CS378: Natural Language Processing Lecture 20: Trees II



Eunsol Choi



Trees

Overview

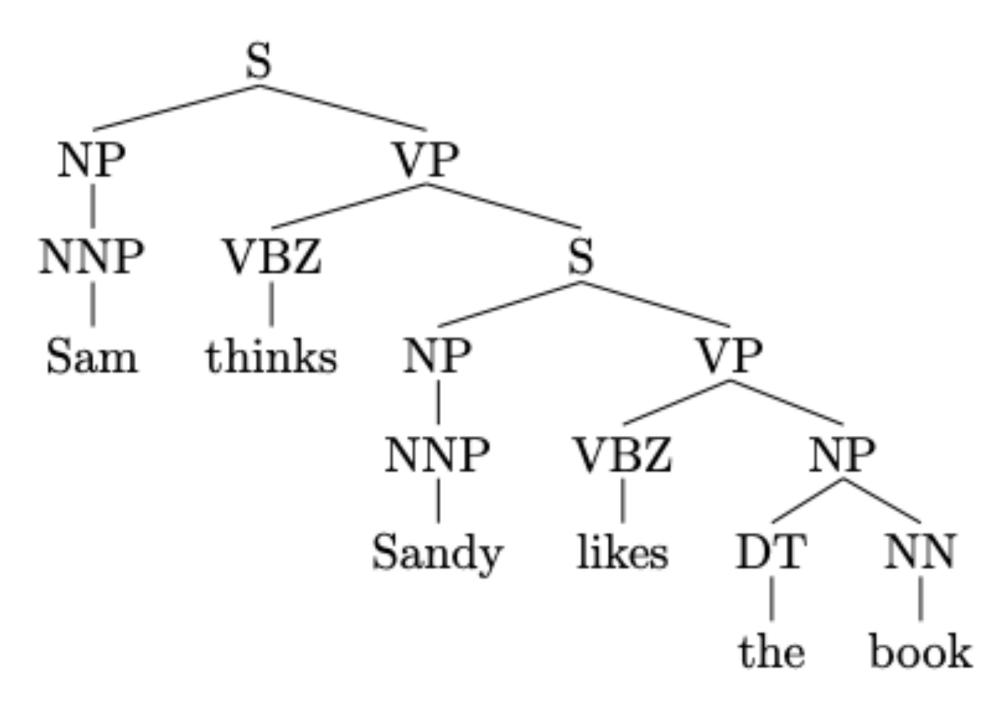
Dependency Parsing [Tuesday]

Constituency Parsing [Today]

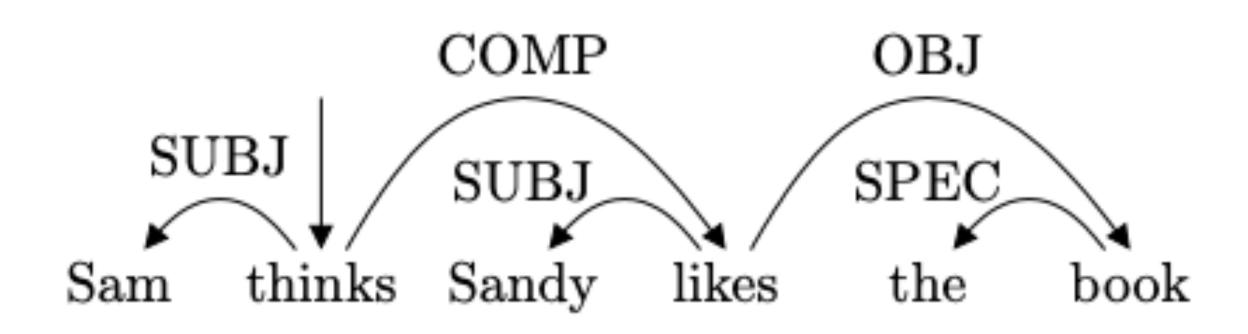


Recap: Syntax

- Study of word order and how words form sentences
 - Constituency Parsing



Dependency Parsing



Words organized as nested structure of constituents

which words depend on (modify or are arguments of) which other words.



Recap: Why do we care about syntax?

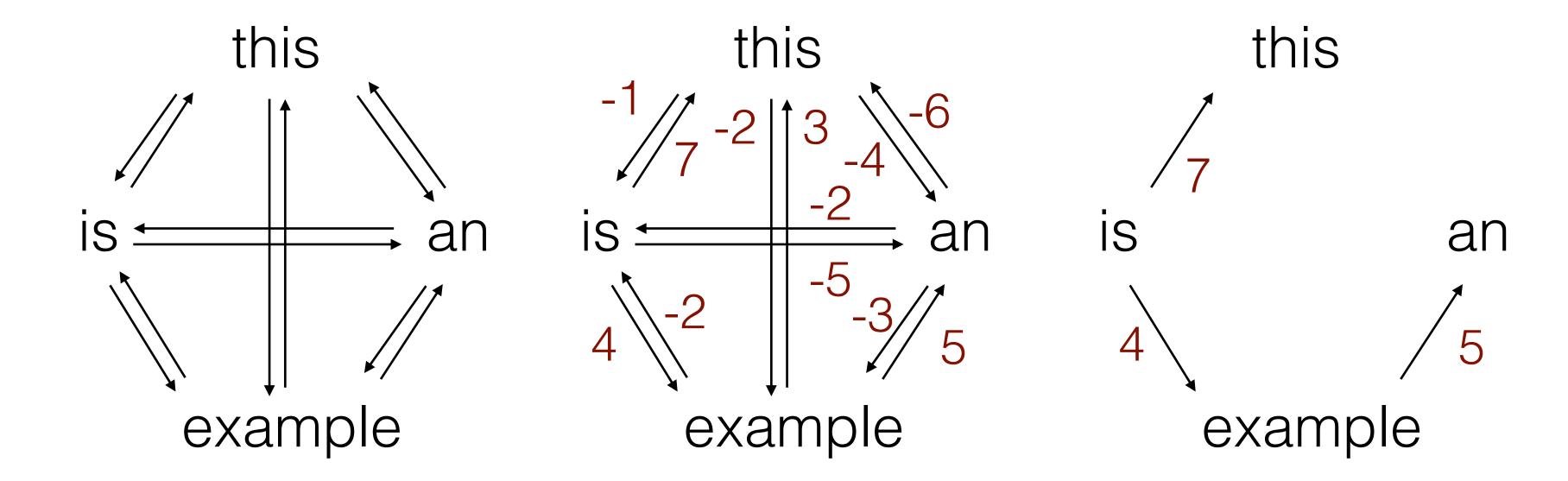
- Clarifies the ambiguities in language
 - Recognize verb-argument structures (who is doing what to whom?)
 - Recognize modifier scopes (e.g., plastic cup holder)
 - Coordination scope (e.g., small rats and mice)

- Provide higher level of abstraction beyond words: some languages are SVO, some are VSO, some are SOV
- Sometimes can be used to help downstream tasks



Recap: Graph-based dependency parsing

- Train a classifier to score each edge
- Find the maximum spanning tree

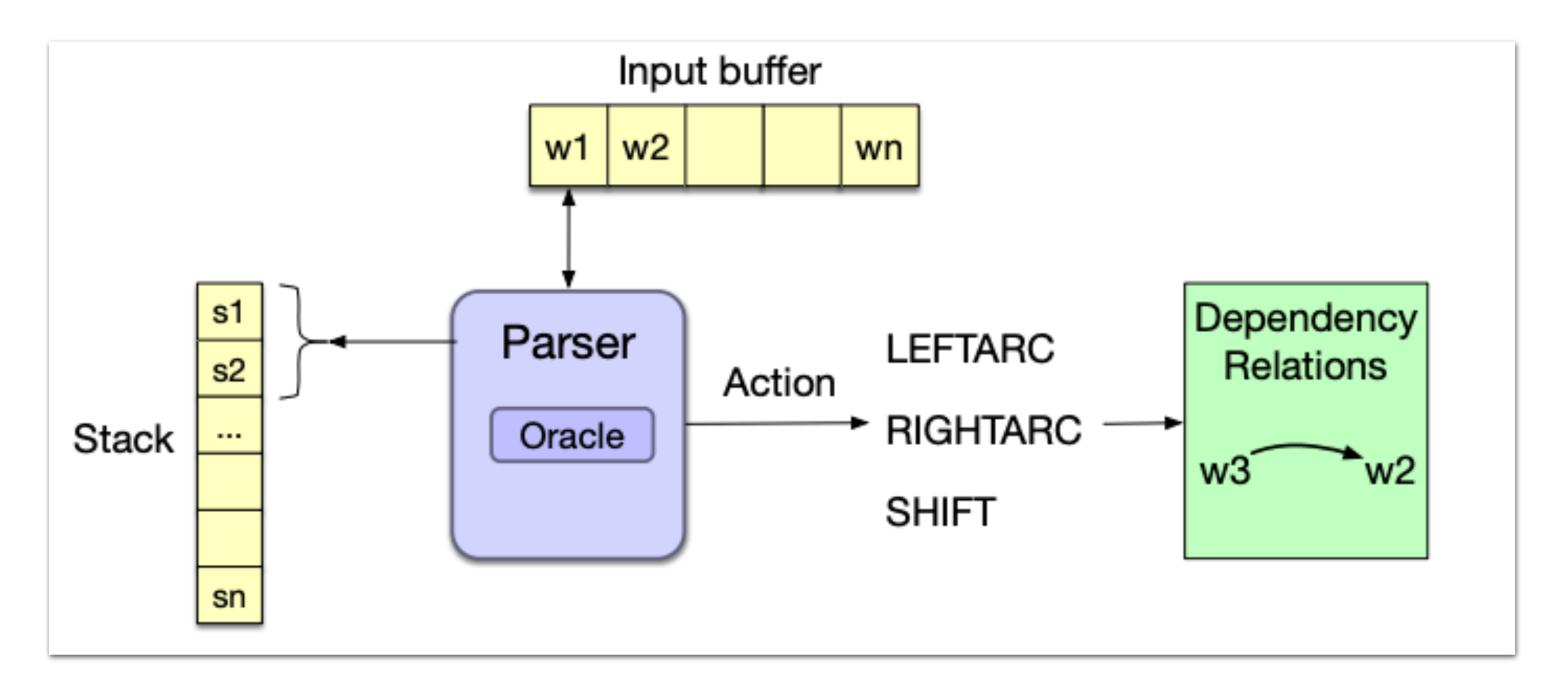


- Pros: can handle non-projective trees, guaranteed best-scoring parse
- Cons: relatively slow $O(n^3)$, n= length of the sentence, individual arc scoring



Today: Transition-based dependency parsing

Process a sentence sequentially word by word



- As you process, you can either:
 - Shift: Move a word from the buffer to a stack
 - Left Arc: The top of the stack is the head of the second word on stack
 - Right Arc: The second word on stack is head of top word



- Initially, the stack has root, the buffer has sentence's words, and no edges
 - Eg) stack contains [ROOT], buffer contains [I ate some spaghetti bolognese]
- At the end, stack contains [ROOT], buffer is empty []

```
function DEPENDENCYPARSE(words) returns dependency tree

state ← {[root], [words], [] } ; initial configuration

while state not final

t ← ORACLE(state) ; choose a transition operator to apply

state ← APPLY(t, state) ; apply it, creating a new state

return state
```

Figure 14.6 A generic transition-based dependency parser

Arc-Standard Parsing

ROOT



- Start: stack contains [ROOT], buffer contains [I ate some spaghetti bolognese]
- Three operations

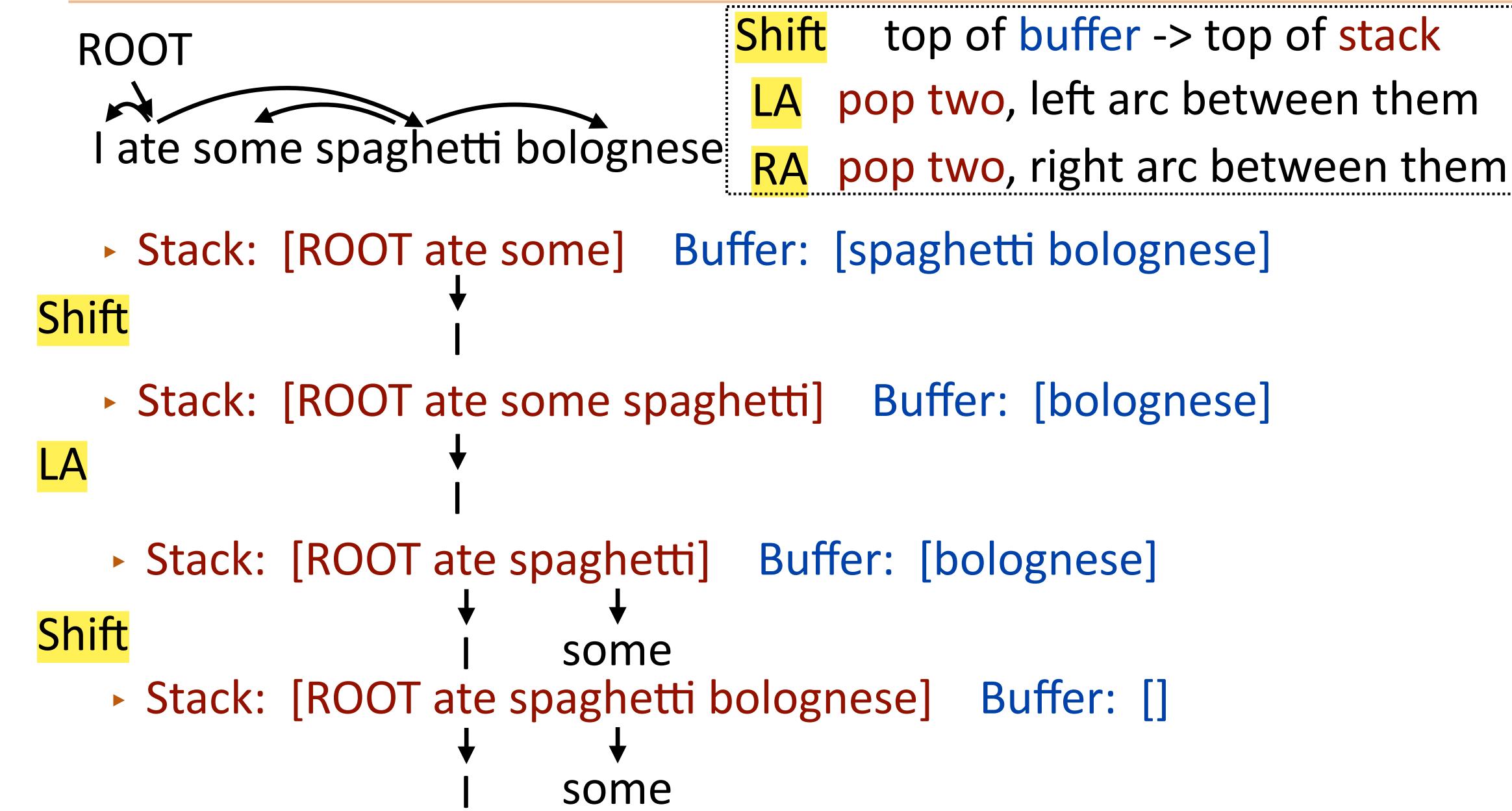
Let σ denote the stack

- Shift: top of buffer -> top of stack
- Left-arc: "Pop two elements, add a left arc, put them back on the stack" $\sigma|w_{-2},w_{-1}|\to \sigma|w_{-1} \quad w_{-2} \text{ is now a child of } w_{-1}$
- Right-arc: "Pop two elements, add a right arc, put them back on the stack" $\sigma|w_{-2},w_{-1}|\to \sigma|w_{-2}$, w_{-1} is now a child of
- End: stack contains [ROOT], buffer is empty []

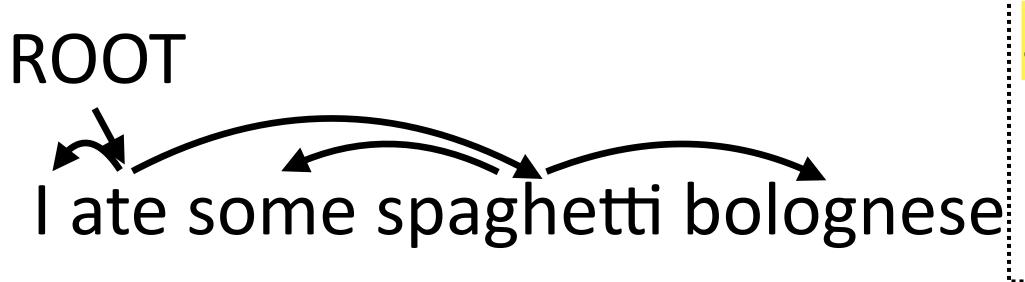


```
top of buffer -> top of stack
  ROOT
                                 LA pop two, left arc between them
   l ate some spaghetti bolognese
                                 RA pop two, right arc between them
   Stack: [ROOT] Buffer: [I ate some spaghetti bolognese]
   Stack: [ROOT I] Buffer: [ate some spaghetti bolognese]
   Stack: [ROOT | ate] Buffer: [some spaghetti bolognese]
   Stack: [ROOT ate] Buffer: [some spaghetti bolognese]
Shift
   Stack: [ROOT ate some] Buffer: [spaghetti bolognese]
```









```
Shift top of buffer -> top of stack
```

- LA pop two, left arc between them
- RA pop two, right arc between them

```
Stack: [ROOT ate spaghetti bolognese] Buffer: []
RA
                      some
  Stack: [ROOT ate spaghetti] Buffer: []
                      some bolognese
  Stack: [ROOT ate]
                     Buffer: []
                         bolognese
```

Final state:

[ROOT]

ate

spaghetti

bolognese
some



Complexity

- A word can only enter the stack once.
- So complexity is O(2n), where n is the length of the sentence.



Train a classifier to predict actions

Following rule will give a valid sequence for the annotated parse tree.

If the second element in the stack is the child of the top of the stack, then make a left edge.

If the top of the stack is the child of the second element in the stack, and the top of the stack has no children that have yet to be added to the tree, then make a right edge.

Otherwise, shift

- For each x_i with n words, we can construct a transition sequence of length 2n which generates y_i , so we can generate 2n training examples: $\{(c_k, a_k)\}$
- Space of actions:
 - 3 (if untyped edges)
 - ► |R|*2 + 1 (if typed edges, R the number of types)

 c_k : configuration, a_k : action

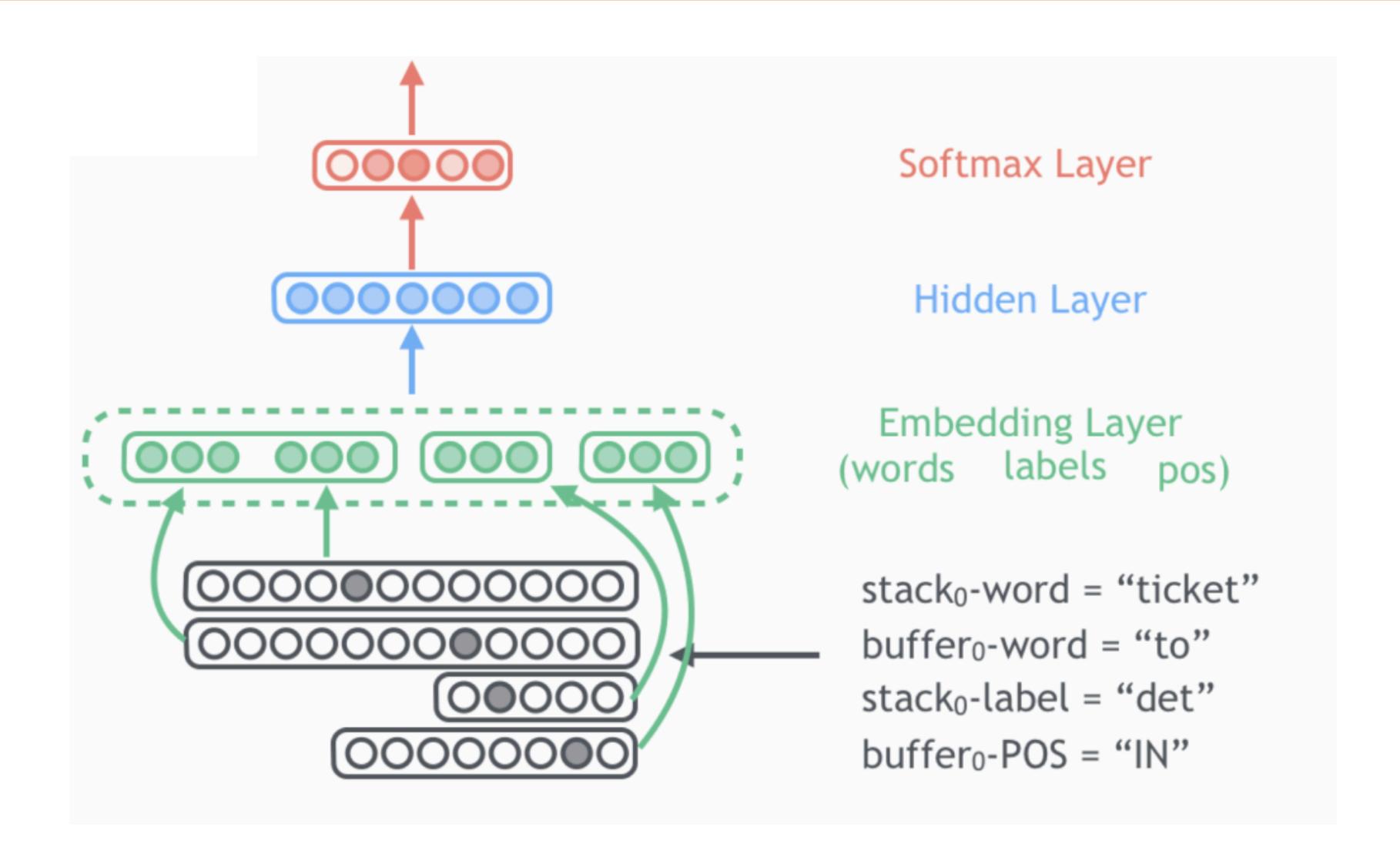


Features in Transition Based Parsing

- The top 3 words on the stack and buffer (6 features) s₁, s₂, s₃, b₁, b₂, b₃
- The two leftmost/rightmost children of the top two words on the stack (8 features) $lc_1(s_i)$, $lc_2(s_i)$, $rc_1(s_i)$, $rc_2(s_i)$ i=1,2
- leftmost and rightmost grandchildren (4 features) lc₁(lc₁(s_i)), rc₁(rc₁(s_i)) i=1,2
- POS tags of all of the above (18 features)
- Arc labels of all children/grandchildren (12 features)



Neural Features





Graph-based vs. Transition-based

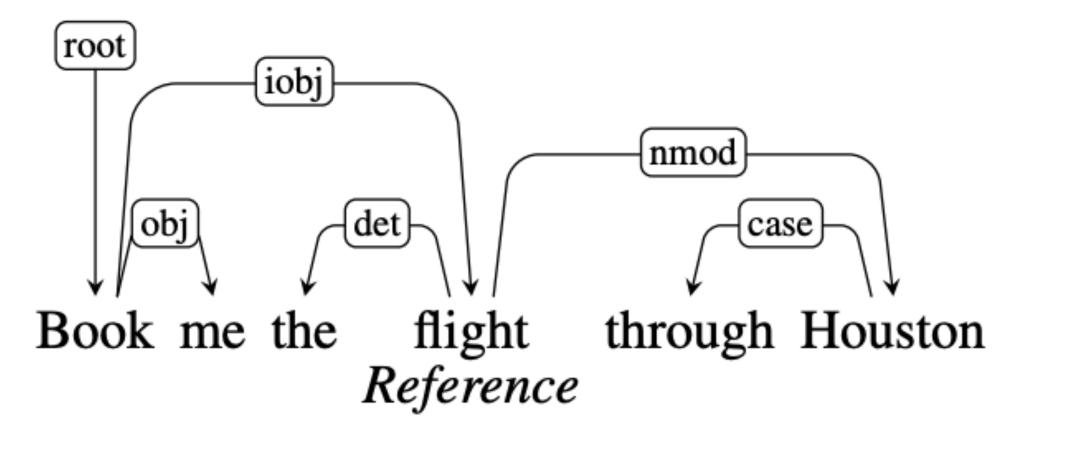
- Graph based:
 - Can find exact best global solution with dynamic programming
 - Local independence assumption

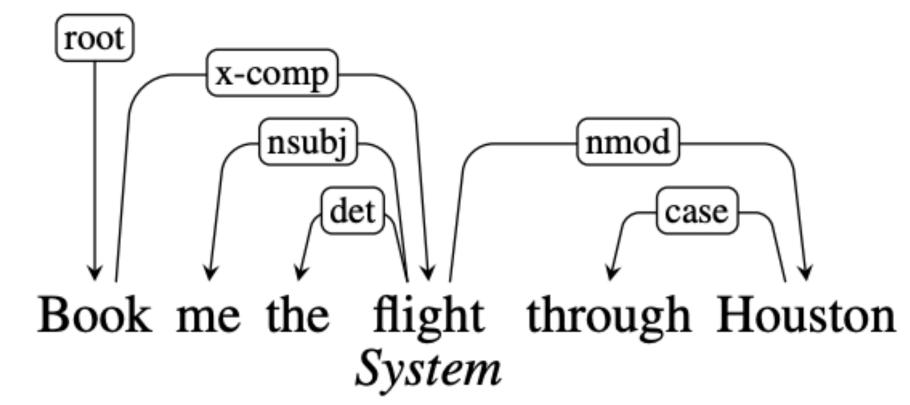
- Transition based:
 - Greedy algorithm
 - Cannot model non-projective trees
 - Can condition on longer tree context



Evaluation

- Unlabeled attachment score (UAS)
 - Percentage of words that have been assigned the correct head
- Labeled attachment score (LAS)
 - Percentage of words that have been assigned the correct head & edge label







Results

		English	n PTB-SD 3.3.0	Chines	e PTB 5.1
Type	Model	UAS	LAS	UAS	LAS
	Ballesteros et al. (2016)	93.56	91.42	87.65	86.21
Transition	Andor et al. (2016)	94.61	92.79	_	_
	Kuncoro et al. (2016)	95.8	94.6	_	_
	Kiperwasser & Goldberg (2016)	93.9	91.9	87.6	86.1
Granh	Cheng et al. (2016)	94.10	91.49	88.1	85.7
Graph	Hashimoto et al. (2016)	94.67	92.90	_	_
	Deep Biaffine	95.74	94.08	89.30	88.23

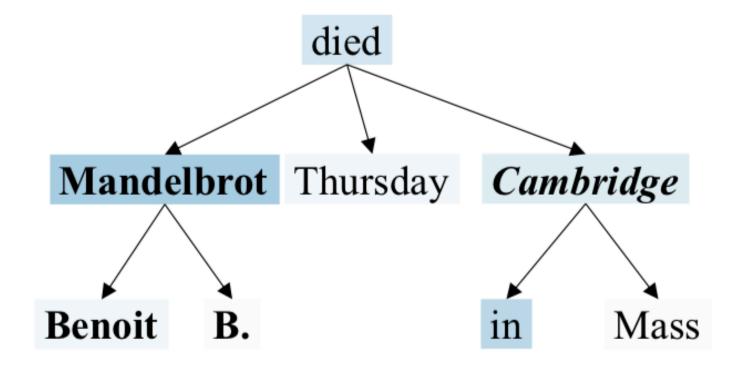


Using Dependency Parsers

- For information extraction
- Features capturing dependency path between entities

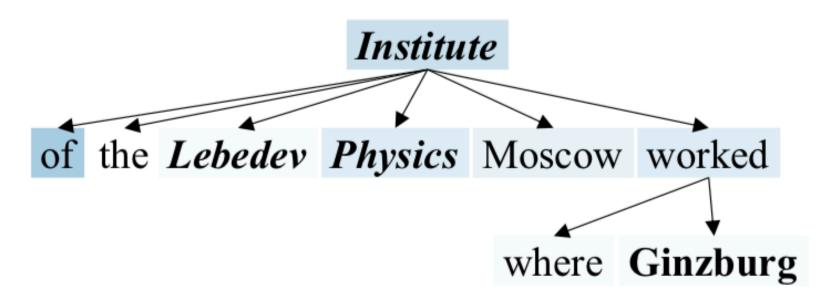
Relation: *per:city_of_death*

Benoit B. Mandelbrot, a maverick mathematician who developed an innovative theory of roughness and applied it to physics, biology, finance and many other fields, died Thursday in *Cambridge*, Mass.



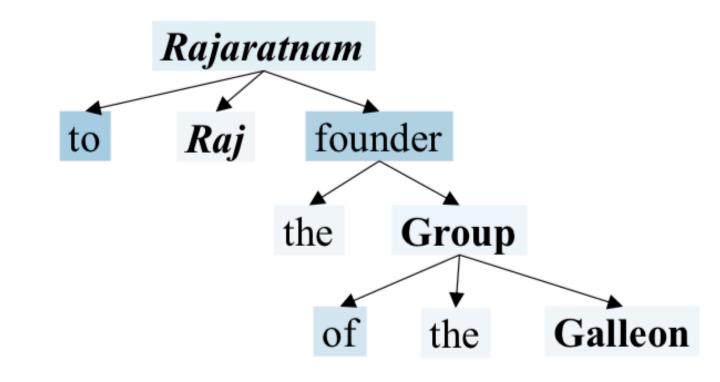
Relation: *per:employee_of*

In a career that spanned seven decades, Ginzburg authored several groundbreaking studies in various fields -- such as quantum theory, astrophysics, radio-astronomy and diffusion of cosmic radiation in the Earth's atmosphere -- that were of "Nobel Prize caliber," said Gennady Mesyats, the director of the *Lebedev Physics Institute* in Moscow, where **Ginzburg** worked.



Relation: *org:founded_by*

Anil Kumar, a former director at the consulting firm McKinsey & Co, pleaded guilty on Thursday to providing inside information to *Raj Rajaratnam*, the founder of the Galleon Group, in exchange for payments of at least \$ 175 million from 2004 through 2009.



Trees

Overview

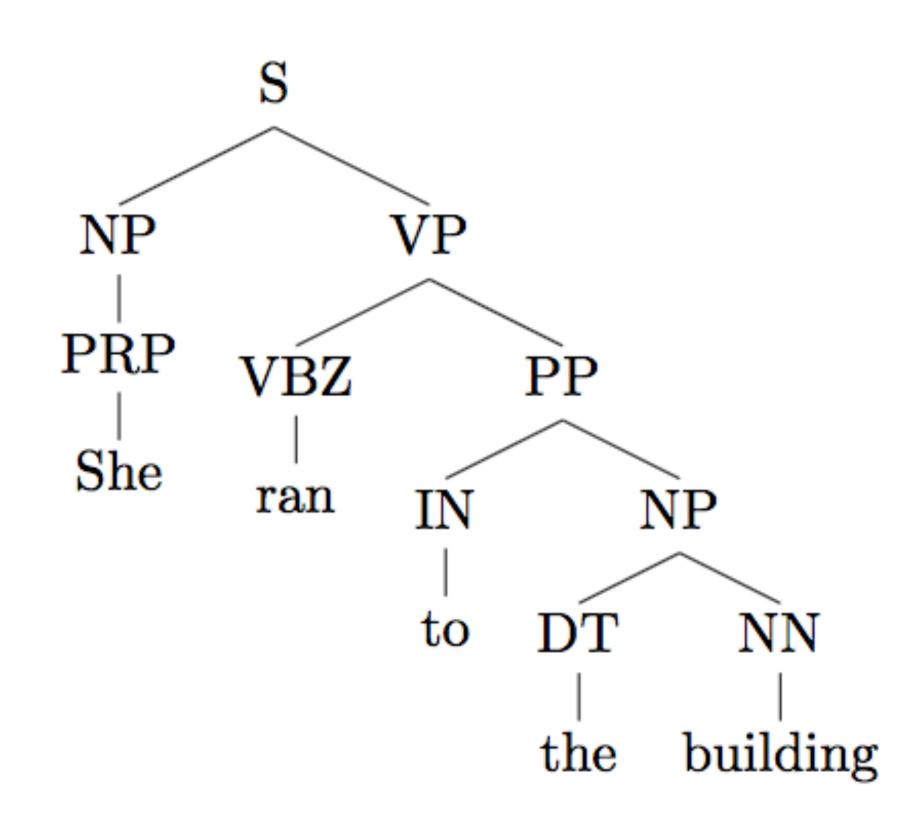
- Dependency Parsing [Tuesday]
- Constituency Parsing [Today]
 - Overview
 - Probabilistic context-free grammar
 - Constituency parsing / Inference
 - Comparison with dependency parsing



Constituency Parsing

- Constituent: a *unit* that can appear in different places
 - Common constituents: noun phrases,
 verb phrases, prepositional phrases

 Phrase structure organizes words into nested constituents





Constituency structure

Starting units: words are given a category (part-of-speech tags)

```
the, cuddly, cat, by, the, door Det, Adj, N, P, Det, N
```

Words combine into phrases with categories

```
the cuddly cat, by the door NP \rightarrow Det Adj N PP \rightarrow P NP
```

Phrases can combine into bigger phrases (with category) recursively

```
the cuddly cat by the door NP \rightarrow NP PP
```

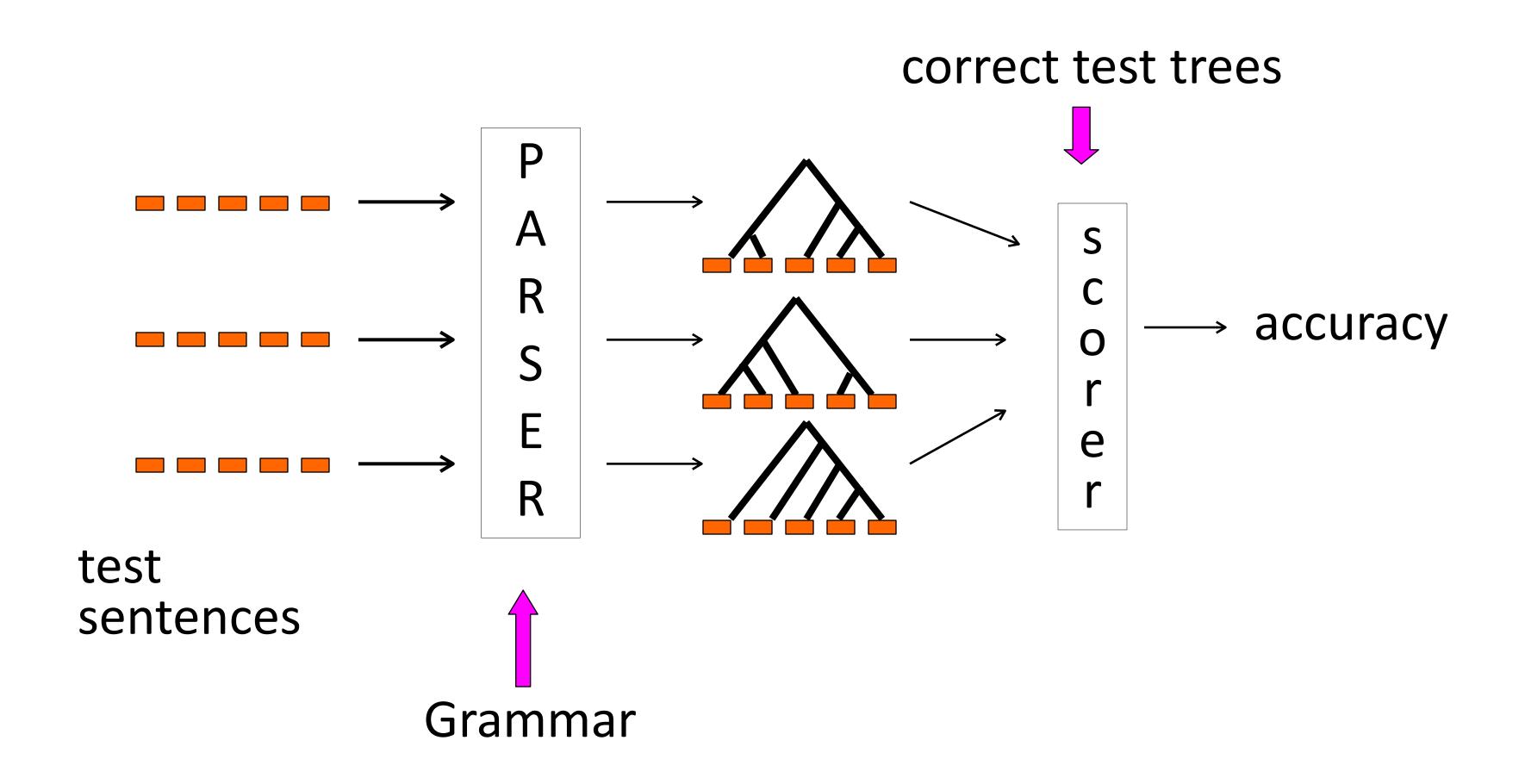


Constituency Tests

- A constituent behaves as a unit that can appear in different places
 - John talked to the children about drugs.
 - John talked [to the children] [about drugs].
 - John talked [about drugs] [to the children].
 - *John talked drugs to the children about
- Substitution by proform (e.g., pronoun)
- Rewriting: (It was with a spoon that...)
- Question Answering
 - (What did they eat? the cake)
 - How? with a spoon)



The Parsing Problem





Context-Free Grammar (CFG)

Formal system for modeling constituency structure in language

- A context-free grammar is a tuple <N, Σ, S, R>
 - N: a set of non terminal symbols
 - Σ: a set of terminal symbols
 - R: set of rules
 - S: a start symbol



Context-Free Grammar (CFG)

```
N: the set of non-terminal symbols
```

Phrasal categories: S, NP, VP, ADJP, etc.

Parts-of-speech (pre-terminals): NN, JJ, DT, VB

Σ: the set of terminal symbols (the words)

R: the set of rules

Of the form $X \rightarrow Y_1 Y_2 ... Y_n$, with $X \in N$, $n \ge 0$, $Y_i \in (N \cup \Sigma)$

Examples: $S \rightarrow NP VP$, $VP \rightarrow VP CC VP$

S: the start symbol



Example

Writing parsing rules:

N > Fed

V → raises

 $NP \rightarrow N$

 $S \rightarrow NP VP$

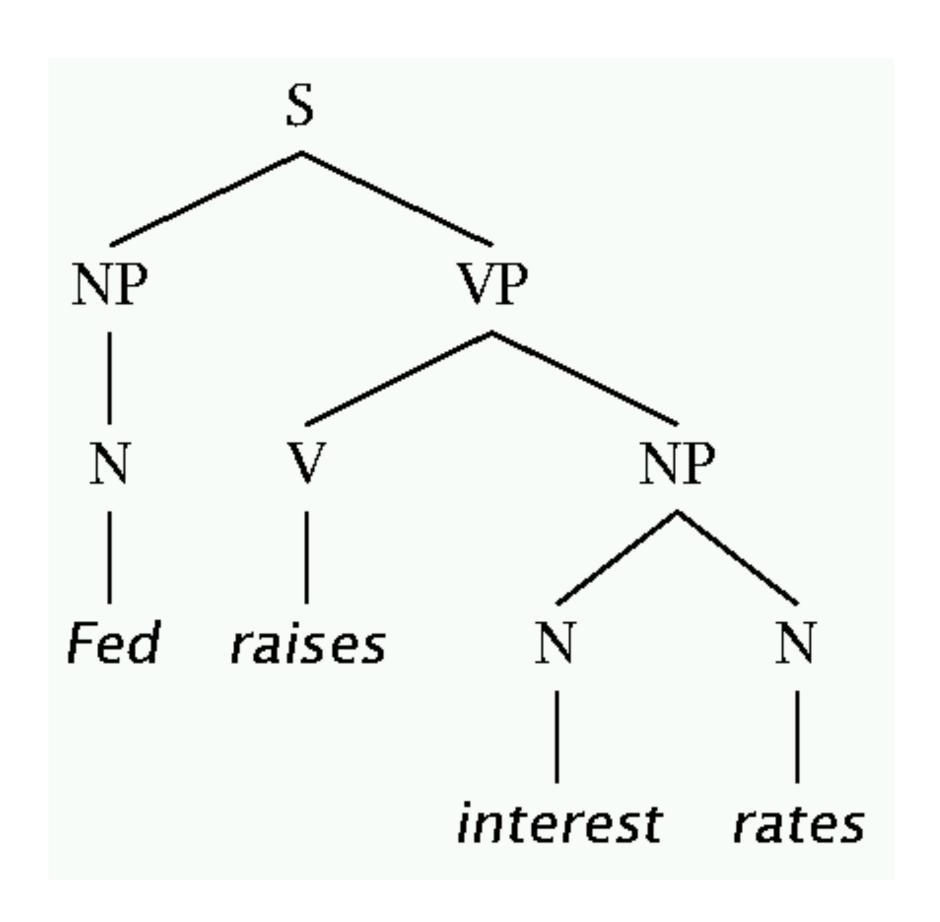
VP -> V NP

 $NP \rightarrow NN$

NP > NP PP

N > interest

N > raises





Example Grammar

```
N = \{ S, NP, VP, PP, DT, Vi, Vt, NN, IN \}
```

$$S = S$$

 $\Sigma = \{ \text{sleeps, saw, man, woman, telescope, the, with, in} \}$

$$R =$$

S	\rightarrow	NP	VP
VP	\rightarrow	Vi	
VP	\rightarrow	Vt	NP
VP	\rightarrow	VP	PP
NP	\rightarrow	DT	NN
NP	\rightarrow	NP	PP
PP	\rightarrow	IN	NP

 $\begin{array}{cccc} Vi & \rightarrow & sleeps \\ Vt & \rightarrow & saw \\ \hline NN & \rightarrow & man \\ NN & \rightarrow & woman \\ NN & \rightarrow & telescope \\ NN & \rightarrow & dog \\ \hline DT & \rightarrow & the \\ \hline IN & \rightarrow & with \\ IN & \rightarrow & in \\ \hline \end{array}$

Grammar

Lexicon



Example Parses

```
N = \{ S, NP, VP, PP, DT, Vi, Vt, NN, IN \}
```

S = S

 $\Sigma = \{ \text{ sleeps, saw, man, woman, telescope, the, with, in} \}$

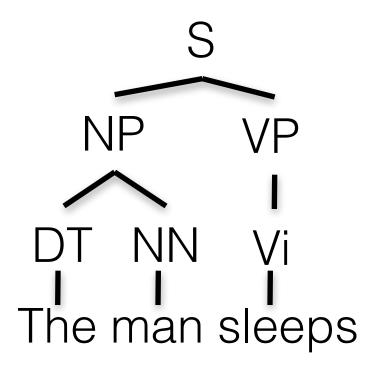
$$R =$$

S	\rightarrow	NP	VP
VP	\rightarrow	Vi	
VP	\rightarrow	Vt	NP
VP	\rightarrow	VP	PP
NP	\rightarrow	DT	NN
NP	\rightarrow	NP	PP
PP	\rightarrow	IN	NP

Grammar

Vi	\rightarrow	sleeps
Vt	\rightarrow	saw
NN	\rightarrow	man
NN	\rightarrow	woman
NN	\rightarrow	telescope
NN	\rightarrow	dog
DT	\rightarrow	the
IN	\rightarrow	with
IN	\rightarrow	in

Lexicon



S=sentence, VP-verb phrase, NP=noun phrase, PP=prepositional phrase, DT=determiner, Vi=intransitive verb, Vt=transitive verb, NN=noun, IN=preposition



Example Parses

```
N = \{ S, NP, VP, PP, DT, Vi, Vt, NN, IN \}
```

S = S

 $\Sigma = \{ \text{sleeps, saw, man, woman, telescope, the, with, in} \}$

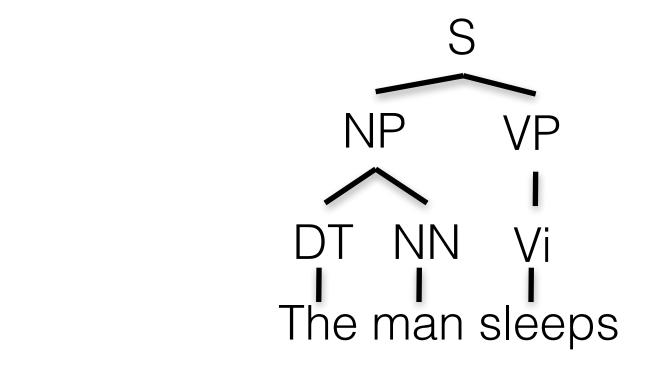
R =

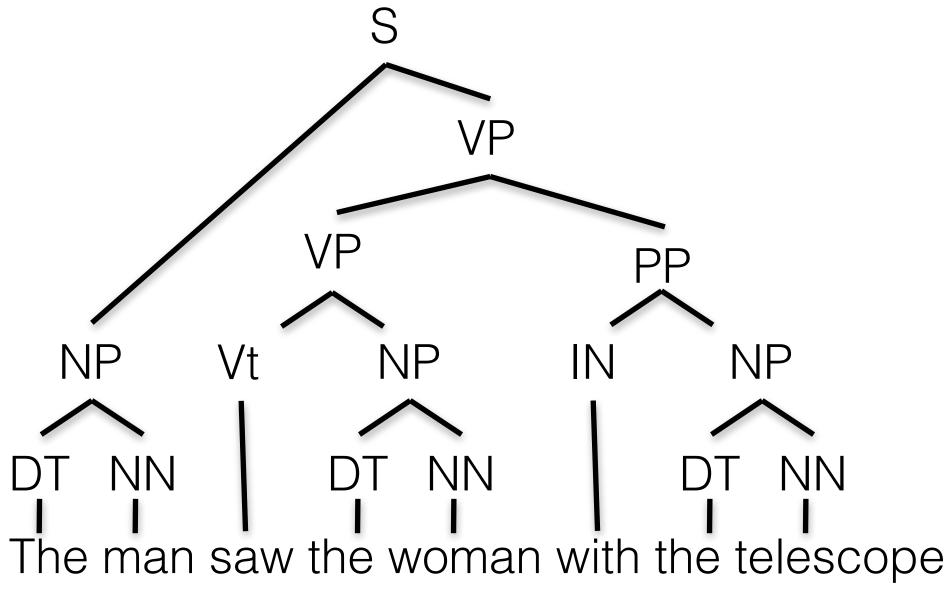
S	\rightarrow	NP	VP
VP	\rightarrow	Vi	
VP	\rightarrow	Vt	NP
VP	\rightarrow	VP	PP
NP	\rightarrow	DT	NN
NP	\rightarrow	NP	PP
PP	\rightarrow	IN	NP

Grammar

Vi	\rightarrow	sleeps
Vt	\rightarrow	saw
NN	\rightarrow	man
NN	\rightarrow	woman
NN	\rightarrow	telescope
NN	\rightarrow	dog
DT	\rightarrow	the
IN	\rightarrow	with
IN	\rightarrow	in

Lexicon





S=sentence, VP-verb phrase, NP=noun phrase, PP=prepositional phrase,



"Classical" NLP parsing

Sentences can have a very large number of possible parses

$$((ab)c)d$$
 $(a(bc))d$ $(ab)(cd)$ $a((bc)d)$ $a(b(cd))$

 We can add constraints to the grammar to limit unlikely parses, but then we have a coverage, unable to parse some sentences

 Less constrained grammar —> High coverage — blow up of the number of possible parses



Statistical Parsing

- Learning from data: Treebanks
- Adding probabilities to the rules: probabilistic CFGs (PCFGs)

Treebanks: a collection of sentences paired with their parse trees

```
((S
  (NP-SBJ (DT That)
                                    ((S
    (JJ cold) (, ,)
                                       (NP-SBJ The/DT flight/NN )
    (JJ empty) (NN sky) )
                                       (VP should/MD
  (VP (VBD was)
                                         (VP arrive/VB
    (ADJP-PRD (JJ full)
                                           (PP-TMP at/IN
      (PP (IN of)
                                             (NP eleven/CD a.m/RB ))
        (NP (NN fire)
                                           (NP-TMP tomorrow/NN )))))
          (CC and)
          (NN light) ))))
  (. .) ))
                                                      (b)
               (a)
```

50K annotated sentences



Probabilistic context free grammar

S	\Rightarrow	NP	VP	1.0
VP	\Rightarrow	Vi		0.4
VP	\Rightarrow	Vt	NP	0.4
VP	\Rightarrow	VP	PP	0.2
NP	\Rightarrow	DT	NN	0.3
NP	\Rightarrow	NP	PP	0.7
PP	\Rightarrow	Р	NP	1.0

Vi	\Rightarrow	sleeps	1.0
Vt	\Rightarrow	saw	1.0
NN	\Rightarrow	man	0.7
NN	\Rightarrow	woman	0.2
NN	\Rightarrow	telescope	0.1
DT	\Rightarrow	the	1.0
IN	\Rightarrow	with	0.5
IN	\Rightarrow	in	0.5

A context-free grammar: $G = (N, \Sigma, R, S)$

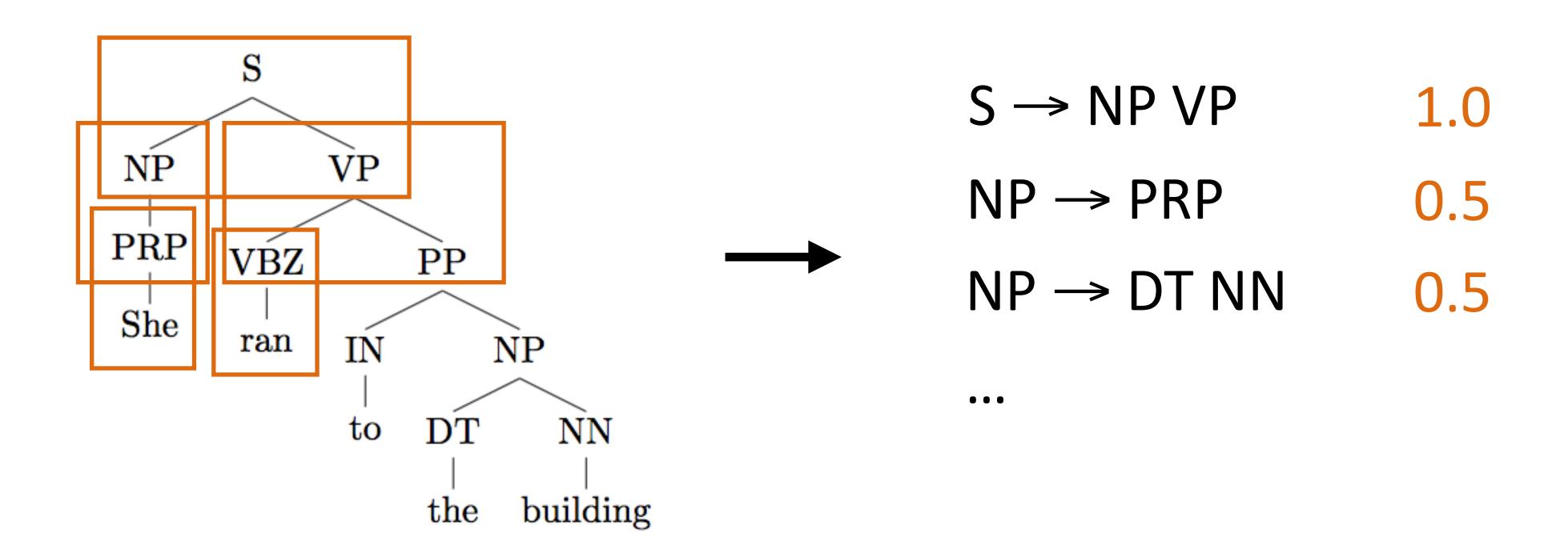
For each rule $\alpha \to \beta \in R$, there is a parameter $q(\alpha \to \beta) \ge 0$. For any $X \in N$,

$$\sum_{\alpha \to \beta: \alpha = X} q(\alpha \to \beta) = 1$$



Probabilistic context free grammar

► Tree *T* is a series of rule applications *r*.





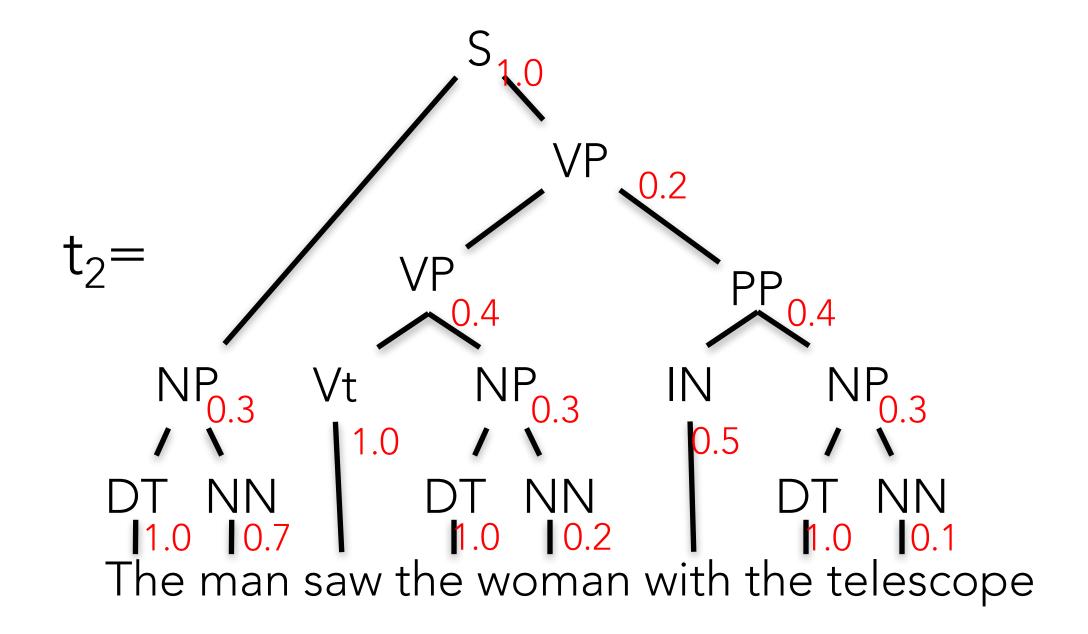
Tree Scorer

$$t_{1} = \begin{array}{c} \underbrace{\sum_{1.0}^{S_{1.0}}}_{NP} & VP \\ \underbrace{\sum_{0.3}^{NP}}_{0.3} & \underbrace{\sum_{0.4}^{O.4}}_{0.7} & \underbrace{\sum_{1.0}^{O.7}}_{The man sleeps} & \underbrace{\sum_{0.4}^{S_{1.0}}}_{NP} & \underbrace{\sum_{0.7}^{O.7}}_{The man sleeps} & \underbrace{\sum_{0.4}^{S_{1.0}}}_{NP} & \underbrace{\sum_{0.7}^{O.7}}_{The man sleeps} & \underbrace{\sum_{0.4}^{S_{1.0}}}_{NP} & \underbrace{\sum_{0.4}^{O.4}}_{The man sleeps} & \underbrace{\sum_{0.4}^{S_{1.0}}}_{NP} & \underbrace{\sum_{0.4}^{O.4}}_{The man sleeps} & \underbrace{\sum_{0.4}^{S_{1.0}}}_{NP} & \underbrace{\sum_{0.4}^{O.4}}_{The man sleeps} & \underbrace{\sum_{0.4}^{S_{1.0}}}_{The man sle$$

$$P(t_1) = q(S \to NP VP) \times q(NP \to DT NN) \times q(DT \to the)$$

 $\times q(NN \to man) \times q(VP \to Vi) \times q(Vi \to sleeps)$

$$= 1.0 \times 0.3 \times 1.0 \times 0.7 \times 0.4 \times 1.0 = 0.084$$



 $p(t_s)=1.0^*0.3^*1.0^*0.7^*0.2^*0.4^*1.0^*0.3^*1.0^*0.2^*0.4^*0.5^*0.3^*1.0^*0.1$



Deriving a PCFG from a treebank

- Training data: a set of parse trees t_1, t_2, \ldots, t_m
- ightharpoonup A PCFG (N, Σ, S, R, q) :
 - $\triangleright N$ is the set of all non-terminals seen in the trees
 - \triangleright Σ is the set of all words seen in the trees
 - S is taken to be S.
 - ightharpoonup R is taken to be the set of all rules lpha
 ightharpoonup eta seen in the trees
 - ► The maximum-likelihood parameter estimates are:

$$q_{ML}(\alpha \to \beta) = \frac{\text{Count}(\alpha \to \beta)}{\text{Count}(\alpha)}$$

If we have seen the rule VP \rightarrow Vt NP 105 times, and the the non-terminal VP 1000 times, $q(VP \rightarrow Vt NP) = 0.105$



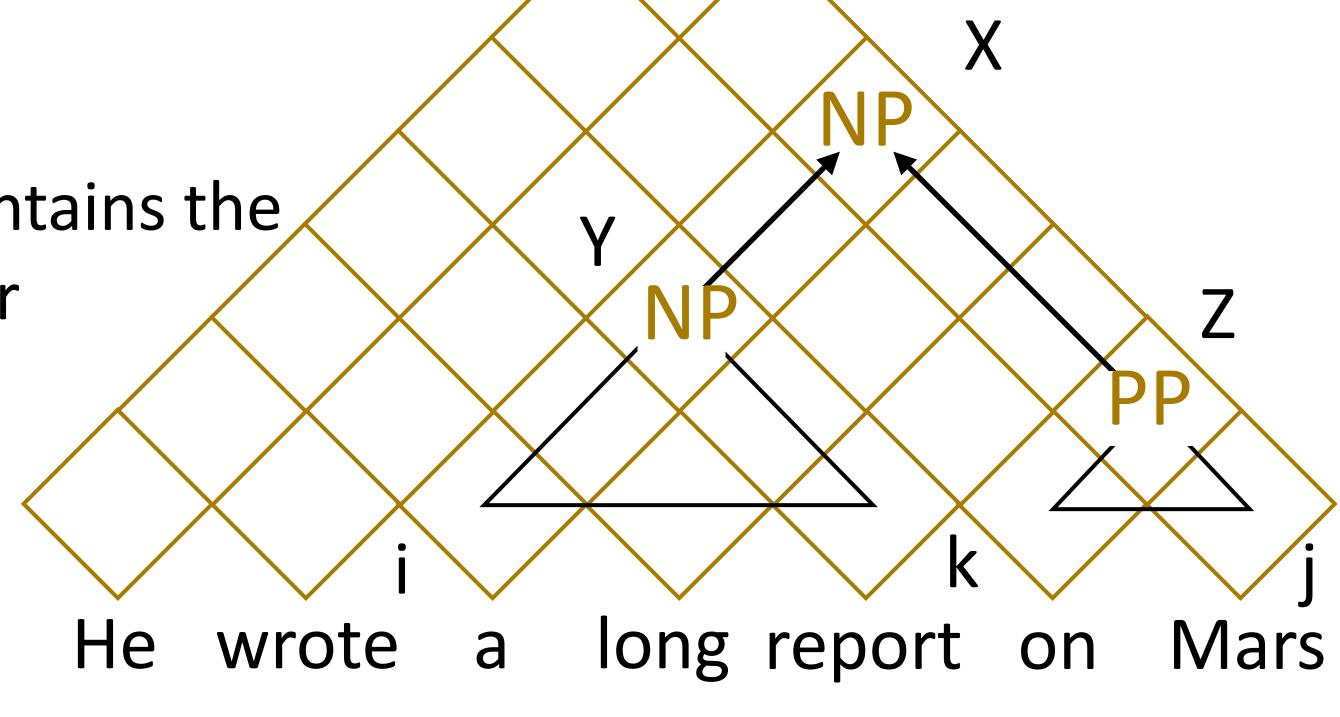
Inference

Given a sentence $x_1, x_2, ..., x_n$, denote T[i,j,X] as the highest score for any parse tree that covers words $x_i, ..., x_{j-1}$ with non-terminal $X \in N$ as its root.

• Find T[I, n+1, S]

 Dynamic programming: chart maintains the best way of building symbol X over span (i, j)

Same idea with Viterbi algorithm!



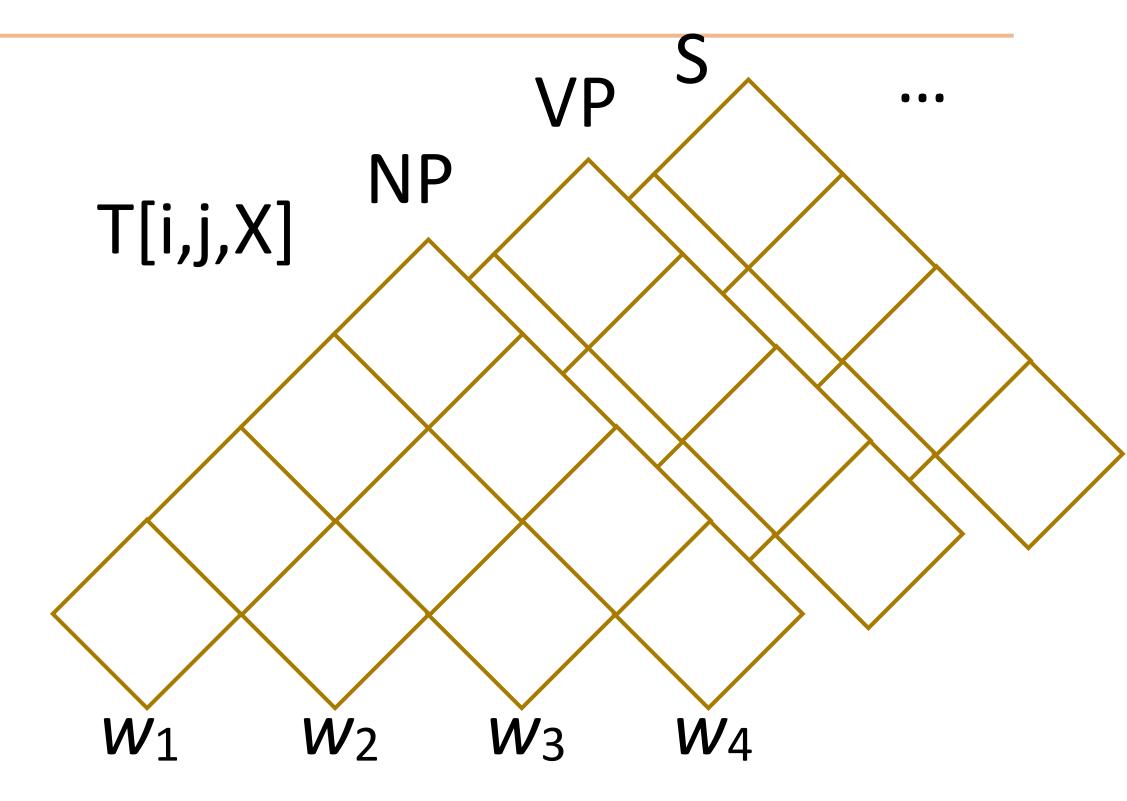
Cocke-Kasami-Younger (CKY algorithm)



Cocke-Kasami-Younger (CKY algorithm)

- Chart: T[i,j,X] = best score
- ► Base: $T[i,i+1,X] = log P(X \rightarrow w_i)$
- Loop over all split points k, apply rules X -> Y Z to build X in every possible way
- Recurrence:

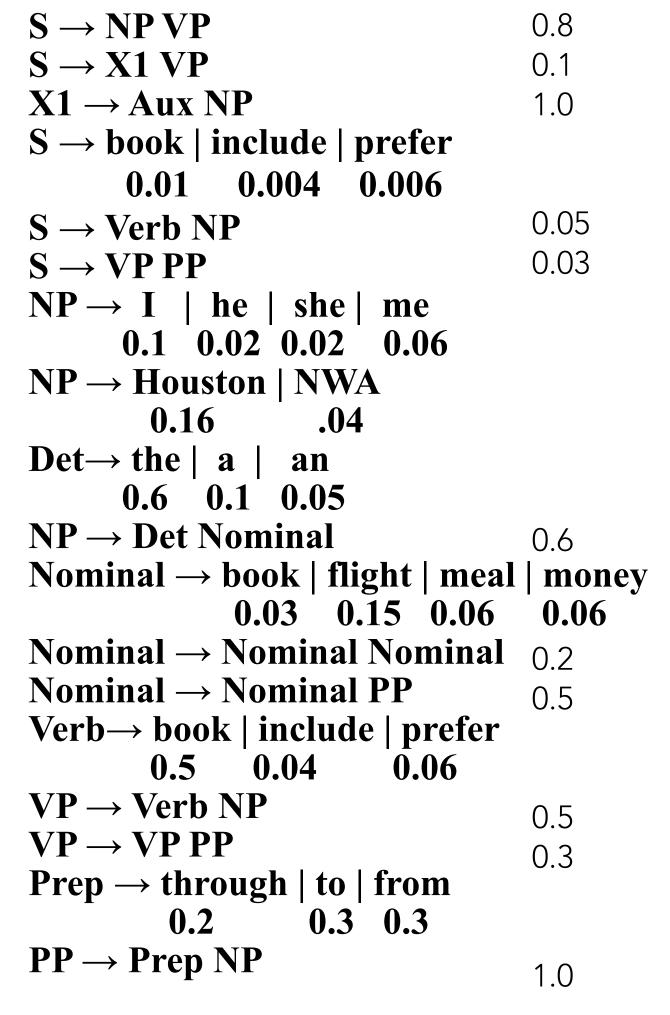
$$T[i,j,X] = \max_{k} \max_{r:X \to X1X2} T[i,k,X1] + T[k,j,X2] + \log P(X \to X1X2)$$

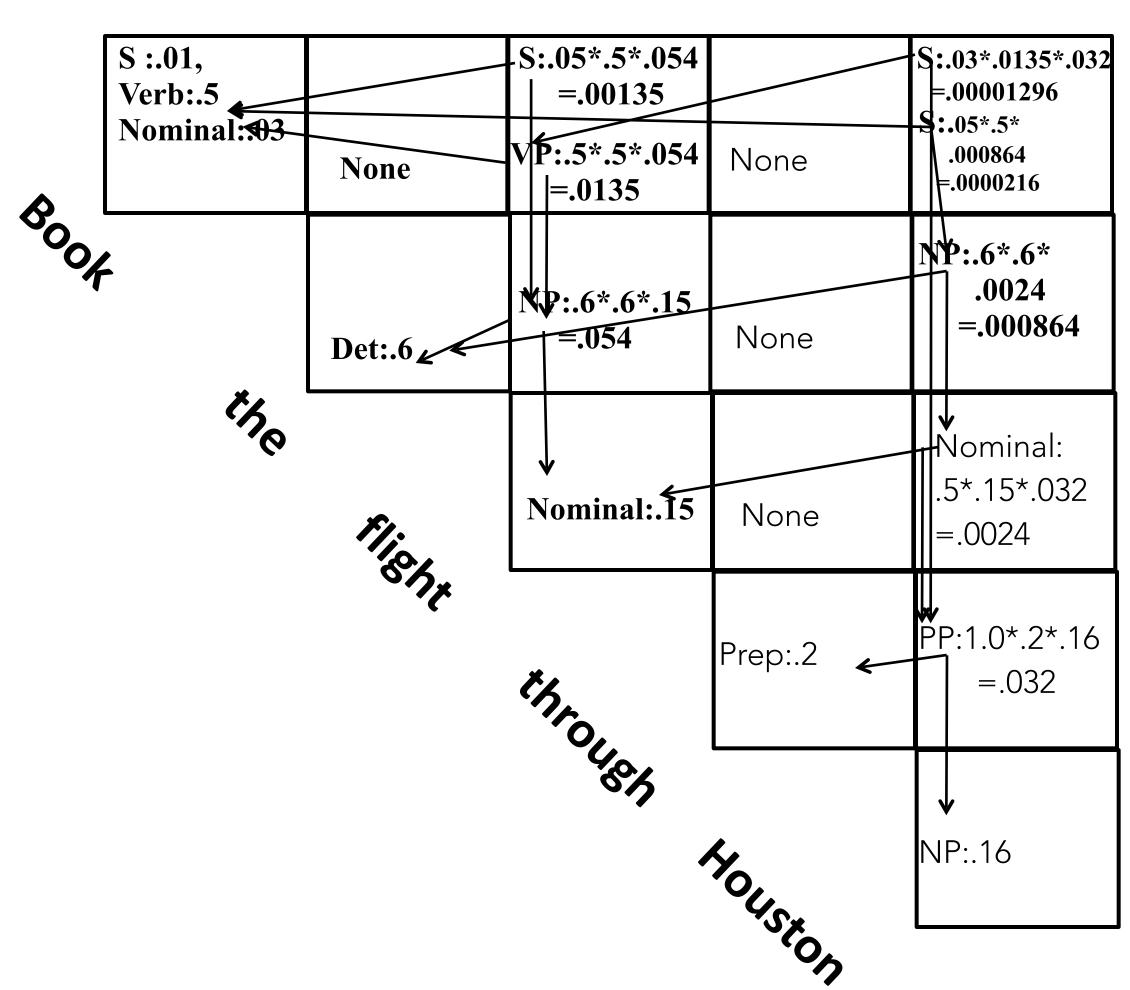


$$S[0,4] => NP[0,2] VP[2,4]$$



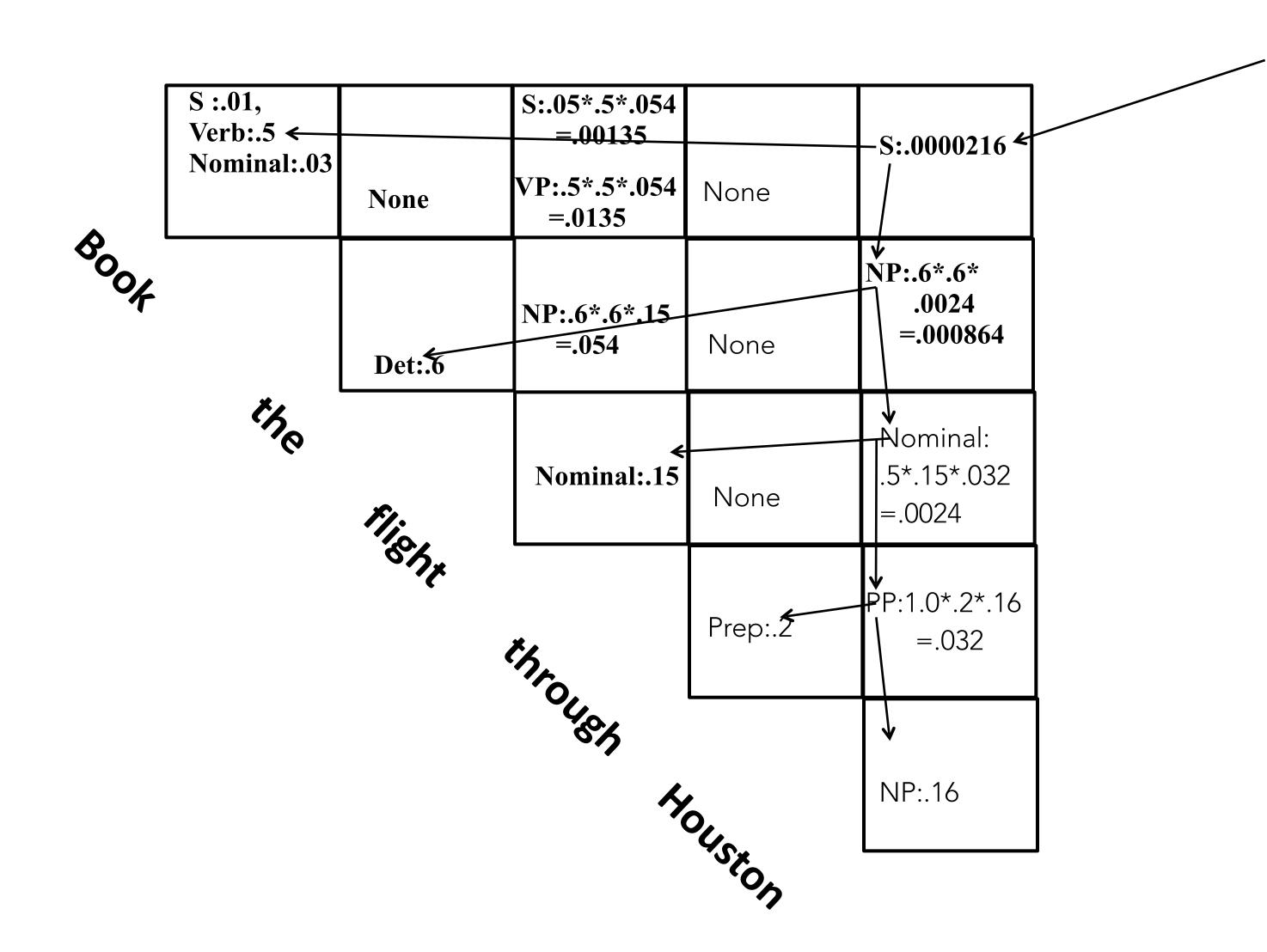
CKY [Example]







CKY [Example]

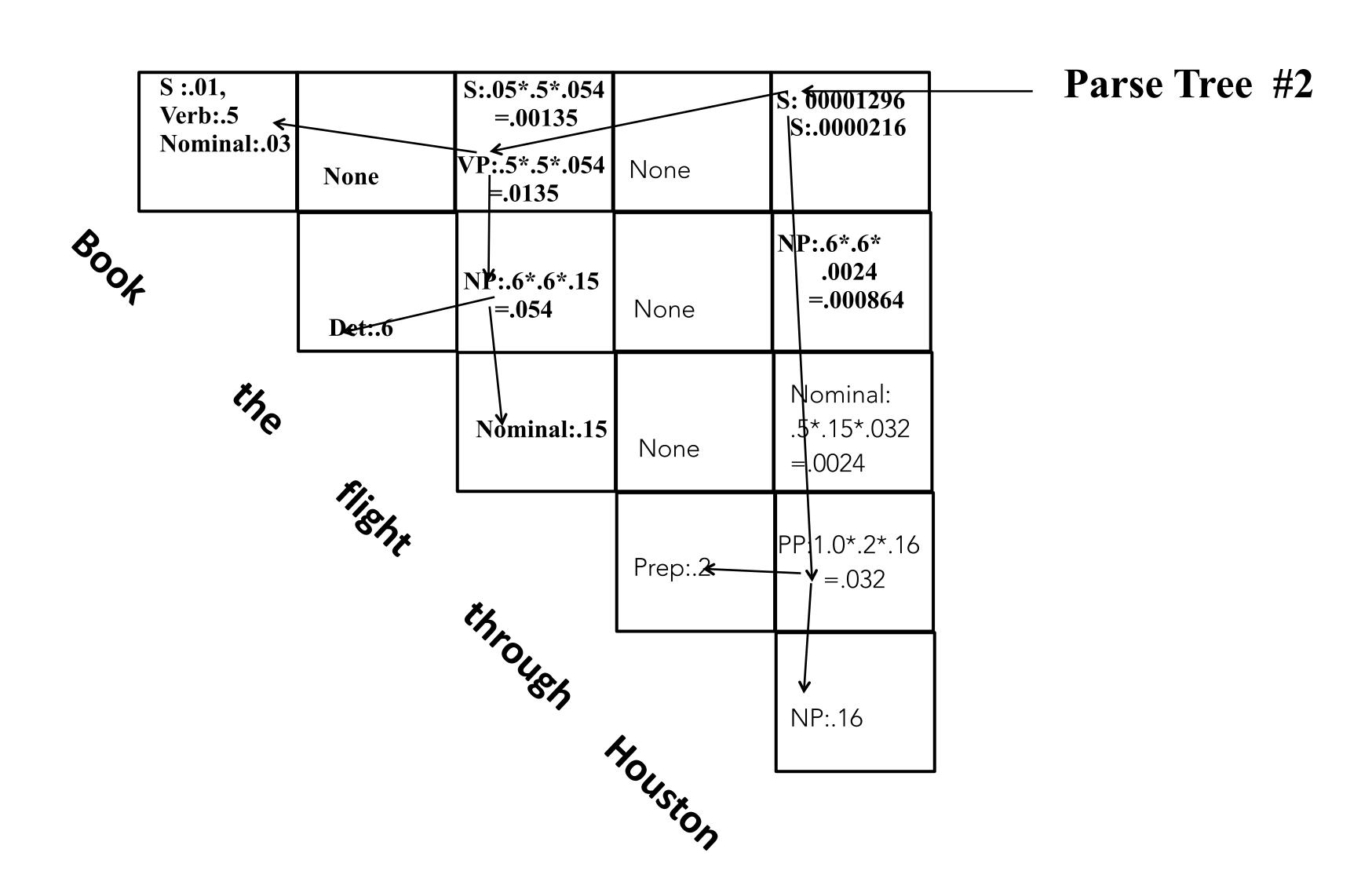


Parse Tree #1

Pick most probable parse, i.e. take max to combine probabilities of multiple derivations of each constituent in each cell.



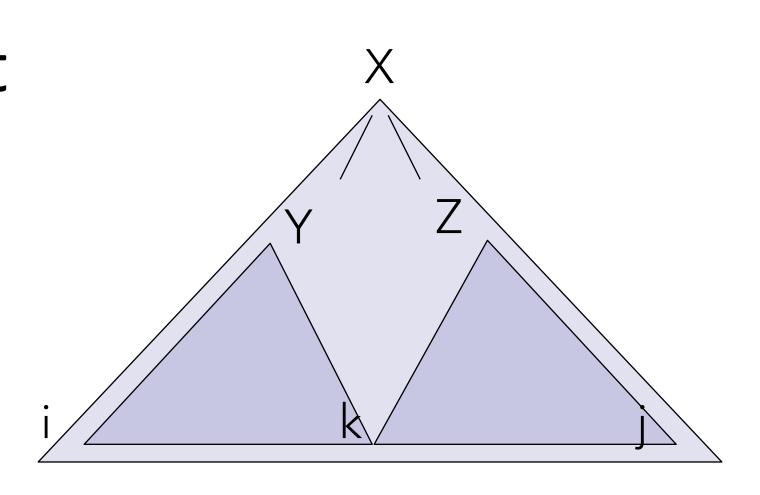
CKY [Example]





Efficiency?

- Runtime: $O(n^{3*}|R|)$ R = grammar constant
 - For each length (<= n)</p>
 - For each i (<= n)</pre>
 - For each split point k
 - For each rule X \rightarrow Y Z
 - » Do constant work
- Memory:
 - Need to store score caches
 - Cache size: |Symbols | * n²



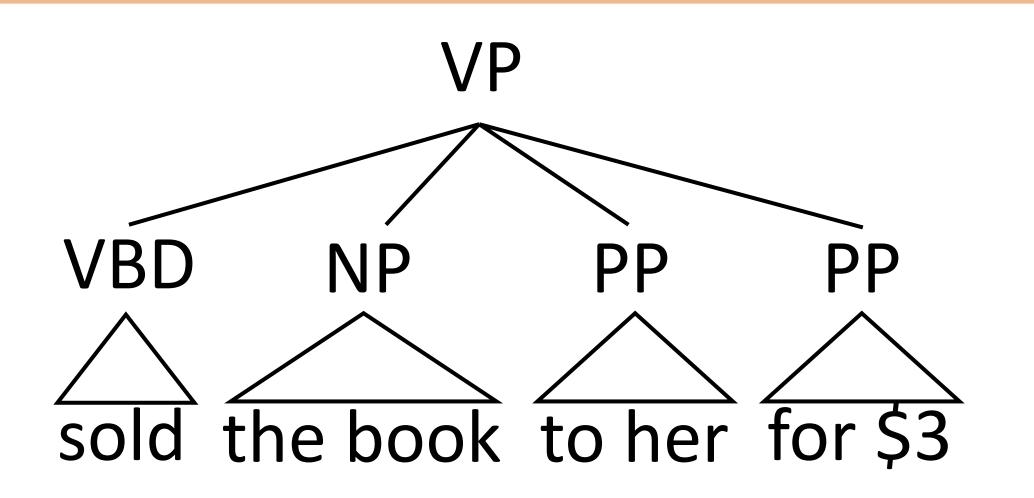


Efficiency?

- Can we keep N-ary (N>2) rules and still do dynamic programming?
- Can we keep unary rules and still do dynamic programming?
- ▶ Binary trees over n words have at most n-1 nodes, but you can have unlimited numbers of nodes with unaries (S \rightarrow SBAR \rightarrow NP \rightarrow S \rightarrow ...)
- We introduce Chomsky Normal Form (CNF):
 - ightharpoonup All rules are either X \rightarrow Y Z or X \rightarrow w
 - Rewriting PCFG grammar into equivalent CNF is possible



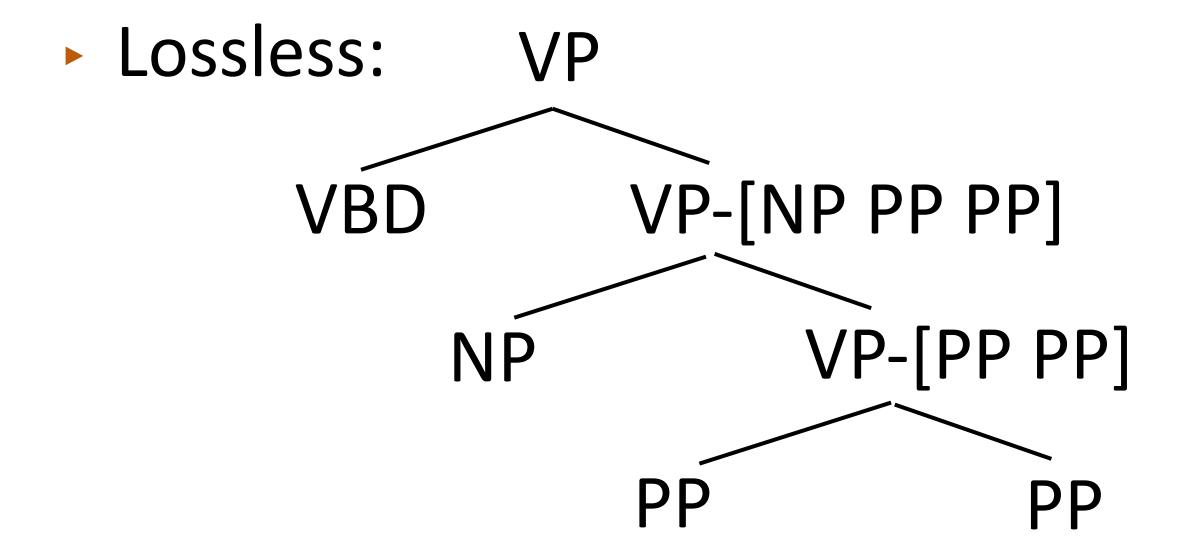
Binarization

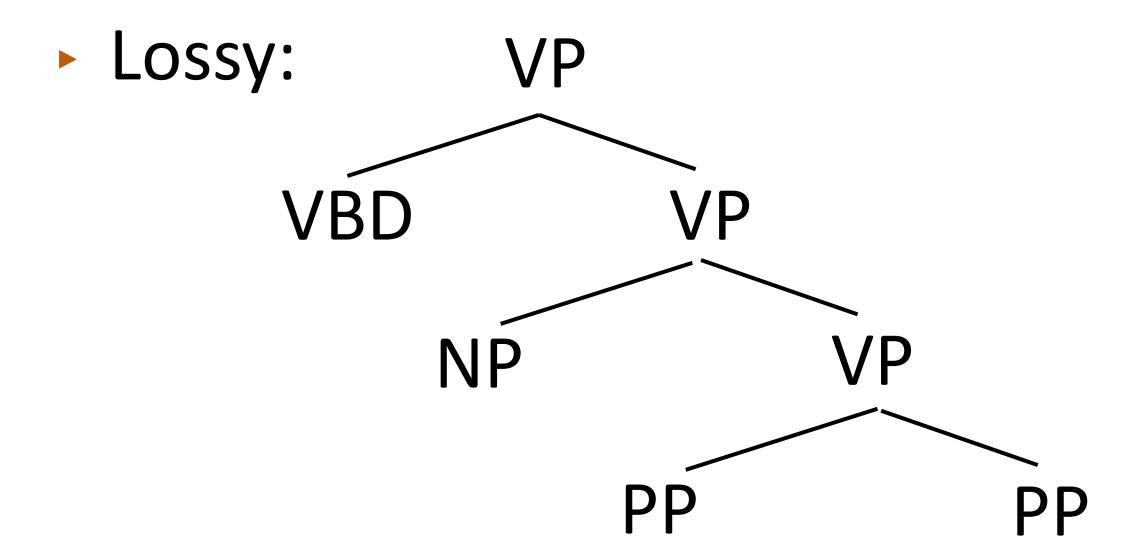


$$P(VP \rightarrow VBD NP PP PP) = 0.2$$

$$P(VP \rightarrow VBZ PP) = 0.1$$

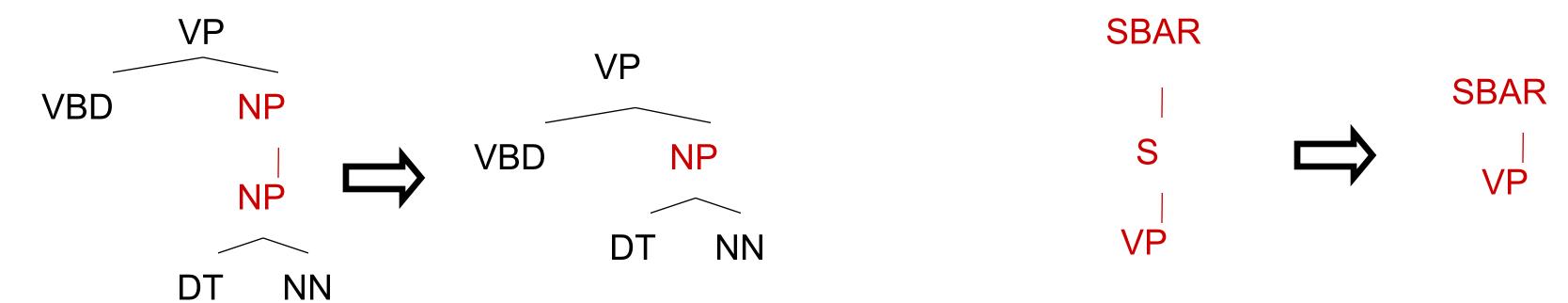
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Unary Rules

Enforce at most one unary over each span by modifying grammar



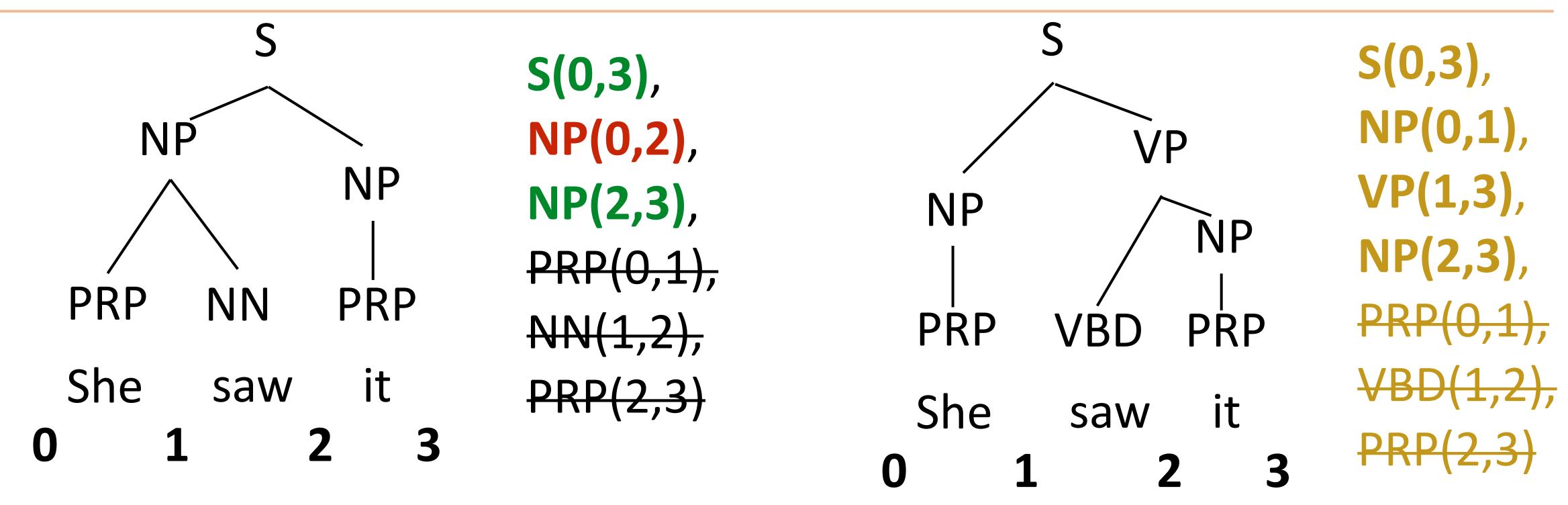
Compute unary closure: if there is a rule chain

$$X \to Y_1, Y_1 \to Y_2, \dots, Y_k \to Y$$
, add
$$q(X \to Y) = q(X \to Y_1) \times \dots \times q(Y_k \to Y)$$

In CKY: Update unary rule once after the binary rules



Parser Evaluation



- Precision: number of correct brackets / num pred brackets = 2/3
- Recall: number of correct brackets / num of gold brackets = 2/4
- F1: harmonic mean of precision and recall = $(1/2 * ((2/4)^{-1} + (2/3)^{-1}))^{-1}$ = 0.57



Results

- Standard dataset for English: Penn Treebank (Marcus et al., 1993)
 - Evaluation: F1 over labeled constituents of the sentence
- Vanilla PCFG: ~75 F1
- Best PCFGs for English: ~90 F1
- SOTA (discriminative models): 95 F1
- Other languages: results vary widely depending on annotation + complexity of the grammar



Lexicalized Parsers

Annotate each grammar symbol with its "head

word of t

All these work focuses on engineering grammar!

Rules for the last w preposition

Constituency parser is learned from maximum likelihood estimation (counting)

Alternative approach?

Collins an

tile lawye

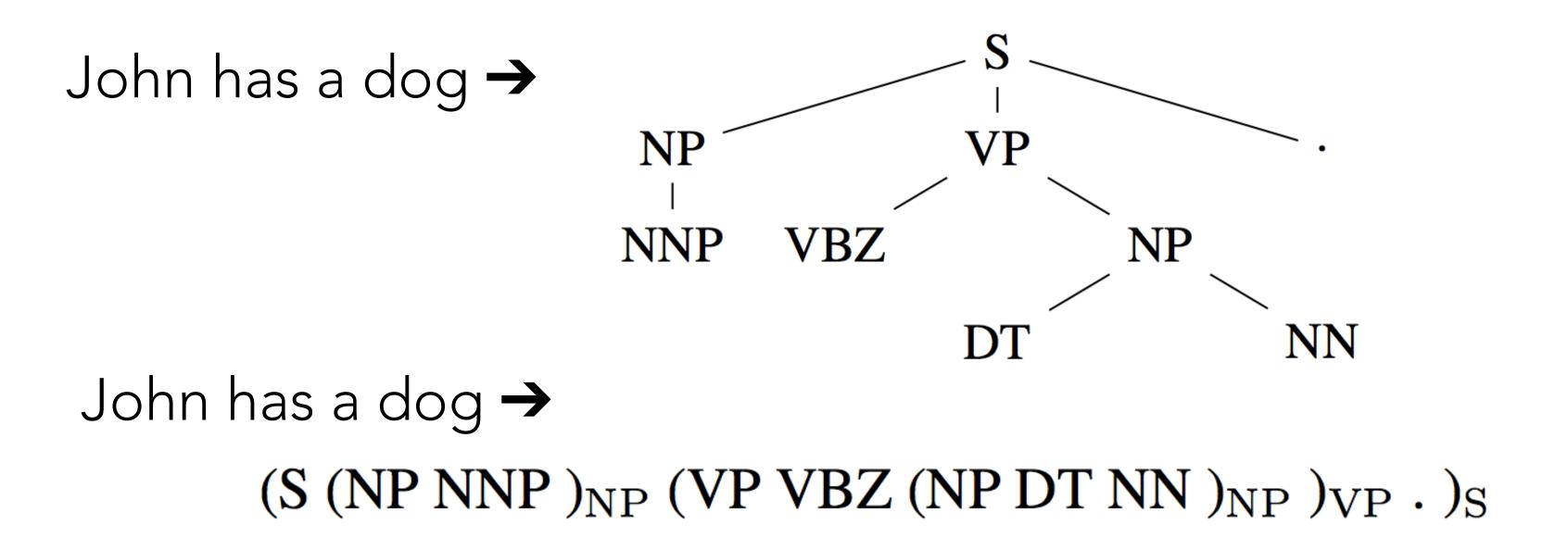
questioned DT(the) NN(witness) the witness

itness)



"Grammar as Foreign Language" (deep learning)

Vinyals et al., 2015

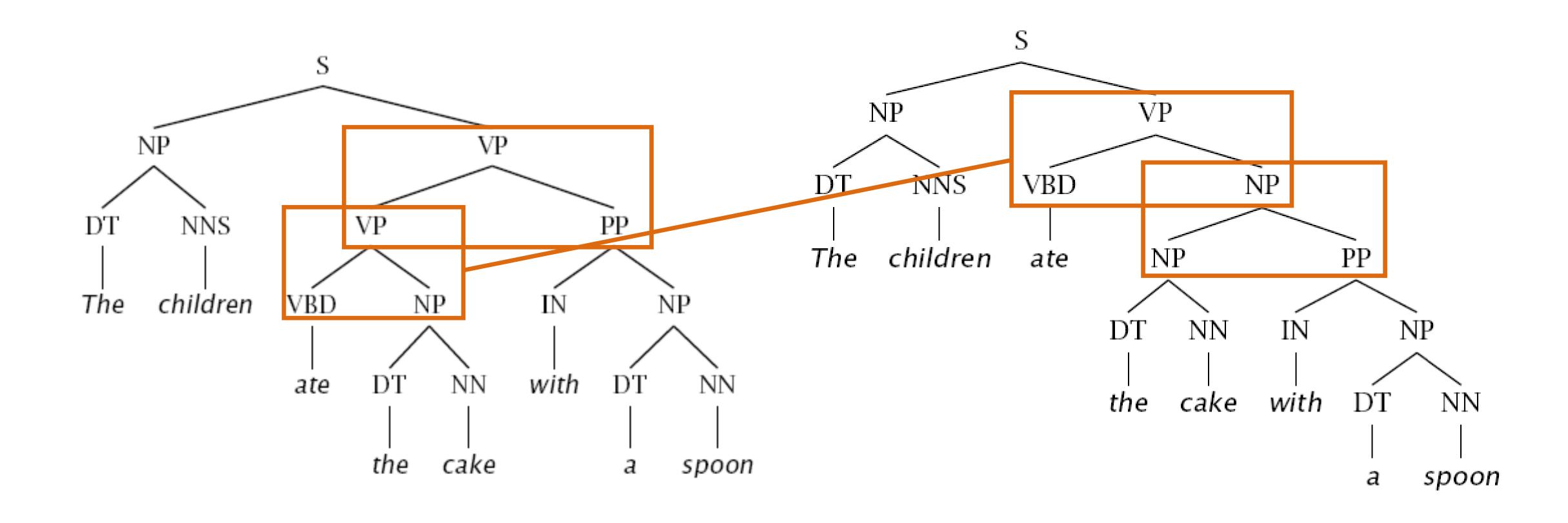


- Linearize parse trees into a sequence
- Then parsing becomes similar to machine translation, takes a sentence as an input sequence and output a parse tree sequence
- Data augmentation tricks, gets up to 92 F1



Dependency vs. Constituency: PP Attachment

Constituency: several rule productions need to change





Dependency vs. Constituency: PP Attachment

Dependency: one word (with) assigned a different parent

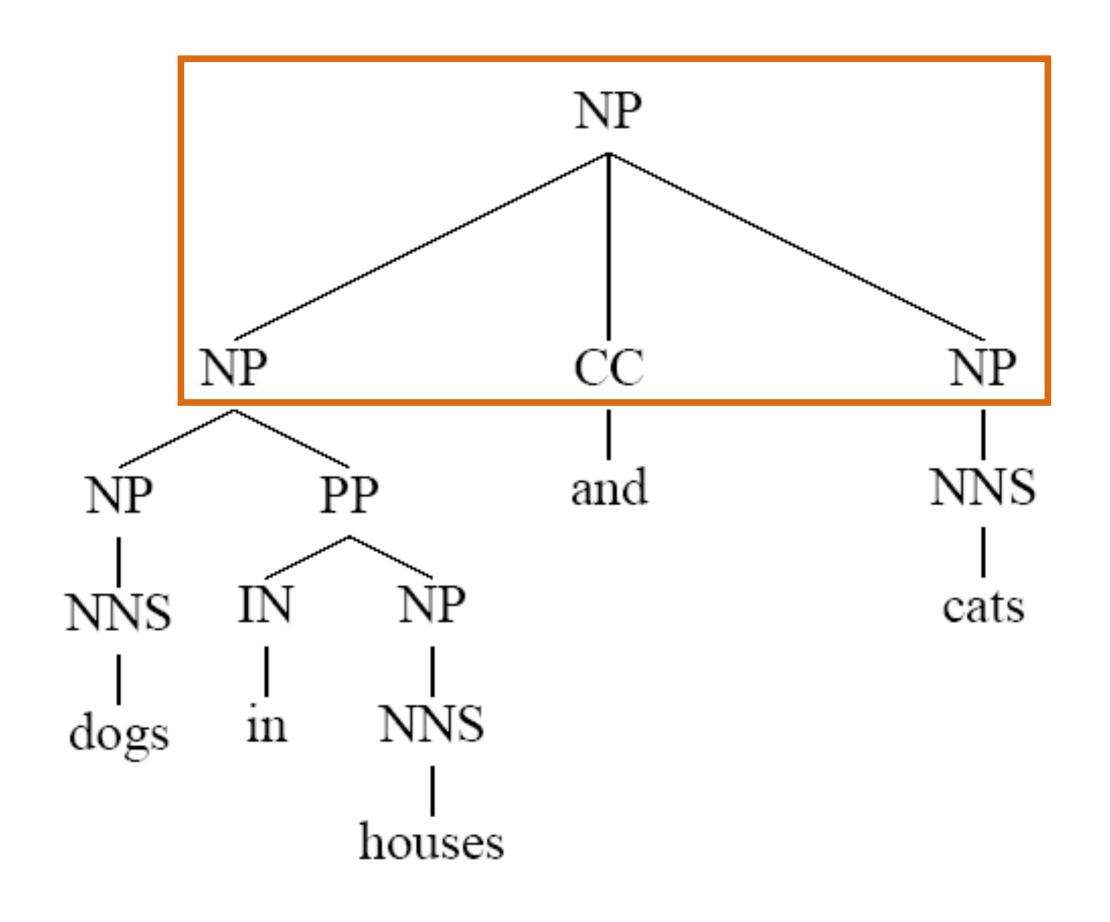


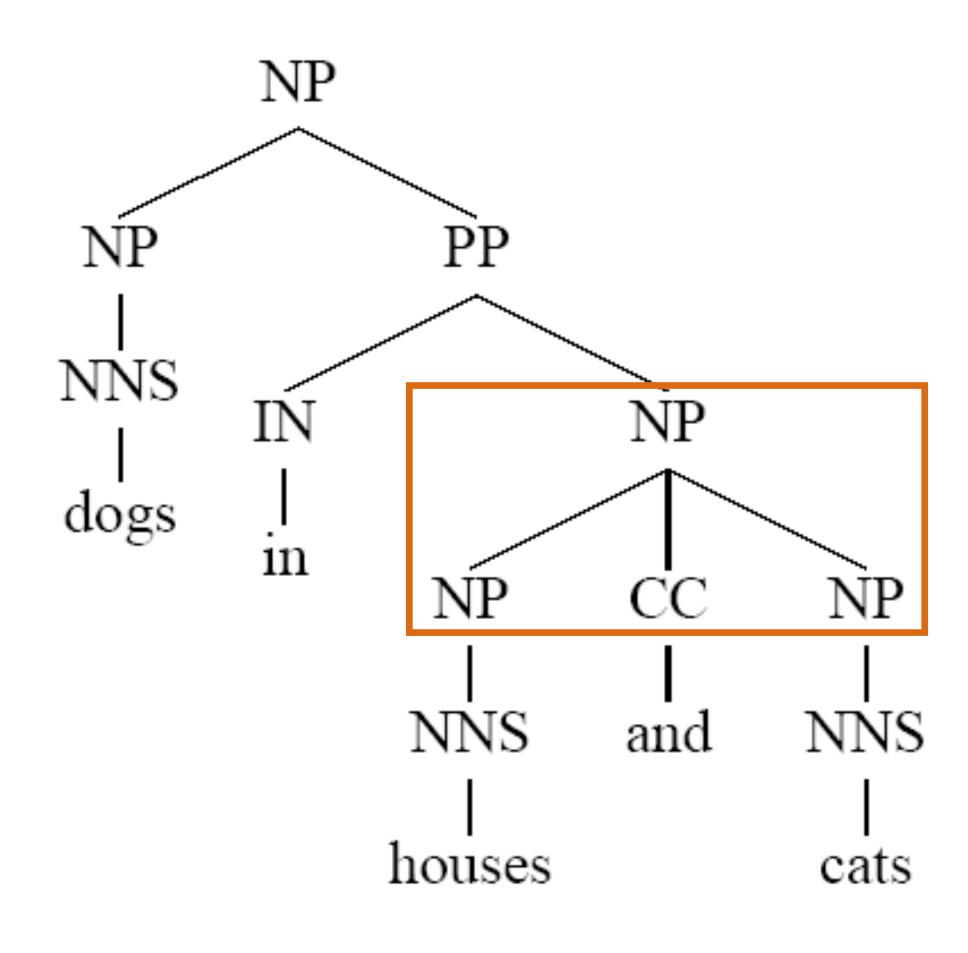
- More predicate-argument focused view of syntax
- "What's the main verb of the sentence? What is its subject and object?"
 - easier to answer under dependency parsing



Dependency vs. Constituency: Coordination

Constituency: ternary rule NP -> NP CC NP

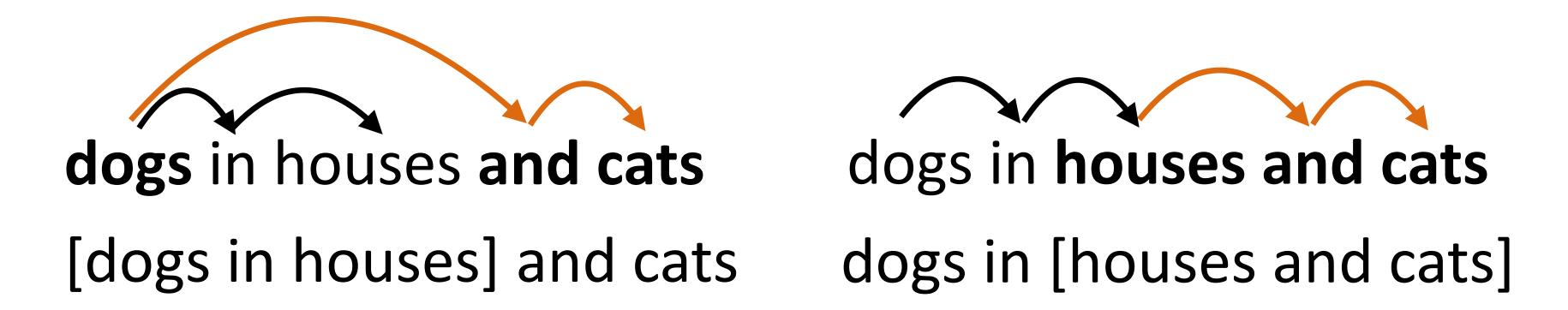






Dependency vs. Constituency: Coordination

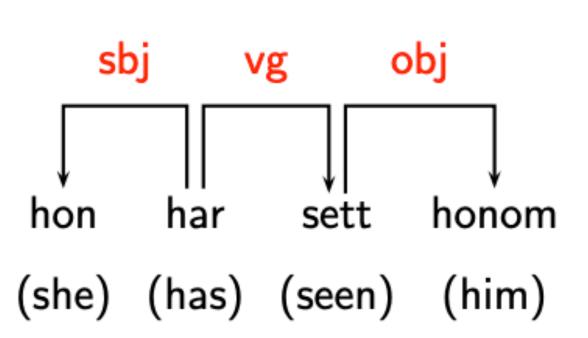
Dependency: first item is the head

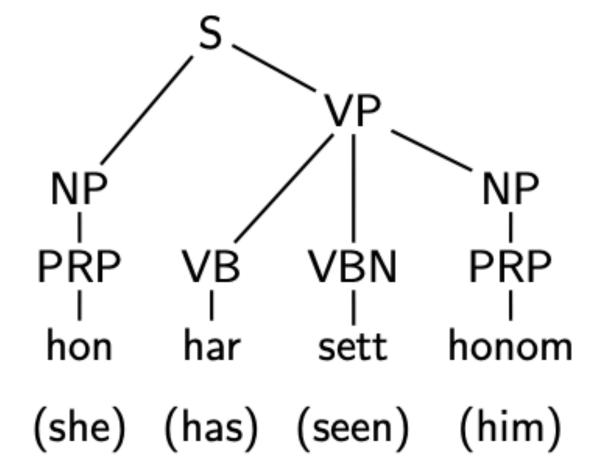


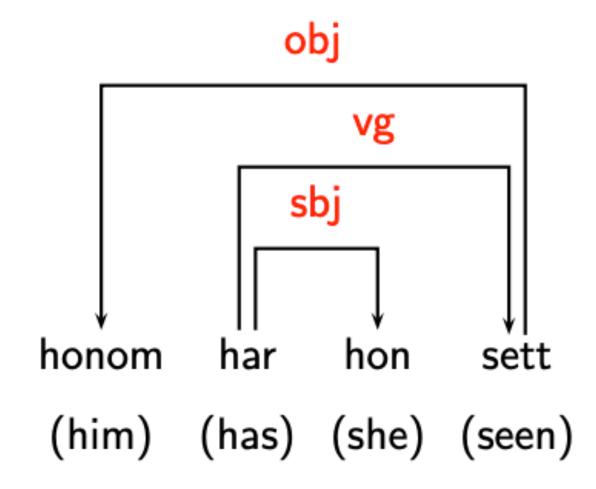
- Coordination is decomposed across a few arcs as opposed to being a single rule production as in constituency
- Can also choose and to be the head
- ► In both cases, headword doesn't really represent the phrase constituency representation makes more sense

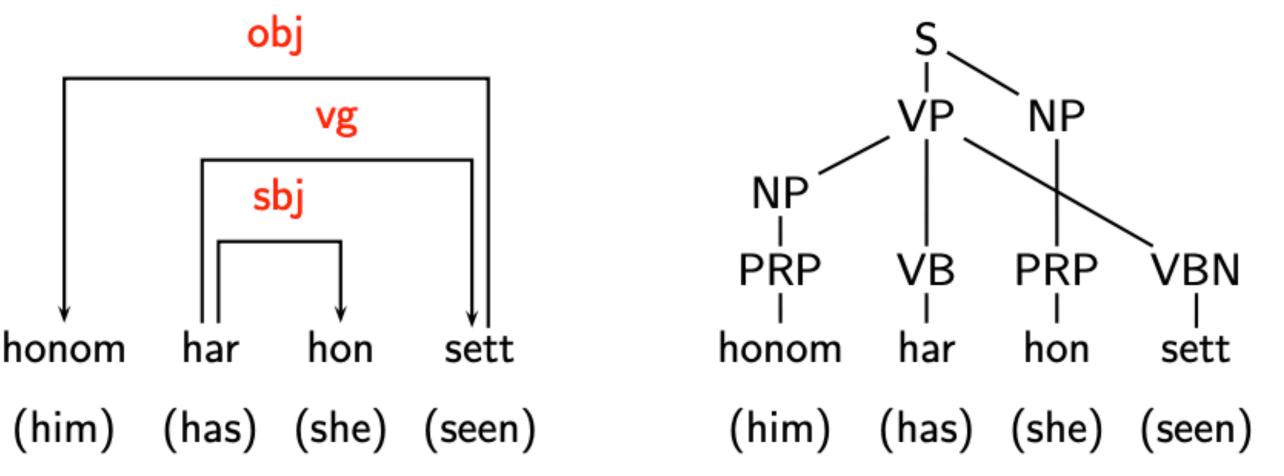


Advantages of dependency tree









Dependency vs. Constituency

 Dependency is often more useful in practice (models predicate argument structure)

 Dependency parsers are easier to build: no "grammar engineering", no unaries, easier to get structured discriminative models working well

Dependency parsers are usually faster (we will learn next class!)

Dependencies are more universal cross-lingually



Dependency vs. Constituency

- Constituency includes non-terminals, and their edges are not typed.
- Dependency types encode "grammatical roles".
- Can we get transform dependency parse into constituency parse? How about the other way around?
 - Mostly yes, with some caveats
 - Dependency parse can capture non-projective dependencies, while constituency parse cannot
 - Mapping from constituency to dependency edges and head is heuristic, somewhat lossy.