# CS388: Natural Language Processing Lecture 6: Neural Networks



Greg Durrett

#### Administrivia

- Mini 1 graded, posted on Canvas
  - Xi Ye (88.0 F1), Quang Duong (87.3 F1), Uday Kusupati (87.2 F1)
     6 students in the 86 range, rest are 85 or below
  - ▶ Test F1s << dev F1
  - Changing thresholds / imbalanced classification
  - POS/chunk features
  - ▶ Someone got 86.3 with only 7 features total, classifier is a dictionary
- Project 1 due in 9 days
  - Small bug fixed in BadNerModel (no impact on the code you write)

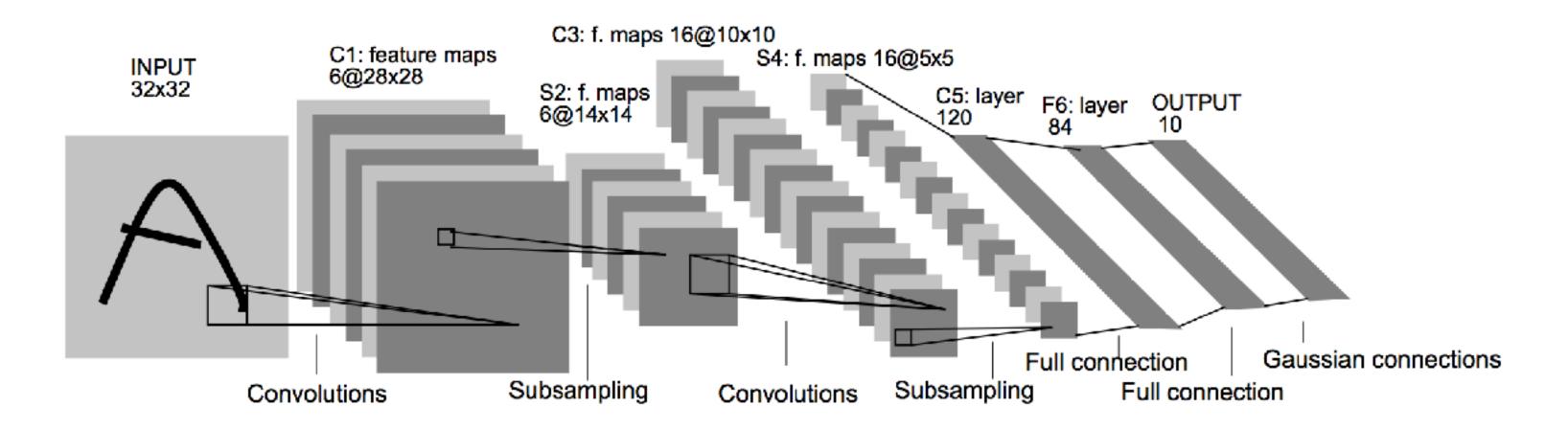
#### This Lecture

- Beam search: in a few lectures
- Neural network history
- Neural network basics
- Feedforward neural networks + backpropagation
- Applications
- Implementing neural networks (if time)

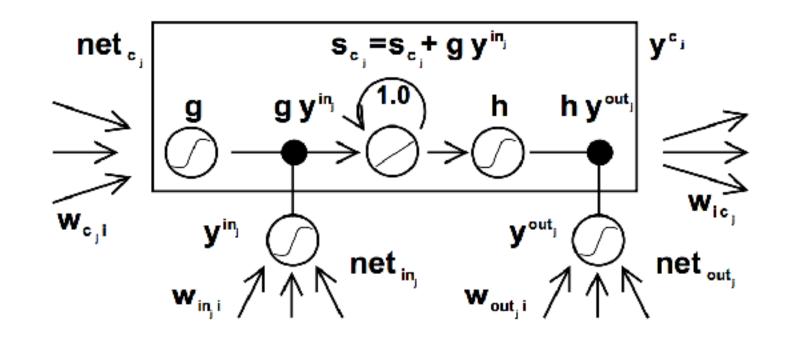


# History: NN "dark ages"

Convnets: applied to MNIST by LeCun in 1998



LSTMs: Hochreiter and Schmidhuber (1997)

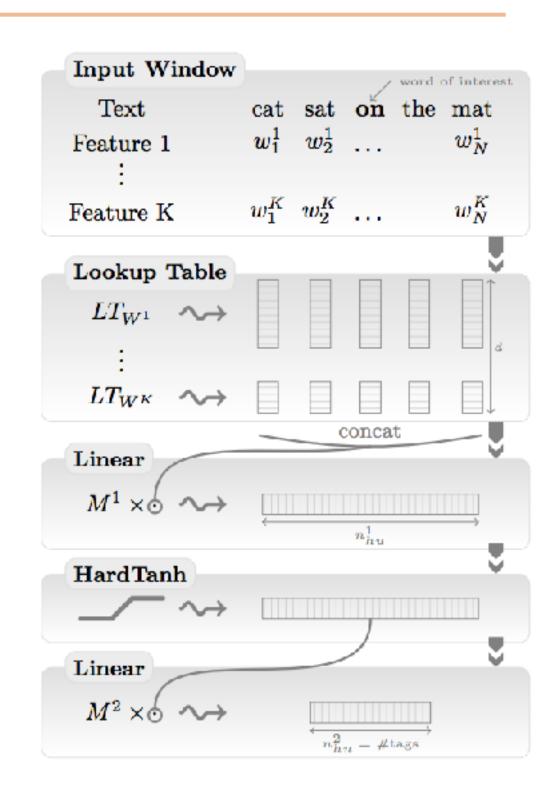


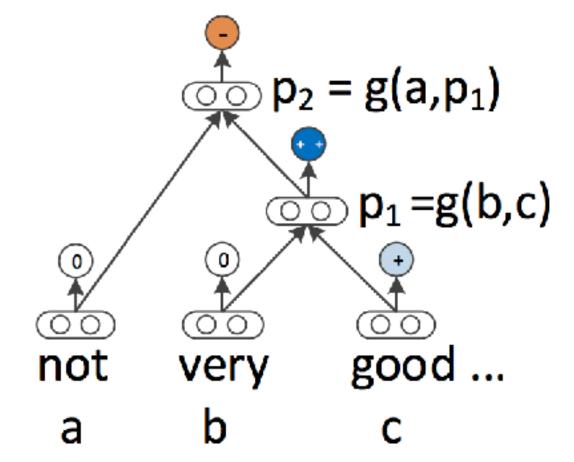
Henderson (2003): neural shift-reduce parser, not SOTA



# 2008-2013: A glimmer of light...

- Collobert and Weston 2011: "NLP (almost) from scratch"
  - Feedforward neural nets induce features for sequential CRFs ("neural CRF")
  - ▶ 2008 version was marred by bad experiments, claimed SOTA but wasn't, 2011 version tied SOTA
- Krizhevskey et al. (2012): AlexNet for vision
- Socher 2011-2014: tree-structured RNNs working okay







# 2014: Stuff starts working

- ► Kim (2014) + Kalchbrenner et al. (2014): sentence classification / sentiment (convnets work for NLP?)
- Sutskever et al. + Bahdanau et al.: seq2seq for neural MT (LSTMs work for NLP?)
- Chen and Manning transition-based dependency parser (even feedforward networks work well for NLP?)
- ▶ 2015: explosion of neural nets for everything under the sun



# Why didn't they work before?

- ▶ Datasets too small: for MT, not really better until you have 1M+ parallel sentences (and really need a lot more)
- ▶ Optimization not well understood: good initialization, per-feature scaling + momentum (Adagrad / Adadelta / Adam) work best out-of-the-box
  - Regularization: dropout is pretty helpful
  - ▶ Computers not big enough: can't run for enough iterations
- ▶ Inputs: need word representations to have the right continuous semantics

## Neural Net Basics

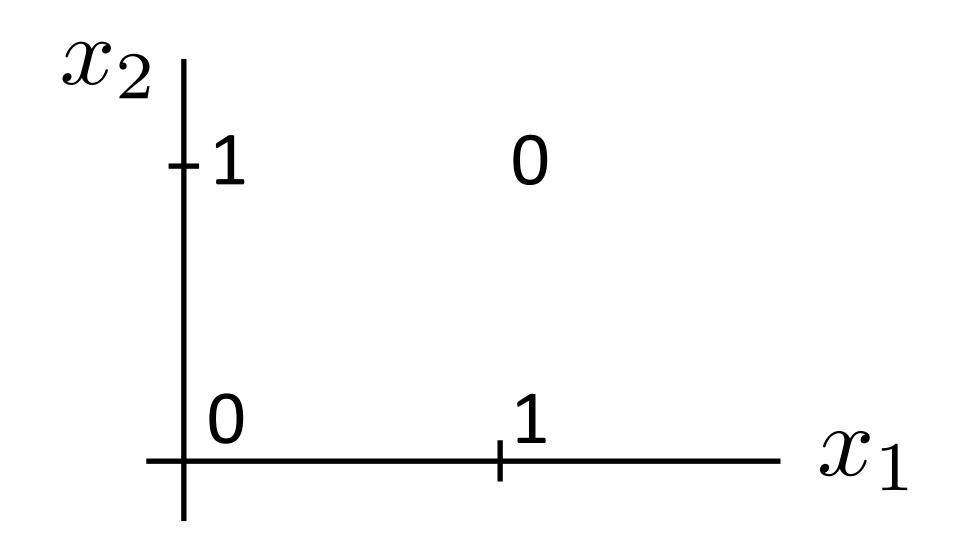
#### Neural Networks

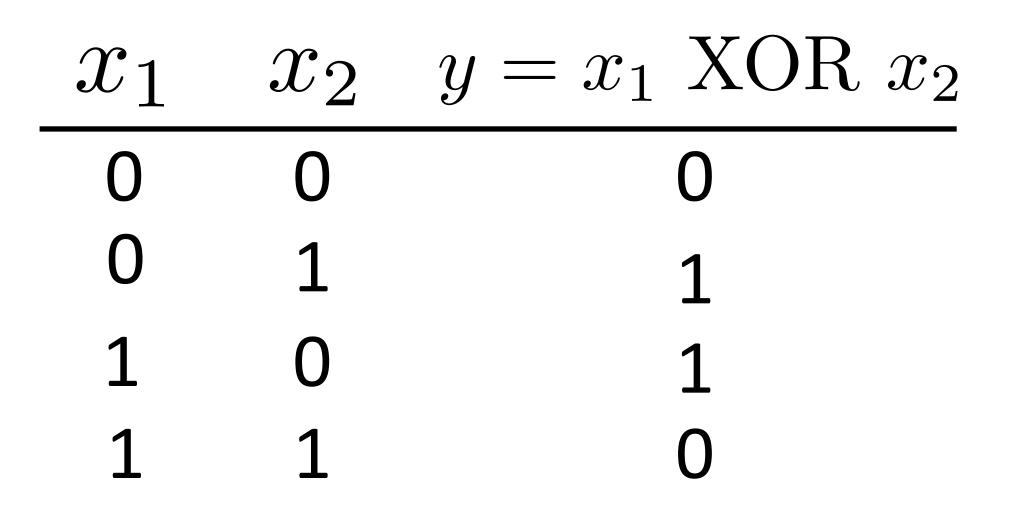
- Linear classification:  $\operatorname{argmax}_y w^\top f(x,y)$
- ▶ How can we do nonlinear classification? Kernels are too slow...
- Want to learn intermediate conjunctive features of the input

the movie was not all that good

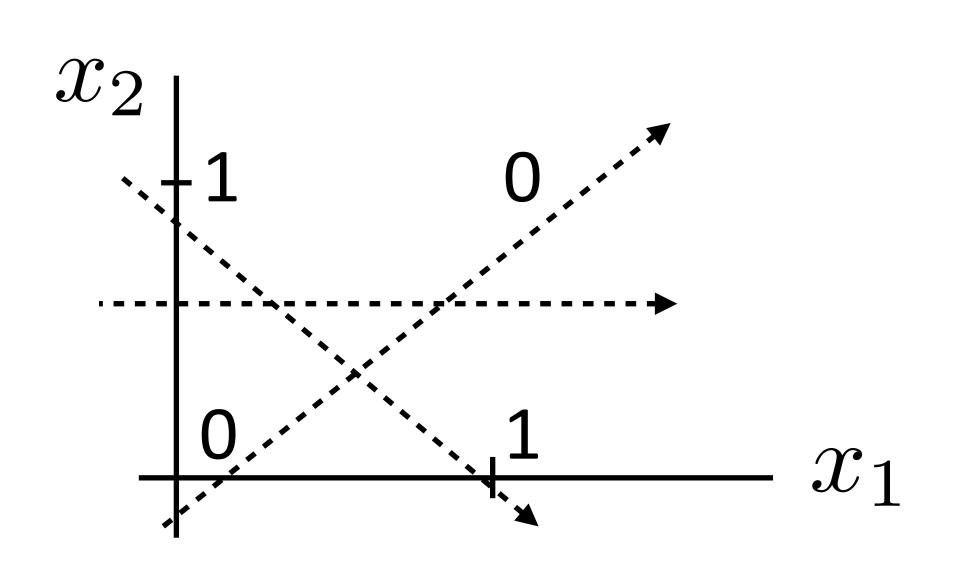
I[contains not & contains good]

- Let's see how we can use neural nets to learn a simple nonlinear function
- Inputs  $x_1, x_2$   $(\text{generally } \mathbf{x} = (x_1, \dots, x_m))$
- Output y(generally  $\mathbf{y} = (y_1, \dots, y_n)$ )





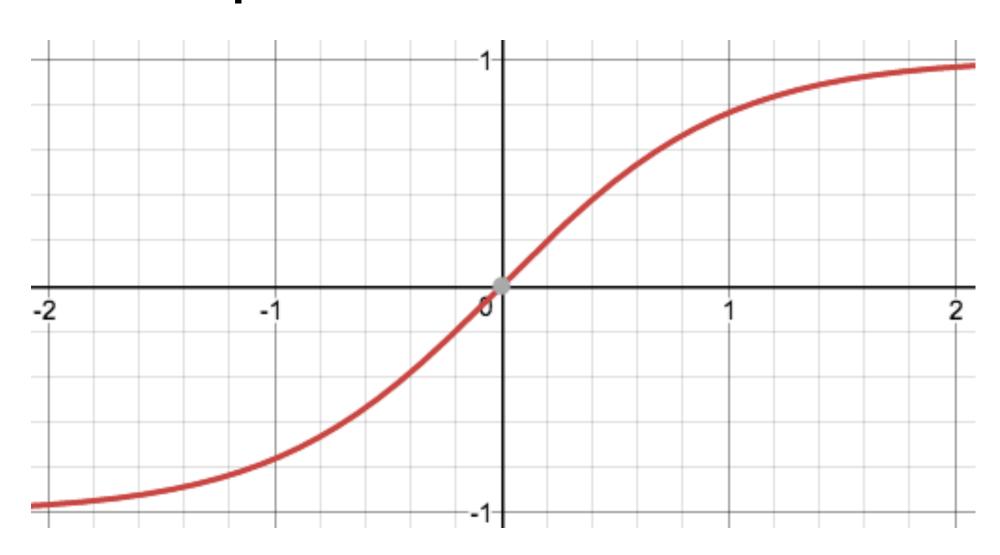




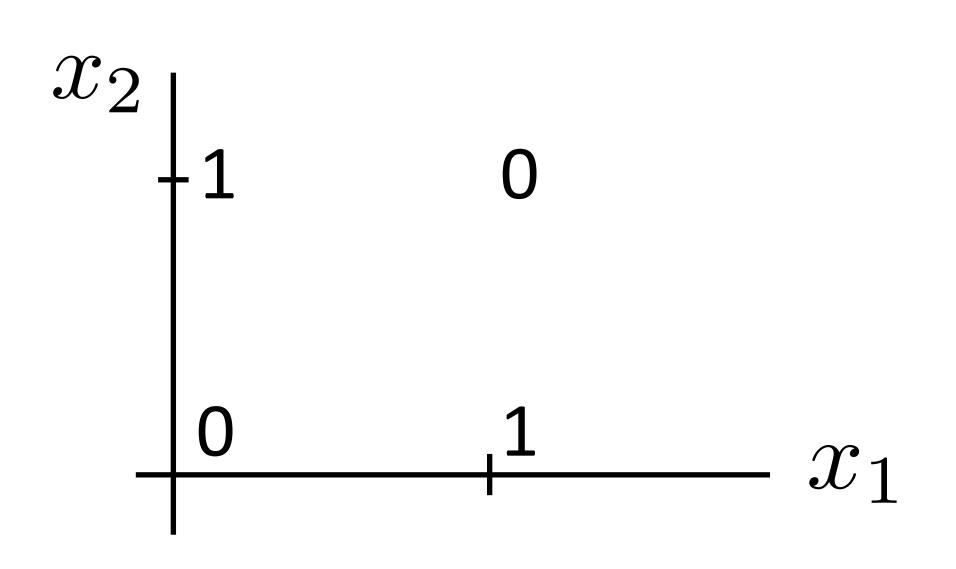
$x_1$	$x_2$	$x_1 \text{ XOR } x_2$
0	0	0
0	1	1
1	0	1
1	1	0

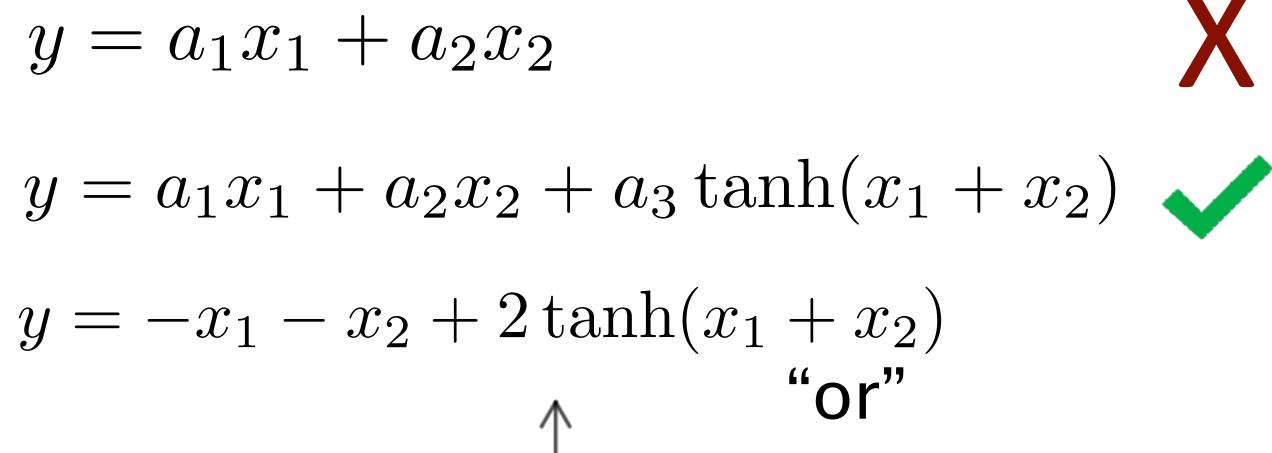
$$y = a_1x_1 + a_2x_2$$
  $X$   $y = a_1x_1 + a_2x_2 + a_3 \tanh(x_1 + x_2)$  "or"

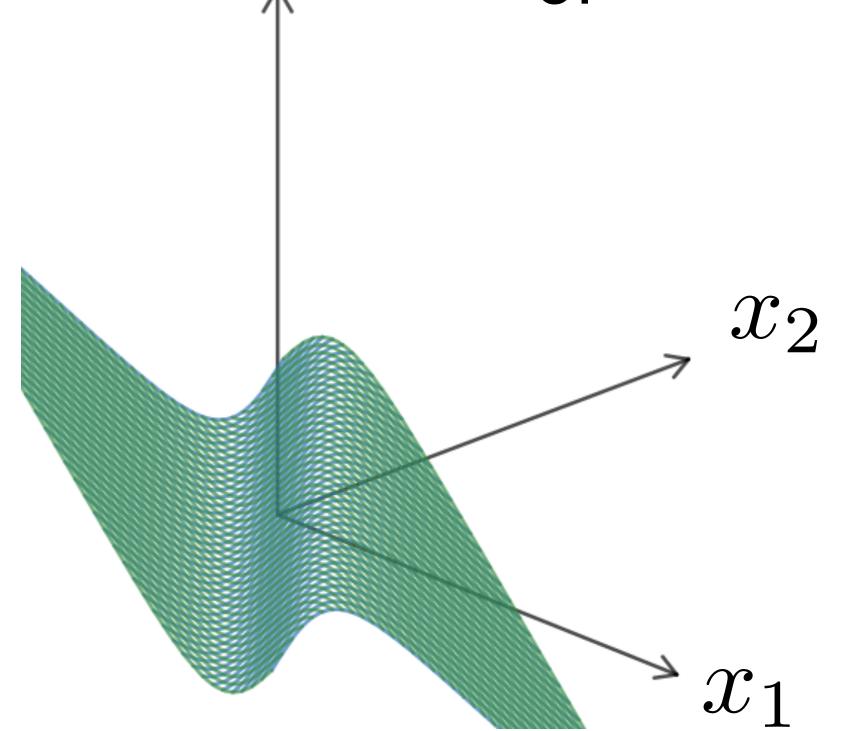
(looks like action potential in neuron)



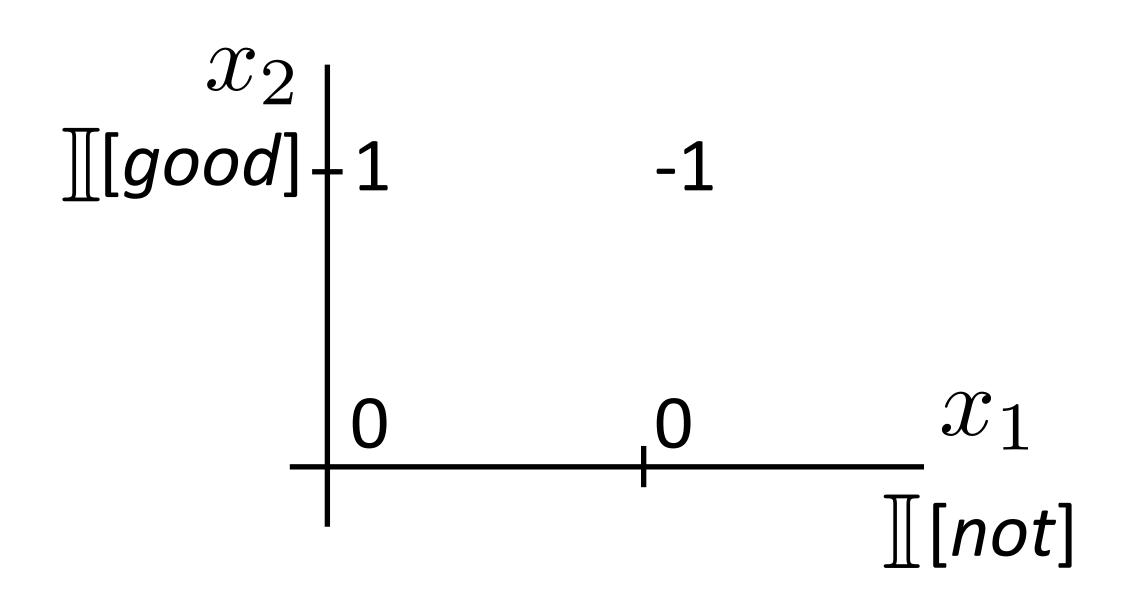




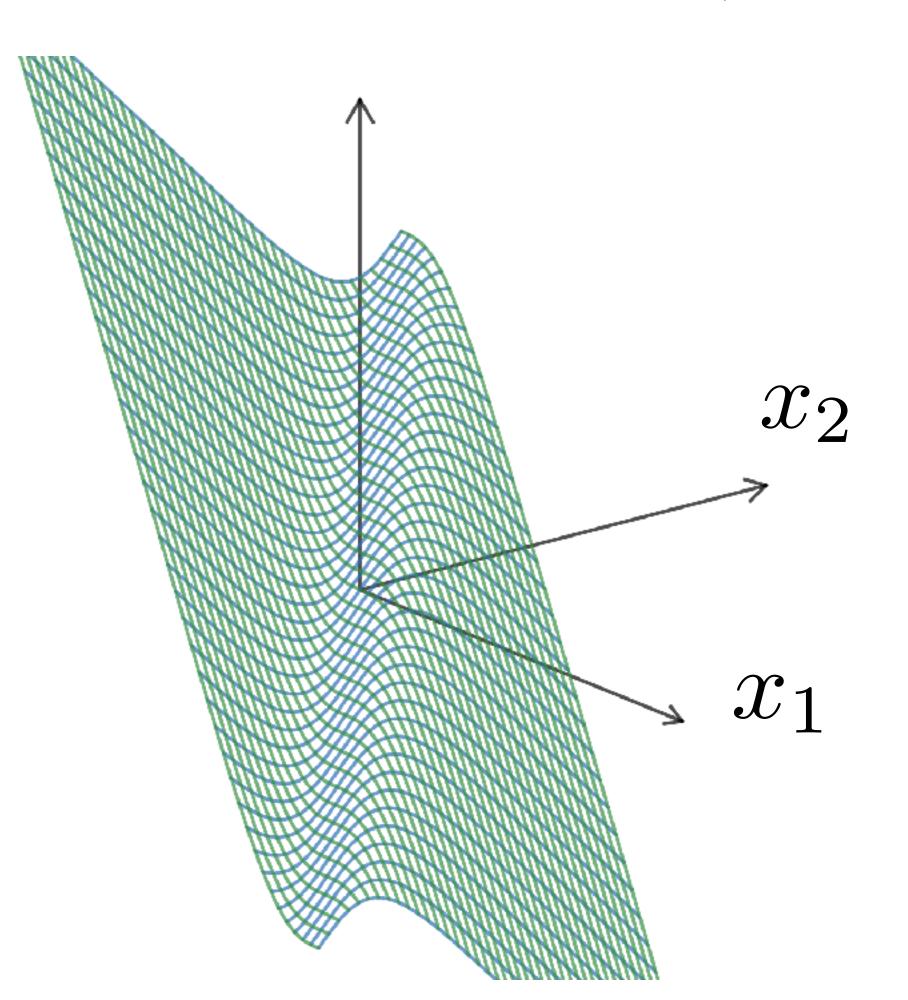








$$y = -2x_1 - x_2 + 2\tanh(x_1 + x_2)$$



the movie was not all that good



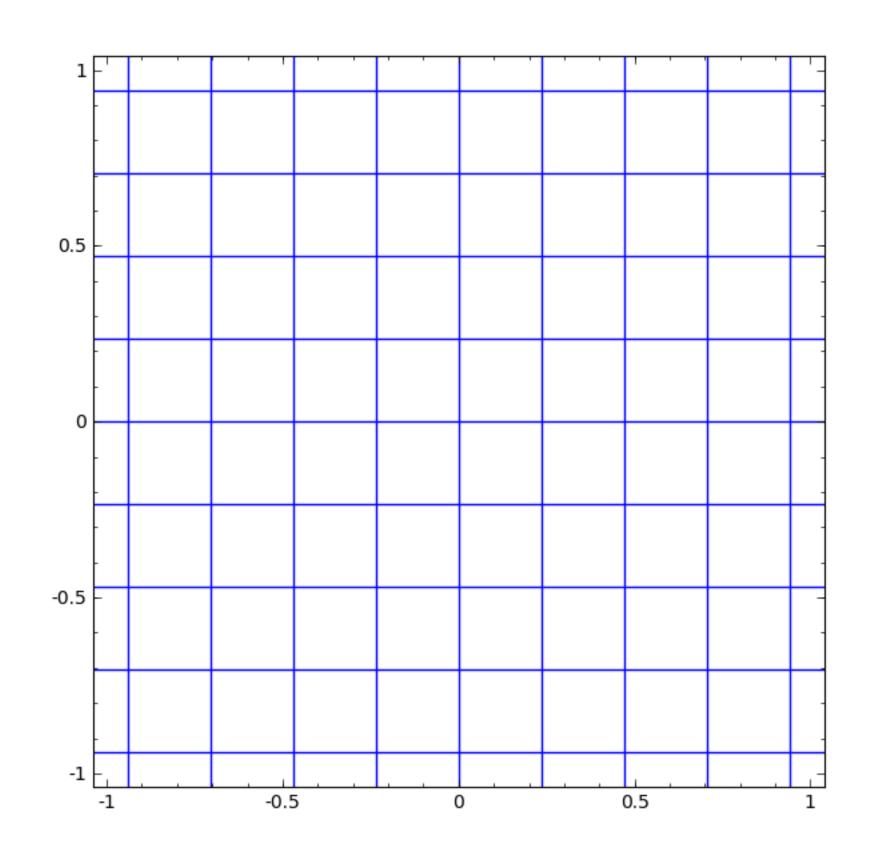
#### Neural Networks

Linear model:  $y = \mathbf{w} \cdot \mathbf{x} + b$ 

$$y = g(\mathbf{w} \cdot \mathbf{x} + b)$$

$$\mathbf{y} = g(\mathbf{W}\mathbf{x} + \mathbf{b})$$

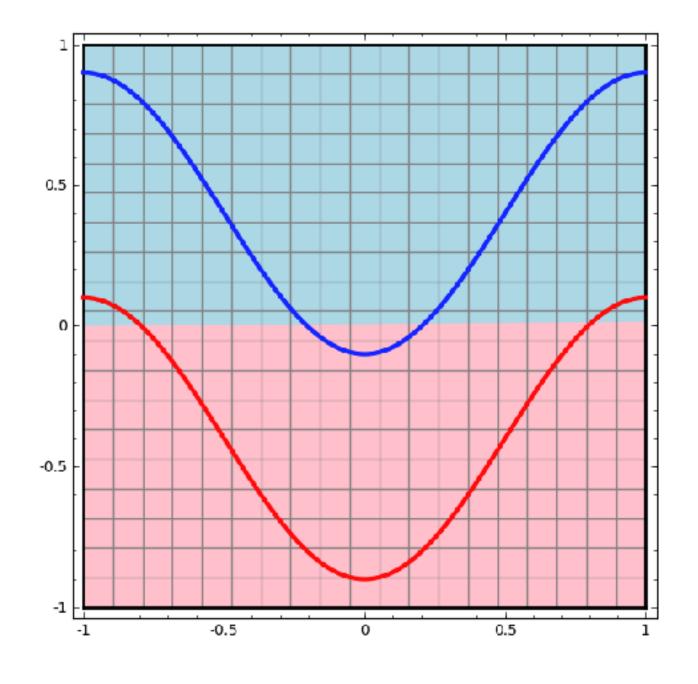
Nonlinear Warp Shift transformation space



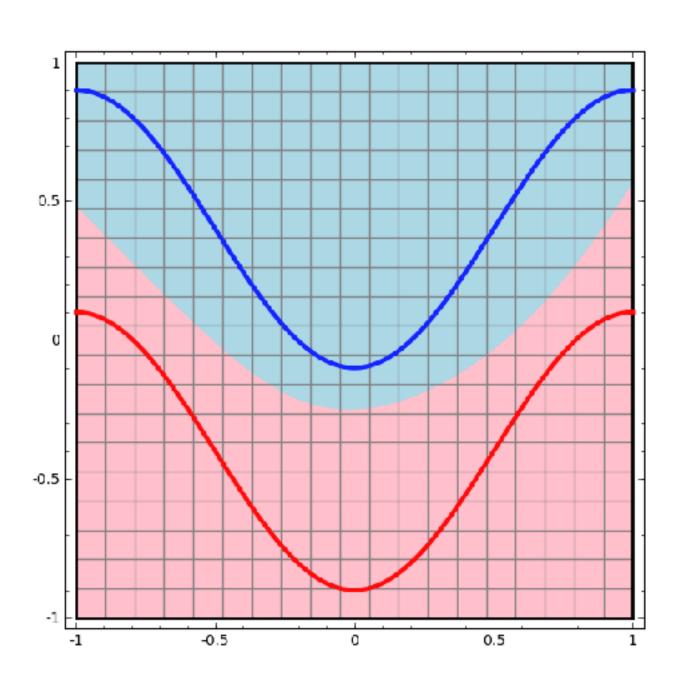


#### Neural Networks

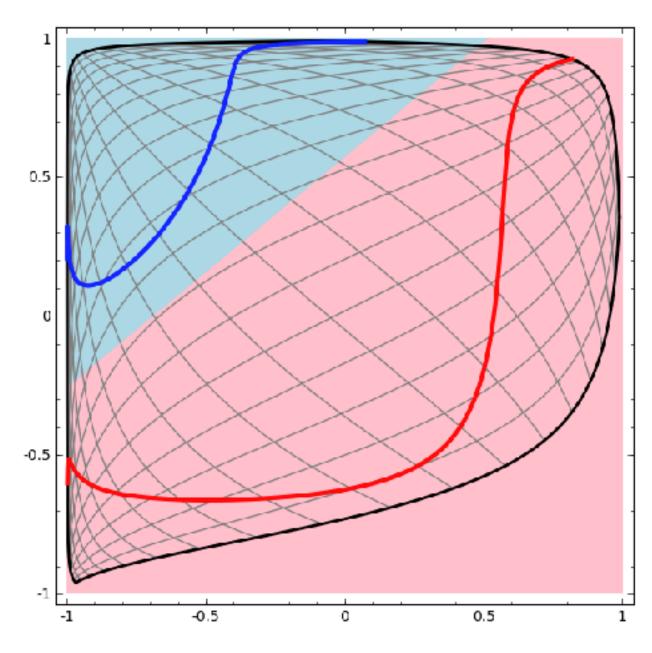
#### Linear classifier



#### Neural network

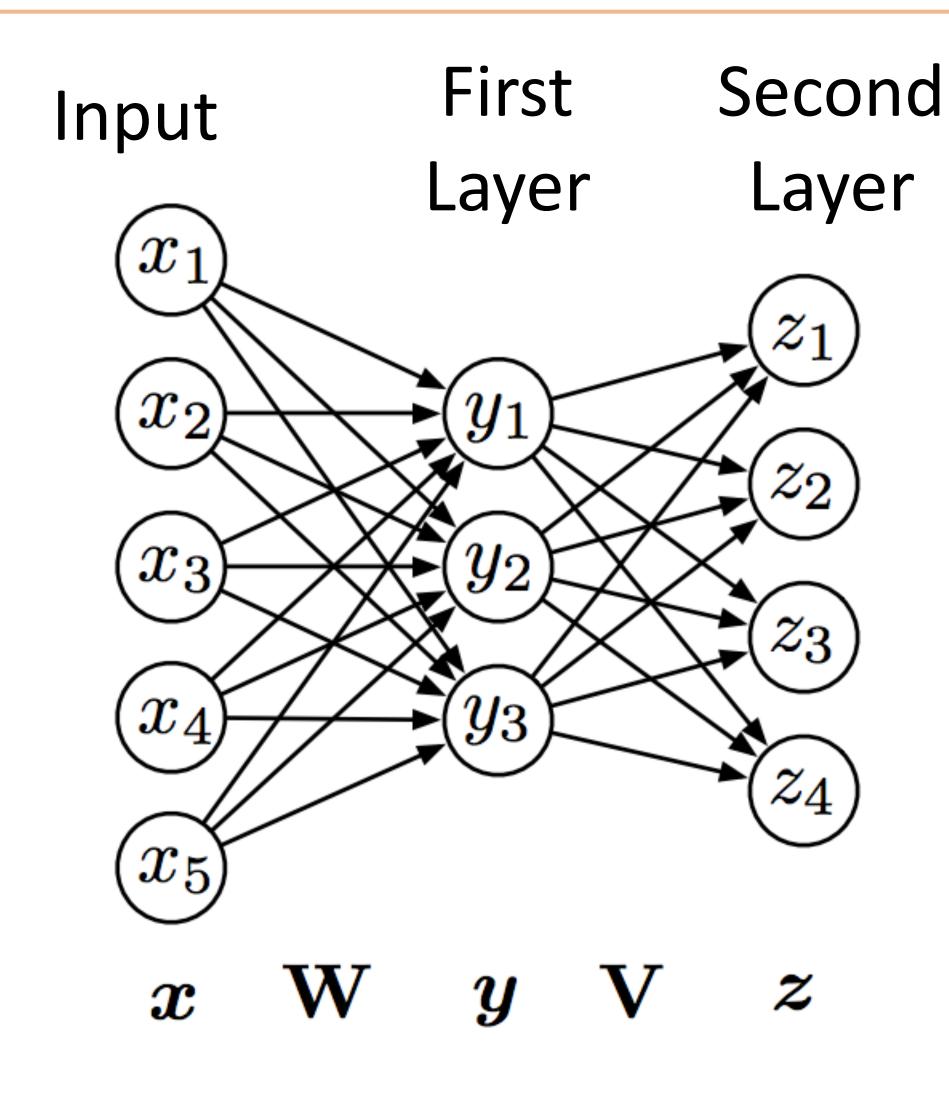


...possible because we transformed the space!





## Deep Neural Networks



$$egin{aligned} oldsymbol{y} &= g(\mathbf{W}oldsymbol{x} + oldsymbol{b}) \ \mathbf{z} &= g(\mathbf{V}oldsymbol{y}(\mathbf{W}\mathbf{x} + \mathbf{b}) + \mathbf{c}) \ \end{aligned}$$
 output of first layer

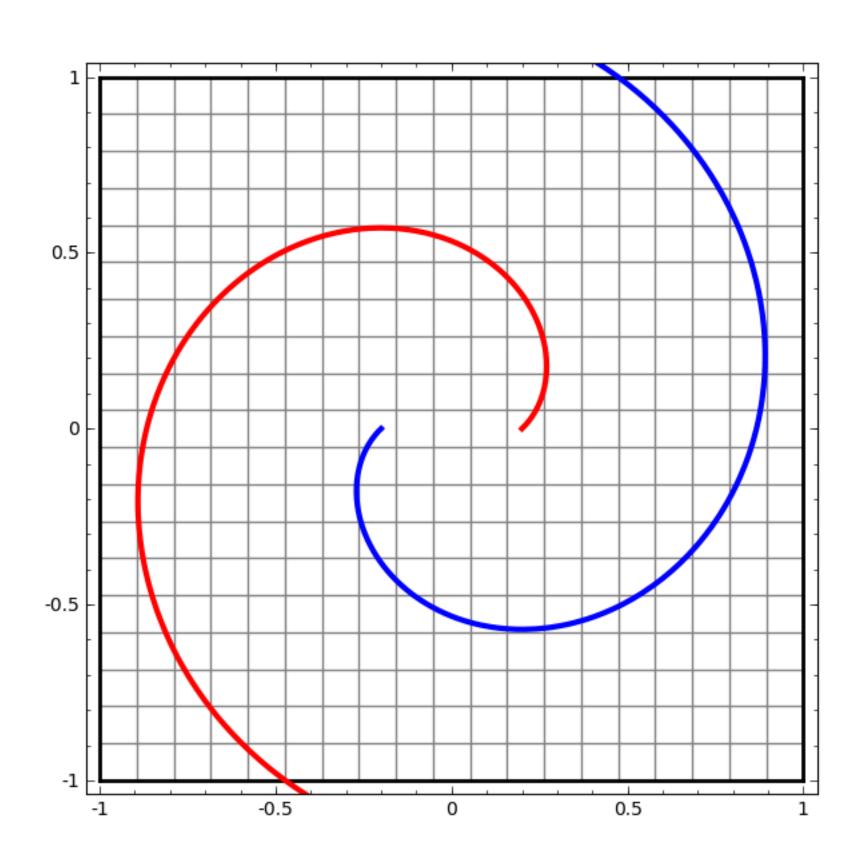
"Feedforward" computation (not recurrent)

Check: what happens if no nonlinearity? More powerful than basic linear models?

$$z = V(Wx + b) + c$$



# Deep Neural Networks



# Feedforward Networks, Backpropagation



#### Logistic Regression with NNs

$$P(y|\mathbf{x}) = \frac{\exp(w^{\top} f(\mathbf{x}, y))}{\sum_{y'} \exp(w^{\top} f(\mathbf{x}, y'))}$$

$$P(\mathbf{y}|\mathbf{x}) = \operatorname{softmax}\left([w^{\top} f(\mathbf{x}, y)]_{y \in \mathcal{Y}}\right)$$

$$\operatorname{softmax}(p)_i = \frac{\exp(p_i)}{\sum_{i'} \exp(p_{i'})}$$

$$P(\mathbf{y}|\mathbf{x}) = \operatorname{softmax}(Wf(\mathbf{x}))$$

$$P(\mathbf{y}|\mathbf{x}) = \operatorname{softmax}(Wg(Vf(\mathbf{x})))$$

- Single scalar probability
- Compute scores for all possible labels at once (returns vector)
- softmax: exps and normalizes a given vector
- Weight vector per class;W is [num classes x num feats]
- Now one hidden layer



#### Neural Networks for Classification

$$P(\mathbf{y}|\mathbf{x}) = \operatorname{softmax}(Wg(Vf(\mathbf{x})))$$

$$d \text{ hidden units}$$

$$v \text{ probs}$$

$$d \times n \text{ matrix}$$

$$nonlinearity$$

$$num\_classes \times d$$

$$n \text{ features}$$

$$num\_classes \times d$$

$$n \text{ matrix}$$

## Training Neural Networks

$$P(\mathbf{y}|\mathbf{x}) = \operatorname{softmax}(W\mathbf{z})$$
  $\mathbf{z} = g(Vf(\mathbf{x}))$ 

Maximize log likelihood of training data

$$\mathcal{L}(\mathbf{x}, i^*) = \log P(y = i^* | \mathbf{x}) = \log (\operatorname{softmax}(W\mathbf{z}) \cdot e_{i^*})$$

- $i^*$ : index of the gold label
- $\triangleright$   $e_i$ : 1 in the *i*th row, zero elsewhere. Dot by this = select *i*th index

$$\mathcal{L}(\mathbf{x}, i^*) = W\mathbf{z} \cdot e_{i^*} - \log \sum_{j} \exp(W\mathbf{z}) \cdot e_{j}$$

# Computing Gradients

$$\mathcal{L}(\mathbf{x}, i^*) = W\mathbf{z} \cdot e_{i^*} - \log \sum_{j} \exp(W\mathbf{z}) \cdot e_{j}$$

▶ Gradient with respect to *W* 

$$\frac{\partial}{\partial W_{ij}} \mathcal{L}(\mathbf{x}, i^*) = \begin{cases} \mathbf{z}_j - P(y = i | \mathbf{x}) \mathbf{z}_j & \text{if } i = i^* \\ -P(y = i | \mathbf{x}) \mathbf{z}_j & \text{otherwise} \end{cases}$$

V

 $\mathbf{z}_j - P(y = i|\mathbf{x})\mathbf{z}_j$ 

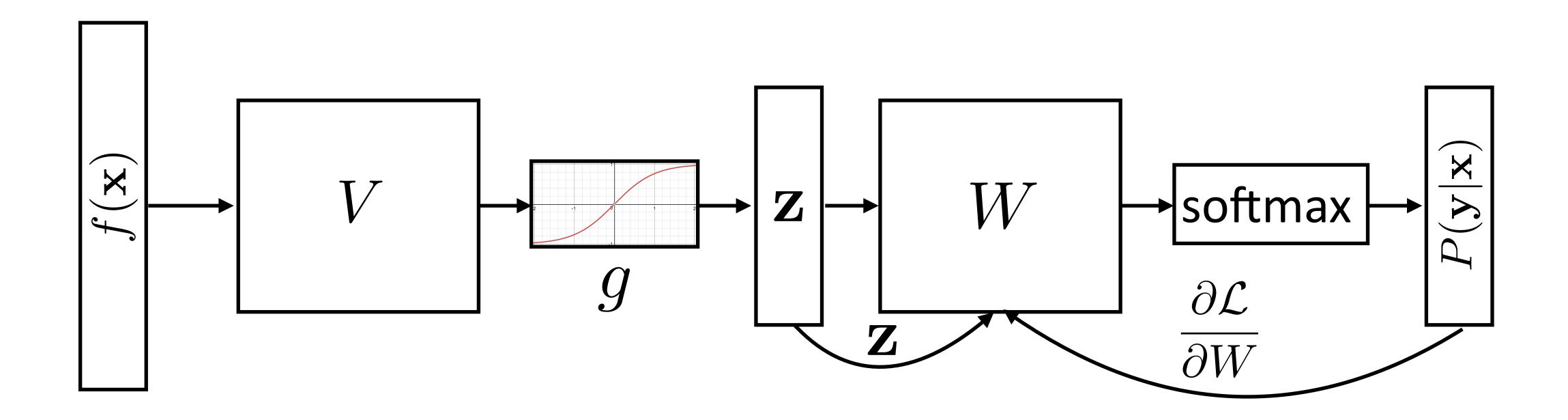
 $-P(y=i|\mathbf{x})\mathbf{z}_j$ 

Looks like logistic regression with z as the features!



#### Neural Networks for Classification

$$P(\mathbf{y}|\mathbf{x}) = \operatorname{softmax}(Wg(Vf(\mathbf{x})))$$





# Computing Gradients: Backpropagation

$$\mathcal{L}(\mathbf{x}, i^*) = W\mathbf{z} \cdot e_{i^*} - \log \sum_{j} \exp(W\mathbf{z}) \cdot e_{j}$$

 $\mathbf{z} = g(Vf(\mathbf{x}))$ 

Activations at hidden layer

Gradient with respect to V: apply the chain rule

$$\frac{\partial \mathcal{L}(\mathbf{x}, i^*)}{\partial V_{ij}} = \frac{\partial \mathcal{L}(\mathbf{x}, i^*)}{\partial \mathbf{z}} \frac{\partial \mathbf{z}}{\partial V_{ij}}$$
[some math...]

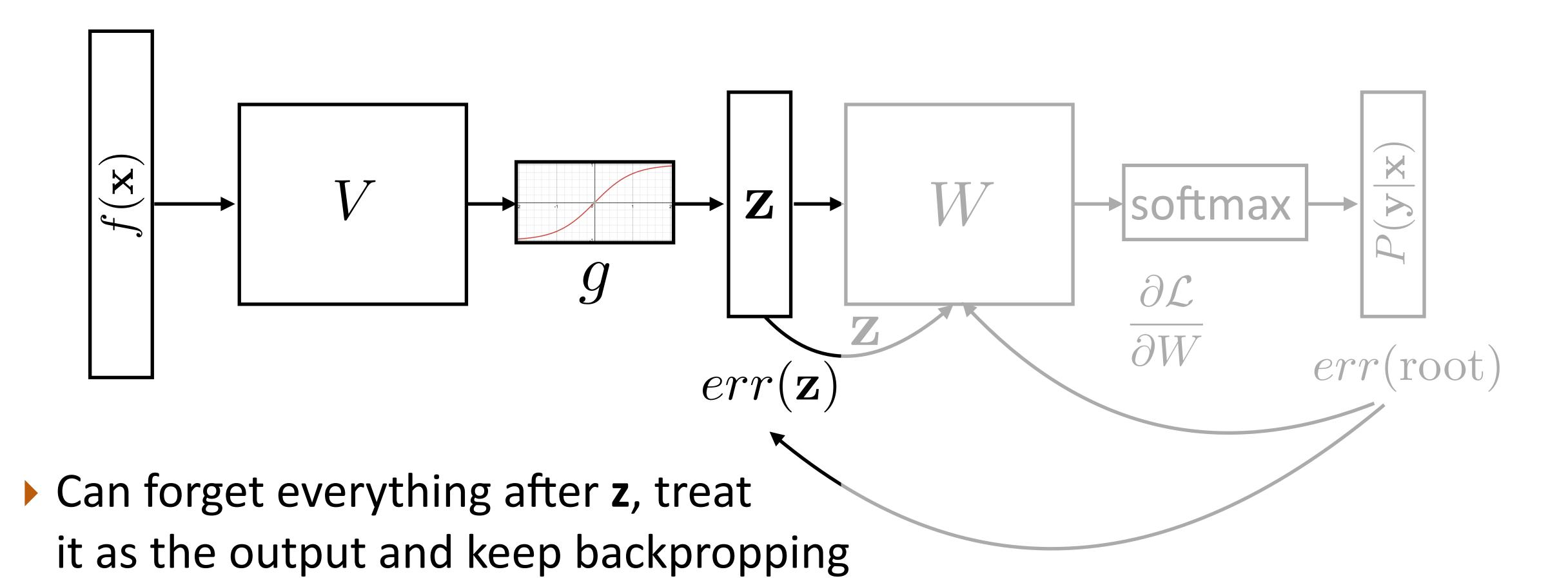
$$err(\text{root}) = e_{i^*} - P(\mathbf{y}|\mathbf{x})$$
  
dim = m

$$err(\text{root}) = e_{i^*} - P(\mathbf{y}|\mathbf{x})$$
  $\frac{\partial \mathcal{L}(\mathbf{x}, i^*)}{\partial \mathbf{z}} = err(\mathbf{z}) = W^{\top}err(\text{root})$  dim = d



#### Backpropagation: Picture

$$P(\mathbf{y}|\mathbf{x}) = \operatorname{softmax}(Wg(Vf(\mathbf{x})))$$





# Backpropagation: Takeaways

- Gradients of output weights W are easy to compute looks like logistic regression with hidden layer z as feature vector
- ▶ Can compute derivative of loss with respect to **z** to form an "error signal" for backpropagation
- Easy to update parameters based on "error signal" from next layer, keep pushing error signal back as backpropagation
- Need to remember the values from the forward computation

# Applications



#### NLP with Feedforward Networks

Part-of-speech tagging with FFNNs

55

Fed raises interest rates in order to ...

previous word

- Word embeddings for each word form input
- ▶ ~1000 features here smaller feature vector than in sparse models, but every feature fires on every example
- Weight matrix learns position-dependent processing of the words

curr word

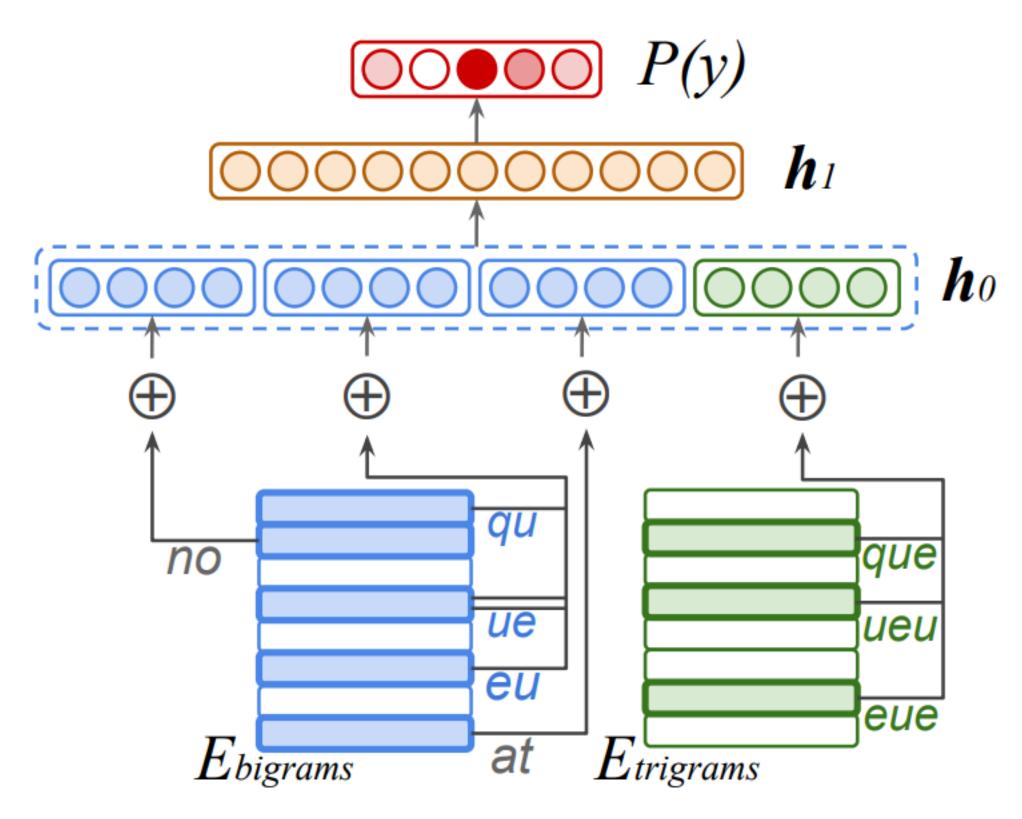
next word

other words, feats, etc. L...

Botha et al. (2017)



#### NLP with Feedforward Networks



There was no queue at the ...

 Hidden layer mixes these different signals and learns feature conjunctions



#### NLP with Feedforward Networks

Multilingual tagging results:

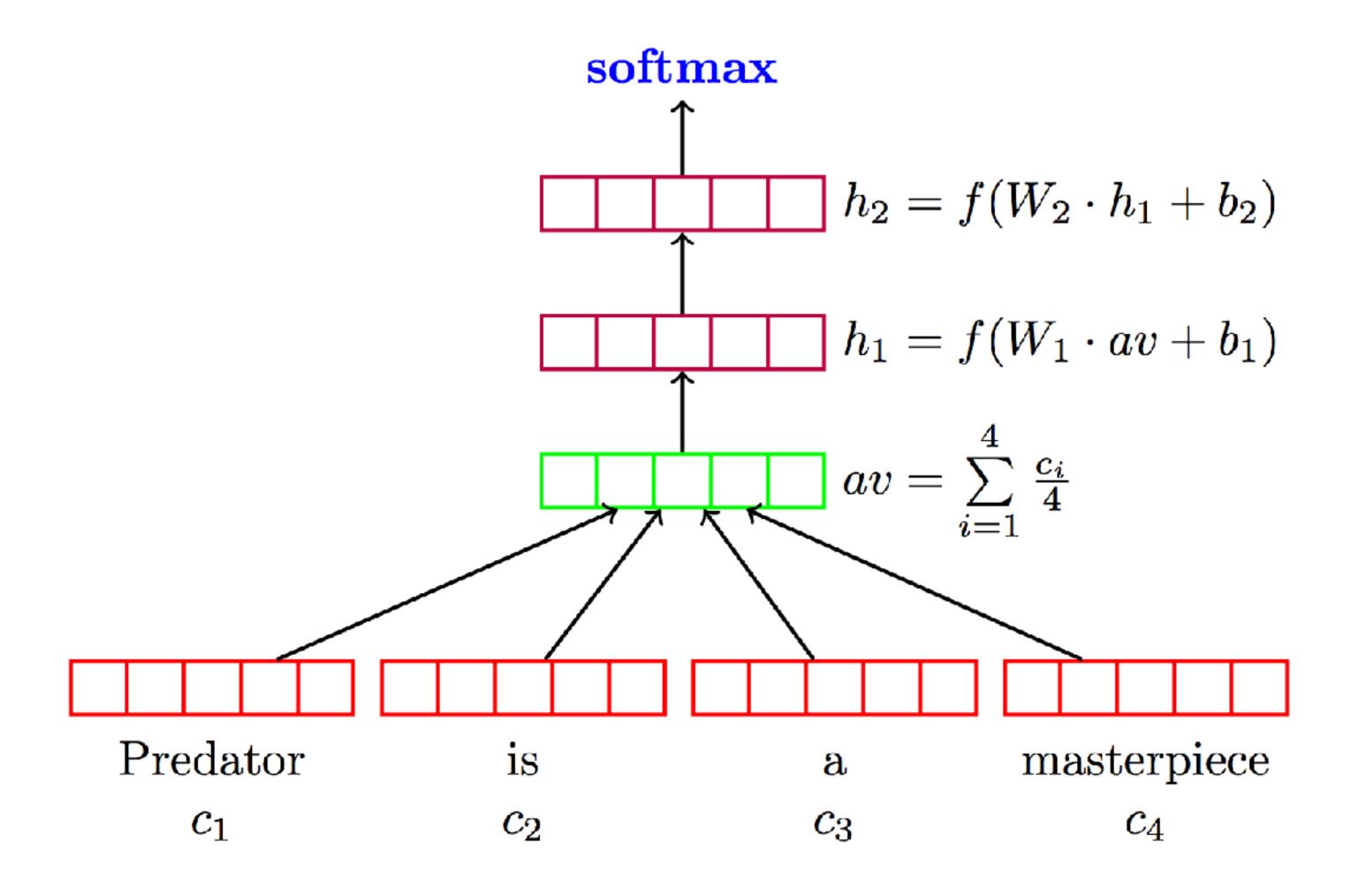
Model	Acc.	Wts.	MB	Ops.
Gillick et al. (2016)	95.06	900k	_	6.63m
Small FF	94.76	241k	0.6	0.27m
+Clusters	95.56	261k	1.0	0.31m
$\frac{1}{2}$ Dim.	95.39	143k	0.7	0.27m 0.31m 0.18m

Gillick used LSTMs; this is smaller, faster, and better



# Sentiment Analysis

 Deep Averaging Networks: feedforward neural network on average of word embeddings from input



lyyer et al. (2015)



# Sentiment Analysis

	Model	RT	SST fine	SST bin	IMDB	Time (s)	
	DAN-ROOT		46.9	85.7		31	
	DAN-RAND	77.3	45.4	83.2	88.8	136	
	DAN	80.3	47.7	86.3	89.4	136	lyyer et al. (2015)
	NBOW-RAND	76.2	42.3	81.4	88.9	91	
	NBOW	79.0	43.6	83.6	89.0	91	
	BiNB		41.9	83.1			Wang and
	NBSVM-bi	79.4			91.2		
	RecNN*	77.7	43.2	82.4			Manning (2012)
	RecNTN*		45.7	85.4			
	DRecNN		49.8	86.6		431	
	TreeLSTM		<b>50.6</b>	86.9			
	$DCNN^*$		48.5	86.9	89.4		
	PVEC*		48.7	87.8	<b>92.6</b>		
	CNN-MC	81.1	47.4	88.1		2,452	Kim (2014)
	WRRBM*				89.2		

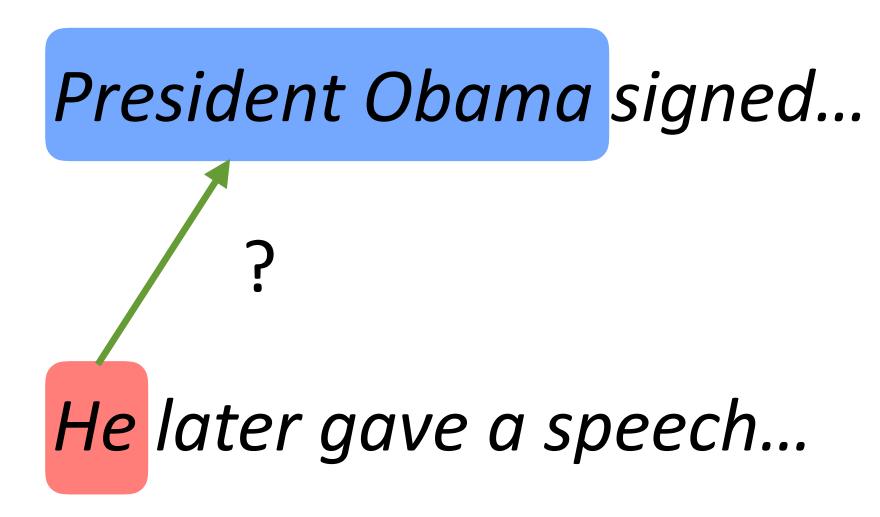
Bag-of-words

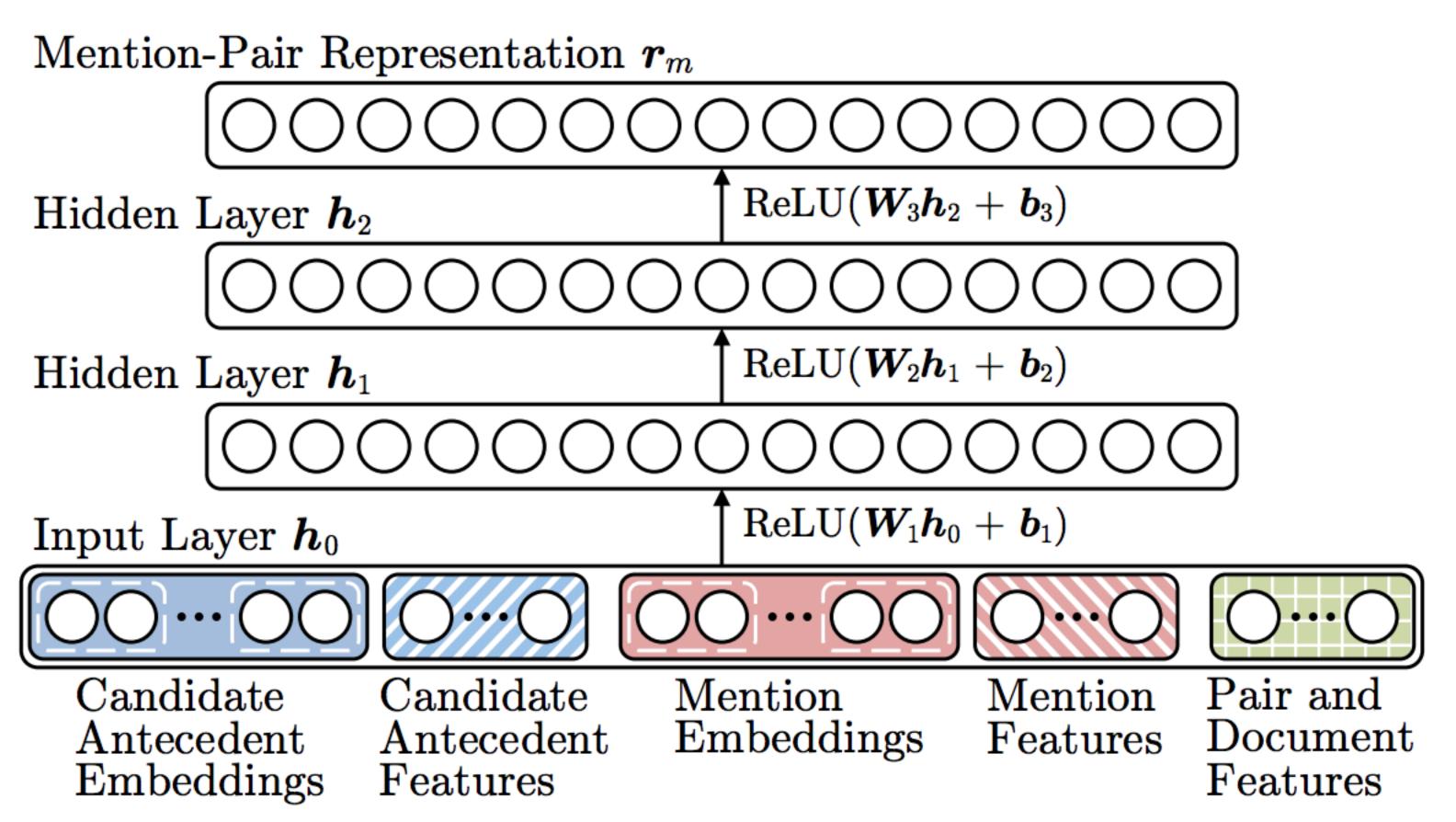
Tree RNNs / CNNS / LSTMS



#### Coreference Resolution

Feedforward networks identify coreference arcs





Clark and Manning (2015), Wiseman et al. (2015)

# Implementation Details

## Computation Graphs

- Computing gradients is hard!
- Automatic differentiation: instrument code to keep track of derivatives

$$y = x * x$$
  $\longrightarrow$   $(y,dy) = (x * x, 2 * x * dx)$  codegen

- Computation is now something we need to reason about symbolically
- Use a library like Pytorch or Tensorflow. This class: Pytorch

## Computation Graphs in Pytorch

Define forward pass for  $P(\mathbf{y}|\mathbf{x}) = \operatorname{softmax}(Wg(Vf(\mathbf{x})))$ 

```
class FFNN(nn.Module):
    def init (self, inp, hid, out):
        super(FFNN, self). init ()
        self.V = nn.Linear(inp, hid)
        self.g = nn.Tanh()
        self.W = nn.Linear(hid, out)
        self.softmax = nn.Softmax(dim=0)
    def forward(self, x):
        return self.softmax(self.W(self.g(self.V(x)))
```



## Computation Graphs in Pytorch

```
ei*: one-hot vector
P(\mathbf{y}|\mathbf{x}) = \operatorname{softmax}(Wg(Vf(\mathbf{x}))) of the label
                                     (e.g., [0, 1, 0])
ffnn = FFNN()
def make update(input, gold label):
   ffnn.zero grad() # clear gradient variables
   probs = ffnn.forward(input)
   loss = torch.neg(torch.log(probs)).dot(gold label)
   loss.backward()
   optimizer.step()
```



# Training a Model

Define a computation graph

For each epoch:

For each batch of data:

Compute loss on batch

Autograd to compute gradients and take step

Decode test set



# Batching

- Batching data gives speedups due to more efficient matrix operations
- Need to make the computation graph process a batch at the same time

▶ Batch sizes from 1-100 often work well

#### Next Time

More implementation details: practical training techniques

Word representations / word vectors

word2vec, GloVe