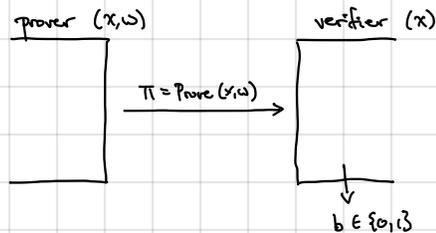


(NIZK)

Non-interactive zero-knowledge: Can we construct a zero-knowledge proof system where the proof is a single message from the prover to the verifier?



Why do we care? Interaction in practice is expensive!

Unfortunately, NIZKs are only possible for sufficiently-easy languages (i.e., languages in BPP).

languages that can be decided by a randomized polynomial-time algorithm (w/tp.)

↳ The simulator (for ZK property) can essentially be used to decide the language

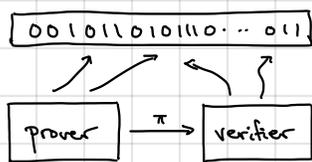
if  $x \in L : S(x) \rightarrow \pi$  and  $\pi$  should be accepted by the verifier (by ZK)

if  $x \notin L : S(x) \rightarrow \pi$  but  $\pi$  should not be accepted by verifier (by soundness)

} NIZK impossible for NP unless NP  $\in$  BPP (unlikely!)

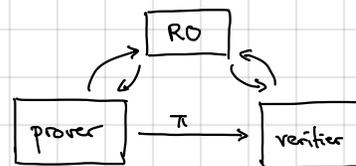
Impossibility results tell us where to look! If we cannot succeed in the "plain" model, then move to a different one:

Common random/reference string (CRS) model:



prover and verifier have access to shared randomness (could be a uniformly random string or a structured string)

random oracle model:



in this model, simulator is allowed to choose (i.e., simulate) the CRS in conjunction with the proof, but soundness is defined with respect to an honestly-generated CRS [asymmetry between the capabilities of the real prover and the simulator]

in this model, simulator can "program" the random oracle [again, asymmetry between real prover and the simulator]

⇒ In both cases, simulator has additional "power" than the real prover, which is critical for enabling NIZK constructions for NP.

In CRS model: CRS sampled from Setup( $1^\lambda$ )

Simulator is able to choose CRS

- Must be computationally indistinguishable from real CRS

- Simulated CRS will typically have a simulation trapdoor that can be used to simulate proofs

Real protocol: CRS is sampled by a trusted party (essential for soundness)

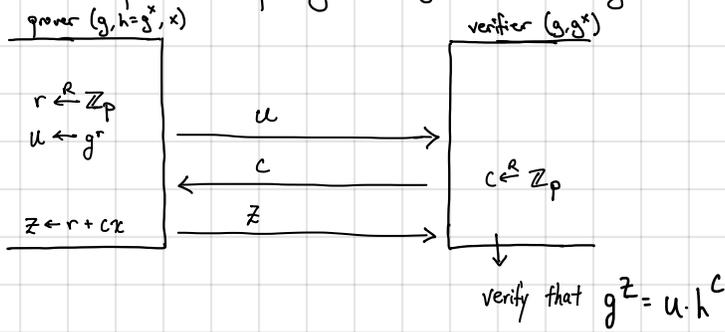
Zero-knowledge says that a particular choice of (CRS,  $\pi$ ) can be simulated given only the statement  $x$

In random oracle model: Simulator has ability to program random oracle - must properly simulate distribution of random oracle outputs

Can extend to NIZK proofs of knowledge

## Fiat-Shamir heuristic: NIZKs in random oracle model

Recall Schnorr's protocol for proving knowledge of discrete log:



In this protocol, verifier's message is uniformly random (and in fact, is "public coin" - the verifier has no secrets)

Key idea: Replace the verifier's challenge with a hash function  $H: \{0,1\}^* \rightarrow \mathbb{Z}_p$

Namely, instead of sampling  $c \xleftarrow{R} \mathbb{Z}_p$ , we sample  $c \leftarrow H(g, h, u)$ . ← prover can now compute this quantity on its own!

Completeness, zero knowledge, proof of knowledge follow by a similar analysis as Schnorr [will rely on random oracle]

Signatures from discrete log in RO model (Schnorr):

- Setup:  $x \xleftarrow{R} \mathbb{Z}_p$

$vk: (g, h = g^x)$        $sk: x$

- Sign ( $sk, m$ ):  $r \xleftarrow{R} \mathbb{Z}_p$

$u \leftarrow g^r$        $c \leftarrow H(g, h, u, m)$        $z \leftarrow r + cx$

$\sigma = (u, z)$

} signature is a NIZK proof of knowledge of discrete log of  $h$  (with challenge  $c$  derived from the message  $m$ )

- Verify ( $vk, m, \sigma$ ): write  $\sigma = (u, z)$ , compute  $c \leftarrow H(g, h, u, m)$  and accept if  $g^z = u \cdot h^c$   
 $vk = h$

Security essentially follows from security of Schnorr's identification protocol (together with Fiat-Shamir)

↳ forged signature on a new message  $m$  is a proof of knowledge of the discrete log (can be extracted from adversary)

Length of Schnorr's signature:  $vk: (g, h = g^x)$        $\sigma: (g^r, \underbrace{c = H(g, h, g^r, m)}_{\text{can be computed given other components, so do not need to include}}, z = r + cx)$       verification checks that  $g^z = g^r \cdot h^c$   
 $sk: x$

can be computed given other components, so do not need to include  $\Rightarrow |\sigma| = 2 \cdot |G|$  [512 bits if  $|G| = 2^{256}$ ]

But, can do better... observe that challenge  $c$  only needs to be 128-bits (the knowledge error of Schnorr is  $1/|C|$  where  $C$  is the set of possible challenges), so we can sample a 128-bit challenge rather than 256-bit challenge. Thus instead of sending  $(g^r, z)$ , instead send  $(c, z)$  and compute  $g^r = g^z / h^c$  and that  $c = H(g, h, g^r, m)$ . Then resulting signatures are 384 bits

128 bit challenge  
 +  
 256 bit group element

Important note: Schnorr signatures are randomized, and security relies on having good randomness

↳ What happens if randomness is reused for two different signatures?

Then, we have

$$\left. \begin{aligned} \sigma_1 &= (g^r, c_1 = H(g, h, g^r, m_1), z_1 = r + c_1 x) \\ \sigma_2 &= (g^r, c_2 = H(g, h, g^r, m_2), z_2 = r + c_2 x) \end{aligned} \right\} z_1 - z_2 = (c_1 - c_2)x \Rightarrow x = (c_1 - c_2)^{-1} (z_1 - z_2)$$

This is precisely the set of relations the knowledge extractor uses to recover the discrete log  $x$  (i.e., the signing key)!

Deterministic Schnorr: We want to replace the random value  $r \leftarrow \mathbb{Z}_p$  with one that is deterministic, but which does not compromise security

↳ Derive randomness from message using a PRF. In particular, signing key includes a secret PRF key  $k$ , and signing algorithm computes  $r \leftarrow F(k, m)$  and  $\sigma \leftarrow \text{Sign}(sk, m; r)$ .

↳ Avoids randomness reuse/misuse vulnerabilities.

In practice, we use a variant of Schnorr's signature scheme called DSA / ECDSA <sup>digital signature algorithm / elliptic-curve DSA</sup> [but we use it because Schnorr was patented ... until 2008]

↳ larger signatures (2 group elements - 512 bits) and proof only in "generic group" model

ECDSA signatures (over a group  $\mathbb{G}$  of prime order  $p$ ):

- Setup:  $x \leftarrow \mathbb{Z}_p$

$vk: (g, h = g^x)$       $sk: x$

- Sign( $sk, m$ ):  $\alpha \leftarrow \mathbb{Z}_p$

$u \leftarrow g^\alpha$       $r \leftarrow f(u) \in \mathbb{Z}_p$

$s \leftarrow (H(m) + r \cdot x) / \alpha \in \mathbb{Z}_p$

$\sigma = (r, s)$

- Verify( $vk, m, \sigma$ ): write  $\sigma = (r, s)$ , compute  $u \leftarrow g^{H(m)/s} h^{r/s}$ , accept if  $r = f(u)$   
 $vk = h$

specifically,  $f(u)$  parses  $u = (\hat{x}, \hat{y}) \in \mathbb{F}_q^2$  where  $\mathbb{F}_q$  is the base field over which the elliptic curve is defined, and outputs  $\hat{x} \pmod{p}$ , where  $\hat{x}$  is viewed as a value in  $[0, q)$

Correctness:  $u = g^{H(m)/s} h^{r/s} = g^{[H(m)+rx]/s} = g^{[H(m)+rx]/[H(m)+rx]} \alpha^{-1} = g^\alpha$  and  $r = f(g^\alpha)$

Security analysis non-trivial: requires either strong assumptions or modeling  $\mathbb{G}$  as an "ideal" group

Signature size:  $\sigma = (r, s) \in \mathbb{Z}_p^2$  - for 128-bit security,  $p \sim 2^{256}$  so  $|\sigma| = 512$  bits (can use P-256 or Curve 25519)

An application of zero-knowledge proofs to encrypted voting (based on ElGamal)

$$pk: g, h = g^x$$

$$sk: x$$

Suppose votes are 0/1. Parties encrypt vote  $t \in \{0,1\}$  as  $(g^r, h^r \cdot g^t)$  where  $r \xleftarrow{R} \mathbb{Z}_p$

Votes can be aggregated by computing

$$\prod_{i \in [n]} g^{r_i}, \prod_{i \in [n]} h^{r_i} g^{t_i}$$

$$\parallel$$

$$g^{\sum r_i}, h^{\sum r_i} g^{\sum t_i}$$

decription recovers  $g^{\sum t_i}$

↳ brute force discrete log to obtain  $\sum t_i$

But malicious voter can encrypt -1000:  $(g^r, h^r \cdot g^{-1000})$ .

Solution: require voters to provide ZK proof that encrypted vote  $(u, v)$  is valid:

either  $(g, h, u, v)$  is a DDH tuple OR  $(g, h, u, v/g)$  is a DDH tuple

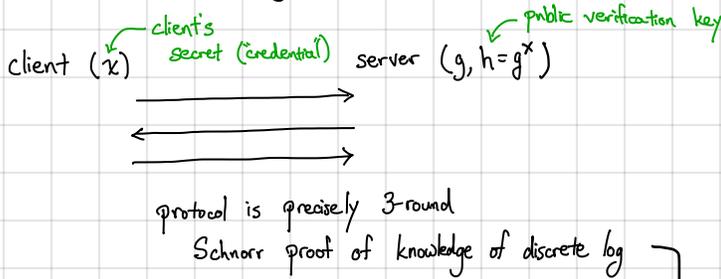
} prove using Chaum-Pedersen along with OR proof construction (not discussed here)

Basic approach generalizes to arbitrary ranges.

↳ can make non-interactive via Fiat-Shamir

Fancier versions of these types of ZKPs are used in private telemetry system by Mozilla (Prio).

Identification protocol from discrete log:



Essentially, the discrete log of  $h$  (base  $g$ ) is the client's "password" and instead of sending the password in the clear to the server, the client proves in zero-knowledge that it knows  $x$

↳ Can be made non-interactive via Fiat-Shamir

Correctness of this protocol follows from completeness of Schnorr's protocol

(Active) security follows from knowledge property and zero-knowledge

↳ Intuitively: knowledge says that any client that successfully authenticates must know secret  $x$

zero-knowledge says that interactions with honest client (i.e., the prover) do not reveal anything about  $x$  (for active security, require protocol that provides general zero-knowledge rather than just HVZK)