



# Ray Tracing





# Geometric optics

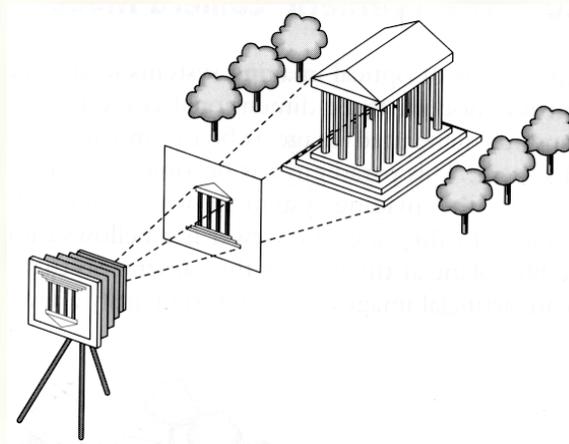
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- Modern theories of light treat it as both a wave and a particle.
- We will take a combined and somewhat simpler view of light – the view of **geometric optics**.
- Here are the rules of geometric optics:
  - Light is a flow of photons with wavelengths. We'll call these flows “light rays.”
  - Light rays travel in straight lines in free space.
  - Light rays do not interfere with each other as they cross.
  - Light rays obey the laws of reflection and refraction.
  - Light rays travel from the light sources to the eye, but the physics is invariant under path reversal (reciprocity).



# Synthetic pinhole camera

- The most common imaging model in graphics is the synthetic pinhole camera: light rays are collected through an infinitesimally small hole and recorded on an **image plane**.

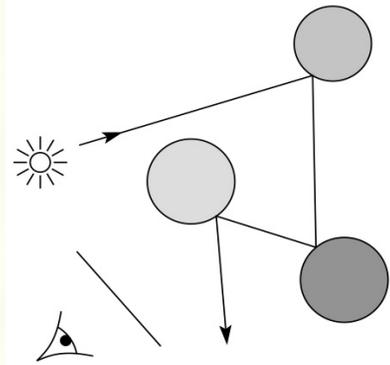


- For convenience, the image plane is usually placed in front of the camera, giving a non-inverted 2D projection (image).
- Viewing rays emanate from the **center of projection (COP)** at the center of the lens (or pinhole).
- The image of an object point  $P$  is at the intersection of the viewing ray through  $P$  and the image plane.

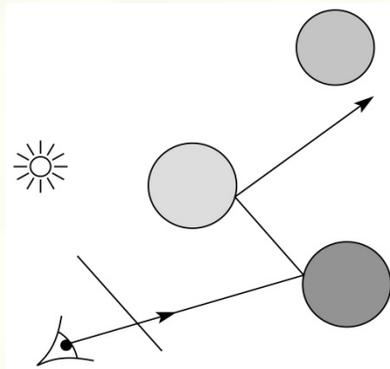


# Eye vs. light ray tracing

- Where does light begin?
- At the light: light ray tracing (a.k.a., forward ray tracing or photon tracing)



- At the eye: eye ray tracing (a.k.a., backward ray tracing)



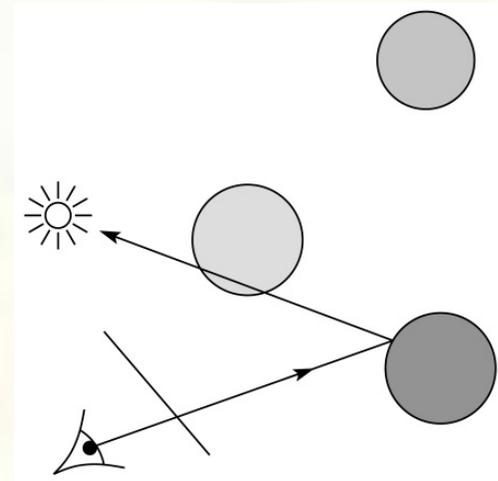
- We will generally follow rays from the eye into the scene.



# Precursors to ray tracing

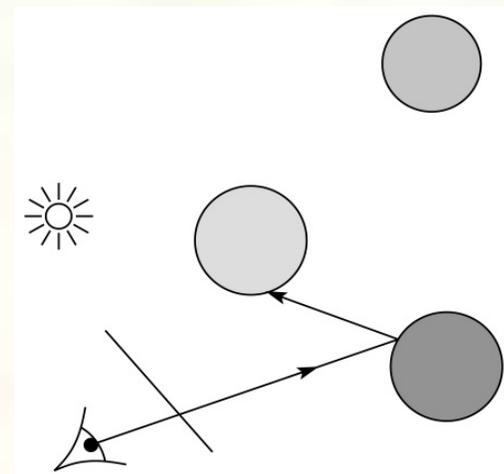
- Local illumination

- Cast one eye ray,  
then shade according to light



- Appel (1968)

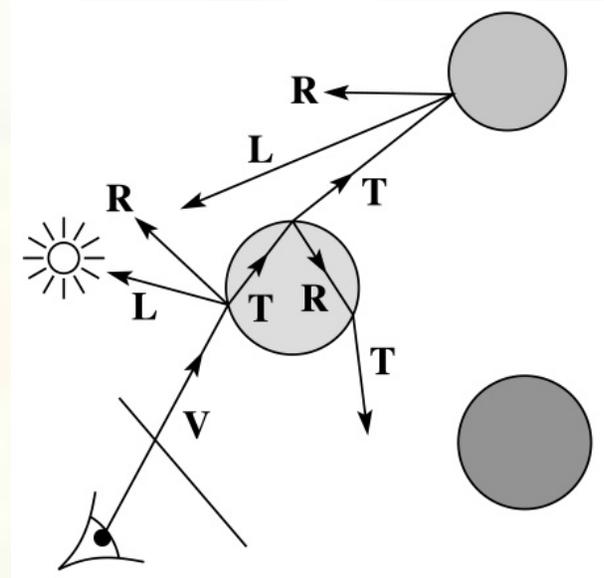
- Cast one eye ray + one ray to light





# Whitted ray-tracing algorithm

- In 1980, Turner Whitted introduced ray tracing to the graphics community.
  - Combines eye ray tracing + rays to light
  - Recursively traces rays



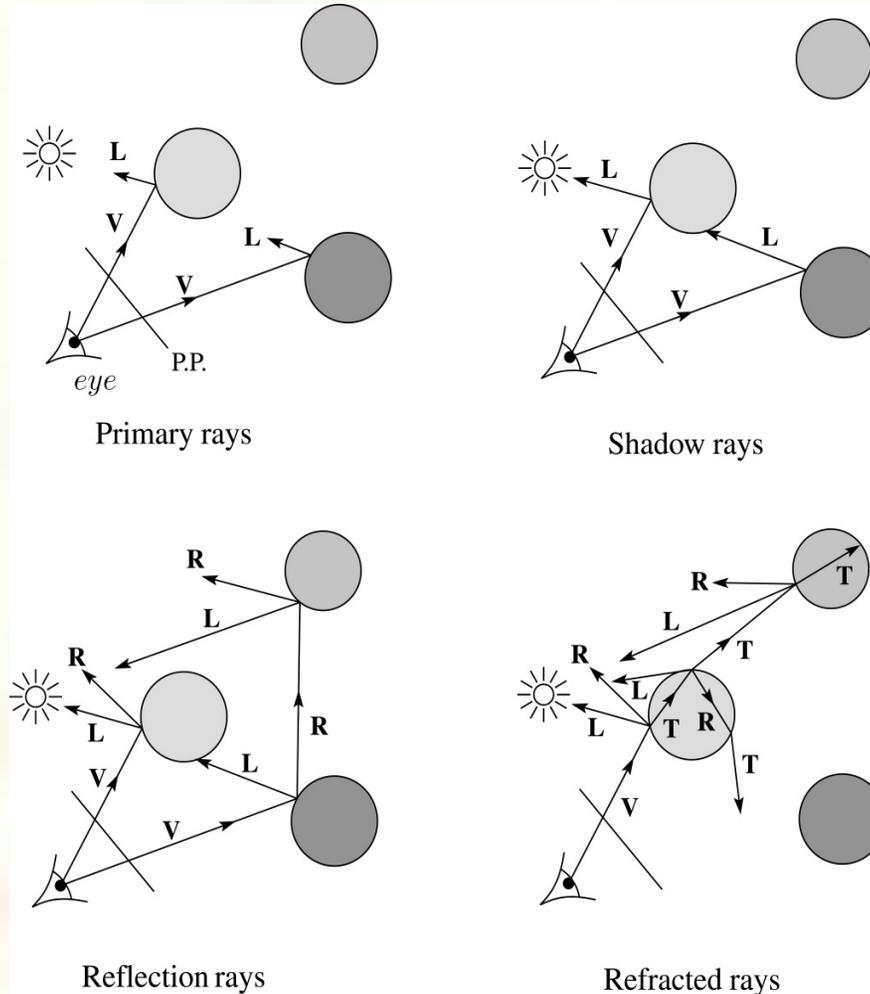
- Algorithm:

1. For each pixel, trace a **primary ray** in direction  $V$  to the first visible surface.
2. For each intersection, trace **secondary rays**:
  - **Shadow rays** in directions  $L_i$  to light sources
  - **Reflected ray** in direction  $R$ .
  - **Refracted ray** or **transmitted ray** in direction  $T$ .



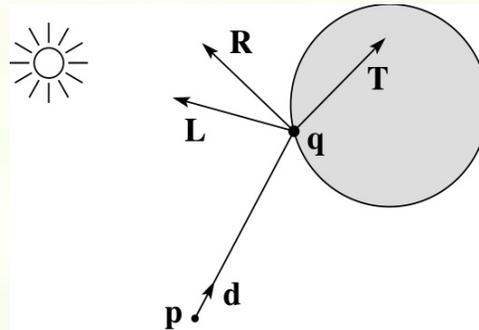
# Whitted algorithm (cont'd)

Let's look at this in stages:





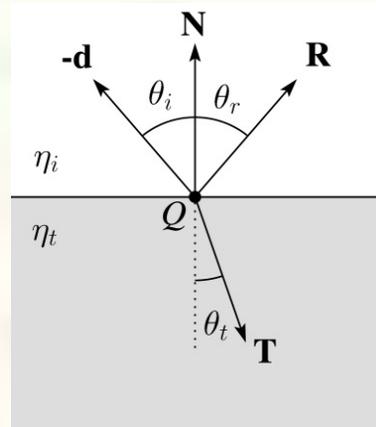
# Shading



- A ray is defined by an origin  $\mathbf{P}$  and a unit direction  $\mathbf{d}$  and is parameterized by  $t$ :
  - $P + t\mathbf{d}$
- Let  $I(P, \mathbf{d})$  be the intensity seen along that ray. Then:
- $I(P, \mathbf{d}) = I_{\text{direct}} + I_{\text{reflected}} + I_{\text{transmitted}}$
- where
  - $I_{\text{direct}}$  is computed from the Phong model
  - $I_{\text{reflected}} = k_r I(Q, \mathbf{R})$
  - $I_{\text{transmitted}} = k_t I(Q, \mathbf{T})$
- Typically, we set  $k_r = k_s$  and  $k_t = 1 - k_s$ .



# Reflection and transmission



- Law of reflection:

- $\theta_i = \theta_r$

- Snell's law of refraction:

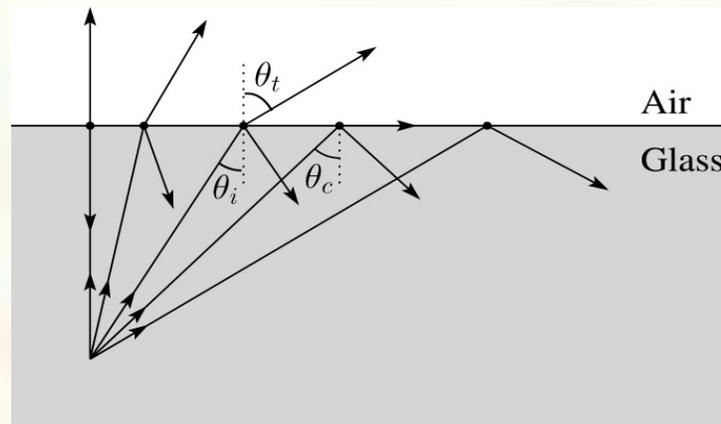
- $\eta_i \sin \theta_i = \eta_t \sin \theta_t$

- where  $\eta_i$ ,  $\eta_t$  are **indices of refraction**.



# Total Internal Reflection

- The equation for the angle of refraction can be computed from Snell's law:
- What happens when  $\eta_i > \eta_t$ ?
- When  $\theta_t$  is exactly  $90^\circ$ , we say that  $\theta_t$  has achieved the “critical angle”  $\theta_c$ .
- For  $\theta_i > \theta_c$ , *no rays are transmitted*, and only reflection occurs, a phenomenon known as “total internal reflection” or TIR.





# Ray-tracing pseudocode

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We build a ray traced image by casting rays through each of the pixels.

```
function traceImage (scene):  
  for each pixel (i,j) in image  
     $S = \text{pixelToWorld}(i,j)$   
     $P = \mathbf{COP}$   
     $\mathbf{d} = (S - P) / \|S - P\|$   
     $I(i,j) = \text{traceRay}(\text{scene}, P, \mathbf{d})$   
  end for  
end function
```



# Ray-tracing pseudocode, cont' d

```
function traceRay(scene, P, d):  
  (t, N, mtrl)  $\leftarrow$  scene.intersect (P, d)  
  Q  $\leftarrow$  ray (P, d) evaluated at t  
  I = shade(q, N, mtrl, scene)  
  R = reflectDirection(N, -d)  
  I  $\leftarrow$  I + mtrl.kr * traceRay(scene, Q, R)  
  if ray is entering object then  
    ni = index_of_air  
    nt = mtrl.index  
  else  
    ni = mtrl.index  
    nt = index_of_air  
  if (mtrl.kt > 0 and notTIR (ni, nt, N, -d)) then  
    T = refractDirection (ni, nt, N, -d)  
    I  $\leftarrow$  I + mtrl.kt * traceRay(scene, Q, T)  
  end if  
  return I  
end function
```



# Terminating recursion

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- **Q:** How do you bottom out of recursive ray tracing?
- **Possibilities:**



# Shading pseudocode

Next, we need to calculate the color returned by the *shade* function.

```
function shade(mtrl, scene,  $Q$ ,  $N$ ,  $d$ ):  
   $I \leftarrow \text{mtrl.k}_e + \text{mtrl.k}_a * \text{scene} \rightarrow I_a$   
  for each light source  $l$  do:  
     $\text{atten} = \frac{1}{\text{distance}(Q, l)} * \cos^2(\theta)$   
     $\text{atten} \leftarrow \text{atten} * \text{shadowAttenuation}(\text{scene}, Q, l)$   
     $I \leftarrow I + \text{atten} * (\text{diffuse term} + \text{spec term})$   
  end for  
  return  $I$   
end function
```



# Shadow attenuation

- Computing a shadow can be as simple as checking to see if a ray makes it to the light source.
- For a point light source:

**function** *PointLight::shadowAttenuation*(scene, *P*)

**d** = (**[?]**.position - *P*).normalize()

(*t*, **N**, *mtrl*) ← scene.intersect(*P*, **d**)

*Q* ← ray(*t*)

**if** *Q* is before the light source **then**:

*atten* = 0

**else**

*atten* = 1

**end if**

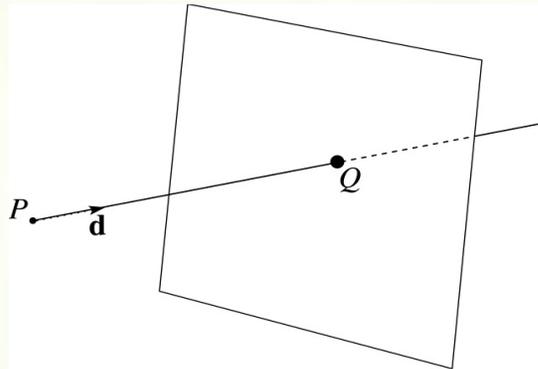
**return** *atten*

**end function**

- **Q:** What if there are transparent objects along a path to the light source?



# Ray-plane intersection



- We can write the equation of a plane as:

$$ax + by + cz + d = 0$$

- The coefficients  $a$ ,  $b$ , and  $c$  form a vector that is normal to the plane,  $\mathbf{n} = [a \ b \ c]^T$ . Thus, we can re-write the plane equation as:

$$\mathbf{n} \cdot \mathbf{p}(t) + d = 0$$

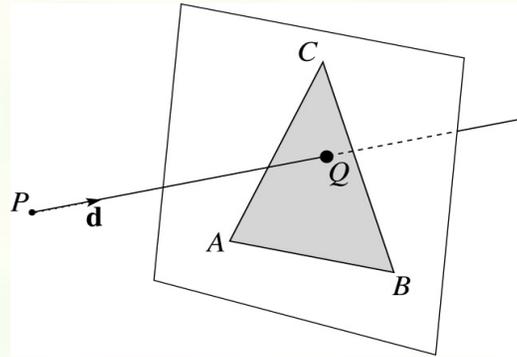
$$\mathbf{n} \cdot (\mathbf{P} + t\mathbf{d}) + d = 0$$

- We can solve for the intersection parameter (and thus the point):

$$t = -\frac{\mathbf{n} \cdot \mathbf{P} + d}{\mathbf{n} \cdot \mathbf{d}}$$



# Ray-triangle intersection



- To intersect with a triangle, we first solve for the equation of its supporting plane:

$$\mathbf{n} = (\mathbf{A} - \mathbf{C}) \times (\mathbf{B} - \mathbf{C})$$

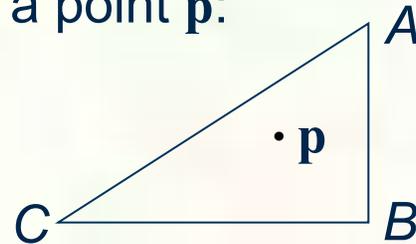
$$d = -(\mathbf{n} \cdot \mathbf{A})$$

- Then, we need to decide if the point is inside or outside of the triangle.
  - Solution 1: compute barycentric coordinates from 3D points.
  - What do you do with the barycentric coordinates?



# Barycentric coordinates

A set of points can be used to create an affine frame. Consider a triangle  $ABC$  and a point  $\mathbf{p}$ :



We can form a frame with an origin  $C$  and the vectors from  $C$  to the other vertices:

$$\mathbf{u} = \mathbf{A} - \mathbf{C} \quad \mathbf{v} = \mathbf{B} - \mathbf{C} \quad \mathbf{t} = \mathbf{C}$$

We can then write  $\mathbf{p}$  in this coordinate frame  $\mathbf{p} = \alpha\mathbf{u} + \beta\mathbf{v} + \mathbf{t}$

The coordinates  $(\alpha, \beta, \gamma)$  are called the **barycentric coordinates** of  $\mathbf{p}$  relative to  $A$ ,  $B$ , and  $C$ .



# Computing barycentric coordinates

For the triangle example we can compute the barycentric coordinates of P:

$$\alpha A + \beta B + \gamma C = \begin{bmatrix} A_x & B_x & C_x \\ A_y & B_y & C_y \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} \alpha \\ \beta \\ \gamma \end{bmatrix} = \begin{bmatrix} \mathbf{p}_x \\ \mathbf{p}_y \\ 1 \end{bmatrix}$$

Cramer's rule gives the solution:

$$\alpha = \frac{\begin{vmatrix} \mathbf{p}_x & B_x & C_x \\ \mathbf{p}_y & B_y & C_y \\ 1 & 1 & 1 \end{vmatrix}}{\begin{vmatrix} A_x & B_x & C_x \\ A_y & B_y & C_y \\ 1 & 1 & 1 \end{vmatrix}} \quad \beta = \frac{\begin{vmatrix} A_x & \mathbf{p}_x & C_x \\ A_y & \mathbf{p}_y & C_y \\ 1 & 1 & 1 \end{vmatrix}}{\begin{vmatrix} A_x & B_x & C_x \\ A_y & B_y & C_y \\ 1 & 1 & 1 \end{vmatrix}} \quad \gamma = \frac{\begin{vmatrix} A_x & B_x & \mathbf{p}_x \\ A_y & B_y & \mathbf{p}_y \\ 1 & 1 & 1 \end{vmatrix}}{\begin{vmatrix} A_x & B_x & C_x \\ A_y & B_y & C_y \\ 1 & 1 & 1 \end{vmatrix}}$$

Computing the determinant of the denominator gives:

$$B_x C_y - B_y C_x + A_y C_x - A_x C_y + A_x B_y - A_y B_x$$



# Cross products

Consider the cross-product of two vectors,  $\mathbf{u}$  and  $\mathbf{v}$ . What is the geometric interpretation of this cross-product?

A cross-product can be computed as:

$$\begin{aligned}\mathbf{u} \times \mathbf{v} &= \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ u_x & u_y & u_z \\ v_x & v_y & v_z \end{vmatrix} \\ &= (u_y v_z - u_z v_y)\mathbf{i} + (u_z v_x - u_x v_z)\mathbf{j} + (u_x v_y - u_y v_x)\mathbf{k} \\ &= \begin{bmatrix} u_y v_z - u_z v_y \\ u_z v_x - u_x v_z \\ u_x v_y - u_y v_x \end{bmatrix}\end{aligned}$$

What happens when  $\mathbf{u}$  and  $\mathbf{v}$  lie in the  $x$ - $y$  plane? What is the area of the triangle they span?



# Barycentric coords from area ratios

Now, let's rearrange the equation from two slides ago:

$$\begin{aligned} & B_x C_y - B_y C_x + A_y C_x - A_x C_y + A_x B_y - A_y B_x \\ &= (B_x - A_x)(C_y - A_y) - (B_y - A_y)(C_x - A_x) \end{aligned}$$

The determinant is then just the  $z$ -component of  $(B-A) \times (C-A)$ , which is two times the area of triangle  $ABC$ !

Thus, we find:

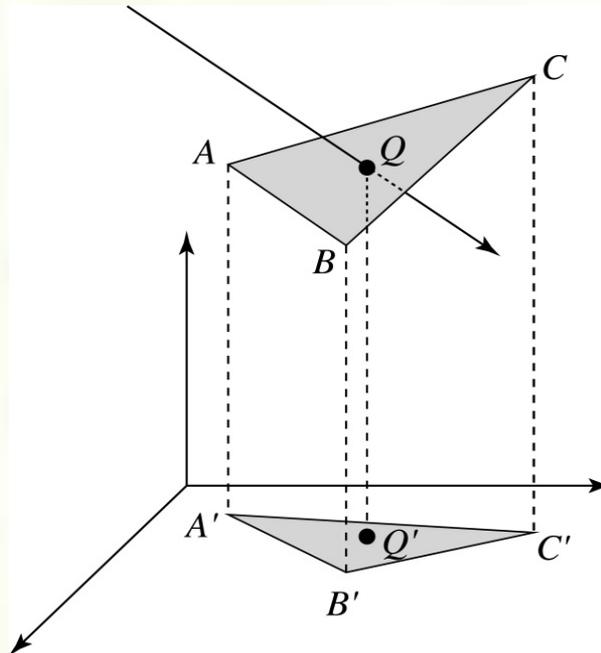
$$\alpha = \frac{\text{SArea}(\mathbf{p}BC)}{\text{SArea}(ABC)} \quad \beta = \frac{\text{SArea}(A\mathbf{p}C)}{\text{SArea}(ABC)} \quad \gamma = \frac{\text{SArea}(AB\mathbf{p})}{\text{SArea}(ABC)}$$

Where  $\text{SArea}(RST)$  is the signed area of a triangle, which can be computed with cross-products.



# Ray-triangle intersection

- Solution 2: project down a dimension and compute barycentric coordinates from 2D points.



- Why is solution 2 possible? Why is it legal? Why is it desirable? Which axis should you “project away”?



# Interpolating vertex properties

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- The barycentric coordinates can also be used to interpolate vertex properties such as:
  - material properties
  - texture coordinates
  - normals

- For example:

$$k_d(Q) = \alpha k_d(A) + \beta k_d(B) + \gamma k_d(C)$$

- Interpolating normals, known as Phong interpolation, gives triangle meshes a smooth shading appearance. (Note: don't forget to normalize interpolated normals.)





# Intersecting with xformed geometry

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- In general, objects will be placed using transformations. What if the object being intersected were transformed by a matrix  $M$ ?
- Apply  $M^{-1}$  to the ray first and intersect in object (local) coordinates!



# Intersecting with xformed geometry

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- The intersected normal is in object (local) coordinates. How do we transform it to world coordinates?