It can be seen from these examples that the new TYPELIST TY' which is returned as

(9 TY')

represents the <u>cases that have not been proved</u> by this call to IMPLY or HOA. Thus it represents cases which are still to be proved by further calls to IMPLY. As long as TY' is not NIL in the returned (9 TY'), then the theorem has not been completely proved. Hence the final return from IMPLY (for the original theorem itself) must be of the form

(0 NIL) .

Else the theorem is considered not to be proved.

I 11.1

Returns (T NIL)

(1 h2 h1 H2)	$(\mathbf{TY}^{I} \wedge (\beta \wedge \gamma) \Rightarrow K \leq 7)$	I 4.2
	SET-TYPE (\sim (K \leq 7)), 8 \leq K	I 11
	TY' = $[\{K: 8 j\}\{j: K \infty\}]$, has no contradiction	
	Returns (T TY")	I 11.3
	Returns (T TY") for (1 h2 h1 H)	I 4.4
	Returns (K/m TY") for (1 h2 h1)	H 7.4
(1 h2 h2)	(TY" $\wedge \gamma \Rightarrow A[K] \leq A[K+1]$) K/n	н 6.4
(1 h2 h2 H)	(TY'' $\wedge \gamma \Rightarrow 6 \leq K \wedge K \leq j$)	н7,7.2
(1 h2 h2 H1)	(TY'' $\wedge \gamma \Rightarrow 6 \leq K$)	I 4
	SET-TYPE (\sim (6 \leq K)), K \leq 5	I 11
	TY" = $[{K \min(5,j)}{j: K \infty}]$, has a contradiction	
	Returns (T NIL)	I 11.1
(1 h2 h2 H2)	$(\mathtt{TY''} \ \land \ \gamma \Rightarrow \mathtt{K} \leq \ \mathtt{j})$	I4.2
	SET-TYPE(\sim (K \leq j)), j+1 \leq K	I 11
	$TY'' = [{K: max(8, j+1)j}{j: K K-1}], has a contradiction$	
	Returns (T NIL)	I 11.1
	Returns (T NIL) for (1 h2 h2 H)	I 4.4
	Returns $(K/n NIL)$ for $(1 h2 h2)$	н 7.4
	Returns ($\{K/m, K/n\}$ NIL) for (1 h2)	Н 6.6
	Returns ($\{K/k, K/m, K/n\}$ NIL) for (1)	Н 6.6

The theorem is proved.

Simplification.

The prover utilizes a simplification routine to manipulate algebraic expressions. Its chief function is to put such expressions in canonical form. See [7, p. 27]. Many such simplifiers have been programmed [14, 10, 3, 11, etc.].

Such a routine is crucial in our program for handling TYPELIST and proving assertions about inequalities, because it eliminates the need for adding the field axioms for the real numbers.

Algebraic Unification.

If k is a skolem variable and b a constant, an ordinary unification algorithm will fail to unify the two expressions: k+2, and b+5.

We have augmented our algorithm to handle such arithmetic expressions. In this case the expressions are subtracted and simplified, and then solved for a variable, getting successively: k+2-(b+5)=0, k-b-3=0

$$k = (b+3)$$
.

Thus (b+3)/k is returned for UNIFY (k+2, b+5). Similarly, the two expressions,

$$B[k+1] = Amax(B, j, k+1)$$
,
 $A_0[i_0] = Amax(A_0, 1, i_0)$,

where B, j, k are variables and A_0 , i are constants, are unified as follows: (we show this in the prefix form).

(UNIFY B
$$A_0$$
) , A_0/B
(UNIFY (+ k 1) i_0) It deduces that
$$(+ k (+ (-i_0) 1)) = 0, \text{ and returns the substitution}$$

$$(+ i_0 -1)/k$$

UNIFY Amax(
$$A_0$$
, j , i_0)

Amax(A_0 , 1, i_0)

1/j

Returns $\{A_0/B, (i_0-1)/k, 1/j\}$.

The routine also handles such examples as

UNIFY (A[
$$i_0$$
] + A[j] , A[i] + A[j_0]) , Easy UNIFY (A[i_0] + A[j] , A[j_0] + A[i_0])

In this last example, even though a canonical form is used there is no assurance that

in the canonical ordering, even though i_0 preceeds j. Hence the last example and those like it can present problems.

4. A Program Verification System

The interactive prover described in [1] has been augmented by the features described above in Sections 1-3, and used as part of a program verification system [9]. This system is running on the PDP-10 in London's group at the Information Sciences Institute, Marina Del Rey, California, and the PDP-10 and on the CDC 6600 in Good's group at The University of Texas at Austin.

The version at ISI has been augmented extensively by Larry Fagan and Peter Bruell, especially with features to facilitate man-machine interaction.

Both versions are coded in approximately 200 functions in LISP. Two additional sybsystems, INFPRINT and XEVAL, are used to augment the prover. INFPRINT is a routine which was coded by Don Lynn at ISI, and which takes an expression in LISP prefix notation and prints it out in (more readable) infix form, with appropriate identation. XEVAL which was developed at ISI by Don Good, is a simplification package for handling arithmetic expression, and also includes the rewrite rules of REDUCE described in [1] (Table IV). Since the combined code of these programs exceeds the allowed core space for the time-sharing system at UT, a version of UT-LISP has been developed by Mabry Tyson at UT which utilized virtual memory for LISP functions.

Appendix 3 is an example of output from the ISI program.

5. TYPELIST in RESOLUTION

The typing and proof by cases procedures described above can also be incorporated into RESOLUTION provers if an additional rule is added to resolution, and if the algorithms for simplification, set-type, sup and inf are included. Also a new algorithm INTERSECT is needed which combines two typelists (see examples below).

Before the start of resolution, after the theorem has been put into clausal form, each literal of the form

$$(a \leq b)$$

is converted to a TYPELIST by the algorithm SET-TYPE. Literals of the form

$$\sim$$
(a \leq b)

are first transformed to $(b+1 \le a)$ before being converted. Thus the new clauses will consist of ordinary literals L and typelist literals T. For example, the theorem

$$(x \le 5 \land (x \le 1 \rightarrow C) \land (2 \le x \land x \le 7 \rightarrow C) \rightarrow C)$$

is first converted to ordinary clausal form

- 1. $(x_0 \le 5)$
- $(\sim(x_0 \le 1) \lor C)$
- 3. $(\sim (2 \le x_0) \lor \sim (x_0 \le 7) \lor C)$
- 4. ~ C ,

and then converted by SET-TYPE to

1.
$$\{x_0: 0 \ 5\}$$

2.
$$\{x_0: 2 \infty\} \vee C$$

3.
$$\{x_0: 0 \ 1\} \lor \{x_0: 8 \ \infty\} \lor C$$

4. ~ C

Ordinary resolution is performed on non typelist literals. Any two typelist literals T_1 and T_2 are resolved, by calling

INTERSECT
$$(T_1, T_2)$$
.

The result is another typelist which is included as a literal of the resolvent. If this resultant typelist contains a contradiction it is eliminated. For example clauses 1 and 2 above can be resolved on their first literals. Since

INTERSECT (
$$\{x_0: 0 \ 5\}$$
, $\{x_0: 2 \ \infty\} = \{x_0: 2 \ 5\}$,

the resolvent of 1 and 2 is

5.
$$\{x_0: 2 \ 5\} \lor C$$
.

Similarly we get

6.
$$\{x_0: 2 \ 5\}$$
 5, 4

7. $\{x_0: 0 \ 1\} \lor \{x_0: 8 \ \infty\}$ 3, 4

8. $\{x_0: 2 \ 1\} \lor \{x_0: 8 \ \infty\}$ 6, 7

9. $\{x_0: 8 \ 5\}$ or $[x_0: 8 \ \infty]$ 8, 6.

Since $\{x_0: 2 \ 1\}$ and $\{x_0: 8 \ 5\}$ contained contradictions they were eliminated. The algorithms SUP and INF are used for this purpose, exactly as described in Section 1. Here, for $\{x_0: 2 \ 1\}$,

SUP(
$$x_0$$
, NIL) = 1
INF(x_0 , NIL) = 2.

Since [2,1] contains no integer we have a contradiction.

The algorithm INTERSECT when applied to type lists

simply intersects the corresponding entries, getting

$$(\{x_1: e_1 \ f_1\} \ \{x_2: e_2 \ f_2\} \ \cdots \ \{x_n: e_n \ f_n\})$$
 ,

where $e_i = \max(a_i, c_i)$ and $f_i = \min(b_i, d_i)$.

Consider now Example 10, of Section 3.

$$(\bigvee k(k \le 2 \longrightarrow A[k] \le A[k+1])$$

$$\bigvee m(3 \le m \land m \le 7 \longrightarrow A[m] \le A[m+1])$$

$$\bigvee n(6 \le n \land n \le j \longrightarrow A[n] \le A[n+1])$$

$$\longrightarrow \bigvee K(K \le j \longrightarrow A[K] \le A[K+1])).$$

The ordinary clausal form is

1.
$$\sim (k < 2) \lor A[k] \le A[k+1]$$

2.
$$\sim (3 \le m) \lor \sim (m \le 7) \lor A[m] \le A[m+1]$$

3.
$$\sim (6 \le n) \lor \sim (n \le j_0) \lor A[n] \le A[n+1]$$

4.
$$K_0 \leq j_0$$

5.
$$\sim (A[K_o] \leq A[K_o + 1])$$
,

where K and j are skolem constants, and k, m and n are variables.

The clauses are converted to

1.
$$\{k: 3 \infty\} \vee A[k] \leq A[k+1]$$

2.
$$\{m: 0 2\} \vee \{m: 8 \infty\} \vee A[m] \leq A[m+1]$$

3. {n: 0 5}
$$\vee$$
 [{n: $j_0 + 1 \infty$ }{ j_0 : 0 n-1}] \vee A[n] \leq A[n+1]

4.
$$(\{K_0: 0 j_0\} \{j_0: K_0 \infty\})$$

5.
$$\sim (A[K_0] \leq A[K_0 + 1])$$

Some of the resolvents of 1-5 are

6.
$$\{K_0: 3 \infty\}$$

7.
$$\{K_0: 0 \ 2\} \lor \{K_0: 8 \ \infty\}$$
 2, 5

8.
$$\{K_0: 0 5\} \vee [\{K_0: j_0 + 1 \infty\} \{j_0: 0 K_0 - 1\}]$$
 3, 5

9.
$$\{K_0: 3-2\} \vee \{K_0: 8 \infty\}$$
 6, 7

10.
$$\{K_0: 8-5\} \lor [\{K_0: j_0+1 \infty\}\{j_0: 0 K_0-1\}]$$
 8, 9

11.
$$(\{K_0: j_0 + 1 - j_0\}, \{j_0: K_0, K_0 - 1\}) \text{ or } L$$
 10, 4

In each of 9, 10, and 11, a typelist was removed which had a contradiction.

In the above example we did <u>not</u> convert the formula $A[k] \leq A[k+1]$ to typelist form

$$\{A[k]: 0 A[k+1]\}$$
.

This is controlled in the program by having a list $(j_0 \ K \ k \ m \ n)$ of those variables and skolem constants which we allow to be typed.

One could allow <u>all</u> inequalities to be converted, but in that case a mechanism would need to be provided for unifying expressions when two typelist literals are resolved.

Appendix 1

Tables I and II listed below are lifted from Section 2 of [1].

They define IMPLY and HOA, the principal algorithms of the interactive prover described in [1]. The reader is referred to Section 2 of [1] for a full description of them and their use, and several examples.

Table I ALCORITHM

IMPLY (H, C)

	<u>IF</u>	ACTION	RETURN
1.	C = "T" or H = "FALSE"	•	882488
2.	TYPELIST*		. •
3.	$H \equiv (A \vee B)^3$		$(A \longrightarrow C) \land (B \longrightarrow C))$
4.	(AND-SPLIT) $C \equiv (A \land B)$	Put θ : = IMPLY(H, A)	
4.1	Θ ≡ NIL		NIL
4.2	θ ≢ NIL	Put λ : = IMPLY(H, B0) ⁴	
4.3	$\lambda \equiv NIL$		NIL
4.4	λ ≢ NIL		9 ° λ ⁵
5.	(REDUCE)	Put H: = REDUCE(H) Put C: = REDUCE(C)	
5.1	C = "T" or H = "FALSE"	Go to 1	
5.2	$H \equiv (A \vee B)$	Go to 3	
5.3	$C \equiv (A \wedge B)$	Go to 4	
5.4	ELSE	Go to 6	

See Sections 1 and 2.

³By the expression " $H \equiv (A \lor B)$ " we mean that H has the form " $A \lor B$ ". Rules 4 and 3 are called "AND-SPLIT's". See [2] and [17] of [1].

⁴If θ has two entries, a/x, b/x with $5^{a \neq b}$, then two $\lambda^{\epsilon}s$, λ_1 and λ_2 are computed, one for each case, and $\lambda_1 \circ \lambda_2$ is returned for λ .

This is just (APPEND $\theta \lambda$). If θ has an entry a/x and λ has an entry b/x where $a \neq b$, then leave both values in $\theta \circ \lambda$. For example, if $\theta = (a/x \ b/y)$, $\lambda = (c/x \ d/z)$ then $\theta \circ \lambda = (a/x \ b/y \ c/x \ d/z)$.

IMPLY (H, C) Cont'd

	<u>IF</u>	ACTION	RETURN
6.	$C \equiv (A \vee B)$	•	HOA (H, C)
7.	(PROMOTE) $C \equiv (A \longrightarrow B)$		IMPLY $(H \land A, B)^6$
7.1	Forward Chaining		
7.2	PEEK forward chaining	•	
8.	$C \equiv (A \longleftrightarrow B)$		$(A \longrightarrow B) \land (B \longrightarrow A)$
9.	$C \equiv (A = B)$	Put 9: = UNIFY(A,B)	
9.1	9 ≢ NIL		• •
9.2	$\theta \equiv NIL$	Go To 10	
10.	$C \equiv (\sim A)$		IMPLY (H \wedge A, NIL)
11.	INEQUALITY*		
	•		
12.	(call HOA)	Put 0: = HOA(H,C)	
12.1	θ ≢ NIL		Θ
12.2	(PEEK) $\theta = NIL$	Put PEEK 1ight "ON"	
		Put 9: = HOA(H,C)	
12.3	9 ≢ NIL		9
12.4	θ ≡ NIT	Go To 13	

 $[\]overline{^{6}}$ Actually we call IMPLY(OR-OUT (H \wedge A), AND-OUT(B)). See p. 13 of [1].

 $^{^{7}{}m See}$ p. 26 of [1]. The PEEK Light is turned off at the entry to IMPLY.

IMPLY (H, C) Cont d

	<u>IF</u>	ACTION	RETURN
13.	(Define C)	Put C': = DEFINE(C)	
13.1	C _e ≡ NII	Go To 14	
13.2	C * ≢ NIL	•	IMPLY (H, C')
14.	(See Section 2,)		
15.	ELSE		NIL

Table II ALGORITHM HOA(B,C)

	<u>IF</u>	ACTION	RETURN
1.	Time limit Exceeded	• .	NIL
2.	(MATCH)	Put 0: = UNIFY(B,C)	
2.1	9 ≢ NIL		Θ
2.2	PEEK (See Section 4 of [[1])	HOA (B, C)
3.	PAIRS (See Section 4 of	[1])	
4.	(OR-SPLIT) $C \equiv (A \lor D)$	Put C: = AND-OUT(C)	
4.1	C¹ # C		IMPLY (H, C')
4.2	C¹ ≡ C	Put θ : = HOA(B $\wedge\sim$ D,A) ⁸	
4.3	9 ≢ NIL		9
4.4	θ ≅ NIL		$HOA(B \land \sim A, D)^8$
5.1	$C \equiv (A \longrightarrow D)$		IMPLY(B,C)
5.2	$C \equiv (A \wedge D)$		IMPLY (B,C)
6.	$B \equiv (A \wedge D)$	Put 9: = HOA(A,C)	
6.1	9 ≢ NIL		9
6.2	O = NIL		HOA (D, C)

⁸In Step 4.2, the "~" in ('D) is pushed to the inside; e.g., \sim (\sim P) goes to P, and \sim (P \rightarrow Q) goes to P \wedge \sim Q. If D contains no "~" or " \rightarrow " then (\sim D) is omitted and the call is made HOA(B,A). Similarly in Step 4.4.

HOA(B,C) Cont d

	<u>IF</u>	ACTION	RETURN
7.	(Back-chaining) B ≡ (A → D)	Put 0: = ANDS (D,C)*	
7.1	Q = NIL	Go To 7E	
7.2	9 ≢ NIL	Put λ : = IMPLY (H, A9) ⁴	
7.3	$\lambda \equiv N\Pi$	Go To 8	
7.4	λ ≢ NIL		. Θ∘λ
7 E.	$B \equiv (A \longrightarrow a = b)$	Put 9: = HOA(a = b,C)	
7E.1	θ ≡ NIL		NIL
7E.2	9 ≢ NIL	Put λ : \equiv IMPLY (H, A0) ⁴	•
7E.3	$\lambda \equiv NIL$	Go To 8	
7E.4	λ ≢ NIL		9 • X
8.	$B \equiv (A \longleftrightarrow D)$		$HOA((A \longrightarrow D) \land (D \longrightarrow A), C)$
9.	$B \equiv (a = b)$	Put Z: = MINUS-ON(a,b)	. *
9.1	$z \equiv 0$		NIL
9.2	Z is a number	·	Т
9.3	Z is not a number	Put a: = CHOOSE(a,b), b: = OTHER(a,b) (see	p.16 of [1])
		Put $H^{!} := H(a^{!}/b^{!}),$ $C^{!} := C(a^{!}/b^{!})$	IMPLY(H',C')
10.	$B \equiv (A \lor D)$		IMPLY (B, C)
11.	B ≡ ~A		IMPLY (H, $A \lor C$) ⁸
12.	ELSE		NIL

^{*}ANDS is explained on p.11. of [1].

⁸Actually we use AND-PURGE(H,~A) instead of H, which removes ~A from H.

Appendix 2

Some Soundness Results

In this appendix we establish some soundness results for the system, with particular emphasis on the role of TYPELIST.

We would like to establish the property:

If TYPELIST has the value TY and IMPLY (TY, H, C) or HOA (H,C) returns the value (θ TY'), then

(*)
$$(^{\circ}TY' \wedge TY \wedge H\theta \rightarrow C\theta)$$

is a valid formula.

This is equivalent to the informal statement that (TY \land H $\theta \rightarrow C\theta$) is valid "except for the case when TY' is false". (Recall that TYPELIST does not contain skolem variables so substitutions are not applied to it).

To establish this property we will use recursive induction (see [12,13], or [7] p.28). Thus we need only prove that each rule of IMPLY and HOA preserves the above property, assuming that it is preserved by each subcall to IMPLY and HOA within the Rule. This last assumption is called the "induction hypothesis". These induction thypotheses appear as hypotheses in the various theorems below. In every case we will use the abbreviation "TY" for "TYPELIST".

The property (*) is clearly preserved in all cases when a result of the form (0 NIL) is returned for then TY' \equiv NIL, and (*) becomes

(TY \wedge H $\theta \rightarrow C\theta$).

It also holds in case NIL is Returned. Since also IMPLY Rules 3, 5, 6, 7, 8, 10, 11, 12, and HOA Rules 2.2, 2.3, 3, 5, 8, 9, 10, 11, returns a single call to IMPLY or HOA, we are left with only IMPLY Rules 2.4, 4.4, 11, and HOA Rules

4.5, 4.6, 6.5, 6.6, 7.4, 7E.4, and 7LE.6, to handle. These appear in Tables I-T, and II-T, pp. 16-19.

For each of these, we state below: the goal being attempted when the rule is applied; the rule itself; and the theorem validating that rule. The proofs are given by Resolution.

In these proofs we assume that no contradictory substitution θ is ever substituted (i.e., a case where a/x and b/x are both in θ , where $a \neq b$). The results given here can easily be generalized to handle substitutions, which consist of disjunctions of ordinary substitution (see Appendix 3 of [1]), where such contradictory entries are allowed.

GOAL $(TY \land H \rightarrow A \land B)$

Rule I-T 4.4. If $(TY \land h \Rightarrow A)$ returns $(\theta \ TY1)$ and $(TY \land H \Rightarrow B\theta)$ returns $(\theta 2 \ TY2)$ then return $(\theta \circ \theta 2 \ (TY1 \lor TY2))$ for $(TY \land H \Rightarrow A \land B)$.

Theorem. ($^{\circ}$ TY1 $^{\wedge}$ TY $^{\wedge}$ H $\theta \rightarrow A\theta$)

 $(^{\sim}TY2 \wedge TY \wedge H\theta2 \rightarrow (B\theta)\theta2)$

 \rightarrow (\land (TY1 \lor TY2) \land TY \land H \rightarrow A \land B)

Proof. By Resolution

- 1. TY1 \checkmark $^{\circ}$ TY \checkmark $^{\circ}$ H $^{\theta}$ $^{\checkmark}$ A $^{\theta}$
- 2. TY2 \vee $^{\circ}$ TY \vee $^{\circ}$ H θ 2 \vee B θ 02
- 3. ∿TY1
- 4. ∿TY2
- 5. TY
- 6. H
- 8. $A\theta$ 1,3,5,6
- 9. $(B\theta)\theta 2$ 2,4,5,6
- **10.** ∿Bθ 7,8
- 11. □ 9,10

GOAL. $((TY' \lor TY'') \land H \rightarrow C)$

Rule I-T 2.4. If (TY' \wedge H \Rightarrow C) returns (θ TY1) and (TY" \wedge H \Rightarrow C) returns (λ TY2) then return (θ \circ λ (TY1 \vee TY2)) for ((TY' \vee TY") \wedge H \Rightarrow C).

Theorem. ($^{\circ}$ TY1 $^{\wedge}$ TY' $^{\wedge}$ H $\theta \rightarrow C\theta$)

($^{\circ}$ TY2 $^{\wedge}$ TY" $^{\wedge}$ H $\lambda \rightarrow C\lambda$) \rightarrow ($^{\circ}$ (TY1 $^{\vee}$ TY2) $^{\wedge}$ (TY' $^{\vee}$ TY") $^{\wedge}$ H \rightarrow C)

Proof. By Resolution.

- 1. TY1 \checkmark $^{\circ}$ TY' \checkmark $^{\circ}$ H θ \checkmark C θ
- 2. TY2 \vee TY" \vee H λ \vee C λ
- 3. ∿TY1
- 4. ∿TY2
- 5. TY' / TY"
- 6. H
- 7. ∿C
- 8. $^{\circ}$ TY' 1,3,6,7
- 9. $\nabla TY''$ 2,4,6,7
- 10. \Box 5,8,9

GOAL. $(TY \land H \rightarrow a < b)$

RULE III. Return (NIL \circ (a \leq b) \land TY)

Theorem. $^{\circ}[^{\circ}(a \leq b) \land TY] \rightarrow (TY \land H \rightarrow a \leq b)$

Proof. $^{\circ}[^{\circ}(a \le b) \land TY] \longleftrightarrow [a \le b \lor ^{\circ}TY]$ $\longleftrightarrow (TY \rightarrow a \le b)$ $\rightarrow (TY \land H \rightarrow a \le b)$

GOAL. (TY \wedge B \rightarrow A \checkmark D)

Rule H-T 4.5. If $(TY \land B \land ^{\circ}D \Rightarrow A)$ returns $(\theta TY1)$ and $(TY1 \land B \land ^{\circ}A \Rightarrow D)$ returns NIL, then return $(\theta TY1)$ for $(TY \land B \Rightarrow A \lor D)$.

Theorem. ($^{\circ}$ TYL $^{\wedge}$ TY $^{\wedge}$ B θ $^{\wedge}$ $^{\circ}$ D θ $^{\rightarrow}$ A θ) $\longrightarrow (^{\circ}$ TY1 $^{\wedge}$ TY $^{\wedge}$ B θ $^{\rightarrow}$ A θ $^{\vee}$ D θ)

Proof. These are equivalent.

Rule H-T 4.6. If $(TY \land B \land ^{\circ}D \Rightarrow A)$ returns $(\theta \quad TY1)$ and $(TY1 \land B \land ^{\circ}A \Rightarrow D)$ returns $(\lambda \quad TY2)$ then return $(\theta \circ \lambda \quad TY2)$ for $(TY \land B \Rightarrow A \lor D)$

Theorem. ($^{\circ}$ TY1 $^{\wedge}$ TY $^{\wedge}$ B θ $^{\wedge}$ $^{\circ}$ D θ $^{\rightarrow}$ A θ)

($^{\circ}$ TY2 $^{\wedge}$ TY1 $^{\wedge}$ B λ $^{\wedge}$ $^{\circ}$ A λ $^{\rightarrow}$ D λ)

Proof. By Resolution.

1. TY1 \checkmark TY \checkmark $^{\circ}B\theta$ \checkmark $^{\circ}D\theta$ \checkmark $^{\circ}A\theta$

 \rightarrow (TY2 \wedge TY \wedge B \rightarrow A \vee D)

- 2. TY2 \checkmark $^{\circ}$ TY1 \checkmark $^{\circ}$ B λ \checkmark A λ \checkmark D λ
- 3. ∿TY2
- 4. TY
- 5. B
- 6. ∿A
- 7. ∿D
- 8. TY1 1,4,5,7,6
- 9. $\sqrt{11}$ 2,3,5,6,7
- 10. 🗆 8,9

GOAL. $(TY \land H \land (A \rightarrow D) \rightarrow C)$

Rule H-T 7.4. If ANDS (D,C) returns θ and (TY \wedge H \wedge (A \rightarrow D) \rightarrow A θ) returns (λ TY2) then return (θ \circ λ TY2) for (TY \wedge H \wedge (A \rightarrow D) \Longrightarrow C).

Theorem. $(D\theta \rightarrow C\theta)$

<u>Proof</u>. By Resolution.

- 1. ∿Dθ ∨ Cθ
- 2. TY2 \vee \sim TY \vee \sim H \vee A λ \vee A θ λ

```
 TY2 √ ¬TY √ ¬H √ ¬Dλ √ Αθλ
```

- 4. ∿TY2
- 5. TY
- 6. H
- 7. ∿A ∨ D
- 8. <u>∿C</u>
- 9. ∿Dθ 1,8
- 10. A $\lambda \vee A\theta \lambda = 2,4,5,6$
- 11. $\triangle D\lambda \vee A\theta\lambda$ 3,4,5,6
- 12. $D\lambda \vee D\theta\lambda = 10,7$
- 13. $D\lambda$ 9,12
- 14. $A\theta\lambda$ 13,11
- 15. $D\theta\lambda$ 7,14
- 16. □ 9,15

GOAL. (TY \wedge A \wedge D \rightarrow C)

Rule H-T 6.5. If $(TY \land A \Rightarrow C)$ returns $(\theta \ TY1)$ and $(TY1 \land D \Rightarrow C)$ returns NIL then return $(\theta \ TY1)$ for $(TY \land A \land D \Rightarrow C)$.

Theorem. $(^{\circ}TY1 \wedge TY \wedge A\theta \rightarrow C\theta)$

Proof. Obvious

Rule H-T 6.6. If (TY \wedge A \Longrightarrow C) returns (θ TY1) and (TY1 \wedge D \Longrightarrow C) returns (λ TY2) then return (θ \circ λ TY2) for (TY \wedge A \wedge D \Longrightarrow C).

Theorem. ($^{\circ}$ TY1 $^{\wedge}$ TY $^{\wedge}$ A $\theta \rightarrow C\theta$)

 $(^{\sim}TY2 \land TY1 \land D\lambda \rightarrow C\lambda)$

 \rightarrow ($^{\circ}$ TY2 $^{\wedge}$ TY $^{\wedge}$ A $^{\wedge}$ D \rightarrow C)

 \rightarrow (TY1 \wedge TY \wedge A θ \wedge D θ \rightarrow C θ)

Proof. By Resolution

- 1. TY1 \checkmark $^{\circ}$ TY \checkmark $^{\circ}$ A θ $_{\checkmark}$ C θ
- 2. TY2 ∨ ∿TY1 ∨ ∿Dλ ∨ Cλ

- 3. ~ TY2
- 4. TY
- 5. A
- 6. D
- 7. °C
- 8. TY1 1,4,5,7
- 9. TY1 2,3,6,7
- 10. 🗀 8,9

GOAL. $(TY \land H \land (A \rightarrow A = b) \rightarrow C)$

Rule H-T 7E.4. If (TY \wedge H \wedge a = b \Rightarrow C) returns (θ TY1) and (TY \wedge H \wedge (A \Rightarrow a = b) \Rightarrow A θ) returns (λ TY2) then returns (θ \circ λ (TY1 \vee TY2)) for (TY \wedge H \wedge (A \Rightarrow a = b) \Rightarrow C)

GOAL. (TY \wedge H \wedge (A \rightarrow a \leq b) \rightarrow C)

Rule H-T 7LE.6. If (TY \wedge H \wedge a \leq b \Rightarrow C) returns (0 TY1) and (TY \wedge H \wedge (A \rightarrow a \leq b) \Rightarrow A0) returns (λ TY2) then return (0 \circ λ (TY1 \vee TY2) for (TY \wedge H \wedge (A \rightarrow a \leq b) \Rightarrow C).

Theorem. (For both). (D for a = b or a = b.

 $(^{\sim}TY1 \wedge TY \wedge H\theta \wedge D \rightarrow C\theta)$

 $(^{\sim}TY2 \wedge TY \wedge H\lambda \wedge (A\lambda \rightarrow D) \rightarrow A\theta\lambda)$

 \rightarrow (\sim (TY1 \vee TY2) \wedge TY \wedge H \wedge (A \rightarrow D) \rightarrow C)

Proof. By Resolution.

- 1. TY1 $\checkmark \circ$ TY $\checkmark \circ$ H $\theta \lor \circ$ D $\checkmark \circ$ C θ
- 3. TY2 ✓ ∿TY ✓ ∿Hλ ✓ ∿D ✓ Αθλ
- 4. °TY1
- 5. $^{\circ}$ TY2
- 6. TY
- 7. H
- 8. ~ A v D

<u>9. ∿c</u>

- 10. ∿D 1,4,6,7,9
- 11. Αλ Υ Αθλ 2,5,6,7
- 12. $^{\circ}D \vee A\theta\lambda 3,5,6,7$
- 13. ∿A 10,8
- 14. 🖾 13,11

Appendix 3

Output from the ISI Program Verification System (The prover is called on page 5)

TELNET typescript file started at FRI 25 APR 75 0954:04veXVERIFIER/3-2-1.SAV;1

VERIFIER 3.2 UCILSP BASED 18-APR-75 HI LARRY

>SCANTR:=NIL;

NIL

>TY;

FILE TO BE TYPED: BSRCH.PAS:1 [Old version]

```
00050
        %This program does binary search on the array A[1 .. P-1] trying
        %to locate the element X. If successful, then LOOKUP is set
00060
        %such that A[LOOKUP]=X and ERROR is set FALSE. If unsuccessful,
00070
        %ERROR is set TRUE. More on this problem may be found in
08000
00090
        "Section 5 of Igarashi, London, and Luckham.
00095
00100
        ENTRY 1 < P & SORTED(A) & A[1] LE X & X < A[P];
00200
        EXIT (A (LOOKUP) = X) AND (ERROR=FALSE) OR NOTFOUND(X,1,P) AND (ER
**ROR =TRUE);
00300
        BEGIN M:=1;N:=P;ERROR:=FALSE;
        ASSERT M < N & A[M] LE X & X < A[N] & SORTED (A) & ERROR=FALSE;
00400
00500
        WHILE M+1<N DO BEGIN
00600
                 I := (M+N) DIV 2;
00700
                 IF X < A[I] THEN N:=I ELSE IF A[I] < X THEN M:=I
                         ELSE BEGIN LOOKUP: = I; GOTO 1 END
00800
                 END:
00900
        IF A[M] NE X THEN GO TO 2 ELSE BEGIN LOOKUP: =M; GO TO 1 END;
01000
        2: ASSERT NOTFOUND(X,1,P); ERROR: =TRUE;
01100
01200
        1: ASSERT (A [LOOKUP] = X) AND (ERROR=FALSE ) OR NOTFOUND(X,1,P) AN
**D (ERROR=TRUE);
01300
        END.
```

NIL

```
>PROVE BSRCH;
RESTORE: NO($), DMP, PRE, VC, VCS
>$
PARSE: $=BSRCH.PAS,RESET (FILENAME)
>$PROCEEDING
```

Parsing . . .

```
VCGEN: P(ROCEED)($), UNIT
>$
MAIN#1
```

MAIN#2

MAIN#3

MAIN#4

MAIN#5

MAIN#6

MAIN#7

MAIN#8

TRYING TO SIMPLIFY MAIN#1

CHOICE: P(ROCEED)(\$),+/-N, VCGEN, ASSUME,

END, DEFER, SWITCH, STATUS, RED (UCE)

>\$PROCEEDING

VERIFICATION CONDITION MAIN#1

SIMPLIFICATION

>>> ENTERING RPV WITH

1<P

AND SORTED(A)

AND A[1] LE X

AND X < A[P] 1<P

IMP

AND A[1] LE X

AND X < A[P]

AND SORTED(A)

AND FALSE=FALSE

>>> ENTERING RPROVER WITH

TRUE

<<< LEAVING RPROVER WITH

TRUE

VC WAS MAIN#1

TRYING TO SIMPLIFY MAIN#2

CHOICE: P(ROCEED)(\$),+/-N, VCGEN, ASSUME,

END, DEFER, SWITCH, STATUS, RED (UCE)

>\$PROCEEDING

VERIFICATION CONDITION MAIN#2

SIMPLIFICATION

>>> ENTERING RPV WITH

M<N

```
AND A[M] LE X
     AND X < A[N]
     AND SORTED(A)
      AND ERROR=FALSE
      AND M+1 < N
          X < A[(M+N) DIV 2]
IMP
      IMP
            (M < (M+N) DIV 2) AND (A[M] LE X)
           AND X < A[(M+N)] DIV 2)
           AND SORTED(A)
           AND ERROR=FALSE
SUBING ERROR: =FALSE
>>> ENTERING RPROVER WITH
          SORTED (A)
      AND M+2 LE N
      AND M<N.
      AND X < A[N]
      AND X < A[(N+M) DIV 2]
      AND A[M] LE X
 IMP
       SORTED (A)
      AND M < (N+M) DIV 2
      AND X < A[(N+M) DIV 2]
      AND A[M] LE X
HCMATCH MATCHED SORTED (A)
MATCHED X < A[(N+M), DIV 2]
MATCHED A[M] LE X
HCMATCH GIVES
          SORTED (A)
      AND M+2 LE N
      AND M<N
      AND X < A[N]
      AND X < A[(N+M)] DIV 2]
      AND A[M] LE X
 IMP M < (N+M) DIV 2
INSUB LEPRY IMPPRY LOGSUB SAVESTATE MPHYP EXPQ CHECKSTATE
 <<< LEAVING RPROVER WITH
          SORTED (A)
       AND M+2 LE N
       AND M<N
       AND X < A[N]
       AND X < A[(N+M)] DIV 2]
       AND A[M] LE X
  IMP M < (N+M) DIV 2
 VC WAS MAIN#2
                   SAVE AS?
 >$MAIN#S2
  TRYING TO PROVE MAIN#S2
 CHOICE: P(ROCEED)($),+/-N, VCGEN, ASSUME,
```

```
END, DEFER, SWITCH, STATUS, RED (UCE)
>DEFER
 TRYING TO SIMPLIFY MAIN#3
CHOICE: P(ROCEED)($),+/-N,VCGEN,ASSUME,
         END, DEFER, SWITCH, STATUS, RED (UCE)
>2
VERIFICATION CONDITION MAIN#5
SIMPLIFICATION
>>> ENTERING RPV WITH
          M<N
      AND A[M] LE X
      AND X < A[N]
      AND SORTED (A)
       AND ERROR=FALSE
      AND NOT (M+1 < N)
 IMP A[M] NE X IMP NOTFOUND(X, 1, P)
SUBING ERROR: =FALSE
>>> ENTERING RPROVER WITH
           SORTED (A)
       AND M<N
       AND X < A[N]
       AND N LE M+1
       AND A[M] LE X
       AND NOT (X = A[M])
  IMP NOTFOUND(X, 1, P)
 HCMATCH INSUB LEPRY IMPPRY LOGSUB SAVESTATE MPHYP EXPQ
 NEW EQUALITY M+1 = N
 FROM: M<N
 AND: N LE M+1
 EXPQ GIVES
           SORTED (A)
       AND M<N
       AND X < A[N]
       AND N LE M+1
       AND A[M] LE X
       AND NOT (X = A[M])
       AND M+1 = N
  IMP NOTFOUND(X, 1, P)
 CHECKSTATE INSUB
 SUB: TYPE Y(ES), N(O), ? FOR MNEMONICS, HELP FOR COMMAND SUMMARY
 WARNING!!! LEFT SIDE OF PROPOSED SUBST DOES NOT APPEAR IN ANY CONCS.
```

>SS

1) M:=N-1 2) N:=M+1

```
TYPE NUMBER BETWEEN 1 AND 2
>2
SUB: TYPE Y(ES), N(O), ? FOR MNEMONICS, HELP FOR COMMAND SUMMARY
WARNING!!! LEFT SIDE OF PROPOSED SUBST DOES NOT APPEAR IN ANY CONCS.
>Y
 SUB USED: N:=M+1
INSUB GIVES
           SORTED (A)
      AND X < A[M+1]
      AND A[M] LE X
      AND NOT (X = A[M])
 IMP NOTFOUND(X, 1, P)
LEPRY IMPPRY
<<< LEAVING RPROVER WITH
           SORTED(A)
       AND X < A[M+1]
       AND A[M] LE X
       AND NOT (X = A[M])
 IMP NOTFOUND(X, 1, P)
                   SAVE AS?
VC WAS MAIN#5
>$MAIN#S5
  TRYING TO PROVE MAIN#S5
 CHOICE: P(ROCEED)($),+/-N, VCGEN, ASSUME,
          END, DEFER, SWITCH, STATUS, RED (UCE)
 >STATUS
    MAIN#1 ***PROVED***
    MAIN#2 HAS BEEN SIMPLIFIED TO
       MAIN#S2 (DEFFERED) TO BE PROVED
    MAIN#3 HAS BEEN SIMPLIFIED TO
       MAIN#S3 (DEFFERED) TO BE PROVED
    MAIN#4 ***PROVED***
    MAIN#5 HAS BEEN SIMPLIFIED TO
       MAIN#S5 TO BE PROVED
    MAIN#6 HAS BEEN GENERATED
    MAIN#7 HAS BEEN GENERATED
    MAIN#8 HAS BEEN GENERATED
  TRYING TO PROVE MAIN#S5
 CHOICE: P(ROCEED)($),+/-N,VCGEN,ASSUME,
           END, DEFER, SWITCH, STATUS, RED (UCE)
 >END
 PROVE: NO($), UN(DEFERRED), OR DEF(ERRED) (VC'S)
 >$
        DMP($), PRE, VC, VCS, NO, CLEAR (STRUCTURE)
 DUMP:
 >N0
```

NIL

```
The Projet W
>PROVEIT VCM5;
                                                 Called here
VERIFICATION CONDITION VCM5
(THEOREM TO BE PROVED)
NIL
          SORTED (M, MIN (N+1, 2), N)
      AND 2 LE N
      AND A(M, 2, MIN(N, 1))
             IP1LARGEST(MIN(N, 1), M)
           OR 0 = MIN(-N + 1, 0)
IMP SORTED (M, 1, N)
(BACKUP POINT)
W>$PROCEEDING
(BACKUP POINT)
(P->)
W>TP
           N IN [2..INFINITY]
      AND SORTED (M, MIN (N+1, 2), N)
      AND A(M, 2, MIN(N, 1))
      AND IP1LARGEST (MIN (N, 1), M)
            OR 0 = MIN(-N + 1, 0)
 IMP SORTED (M, 1, N)
W>$PROCEEDING
..... (P-> ORH)
 (P-> ORH 1)
 (BACKUP POINT)
 (P-> ORH 1 P->)
W>TP
           N IN [2..INFINITY]
       AND SORTED (M, MIN (N+1, 2), N)
       AND A(M, 2, MIN(N, 1))
       AND IP1LARGEST (MIN(N, 1), M)
 IMP SORTED (M, 1, N)
W>$PROCEEDING
 .....RAN OUT OF TRICKS
W>USE
LEMMA:
 >SORTED (M, I+1,N) AND (M[I] LE M[I+1]) IMP SORTED (M, I,N);
 ==> (1)
           SORTED (M, I+1, N)
       AND M(I) LE M(I+1)
  IMP SORTED (M, I, N)
 <== (1)
           SORTED (M, I+1, N)
       AND M[I] LE M[I+1]
  IMP SORTED (M. I. N)
 (LEMMA USED SAVED IN L240)
```

```
SORTED (M, I+1, N)
     AND M[I] LE M[I+1]
IMP SORTED (M, I, N)
OK???
>YES
(P-> ORH 1 P-> U)
W>$PROCEEDING
.(P-> ORH 1 P-> U H)
(P-> ORH 1 P-> U H 1)
.....RAN OUT OF TRICKS
W>TP
          N IN [2..INFINITY]
      AND SORTED (M, MIN (N+1, 2), N)
      AND A(M, 2, MIN(N, 1))
      AND IP1LARGEST (MIN(N, 1), M)
 IMP SORTED (M, 2, N)
W>R H
     N IN [2.. INFINITY]
 AND SORTED (M, 2, N)
 AND A(M, 2, 1)
 AND IP1LARGEST(1, M)
OK???
>YES
W>TP
           N IN [2.. INFINITY]
       AND SORTED (M, 2, N)
       AND A(M, 2, 1)
      AND IP1LARGEST(1, M)
 IMP SORTED (M, 2, N)
W>$PROCEEDING
 ...(P-> ORH 1 P-> U H 1)
SORTED (M, 2, N)
PROVED
W> $PROCEEDING
 (P-> ORH 1 P-> U H 2)
 MORE TIME ? (TYPE NUMBER OR NO)
 >N0
 M(1) LE M(2)
 FAILED TIME LIMIT
 W>TP
           SORTED (M, 2, N)
       AND N IN [2.. INFINITY]
       AND SORTED (M, MIN (N+1, 2), N)
       AND A(M, 2, MIN(N, 1))
       AND IP1LARGEST (MIN(N, 1), M)
```

IMP M(1) LE M(2)

```
SORTED (M, 2, N)
AND N IN [2.. INFINITY]
AND SORTED (M, 2, N)
AND A(M, 2, 1)
AND IP1LARGEST (1, M)
OK???
>OK
W>$PROCEEDING
......RAN OUT OF TRICKS
W>TP
          SORTED (M, 2, N)
      AND N IN [2.. INFINITY]
      AND SORTED (M, 2, N)
      AND A(M, 2, 1)
      AND IP1LARGEST(1, M)
 IMP M[1] LE M[2]
W>USE
LEMMA:
>IP1LARGEST(1,M) IMP (M[1] LE M[2]);
==> (1)
     IP1LARGEST(1, M)
 IMP M(1) LE M(2)
<==(1)
     IP1LARGEST(1, M)
 IMP M [1] LE M [2]
(LEMMA USED SAVED IN L241)
     IP1LARGEST(1, M)
 IMP M(1) LE M(2)
OK???
>YES
 (P-> ORH 1 P-> U H 2 U)
W>$PROCEEDING
 .(P-> ORH 1 P-> U H 2 U H)
 ..... (P-> ORH 1 P-> U H 2 U H)
IP1LARGEST(1, M)
PROVED
W>$PROCEEDING
 (P-> ORH 1 P-> U H 2)
M[1] LE M[2]
PROVED
W>$PROCEEDING
 (P-> ORH 1 P-> U H)
      SORTED (M, 2, N)
  AND M(1) LE M(2)
```

```
PROVED
 W>$PROCEEDING
 (P-> ORH 1)
            N IN [2.. INFINITY]
        AND SORTED (M, MIN (N+1, 2), N)
        AND A(M, 2, MIN(N, 1))
        AND IP1LARGEST (MIN (N, 1), M)
  IMP SORTED (M, 1, N)
 PROVED
 W>$PROCEEDING
 (P-> ORH 2)
  (BACKUP POINT)
  (P-> ORH 2 P->)
 W>TP
             N IN [2..INFINITY]
        AND SORTED (M, MIN (N+1, 2), N)
        AND A(M, 2, MIN(N, 1))
        AND \emptyset = MIN(-N + 1, \emptyset)
   IMP SORTED (M, 1, N)
 W>A
  ASSUMED
(P-> ORH 2)
             N IN [2.. INFINITY]
         AND SORTED (M, MIN (N+1, 2), N)
         AND A(M, 2, MIN(N, 1))
         AND 0 = MIN(-N + 1, 0)
   IMP SORTED (M, 1, N)
  PROVED
  W>$PROCEEDING
  (P-> ORH)
                   N IN [2..INFINITY]
              AND SORTED (M, MIN(N+1, 2), N)
               AND A(M, 2, MIN(N, 1))
               AND IP1LARGEST (MIN (N, 1), M)
         IMP SORTED (M, 1, N)
                   N IN [2..INFINITY]
   AND
               AND SORTED (M, MIN (N+1, 2), N)
               AND A(M, 2, MIN(N, 1))
               AND \emptyset = MIN(-N + 1, \emptyset)
         IMP SORTED (M, 1, N)
  PROVED
  W>$PROCEEDING
   (P->)
  SORTED (M, 1, N)
  PROVED
  W>$PROCEEDING
  NIL
```

Unsolicited remarks of a user who had just proved a theorem on the interactive system:

"I really had no idea what the theorem was saying, but armed with the relevant lemmas, I just let the machine do the work.

The conclusion of the theorem looked very much like the conclusion of one of the lemmas I had. So naturally I tried to use it, but soon realized that it was a back-chaining trap. That was no real problem, I simply backed up and tried another lemma which seemed to fit. When I back-chained and tried to prove the hypotheses of that lemma it soon became apparent that another lemma was needed. And so it went until I noticed that an equality chain could possibly be built. I wasn't sure one existed but it didn't hurt to try. You know what happened then - it actually discovered a chain and reduced my problem to proving the hypotheses of that chain. I still didn't know what I was proving, but the only remaining problem was to find values for the two variables A and B in C, which it did quickly."

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