

Instructor: David Wu (dwu4@cs.utexas.edu)

Previously... CS 346/388H provided introduction to cryptography

↳ Focus was on secure communication

symmetric encryption, MAC, authenticated encryption (based on OWFs / PRGs / PRFs)  
public-key encryption, digital signatures (based on number-theoretic assumptions)

Today: cryptography enables secure computation

↳ Can parties compute functions on their secret inputs without sharing them?

[Confidentiality]

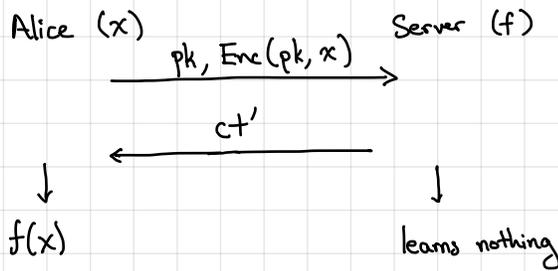
↳ Can parties verify that a computation was performed correctly?

[Integrity]

This course: Focus will be on how to construct advanced cryptographic objects for protecting + verifying computations

- Fully homomorphic encryption (FHE): given encryption of  $x \Rightarrow$  encryption of  $f(x)$  for any efficiently-computable  $f$   
↳ ciphertexts are still semantically secure!

Enables outsourcing of computation to untrusted cloud

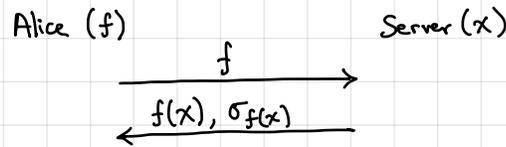


- Homomorphic signatures: given signature on  $x \Rightarrow$  signature on  $f(x)$  for any efficiently-computable  $f$

↳ signatures are short:  $|\sigma| = |f(x)| \cdot \text{poly}(\lambda)$

private:  $\sigma$  only reveals  $f(x)$ , hides  $x$

Enables ability to check computations on data



Alice knows that  $f$

was properly computed on  $x$  (without needing to know or store  $x$ )

for example,  $x$  might be a large database Alice cannot store and  $f$  is a query

- Functional encryption: decryption recovers a function of the message

↳ Ciphertexts associated with a message  $x \Rightarrow$  decryption yields  $f(x)$  and nothing more about  $x$   
Keys are associated with a function  $f$

↳  $x$  could be an email

$f$  could be a spam filter

} allows spam filtering on encrypted messaging

Many fancy capabilities - many of these are currently theoretical constructions

↳ Some of these are on the cusp of becoming practical and enable new privacy-preserving systems

} We will explore both!

## Administrivia:

- Course website: <https://www.cs.utexas.edu/~dwulf/courses/sp22>
- See Piazza for announcements, notes will be posted to course website (1-2 days after lecture)
- Course consists primarily of project: can be a survey on topic of your choosing, an implementation project, or research
  - Consists of project proposal, milestone report, and final report
  - Can work in teams of 2
  - Should work on project throughout the semester
  - Encouraged to discuss ideas with me in advance
- There will be 2 homework assignments: one due before spring break, one due at end of semester
  - Problems will be added as semester progresses ( $\sim 1$  problem every 1-2 weeks)
  - Need to submit at least 70% of problems (rounded down)
- You will need to scribe two lectures (one in each half of semester)
  - Scribe notes should be edited for completeness + typos, due week following lecture

See website for deadlines

Prerequisites: Will assume familiarity with concepts from CS 388H (e.g., hybrid arguments, random oracle model, simulation-based defs)

This semester: Lectures will be simultaneously broadcast over Zoom and recorded  
Please participate virtually if you are feeling unwell

## Course focus: lattice-based cryptography

- Conjectured post-quantum resilience

- Number-theoretic assumptions like discrete log and factoring are insecure against quantum computers  $f$  defines  $H$

(typical approach is to solve a hidden subgroup problem in an abelian group)

- Leading candidate in ongoing NIST post-quantum standardization efforts

$\rightarrow$  let  $G$  be a group and  $H$  be a subgroup of  $G$   
suppose  $f: G \rightarrow S$  has the property  $f(x) = f(y)$  whenever there exists  $h \in H$  where  $hx = y$  ( $f$  is fixed on the cosets of  $H$ )

given oracle access to  $f$ , find a generating set for  $H$

$\rightarrow$  discrete log problem can be recast as hidden subgroup problem  
given  $g, h = g^x$ , find  $x$

suppose  $G = \langle g \rangle$  has prime order  $p$   
additive group

define HSP in  $\mathbb{Z}_p \times \mathbb{Z}_p$  with function  $f(\alpha, \beta) = h^\alpha g^{-\beta} = g^{\alpha x - \beta}$

by construction,  $f$  hides the coset generated by  $(1, x)$ :

$$H = \langle (1, x) \rangle = \{(0, 0), (1, x), (2, 2x), \dots, (p-1, (p-1)x)\}$$

to see this, observe that if

$$f(\alpha_1, \beta_1) = f(\alpha_2, \beta_2) \Rightarrow (\alpha_2 - \alpha_1)x = \beta_2 - \beta_1 \\ \Rightarrow (\alpha_2 - \alpha_1, \beta_2 - \beta_1) \in H$$

$$\Rightarrow (\alpha_1, \beta_1) + (\alpha_2 - \alpha_1, \beta_2 - \beta_1) = (\alpha_2, \beta_2)$$

solving HSP for  $f$  yields  $(1, x)$ , which gives the discrete log (polynomial-time on a quantum computer via Shor's algorithm)

we may revisit this later in the semester

non-abelian groups

$\rightarrow$  no poly-time

quantum algorithms known

factoring can also be viewed as a HSP

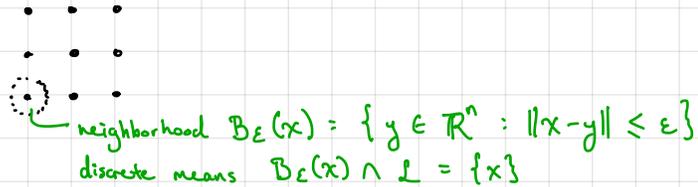
graph isomorphism can be viewed as HSP in the symmetric group

various lattice problems can be viewed as HSP in the dihedral group

- Security based on worst-case hardness
  - Cryptography has typically relied on average-case hardness (i.e., there exists some distribution of hard instances)
  - Lattice-based cryptography can be based on worst-case hardness (there does not exist an algorithm that solves all instances)
- Enables advanced cryptographic capabilities

Definition: An  $n$ -dimensional lattice  $L \subseteq \mathbb{R}^n$  is a discrete additive subspace of  $\mathbb{R}^n$

- Discrete: For every  $x \in L$ , there exists a neighborhood around  $x$  that only contains  $x$ :



- Additive subspace: For all  $x, y \in L$ :  $x+y \in L$   
 $-x \in L$

Examples:  $\mathbb{Z}^n$  ( $n$ -dimensional integer-valued vectors)  
 $g\mathbb{Z}^n$  ( $n$ -dimensional integer-valued vectors where each coordinate is multiple of  $g$ ) "g-ary" lattice

Lattices typically contain infinitely-many points, but are finitely-generated by taking integer linear combinations of a small number of basis vectors:

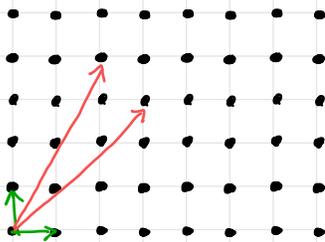
$$B = [b_1 \mid b_2 \mid \dots \mid b_k] \in \mathbb{R}^{n \times k} \quad (\text{vectors are linearly independent over } \mathbb{R})$$

$$L(B) = \left\{ \sum_{i \in \mathbb{Z}} \alpha_i b_i \mid \alpha_i \in \mathbb{Z} \right\}$$

←  $k$  is the rank of the lattice  
(full-rank:  $k=n$ )

$$= B \cdot \mathbb{Z}^k$$

A lattice can have many basis:



standard basis for  $\mathbb{Z}^2$   
 alternative basis for  $\mathbb{Z}^2$

} choice of basis makes a big difference in hardness of lattice problems  
 ↳ often: bad basis is public key  
 good basis is trapdoor

Definition. Let  $L$  be an  $n$ -dimensional lattice. Then, the minimum distance  $\lambda_1(L)$  is the norm of the shortest non-zero vector in  $L$ :

$$\lambda_1(L) = \min_{v \in L \setminus \{0\}} \|v\|$$

The  $i$ th successive minimum  $\lambda_i(L)$  is the smallest  $r \in \mathbb{R}$  such that  $L$  contains  $i$  linearly independent basis vectors of norm at most  $r$ .