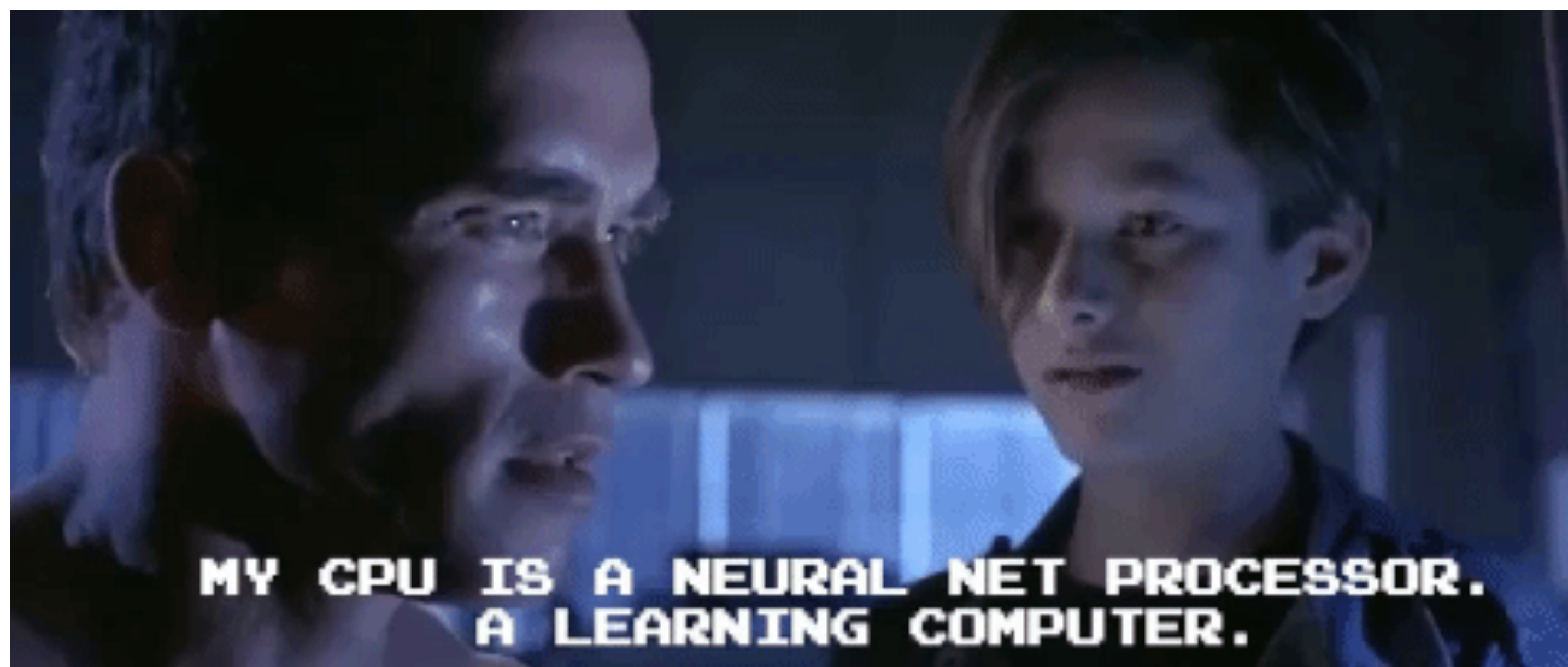


CS388: Natural Language Processing

Lecture 4: Neural Networks

Greg Durrett





Recall: Multiclass Classification

- ▶ Two views of multiclass classification:
 - ▶ Different features: $\operatorname{argmax}_{y \in \mathcal{Y}} w^\top f(x, y)$
 - ▶ Different weights: $\operatorname{argmax}_{y \in \mathcal{Y}} w_y^\top f(x)$
- ▶ Logistic regression:
$$P_{\mathbf{w}}(y = \hat{y} \mid \mathbf{x}) = \frac{\exp\left(\mathbf{w}_{\hat{y}}^\top \mathbf{f}(\mathbf{x})\right)}{\sum_{y'} \exp\left(\mathbf{w}_{y'}^\top \mathbf{f}(\mathbf{x})\right)}$$

Gradient of log likelihood:

“increase value for gold
weight vector, decrease
for other weight vectors”

$$\frac{\partial}{\partial \mathbf{w}_{y^{(i)}}} \mathcal{L}(\mathbf{x}^{(i)}, y^{(i)}) = \mathbf{f}(\mathbf{x}^{(i)}) (P_{\mathbf{w}}(y^{(i)} \mid \mathbf{x}^{(i)}) - 1)$$

$$\frac{\partial}{\partial \mathbf{w}_{\tilde{y}}} \mathcal{L}(\mathbf{x}^{(i)}, y^{(i)}) = \mathbf{f}(\mathbf{x}^{(i)}) P_{\mathbf{w}}(y^{(i)} \mid \mathbf{x}^{(i)})$$



This Lecture

- ▶ Neural network history
- ▶ Neural network basics
- ▶ Feedforward neural networks + backpropagation
- ▶ Applications
- ▶ Implementing neural networks (if time)

Neural Net Basics



Neural Networks

- ▶ Linear classification: $\operatorname{argmax}_y w^\top f(x, y)$
- ▶ Want to learn intermediate conjunctive features of the input

*the movie was **not** all that **good***

$I[\text{contains } \textit{not} \ \& \ \text{contains } \textit{good}]$

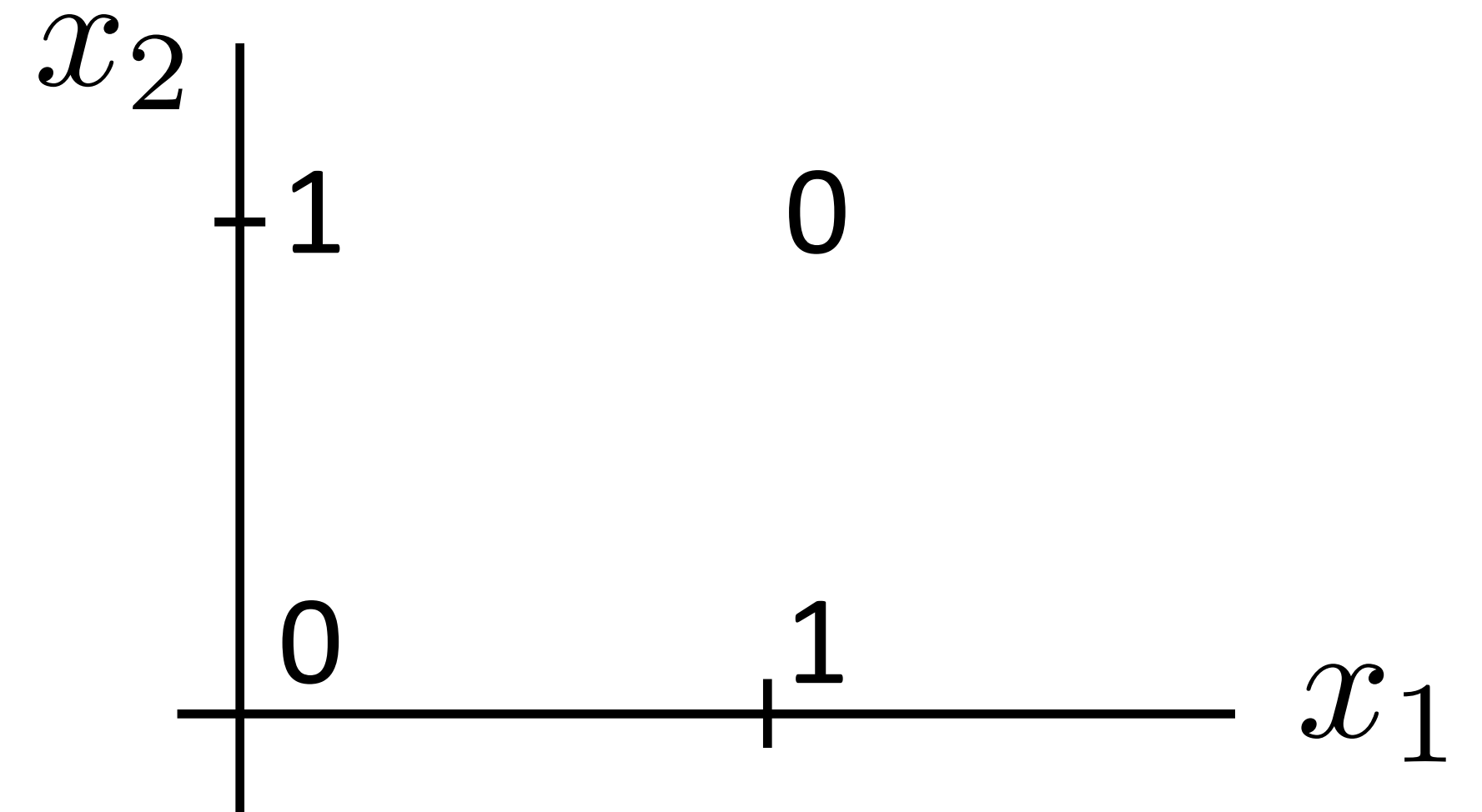
- ▶ How do we learn this if our feature vector is just the unigram indicators?

$I[\text{contains } \textit{not}], I[\text{contains } \textit{good}]$



Neural Networks: XOR

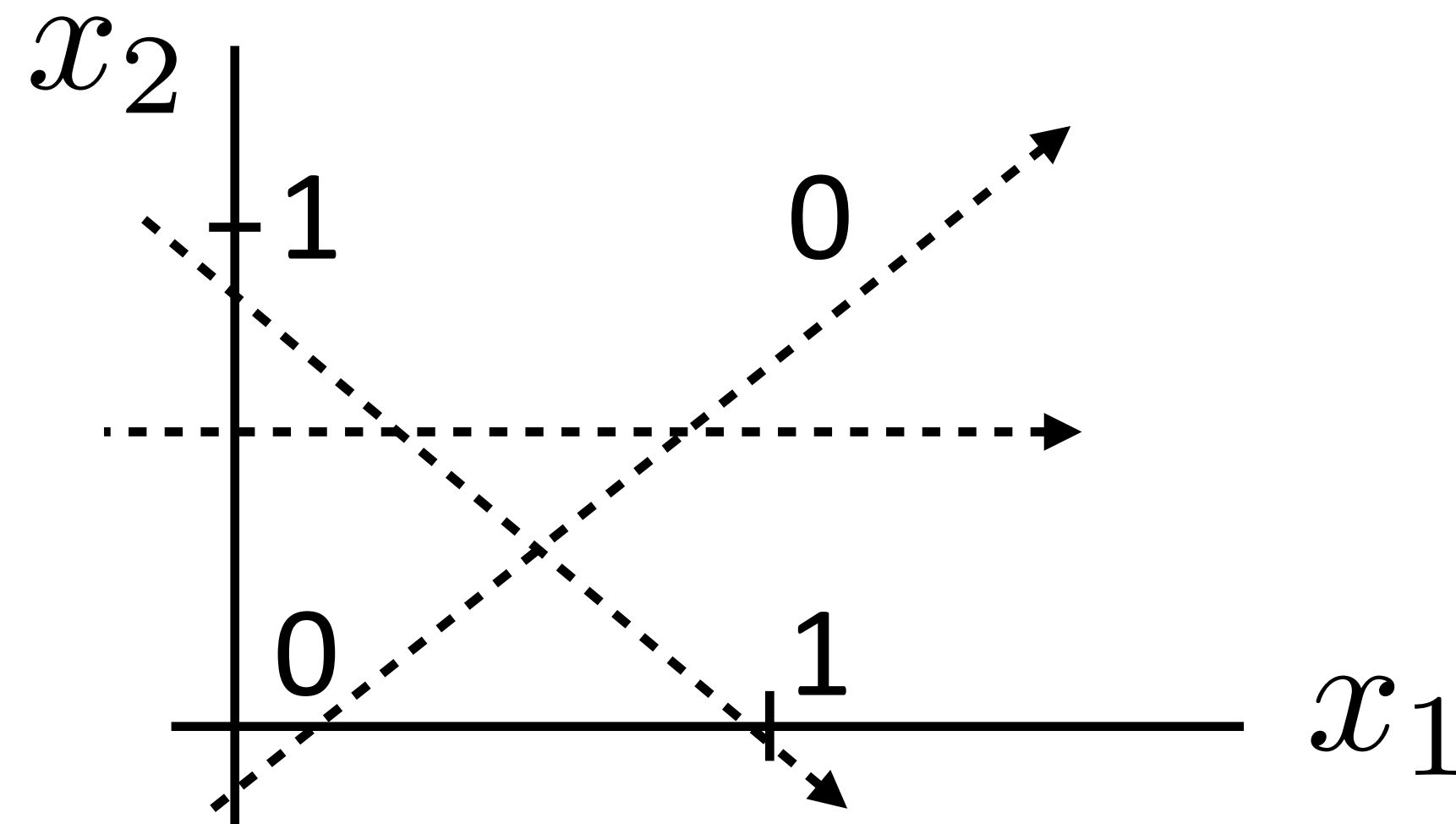
- ▶ Let's see how we can use neural nets to learn a simple nonlinear function
- ▶ Inputs x_1, x_2
(generally $\mathbf{x} = (x_1, \dots, x_m)$)
- ▶ Output y
(generally $\mathbf{y} = (y_1, \dots, y_n)$)



x_1	x_2	$y = x_1 \text{ XOR } x_2$
0	0	0
0	1	1
1	0	1
1	1	0



Neural Networks: XOR



$$y = a_1 x_1 + a_2 x_2$$

X

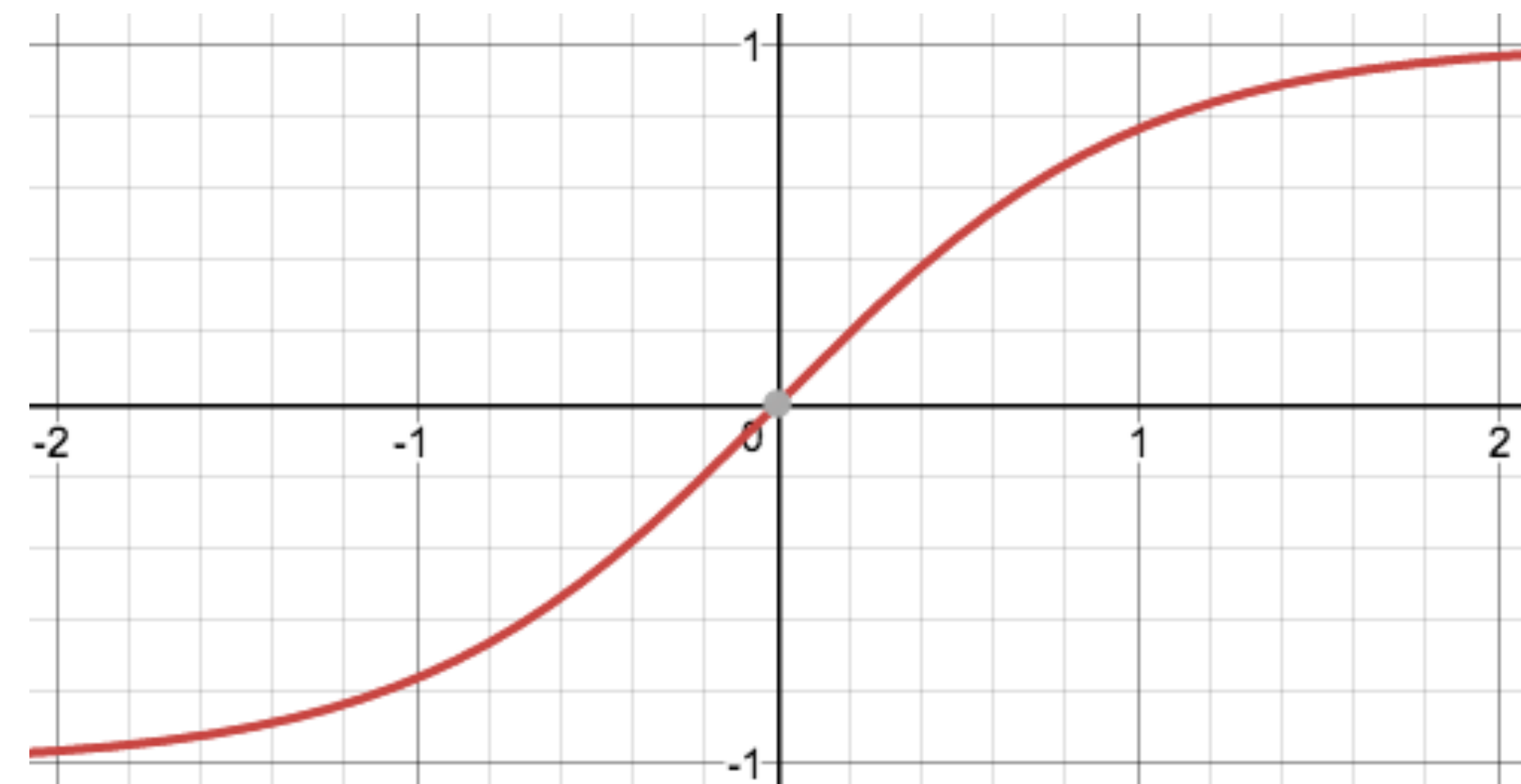
$$y = a_1 x_1 + a_2 x_2 + a_3 \tanh(x_1 + x_2)$$

“or”

✓

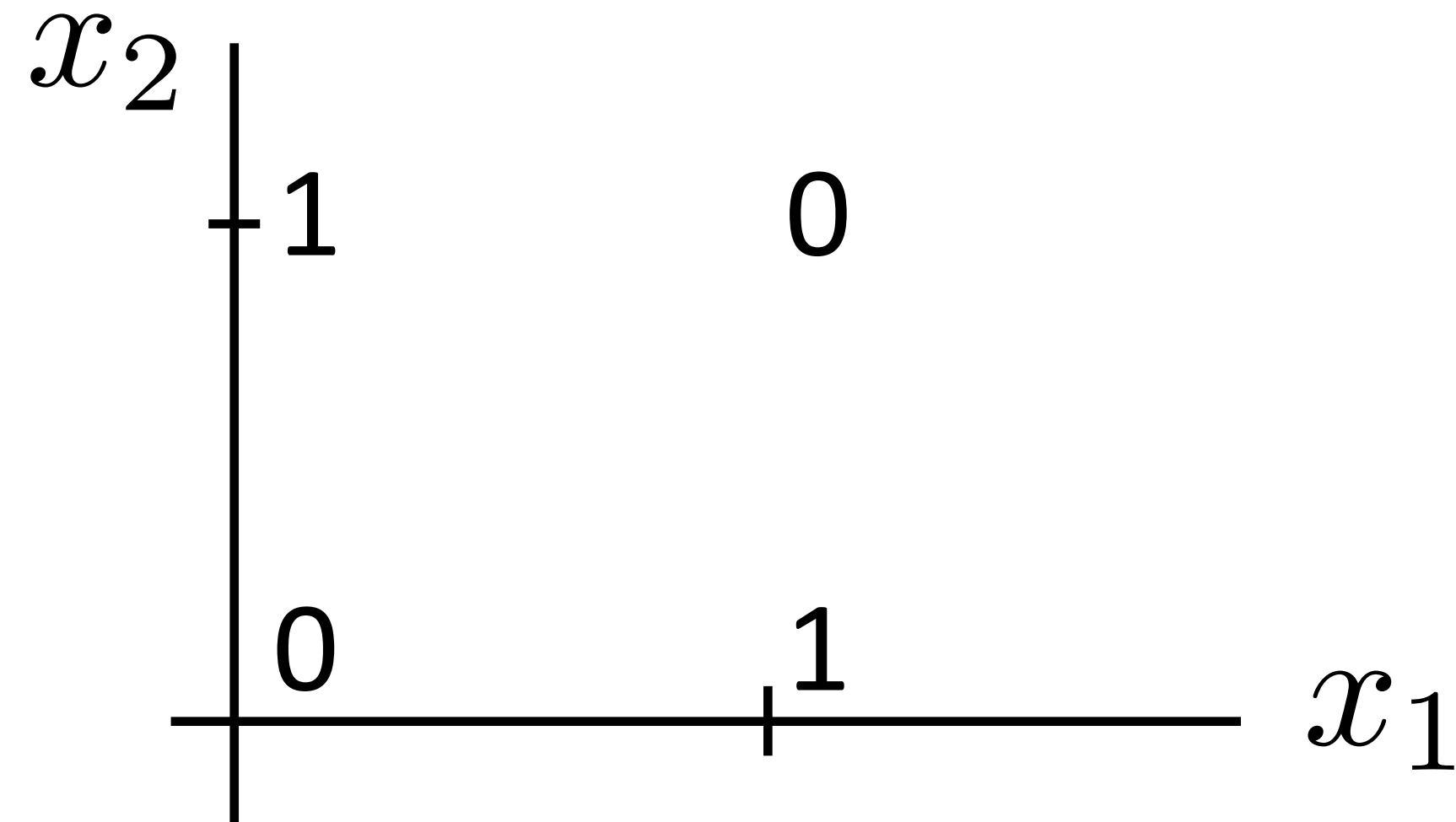
(looks like action potential in neuron)

x_1	x_2	x_1 XOR x_2
0	0	0
0	1	1
1	0	1
1	1	0





Neural Networks: XOR



$$y = a_1 x_1 + a_2 x_2$$

X

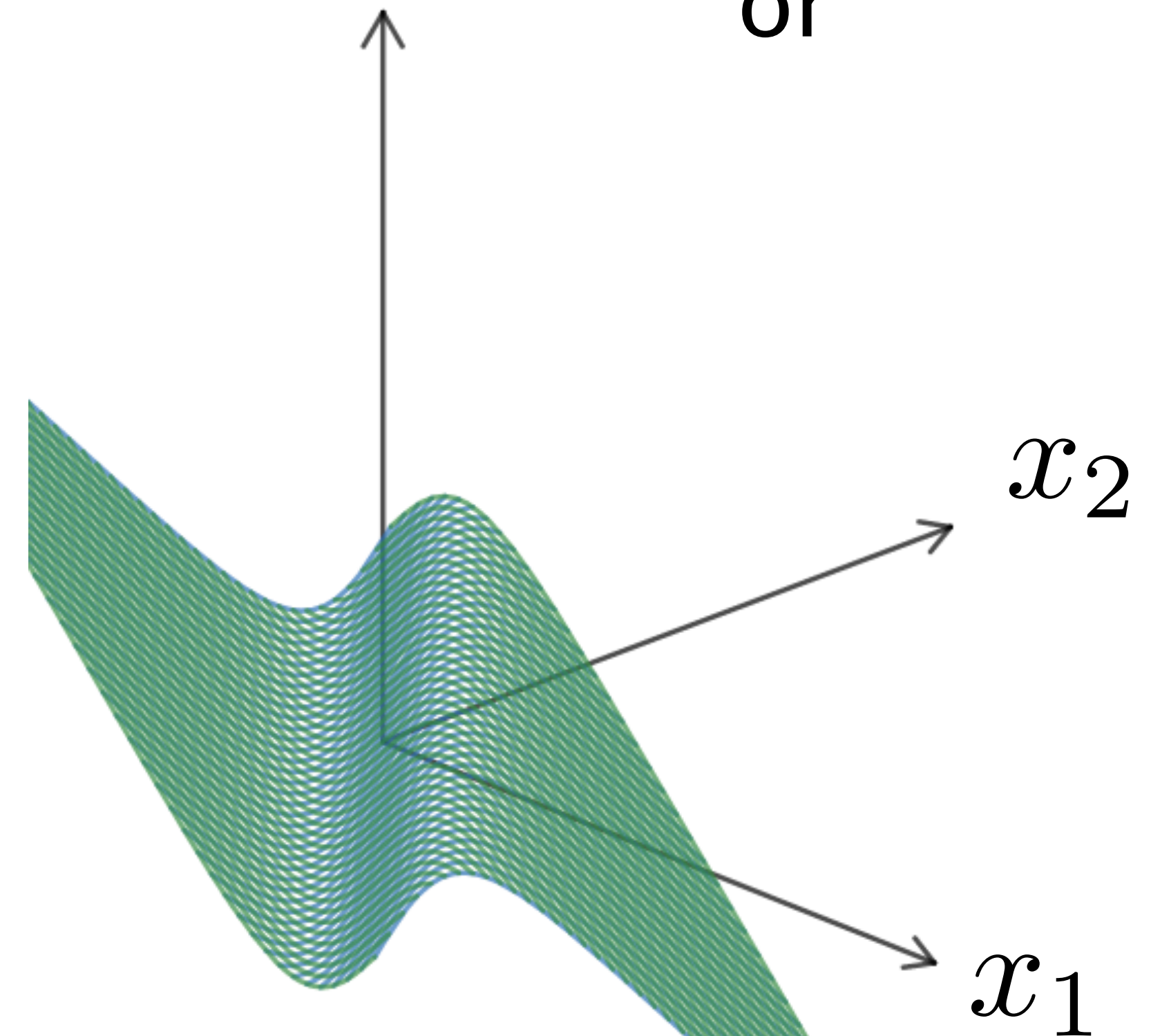
$$y = a_1 x_1 + a_2 x_2 + a_3 \tanh(x_1 + x_2)$$

✓

$$y = -x_1 - x_2 + 2 \tanh(x_1 + x_2)$$

“or”

x_1	x_2	x_1 XOR x_2
0	0	0
0	1	1
1	0	1
1	1	0





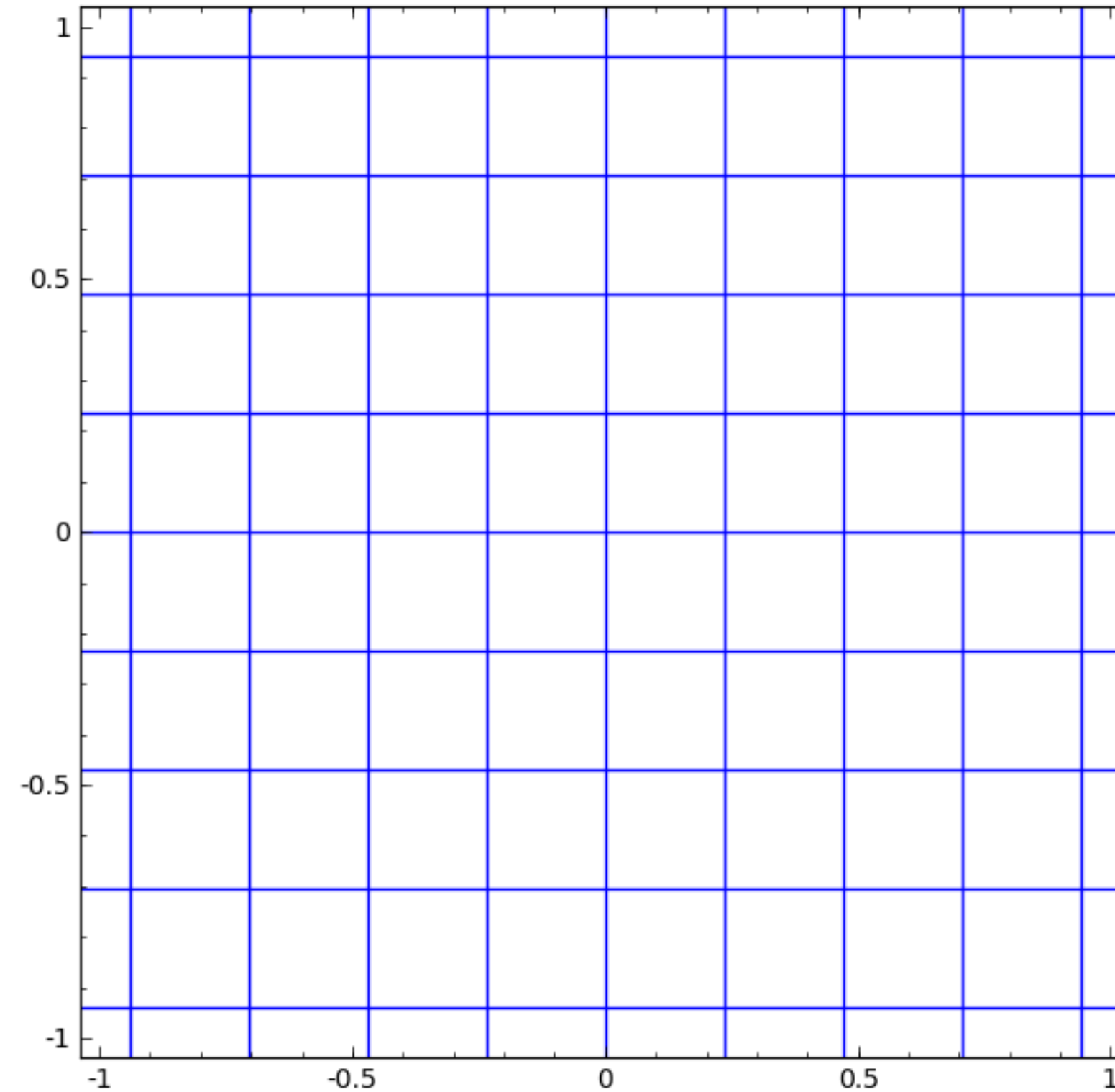
Neural Networks

Linear model: $y = \mathbf{w} \cdot \mathbf{x} + b$

$$y = g(\mathbf{w} \cdot \mathbf{x} + b)$$

$$\mathbf{y} = g(\mathbf{W}\mathbf{x} + \mathbf{b})$$

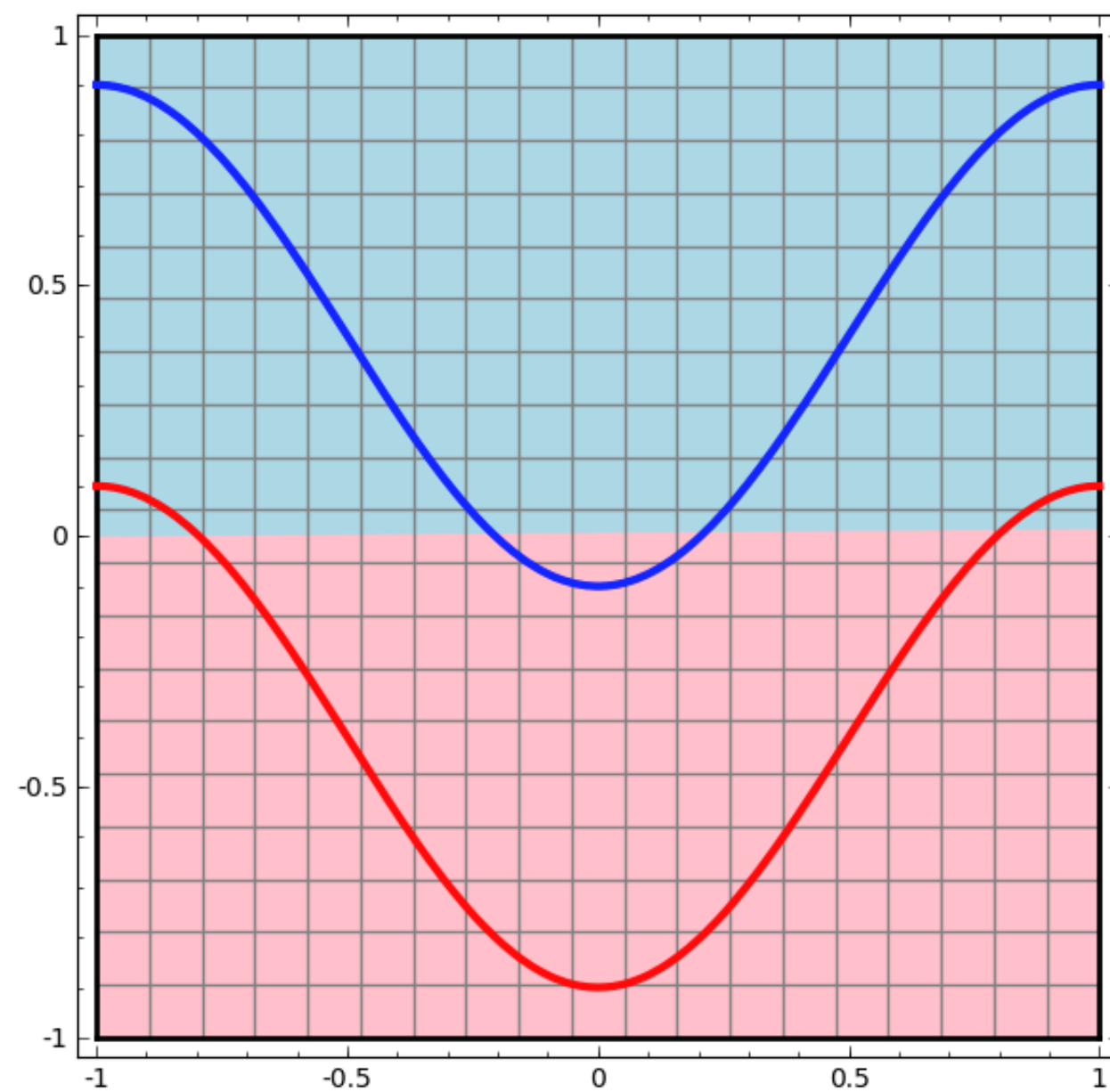
Nonlinear transformation Warp space Shift



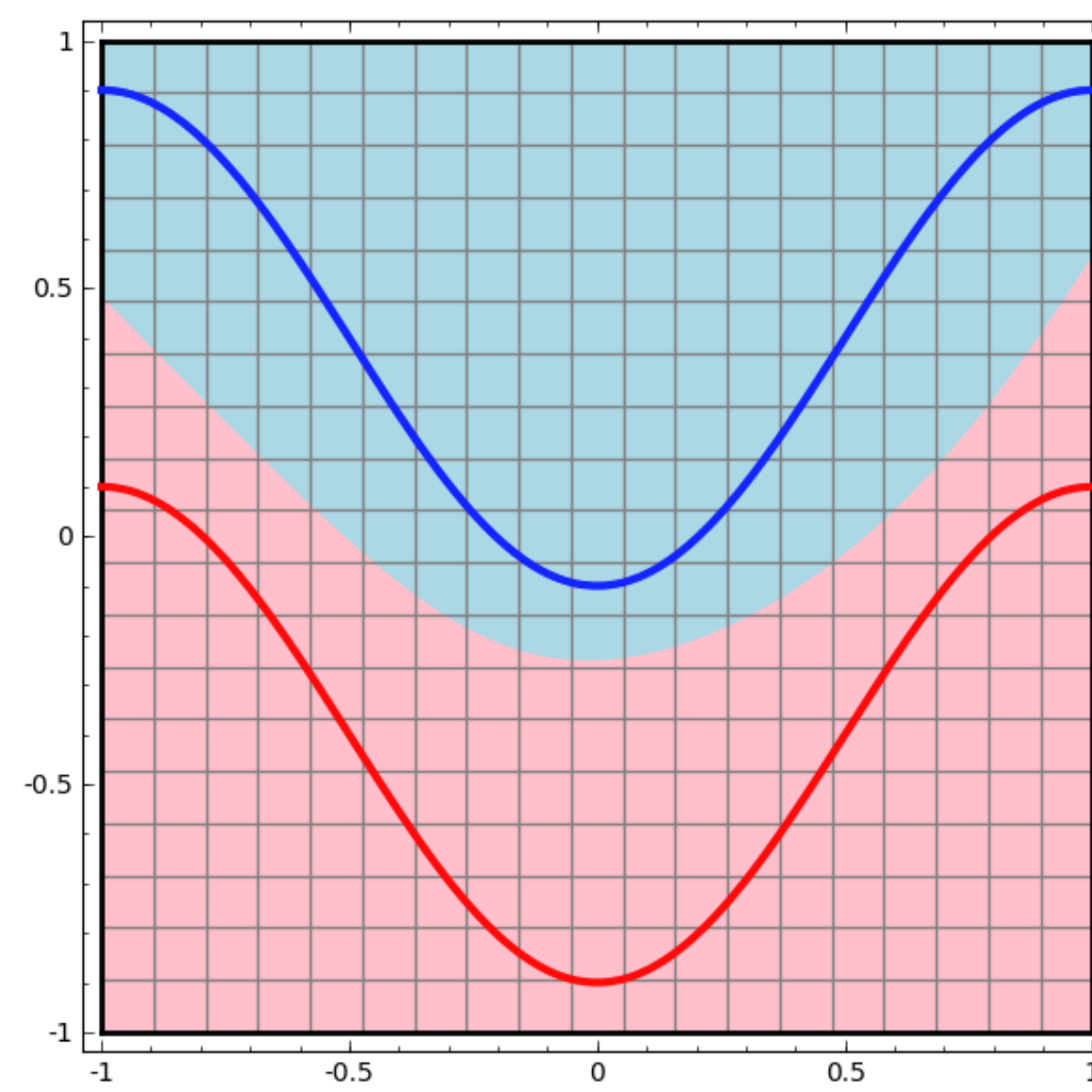


Neural Networks

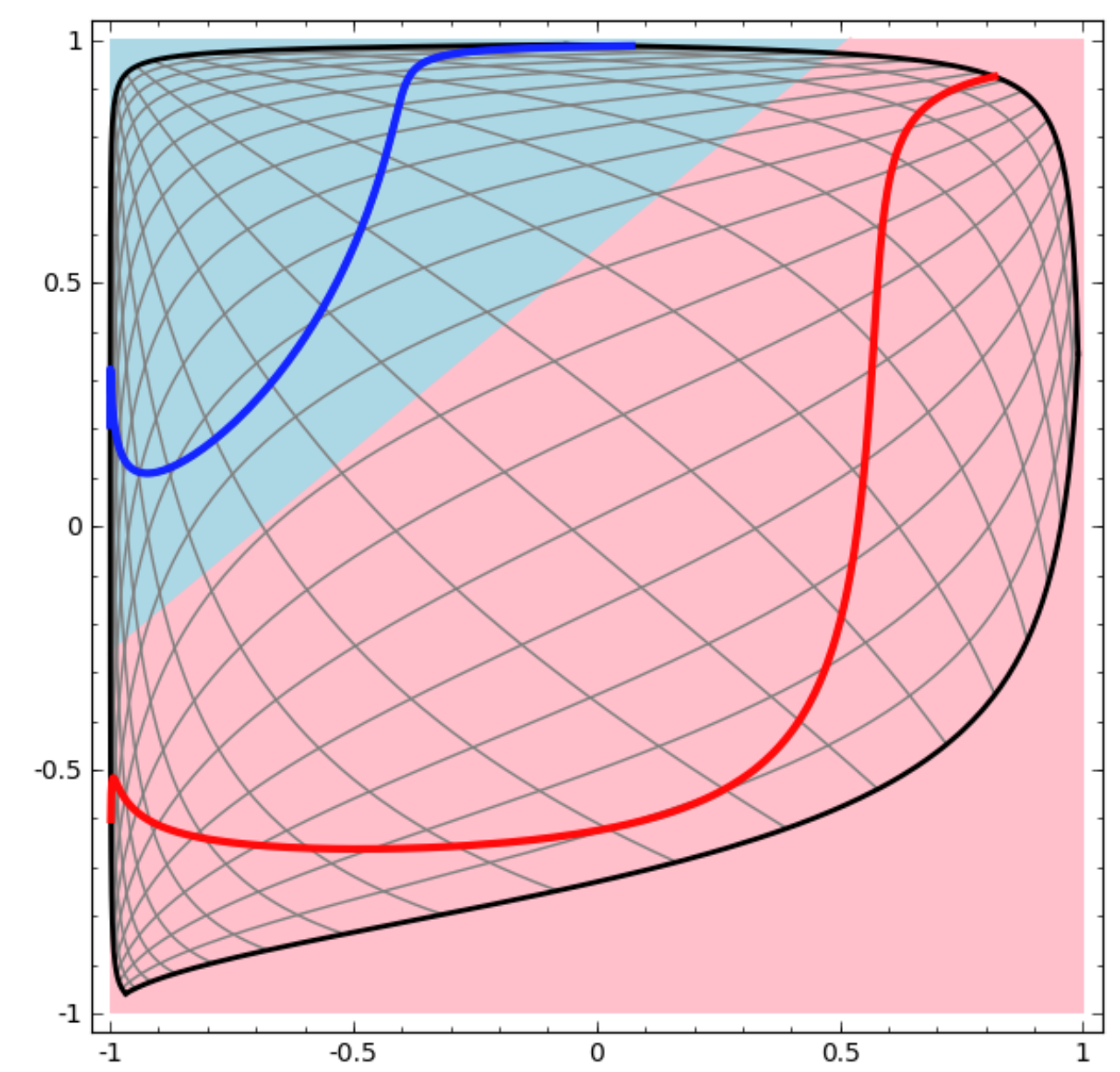
Linear classifier



Neural network



...possible because
we transformed the
space!





Deep Neural Networks

$$\mathbf{y} = g(\mathbf{W}\mathbf{x} + \mathbf{b})$$

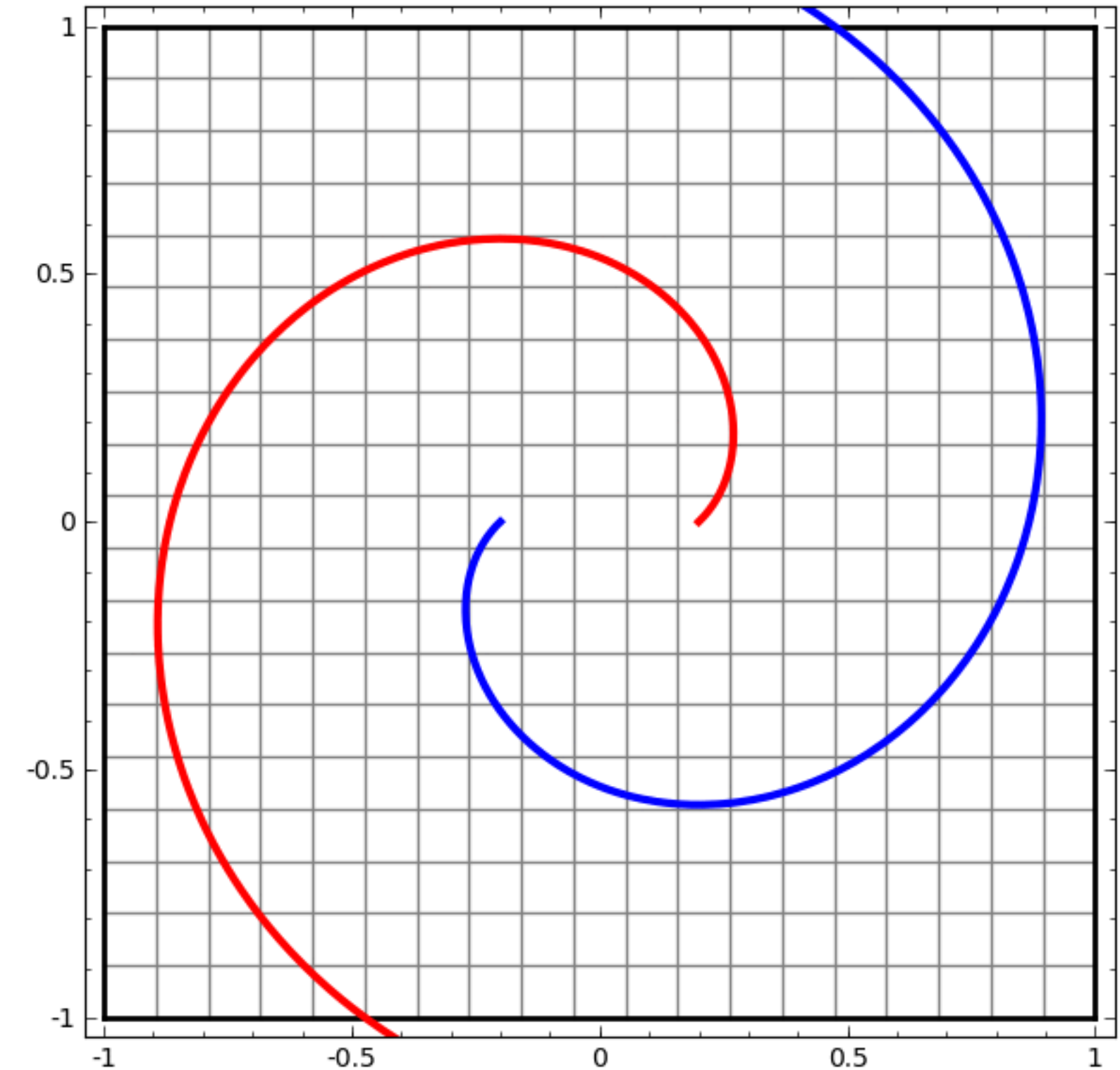
$$\mathbf{z} = g(\mathbf{V}\mathbf{y} + \mathbf{c})$$

$$\mathbf{z} = g(\mathbf{V} \underbrace{g(\mathbf{W}\mathbf{x} + \mathbf{b})}_{\text{output of first layer}} + \mathbf{c})$$

output of first layer

Check: what happens if no nonlinearity?
More powerful than basic linear models?

$$\mathbf{z} = \mathbf{V}(\mathbf{W}\mathbf{x} + \mathbf{b}) + \mathbf{c}$$



Feedforward Networks, Backpropagation



Logistic Regression with NNs

$$P_{\mathbf{w}}(y = \hat{y} \mid \mathbf{x}) = \frac{\exp(\mathbf{w}_{\hat{y}}^{\top} \mathbf{f}(\mathbf{x}))}{\sum_{y'} \exp(\mathbf{w}_{y'}^{\top} \mathbf{f}(\mathbf{x}))}$$

$$P(\mathbf{y} \mid \mathbf{x}) = \text{softmax}([\mathbf{w}_{\hat{y}}^{\top} \mathbf{f}(\mathbf{x})]_{y \in \mathcal{Y}})$$

$$\text{softmax}(p)_i = \frac{\exp(p_i)}{\sum_{i'} \exp(p_{i'})}$$

$$P(\mathbf{y} \mid \mathbf{x}) = \text{softmax}(W f(\mathbf{x}))$$

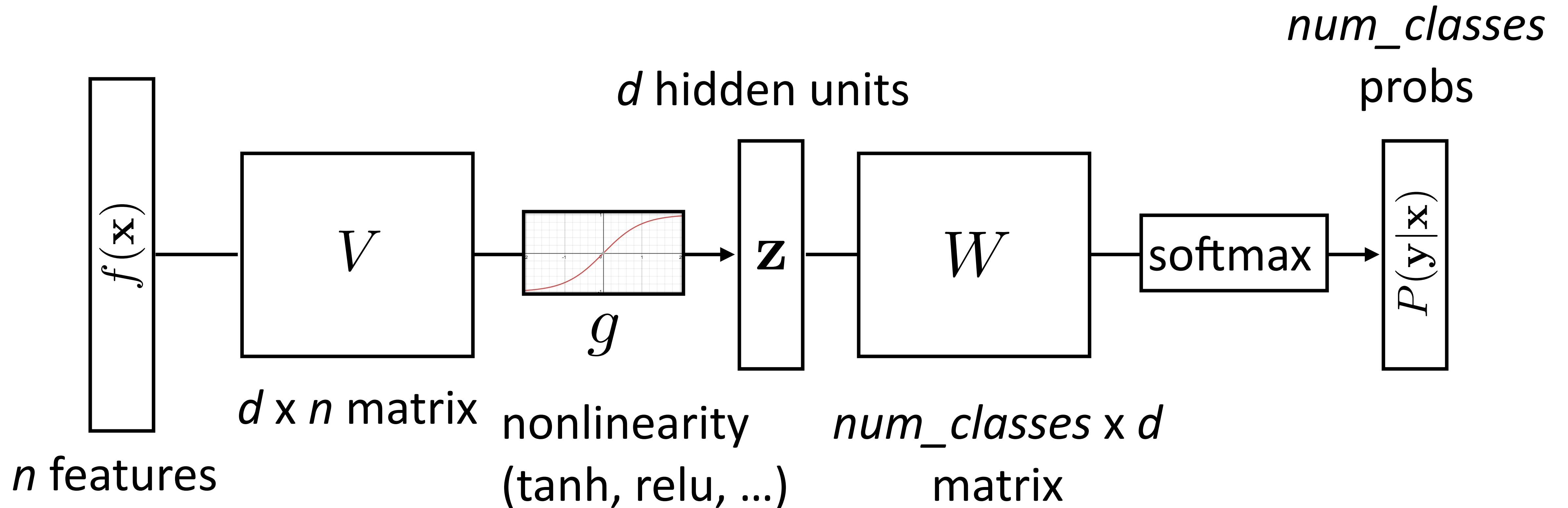
$$P(\mathbf{y} \mid \mathbf{x}) = \text{softmax}(W g(V f(\mathbf{x})))$$

- ▶ Single scalar probability
- ▶ Compute scores for all possible labels at once (returns vector)
- ▶ softmax: exps and normalizes a given vector
- ▶ Weight vector per class; W is [num classes x num feats]
- ▶ Now one hidden layer



Neural Networks for Classification

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$$





Training Neural Networks

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(W\mathbf{z}) \quad \mathbf{z} = g(Vf(\mathbf{x}))$$

- ▶ Maximize log likelihood of training data

$$\mathcal{L}(\mathbf{x}, i^*) = \log P(y = i^* | \mathbf{x}) = \log (\text{softmax}(W\mathbf{z}) \cdot e_{i^*})$$

- ▶ i^* : index of the gold label
- ▶ e_i : 1 in the i th row, zero elsewhere. Dot by this = select i th index

$$\mathcal{L}(\mathbf{x}, i^*) = W\mathbf{z} \cdot e_{i^*} - \log \sum_j \exp(W\mathbf{z}) \cdot e_j$$



Computing Gradients

$$\mathcal{L}(\mathbf{x}, i^*) = W\mathbf{z} \cdot e_{i^*} - \log \sum_j \exp(W\mathbf{z}) \cdot e_j$$

- Gradient with respect to W

$$\frac{\partial}{\partial W_{ij}} \mathcal{L}(\mathbf{x}, i^*) = \begin{cases} \mathbf{z}_j - P(y = i|\mathbf{x})\mathbf{z}_j & \text{if } i = i^* \\ -P(y = i|\mathbf{x})\mathbf{z}_j & \text{otherwise} \end{cases}$$

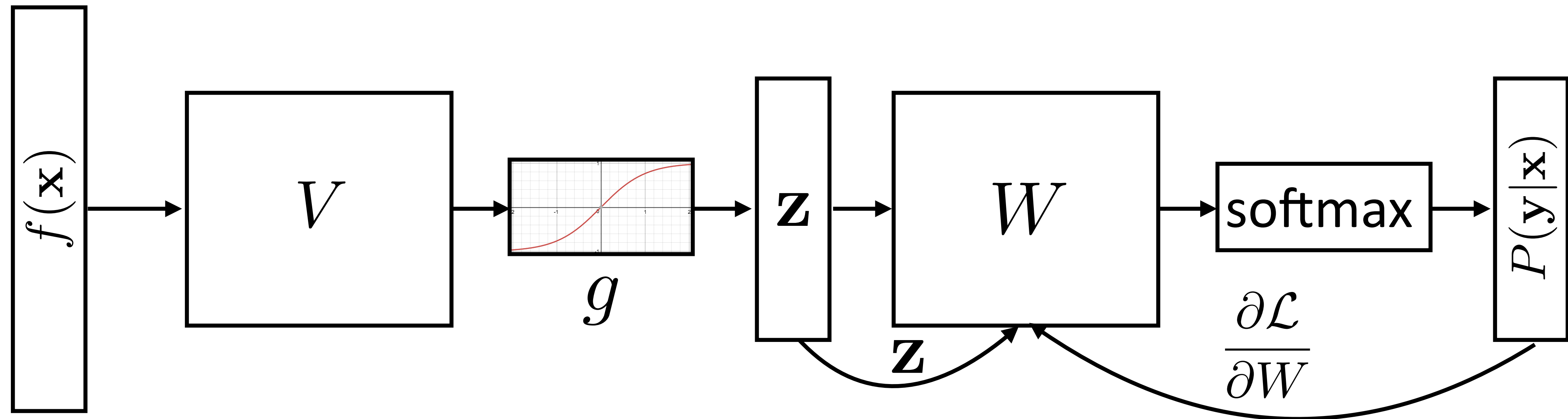
W	j
i	
	$\mathbf{z}_j - P(y = i \mathbf{x})\mathbf{z}_j$
	$-P(y = i \mathbf{x})\mathbf{z}_j$

- Looks like logistic regression with \mathbf{z} as the features!



Neural Networks for Classification

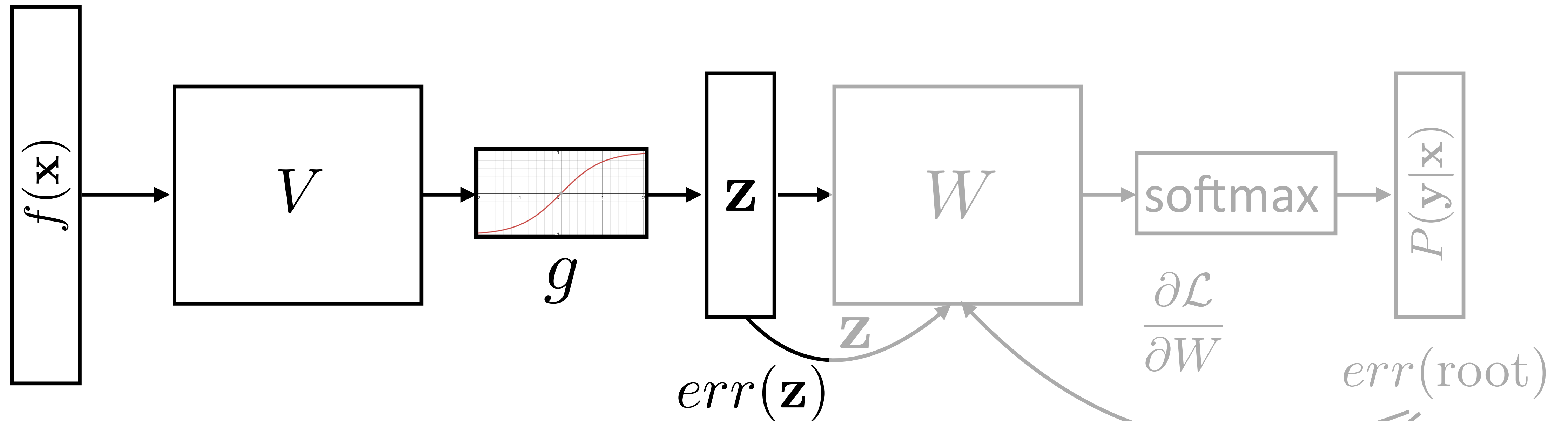
$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$$





Backpropagation: Picture

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$$



- Can forget everything after \mathbf{z} , treat it as the output and keep backpropping



Backpropagation: Takeaways

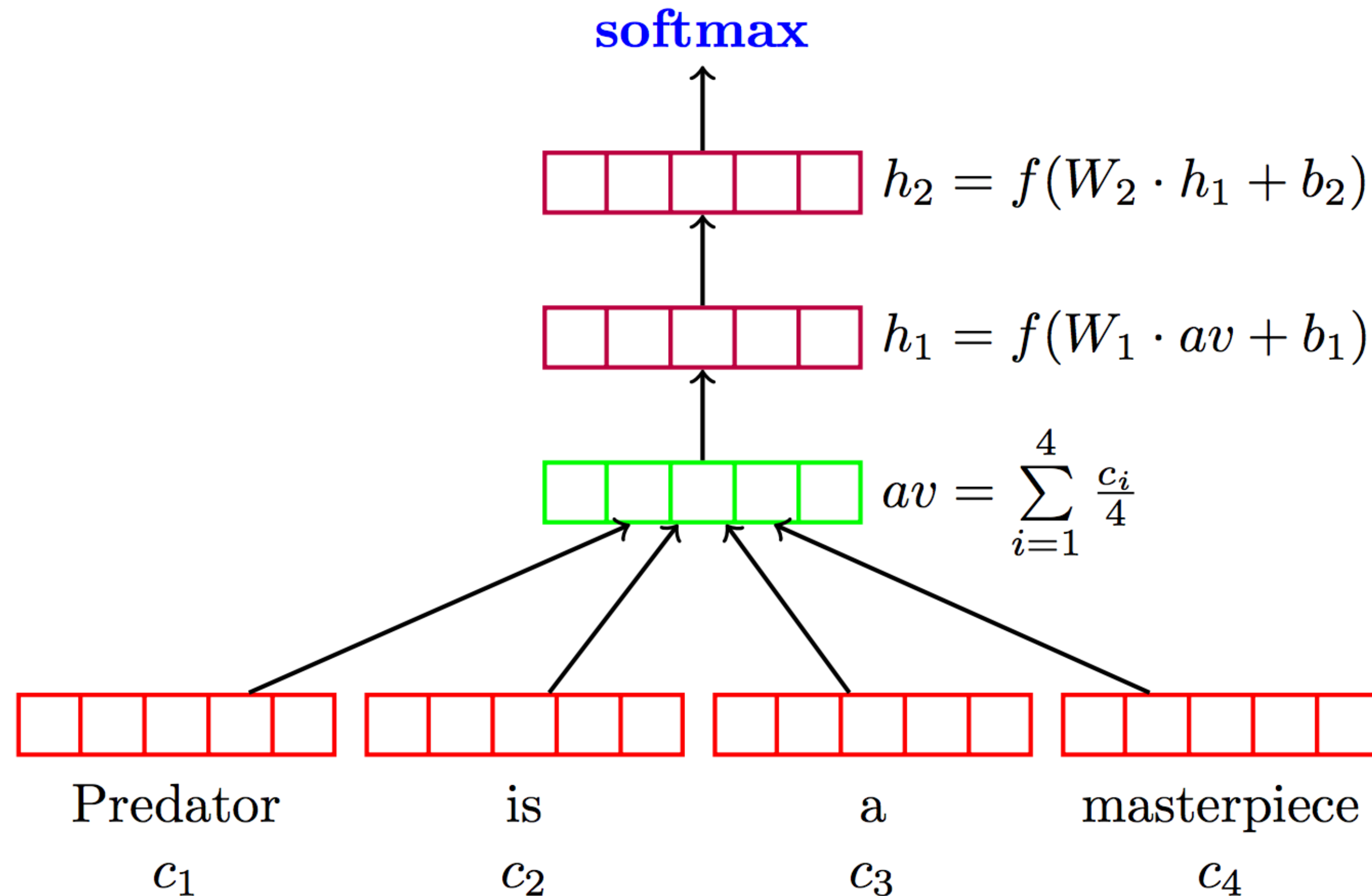
- ▶ Gradients of output weights W are easy to compute — looks like logistic regression with hidden layer \mathbf{z} as feature vector
- ▶ Can compute derivative of loss with respect to \mathbf{z} to form an “error signal” for backpropagation
- ▶ Easy to update parameters based on “error signal” from next layer, keep pushing error signal back as backpropagation
- ▶ Need to remember the values from the forward computation

Applications



Sentiment Analysis (Project 1)

- Deep Averaging Networks: feedforward neural network on average of word embeddings from input





Sentiment Analysis (Project 1)

Tips:

- ▶ Word embedding layer can be either frozen or trained — be attentive to this (`torch.nn.Embedding` layer from the `WordEmbeddings` class)
- ▶ As with the linear model, most minor tweaks like dropout, etc. will make <1% difference. If you're 10% off the performance target, it's likely due to a mis-sized network, poor optimization, bugs, etc.
- ▶ Debugging: follow `ffnn_example.py`, can use 50-dim embeddings to debug (they're smaller and a bit faster to use)



Sentiment Analysis

Model	RT	SST fine	SST bin	IMDB	Time (s)
DAN-ROOT	—	46.9	85.7	—	31
DAN-RAND	77.3	45.4	83.2	88.8	136
DAN	80.3	47.7	86.3	89.4	136
NBOW-RAND	76.2	42.3	81.4	88.9	91
NBOW	79.0	43.6	83.6	89.0	91
BiNB	—	41.9	83.1	—	—
NBSVM-bi	79.4	—	—	91.2	—
RecNN*	77.7	43.2	82.4	—	—
RecNTN*	—	45.7	85.4	—	—
DRecNN	—	49.8	86.6	—	431
TreeLSTM	—	50.6	86.9	—	—
DCNN*	—	48.5	86.9	89.4	—
PVEC*	—	48.7	87.8	92.6	—
CNN-MC	81.1	47.4	88.1	—	2,452
WRRBM*	—	—	—	89.2	—

Iyyer et al. (2015)

Bag-of-words

Wang and
Manning (2012)

Tree RNNs /
CNNS / LSTMS

Kim (2014)



NLP with Feedforward Networks

- Part-of-speech tagging with FFNNs

??

*Fed raises **interest** rates in order to ...*

previous word

- Word embeddings for each word form input
- ~1000 features here — smaller feature vector than in sparse models, but every feature fires on every example

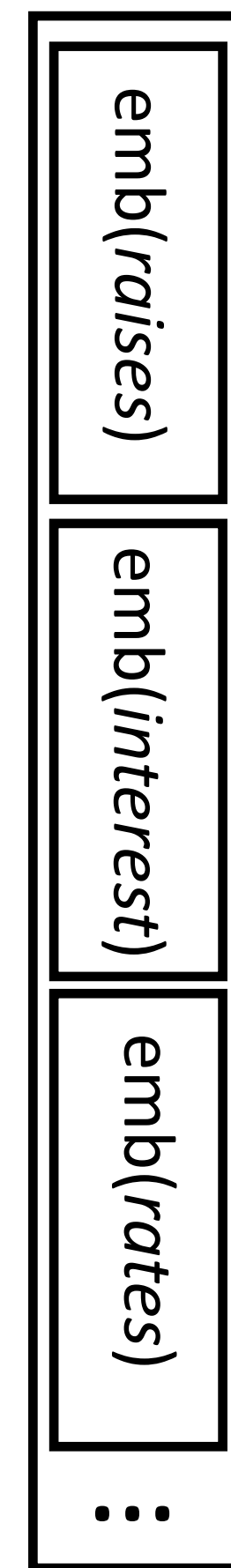
curr word

next word

- Weight matrix learns position-dependent processing of the words

other words, feats, etc.

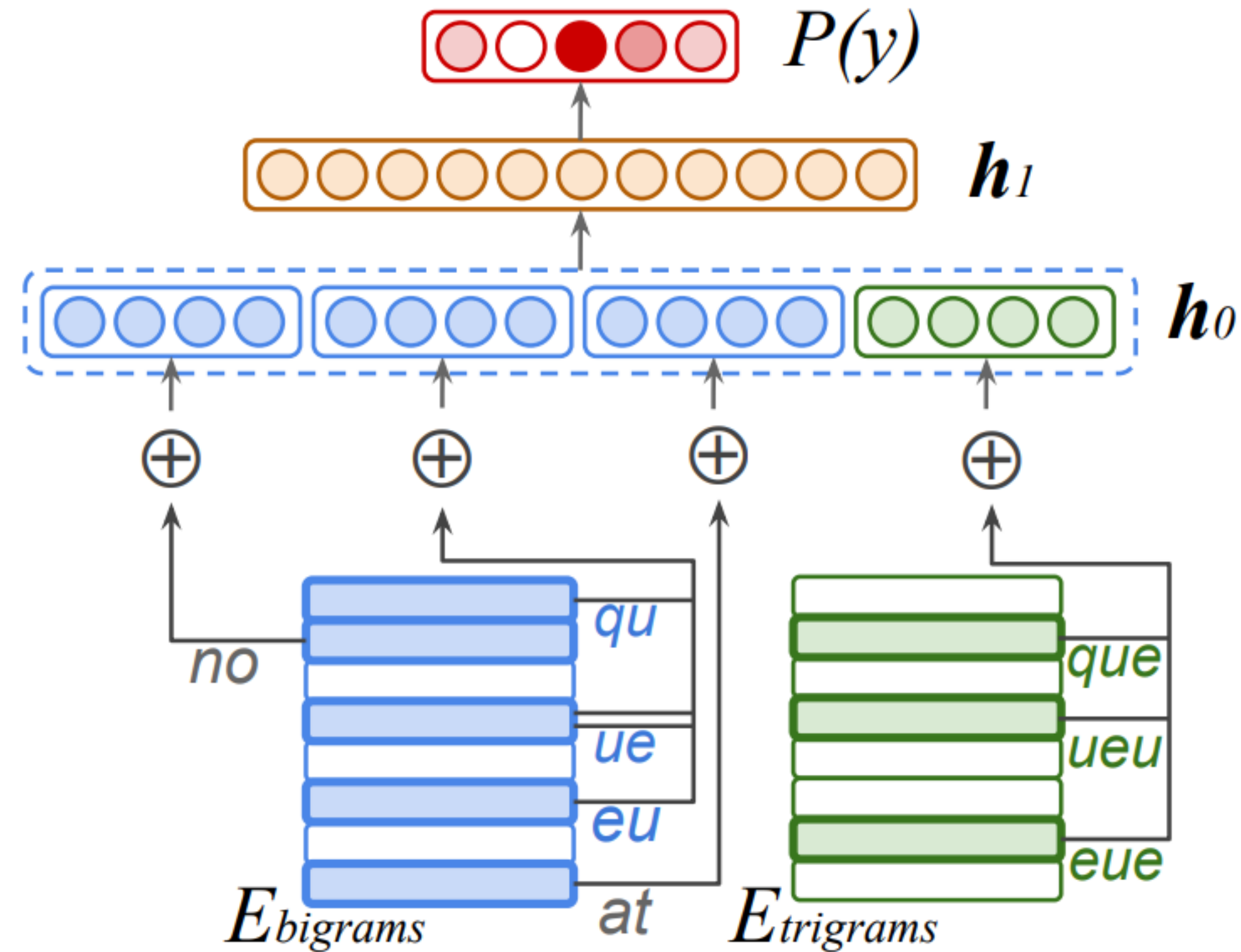
$f(x)$



Botha et al. (2017)



NLP with Feedforward Networks



There was no queue at the ...

- ▶ Hidden layer mixes these different signals and learns feature conjunctions



NLP with Feedforward Networks

- ▶ Multilingual tagging results:

Model	Acc.	Wts.	MB	Ops.
Gillick et al. (2016)	95.06	900k	-	6.63m
Small FF	94.76	241k	0.6	0.27m
+Clusters	95.56	261k	1.0	0.31m
$\frac{1}{2}$ Dim.	95.39	143k	0.7	0.18m

- ▶ Gillick used LSTMs; this is smaller, faster, and better

Implementing NNs

(see `ffnn_example.py` on the course website)



Computation Graphs

- ▶ Computing gradients is hard! Computation graph abstraction allows us to define a computation symbolically and will do this for us
- ▶ Automatic differentiation: keep track of derivatives / be able to backpropagate through each function:

$$y = x * x \xrightarrow{\text{codegen}} (y, dy) = (x * x, 2 * x * dx)$$

- ▶ Use a library like Pytorch or Tensorflow. This class: Pytorch



Computation Graphs in Pytorch

- Define forward pass for $P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$

```
class FFNN(nn.Module):
    def __init__(self, inp, hid, out):
        super(FFNN, self).__init__()
        self.V = nn.Linear(inp, hid)
        self.g = nn.Tanh()
        self.W = nn.Linear(hid, out)
        self.softmax = nn.Softmax(dim=0)

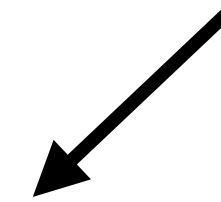
    def forward(self, x):
        return self.softmax(self.W(self.g(self.V(x))))
```



Computation Graphs in Pytorch

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(Wg(Vf(\mathbf{x})))$$

\mathbf{e}_i^* : one-hot vector
of the label
(e.g., $[0, 1, 0]$)



```
ffnn = FFNN()  
def make_update(input, gold_label):  
    ffnn.zero_grad() # clear gradient variables  
    probs = ffnn.forward(input)  
    loss = torch.neg(torch.log(probs)).dot(gold_label)  
    loss.backward()  
    optimizer.step()
```



Training a Model

Define a computation graph

For each epoch:

For each batch of data:

Compute loss on batch

Autograd to compute gradients

Take step with optimizer

Decode test set

Training Tips



Batching

- ▶ Batching data gives speedups due to more efficient matrix operations
- ▶ Need to make the computation graph process a batch at the same time

```
# input is [batch_size, num_feats]
# gold_label is [batch_size, num_classes]
def make_update(input, gold_label)
    ...
    probs = ffn.forward(input) # [batch_size, num_classes]
    loss = torch.sum(torch.neg(torch.log(probs)).dot(gold_label))
    ...
```

- ▶ Batch sizes from 1-100 often work well



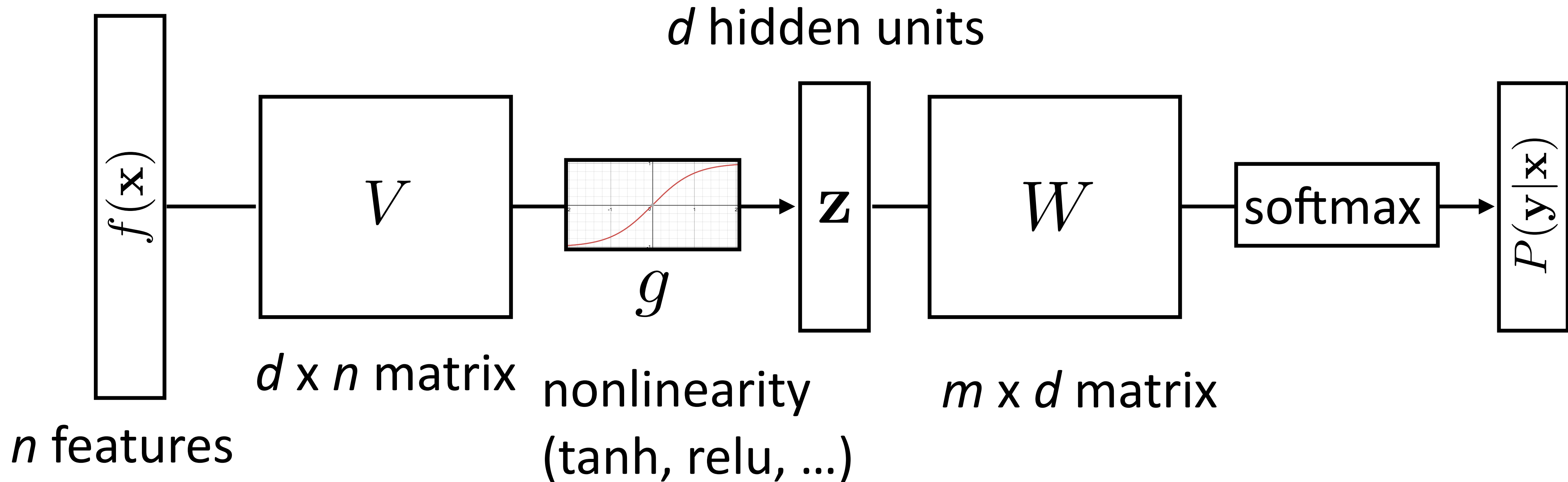
Training Basics

- ▶ Basic formula: compute gradients on batch, use first-order optimization method (SGD, Adagrad, etc.)
- ▶ How to initialize? How to regularize? What optimizer to use?
- ▶ This lecture: some practical tricks. Take deep learning or optimization courses to understand this further



How does initialization affect learning?

$$P(\mathbf{y}|\mathbf{x}) = \text{softmax}(W g(V f(\mathbf{x})))$$

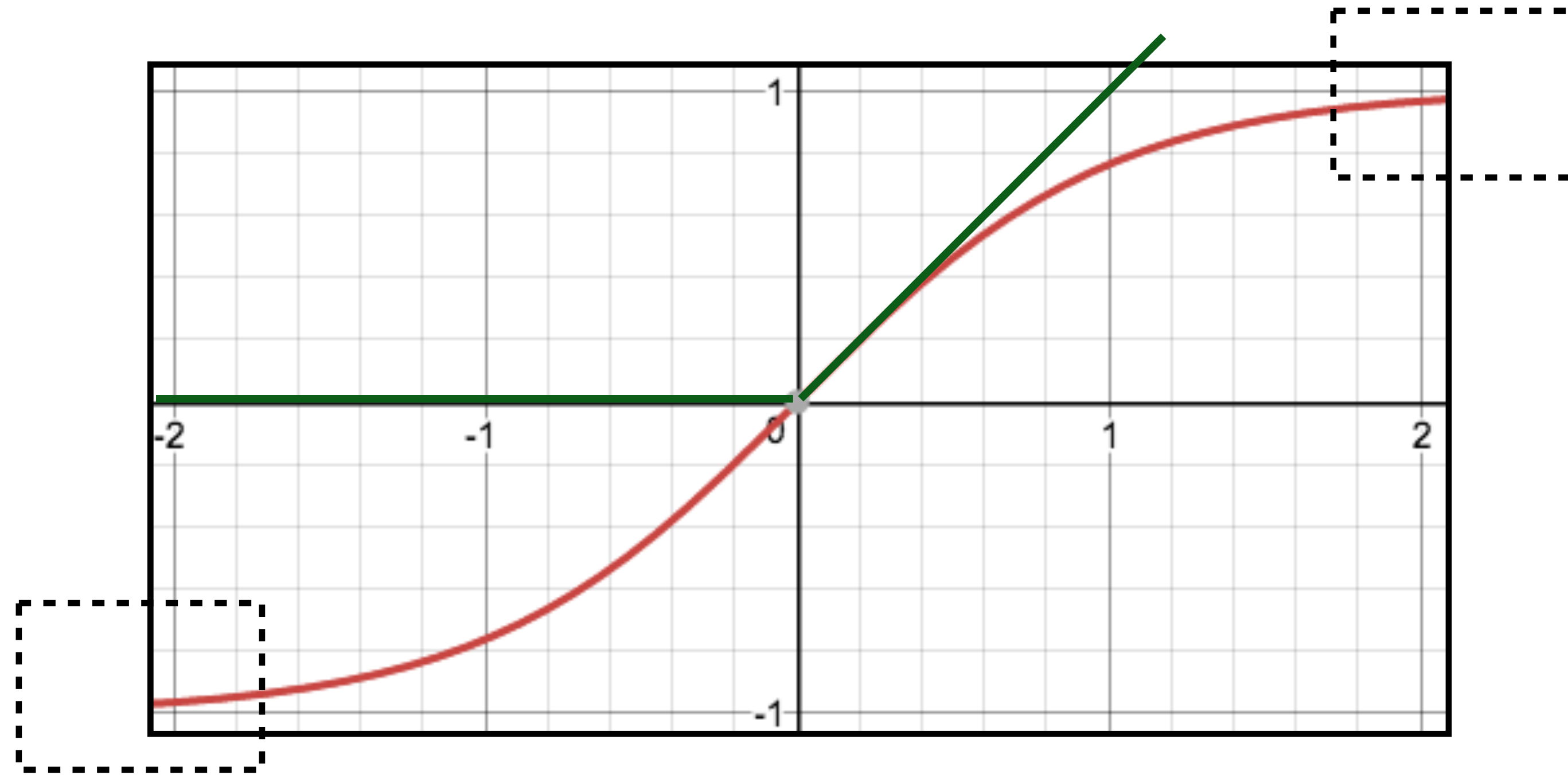


- ▶ How do we initialize V and W ? What consequences does this have?
- ▶ *Nonconvex* problem, so initialization matters!



How does initialization affect learning?

- ▶ Nonlinear model...how does this affect things?



- ▶ If cell activations are too large in absolute value, gradients are small
- ▶ **ReLU**: larger dynamic range (all positive numbers), but can produce big values, can break down if everything is too negative



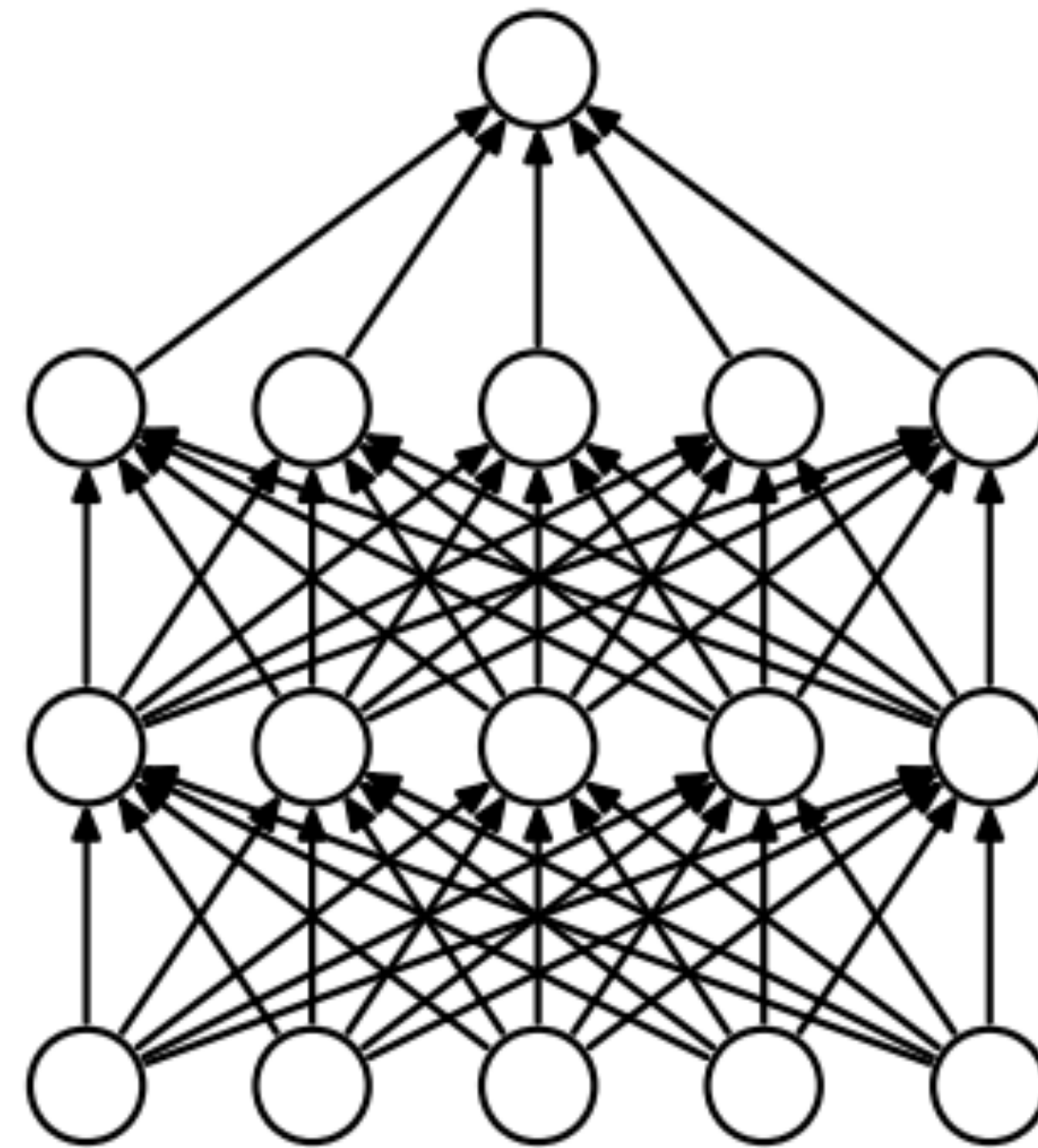
Initialization

- 1) Can't use zeroes for parameters to produce hidden layers: all values in that hidden layer are always 0 and have gradients of 0, never change
 - 2) Initialize too large and cells are saturated
- ▶ Can do random uniform / normal initialization with appropriate scale
 - ▶ Glorot initializer: $U \left[-\sqrt{\frac{6}{\text{fan-in} + \text{fan-out}}}, +\sqrt{\frac{6}{\text{fan-in} + \text{fan-out}}} \right]$
 - ▶ Want variance of inputs and gradients for each layer to be the same
 - ▶ Batch normalization (Ioffe and Szegedy, 2015): periodically shift+rescale each layer to have mean 0 and variance 1 over a batch (useful if net is deep)

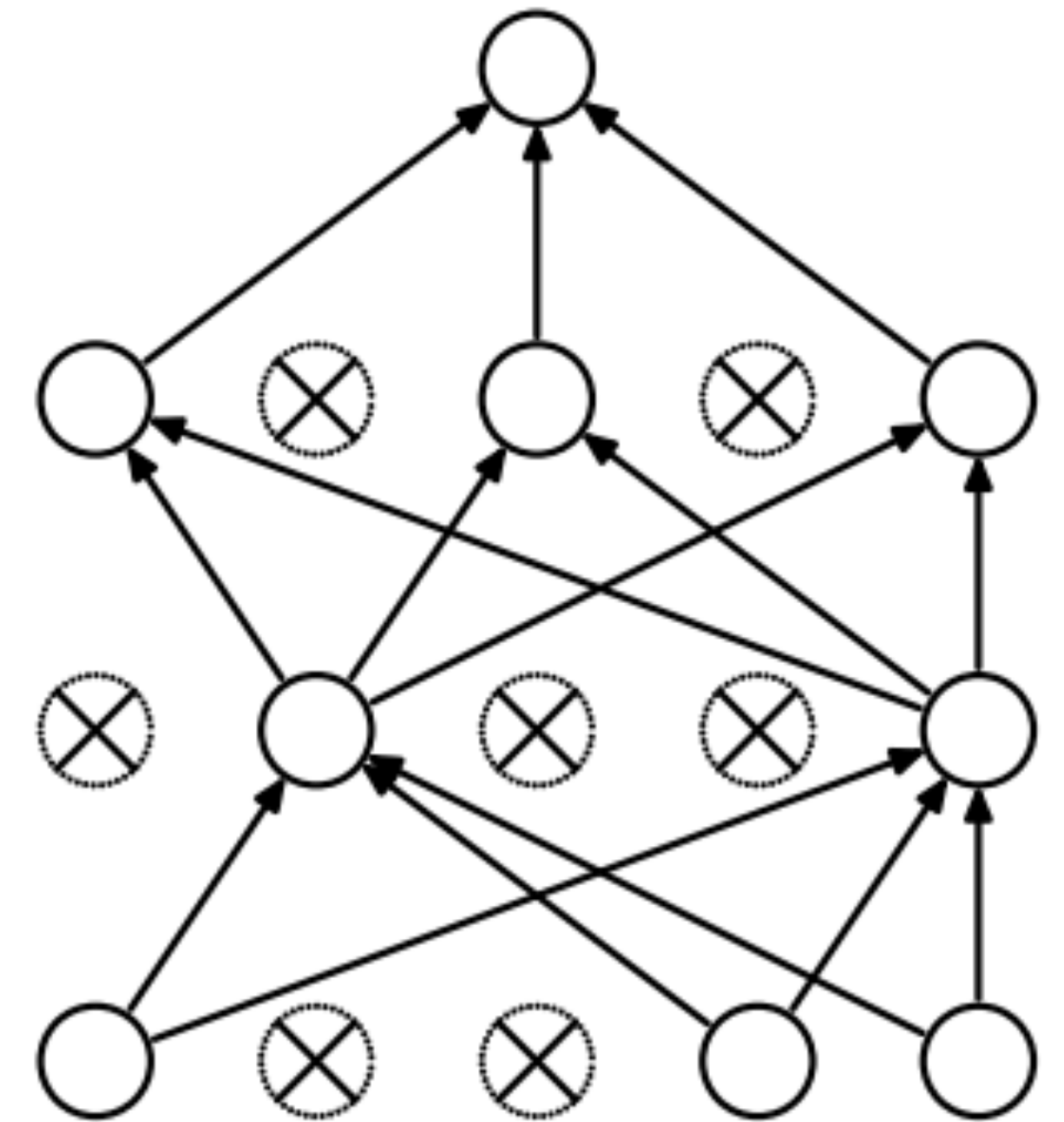


Dropout

- ▶ Probabilistically zero out parts of the network during training to prevent overfitting, use whole network at test time
- ▶ Form of stochastic regularization
- ▶ Similar to benefits of ensembling: network needs to be robust to missing signals, so it has redundancy
- ▶ One line in Pytorch/Tensorflow



(a) Standard Neural Net



(b) After applying dropout.

Srivastava et al. (2014)



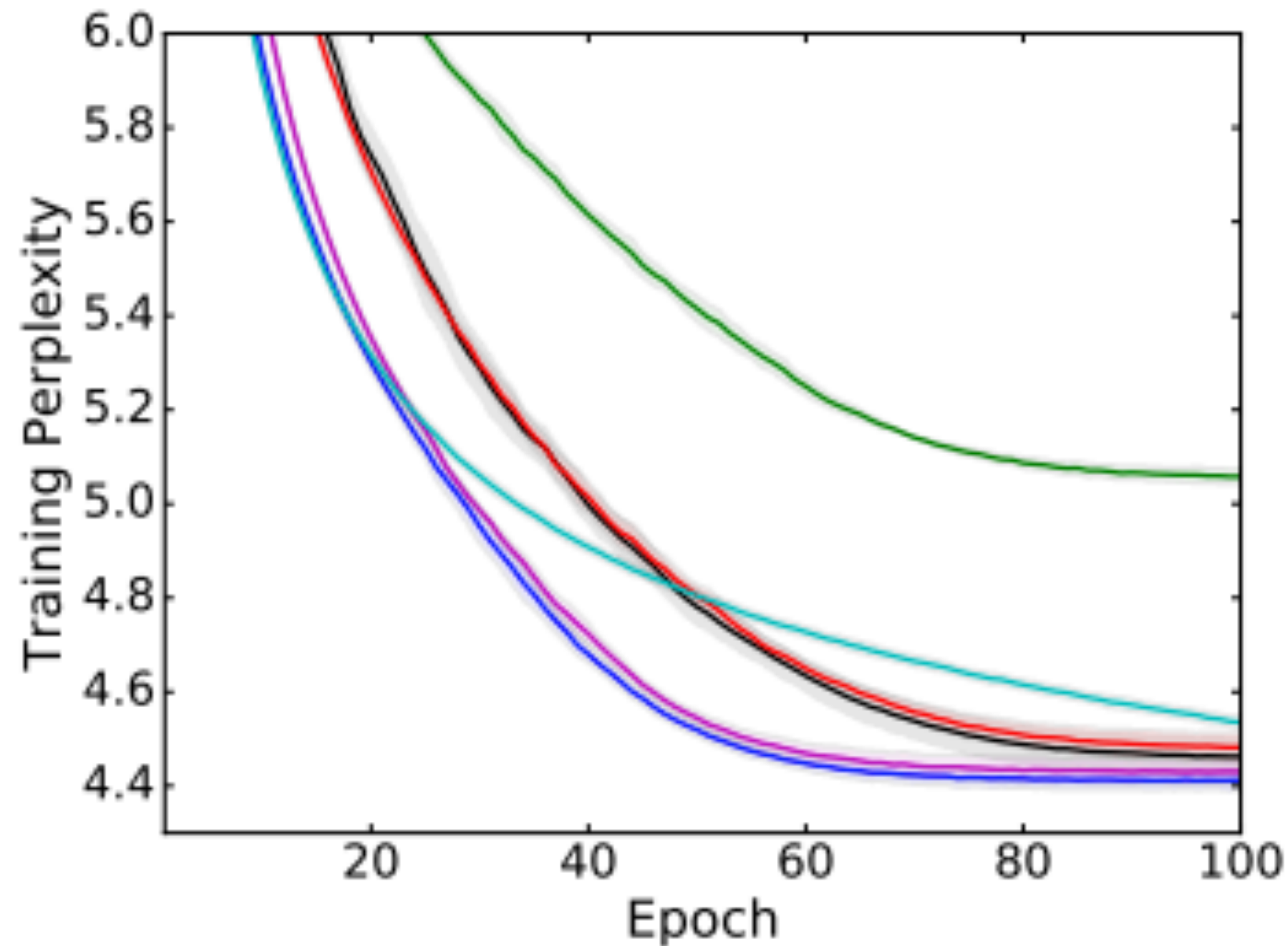
Adam

$g_t \leftarrow \nabla_{\theta} f_t(\theta_{t-1})$ (Get gradients w.r.t. stochastic objective at timestep t)
 $m_t \leftarrow \beta_1 \cdot m_{t-1} + (1 - \beta_1) \cdot g_t$ (Update biased first moment estimate)
 $v_t \leftarrow \beta_2 \cdot v_{t-1} + (1 - \beta_2) \cdot g_t^2$ (Update biased second raw moment estimate)
 $\hat{m}_t \leftarrow m_t / (1 - \beta_1^t)$ (Compute bias-corrected first moment estimate)
 $\hat{v}_t \leftarrow v_t / (1 - \beta_2^t)$ (Compute bias-corrected second raw moment estimate)
 $\theta_t \leftarrow \theta_{t-1} - \alpha \cdot \hat{m}_t / (\sqrt{\hat{v}_t} + \epsilon)$ (Update parameters)

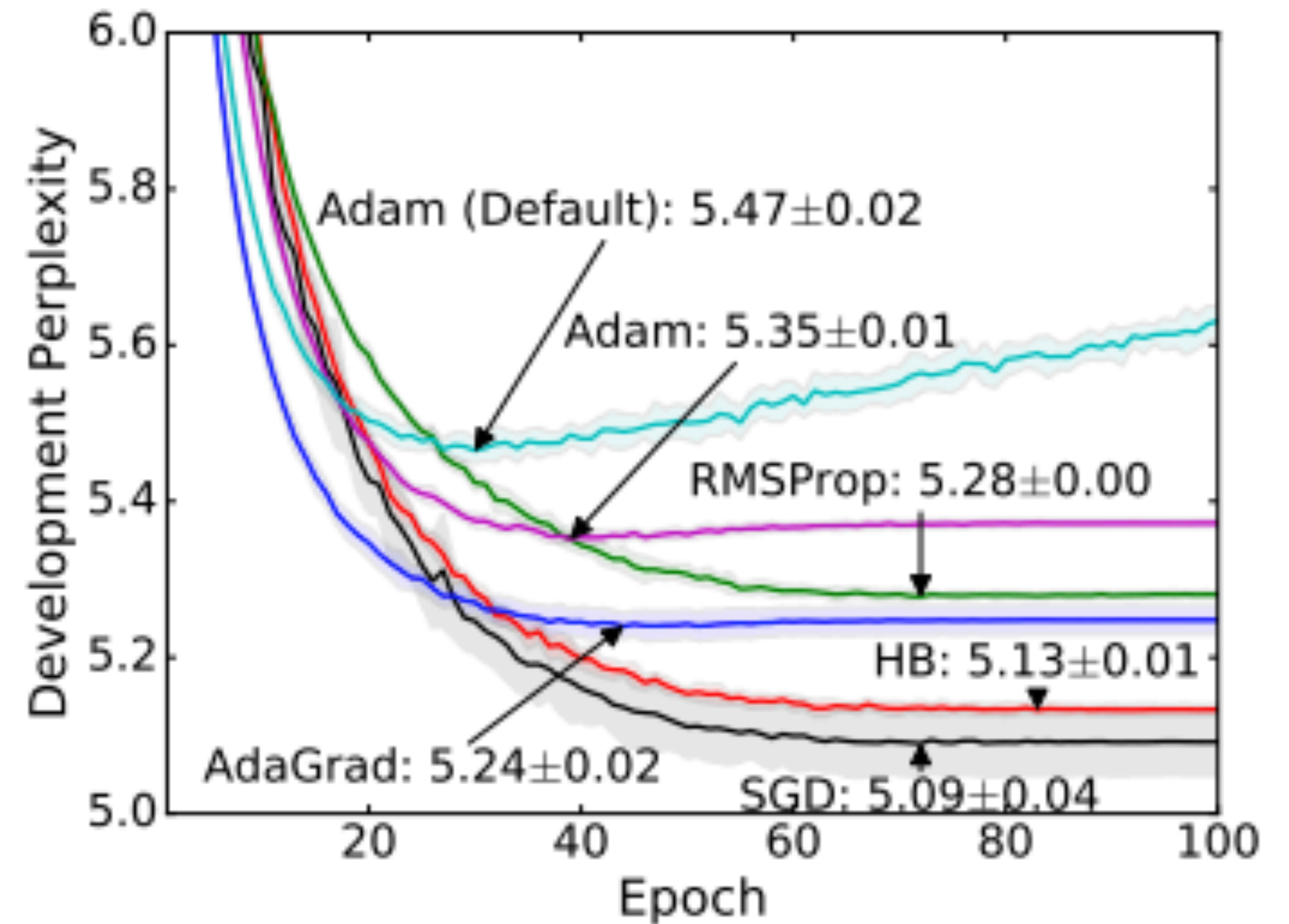
- ▶ m : exponentially-weighted moving average of gradients
- ▶ v : exponentially-weighted moving average of gradients squared
- ▶ $\beta_1 = 0.9$, $\beta_2 = 0.999$, so these average over many steps
- ▶ Update is based on normalized corrected mean, incorporates *momentum*



Optimizer



(e) Generative Parsing (Training Set)



(f) Generative Parsing (Development Set)

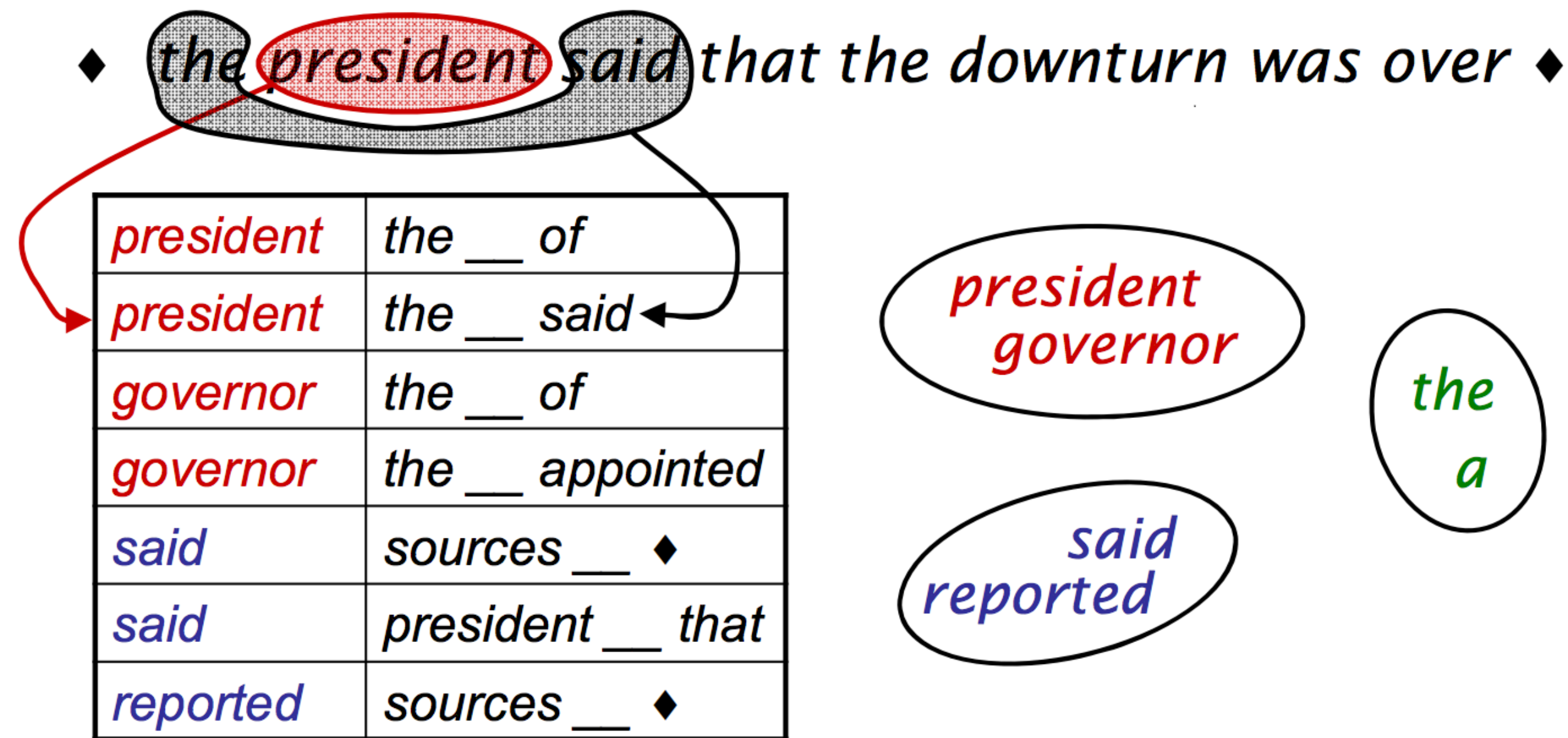
- ▶ Wilson et al. NeurIPS 2017: adaptive methods can sometimes perform badly at test time (Adam is in pink, SGD in black)
- ▶ One more trick: **gradient clipping** (set max value for your gradients)

Next Time: Word Representations



Word Representations

- ▶ Neural networks work very well at continuous data, but words are discrete
- ▶ Continuous model \leftrightarrow expects continuous semantics from input
- ▶ “You shall know a word by the company it keeps” Firth (1957)





Word Embeddings

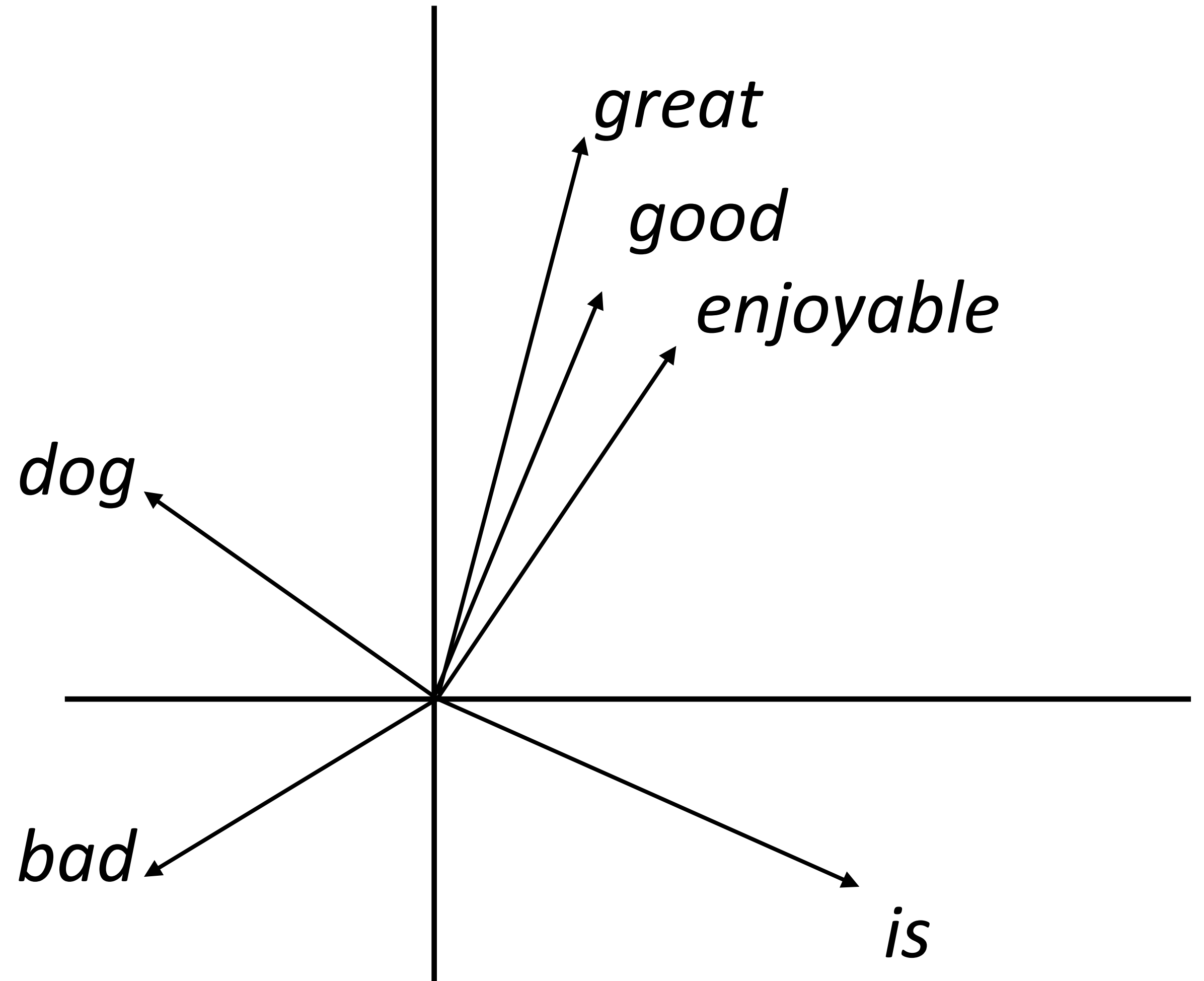
- ▶ Want a vector space where similar words have similar embeddings

the movie was great

\approx

the movie was good

- ▶ Goal: come up with a way to produce these embeddings
- ▶ For each word, want “medium” dimensional vector (50-300 dims) representing it





Takeaways

- ▶ Feedforward neural networks can be implemented easily in PyTorch
 - ▶ We saw that these are basically logistic regression
 - ▶ Easy to implement backpropagation (you don't have to do anything!) and use the standard tricks to get good performance
- ▶ Next class: thinking about the feature representations: word representations / word vectors (word2vec and GloVe)