

# Semantics of Orc

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## Outline

- Define an asynchronous semantics, using labeled transitions.  
An expression transits to another expression, causing an event.  
Labels are events.
- Refine asynchronous semantics to a synchronous semantics.

# Formal Syntax

$f, g, h$	$\in$	$Expr$	$::=$	$M(P)$	Site call
				$\parallel E(P)$	Expression call
				$\parallel f >x> g$	Sequential composition
				$\parallel f \mid g$	Symmetric composition
				$\parallel f \text{ where } x:\in g$	Asymmetric composition

$p \in Actual ::= x \parallel c \parallel M$

$q \in Formal ::= x \parallel M$

## Enhanced Syntax

Add  $?u$  and  $let(c)$  as two possible expressions.

$f, g, h$	$\in$	$Expr$	$::=$	$M(P)$	Site call
				$E(P)$	Call to definition
				$f >x> g$	Sequential composition
				$f   g$	Symmetric composition
				$f \text{ where } x:\in g$	Asymmetric composition
				$?u$	Waiting for response
				$let(c)$	Ready to Publish

## Events

There are 4 kinds of events.

$l \in Event$	$::=$	$M\langle c, u \rangle$	Site call with handle $u$
		$   u?c$	Response
		$   \dagger c$	publish
		$   \tau$	silent transition

Response is outside the control of Orc.

## Rules for Site Call

$$\frac{u \text{ fresh}}{M(c) \xrightarrow[M\langle c, u \rangle]{\text{red}} ?u} \quad (\text{SITECALL})$$

$$?u \xrightarrow[u?c]{\text{red}} \text{let}(c) \quad (\text{SITERET})$$

$$\text{let}(c) \xrightarrow[\text{red}]{\dagger^c} 0 \quad (\text{LET})$$

## Symmetric Composition

$$\frac{f \xrightarrow{l} f'}{f \mid g \xrightarrow{l} f' \mid g} \quad (\text{SYM1})$$

$$\frac{g \xrightarrow{l} g'}{f \mid g \xrightarrow{l} f \mid g'} \quad (\text{SYM2})$$

# Sequencing

$$\frac{f \xrightarrow{l} f' \quad l \neq \dagger c}{f \triangleright x \triangleright g \xrightarrow{l} f' \triangleright x \triangleright g}$$

(SEQ1N)

$$\frac{f \xrightarrow{\dagger c} f'}{f \triangleright x \triangleright g \xrightarrow{\tau} (f' \triangleright x \triangleright g) \mid [c/x]g}$$

(SEQ1V)



# Asymmetric Composition

$$\frac{f \xrightarrow{l} f' \quad l \neq \dagger c}{g \text{ where } x:\in f \xrightarrow{l} g \text{ where } x:\in f'} \quad (\text{ASYM1N})$$

$$\frac{f \xrightarrow{\dagger c} f'}{g \text{ where } x:\in f \xrightarrow{\tau} [c/x]g} \quad (\text{ASYM1V})$$

$$\frac{g \xrightarrow{l} g'}{g \text{ where } x:\in f \xrightarrow{l} g' \text{ where } x:\in f} \quad (\text{ASYM2})$$

## Expression Call

$$\frac{[[E(q) \triangle f]] \in D}{E(p) \xrightarrow{\tau} [p/q]f} \quad (\text{DEF})$$

# Rules

$$\begin{array}{c}
\frac{u \text{ fresh}}{M(c) \xrightarrow{M\langle c, u \rangle} ?u} \\
\\
?u \xrightarrow{u?c} \text{let}(c) \\
\\
\text{let}(c) \xrightarrow{\dagger c} 0 \\
\\
\frac{f \xrightarrow{l} f'}{f \mid g \xrightarrow{l} f' \mid g} \\
\\
\frac{g \xrightarrow{l} g'}{f \mid g \xrightarrow{l} f \mid g'} \\
\\
\frac{[[E(q) \triangle f]] \in D}{E(p) \xrightarrow{\tau} [p/q]f}
\end{array}$$

$$\begin{array}{c}
\frac{f \xrightarrow{l} f' \quad l \neq \dagger c}{f \succ x \succ g \xrightarrow{l} f' \succ x \succ g} \\
\\
\frac{f \xrightarrow{\dagger c} f'}{f \succ x \succ g \xrightarrow{\tau} (f' \succ x \succ g) \mid [c/x]g} \\
\\
\frac{f \xrightarrow{l} f' \quad l \neq \dagger c}{g \text{ where } x \in f \xrightarrow{l} g \text{ where } x \in f'} \\
\\
\frac{f \xrightarrow{\dagger c} f'}{g \text{ where } x \in f \xrightarrow{\tau} [c/x]g} \\
\\
\frac{g \xrightarrow{l} g'}{g \text{ where } x \in f \xrightarrow{l} g' \text{ where } x \in f}
\end{array}$$

## Pending Event

At any moment, there is a set of **pending** events.

Processing a pending event may

- transform the expression,
- change the set of pending events.

## Example of Event Processing

In  $M(x)$  where  $x \in N \mid R$ , both  $N\langle u \rangle$  and  $R\langle v \rangle$  are pending.

$$M(x) \text{ where } x \in N \mid R \xrightarrow{N\langle u \rangle} M(x) \text{ where } x \in ?u \mid R$$

$R\langle v \rangle$  is still pending. So are  $u?x$ , for all  $x$ .

After

$$M(x) \text{ where } x \in ?u \mid R \xrightarrow{u?c} M(c)$$

$R\langle v \rangle$  and  $u?x$  are no longer pending.

## Rules for Event Processing

- (**Fairness**) If there is an internal pending event, some pending event is processed eventually.
- (**Asynchrony**) Order and timing of event processings are arbitrary.

## Notes on Event Processing

- Fairness is **minimal progress**. It does not say that:  
an event which remains pending is eventually processed.
- Only internal events are under client's control.
- If there are only external pending events, no event may be processed.



## Examples

- $let(x) \text{ where } x:\in let(0) \mid Rtimer(1) \gg R$

If it publishes 0,  $R$ 's response, if any, is never fully processed.

- (Fairness)  $Metronome \gg (let(0) \mid let(1))$

May publish 0 forever.

- (Asynchrony)  $let(x) \text{ where } x:\in let(0) \mid Rtimer(1) \gg let(1)$

may publish 0 or 1.

## Synchronous Semantics

Specify time (and order) of event processing.

Internal event (**action**):

$$l \in \text{Action} \quad ::= \quad \begin{array}{l} M\langle c, u \rangle \\ \parallel \dagger c \\ \parallel \tau \end{array} \quad \begin{array}{l} \text{Site call with handle } u \\ \text{publish; } let(c) \text{ is internal} \\ \text{silent transition} \end{array}$$

External Event (**response**):  $u?c$

**Rule 1:** Process a **response** only if there is no **action**.

Order among internal events is arbitrary.

Order among external responses is arbitrary.

## Examples

- $let(x) \text{ where } x:\in let(0) \mid Rtimer(1) \gg let(1)$  (1)  
 $let(x) \text{ where } x:\in let(0) \mid let(2) \mid Rtimer(1) \gg let(1)$  (2)  
 $let(x) \text{ where } x:\in if(true) \gg let(0) \mid Rtimer(1) \gg let(1)$  (3)  
 $let(x) \text{ where } x:\in Rtimer(1) \gg let(0) \mid Rtimer(2) \gg let(1)$  (4)

(1) publishes 0.

(2) publishes 0 or 2.

(3) publishes 0 or 1.

(4) publishes 0 or 1.

## Handle Time

**Rule 2:** Process events as soon as possible.

Assume event processing is instantaneous.

$$let(x) \text{ where } x:\in Rtimer(1) \gg let(0) \mid Rtimer(2) \gg let(1) \quad (4)$$

publishes 0.

## Immediate/deferred Sites

Designate certain sites as **immediate**, rest as **deferred**.

An immediate site has to respond instantaneously.

Immediate Sites: *let*, *if*, *add*, *or*,  $\geq \dots$

Deferred Sites: *Rtimer*, *CNN*  $\dots$

**Rule 3:** A response from an immediate site is an internal event.

$$let(x) \text{ where } x:\in if(true) \gg let(0) \mid Rtimer(1) \gg let(1) \quad (3)$$

publishes 0.

## Positive/Negative Response

An immediate site responds immediately with

- positive response: a result value, written as  $u?c$ , or
- negative response: that it will be silent, written as  $u??$

$$?u \xrightarrow{u??} 0$$

(SITERET)

## Summary of Rules for Synchronous Semantics

- Process a response only if there is no action.
- Process events as soon as possible.
- A response from an immediate site is an internal event.

## Formal definition of Synchronous Semantics

- Define **quiescent** expression,  $QExpr$ , one that has no internal event.
- Define **non-quiescent** expression,  $NExpr$ , its complement.

$$\begin{array}{lll}
 \hookrightarrow & : & Expr \times Event \times Expr \quad \{\text{Defined earlier}\} \\
 \overset{r}{\hookrightarrow}_R & : & QExpr \times Response \times Expr = \{(q, r, e) \mid q \overset{r}{\hookrightarrow} e\} \\
 \overset{a}{\hookrightarrow}_A & : & NExpr \times Action \times Expr = \{(\hat{q}, a, e) \mid \hat{q} \overset{a}{\hookrightarrow} e\} \\
 \overset{l}{\hookrightarrow}_S & : & Expr \times Event \times Expr = \overset{l}{\hookrightarrow}_R \cup \overset{l}{\hookrightarrow}_A
 \end{array}$$



## Round-based Execution

- A round consists of processing internal events. This includes calls to and responses from immediate sites.
- A round ends when no more internal events can be processed.
- First round starts at the beginning of evaluation.
- Subsequent rounds start by processing a response from a deferred site.

## Laws of Kleene Algebra

(Zero and  $|$  )

$$f | 0 = f$$

(Commutativity of  $|$  )

$$f | g = g | f$$

(Associativity of  $|$  )

$$(f | g) | h = f | (g | h)$$

(Idempotence of  $|$  )

$$f | f = f$$

(Associativity of  $\gg$  )

$$(f \gg g) \gg h = f \gg (g \gg h)$$

(Left zero of  $\gg$  )

$$0 \gg f = 0$$

(Right zero of  $\gg$  )

$$f \gg 0 = 0$$

(Left unit of  $\gg$  )

$$\text{Signal} \gg f = f$$

(Right unit of  $\gg$  )

$$f \gg x \text{ let}(x) = f$$

(Left Distributivity of  $\gg$  over  $|$  )

$$f \gg (g | h) = (f \gg g) | (f \gg h)$$

(Right Distributivity of  $\gg$  over  $|$  )

$$(f | g) \gg h = (f \gg h) | (g \gg h)$$

## Laws which do not hold

(Idempotence of  $|$  )

$$f | f = f$$

(Right zero of  $\gg$  )

$$f \gg 0 = 0$$

(Left Distributivity of  $\gg$  over  $|$  )

$$f \gg (g | h) = (f \gg g) | (f \gg h)$$

## Additional Laws

(Distributivity over  $\gg$ ) if  $g$  is  $x$ -free  
 $(f \gg g \text{ where } x:\in h) = (f \text{ where } x:\in h) \gg g$

(Distributivity over  $|$ ) if  $g$  is  $x$ -free  
 $(f | g \text{ where } x:\in h) = (f \text{ where } x:\in h) | g$

(Distributivity over where) if  $g$  is  $y$ -free  
 $((f \text{ where } x:\in g) \text{ where } y:\in h)$   
 $= ((f \text{ where } y:\in h) \text{ where } x:\in g)$

(Elimination of where) if  $f$  is  $x$ -free, for site  $M$   
 $(f \text{ where } x:\in M) = f | M \gg 0$

## Silent Expression

$g$  is silent if it never publishes:  $g = g \gg 0$ .

$f \backslash x$ : In  $f$  replace site calls which have  $x$  as a parameter by  $0$ .

**Law:** If  $g$  is silent, then  $(f \text{ where } x \in g) = (f \backslash x \mid g)$

**Exercise::** Explore identities about silent expressions.

## Proofs

- Direct proofs from the asynchronous semantics of:
  - Zero and  $|$
  - Commutativity of  $|$
  - Left zero of  $\gg$
- Others: Bisimulations using safe functions and parallel composition contexts (see Sangiorgi and Walker).

Proofs employ asynchronous semantics. No proof yet using the synchronous semantics.

## References

Operational Semantics + Bisimulation; see (with William Cook)

<http://www.cs.utexas.edu/users/wcook/projects/orc/papers/OrcCookMisra05.pdf>

A Denotational Semantics; see (with Tony Hoare and Galen Menzel)

<http://www.cs.utexas.edu/users/psp/Semantics.Orc.pdf>

A tutorial on the model with longer examples; see (with William Cook)

<http://www.cs.utexas.edu/users/wcook/papers/OrcJSSM05/OrcJSSM.pdf>