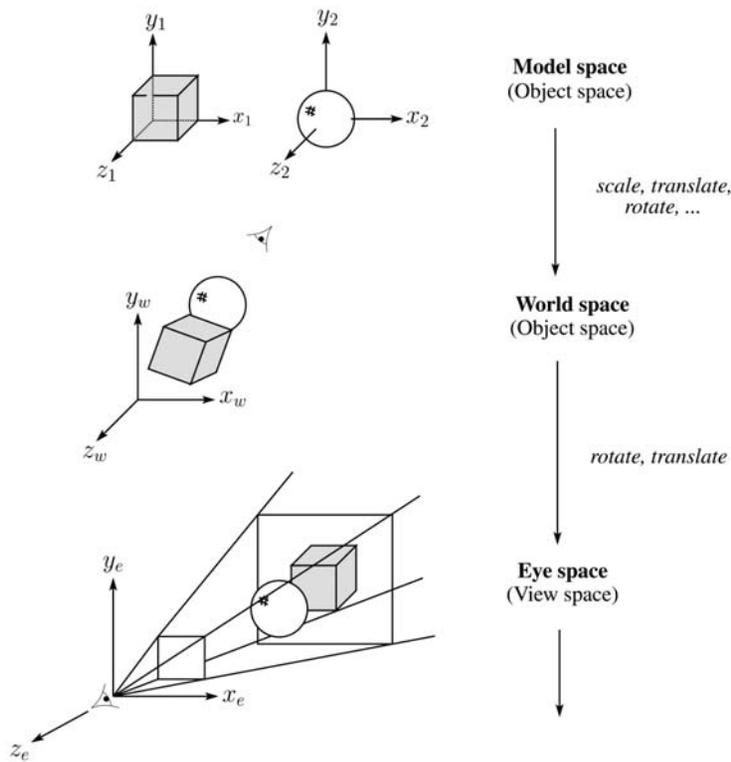# 18. Projections and Z-buffers

Reading

Required:

- Watt, Section 5.2.2 – 5.2.4, 6.3, 6.6 (esp. intro and subsections 1, 4, and 8–10),

Further reading:
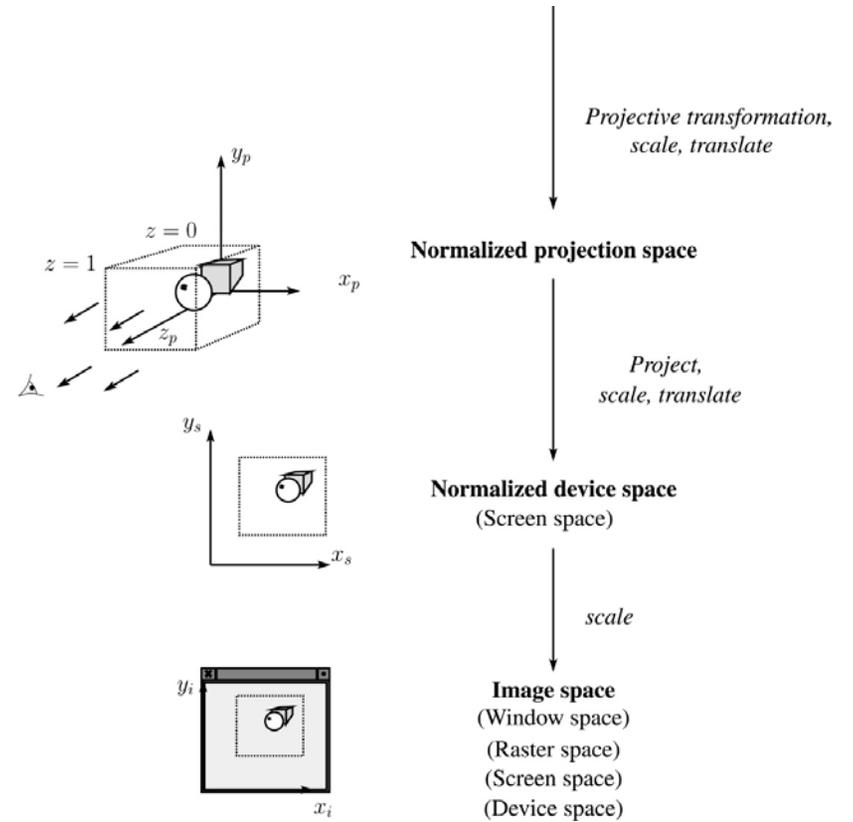
- Foley, et al, Chapter 5.6 and Chapter 6
- David F. Rogers and J. Alan Adams, *Mathematical Elements for Computer Graphics*, 2nd Ed., McGraw-Hill, New York, 1990, Chapter 2.
- I. E. Sutherland, R. F. Sproull, and R. A. Schumacker, A characterization of ten hidden surface algorithms, *ACM Computing Surveys* 6(1): 1-55, March 1974.

3D Geometry Pipeline

Before being turned into pixels by graphics hardware, a piece of geometry goes through a number of transformations...

Model space
(Object space)

scale, translate, rotate, ...

World space
(Object space)

rotate, translate

Eye space
(View space)

3D Geometry Pipeline (cont'd)

Projective transformation, scale, translate

Normalized projection space

Project, scale, translate

Normalized device space
(Screen space)

scale

Image space
(Window space)
(Raster space)
(Screen space)
(Device space)

Projections

Projections transform points in *n*-space to *m*-space, where *m<n*.

In 3-D, we map points from 3-space to the **projection plane** (PP) along **projectors** emanating from the **center of projection** (COP):
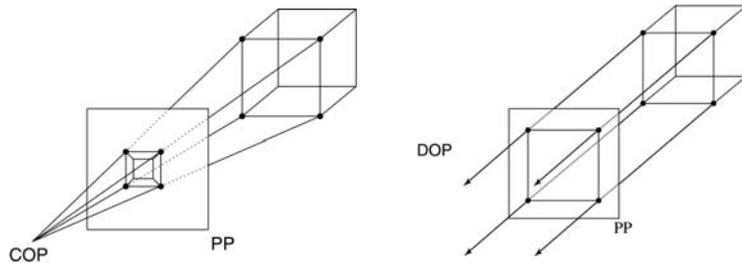
The center of projection is exactly the same as the pinhole in a pinhole camera.

There are two basic types of projections:

- Perspective – distance from COP to PP finite
- Parallel – distance from COP to PP infinite

Parallel projections

For parallel projections, we specify a **direction of projection** (DOP) instead of a COP.

There are two types of parallel projections:

- **Orthographic projection** – DOP perpendicular to PP
- **Oblique projection** – DOP not perpendicular to PP

We can write orthographic projection onto the *z=0* plane with a simple matrix.

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

But normally, we do not drop the z value right away. Why not?

Properties of parallel projection

Properties of parallel projection:

- ◆ Not realistic looking
- ◆ Good for exact measurements
- ◆ Are actually a kind of affine transformation
  - • Parallel lines remain parallel
  - • Angles not (in general) preserved
- ◆ Most often used in CAD, architectural drawings, etc., where taking exact measurement is important

Derivation of perspective projection

Consider the projection of a point onto the projection plane:

$$\frac{x'}{x} = \frac{d}{z}$$
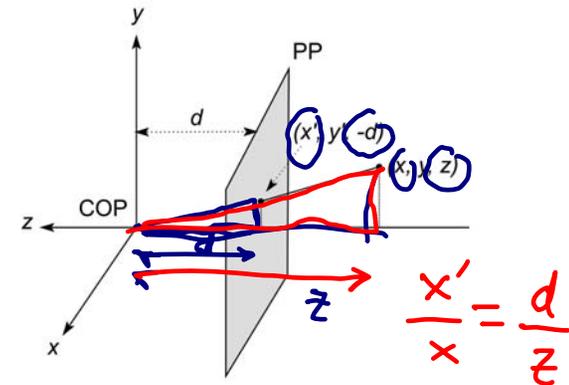
By similar triangles, we can compute how much the *x* and *y* coordinates are scaled:

$$x' = \frac{d}{z} x$$

$$y' = \frac{d}{z} y$$

[Note: Watt uses a left-handed coordinate system, and he looks down the +*z* axis, so his PP is at +*d*.]

Homogeneous coordinates revisited

Remember how we said that affine transformations work with the last coordinate always set to one.

What happens if the coordinate is not one?

We divide all the coordinates by w:

$$\begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix} \rightarrow \begin{bmatrix} x/w \\ y/w \\ z/w \\ 1 \end{bmatrix}$$

If $w = 1$, then nothing changes.

Sometimes we call this division step the "perspective divide."

Homogeneous coordinates and perspective projection

Now we can re-write the perspective projection as a matrix equation:

$$\begin{bmatrix} x' \\ y' \\ w' \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1/d & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x \\ y \\ -z/d \end{bmatrix}$$

After division by w, we get:

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} -\dfrac{x}{z}d \\ -\dfrac{y}{z}d \\ 1 \end{bmatrix}$$

Again, projection implies dropping the z coordinate to give a 2D image, but we usually keep it around a little while longer.

Projective normalization

After applying the perspective transformation and dividing by *w*, we are free to do a simple parallel projection to get the 2D image.
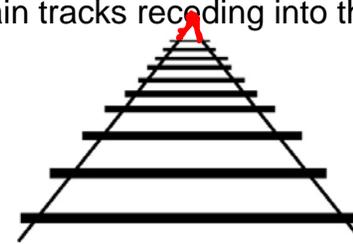
What does this imply about the shape of things after the perspective transformation + divide?
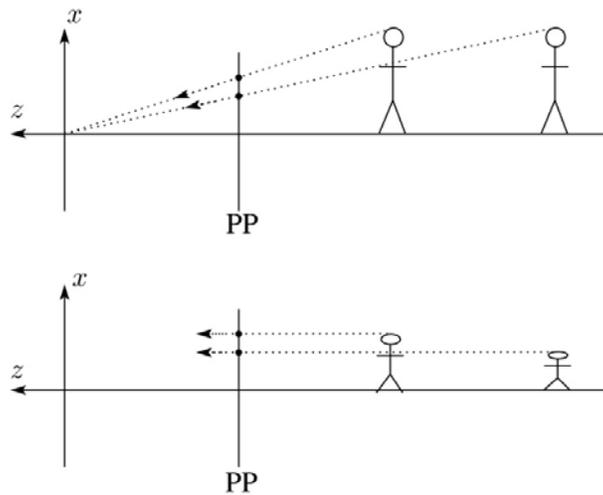
Vanishing points

What happens to two parallel lines that are not parallel to the projection plane?

Think of train tracks receding into the horizon...

The equation for a line is:

$$\mathbf{l} = \mathbf{p} + t\mathbf{v} = \begin{bmatrix} p_x \\ p_y \\ p_z \\ 1 \end{bmatrix} + t \begin{bmatrix} v_x \\ v_y \\ v_z \\ 0 \end{bmatrix}$$

After perspective transformation we get:

$$\begin{bmatrix} x' \\ y' \\ w' \end{bmatrix} = \begin{bmatrix} p_x + t v_x \\ p_y + t v_y \\ -(p_z + t v_z)/d \end{bmatrix}$$

Vanishing points (cont'd)

Dividing by w:

$$\begin{bmatrix} x' \\ y' \\ w' \end{bmatrix} = \begin{bmatrix} -\dfrac{p_x + tv_x}{p_z + tv_z} d \\ -\dfrac{p_y + tv_y}{p_z + tv_z} d \\ 1 \end{bmatrix}$$

Letting t go to infinity:

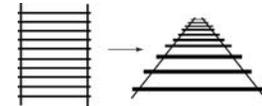$$\left(\frac{v_x}{v_z} , \frac{v_y}{v_z} \right)$$

We get a point!

What happens to the line $\mathbf{l} = \mathbf{q} + t\mathbf{v}$?

Each set of parallel lines intersect at a **vanishing point** on the PP.

Q: How many vanishing points are there?

Properties of perspective projections

The perspective projection is an example of a **projective transformation**.

Here are some properties of projective transformations:

- ◆ Lines map to lines
- ◆ Parallel lines do <u>not</u> necessarily remain parallel
- ◆ Ratios are <u>not</u> preserved

One of the advantages of perspective projection is that size varies inversely with distance – looks realistic.

A disadvantage is that we can't judge distances as exactly as we can with parallel projections.

Q: Why did nature give us eyes that perform perspective projections?

Q: Do our eyes "see in 3D"?

Z-buffer

We can use projections for **hidden surface elimination**.

The **Z-buffer**' or **depth buffer** algorithm [Catmull, 1974] is probably the simplest and most widely used of these techniques.

Here is pseudocode for the Z-buffer hidden surface algorithm:

> for each pixel *(i,j)* **do**
>> Z-buffer *[i,j]* ← *FAR*
>>
>> *Framebuffer[i,j]* ← <background color>
>
> **end for**
> **for** each polygon *A* **do**
>> **for** each pixel in *A* **do**
>>> Compute depth *z* and shade *s* of *A* at *(i,j)*
>>>
>>> **if** *z* > *Z-buffer [i,j]* **then**
>>>> *Z-buffer [i,j]* ←   *z*
>>>>
>>>> *Framebuffer[i,j]* ←   *s*
>>>
>>> **end if**
>>
>> **end for**
>
> **end for**

Z-buffer, cont'd

The process of filling in the pixels inside of a polygon is called **rasterization**.

During rasterization, the *z* value and shade *s* can be computed incrementally (fast!).
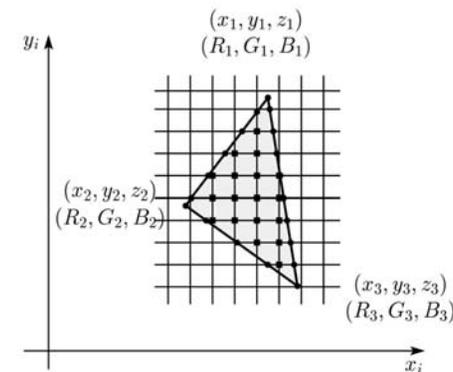
Curious fact:

- ◆ Described as the "brute-force image space algorithm" by [SSS]
- ◆ Mentioned only in Appendix B of [SSS] as a point of comparison for <u>huge</u> memories, but written off as totally impractical.

Today, Z-buffers are commonly implemented in hardware.

Ray tracing vs. Z-Buffer

Ray tracing:

```
for each ray {
  for each object {
      test for intersection
   }
}
```

Z-Buffer:

```
for each object {
project_onto_screen;
 for each ray {
    test for intersection
  }
}
```

In both cases, optimizations are applied
to the inner loop.

Biggest differences:
- ray order vs. object order
- Z-buffer does some work in screen space
- Z-buffer restricted to rays from a single
  center of projection!

Gouraud vs. Phong interpolation

Does Z-buffer graphics hardware do a full shading
calculation at every point? Not in the past, but
this has changed in the last three years!

Smooth surfaces are often approximated by
polygonal facets, because:

- ◆ Graphics hardware generally wants polygons
  (esp. triangles).
- ◆ Sometimes it easier to write ray-surface
  intersection algorithms for polygonal models.

How do we compute the shading for such a
surface?

Faceted shading

Assume each face has a constant normal:
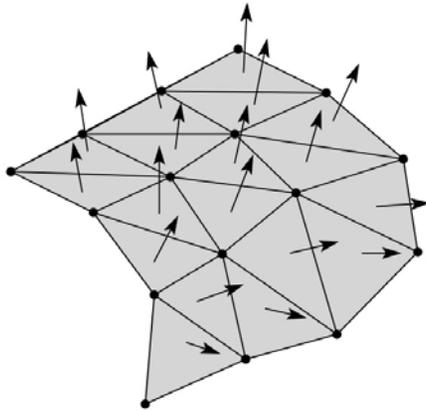
For a distant viewer and a distant light source, how will the color of each triangle vary?

Result: faceted, not smooth, appearance.

Gouraud interpolation

To get a smoother result that is easily performed in hardware, we can do **Gouraud interpolation**.
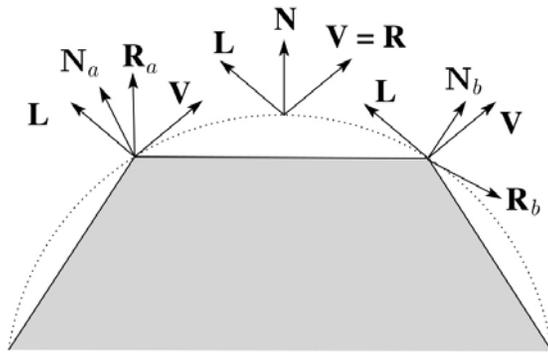
Here's how it works:

1. Compute normals at the vertices.
2. Shade only the vertices.
3. Interpolate the resulting vertex colors.
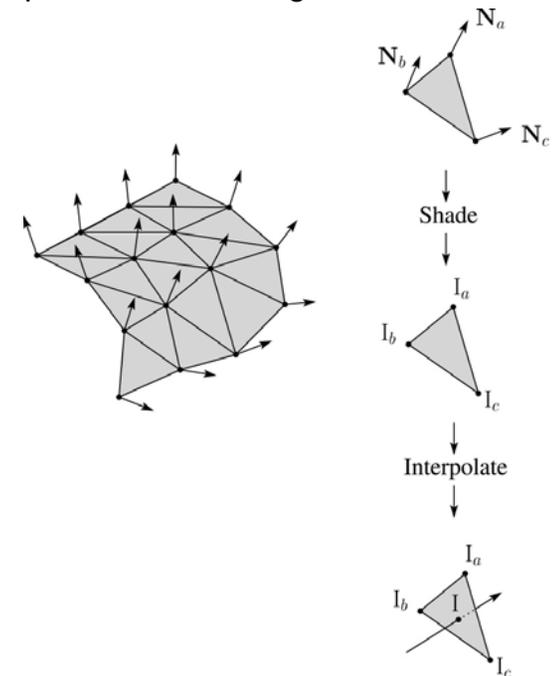
Gouraud interpolation, cont'd

Gouraud interpolation has significant limitations.

1. If the polygonal approximation is too coarse, we can miss specular highlights.

2. We will encounter **Mach banding** (derivative discontinuity enhanced by human eye).

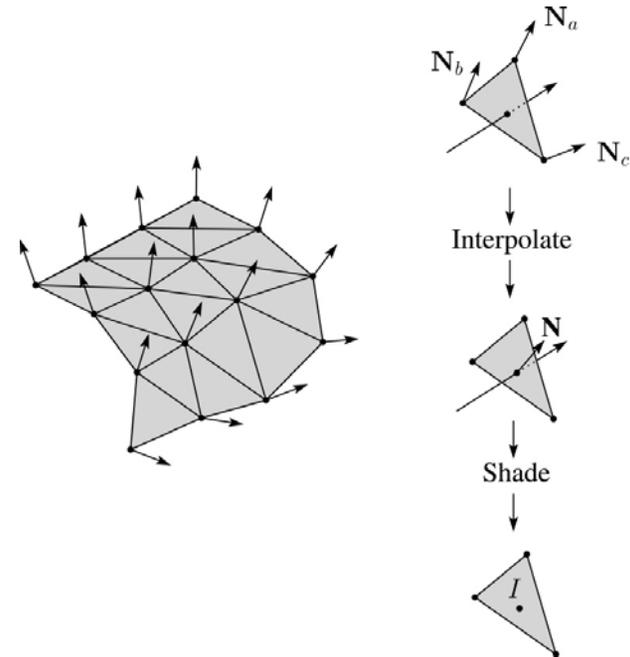Alas, this is usually what graphics hardware supported until very recently.

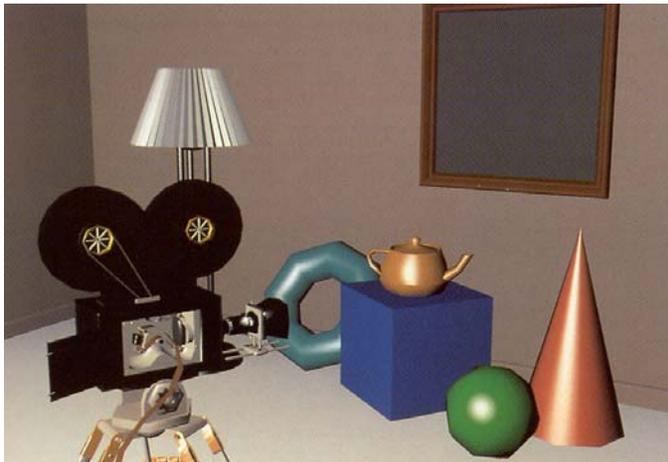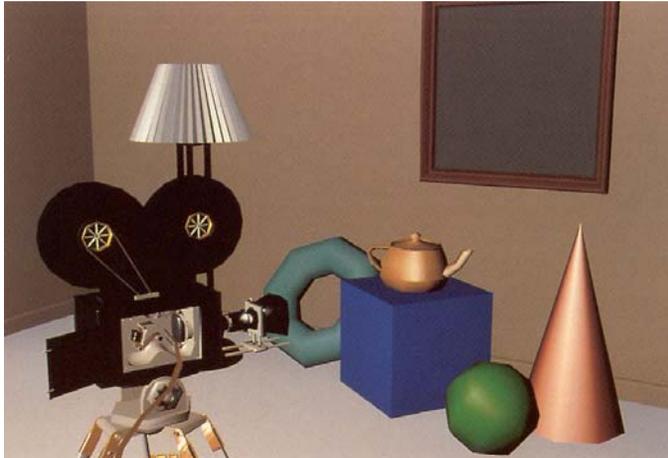But new graphics hardware supports…

Phong interpolation

To get an even smoother result with fewer artifacts, we can perform **Phong *interpolation***.

Here's how it works:

1. Compute normals at the vertices.
2. Interpolate normals and normalize.
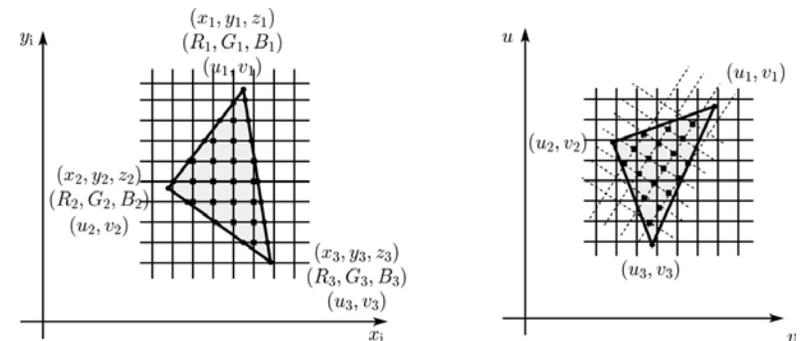3. Shade using the interpolated normals.

Gouraud vs. Phong interpolation

Texture mapping and the z-buffer

Texture-mapping can also be handled in z-buffer algorithms.

Method:

- ◆ Scan conversion is done in screen space, as usual
- ◆ Each pixel is colored according to the texture
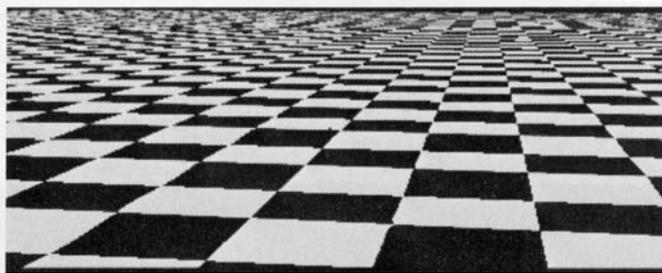- ◆ Texture coordinates are found by Gouraud-style interpolation

Note: Mapping is more complicated if you want to do perspective right!
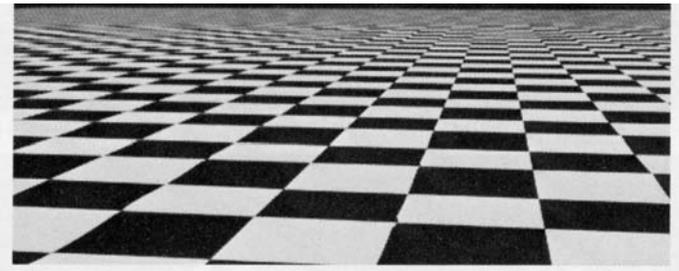
- linear in world space != linear in screen space

Antialiasing textures

If you render an object with a texture map using point-sampling, you can get aliasing:

From Crow, SIGGRAPH '84

Proper antialiasing requires area averaging over pixels:

From Crow, SIGGRAPH '84

In some cases, you can average directly over the texture pixels to do the anti-aliasing.
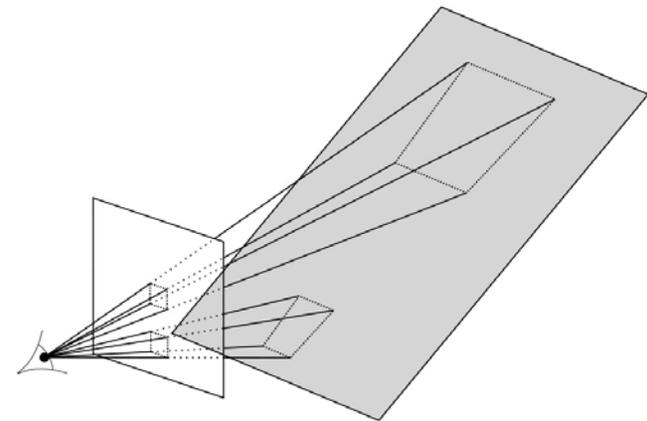
Computing the average color

The computationally difficult part is summing over the covered pixels.
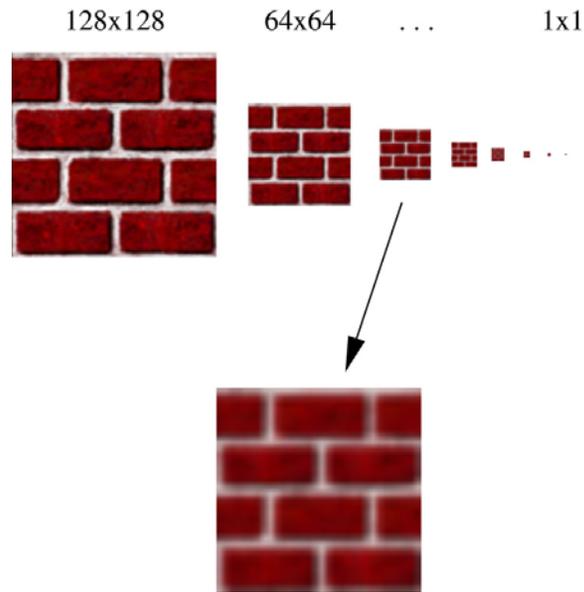
Several methods have been used.

The simplest is **brute force**:

- ◆ Figure out which texels are covered and add up their colors to compute the average.
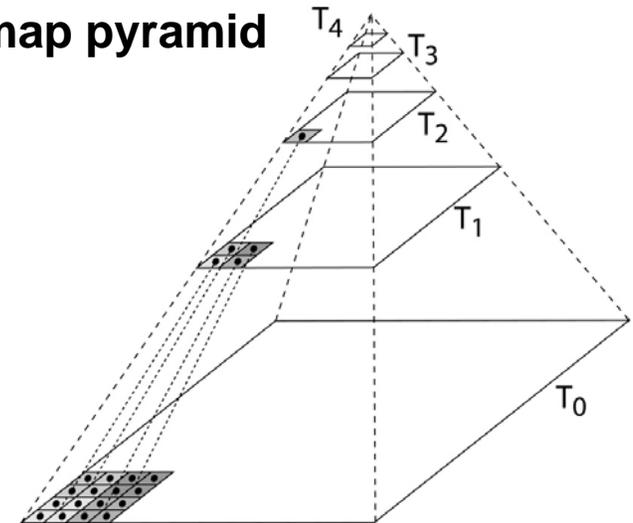
Mip maps

128x128   64x64   . . .   1x1

A faster method is **mip maps** developed by Lance Williams in 1983:

- Stands for "multum in parvo" – many things in a small place
- Keep textures prefiltered at multiple resolutions
- Has become the graphics hardware standard

Mip map pyramid

T_4 T_3 T_2 T_1 T_0
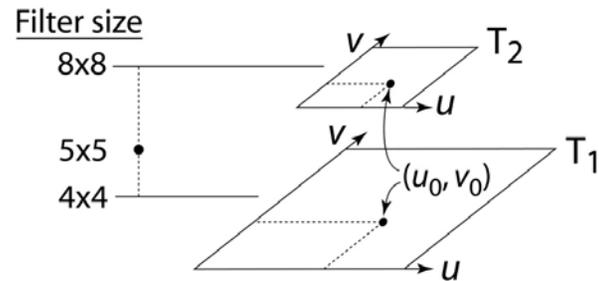
The mip map hierarchy can be thought of as an image pyramid:

- Level 0 ($T_0[i,j]$) is the original image.
- Level 1 ($T_1[i,j]$) averages over 2x2 neighborhoods of original.
- Level 2 ($T_2[i,j]$) averages over 4x4 neighborhoods of original
- Level 3 ($T_3[i,j]$) averages over 8x8 neighborhoods of original

What's a fast way to pre-compute the texture map for each level?

Mip map resampling

Filter size

What would the mip-map return for an average over a 5x5 neighborhood at location (u_0, v_0)?

How do we measure the fractional distance between levels?

What if you need to average over a non-square region?

Summed area tables

A more accurate method than mip maps is **summed area tables** invented by Frank Crow in 1984.

Recall from calculus:
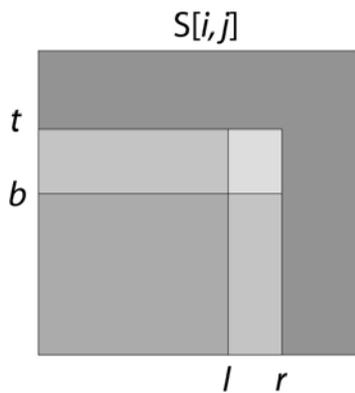$$\int_a^b f(x)dx = \int_{-\infty}^b f(x)dx - \int_{-\infty}^a f(x)dx$$

In discrete form:
$$\sum_{i=k}^m f[i] = \sum_{i=0}^m f[i] - \sum_{i=0}^k f[i]$$

Q: If we wanted to do this real fast, what might we pre-compute?

Summed area tables (cont'd)

We can extend this idea to 2D by creating a table, $S[i,j]$, that contains the sum of everything below and to the left.

$S[i,j]$

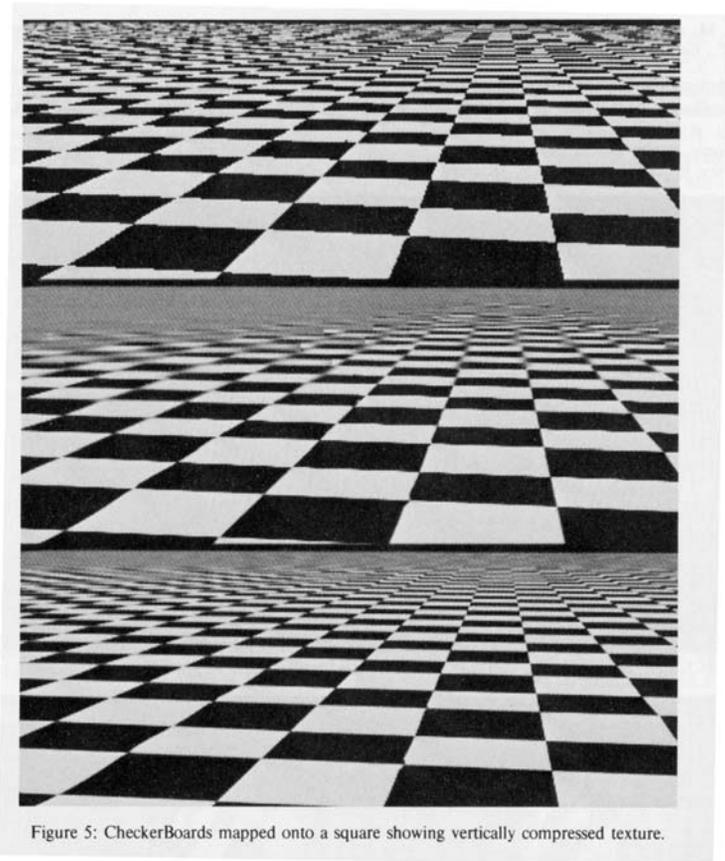**Q**: How do we compute the average over a region from (l, b) to (r, t)?

Characteristics:

* Requires more memory and precision
* Gives less blurry textures

Comparison of techniques

Point sampled

MIP-mapped

Summed area table

Figure 5: CheckerBoards mapped onto a square showing vertically compressed texture.

From Crow, SIGGRAPH '84

Cost of Z-buffering

Z-buffering is *the* algorithm of choice for hardware rendering (today), so let's think about how to make it run as fast as possible…

The steps involved in the Z-buffer algorithm are:

1. Send a triangle to the graphics hardware.
2. Transform the vertices of the triangle using the modeling matrix.
3. Transform the vertices using the projection matrix.
4. Set up for incremental rasterization calculations
5. Rasterize
   (generate "fragments" = potential pixels)
6. Shade at each fragment
7. Update the framebuffer according to *z.*

What is the overall cost of Z-buffering?

Cost of Z-buffering, cont'd

We can approximate the cost of this method as:

$$k_{bus}v_{bus} + k_{xform}v_{xform} + k_{setup}t + k_{shade}(dm^2)$$

Where:

k_{bus} = bus cost to send a vertex
v_{bus} = number of vertices sent over the bus
k_{xform} = cost of transforming a vertex
v_{xform} = number of vertices transformed
k_{setup} = cost of setting up for rasterization
t   = number of triangles being rasterized
k_{shade} = cost of shading a fragment
d   = depth complexity
       (average times a pixel is covered)
m^2 = number of pixels in frame buffer

Visibility tricks for Z-buffers

Given this cost function:

$$k_{bus}v_{bus} + k_{xform}v_{xform} + k_{setup}t + k_{shade}(dm^2)$$

what can we do to
accelerate Z-buffering?

Accel method	v_{bus}	v_{xform}	t	d	m	

Next class: Visual Perception

Topic:
How does the human visual system?
How do humans perceive color?
How do we represent color in computations?

Read:
• Glassner, Principles of Digital Image Synthesis,
  pp. 5-32. [Course reader pp.1-28]
• Watt , Chapter 15.
• Brian Wandell. Foundations of Vision. Sinauer
  Associates, Sunderland, MA, pp. 45-50 and
  69-97, 1995.
  [Course reader pp. 29-34 and pp. 35-63]