

# Minimum Satisfying Assignments for SMT

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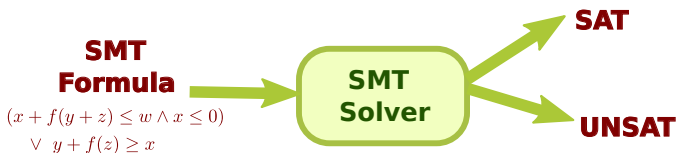
Alex Aiken  
Stanford U.

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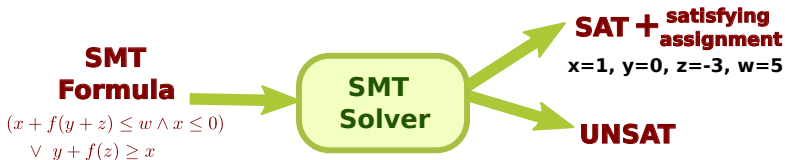
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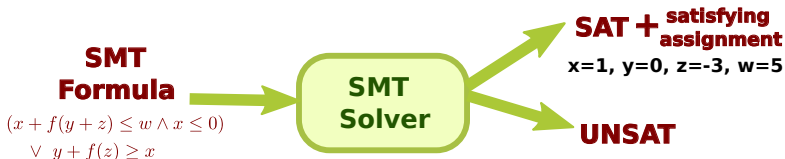
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- An assignment  $\sigma$  for formula  $\phi$  is a mapping from free variables of  $\phi$  to values

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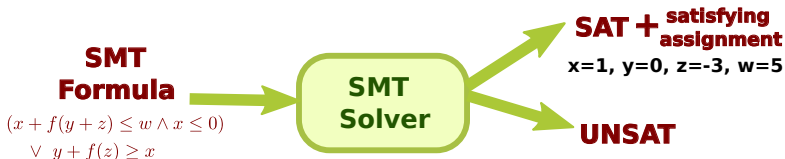
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- For formula  $x < 0 \vee x + y \geq 0$ ,  $x = -1$  is a partial satisfying assignment



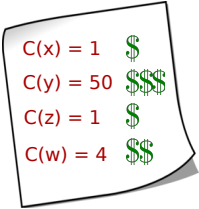
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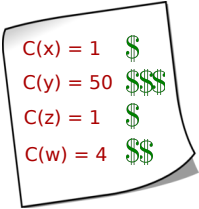


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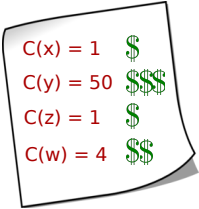


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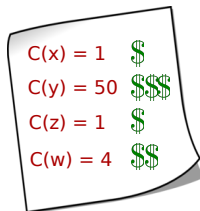


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- If variables have equal cost, an MSA is partial sat assignment with **fewest variables**



## Example and Applications

- Consider cost function assigning every variable to 1 and Presburger arithmetic formula:

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MSAs have many applications in verification:



- ✓ Finding small counterexamples in BMC
- ✓ Classifying and diagnosing error reports
- ✓ Abductive inference
- ✓ Minimizing # of predicates in pred abstraction



# Contributions

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**First algorithm for computing min sat assignments for SMT formulas**



**Our algorithm applicable to any theory for which full first-order logic including quantifiers is decidable**

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**$X$  is an MUS of  $\phi \iff$  MSA is a sat assignment of  $\forall X.\phi$**

**Our approach first computes an MUS  $X$  and extracts an MSA from a sat assignment of  $\forall X.\phi$**

# Algorithm to Compute MUS

- Recursive **branch-and-bound** style algorithm with input:

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find_mus( $\phi$ ,  $C$ ,  $V$ ,  $L$ ) {
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- We do this by comparing cost of universal subsets with and without  $x$

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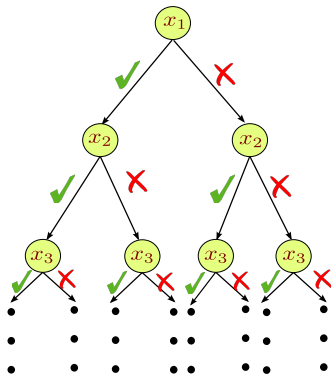
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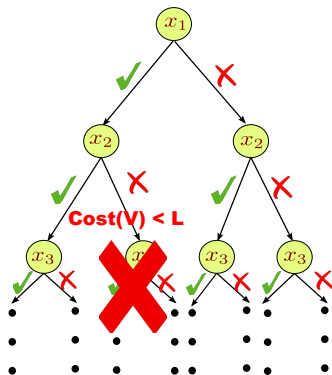
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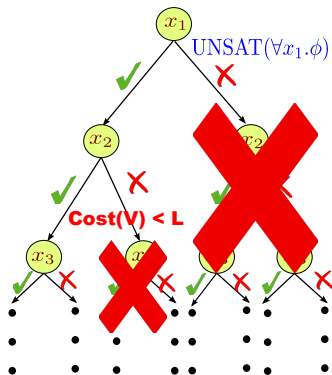
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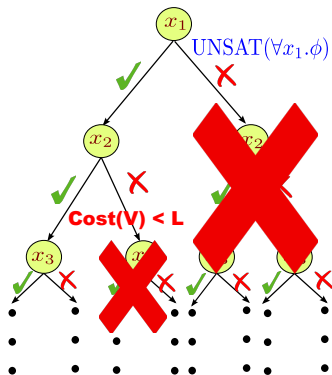
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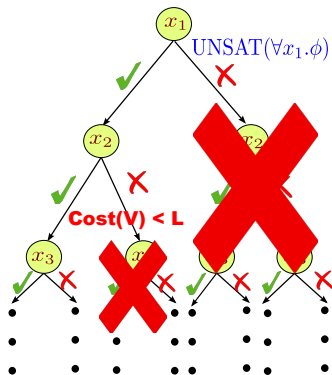
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- These two pruning strategies eliminate many search paths, but still exponential
- To make algorithm practical, must consider more optimizations



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- But some variable orders much better than others
- Turns out better to consider variables likely to be in MSA first

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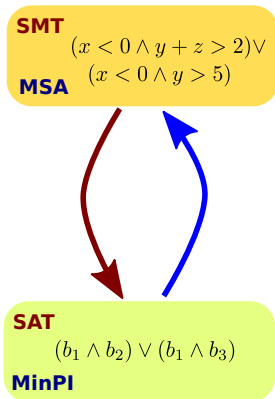
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**How can we "quickly" find good enough approximate MSA?**

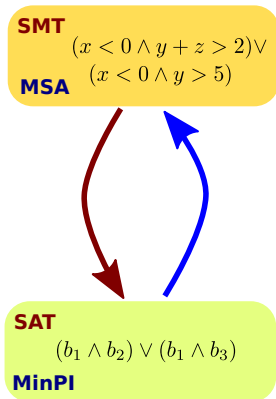
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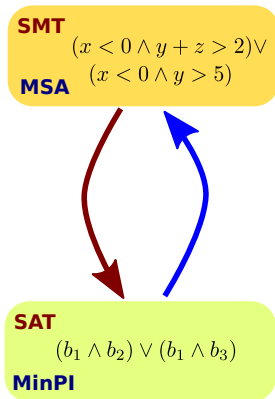
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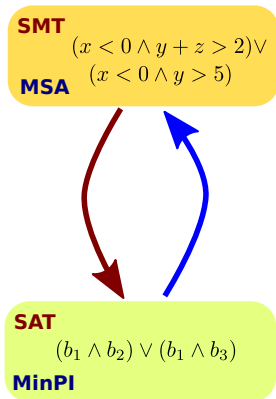
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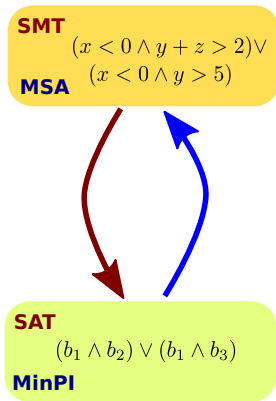
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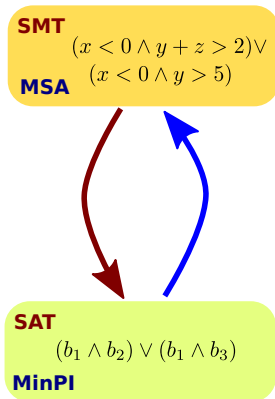
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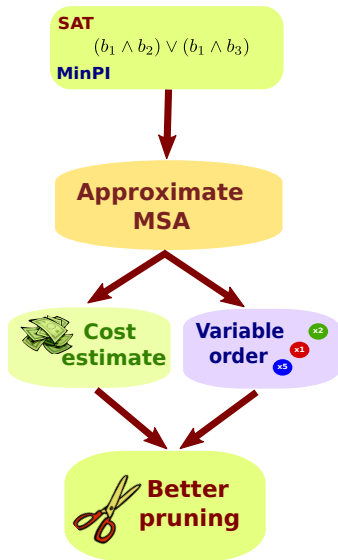
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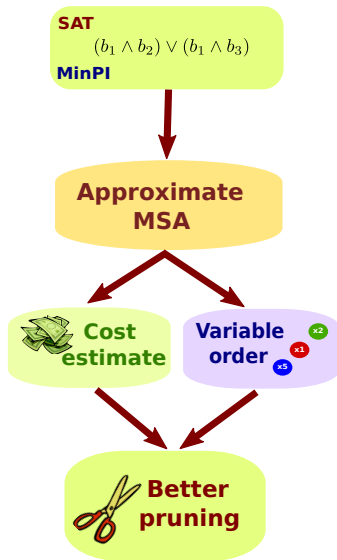
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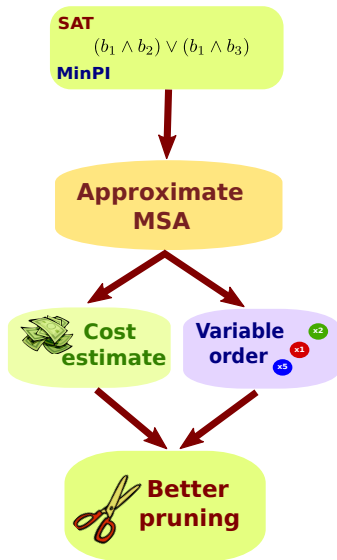
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- To find good estimate and variable order, compute approximate MSA
- Approximate MSA is obtained from theory-satisfiable min PI of boolean structure



## Another Improvement: Non-Universal Subsets

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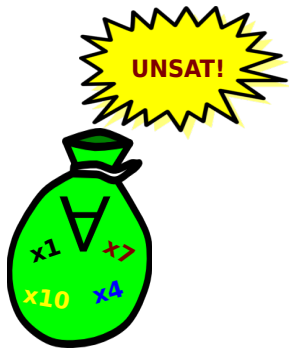
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**How can we "quickly" find implicates with small non-universal subsets?**

# Finding Non-Universal Subsets

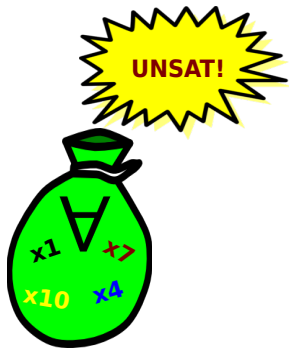
- For complete theories, such as Presburger arithmetic, if  $\neg\psi$  sat, then  $\forall\text{free}(\psi).\psi$  unsat





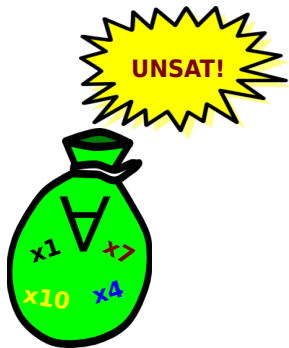
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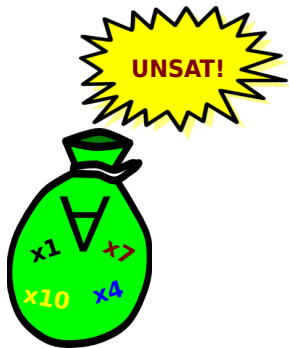
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- Can quickly find implicates with this property from boolean structure of simplified form
- When all variables in  $\psi$  are  $\forall$ -quantified, backtrack without checking satisfiability



- Implemented algorithm in Mistral SMT solver

# Experimental Evaluation

- Implemented algorithm in Mistral SMT solver
- Evaluated algorithm on 400 Presburger arithmetic formulas

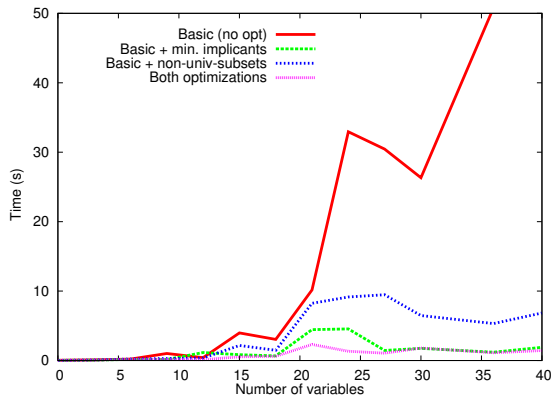
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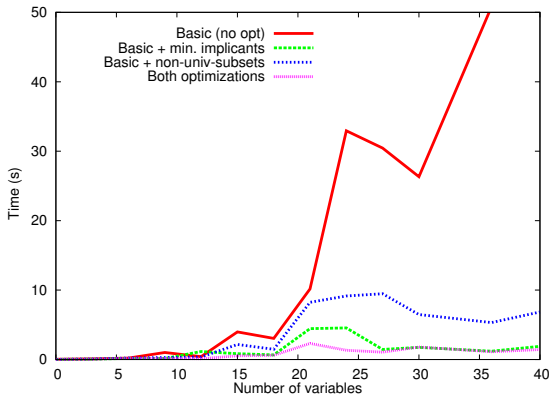
- Implemented algorithm in Mistral SMT solver
- Evaluated algorithm on 400 Presburger arithmetic formulas
- Formulas taken from static analysis tool that uses MSAs for performing abduction, in turn used for diagnosing error reports
- Formulas contain up to 40 variables and several hundred boolean connectives

# Experimental Results



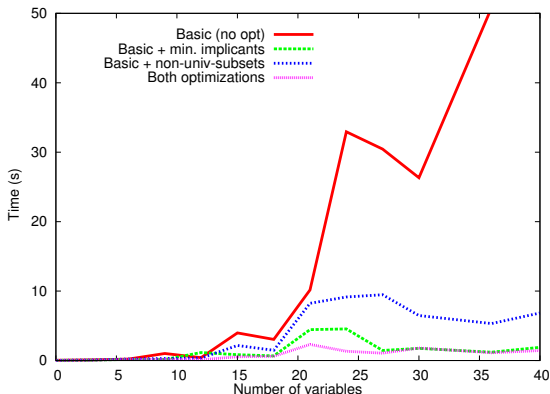


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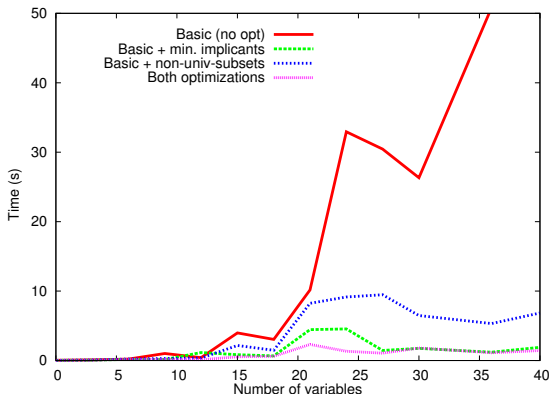
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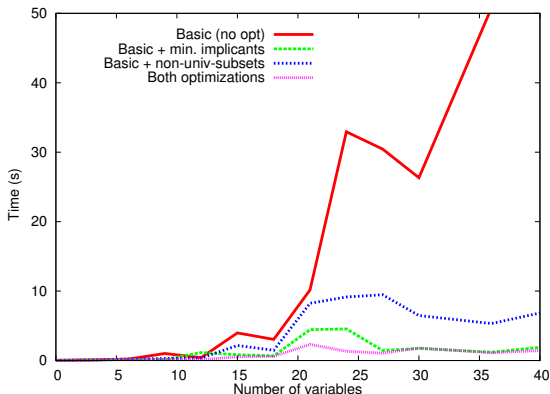
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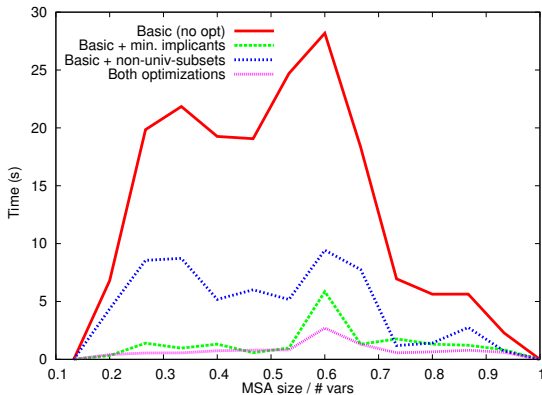
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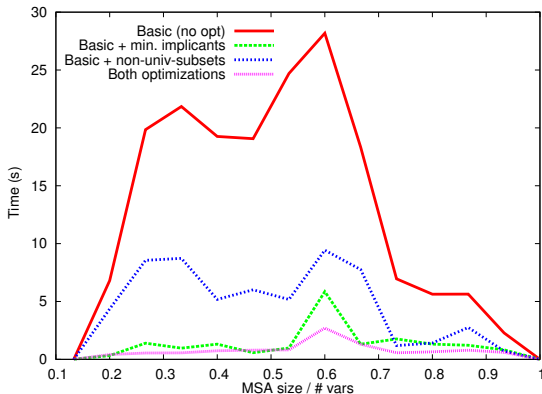
- Basic algorithm very sensitive to # vars
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Even with both optimizations, computing MSAs 25 times more expensive than checking satisfiability

# Experimental Results, cont.

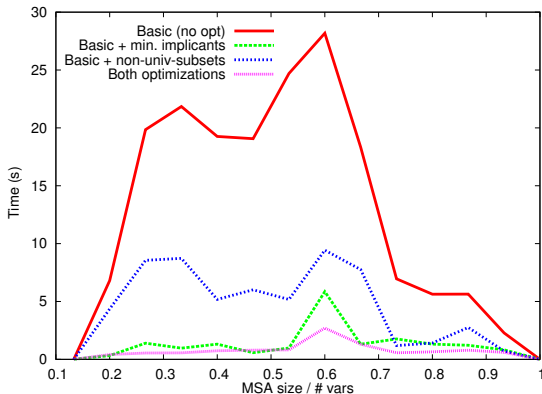


# Experimental Results, cont.



- Problem easier if # vars in MSA very small or very large

## Experimental Results, cont.



- Problem easier if # vars in MSA very small or very large
- Problem hardest for formulas when ratio of vars in MSA to free vars is  $\approx 0.6$

## Summary

- First algorithm for finding MSAs of SMT formulas
- Recursive branch-and-bound style algorithm with two crucial optimizations
- MSAs can be computed in reasonable time for a set of benchmarks obtained from static analysis
- But finding MSAs much more expensive than finding full sat assignment
- We believe significant improvements are still possible

