

A Property of the Identity Function: An Exercise in Induction

Jayadev Misra

9/16/99

Let f be a function from naturals to naturals. It is given that

Property **P**:: $(\forall n :: f^2(n) < f(n+1))$.

Prove that f is the identity function. We will actually prove that the same result holds under the more general condition given below:

Property **Q**:: $(\forall n : (\exists i : i \geq 2 : f^i(n) < f(n+1)))$.

I have heard that this problem appeared in a mathematical olympiad. The problem was shown to me by van de Snepscheut on 12/13/89, who received it from Richard Bird. This is a belated recording of my response to van de Snepscheut, though the generalization is new.

Henceforth, all variables are naturals.

Lemma 1: f is increasing, i.e., $(\forall n :: n \leq f(n))$.

Proof:: There seems to be no direct proof of this result by induction. We will show, instead, that $R :: (\forall n :: R.n)$, where $R.n$ is $(\forall t :: n \leq f(n+t))$. The desired result follows by setting t to 0 in each $R.n$. The proof of R is by induction on n .

$R.0 :: (\forall t :: 0 \leq f(t))$. This follows because f is a function from naturals to naturals.

$R.n \Rightarrow R.(n+1)$: We prove $n+1 \leq f(n+1+t)$ for arbitrary t , assuming that $R.n$ holds.

true

$$\begin{aligned} &\Rightarrow \{\text{Induction hypothesis}\} \\ &\quad (\forall s :: n \leq f(n+s)) \wedge n \leq f(n+t) \\ &\Rightarrow \{f(n+t) - n \geq 0 \text{ from above.}\} \\ &\quad \text{Set } s \text{ to } f(n+t) - n \text{ in the first term.} \\ &\quad n \leq f(n + f(n+t) - n) \\ &\Rightarrow \{\text{Rewriting}\} \\ &\quad n \leq f^2(n+t) \\ &\Rightarrow \{\text{From property P: } f^2(n+t) \leq f(n+1+t)\} \\ &\quad n < f(n+1+t) \\ &\Rightarrow \{\text{arithmetic}\} \\ &\quad n+1 \leq f(n+1+t) \end{aligned} \quad \square$$

Lemma 2: f is monotone, i.e., $(\forall m, n :: m \leq n \Rightarrow f(m) \leq f(n))$.

Proof::

$$\begin{aligned}
& \text{true} \\
\Rightarrow & \{\text{Set } n \text{ to } f(n) \text{ in Lemma 1}\} \\
& f(n) \leq f^2(n) \\
\Rightarrow & \{\text{From property P: } f^2(n) < f(n+1)\} \\
& f(n) < f(n+1) \\
\Rightarrow & \{\text{Induction on naturals}\} \\
& m \leq n \Rightarrow f(m) \leq f(n)
\end{aligned}
\quad \square$$

Corollary:: $f(n) < f(m) \Rightarrow n < m$, by taking contrapositive of Lemma 2.

Theorem 1: f is the identity function, i.e., $f(n) = n$, for all n .

Proof::

$$\begin{aligned}
& \text{true} \\
\Rightarrow & \{\text{Property P}\} \\
& f(f(n)) < f(n+1) \\
\Rightarrow & \{\text{Corollary of Lemma 2}\} \\
& f(n) < n+1 \\
\Rightarrow & \{\text{Lemma 1: } n \leq f(n)\} \\
& n \leq f(n) < n+1 \\
\Rightarrow & \{\text{Arithmetic}\} \\
& n = f(n)
\end{aligned}$$

A Generalization

We show that if property **Q**:: $(\forall n : (\exists i : i \geq 2 : f^i(n) < f(n+1)))$ holds then f is an identity function. Note that if $i = 0$ for all n then the property is a tautology, $n < n+1$. For $i = 1$ the conclusion is incorrect; the successor function satisfies the property.

Lemma 3: f is increasing, i.e., $(\forall n :: n \leq f(n))$.

Proof:: Let $S = (\forall n :: S.n)$ where $S.n = (\forall t :: n \leq f(n+t))$. We prove S is by induction on n .

$S.0$:: $(\forall t :: 0 \leq f(t))$. Follows trivially.

$S.n \Rightarrow S.(n+1)$:: By induction hypothesis assume that A :: $(\forall s :: n \leq f(n+s))$.

Claim For all natural k, t , we have $n \leq f^k(n+t)$. Proof is by induction on k .

$k = 0$: $n \leq n+t$. Follows trivially.

$k + 1$:

$$\begin{aligned}
& \text{true} \\
\Rightarrow & \{\text{Assumption A}\} \\
& n \leq f(n+s) \\
\Rightarrow & \{\text{Induction hypothesis: } n \leq f^k(n+t).\} \\
& \text{Set } s \text{ to } f^k(n+t) - n; \text{ note } s \geq 0.
\end{aligned}$$

$$\begin{aligned}
& n \leq f(n + f^k(n + t) - n) \\
\Rightarrow & \begin{cases} \text{arithmetic} \\ n \leq f^{k+1}(n + t) \end{cases} \quad \square
\end{aligned}$$

Now we show that $n + 1 \leq f(n + 1 + t)$, for any t . For the given n, t , let j be such that $f^j(n + t) < f(n + 1 + t)$; such a j exists from Property Q.

$$\begin{aligned}
& \text{true} \\
\Rightarrow & \begin{cases} \text{Claim above} \\ n \leq f^j(n + t) \end{cases} \\
\Rightarrow & \begin{cases} \text{given that } f^j(n + t) < f(n + 1 + t) \\ n < f(n + 1 + t) \end{cases} \\
\Rightarrow & \begin{cases} \text{arithmetic} \\ n + 1 \leq f(n + 1 + t) \end{cases} \quad \square
\end{aligned}$$

Corollary: For any natural k, n , we have $n \leq f^k(n)$. Proof is by induction on k .

Lemma 4: f is monotone; i.e., $m \leq n \Rightarrow f(m) \leq f(n)$.

Proof:: Let m be an arbitrary natural. Let i be such that $f^i(m) < f(m + 1)$; such an i exists from Property Q.

$$\begin{aligned}
& \text{true} \\
\Rightarrow & \begin{cases} \text{Let } n, k := f(m), i - 1 \text{ in Corollary to Lemma 3.} \\ \text{Note } i \geq 2 \Rightarrow k \geq 0. \end{cases} \\
& f(m) \leq f^{i-1}(f(m)) \\
\Rightarrow & \begin{cases} \text{Given } f^i(m) < f(m + 1) \\ f(m) < f(m + 1) \end{cases}
\end{aligned}$$

The result follows by induction on natural numbers. \square

Corollary 1:: $f(n) < f(m) \Rightarrow n < m$.

Corollary 2:: For any $k, k \geq 0$, and all m, n , we have $f^k(n) < f^k(m) \Rightarrow n < m$.

Proof is by induction on k .

Theorem 2: $f(n) = n$, for all n . Pick an arbitrary n and let $f^i(n) < f(n + 1)$.

$$\begin{aligned}
& \text{true} \\
\Rightarrow & \begin{cases} \text{assumption} \\ f^i(n) < f(n + 1) \end{cases} \\
\Rightarrow & \begin{cases} \text{In corollary to Lemma 3 let } n, k := f(n + 1), i - 2. \\ \text{Note } i \geq 2 \Rightarrow k \geq 0. \end{cases} \\
& f^i(n) < f(n + 1) \wedge f(n + 1) \leq f^{i-2}(f(n + 1)) \\
\Rightarrow & \begin{cases} \text{arithmetic} \\ f^i(n) < f^{i-2}(f(n + 1)) \end{cases} \\
\Rightarrow & \begin{cases} \text{Rewrite above} \\ f^{i-1}(f(n)) < f^{i-1}(n + 1) \end{cases} \\
\Rightarrow & \begin{cases} \text{Corollary 2 of Lemma 4 with } k, n, m := i - 1, f(n), n + 1 \end{cases}
\end{aligned}$$

$$\begin{aligned}
& f(n) < n + 1 \\
\Rightarrow \quad & \{\text{Lemma 3}\} \\
& n \leq f(n) < n + 1 \\
\Rightarrow \quad & \{\text{arithmetic}\} \\
& f(n) = n
\end{aligned}$$

□