

## Dialog as a Vehicle for Lifelong Learning of Grounded Language Understanding Systems Aishwarya Padmakumar **Doctoral Dissertation Defense**



# Grounded Language Understanding

Mapping natural language to real-world entities





#### **Applications in Service Robotics**

# Bring the blue mug from Alice's office





## Standard Supervised Learning Pipeline





## Sources of Imperfect Understanding

- Domain shift:
  - Train: 🍞



- Missing domain specific knowledge
  - Alice's office is missing in the directory
  - There is no category for mugs in the object detector.



**Dialog - Clarification** 







7







### Dialog - Acquiring Labels







## Dialog - Acquiring Labels

Bring the blue mug from Alice's office

Yes

Would you use the word "blue" to refer to this object?











# Lifelong Learning

Lifelong learning can make models more

- Generalizable adapt to a variety of test data distributions
- Versatile same model can be shared between multiple tasks, that are not necessarily pre-defined

# Dialog as a Vehicle for Lifelong Learning

- Lifelong learning systems assume that additional labelled data can be obtained from test time usage.
- Dialog systems interact with users by design interactions can be leveraged to obtain labelled data.



# My Work

Designing dialog interactions to improve grounded language understanding systems and enabling them to perform lifelong learning.



- Background
- Integrating Learning of Dialog Strategies and Semantic Parsing (Padmakumar et.al., 2017)
- Opportunistic Active Learning for Grounding Natural Language Descriptions (Thomason et. al., 2017)
- Learning a Policy for Opportunistic Active Learning (Padmakumar et. al., 2018)
- Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
- Summary
- New Directions (Padmakumar and Mooney, RoboDial 2020)



• Background

Pre-proposal Work

- Integrating Learning of Dialog Strategies and Semantic Parsing (Padmakumar et.al., 2017)
- Opportunistic Active Learning for Grounding Natural Language Descriptions (Thomason et. al., 2017)
- Learning a Policy for Opportunistic Active Learning (Padmakumar et. al., 2018)
- Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
- Summary
- New Directions (Padmakumar and Mooney, RoboDial 2020)

16



- Background
- Integrating Learning of Dialog Strategies and Semantic Parsing (Padmakumar et.al., 2017)
- Opportunistic Active Learning for Grounding Natural Language Descriptions (Thomason et. al., 2017)
- Learning a Policy for Opportunistic Active Learning (Padmakumar et. al., 2018)
- Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
- Summary
- New Directions (Padmakumar and Mooney, RoboDial 2020)



#### • Background

- Integrating Learning of Dialog Strategies and Semantic Parsing (Padmakumar et.al., 2017)
- Opportunistic Active Learning for Grounding Natural Language Descriptions (Thomason et. al., 2017)
- Learning a Policy for Opportunistic Active Learning (Padmakumar et. al., 2018)
- Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
- Summary
- New Directions (Padmakumar and Mooney, RoboDial 2020)











Convert natural language into a machine understandable representation



Convert natural language into a machine understandable representation



#### Semantic parsing -

- Converts language to a structured meaning representation
- Compositionality meaning of "blue mug" from meaning of "blue" and meaning of "mug"



Convert natural language into a machine understandable representation

#### **Vector Space Representations -**

- Converts words/sentences to vectors that represent meaning.
- Less initial handcrafting
- More training data













Map meaning representations to real world entities



Map meaning representations to real world entities

 $\texttt{the}(\lambda y.(\texttt{office}(y) \land \texttt{owns}(\texttt{alice}, y)))$ 

Knowledge Base Grounding

















Plans the next response that the system has to give.





- Dialog state Information from the dialog so far
- Dialog policy Mapping from dialog states to dialog actions (response types/ responses)
- Learned using Reinforcement Learning



#### Background: Reinforcement Learning

Markov Decision Process (MDP)





#### Background: Reinforcement Learning

Partially Observable Markov Decision Process (POMDP)





# Background: Natural Language Generation





# Background: Natural Language Generation




# Background: Natural Language Generation

Converting an action to a natural language response





#### Outline

- Background
- Integrating Learning of Dialog Strategies and Semantic Parsing (Padmakumar et.al., 2017)
- Opportunistic Active Learning for Grounding Natural Language Descriptions (Thomason et. al., 2017)
- Learning a Policy for Opportunistic Active Learning (Padmakumar et. al., 2018)
- Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
- Summary
- New Directions (Padmakumar and Mooney, RoboDial 2020)

# Integrating Learning of Dialog Strategies and Semantic Parsing





# Prior Work: Dialog Policy Learning

Learns what the best next response is by modelling dialog system as a Partially Observable Markov Decision Process (POMDP)





# Summary

- Jointly improving a semantic parser and dialog policy from human interactions is more effective than improving either alone.
- The training procedure needs to enable changes in components to be propagated to each other for joint learning to be effective.



#### Outline

- Background
- Integrating Learning of Dialog Strategies and Semantic Parsing (Padmakumar et.al., 2017)
- Opportunistic Active Learning for Grounding Natural Language Descriptions (Thomason et. al., 2017)
- Learning a Policy for Opportunistic Active Learning (Padmakumar et. al., 2018)
- Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
- Summary
- New Directions (Padmakumar and Mooney, RoboDial 2020)





- A framework for incorporating active learning queries into test time interactions.
- Agent asks locally convenient questions during an interactive task to collect labeled examples for supervised learning.
- Questions may not be useful for the current interaction but expected to help future tasks.





46



Bring the blue mug from Alice's office

Yes

Would you use the word "blue" to refer to this object?











Bring the **blue mug** from Alice's office

Yes

Would you use the word "**tall**" to refer to this object?







Query for labels most likely to improve the model.





Why ask off-topic queries?

- Robot may have good models for on-topic concepts.
- No useful on-topic queries.
- Some off-topic concepts may be more important because they are used in more interactions.



# Opportunistic Active Learning -Challenges

# Some other object might be a better candidate for the question



**Purple?** 

# Opportunistic Active Learning -Challenges

The question interrupts another task and may be seen as unnatural

Bring the **blue mug** from Alice's office

Would you use the word "**tall**" to refer to this object?

# Opportunistic Active Learning -Challenges

The information needs to be useful for a future

task.





#### **Object Retrieval Task**





## **Object Retrieval Task**

- User describes an object in the active test set
- Robot needs to identify which object is being described





## **Object Retrieval Task**

 Robot can ask questions about objects on the sides to learn object attributes

















## **Experimental Conditions**

A yellow water bottle

- Baseline (on-topic) the robot can only ask about "yellow", "water" and "bottle"
- Inquisitive (on and off topic) the robot can ask about any concept it knows, possibly "red" or "heavy"



#### Results

- Inquisitive robot performs better at understanding object descriptions.
- Users find the robot more comprehending, fun and usable in a real-world setting, when it is opportunistic.



#### Outline

- Background
- Integrating Learning of Dialog Strategies and Semantic Parsing (Padmakumar et.al., 2017)
- Opportunistic Active Learning for Grounding Natural Language Descriptions (Thomason et. al., 2017)
- Learning a Policy for Opportunistic Active Learning (Padmakumar et. al., 2018)
- Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
- Summary
- New Directions (Padmakumar and Mooney, RoboDial 2020)







Bring the **blue mug** from Alice's office

Yes

Would you use the word "**tall**" to refer to this object?







# **Dialog Policy Learning**







# Learning a Policy for Opportunistic Active Learning

Learn a dialog policy that decides how many and which questions to ask to improve grounding models.



# Learning a Policy for Opportunistic Active Learning

To learn an effective policy, the agent needs to learn

- To identify good queries in the opportunistic setting.
- When a guess is likely to be successful.
- To trade off between model improvement and task completion.



## Task Setup





## Task Setup

Active Training Set				Dialog	Active Test Set	
Train	Train_1 Train_4		Robot Human	Describe the object I should find. A white umbrella	Test_1	Test_2
Train_2		<u>e</u>	Robot	Is there something in Train_6 that $\leftarrow$ Label can be described as yellow? Query		
		Train_5	Human	No	Test_3	
Train_3			Robot	Can you show me an image with <i>Example</i> something that can be described as <i>Query</i> white?		
Train_6	rain_6 Train_7 Train_8		Human	Train_1	Test_4	



## Task Setup

Active Training Set			Dialog		Active Test Set	
Train		Train_4	Robot Human	Describe the object I should find. A white umbrella	Test_1	Test_2
Train_2		e	Robot	Is there something in Train_6 that can be described as yellow?		
		Train_5	Human	No	Test_3	1
Train_3			Robot	Can you show me an image with something that can be described as white?		
Train_6	Train_7	Train_8	Human	Train_1	Test_4	20
			Robot Human	My guess is Test_4	Î	







- Agent starts with no classifiers.
- Labeled examples are acquired through questions and used to train the classifiers.
- Agent needs to learn a policy to balance active learning with task completion.


#### MDP Model





#### Challenges



How to represent classifiers for policy learning?



#### Challenges



How to handle a variable and growing action space?



# Tackling challenges

- Features based on active learning metrics
  - Representing classifiers
- Featurize state-action pairs
  - Variable number of actions and classifiers
- Sampling a beam of promising queries
  - Large action space



# Feature Groups

- Query features Active learning metrics used to determine whether a query is useful
- Guess features Features that use the predictions and confidences of classifiers to determine whether a guess will be correct



# **Experiment Setup**

- Policy learning using REINFORCE.
- Baseline A hand-coded dialog policy that asks a fixed number of questions selected using the sampling distribution that provides candidates to the learned policy.



# **Experiment Phases**

- Initialization Collect experience using the baseline to initialize the policy.
- Training Improve the policy from on-policy experience.
- Testing Policy weights are fixed, and we run a new set of interactions, starting with no classifiers, over an independent test set with different predicates.





• Systems evaluated on dialog success rate and average dialog length.





- Systems evaluated on dialog success rate and average dialog length.
- We prefer high success rate and low dialog length (top left corner)





 Learned policy is more successful than the baseline, while also using shorter dialogs on average.





- If we ablate either group of features, the success rate drops considerably but dialogs are also much shorter.
- In both cases, the system chooses to ask very few queries.



# Summary

- We can learn a dialog policy that learns to acquire knowledge of predicates through opportunistic active learning.
- The learned policy is more successful at object retrieval than a static baseline, using fewer dialog turns on average.



# Outline

- Background
- Integrating Learning of Dialog Strategies and Semantic Parsing (Padmakumar et.al., 2017)
- Opportunistic Active Learning for Grounding Natural Language Descriptions (Thomason et. al., 2017)
- Learning a Policy for Opportunistic Active Learning (Padmakumar et. al., 2018)
- Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
- Summary
- New Directions (Padmakumar and Mooney, RoboDial 2020)



# Outline

- Dialog Policy Learning for Joint Clarification and Active Learning Queries
  - Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
  - Human Evaluation
  - Extension to Joint Embedding Based Grounding Model



# Dialog Policy Learning for Joint Clarification and Active Learning Queries





#### **Previous Work**





### This Work





## This Work





### **Dialog Policy Learning for Joint Clarification and Active Learning Queries Opportunistic**` Active Learning Clarification This Work **Dialog Policy** Learning

# Dialog Policy Learning for Joint Clarification and Active Learning Queries

Learn a dialog policy to trade off -

- Model improvement with opportunistic active learning to better understand future commands
- Clarification to better understand and complete the current command



# Attribute Based Clarification: Motivation





#### **Attribute Based Clarification:** Motivation Bring the blue mug from Alice's office What should I bring? The blue coffee mug What should I bring?

The University of Texas at Austin



Yes



# Attribute Based Clarification: Motivation

[Das, et. al., 2017]



[De Vries et. al., 2017]



Is it the turquoise and purple one?



#### **Attribute Based Clarification**

- More specific than a new description.
- More general than showing each possible object.
- Provide ground truth answers to questions for training in simulation.
- Attribute any property that can be used in a description categories, colors, shapes, domain specific properties.



# Attribute Based Clarification: Motivation



Is the object I should bring a cup?







# Task Setup

- Motivated by an online shopping application
- Use clarifications to help refine search queries
- Use active learning to improve the model retrieving images.





## Dataset

- We simulate dialogs using the iMaterialist Fashion Attribute dataset.
- Images have associated product titles and are annotated with binary labels for 228 attributes.
- Attributes: Dress, Shirt, Red, Blue, V-Neck, Pleats, ...





Task Setup

Active Training Set



Active Test Set



























**Cross Entropy Loss Over All Examples** 






#### Visual Attribute Classifier



Cross Entropy Loss Over Positive Labels



A Polka Dot Chiffon Blouse



{Polka Dot, Chiffon, Blouse}



A Polka Dot Chiffon Blouse



{Polka Dot, Chiffon, Blouse}

Belief: 
$$b(i) = \prod_{w \in W_d} p_w(i)$$

Attributes Mentioned in Description



A Polka Dot Chiffon Blouse



{Polka Dot, Chiffon, Blouse}

- Belief:  $b(i) = \prod_{w \in W_d} p_w(i)$
- Classifier probability that attribute w is positive for image *i* w-th value in classifier output for image *i*





Clarifications that get the answer "Yes"







Clarifications that get the answer "No"



## Best guess: Image in active test set with maximum belief b(i)



- For estimating the utility of clarifications
- Estimated using classifier probabilities
- Estimate based on Lee et. al., 2018



# $J(q) = \sum_{i \in O_A^{te}} \sum_{a \in \{0,1\}} b(i) P(a|q,i) \ln\left(\frac{P(a|q,i)}{\sum_i b(i) P(a|q,i)}\right)$





Objects in Active Test Set



$$J(q) = \sum_{i \in O_A^{te}} \sum_{a \in \{0,1\}} b(i) P(a|q,i) \ln\left(\frac{P(a|q,i)}{\sum_i b(i) P(a|q,i)}\right)$$

Possible answers to a clarification: No and Yes













For "Yes" Answer: 
$$P(1|q, i) = p_q(i)$$





For "No" Answer:  $P(0|q,i) = 1 - p_q(i)$ 







#### Policy Learning

- Hierarchical Dialog Policy -
  - Clarification policy chooses best clarification
  - Active learning policy chooses best active learning query
  - Decision Policy chooses between guess, best clarification and best active learning query
- Featurize state-action pairs
- Q-Learning and A3C for policy learning



#### **Policy Features**

- Clarification Policy Features Metrics about current beliefs, information gain
- Active Learning Policy Features Margin, Fraction of previous uses and successes
- Decision Policy Features Metrics about current beliefs, information gain, margin, dialog length



#### Static Baseline

- Clarification: Choose query with maximum information gain
- Active Learning: Uncertainty Sampling
- Decision Policy
  - Fixed dialog length
  - Clarification till the belief reaches a threshold
  - Active learning for the second half of the dialog



#### **Experiment Phases**

- Classifier Initialization Train classifier using paired images and labels
- Policy Initialization Collect experience using the baseline to initialize the policy.
- Policy Training Improve the policy from on-policy experience.
- Policy Testing Policy weights are fixed, and we run a new set of interactions, reset classifiers to the state at the end of classifier initialization, over an independent test set with different predicates.



Decision Policy Type	Clarification Policy Type	Active Learning Policy Type	Fraction of Successful Dialogs	Average Dialog Length
Q-Learning	A3C	A3C	0.33	9.40
Static	Static	Static	0.17	20.00



Decision Policy Type	Clarification Policy Type	Active Learning Policy Type	Fraction of Successful Dialogs	Average Dialog Length
Q-Learning	A3C	A3C	0.33	9.40
Static	Static	Static	0.17	20.00

Fully learned policy is significantly more successful than the baseline, while also having significantly shorter dialogs on average



Decision Policy	Clarification	Active Learning	Fraction of	Average
Type	Policy Type	Policy Type	Successful Dialogs	Dialog Length
Q-Learning	A3C	A3C	<b>0.33</b>	9.40
Q-Learning	A3C	Static	0.15	14.16
Q-Learning	Static	A3C	0.09	1.00
Static	Static	Static	0.17	20.00

If we replace either the clarification or active learning policies with static policies, we find that the success rate drops considerably.



Decision Policy	Clarification	Active Learning	Fraction of	Average
Type	Policy Type	Policy Type	Successful Dialogs	Dialog Length
Q-Learning	A3C	A3C	0.33	9.40
Static	A3C	A3C	0.27	20.00
Static	Static	Static	0.17	20.00

If we replace only the decision policy with a static policy, we find that it remains more successful than the baseline but is unable to shorten dialogs.







#### Utility of Clarifications







#### **Utility of Clarifications**





#### Summary

- We train a hierarchical dialog policy to trade off opportunistic active learning, attribute based clarification and task completion in a language based image retrieval task.
- Our learned policy is more successful than a static baseline while using fewer dialog turns on average.
- In our task setup, both good clarifications and active learning queries are necessary to improve performance over direct retrieval.



#### Outline

- Dialog Policy Learning for Joint Clarification and Active Learning Queries
  - Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
  - Human Evaluation
  - Extension to Joint Embedding Based Grounding Model

### Human Evaluation -Experiment Changes

- Descriptions from human users contained far fewer attributes than product titles
- Changes in task setup -
  - Provide one attribute from product title as simulated description
  - Smaller and easier active test set



#### **Experiment Interface**





#### **Experiment Interface**

#### Answer the question.

Here are some examples of the property "Black"





Does the property "Black" apply to the following product?





#### Experiment

- Initialization, training and test phases run in new simulated setup
- Run a single batch of interactions on Amazon Mechanical Turk with final policy and classifiers



Policy	Simulation – Fraction of Successful Dialogs	Simulation – Average Dialog Length
Static	0.23	20.0
Learned	0.65	20.0

The learned policy is considerably more successful in the new simulated setup but is unable to shorten dialogs compared to the baseline.



Policy	Simulation – Fraction of Successful Dialogs	Simulation – Average Dialog Length	AMT – Fraction of Successful Dialogs	AMT – Average Dialog Length
Static	0.23	20.0	0.06	19.16
Learned	<b>0.65</b>	20.0	<i>0.16</i>	18.86

- The performance of both policies drops in AMT interactions.
- The learned policy is still somewhat more successful (p <= 0.1)



#### Outline

- Dialog Policy Learning for Joint Clarification and Active Learning Queries
  - Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
  - Human Evaluation
  - Extension to Grounding Model Based on Joint Embeddings


#### Motivation

- Independent classifiers cannot identify correlations between properties
- Multilabel classifiers assume a fixed set of properties







- Represent words and images as vectors in the same space.
- Words are near images they apply to and vice versa.

























 $d(f(\bigcirc, g(blue))) \leq d(f(\bigcirc, g(blue)))$  $d(f(\bigcirc, g(blue))) \leq d(f(\bigcirc, g(pink)))$ 

- Constraints captured using a ranking loss
- Platt scaling parameters are trained using log loss



# Preliminary Results

Clarifications with a high estimate of information gain do not necessarily increase the belief of the correct target image.



#### Discussion

Possible reasons why our estimate of information gain is not able to identify helpful clarifications -

- Noise in annotations used to provide responses
- Grounding model does not produce a true probability distribution



#### Future Work

- Better learned spaces Possibly using pretrained models such as ViLBERT, LXMERT
- Techniques such as adversarial loss to make the learned space smoother.



## Outline

- Background
- Integrating Learning of Dialog Strategies and Semantic Parsing (Padmakumar et.al., 2017)
- Opportunistic Active Learning for Grounding Natural Language Descriptions (Thomason et. al., 2017)
- Learning a Policy for Opportunistic Active Learning (Padmakumar et. al., 2018)
- Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
- Summary
- New Directions (Padmakumar and Mooney, RoboDial 2020)

# Dialog as a Vehicle for Lifelong Learning of Grounded Language Understanding Systems







#### Joint Parser and Policy Learning



# Policy Learning for Opportunistic Active Learning

Active Training Set		Dialog		Active Test Set	
Train_1	Train_4	Robot	Describe the object I should find.	Test_1	Test_2
A A A A A A A A A A A A A A A A A A A	N	Human	A white umbrella		7
Train_2		Robot	Is there something in Train_6 that can be described as yellow?		1
Train_3		Human	No	Test_3	
		Robot	Can you show me an image with something that can be described as white?		
Train_6 Train_7	Train_8	Human	Train_1	Test_4	
	T.	Robot Human	My guess is Test_4 Correct	T	



# Dialog Policy Learning for Joint Clarification and Active Learning Queries





### Outline

- Background
- Integrating Learning of Dialog Strategies and Semantic Parsing (Padmakumar et.al., 2017)
- Opportunistic Active Learning for Grounding Natural Language Descriptions (Thomason et. al., 2017)
- Learning a Policy for Opportunistic Active Learning (Padmakumar et. al., 2018)
- Dialog Policy Learning for Joint Clarification and Active Learning Queries (Padmakumar and Mooney, in submission)
- Summary
- New Directions (Padmakumar and Mooney, RoboDial 2020)



# Dialog as a Vehicle for Lifelong Learning

[Padmakumar and Mooney, RoboDial 2020]

- New challenge area for dialog researchers
- Goal: Design dialog systems that can better support lifelong learning



# Challenges: Active Learning

- Improving sample complexity
- Few shot adaptation of pretrained models
- Better robustness and transferability of RL policies for active learning



## Challenge: Dialog Act Design

Design new dialog acts that collect labeled data or combine this with task-completion objectives

> Can you show me how to open this with a knife?





## Challenges: Dataset Collection and Simulation

- Designing simulations to answer a wide range of queries.
- Providing "correct" answers in simulation.
- Sim2Real Transfer



# Challenges: User Experience

- Prosodic analysis to identify urgency, stress, sarcasm and frustration in users to determine when it is appropriate to include or avoid data collection queries.
- Demonstrating few-shot learning to keep users motivated.

# Dialog as a Vehicle for Lifelong Learning of Grounded

# Language Understanding Systems

Aishwarya Padmakumar

**Doctoral Dissertation Defense**