

Sequencing and the discriminated union.

The purpose of this note is to record an observation on a connection between the availability of sequencing primitives on the one hand and the need for the discriminated union on the other.

Our starting point is a rather abstract inner block that captures a structure of which I have encountered several examples. The variable z represents the global environment symbolically, the variable x is a local variable, and the predicates $H(x)$ and $K(x)$, used in the annotations, are complementary, i.e. $H(x) = \text{non } K(x)$. The BHH , BHK , etc. represent boolean expressions such that $(BHH(x,z) \text{ or } BHK(x,z)) \Rightarrow H(x)$, etc.

```
begin var x: Xtype; x:= some function(z);
  do BHH(x,z) → {H(x)} z:= ZHH(x,z); x:= XHH(x,z) {H(x)}
  [] BHK(x,z) → {H(x)} z:= ZHK(x,z); x:= XHK(x,z) {K(x)}
  [] BKH(x,z) → {K(x)} z:= ZKH(x,z); x:= XKH(x,z) {H(x)}
  [] BKK(x,z) → {K(x)} z:= ZKK(x,z); x:= XKK(x,z) {K(x)}
  od
end
```

Suppose now that we have to code this inner block in the absence of the required $Xtype$, but in the presence of two types, $Htype$ and $Ktype$, such that there is a natural one-to-one correspondence between the values in $Htype$ and those x of $Xtype$ satisfying $H(x)$, and, similarly, there is a natural one-to-one correspondence between the values in $Ktype$ and those x of $Xtype$ satisfying $K(x)$. The classical solution consists of replacing the above variable x by a triple, i.e. one boolean, one variable of $Htype$ and one variable of $Ktype$. Reusing the same identifiers in analogous functions, we get:

```
begin var Hholds: boolean; var h: Htype; var k: Ktype; Hholds:= Q(z);
  if Hholds → h:= some function(z) [] non Hholds → k:= other function(z) fi;
  do Hholds cand BHH(h,z) → z:= ZHH(h,z); h:= XHH(h,z)
  [] Hholds cand BHK(h,z) → z:= ZHK(h,z); k:= XHK(h,z); Hholds:= false
  [] non Hholds cand BKH(k,z) → z:= ZKH(k,z); h:= XKH(k,z); Hholds:= true
  [] non Hholds cand BKK(k,z) → z:= ZKK(k,z); k:= XKK(k,z)
  od
end
```

This second program is ugly for a variety of reasons:

- 1) The fact that at any moment in time of the values of h and k only one matters is not syntactically expressed.
- 2) Without the introduction of "fake initializations", the assignments to h and k cannot be separated in the text into initializations versus modifications. (This complaint is closely related to the first one.)
- 3) The value of $Hholds$ requires explicit manipulation, despite the fact that that value is almost a function of the place in the text.
- 4) The cand's are really necessary, because the BHH , BHK etc. may now be partial functions. (Note that we may not write

```

do Hholds → if BHH(h,z) → ...
             [] BHK(h,z) → ...
             fi
[] non Hholds → if BKH(k,z) → ...
                [] BKK(k,z) → ...
                fi
od

```

because, instead of to proper termination, this would lead to abortion.)

These complaints are largely overcome when we use --very much in the style suggested by Eric C. Hehner of the University of Toronto-- what we might call "semi-recursion". The main text for our program part then becomes

```

if Q(z) → processHtype(some function(z))
    [] non Q(z) → processKtype(other function(z))
fi

```

with the two local refinements, which --under the assumption of value-parameters in the style of ALGOL 60-- can be written:

```

processHtype(value h: Htype):
begin do BHH(h,z) → z:= ZHH(h,z); h:= XHH(h,z) od;
    if BHK(h,z) → z:= ZHK(h,z); processKtype(XHK(h,z))
    [] non BHK(h,z) → skip
    fi
end

```

and for "processKtype" similarly. Following Hehner we can abolish the

do...od completely --and, in passing, retain the potential nondeterminacy-- by refining as follows:

```

processHtype(value h: Htype):
begin  if BHH(h,z) → z:= ZHH(h,z); processHtype(XHH(h,z))
      [] BHK(h,z) → z:= ZHK(h,z); processKtype(XHK(h,z))
      [] non (BHH(h,z) or BHK(h,z)) → skip
      fi
end

```

and for "processKtype" similarly.

This form of recursive refinement is at most "semi-recursion", for what an ALGOL programmer would intuitively interpret as calls on recursive procedures are here all so-called "last calls": for their implementation no stack is required and --because the term "continuation" has already a technical meaning in denotational semantics-- we could call them "completions". If the "completion" is a recognized syntactic concept, none of the four complaints against our second program applies to our semi-recursive programs!

* * *

The above can be read as a plea for semi-recursion as a sequencing device. Its strength, however, remains to be ascertained. Semi-recursion provided a nice alternative for our second program, i.e. a second way of avoiding a discriminated union --a way of type formation about which I have mixed feelings-- , but we should not forget that in this example we replaced only one variable of such a type. The complete moral of this observation --if there is one-- has still to be written; for the time being I must be content with having discovered a connection --between sequencing and types-- of which I had been unaware before.

Plataanstraat 5
5671 AL NUENEN
The Netherlands

prof.dr.Edsger W.Dijkstra
BURROUGHS Research Fellow