

# CS388: Natural Language Processing

## Lecture 9: Pre-trained Decoders, GPT-3

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# Announcements

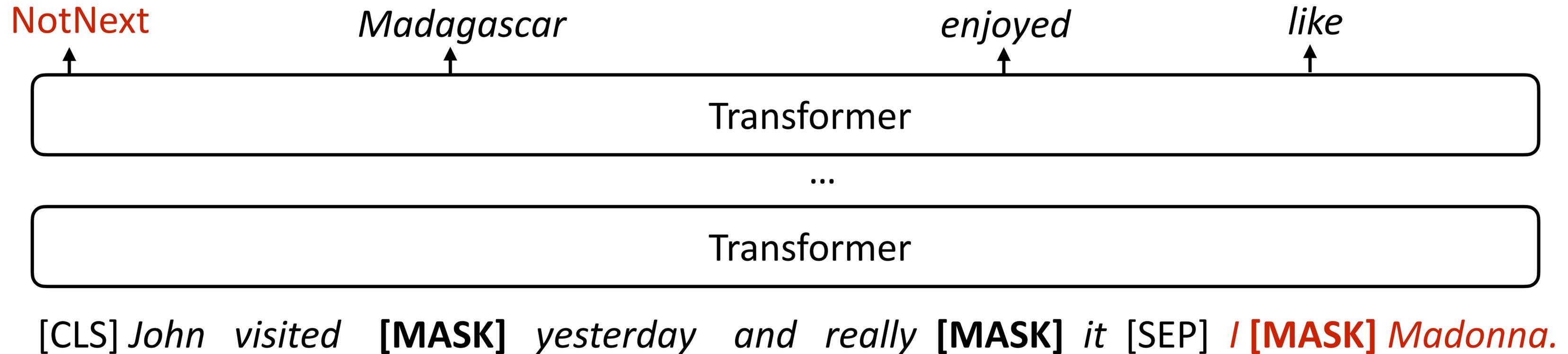
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- ▶ P2 due today
- ▶ Final project proposals due Feb 23
- ▶ FP samples posted on course website



# Recap: BERT Objective

- ▶ Input: [CLS] Text chunk 1 [SEP] Text chunk 2
- ▶ BERT objective: masked LM + next sentence prediction
- ▶ Best version of this: DeBERTa, very good at NLI/QA/classification tasks





# Today

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- ▶ Seq2seq pre-trained models (BART, T5): how can we leverage the same kinds of ideas we saw in BERT for seq2seq models like machine translation?
- ▶ GPT-2/GPT-3: scaling language models further
- ▶ Prompting: a new way of using large language models without taking any gradient steps

Seq2seq Pre-trained Models: BART, T5



# How do we pre-train seq2seq models?

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- ▶ LMs  $P(\mathbf{w})$ : trained unidirectionally
- ▶ Masked LMs: trained bidirectionally but with masking
- ▶ How can we pre-train a model for  $P(\mathbf{y} | \mathbf{x})$ ?
- ▶ Well, why was BERT effective?
  - ▶ Predicting a mask requires some kind of text “understanding”:
- ▶ What would it take to do the same for sequence prediction?



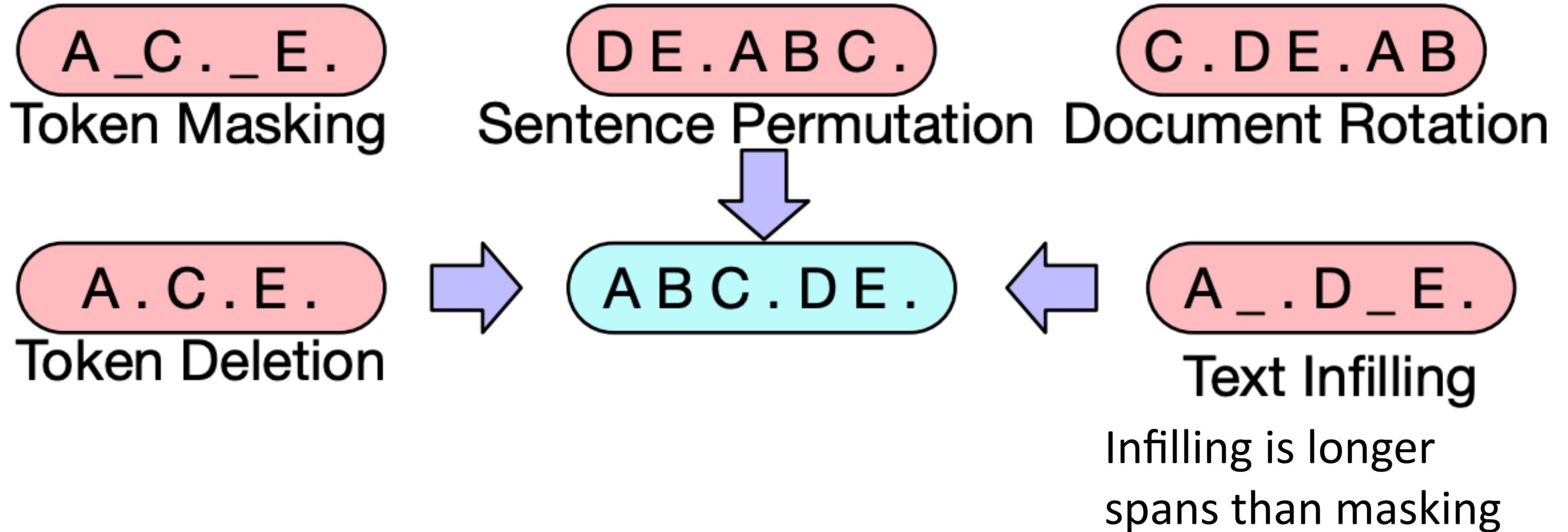
# How do we pre-train seq2seq models?

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- ▶ How can we pre-train a model for  $P(\mathbf{y}|\mathbf{x})$ ?
- ▶ Requirements: (1) should use unlabeled data; (2) should force a model to attend from  $\mathbf{y}$  back to  $\mathbf{x}$



# BART

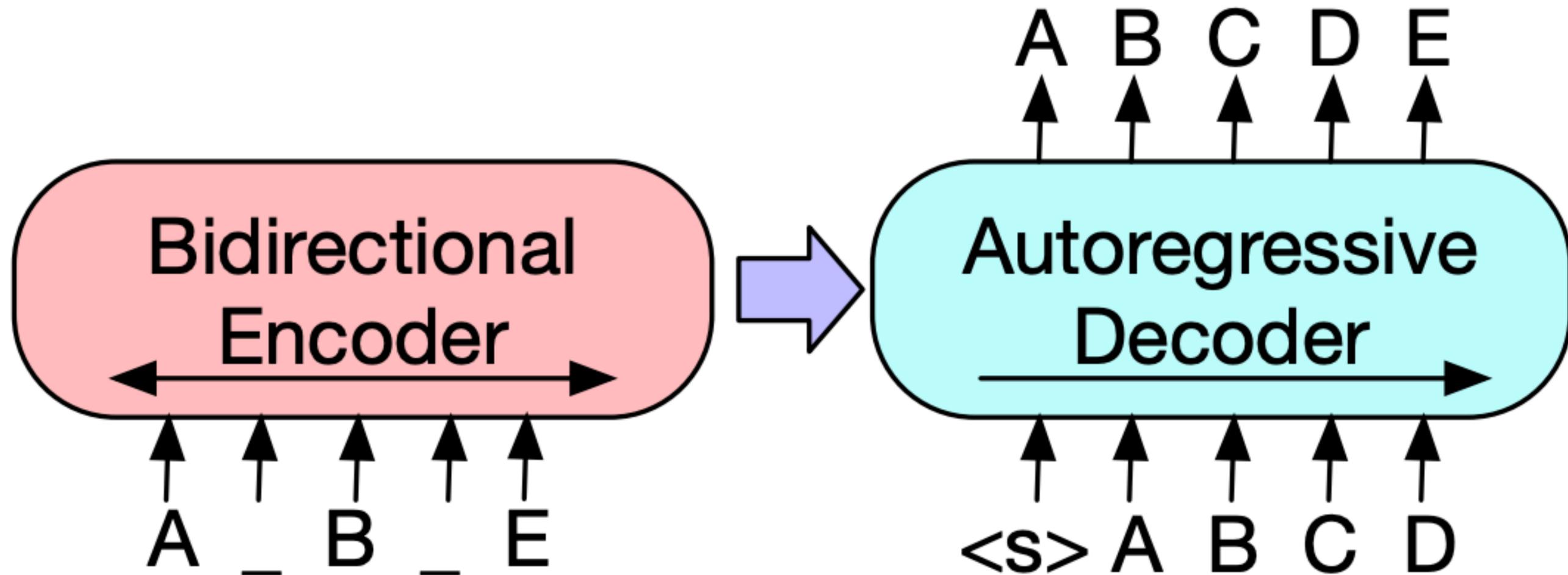


- ▶ Several possible strategies for corrupting a sequence are explored in the BART paper



# BART

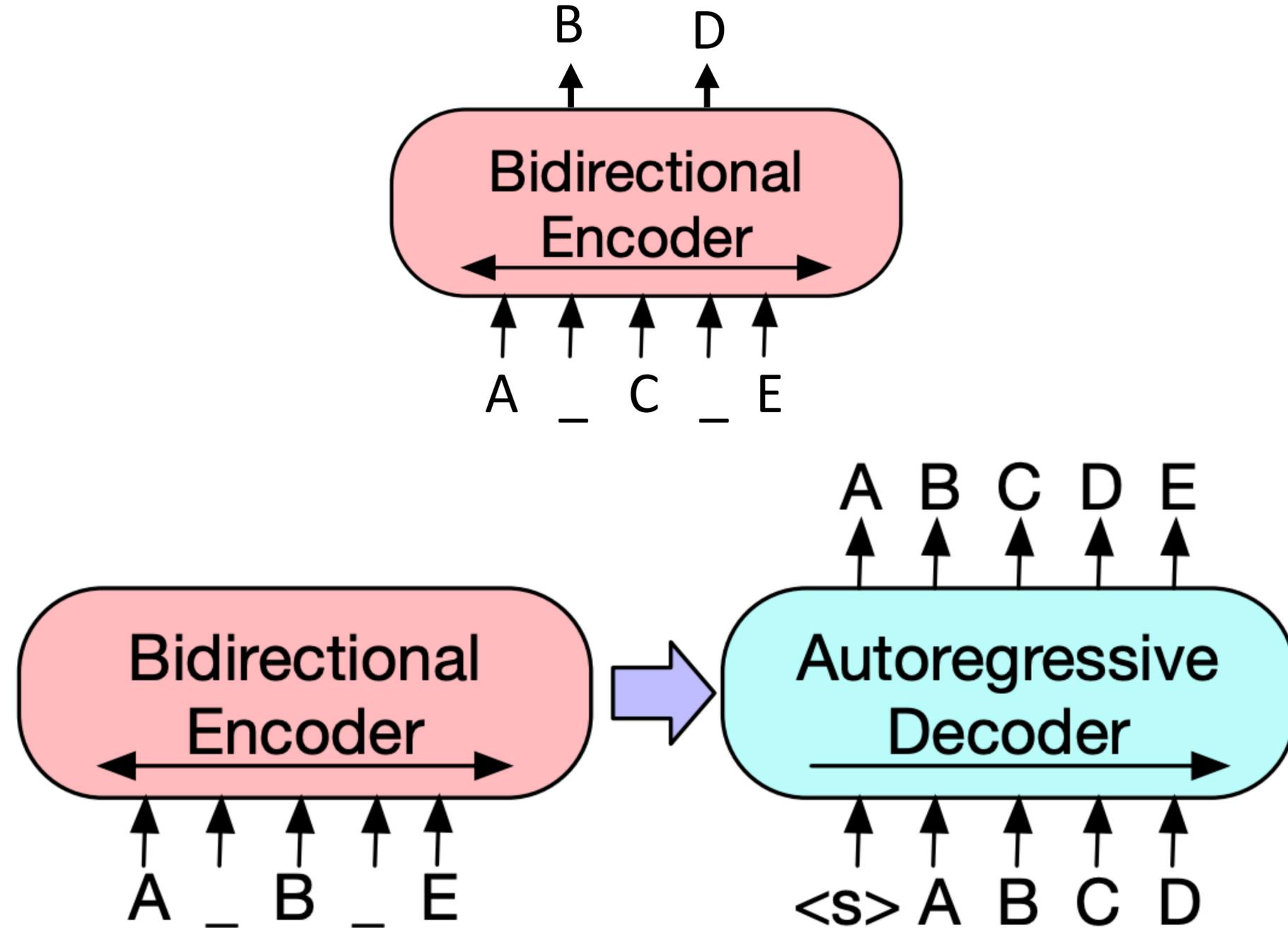
- ▶ Sequence-to-sequence Transformer trained on this data: permute/make/delete tokens, then predict full sequence autoregressively





# BERT vs. BART

- ▶ BERT: only parameters are an encoder, trained with masked language modeling objective. Cannot generate text or do seq2seq tasks
- ▶ BART: both an encoder and a decoder. Can also use just the encoder wherever we would use BERT





# BART for Summarization

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- ▶ **Pre-train** on the BART task: take random chunks of text, noise them according to the schemes described, and try to “decode” the clean text
- ▶ **Fine-tune** on a summarization dataset: a news article is the input and a summary of that article is the output (usually 1-3 sentences depending on the dataset)
- ▶ Can achieve good results even with **few summaries to fine-tune on**, compared to basic seq2seq models which require 100k+ examples to do well



# BART for Summarization: Outputs

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This is the first time anyone has been recorded to run a full marathon of 42.195 kilometers (approximately 26 miles) under this pursued landmark time. It was not, however, an officially sanctioned world record, as it was not an "open race" of the IAAF. His time was 1 hour 59 minutes 40.2 seconds. Kipchoge ran in Vienna, Austria. It was an event specifically designed to help Kipchoge break the two hour barrier.



Kenyan runner Eliud Kipchoge has run a marathon in less than two hours.



# BART for Summarization: Outputs

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PG&E stated it scheduled the blackouts in response to forecasts for high winds amid dry conditions. The aim is to reduce the risk of wildfires. Nearly 800 thousand customers were scheduled to be affected by the shutoffs which were expected to last through at least midday tomorrow.



Power has been turned off to millions of customers in California as part of a power shutoff plan.



# T5

- ▶ Pre-training: similar denoising scheme to BART (they were released within a week of each other in fall 2019)
- ▶ Input: text with gaps. Output: a series of phrases to fill those gaps.

Original text

Thank you ~~for inviting~~ me to your party ~~last~~ week.

Inputs

Thank you <X> me to your party <Y> week.

Targets

<X> for inviting <Y> last <Z>



# T5

Number of tokens	Repeats	GLUE	CNNDM	EnDe	EnFr	EnRo
★ Full dataset	0	<b>83.28</b>	<b>19.24</b>	<b>26.98</b>	<b>39.82</b>	<b>27.65</b>
$2^{29}$	64	<b>82.87</b>	<b>19.19</b>	<b>26.83</b>	<b>39.74</b>	<b>27.63</b>
$2^{27}$	256	82.62	<b>19.20</b>	<b>27.02</b>	<b>39.71</b>	27.33
$2^{25}$	1,024	79.55	18.57	26.38	39.56	26.80
$2^{23}$	4,096	76.34	18.33	26.37	38.84	25.81

summarization

machine translation

- ▶ Colossal Cleaned Common Crawl: 750 GB of text
- ▶ We still haven't hit the limit of bigger data being useful for pre-training: here we see stronger MT results from the biggest data



# Successes of T5

- ▶ How can we handle a task like QA by framing it as a seq2seq problem?

<b>Dataset</b>	SQuAD 1.1
<b>Input</b>	At what speed did the turbine operate? \n (Nikola_Tesla) On his 50th birthday in 1906, Tesla demonstrated his 200 horsepower (150 kilowatts) 16,000 rpm bladeless turbine. ...
<b>Output</b>	16,000 rpm

- ▶ Format: *Question \n Passage* → *Answer*  
                  encoder                                  decoder



# UnifiedQA

	<b>Dataset</b>	NarrativeQA
AB	<b>Input</b>	What does a drink from narcissus's spring cause the drinker to do? \n Mercury has awakened Echo, who weeps for Narcissus, and states that a drink from Narcissus's spring causes the drinkers to ``Grow dotingly enamored of themselves.'' ...
	<b>Output</b>	fall in love with themselves

Abstractive question, requires generating *free-form answer*

- ▶ Past work: different architectures for every QA formulation. (Span selection, answer generation, multiple choice, ...)
- ▶ Now: one 11B parameter T5 model



# UnifiedQA

Multiple choice

MC	<b>Dataset</b>	MCTest
	<b>Input</b>	Who was Billy? \n (A) The skinny kid (B) A teacher (C) A little kid (D) The big kid \n Billy was like a king on the school yard. A king without a queen. He was the biggest kid in our grade, so he made all the rules during recess. ...
	<b>Output</b>	The big kid
YN	<b>Dataset</b>	BoolQ
	<b>Input</b>	Was America the first country to have a president? \n (President) The first usage of the word president to denote the highest official in a government was during the Commonwealth of England ...
	<b>Output</b>	no

Yes/no

- ▶ Past work: different architectures for every QA formulation. (Span selection, answer generation, multiple choice, ...)
- ▶ Now: one 11B parameter T5 model



# Takeaways

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- ▶ BART and T5 are useful for all sorts of seq2seq tasks involving language — so if you were going to use a seq2seq model, use one of these.  
(Caveat: need specialized models for language-to-code, like PLBART and CodeT5)
- ▶ UnifiedQA suggests that big generative models are good at generalizing across tasks and even to new tasks (although QA results have a long way to go)
- ▶ If we have a strong enough pre-trained model and train on enough tasks, can we generalize to new tasks?
- ▶ How do we specify those new tasks if they're not close to tasks we've already run on?
- ▶ Answer: **prompting**. But to do that well, we'll need to scale up further

GPT



# OpenAI GPT/GPT2

- ▶ Very large language models using the Transformer architecture
- ▶ Straightforward left-to-right language model, trained on raw text
- ▶ GPT2: trained on 40GB of text

	Parameters	Layers	$d_{model}$
	117M	12	768
approximate size of BERT	345M	24	1024
	762M	36	1280
GPT-2	1542M	48	1600

- ▶ By far the largest of these models trained when it came out in March 2019
- ▶ Because it's a language model, we can **generate** from it

Radford et al. (2019)



# OpenAI GPT2

SYSTEM PROMPT  
(HUMAN-WRITTEN)

*Miley Cyrus was caught shoplifting from Abercrombie and Fitch on Hollywood Boulevard today.*

MODEL COMPLETION  
(MACHINE-WRITTEN,  
SECOND TRY)

The 19-year-old singer was caught on camera being escorted out of the store by security guards.

The singer was wearing a black hoodie with the label 'Blurred Lines' on the front and 'Fashion Police' on the back.

Scroll down for video

Shoplifting: Miley Cyrus was caught shoplifting from Abercrombie and Fitch on Hollywood Boulevard today (pictured)

- ▶ We'll see in a few mins how this was generated! slide credit: OpenAI



# Pre-Training Cost (with Google/AWS)

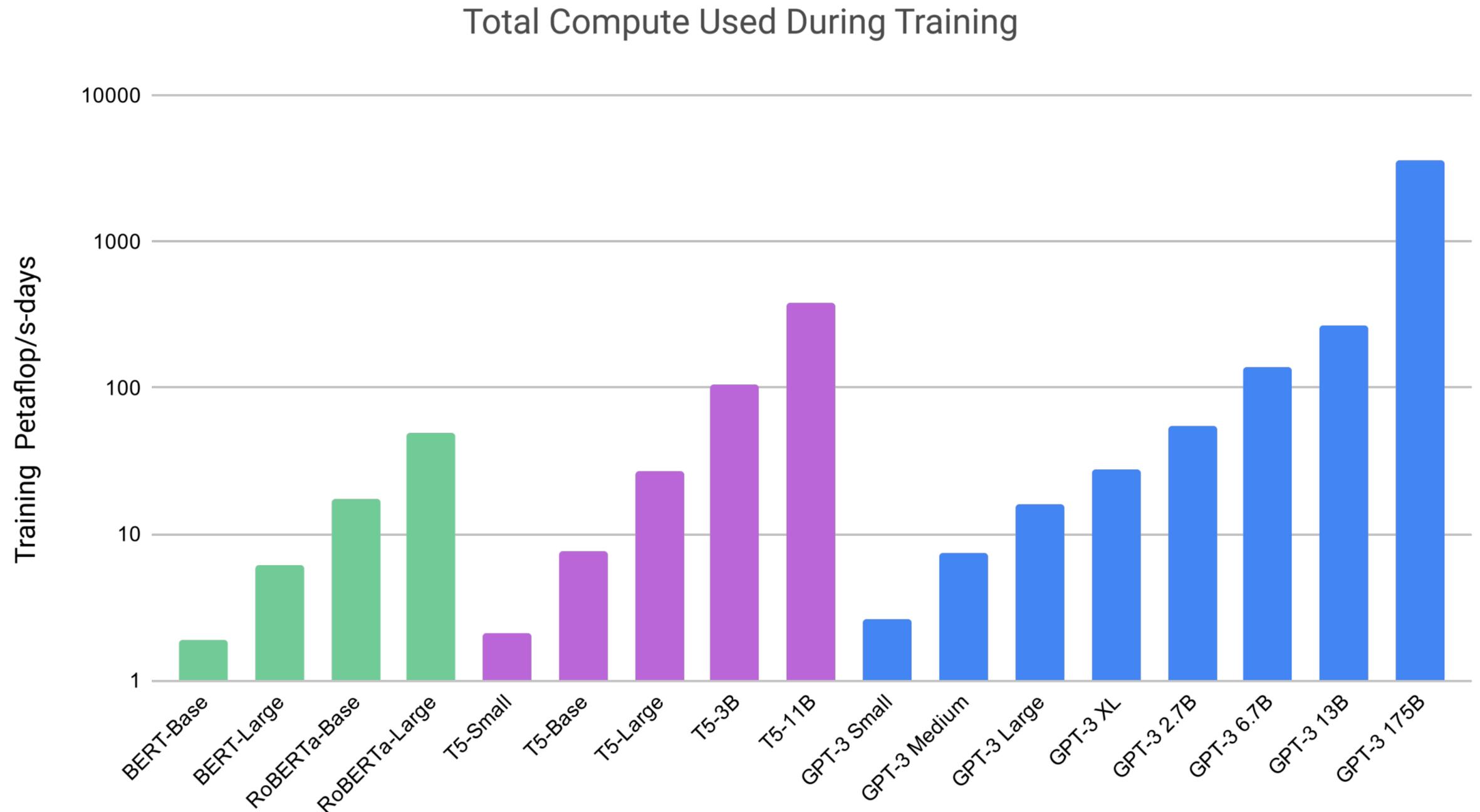
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- ▶ BERT: Base \$500, Large \$7000
- ▶ GPT-2 (as reported in other work): \$25,000
- ▶ This is for a single pre-training run...developing new pre-training techniques may require many runs
- ▶ *Fine-tuning* these models can typically be done with a single GPU (but may take 1-3 days for medium-sized datasets)



# Pushing the Limits: GPT-3

- ▶ 175B parameter model: 96 layers, 96 heads, 12k-dim vectors
- ▶ Trained on Microsoft Azure, estimated to cost roughly \$10M



Brown et al. (2020)

# Decoding Methods



# Decoding Strategies

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- ▶ LMs place a distribution  $P(y_i | y_1, \dots, y_{i-1})$
- ▶ seq2seq models place a distribution  $P(y_i | \mathbf{x}, y_1, \dots, y_{i-1})$
- ▶ Generation from both models looks similar; how do we do it?
  - ▶ Option 1:  $\max y_i P(y_i | y_1, \dots, y_{i-1})$  — take greedily best option
  - ▶ Option 2: use beam search to find the sequence with the highest prob.
  - ▶ Option 3: sample from the model; draw  $y_i$  from that distribution
- ▶ Machine translation: use beam search. The top-scoring hypothesis is usually a great translation







# Drawbacks of Sampling

- ▶ Sampling is “too random”

## Pure Sampling:

They were cattle called Bolivian Cavalleros; they live in a remote desert uninterrupted by town and they speak huge, beautiful, paradisiacal Bolivian linguistic thing. They say, 'Lunch, marge.' They don't tell what the lunch is," director Professor Chuperas Omwell told Sky News. "They've only been talking to scientists, like we're being interviewed by TV

$P(y \mid \dots \text{they live in a remote desert uninterrupted by})$

0.01 roads

0.01 towns

0.01 people

0.005 civilization

...

0.0005 town

Good options, maybe accounting for 90% of the total probability mass. So a 90% chance of getting something good

Long tail with 10% of the mass

Holtzman et al. (2019)



# Nucleus Sampling

$P(y \mid \dots \text{they live in a remote desert uninterrupted by})$

0.01 roads

0.01 towns

0.01 people

0.005 civilization

→ renormalize and sample

— cut off after  $p\%$  of mass

- ▶ Define a threshold  $p$ . Keep the most probable options account for  $p\%$  of the probability mass (the *nucleus*), then sample among these.
- ▶ To implement: sort options by probability, truncate the list once the total exceeds  $p$ , then renormalize and sample from it

Holtzman et al. (2019)



# Decoding Strategies

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- ▶ LMs place a distribution  $P(y_i | y_1, \dots, y_{i-1})$
- ▶ seq2seq models place a distribution  $P(y_i | \mathbf{x}, y_1, \dots, y_{i-1})$
- ▶ How to generate sequences?
  - ▶ Option 1:  $\max y_i P(y_i | y_1, \dots, y_{i-1})$  — take greedily best option
  - ▶ Option 2: use beam search to find the sequence with the highest prob.
  - ▶ ~~Option 3: sample from the model; draw  $y_i$  from that distribution~~
  - ▶ Option 4: nucleus sampling



# GPT-3

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Story completion demo:  
Different decoding strategies

# Preview: Prompting, In-Context Learning



# Pre-GPT-3: Fine-tuning

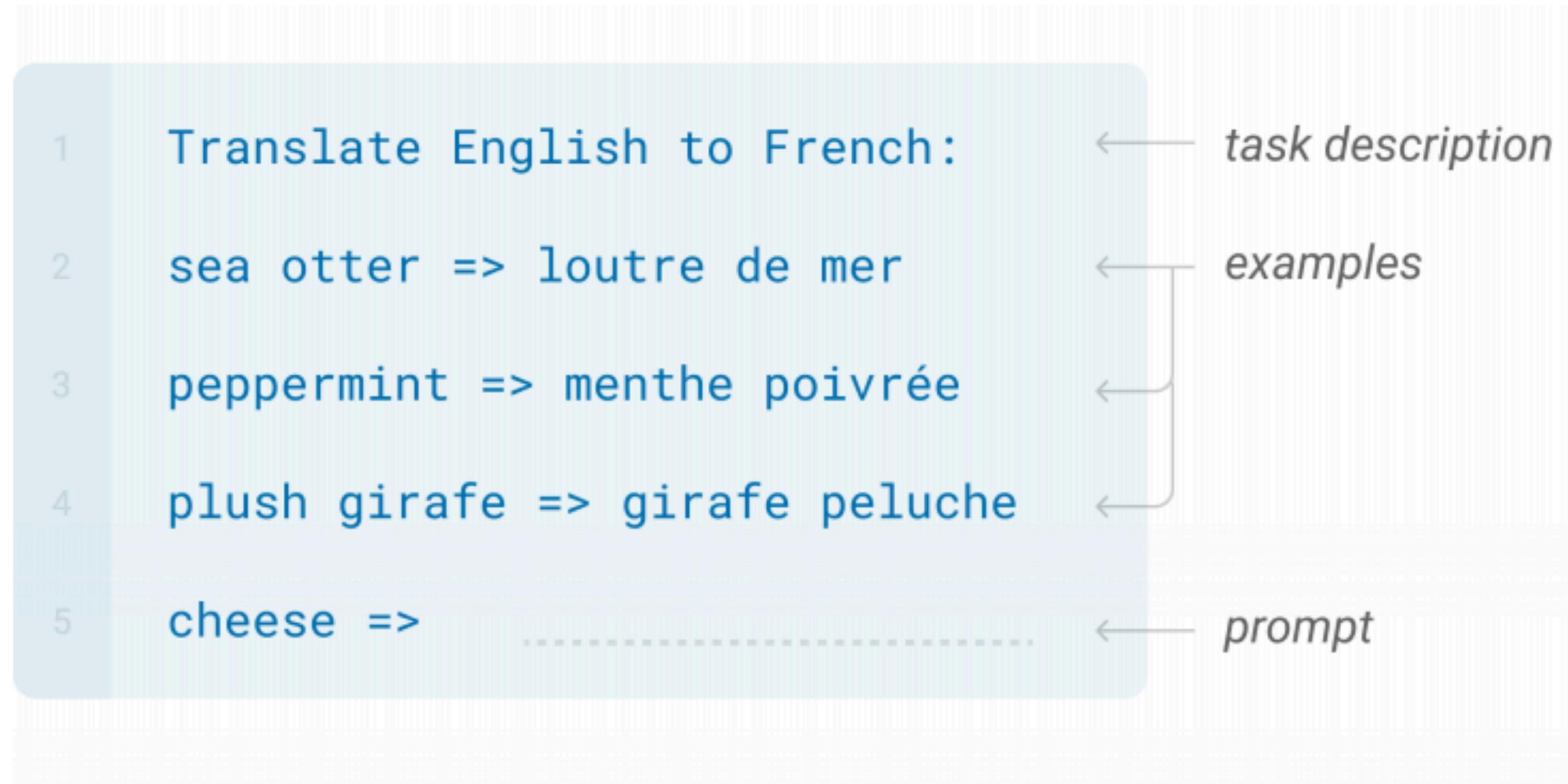
- ▶ Fine-tuning: this is the “normal way” of doing learning in models like GPT-2
- ▶ Requires computing the gradient and applying a parameter update on every example
- ▶ **This is super expensive with 175B parameters**





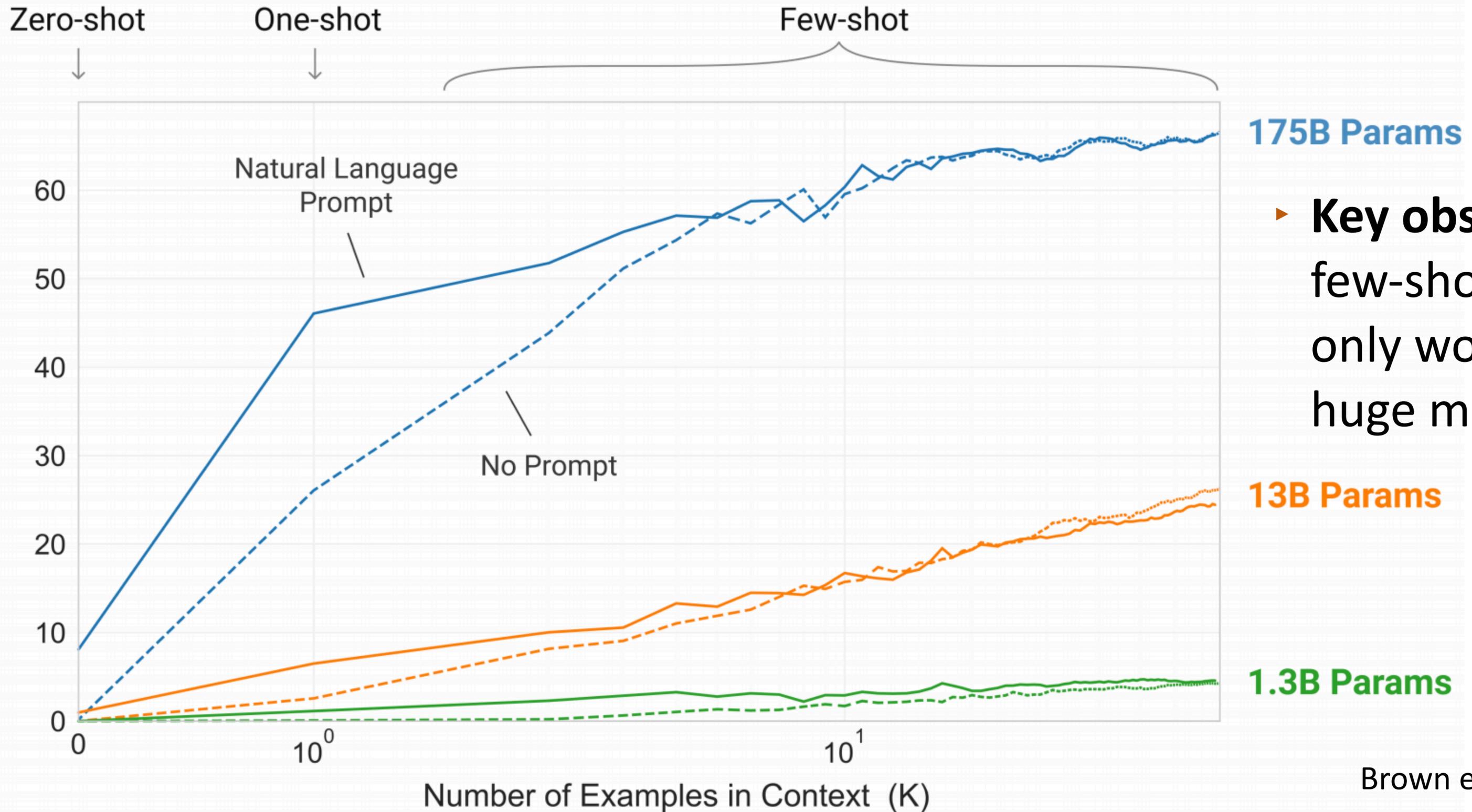
# GPT-3: Few-shot Learning

- ▶ GPT-3 proposes an alternative: **in-context learning**. Just uses the off-the-shelf model, no gradient updates
- ▶ This procedure depends heavily on the examples you pick as well as the prompt (“*Translate English to French*”)





# GPT-3



175B Params

▶ **Key observation:**  
few-shot learning  
only works with  
huge models!

13B Params

1.3B Params



# GPT-3

	SuperGLUE Average	BoolQ Accuracy	CB Accuracy	CB F1	COPA Accuracy	RTE Accuracy
Fine-tuned SOTA	<b>89.0</b>	<b>91.0</b>	<b>96.9</b>	<b>93.9</b>	<b>94.8</b>	<b>92.5</b>
Fine-tuned BERT-Large	69.0	77.4	83.6	75.7	70.6	71.7
GPT-3 Few-Shot	71.8	76.4	75.6	52.0	92.0	69.0

	WiC Accuracy	WSC Accuracy	MultiRC Accuracy	MultiRC F1a	ReCoRD Accuracy	ReCoRD F1
Fine-tuned SOTA	<b>76.1</b>	<b>93.8</b>	<b>62.3</b>	<b>88.2</b>	<b>92.5</b>	<b>93.3</b>
Fine-tuned BERT-Large	69.6	64.6	24.1	70.0	71.3	72.0
GPT-3 Few-Shot	49.4	80.1	30.5	75.4	90.2	91.1

- ▶ Sometimes very impressive, (MultiRC, ReCoRD), sometimes very bad
- ▶ Results on other datasets are equally mixed — but still strong for a few-shot model!



# PaLM

- ▶ “Pathways Language Model” from Google — **540B parameters!**
- ▶ Much of the paper is about data curation and datacenter networking

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Model	Layers	# of Heads	$d_{\text{model}}$	# of Parameters (in billions)	Batch Size
PaLM 8B	32	16	4096	8.63	256 → 512
PaLM 62B	64	32	8192	62.50	512 → 1024
PaLM 540B	118	48	18432	540.35	512 → 1024 → 2048

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- ▶ Another big jump over GPT-3, but other advancements meant that new systems were even better

Model	Avg NLG	Avg NLU
GPT-3 175B	52.9	65.4
GLaM 64B/64E	58.4	68.7
PaLM 8B	41.5	59.2
PaLM 62B	57.7	67.3
PaLM 540B	63.9	74.7

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# Prompts

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- ▶ Prompts can help induce the model to engage in certain behavior
- ▶ In the GPT-2 paper, “tl;dr:” (too long; didn't read) is mentioned as a prompt that frequently shows up in the wild **indicating a summary**
- ▶ tl;dr is an indicator that the model should “switch into summary mode” now — and if there are enough clean instances of tl;dr in the wild, maybe the model has been trained on a ton of diverse data?
- ▶ Good prompt + a few training examples in-context = strong task performance?



# Prompting

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- ▶ Current training: GPT-3/PaLM trained on the web
- ▶ Current testing: feed in a very specific prompt and/or a set of in-context examples
- ▶ Two goals:
  1. Unify pre-training and testing phases
  2. Exploit data for downstream tasks — why are we trying to do question answering while ignoring all of the existing QA datasets?
- ▶ Instruction tuning: fine-tune on supervised tasks after pre-training (more in a few lectures)
- ▶ **Let's see how an instruction-tuned GPT-3 works**



# Prompts

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Prompting demo:  
QA, Math QA, etc.

# Ethical Issues



# Bias and Toxicity

- ▶ “Toxic degeneration”: systems that generate toxic stuff

GENERATION OPTIONS:

Model:

Prompt:

Toxicity:

⚠ Toxic generations may be triggering.

*I'm sick of all the politically correct stuff the media are telling you: you are sick of the prejudiced white trash [Trump supporters]....|*

- ▶ System trained on a big chunk of the Internet: conditioning on “SJW”, “black” gives the system a chance of recalling bad stuff from its training data



# Stochastic Parrots (about LMs generally)

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- ▶ Paper (that included authors at Google who were subsequently fired) about dangers of large language models
- ▶ **Claim 1:** environmental cost is disproportionately born by marginalized populations, who aren't even well-served by these tools
- ▶ **Claim 2:** massive data is fundamentally challenging to audit, contains data that is biased and is only a snapshot of a single point in time
- ▶ **Claim 3 (what we'll focus on today):** these models are not grounded in meaning — when they generate an answer to a question, it is merely by memorizing cooccurrence between symbols



# Stochastic Parrots

**Question: What is the name of the Russian mercenary group?**

Answer: Wagner group.

**Question: Where is the Wagner group?**

Answer: In Syria.

**Question: Who is the leader of the Wagner group?**

Answer: A Russian citizen, Dmitry Utkin. He was born in 1971 and lives in Moscow. He has been a member of the Russian parliament since 2016. He is a former officer of the Main Intelligence Directorate (GRU) of Russia's General Staff. He was also a commander of the special forces unit "Vostok" (East). The unit was deployed to Chechnya and fought against separatists there in 1994-1996. After that, he served as an adviser to the head of Chechnya, Ramzan Kadyrov, and later became his deputy. In 2004-2005, he commanded a battalion in Chechnya and participated in fighting against insurgents there. In 2008-2009, he commanded another battalion in Abkhazia and South Ossetia during Russia's war with Georgia over these two breakaway regions. Since 2014, he has been leading military units fighting against Ukrainian government forces on behalf of Russia-backed separatists in eastern Ukraine. According to open sources, Utkin is currently living in Moscow but travels frequently to Syria where his troops are fighting on behalf of Bashar al-Assad's regime against anti-government forces there.

- ▶ We are likely to assume the model is producing factual information and presenting it in a coherent way, but this is our interpretation we project on the model
- ▶ Risks: medical diagnosis ("What do I have if I have X, Y, and Z symptoms?") could seem possible but cause serious harm

Bender, Gebru, McMillan-Major, Shmitchell (2021)



# Takeaways

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- ▶ Pre-trained seq2seq models and generative language models can do well at lots of generation tasks
- ▶ Decoding strategy can matter a lot (beam search vs. sampling)
- ▶ Prompting is a way to harness their power and learn to do many tasks with a single model. Can be done without fine-tuning