

## Fine-grained access control to encrypted data

Standard public-key encryption: knowledge of public key needed to encrypt  
public-key is an algebraic object - complex to remember and send

Can the public key be a username or an email address?

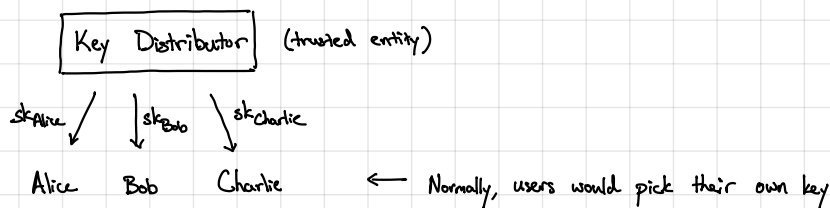
- ↳ Notion of identity-based encryption first proposed by Shamir in 1984
- ↳ First solved by Boneh and Franklin in 2001 using bilinear maps and concurrently by Cocks from quadratic residuosity
- ↳ Now also known from CDH or factoring [Döttling-Garg 2017]

We will see a lattice-based construction by Gentry-Peikert-Vaikuntanathan

IBE syntax:

- Setup  $\rightarrow$   $(mpk, msk)$
- KeyGen( $msk, id$ )  $\rightarrow$   $sk_{id}$
- Encrypt( $mpk, id, m$ )  $\rightarrow$   $ct$
- Decrypt( $sk, ct$ )  $\rightarrow$   $m$

Model is different from PKE

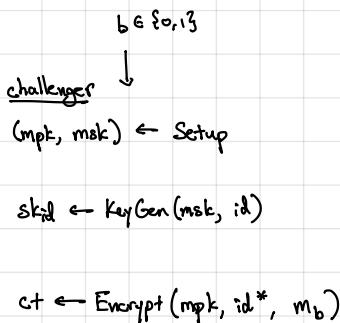
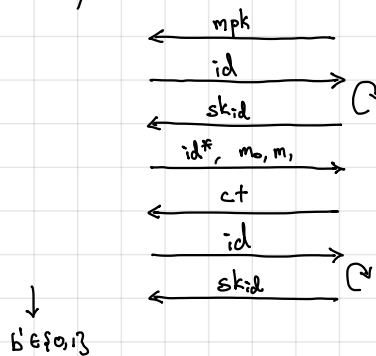


Correctness: for all identities  $id$  and all messages  $m$ ,

$$\Pr \left[ \text{Decrypt}(sk, ct) = m : \begin{array}{l} (mpk, msk) \leftarrow \text{Setup} \\ sk \leftarrow \text{KeyGen}(msk, id) \\ ct \leftarrow \text{Encrypt}(mpk, id, m) \end{array} \right] = 1.$$

Security: consider the semantic security game:

adversary



We say the IBE scheme is secure if for all efficient  $A$ :

$$\left| \Pr[b' = 1 \mid b = 0] - \Pr[b' = 1 \mid b = 1] \right| \leq \text{negl}.$$

Main challenge: IBE "compresses" many user keys (one per identity) into a set of short public parameters

Starting point: "dual Regev encryption" where public key is random and ciphertext contains an LWE sample

$$\text{Setup: } A \xleftarrow{R} \mathbb{Z}_q^{n \times m} \\ r \xleftarrow{R} \{0,1\}^m \quad b = Ar$$

$$pk = (A, b) \\ sk = r$$

$$\text{Encrypt}(pk, \mu): s \xleftarrow{R} \mathbb{Z}_q^n \\ e \leftarrow \chi^m \\ e' \leftarrow \chi \\ ct = (s^T A + e^T, s^T b + e' + \mu \cdot \lfloor \frac{q}{2} \rfloor)$$

$$\text{Decrypt}(sk, (c_1^T, c_2)): \text{compute } c_2 - c_1^T r \text{ and round}$$

$$\text{Correctness: } c_2 - c_1^T r = s^T (Ar) + e' + \mu \cdot \lfloor \frac{q}{2} \rfloor - (s^T A + e^T) r \\ = \mu \cdot \lfloor \frac{q}{2} \rfloor + \underbrace{(e' - e^T r)}_{\text{correct as long as } |e' - e^T r| < \frac{q}{4}}$$

if  $\chi$  is  $B$ -bounded, then  $|e' - e^T r| \leq B + mB$ , so we can set  $q > 4B(m+1)$ .

Security: By LHL,  $(A, b)$  is statistically close to uniform over  $\mathbb{Z}_q^{n \times m}, \mathbb{Z}_q^n$   
By LWE,  $s^T [A | b] + [e^T | e']$  is computationally indistinguishable from uniform.  
 $\Rightarrow$  Ciphertexts are pseudorandom under LWE.

We often treat  $r$  as a "recoding vector." It translates an LWE instance with respect to  $A$  to one with respect to  $b$ .

Idea for IBE: matrix  $A$  is the public key  
each user is associated with a dual Regev public key  $pk_{id} = (A, b_{id})$  where  $b_{id} = H(id)$   
observe that  $H(id)$  is publicly computable so public key for every user is publicly-derivable!

To decrypt, user needs to know the dual Regev decryption key: a recoding vector  $r_{id}$  where  $A r_{id} = b_{id} = H(id)$   
- This is precisely a GPV signature on  $id$ ! We can sample it by setting  $msk = \text{trapdoor for } A$  (i.e., GPV signing key).

$$\text{Setup: Sample } \bar{A} \xleftarrow{R} \mathbb{Z}_q^{n \times m} \\ \bar{R} \xleftarrow{R} \{0,1\}^{m \times m}$$

$$\text{Let } A = [\bar{A} | \bar{A}\bar{R} + G] \quad (\text{i.e., } R \text{ is a trapdoor for } A) \\ R = [\bar{R} | I]$$

$$mpk = A \quad msk = R$$

$$\text{KeyGen}(msk, id): sk_{id} \leftarrow A^{-1}(H(id)) \quad [\text{As in GPV, this is a randomized procedure (to avoid leaking } R)]$$

$$\text{Encrypt}(mpk, id, \mu): s \xleftarrow{R} \mathbb{Z}_q^n \quad b_{id} \leftarrow H(id) \\ e \leftarrow \chi^m \\ e' \leftarrow \chi$$

$$ct = (s^T A + e^T, s^T b_{id} + e' + \mu \cdot \lfloor \frac{q}{2} \rfloor) \quad [\text{Dual Regev encryption with respect to } pk_{id} = (A, b_{id})]$$

$$\text{Decrypt}(sk_{id}, (c_1^T, c_2)): \mu \leftarrow \text{round}(c_2 - c_1^T r_{id}).$$

Correctness: Same analysis as for dual Regev encryption (except  $\|b_{id}\|$  slightly larger).

Security: Follows under LWE in random oracle model (model  $H$  as ideal hash function)

$\hookrightarrow$  Rely on random oracle to answer key-generation queries

Observe that secret keys in above scheme are simply GPV signatures on the identity

↳ This is true in general: IBE scheme implies a signature scheme (signature on  $m$  is identity key for  $m$ )

Identity keys must be unforgeable as otherwise, security is trivially broken.

Drawback of IBE: central authority generates the secret keys  $\rightarrow$  single point of failure

Can we have IBE where users pick their own key?

↳ Same challenge: how to compress public keys into short set of parameters?

Notion called registration-based encryption (RBE)

Alice  $\rightarrow$   $pk_A$   
Bob  $\rightarrow$   $pk_B$   
Charlie  $\rightarrow$   $pk_C$

} aggregated into  $mpk$

Encrypt( $mpk, id, \mu$ )  $\rightarrow$   $ct$  [Same syntax as plain IBE]

To decrypt, use secret key (user generated, not shared with anyone)

First lattice-based construction by Döttling-Kolomelec-Lai-Lin-Malavolta-Rahimi in 2023

- The master public key is a "Merkle hash" of the individual public keys using an SIS hash function
- Decryption essentially recodes "root ciphertext" to the key associated with a specific user