# Survey: Leveraging Human Guidance for Deep Reinforcement Learning Tasks

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# A Reinforcement Learning Problem: Montezuma's Revenge



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# A Reinforcement Learning Problem: Montezuma's Revenge



Find an optimal **policy**, i.e., the action to take in an observed state that maximizes expected longterm reward

# Montezuma's Revenge: Imitation Learning



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• 64 papers, 5 types of human guidance that...

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- 64 papers, 5 types of human guidance that...
- Are beyond conventional step-by-step action demonstrations

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- 64 papers, 5 types of human guidance that...
- Are beyond conventional step-by-step action demonstrations
- Have shown promising results in training agents to solve deep reinforcement learning tasks



#### 2 Learning from Human Evaluative Feedback

- 3 Learning from Human Preference
- 4 Hierarchical Imitation
- 5 Imitation from Observation
- 6 Learning Attention from Human
  - 7 Conclusion

### Montezuma's Revenge: Evaluative Feedback



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While the true reward is delayed and sparse, human evaluative feedback is immediate and dense.

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Interpreting human feedback as:

- Reward function, replacing reward provided by the environment
- TAMER: Training an agent manually via evaluative reinforcement [Knox and Stone, 2009, Warnell et al., 2018]



Interpreting human feedback as:

- Direct policy labels
  - Advise [Griffith et al., 2013, Cederborg et al., 2015]

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Interpreting human feedback as:

- Direct policy labels
  - Advise [Griffith et al., 2013, Cederborg et al., 2015]
- Advantage function
  - COACH: Convergent actor-critic by humans [MacGlashan et al., 2017]
  - This interpretation explains human feedback behaviors better in several tasks
  - Still an unresolved issue that requires carefully designed human studies



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# Montezuma's Revenge: Human Preference



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Ranking behaviors is easier than rating them.

And sometimes the ranking can only be provided at the end of a behavior trajectory.

• [Christiano et al., 2017]: As an inverse reinforcement learning problem, i.e., learn human reward function from human preference rather than from demonstration

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- Query selection? Preference elicitation [Zintgraf et al., 2018]
- Many good works on preference-based reinforcement learning [Wirth et al., 2017]



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### Montezuma's Revenge: Hierarchical Imitation



Human is good at specifying high-level abstract goals while the agent is good at performing low-level fine-grained controls.

#### • High-level+low-level demonstrations [Le et al., 2018]

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- High-level+low-level demonstrations [Le et al., 2018]
- High-level demonstrations only [Andreas et al., 2017]

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- High-level+low-level demonstrations [Le et al., 2018]
- High-level demonstrations only [Andreas et al., 2017]
- A promising combination:
  - High-level: Imitation learning, e.g., DAgger [Ross et al., 2011]
  - Low-level: Reinforcement learning, e.g., DQN [Mnih et al., 2015]



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# Montezuma's Revenge: Imitation from Observation



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To utilize a large amount of human demonstration data that do not have action labels, e.g., YouTube videos

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#### • Challenge 1: Perception

- Viewpoint [Liu et al., 2018, Stadie et al., 2017]
- Embodiment [Gupta et al., 2018, Sermanet et al., 2018]

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  - Viewpoint [Liu et al., 2018, Stadie et al., 2017]
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- Challenge 2: Control
  - Model-based: Infer the missing action given a state transitions (s, s') by learning an inverse dynamics model [Nair et al., 2017, Torabi et al., 2018a]
  - Model-free: e.g., bring the state distribution of the imitator closer to that of the trainer using generative adversarial learning [Merel et al., 2017, Torabi et al., 2018b]

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- Please see paper#10945: Recent Advances in Imitation Learning from Observation [Torabi et al., 2019]



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### Montezuma's Revenge: Human Attention



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Human visual attention provides additional information on *why* a particular decision is made, e.g., by indicating the current object of interest.

#### • AGIL: Attention-guided imitation learning [Zhang et al., 2018]



Including attention does lead to higher accuracy in imitating human actions

# Representative Works



(a) Cooking [Li et al., 2018]



(b) Driving [Palazzi et al., 2018, Xia et al., 2019]

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An agent can learn...

- From human evaluative feedback
- From human preference
- From high-level goals specified by humans
- By observing human performing the task
- From human visual attention

- Shared datasets and reproducibility
- Understanding human trainers' behaviors, e.g.,[Thomaz and Breazeal, 2008]
- A unified lifelong learning framework [Abel et al., 2017]

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# Thank You!

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