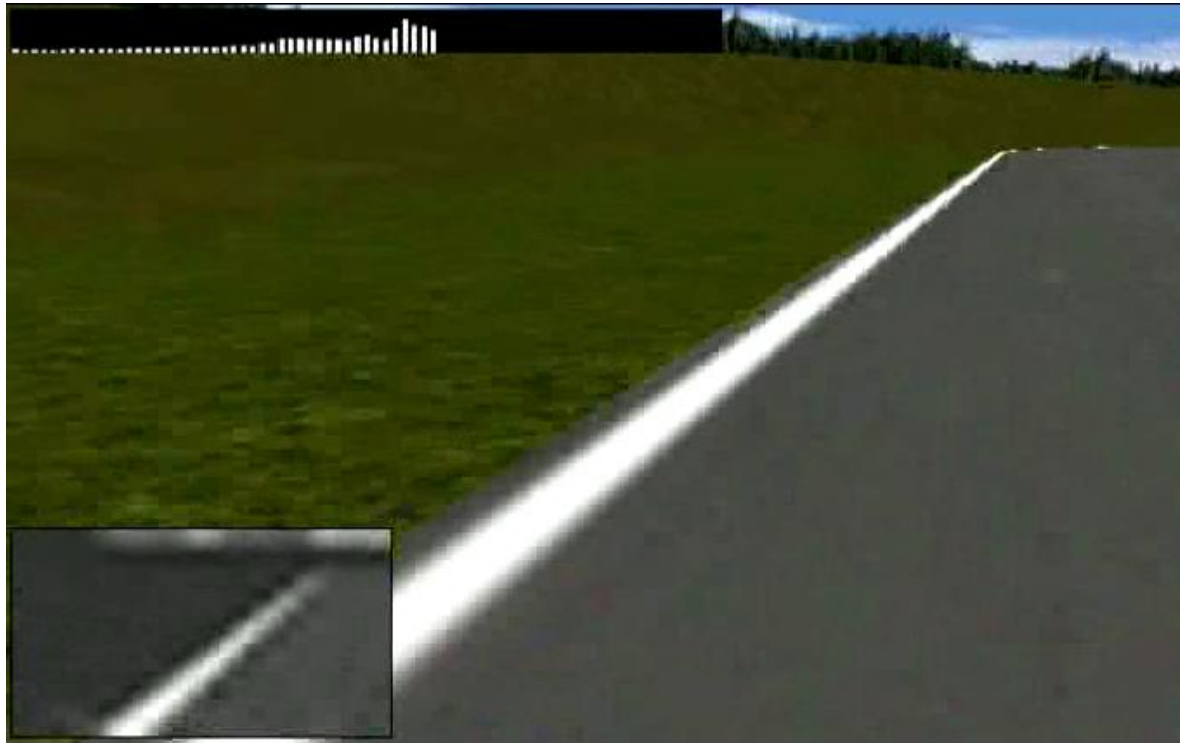
- RARS: Robot Auto Racing Simulator
 - Internet racing community
 - Hand-designed cars and drivers
 - First step towards real traffic

Evolving Good Drivers



- Evolving to drive fast without crashing (off road, obstacles)
- An interesting challenge of its own⁸⁹
- Discovers optimal driving strategies (e.g. how to take curves)
- Works from range-finder & radar inputs
- Works from raw visual inputs (20 × 14 grayscale)

Evolving Warnings



- Evolving to estimate probability of crash
- Predicts based on subtle cues (e.g. skidding off the road)
- Compensates for disabled drivers
- Human drivers learn to drive with it!
- DEMO (available at nn.cs.utexas.edu)

Transferring to the Physical World?



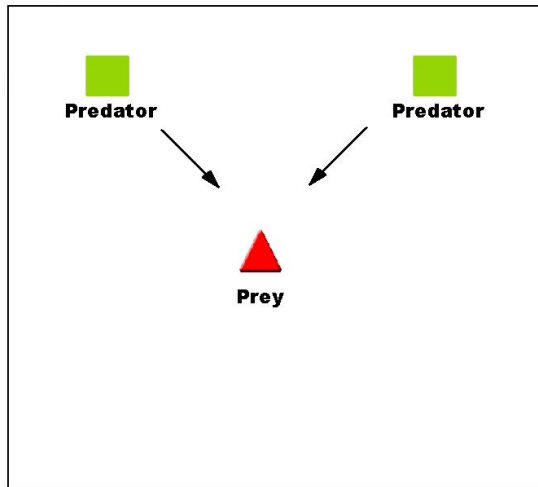
- Applied AI Gaia moving in an office environment
 - Sick laserfinder; Bumblebee digital camera
 - Driven by hand to collect data
- Learns collision warning in both cases
- Transfer to real cars?
- DEMO (available at nn.cs.utexas.edu)

Applications to Artificial Life

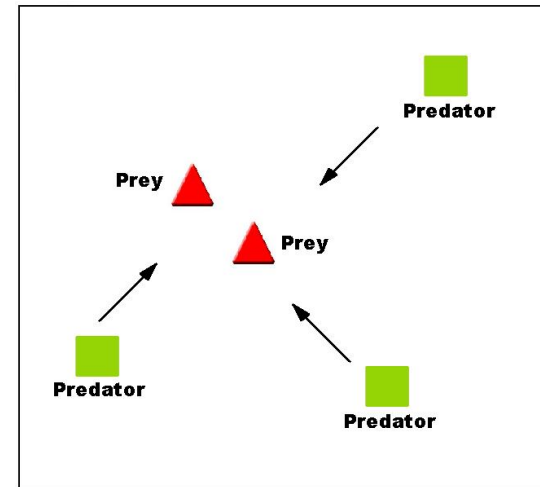


- Gaining insight into neural structure
 - E.g. evolving a command neuron^{2;37;69}
- Coevolution of structure and function
 - E.g. creature morphology and control^{42;77}
- Emergence of behaviors
 - Signaling, herding, hunting...^{62;100;107}
- Future challenges
 - Emergence of language^{58;63;90;99}
 - Emergence of community behavior

Emergence of Cooperation and Competition



Predator cooperation



Predator, prey cooperation

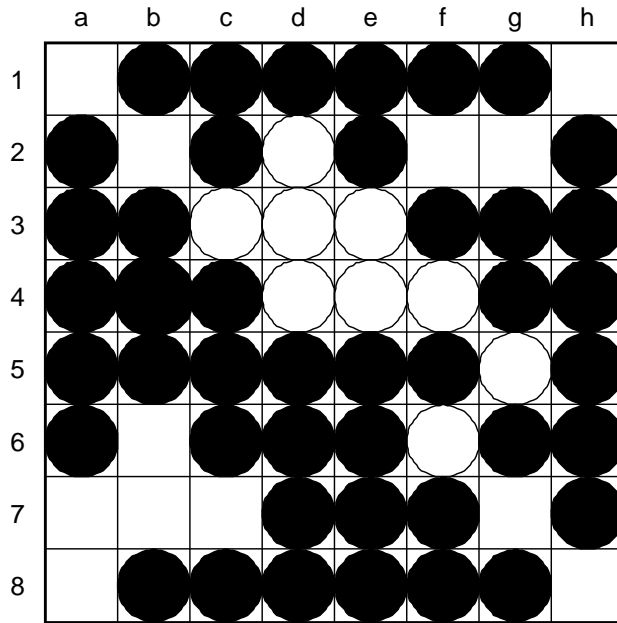
- Predator-prey simulations^{62;64}
 - Predator species, prey species
 - Prior work single pred/prey, team of pred/prey
- Simultaneous competitive and cooperative coevolution
- Understanding e.g. hyenas and zebras
 - Collaboration with biologists (Kay Holekamp, MSU)
- DEMO (available at nn.cs.utexas.edu)

Open Questions



- Role of communication
 - Stigmergy vs. direct communication in hunting
 - Quorum sensing in e.g. confronting lions
- Role of rankings
 - Efficient selection when evaluation is costly?
- Role of individual vs. team rewards
- Can lead to general computational insights

Applications to Games



- Good research platform⁴⁸
 - Controlled domains, clear performance, safe
 - Economically important; training games possible
- Board games: beyond limits of search
 - Evaluation functions in checkers, chess^{9;19;20}
 - Filtering information in go, othello^{51;85}
 - Opponent modeling in poker⁴⁵

Video Games



- Economically and socially important
- GOFAI does not work well
 - Embedded, real-time, noisy, multiagent, changing
 - Adaptation a major component
- Possibly research catalyst for CI
 - Like board games were for GOFAI in the 1980s

Video Games (2)



- Can be used to build “mods” to existing games
 - Adapting characters, assistants, tools
- Can also be used to build new games
 - New genre: Machine Learning game

BotPrize Competition



- Turing Test for game bots: \$10,000 prize (2007-12)
- Three players in Unreal Tournament 2004:
 - Human confederate: tries to win
 - Software bot: pretends to be human
 - Human judge: tries to tell them apart!
- DEMO (available at nn.cs.utexas.edu)

Evolving an Unreal Bot



- Evolve effective fighting behavior
 - Human-like with resource limitations (speed, accuracy...)
- Also scripts & learning from humans (unstuck, wandering...)
- 2007-2011: bots 25-30% vs. humans 35-80% human
- 6/2012 best bot better than 50% of the humans
- 9/2012...?

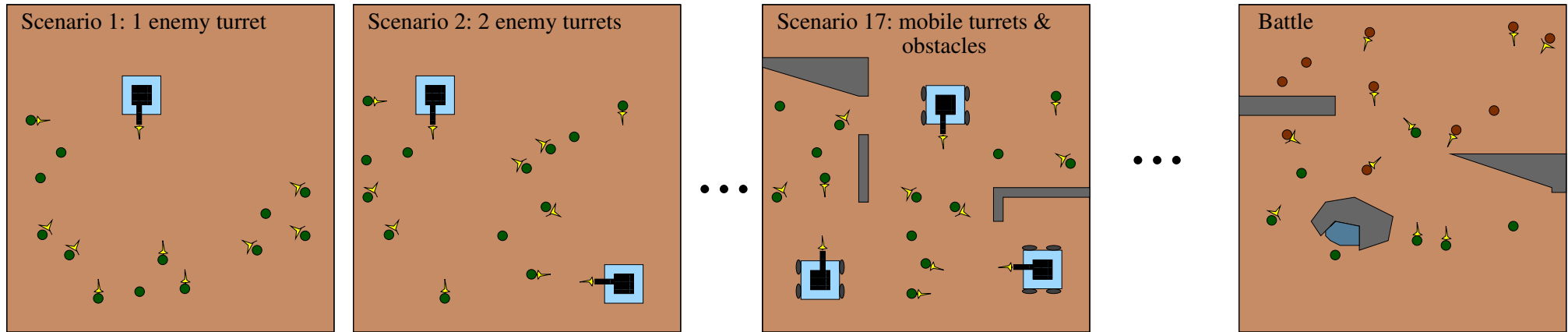
A New Genre: Machine Learning Games

NERO
NEURO EVOLVING ROBOTIC OPERATIVES



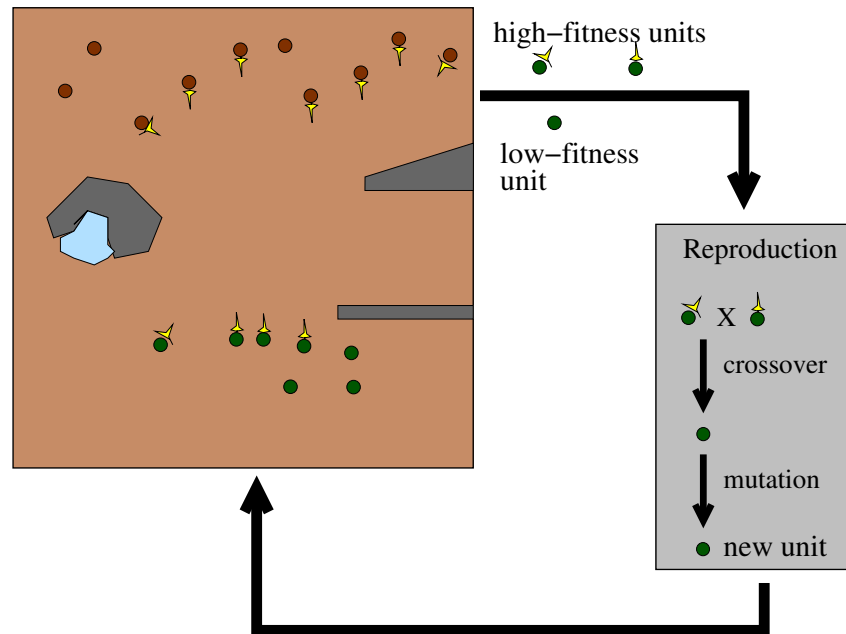
- E.g. NERO
 - Goal: to show that machine learning games are viable
 - Professionally produced by *Digital Media Collaboratory*, UT Austin
 - Developed mostly by volunteer undergraduates

NERO Gameplay



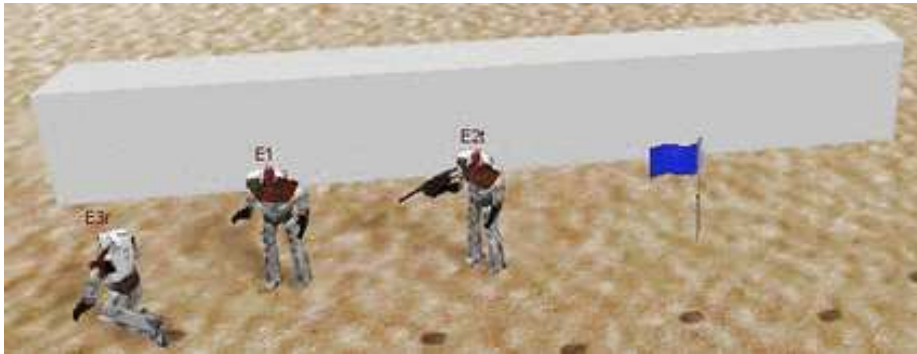
- Teams of agents trained to battle each other
 - Player trains agents through exercises
 - Agents evolve in real time
 - Agents and player collaborate in battle
- New genre: Learning *is* the game^{31;81}
 - Challenging platform for reinforcement learning
 - Real time, open ended, requires discovery
- Try it out:
 - Available for download at <http://nerogame.org>
 - Open source research platform version at opennero.googlecode.com

Real-time NEAT



- A parallel, continuous version of NEAT⁸¹
- Individuals created and replaced every n ticks
- Parents selected probabilistically, weighted by fitness
- Long-term evolution equivalent to generational NEAT

NERO Player Actions



- Player can place items on the field
e.g. static enemies, turrets, walls, rovers, flags
- Sliders specify relative importance of goals
e.g. approach/avoid enemy, cluster/disperse, hit target, avoid fire...
- Networks evolved to control the agents
- DEMO (available at nn.cs.utexas.edu)

Numerous Other Applications

- Creating art, music, dance...^{10;15;33;74}
- Theorem proving¹⁴
- Time-series prediction⁴⁶
- Computer system optimization²⁴
- Manufacturing optimization²⁹
- Process control optimization^{97;98}
- Measuring top quark mass¹⁰³
- Etc.

Evaluation of Applications



- Neuroevolution strengths
 - Can work very fast, even in real-time
 - Potential for arms race, discovery
 - Effective in continuous, non-Markov domains
- Requires many evaluations
 - Requires an interactive domain for feedback
 - Best when parallel evaluations possible
 - Works with a simulator & transfer to domain

Conclusion

- NE is a powerful technology for sequential decision tasks
 - Evolutionary computation and neural nets are a good match
 - Lends itself to many extensions
 - Powerful in applications
- Easy to adapt to applications
 - Control, robotics, optimization
 - Artificial life, biology
 - Gaming: entertainment, training
- Lots of future work opportunities
 - Theory needs to be developed
 - Indirect encodings
 - Learning and evolution
 - Knowledge, interaction, novelty

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