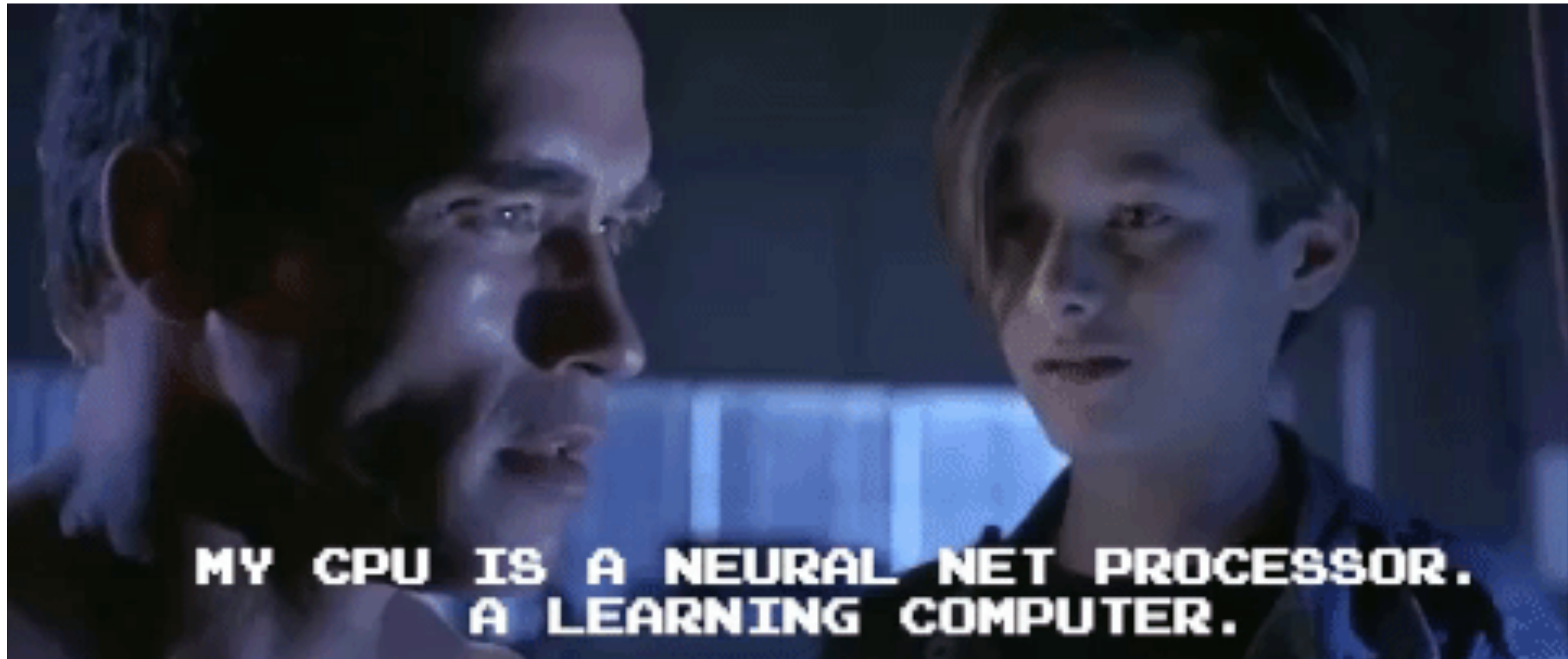


# Neural Net Basics





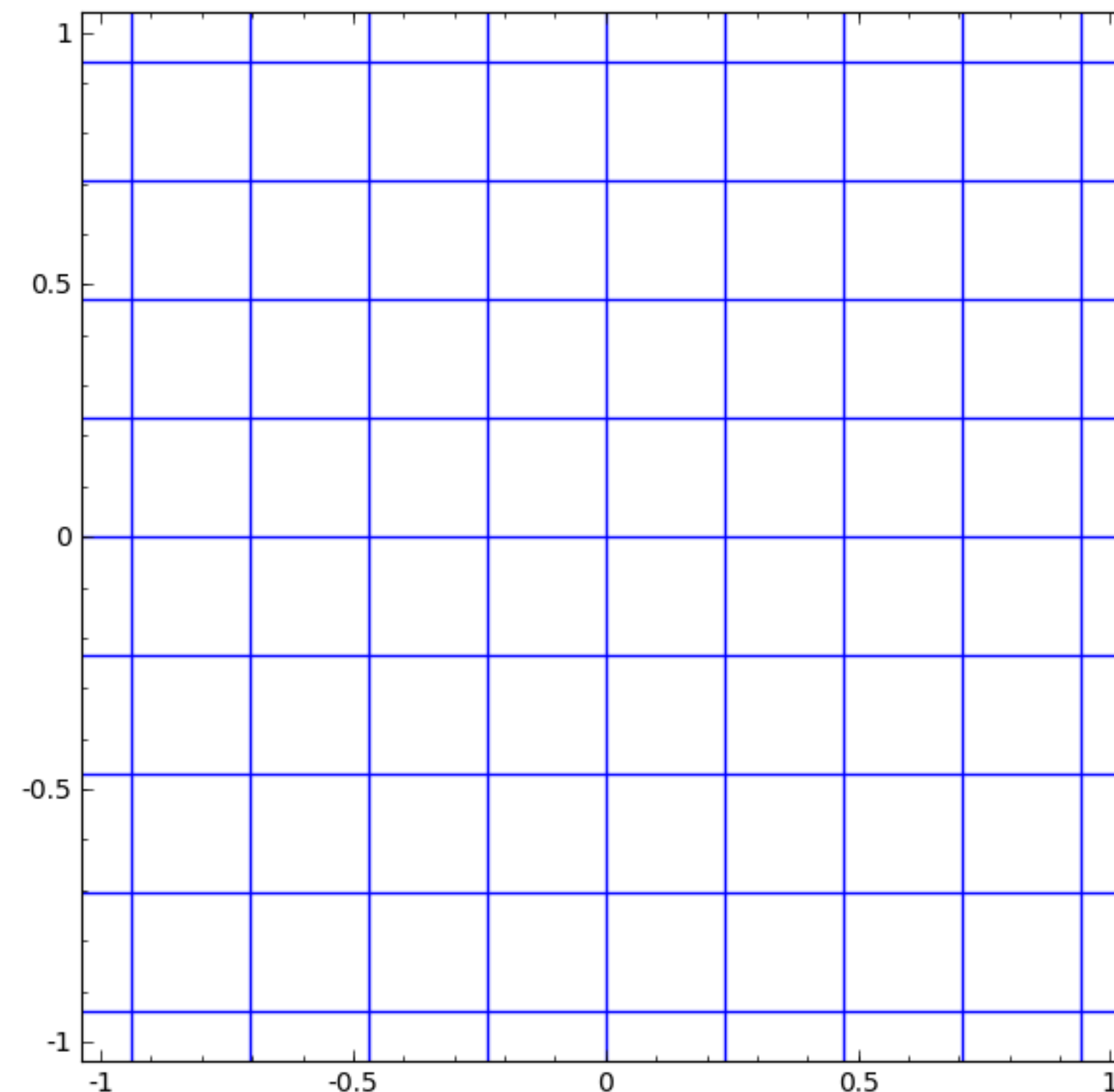
# Neural Networks

$$\mathbf{z} = g(Vf(\mathbf{x}) + \mathbf{b})$$

Nonlinear transformation      Warp space      Shift

$$y_{\text{pred}} = \operatorname{argmax}_y \mathbf{w}_y^\top \mathbf{z}$$

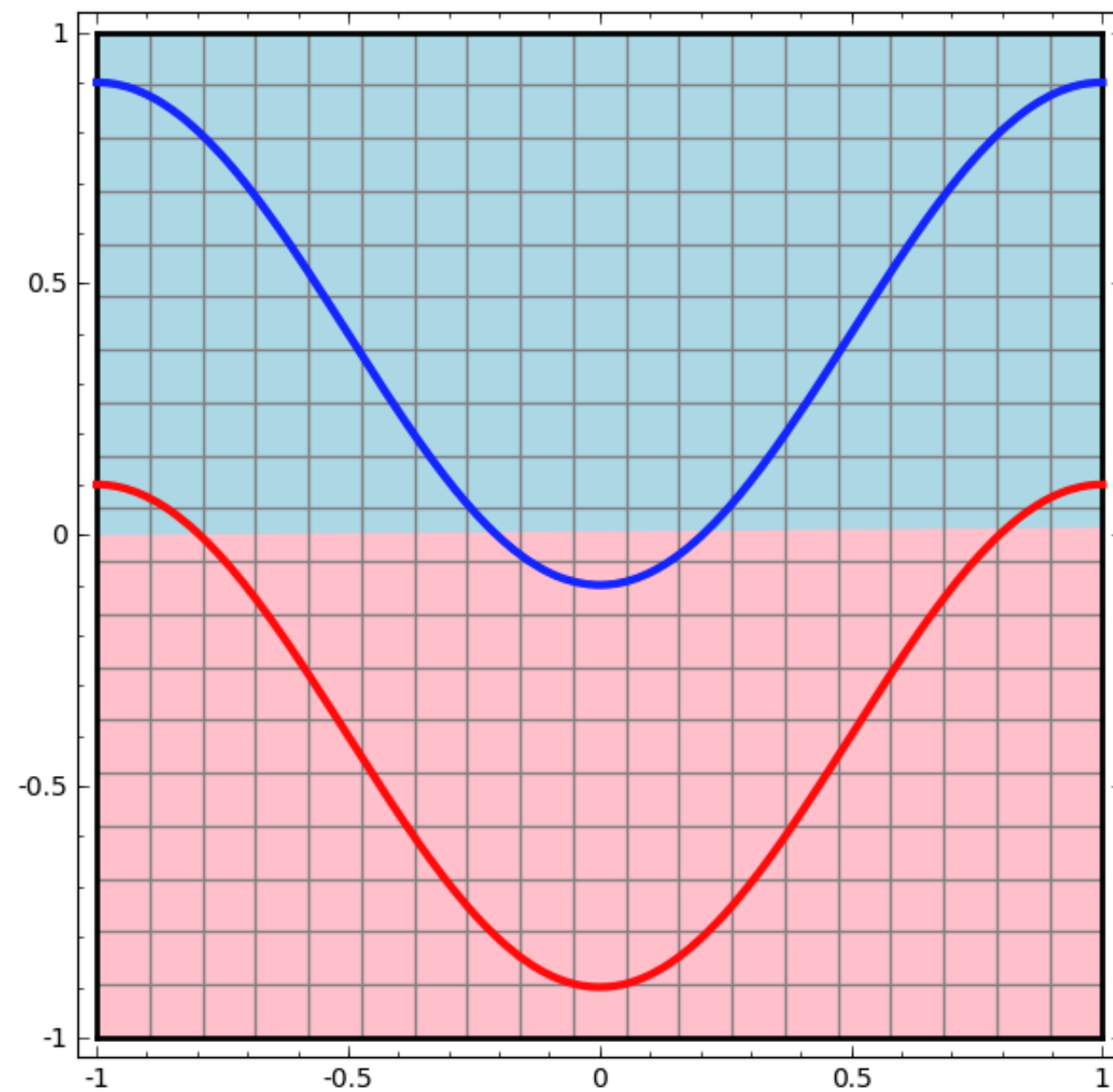
- Ignore shift /  $+\mathbf{b}$  term for the rest of the course



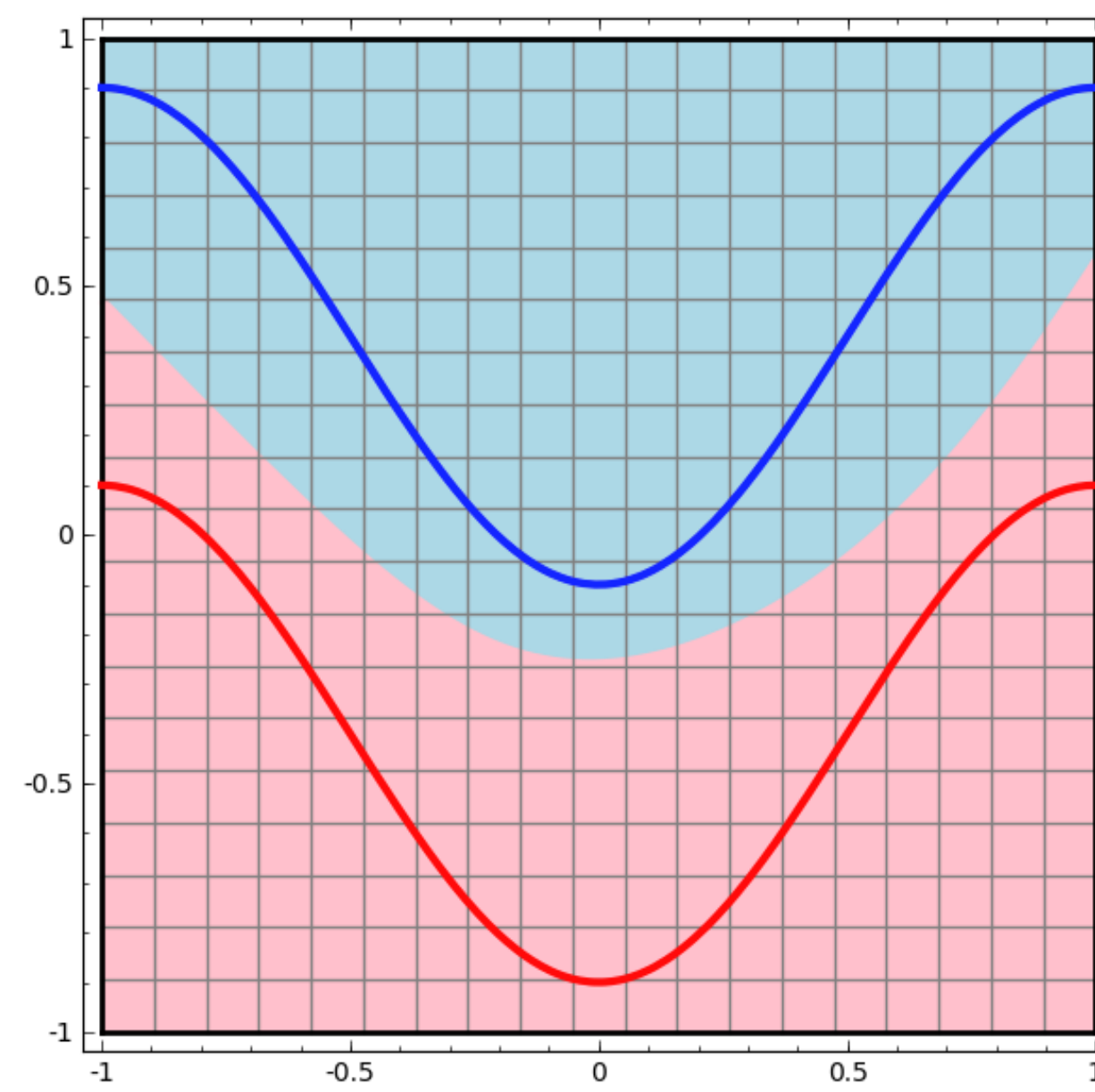


# Neural Networks

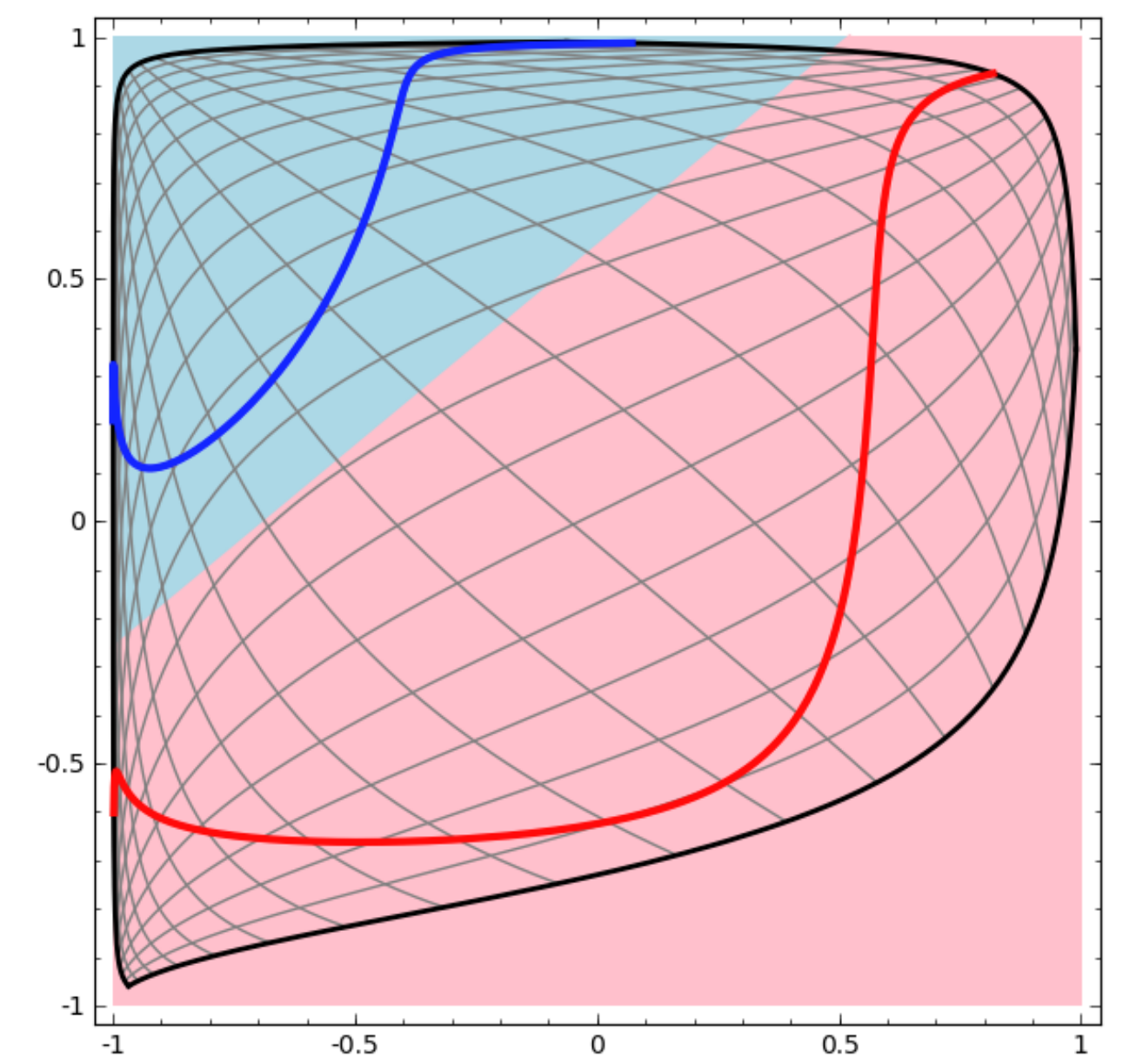
Linear classifier



Neural network



Linear classification  
in the transformed  
space!







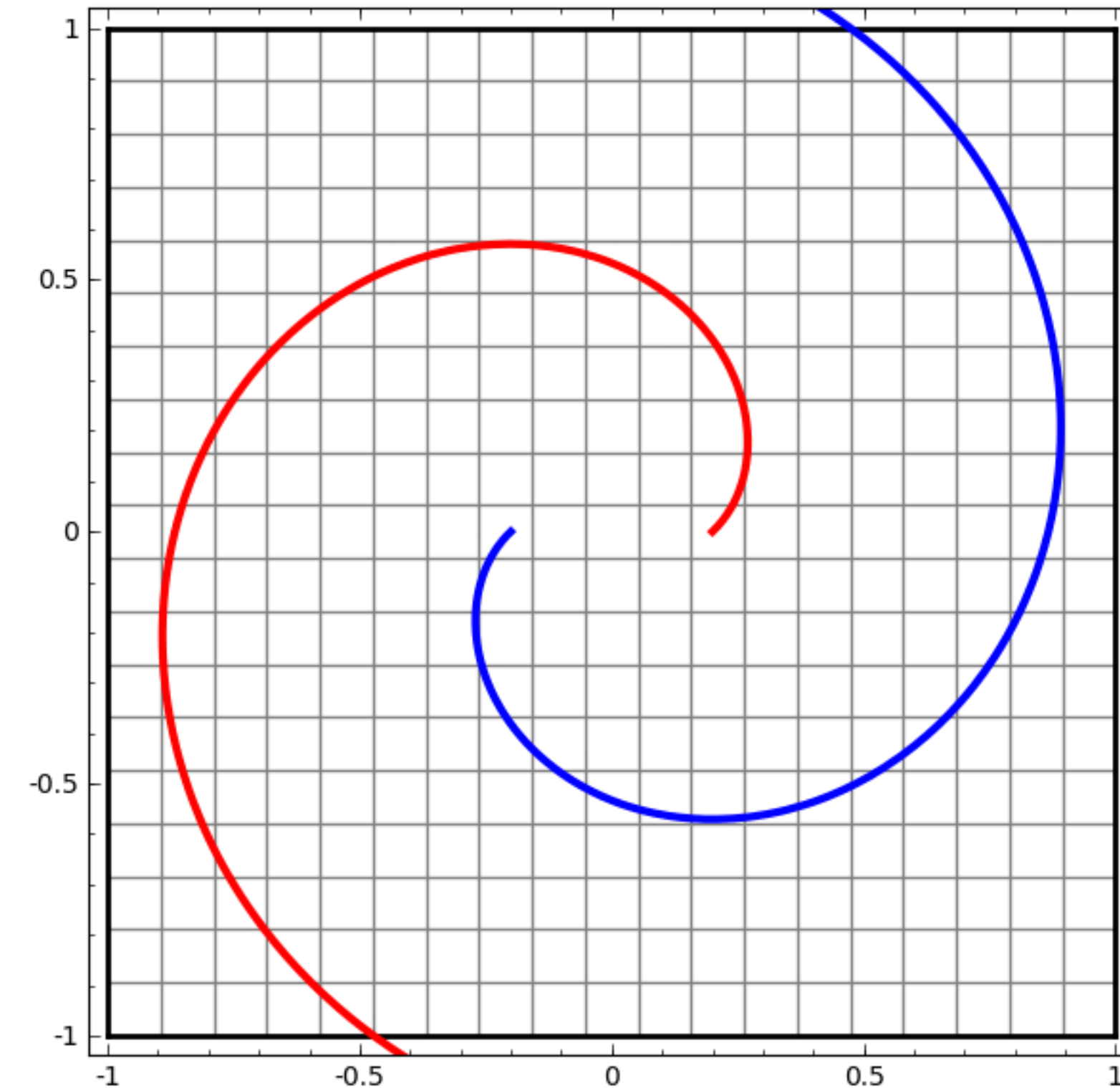
# Deep Neural Networks

$$\mathbf{z}_1 = g(V_1 f(\mathbf{x}))$$

$$\mathbf{z}_2 = g(V_2 \mathbf{z}_1)$$

...

$$y_{\text{pred}} = \operatorname{argmax}_y \mathbf{w}_y^\top \mathbf{z}_n$$



# Feedforward Networks



# Vectorization and Softmax

$$P(y|\mathbf{x}) = \frac{\exp(\mathbf{w}_y^\top \mathbf{x})}{\sum_{y'} \exp(\mathbf{w}_{y'}^\top \mathbf{x})}$$

► Single scalar probability

► Three classes,  
“different weights”

$\mathbf{w}_1^\top \mathbf{x}$	-1.1	$\xrightarrow{\text{softmax}}$	0.036	class probs
$\mathbf{w}_2^\top \mathbf{x}$	= 2.1		0.89	
$\mathbf{w}_3^\top \mathbf{x}$	-0.4		0.07	

- Softmax operation = “exponentiate and normalize”
- We write this as:  $\text{softmax}(W\mathbf{x})$



# Logistic Regression with NNs

---

$$P(y|\mathbf{x}) = \frac{\exp(\mathbf{w}_y^\top \mathbf{x})}{\sum_{y'} \exp(\mathbf{w}_{y'}^\top \mathbf{x})}$$

- ▶ Single scalar probability

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(W f(\mathbf{x}))$$

- ▶ Weight vector per class;  
 $W$  is [num classes x num feats]

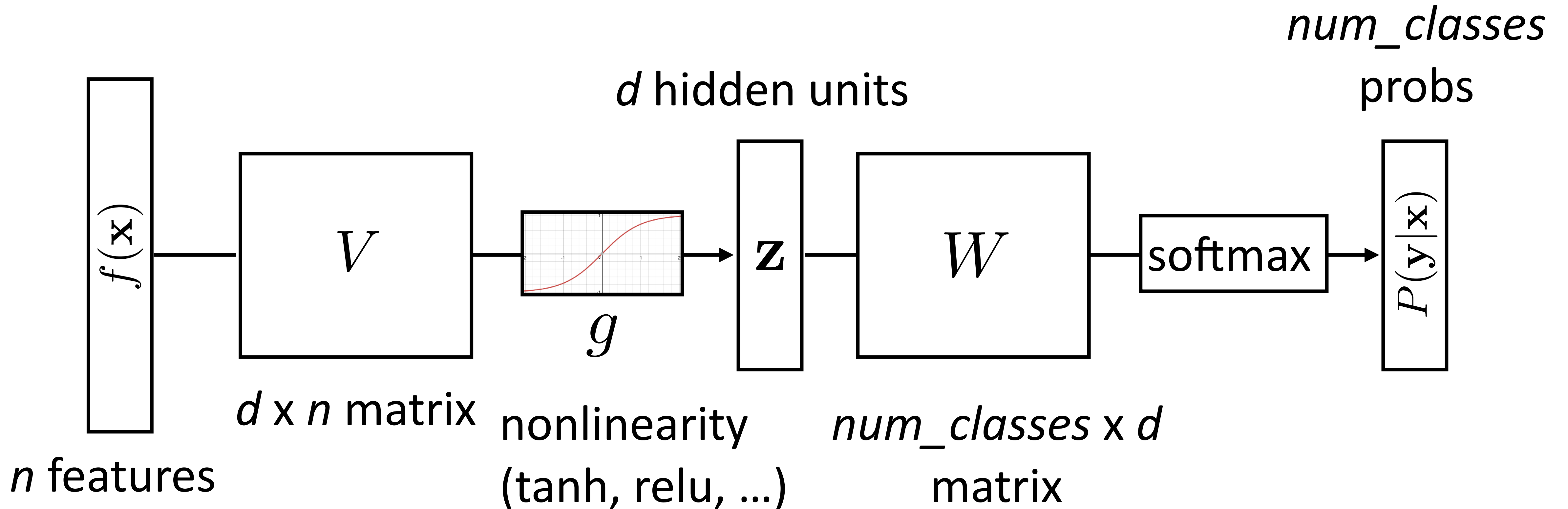
$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(W g(V f(\mathbf{x})))$$

- ▶ Now one hidden layer



# Neural Networks for Classification

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$$





Backpropagation  
(we'll go quickly — derivations at  
end of slides)



# Training Neural Networks

---

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(W\mathbf{z}) \quad \mathbf{z} = g(Vf(\mathbf{x}))$$

- ▶ Maximize log likelihood of training data

$$\mathcal{L}(\mathbf{x}, i^*) = \log P(y = i^* | \mathbf{x}) = \log (\text{softmax}(W\mathbf{z}) \cdot e_{i^*})$$

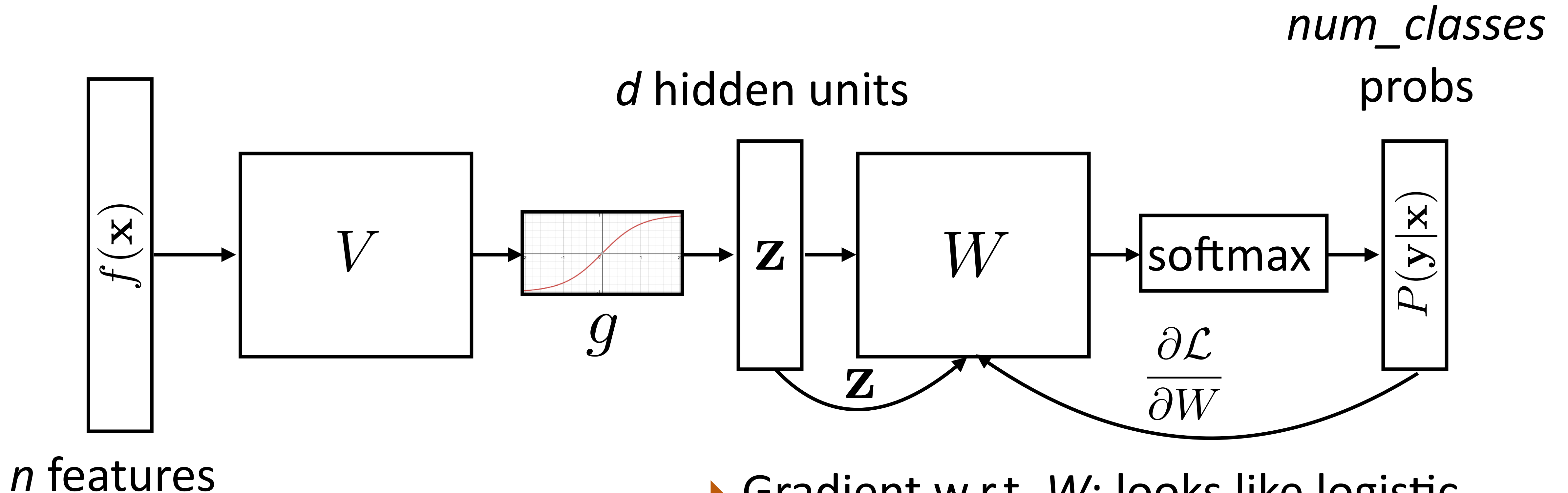
- ▶  $i^*$ : index of the gold label
- ▶  $e_i$ : 1 in the  $i$ th row, zero elsewhere. Dot by this = select  $i$ th index

$$\mathcal{L}(\mathbf{x}, i^*) = W\mathbf{z} \cdot e_{i^*} - \log \sum_j \exp(W\mathbf{z}) \cdot e_j$$



# Backpropagation: Picture

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(W g(V f(\mathbf{x})))$$

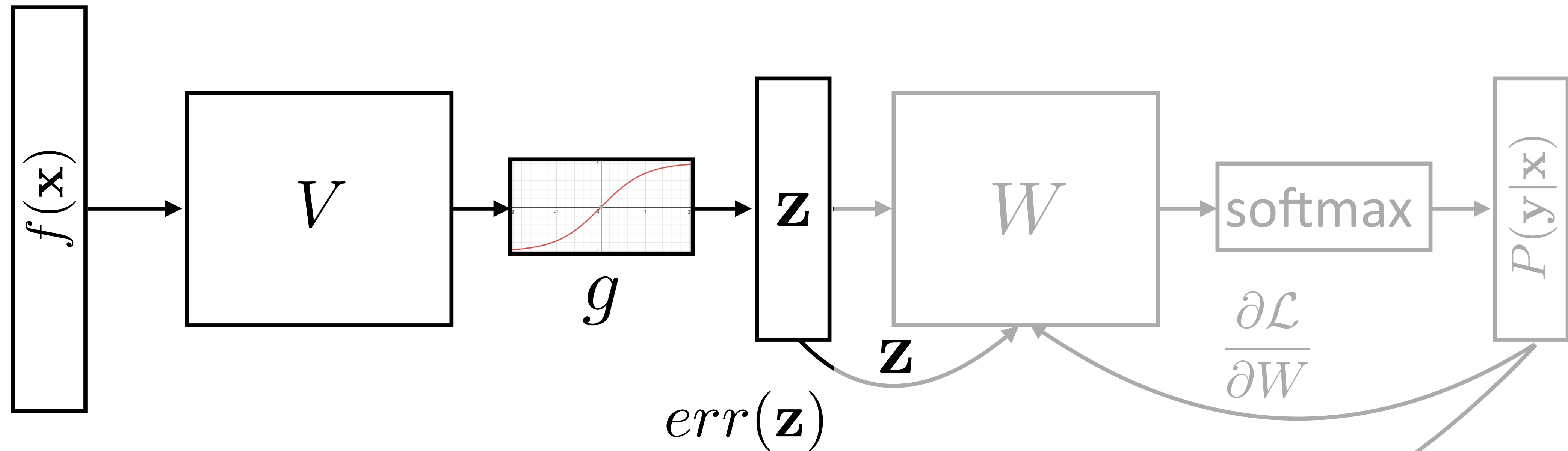


- ▶ Gradient w.r.t.  $W$ : looks like logistic regression, can be computed treating  $\mathbf{z}$  as the features



# Backpropagation: Picture

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$$



- Can forget everything after  $\mathbf{z}$ , treat it as the output and keep backpropping



# Computing Gradients: Backpropagation

$$\mathcal{L}(\mathbf{x}, i^*) = W\mathbf{z} \cdot e_{i^*} - \log \sum_j \exp(W\mathbf{z}) \cdot e_j \quad \mathbf{z} = g(Vf(\mathbf{x}))$$

Activations at hidden layer

- Gradient with respect to  $V$ : apply the chain rule

$$\frac{\partial \mathcal{L}(\mathbf{x}, i^*)}{\partial V_{ij}} = \boxed{\frac{\partial \mathcal{L}(\mathbf{x}, i^*)}{\partial \mathbf{z}}} \boxed{\frac{\partial \mathbf{z}}{\partial V_{ij}}} \quad \frac{\partial \mathbf{z}}{\partial V_{ij}} = \boxed{\frac{\partial g(\mathbf{a})}{\partial \mathbf{a}}} \boxed{\frac{\partial \mathbf{a}}{\partial V_{ij}}} \quad \mathbf{a} = Vf(\mathbf{x})$$

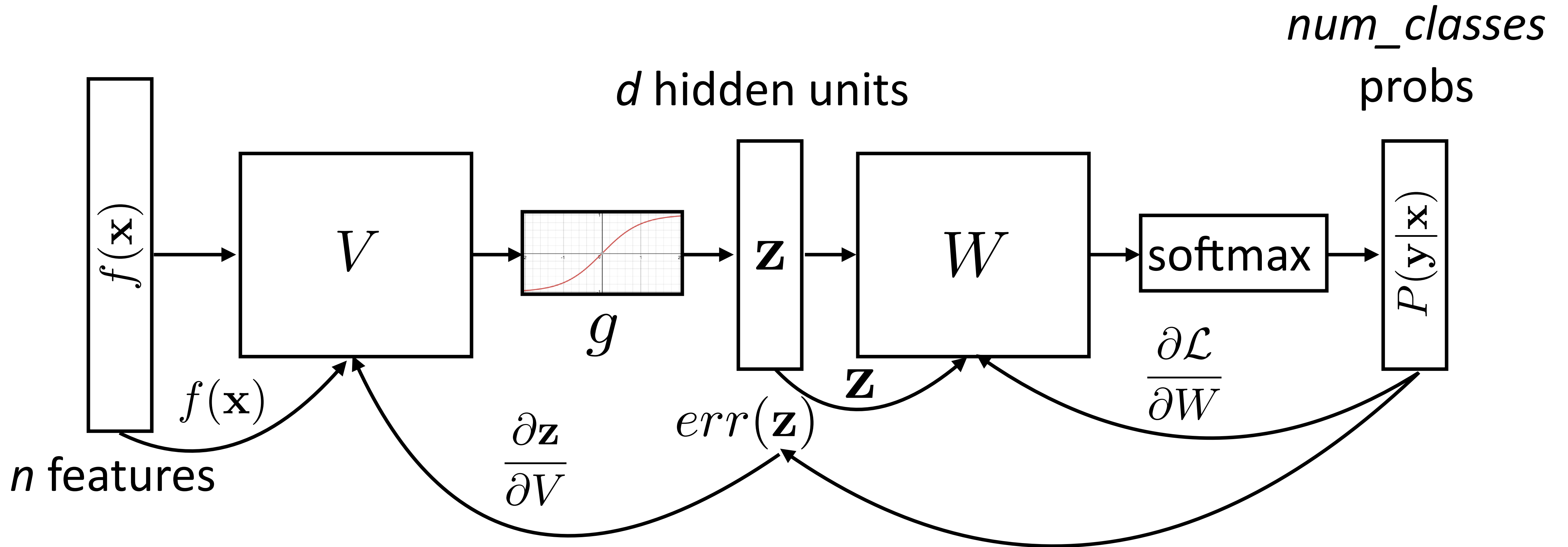
- **First term:**  $err(\mathbf{z})$ ; represents gradient w.r.t.  $\mathbf{z}$
- **First term:** gradient of nonlinear activation function at  $\mathbf{a}$  (depends on current value)
- **Second term:** gradient of linear function





# Backpropagation: Picture

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$$



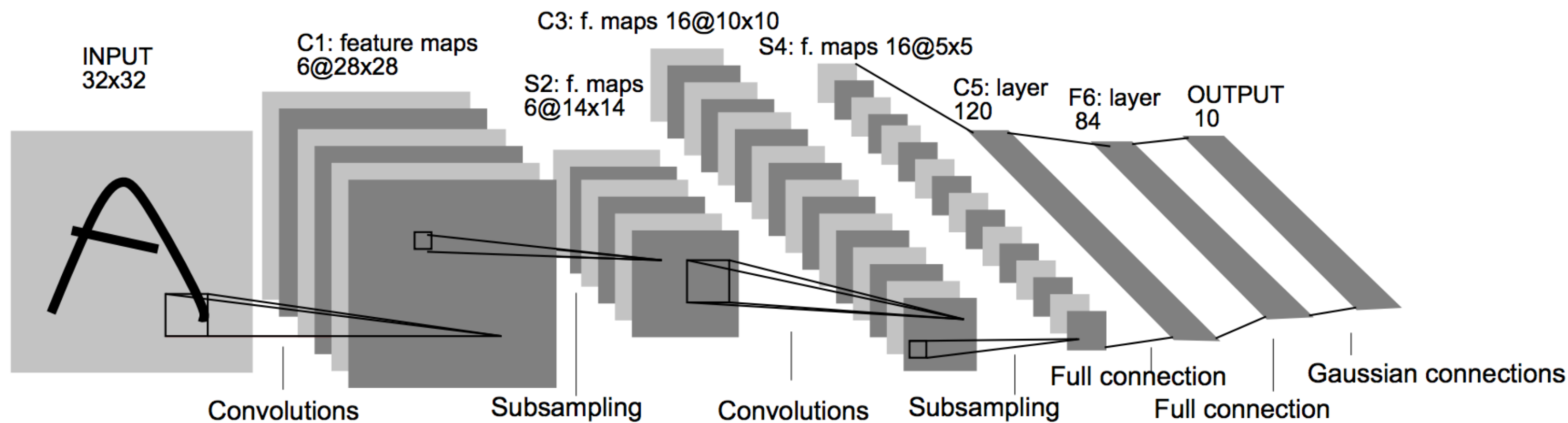
- Combine backward gradients with forward-pass products

# Neural Nets History

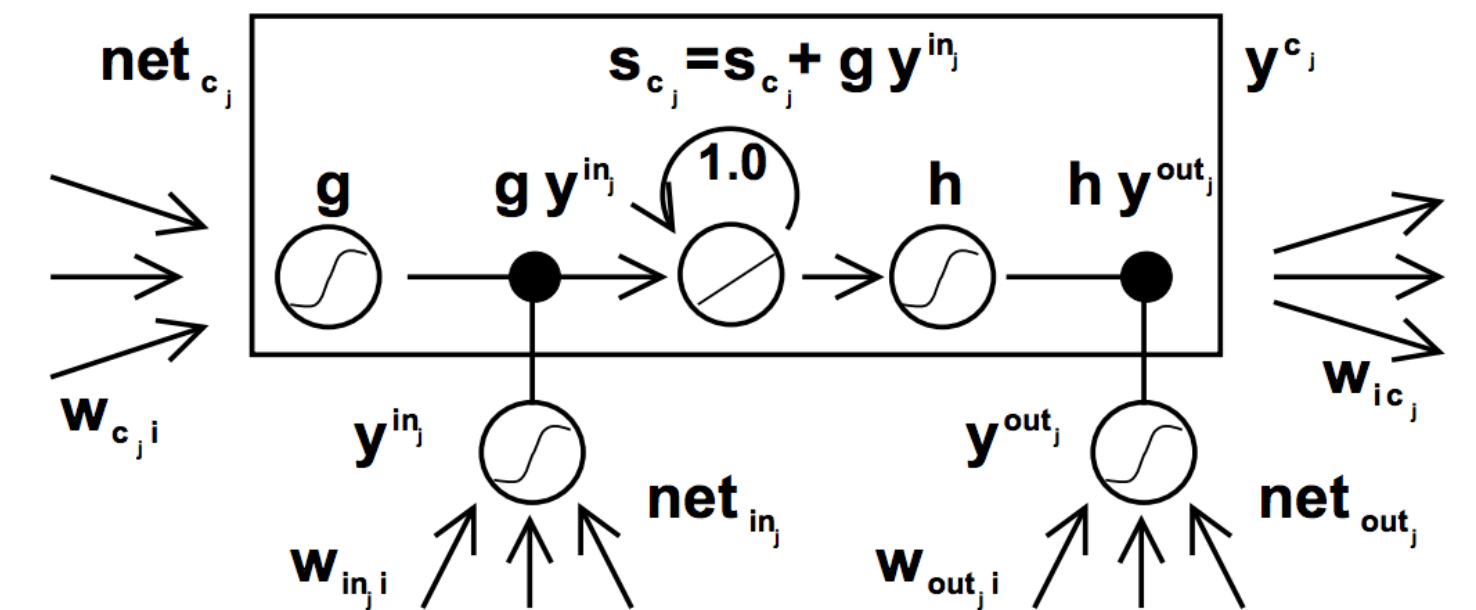


# History: NN “dark ages”

- Convnets: applied to MNIST by LeCun in 1998



- LSTMs: Hochreiter and Schmidhuber (1997)



- Henderson (2003): neural shift-reduce parser, not SOTA



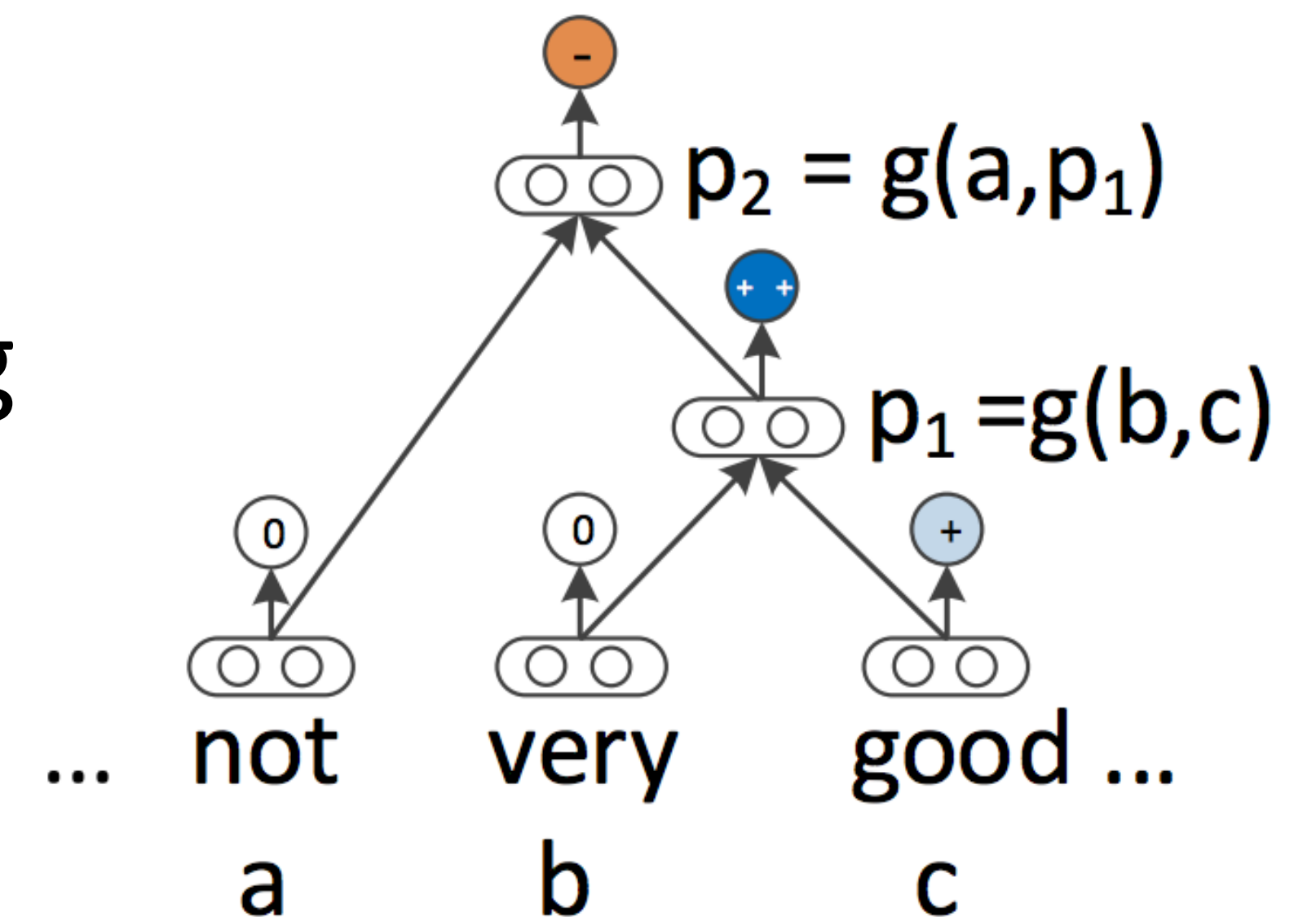
# 2008-2013: A glimmer of light...

- ▶ Collobert and Weston 2011: “NLP (almost) from scratch”

- ▶ Feedforward neural nets induce features for sequential CRFs (“neural CRF”)

- ▶ Krizhevsky et al. (2012): AlexNet for vision

- ▶ Socher 2011-2014: tree-structured RNNs working okay





# 2014: Stuff starts working

---

- ▶ Kim (2014) + Kalchbrenner et al. (2014): sentence classification / sentiment (convnets work for NLP?)
- ▶ Sutskever et al. (2014) + Bahdanau et al. (2014): seq2seq for neural MT (LSTMs work for NLP?)
- ▶ Chen and Manning transition-based dependency parser (feedforward)
- ▶ 2015: explosion of neural nets for everything under the sun





# Why didn't they work before?

---

- ▶ **Datasets too small:** for MT, not really better until you have 1M+ parallel sentences (and really need a lot more)
- ▶ **Optimization not well understood:** good initialization, per-feature scaling + momentum (Adagrad / Adadelata / Adam) work best out-of-the-box
  - ▶ **Regularization:** dropout is pretty helpful
  - ▶ **Computers not big enough:** can't run for enough iterations
- ▶ **Inputs:** need word representations to have the right continuous semantics



# Next Time

---

- ▶ More implementation details: practical training techniques
- ▶ Word representations / word vectors
- ▶ word2vec, GloVe

Backpropagation — Derivations  
(not covered in lecture, optional but  
useful for Assignment 2)



# Computing Gradients

$$\mathcal{L}(\mathbf{x}, i^*) = W\mathbf{z} \cdot e_{i^*} - \log \sum_j \exp(W\mathbf{z}) \cdot e_j$$

gradient w.r.t.  $W$   
 $j$

- Gradient with respect to  $W$ :

$$\frac{\partial}{\partial W_{ij}} \mathcal{L}(\mathbf{x}, i^*) = \begin{cases} \mathbf{z}_j - P(y = i|\mathbf{x})\mathbf{z}_j & \text{if } i = i^* \\ -P(y = i|\mathbf{x})\mathbf{z}_j & \text{otherwise} \end{cases}$$

$i$	
	$\mathbf{z}_j - P(y = i \mathbf{x})\mathbf{z}_j$
	$-P(y = i \mathbf{x})\mathbf{z}_j$

- Looks like logistic regression with  $\mathbf{z}$  as the features!



# Computing Gradients: Backpropagation

$$\mathcal{L}(\mathbf{x}, i^*) = W\mathbf{z} \cdot e_{i^*} - \log \sum_j \exp(W\mathbf{z}) \cdot e_j \quad \mathbf{z} = g(Vf(\mathbf{x}))$$

Activations at hidden layer

- Gradient with respect to  $V$ : apply the chain rule

$$\frac{\partial \mathcal{L}(\mathbf{x}, i^*)}{\partial V_{ij}} = \boxed{\frac{\partial \mathcal{L}(\mathbf{x}, i^*)}{\partial \mathbf{z}}} \frac{\partial \mathbf{z}}{\partial V_{ij}}$$

[some math...]

$$err(\text{root}) = e_{i^*} - P(\mathbf{y}|\mathbf{x})$$

dim = *num\_classes*

$$\boxed{\frac{\partial \mathcal{L}(\mathbf{x}, i^*)}{\partial \mathbf{z}} = err(\mathbf{z}) = W^\top err(\text{root})}$$

dim =  $d$





# Computing Gradients: Backpropagation

$$\mathcal{L}(\mathbf{x}, i^*) = W\mathbf{z} \cdot e_{i^*} - \log \sum_j \exp(W\mathbf{z}) \cdot e_j \quad \mathbf{z} = g(Vf(\mathbf{x}))$$

Activations at hidden layer

- Gradient with respect to  $V$ : apply the chain rule

$$\frac{\partial \mathcal{L}(\mathbf{x}, i^*)}{\partial V_{ij}} = \frac{\partial \mathcal{L}(\mathbf{x}, i^*)}{\partial \mathbf{z}} \frac{\partial \mathbf{z}}{\partial V_{ij}} = \frac{\partial g(\mathbf{a})}{\partial \mathbf{a}} \frac{\partial \mathbf{a}}{\partial V_{ij}} \quad \mathbf{a} = Vf(\mathbf{x})$$

- First term: gradient of nonlinear activation function at  $\mathbf{a}$  (depends on current value)
- Second term: gradient of linear function
- First term:  $err(\mathbf{z})$ ; represents gradient w.r.t.  $\mathbf{z}$



# Backpropagation

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$$

- ▶ Step 1: compute  $err(\text{root}) = e_{i^*} - P(\mathbf{y}|\mathbf{x})$  (vector)
- ▶ Step 2: compute derivatives of  $W$  using  $err(\text{root})$  (matrix)
- ▶ Step 3: compute  $\frac{\partial \mathcal{L}(\mathbf{x}, i^*)}{\partial \mathbf{z}} = err(\mathbf{z}) = W^\top err(\text{root})$  (vector)
- ▶ Step 4: compute derivatives of  $V$  using  $err(\mathbf{z})$  (matrix)
- ▶ Step 5+: continue backpropagation if necessary
- ▶ See `optimization.py` in the homework to understand this more