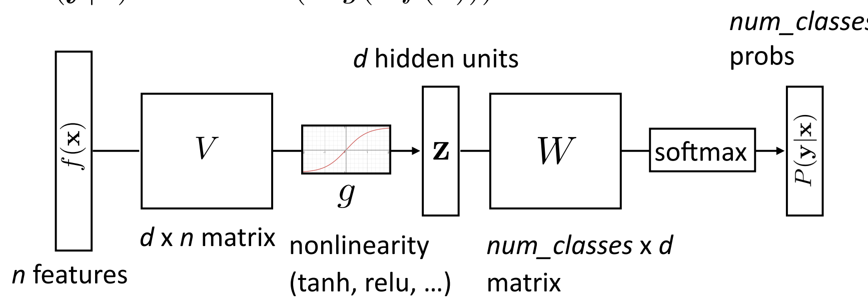
CS388: Natural Language Processing
Lecture 14: Word Embeddings

Greg Durrett

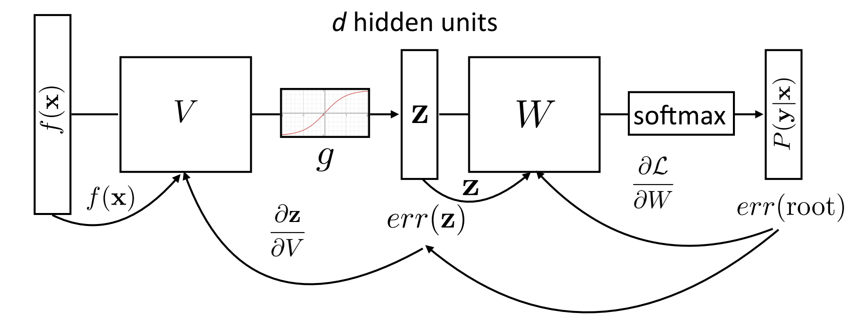
Recall: Feedforward NNs

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$$



Recall: Backpropagation

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$$



This Lecture

- Training
- Word representations
- word2vec
- Evaluating word embeddings

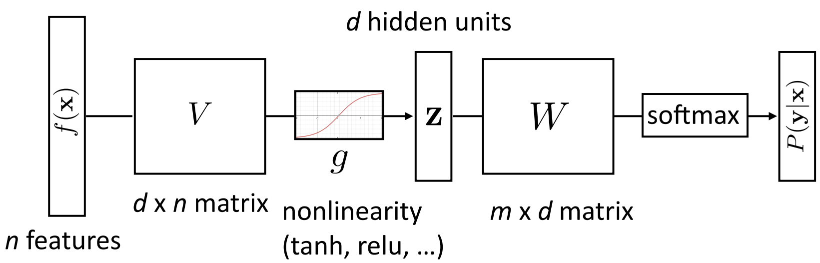
Training Tips

Training Basics

- Basic formula: compute gradients on batch, use first-order opt. method
- How to initialize? How to regularize? What optimizer to use?
- This lecture: some practical tricks. Take deep learning or optimization courses to understand this further

How does initialization affect learning?

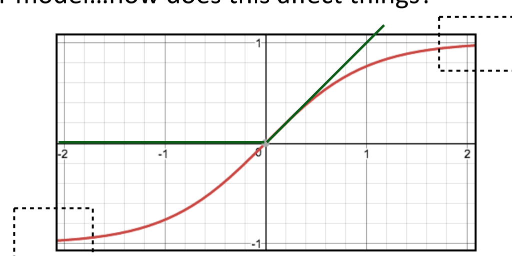
$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$$



- How do we initialize V and W? What consequences does this have?
- *Nonconvex* problem, so initialization matters!

How does initialization affect learning?

- Nonlinear model…how does this affect things?



- If cell activations are too large in absolute value, gradients are small
- ReLU: larger dynamic range (all positive numbers), but can produce big values, can break down if everything is too negative

Initialization

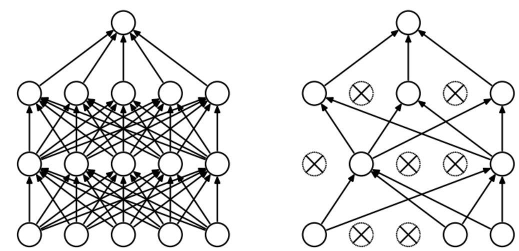
1) Can't use zeroes for parameters to produce hidden layers: all values in that hidden layer are always 0 and have gradients of 0, never change

2) Initialize too large and cells are saturated

- Can do random uniform / normal initialization with appropriate scale
- Glorot initializer: $U\left[-\sqrt{\frac{6}{\text{fan-in}+\text{fan-out}}},+\sqrt{\frac{6}{\text{fan-in}+\text{fan-out}}}\right]$
  - Want variance of inputs and gradients for each layer to be the same
- Batch normalization (Ioffe and Szegedy, 2015): periodically shift+rescale each layer to have mean 0 and variance 1 over a batch (useful if net is deep)

Dropout

- Probabilistically zero out parts of the network during training to prevent overfitting, use whole network at test time
- Form of stochastic regularization
- Similar to benefits of ensembling: network needs to be robust to missing signals, so it has redundancy
- One line in Pytorch/Tensorflow

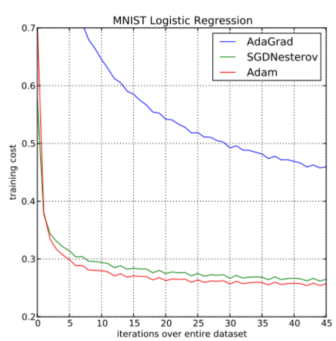


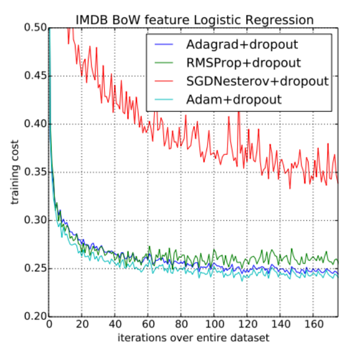
(a) Standard Neural Net (b) After applying dropout.

Srivastava et al. (2014)

Optimizer

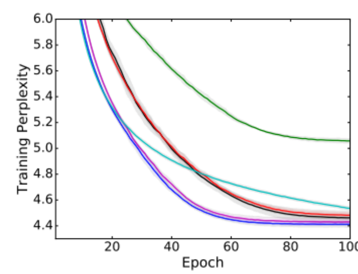
- Adam (Kingma and Ba, ICLR 2015) is very widely used
- Adaptive step size like Adagrad, incorporates momentum



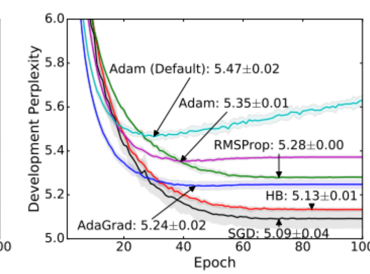


Optimizer

- Wilson et al. NIPS 2017: adaptive methods can actually perform badly at test time (Adam is in pink, SGD in black)
- Check dev set periodically, decrease learning rate if not making progress



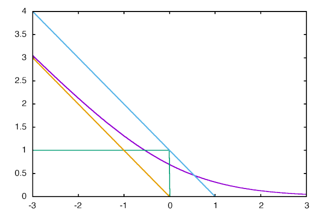
(e) Generative Parsing (Training Set)



(f) Generative Parsing (Development Set)

Structured Prediction

- Four elements of a machine learning method:
- Model: feedforward, RNNs, CNNs can be defined in a uniform framework
- Objective: many loss functions look similar, just changes the last layer of the neural network
- Inference: define the network, your library of choice takes care of it (mostly…)

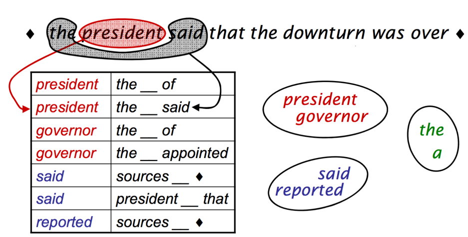


- Training: lots of choices for optimization/hyperparameters

Word Representations

Word Representations

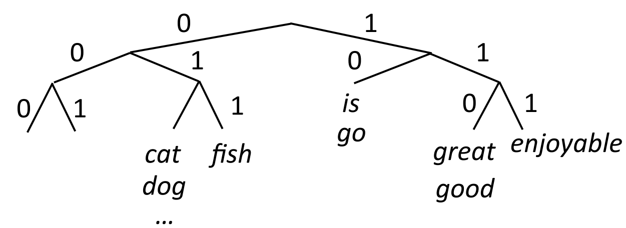
- Neural networks work very well at continuous data, but words are discrete
- Continuous model <-> expects continuous semantics from input
- "Can tell a word by the company it keeps" Firth 1957



[Finch and Chater 92, Shuetze 93, many others]

Discrete Word Representations

- Brown clusters: hierarchical agglomerative *hard* clustering (each word has one cluster, not some posterior distribution like in mixture models)



- Maximize $P(w_i|w_{i-1}) = P(c_i|c_{i-1})P(w_i|c_i)$
- Useful features for tasks like NER, not suitable for NNs

Brown et al. (1992)

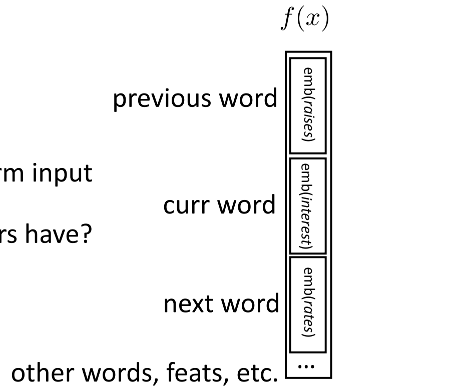
Word Embeddings

- Part-of-speech tagging with FFNNs

??

*Fed raises **interest** rates in order to …*

- Word embeddings for each word form input
- What properties should these vectors have?



Botha et al. (2017)

Word Embeddings

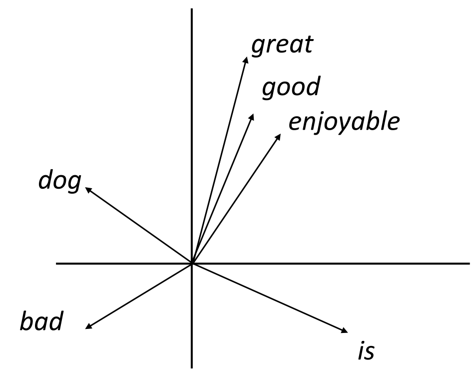
- Want a vector space where similar words have similar embeddings

the movie was great

≈

the movie was good

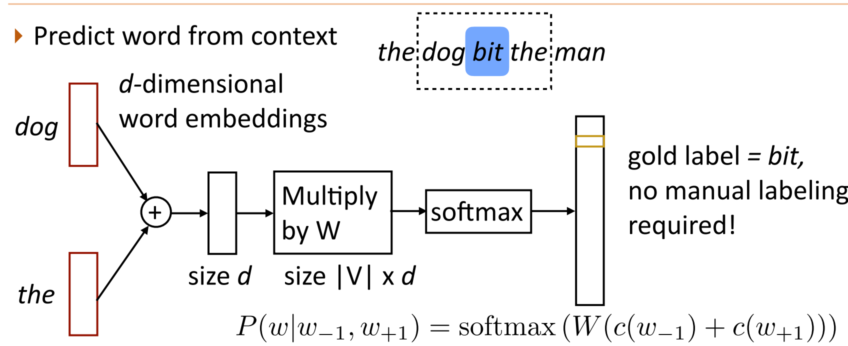
- Goal: come up with a way to produce these embeddings



word2vec

Continuous Bag-of-Words

- Predict word from context



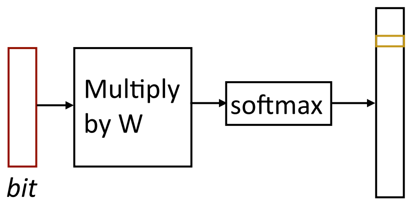
$$P(w|w_{-1}, w_{+1}) = \text{softmax}\left(W(c(w_{-1}) + c(w_{+1}))\right)$$

- Parameters: d x |V| (one d-length vector per voc word), |V| x d output parameters (W)

Mikolov et al. (2013)

Skip-Gram

- Predict one word of context from word *the dog bit the man*



gold = *dog*

$$P(w'|w) = \mathrm{softmax}(We(w))$$

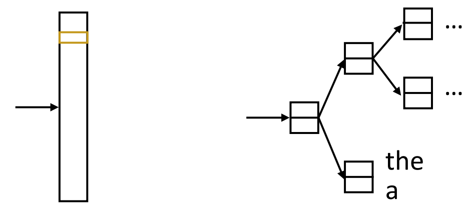
- Another training example: *bit* -> *the*
- Parameters: *d* x |V| vectors, |V| x *d* output parameters (W) (also usable as vectors!)

Mikolov et al. (2013)

Hierarchical Softmax

$$P(w|w_{-1}, w_{+1}) = \mathrm{softmax}\left(W(c(w_{-1}) + c(w_{+1}))\right) \qquad P(w'|w) = \mathrm{softmax}(We(w))$$

- Matmul + softmax over |V| is very slow to compute for CBOW and SG



- Huffman encode vocabulary, use binary classifiers to decide which branch to take
- log(|V|) binary decisions

- Standard softmax: [|V| x *d*] x *d*
- Hierarchical softmax: log(|V|) dot products of size *d*, |V| x *d* parameters

Mikolov et al. (2013)

Skip-Gram with Negative Sampling

- Take (word, context) pairs and classify them as "real" or not. Create random negative examples by sampling from unigram distribution

(*bit, the*) => +1
(*bit, cat*) => -1
(*bit, a*) => -1
(*bit, fish*) => -1

$$P(y=1|w,c) = \frac{e^{w\cdot c}}{e^{w\cdot c}+1}$$

words in similar contexts select for similar *c* vectors

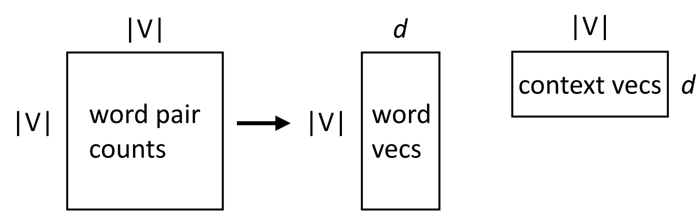
- *d* x |V| vectors, *d* x |V| context vectors (same # of params as before)
- Objective = $\log P(y=1|w,c) - \frac{1}{k}\sum_{i=1}^{n} \log P(y=0|w_i,c)$

sampled

Mikolov et al. (2013)

Connections with Matrix Factorization

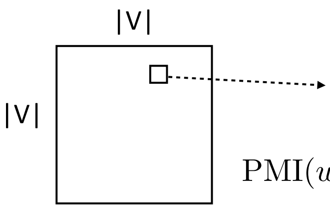
- Skip-gram model looks at word-word co-occurrences and produces two types of vectors



- Looks almost like a matrix factorization…can we interpret it this way?

Levy et al. (2014)

Skip-Gram as Matrix Factorization



num negative samples

$$M_{ij} = \mathrm{PMI}(w_i, c_j) - \log k$$

$$\mathrm{PMI}(w_i, c_j) = \frac{P(w_i, c_j)}{P(w_i)P(c_j)} = \frac{\frac{\mathrm{count}(w_i, c_j)}{D}}{\frac{\mathrm{count}(w_i)}{D}\frac{count(c_j)}{D}}$$

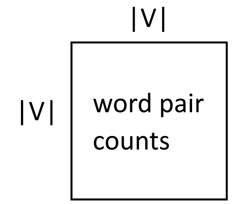
Skip-gram objective *exactly* corresponds to factoring this matrix:

- *If* we sample negative examples from the uniform distribution over words
- …and it's a *weighted* factorization problem (weighted by word freq)

Levy et al. (2014)

GloVe

- Also operates on counts matrix, weighted regression on the log co-occurrence matrix (weights *f*)

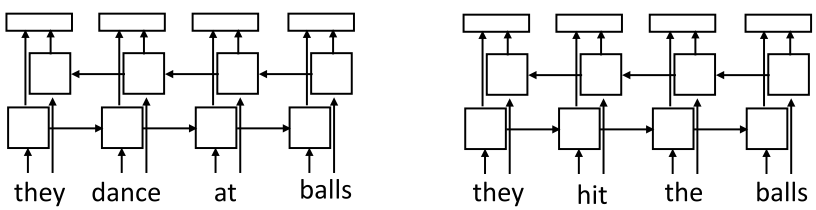


- Objective = $\sum_{i,j} f(\mathrm{count}(w_i, c_j)) \left(w_i^\top c_j + a_i + b_j - \log \mathrm{count}(w_i, c_j)\right)^2$
- Constant in the dataset size (just need counts), quadratic in voc size
- By far the most common word vectors used today (5000+ citations)

Pennington et al. (2014)

Preview: Context-dependent Embeddings

- How to handle different word senses? One vector for *balls*



- Train a neural language model to predict the next word given previous words in the sentence, use its internal representations as word vectors
- *Context-sensitive* word embeddings: depend on rest of the sentence
- *Huge* improvements across nearly all NLP tasks over GloVe

Peters et al. (2018)

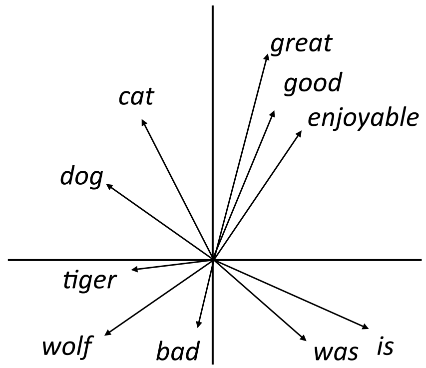
Evaluation

Evaluating Word Embeddings

- What properties of language should word embeddings capture?
- Similarity: similar words are close to each other
- Analogy:

  good is to best as smart is to ???

  Paris is to France as Tokyo is to ???



Similarity

Method	WordSim Similarity	WordSim Relatedness	Bruni et al. MEN	Radinsky et al. M. Turk	Luong et al. Rare Words	Hill et al. SimLex
PPMI	.755	**.697**	.745	.686	.462	.393
SVD	**.793**	.691	**.778**	.666	**.514**	.432
SGNS	**.793**	.685	.774	**.693**	.470	**.438**
GloVe	.725	.604	.729	.632	.403	.398

- SVD = singular value decomposition on PMI matrix
- GloVe does not appear to be the best when experiments are carefully controlled, but it depends on hyperparameters + these distinctions don't matter in practice

Levy et al. (2015)

Hypernymy Detection

- Hypernyms: detective *is a* person, dog *is a* animal
- Do word vectors encode these relationships?

Dataset	TM14	Kotlerman 2010	HypeNet	WordNet	**Avg (10 datasets)**
Random	52.0	30.8	24.5	55.2	23.2
Word2Vec + C	52.1	**39.5**	20.7	**63.0**	25.3
GE + C	53.9	36.0	21.6	58.2	26.1
GE + KL	52.0	39.4	23.7	54.4	25.9
DIVE + C·ΔS	**57.2**	36.6	**32.0**	60.9	**32.7**

- word2vec (SGNS) works barely better than random guessing here

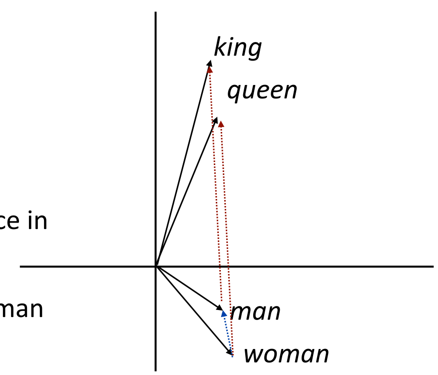
Chang et al. (2017)

Analogies

(king - man) + woman = queen

king + (woman - man) = queen

- Why would this be?
- woman - man captures the difference in the contexts that these occur in
- Dominant change: more "he" with man and "she" with woman — similar to difference between king and queen



Analogies

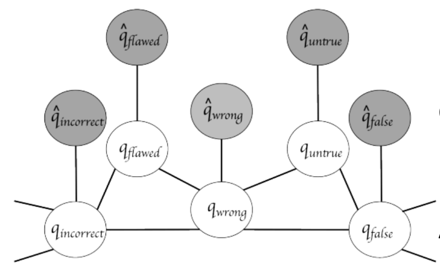
Method	Google Add / Mul	MSR Add / Mul
PPMI	.553 / .679	.306 / .535
SVD	.554 / .591	.408 / .468
SGNS	.676 / **.688**	.618 / **.645**
GloVe	.569 / .596	.533 / .580

- These methods can perform well on analogies on two different datasets using two different methods

Maximizing for *b*: Add = $\cos(b, a_2 - a_1 + b_1)$ Mul = $\frac{\cos(b_2, a_2)\cos(b_2, b_1)}{\cos(b_2, a_1) + \epsilon}$

Levy et al. (2015)

Using Semantic Knowledge



Original vector for *false*

Adapted vector for *false*

- Structure derived from a resource like WordNet
- Doesn't help most problems

Faruqui et al. (2015)

Using Word Embeddings

- Approach 1: learn embeddings as parameters from your data
  - Often works pretty well
- Approach 2: initialize using GloVe/ELMo, keep fixed
  - Faster because no need to update these parameters
- Approach 3: initialize using GloVe, fine-tune
  - Works best for some tasks, but not used for ELMo

Compositional Semantics

- What if we want embedding representations for whole sentences?
- Skip-*thought* vectors (Kiros et al., 2015), similar to skip-gram generalized to a sentence level (more later)
- Is there a way we can compose vectors to make sentence representations? Summing?
- Will return to this in a few weeks as we move on to syntax and semantics

Takeaways

- Lots to tune with neural networks
  - Training: optimizer, initializer, regularization (dropout), …
  - Hyperparameters: dimensionality of word embeddings, layers, …
- Word vectors: learning word -> context mappings has given way to matrix factorization approaches (constant in dataset size)
- Lots of pretrained embeddings work well in practice, they capture some desirable properties
- Even better: context-sensitive word embeddings (ELMo)
- Next time: RNNs and CNNs