

CS243: Discrete Structures

More Number Theory and Applications in Cryptography

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1/44

Announcements

- ▶ Fourth homework assignment handed out today
- ▶ Due October 18 (Thursday after fall break)
- ▶ Covers sequences, countability, number theory

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2/44

Review of Last Lecture

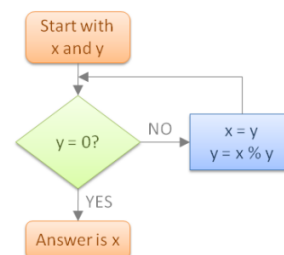
- ▶ Congruence Modulo: $a \equiv b \pmod{m}$ iff $m \mid (a - b)$
- ▶ Alternatively, $a \equiv b \pmod{m}$ iff $a \bmod m = b \bmod m$
- ▶ $\gcd(a, b)$ is the largest integer d such that $d \mid a$ and $d \mid b$
- ▶ **Theorem:** Let $a = bq + r$. Then, $\gcd(a, b) = \gcd(b, r)$
- ▶ Euclid's algorithm is used to efficiently compute gcd of two numbers and is based on previous theorem.

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3/44

Euclidian GCD Algorithm



- ▶ Find gcd of 72 and 20
- ▶ $12 = 72 \% 20$
- ▶ $8 = 20 \% 12$
- ▶ $4 = 12 \% 8$
- ▶ $0 = 8 \% 4$
- ▶ gcd is 4!

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4/44

GCD as Linear Combination

- ▶ $\gcd(a, b)$ can be expressed as a **linear combination** of a and b
- ▶ **Theorem:** If a and b are positive integers, then there exist integers s and t such that:
$$\gcd(a, b) = s \cdot a + t \cdot b$$
- ▶ Furthermore, Euclidian algorithm gives us a way to compute these integers s and t

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5/44

Example

- ▶ Express $\gcd(252, 198)$ as a linear combination of 252 and 198
- ▶ First apply Euclid's algorithm (write $a = bq + r$ at each step):
 1. $252 = 1 \cdot 198 + 54$
 2. $198 = 3 \cdot 54 + 36$
 3. $54 = 1 \cdot 36 + 18$
 4. $36 = 2 \cdot 18 + 0 \Rightarrow \text{gcd is } 18$
- ▶ Now, using (3), write 18 as $54 - 1 \cdot 36$
- ▶ Using (2), write 18 as $54 - 1 \cdot (198 - 3 \cdot 54)$
- ▶ Using (1), we have $54 = 252 - 1 \cdot 198$, thus:
$$18 = (252 - 1 \cdot 198) - 1(198 - 3 \cdot (252 - 1 \cdot 198))$$

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6/44

Example, cont.

$$18 = (252 - 1 \cdot 198) - 1(198 - 3 \cdot (252 - 1 \cdot 198))$$

- ▶ Now, let's simplify this:

$$18 = 252 - 1 \cdot 198 - 1 \cdot 198 + 3 \cdot 252 - 3 \cdot 198$$

- ▶ Now, collect all 252 and 198 terms together:

$$18 = 4 \cdot 252 - 5 \cdot 198$$

- ▶ Trace steps of Euclid's algorithm backwards to derive s, t :

$$\gcd(a, b) = s \cdot a + t \cdot b$$

- ▶ This is known as the **extended Euclidian algorithm**

A Useful Result

- ▶ **Lemma:** If a, b are relatively prime and $a|bc$, then $a|c$.
- ▶ **Proof:** Since a, b are relatively prime $\gcd(a, b) = 1$
- ▶ By previous theorem, there exists s, t such that $1 = s \cdot a + t \cdot b$
- ▶ Multiply both sides by c : $c = csa + ctb$
- ▶ By earlier theorem, since $a|bc$, $a|ctb$
- ▶ Also, by earlier theorem, $a|csa$
- ▶ Therefore, $a|csa + ctb$, which implies $a|c$ since $c = csa + ctb$ \square

Example

Lemma: If a, b are relatively prime and $a|bc$, then $a|c$.

- ▶ Suppose $15 \mid 16 \cdot x$
- ▶ Here 15 and 16 are relatively prime
- ▶ Thus, previous theorem implies: $15 \mid x$

Question

- ▶ Suppose $ca \equiv cb \pmod{m}$. Does this imply $a \equiv b \pmod{m}$?
- ▶ **Counterexample:** Consider $14 \equiv 8 \pmod{6}$
- ▶ Thus, $2 \cdot 7 \equiv 2 \cdot 4 \pmod{6}$
- ▶ But $7 \not\equiv 4 \pmod{6}$
- ▶ Therefore, this implication does not hold in the general case!
- ▶ However, if c and m are relatively prime, it does hold

Another Useful Result

- ▶ **Theorem:** If $ca \equiv cb \pmod{m}$ and $\gcd(c, m) = 1$, then $a \equiv b \pmod{m}$
- ▶ **Proof:** Since $ca \equiv cb \pmod{m}$, we have $m \mid ca - cb$
- ▶ Rewriting, we get: $m \mid c(a - b)$
- ▶ Since m, c are relatively prime, previous thm implies $m \mid a - b$
- ▶ By definition of congruence, $a \equiv b \pmod{m}$

Examples

- ▶ If $15x \equiv 15y \pmod{4}$, is $x \equiv y \pmod{4}$?
- ▶ If $8x \equiv 8y \pmod{4}$, is $x \equiv y \pmod{4}$?
- ▶ **Counterexample:** $8 \cdot 2 \equiv 8 \cdot 3 \pmod{4}$, but $2 \not\equiv 3 \pmod{4}$

Linear Congruences

- ▶ A congruence of the form $ax \equiv b \pmod{m}$ where a, b, m are integers and x a variable is called a **linear congruence**.
- ▶ Given such a linear congruence, often need to answer:
 1. Are there any solutions?
 2. What are the solutions?
- ▶ **Observe:** Determining if this congruence has a solution is the same as determining if the equality

$$ax - mk = b$$

has integer solutions.

Determining Existence of Solutions

- ▶ **Theorem:** The linear congruence $ax \equiv b \pmod{m}$ has solutions iff $\gcd(a, m) \mid b$.
- ▶ Proof involves two steps:
 1. If $ax \equiv b \pmod{m}$ has solutions, then $\gcd(a, m) \mid b$.
 2. If $\gcd(a, m) \mid b$, then $ax \equiv b \pmod{m}$ has solutions.
- ▶ First prove (1), then (2).

Proof, Part I

If $ax \equiv b \pmod{m}$ has solutions, then $\gcd(a, m) \mid b$.

- ▶ Suppose c is a solution, i.e., $ac \equiv b \pmod{m}$
- ▶ Then, $m \mid (ac - b)$
- ▶ Means there is a k such that $ac - b = mk$
- ▶ Rewrite as $b = ac - mk$
- ▶ $\gcd(a, m) \mid a$ and $\gcd(a, m) \mid m$; hence, $\gcd(a, m) \mid (ac - mk)$
- ▶ Since $b = ac - mk$, we have $\gcd(a, m) \mid b$ □

Proof, Part II

If $\gcd(a, m) \mid b$, then $ax \equiv b \pmod{m}$ has solutions.

- ▶ Let $d = \gcd(a, m)$ and suppose $d \mid b$
- ▶ Then, there is a k such that $b = dk$
- ▶ By earlier theorem, there exist s, t such that $d = s \cdot a + t \cdot m$
- ▶ Multiply both sides by k : $dk = a \cdot (sk) + m \cdot (tk)$
- ▶ Since $b = dk$, we have $b = a \cdot (sk) + m \cdot (tk)$
- ▶ Thus, $b \equiv a \cdot (sk) \pmod{m}$
- ▶ Hence, sk is a solution. □

Examples

- ▶ Does $5x \equiv 7 \pmod{15}$ have any solutions?
- ▶ Does $3x \equiv 4 \pmod{7}$ have any solutions?
- ▶ **Note:** This result generalizes to **linear Diophantine equations**
- ▶ Equality $a_1x_1 + a_2x_2 + \dots + a_nx_n = b$ has integer solutions iff

$$\gcd(a_1, a_2, \dots, a_n) \mid b$$

- ▶ Previous result just an instance of this because $ax \equiv b \pmod{m}$ can be written as $ax - mk = b$

Examples

- ▶ Does $77x + 42y = 35$ have integer solutions?
- ▶ Does $6x + 9y + 12z = 7$ have integer solutions?

Finding Solutions

- ▶ Can determine existence of solutions, but how to find them?
- ▶ **Theorem:** Let $d = \gcd(a, m) = sa + tm$. If $d|b$, then the solutions to $ax \equiv b \pmod{m}$ are given by:

$$x = \frac{sb}{d} + \frac{m}{d}u \text{ where } u \in \mathbb{Z}$$

Example

Let $d = \gcd(a, m) = sa + tm$. If $d|b$, then the solutions to $ax \equiv b \pmod{m}$ are given by:

$$x = \frac{sb}{d} + \frac{m}{d}u \text{ where } u \in \mathbb{Z}$$

- ▶ What are the solutions to the linear congruence $3x \equiv 4 \pmod{7}$?
- ▶ First, need to find s, t such that $3s + 7t = \gcd(3, 7)$
- ▶ Apply Euclidian algorithm: $7 = 2 \cdot 3 + 1$ and $3 = 3 \cdot 1 + 0$
- ▶ Hence $\gcd(3, 7) = 1 = -2 \cdot 3 + 1 \cdot 7$. Thus, $s = -2$ and $t = 1$
- ▶ **Solutions:** $x = -2 \cdot 4 + 7u = -8 + 7u$ (e.g., $-8, -1, 6, 13, \dots$)

Another Example

Let $d = \gcd(a, m) = sa + tm$. If $d|b$, then the solutions to $ax \equiv b \pmod{m}$ are given by:

$$x = \frac{sb}{d} + \frac{m}{d}u \text{ where } u \in \mathbb{Z}$$

- ▶ What are the solutions to the linear congruence $3x \equiv 1 \pmod{7}$?
- ▶ Already found s as -2 in previous example
- ▶ **Solutions:** $x = -2 + 7u$ (e.g., $-2, 5, 12, \dots$)

Inverse Modulo m

- ▶ The **inverse of a modulo m** , written \bar{a} has the property:

$$a\bar{a} \equiv 1 \pmod{m}$$

- ▶ **Theorem:** Inverse of a modulo m exists if and only if a and m are relatively prime.
- ▶ **Proof:** Inverse must satisfy $ax \equiv 1 \pmod{m}$
- ▶ By previous thm, this equation has a solution iff $\gcd(a, m)|1$
- ▶ Thus, $\gcd(a, m)$ must be 1 □
- ▶ Does 3 have an inverse modulo 7?

Example

- ▶ Find an inverse of 3 modulo 7.
- ▶ An inverse is any solution to $3x \equiv 1 \pmod{7}$
- ▶ Earlier, we already computed solutions for this equation as:

$$x = -2 + 7u$$

- ▶ Thus, -2 is an inverse of 3 modulo 7
- ▶ $5, 12, -9, \dots$ are also inverses

Example 2

- ▶ Find inverse of 2 modulo 5.
- ▶ Need to solve the congruence $2x \equiv 1 \pmod{5}$
- ▶ What are s, t such that $2s + 5t = 1$?
- ▶ One solution: $\frac{1 \cdot 3}{1} = 3$
- ▶ Other solutions: $8, 13, 18, \dots$

Solving Systems of Linear Congruences

- ▶ So far, learned how to solve single linear congruence
- ▶ In some cases, need to solve a system of linear congruences
- ▶ A famous theorem, called **Chinese remainder theorem**, tells us how to solve a system of linear congruences
- ▶ **Chinese Remainder Theorem:** Let m and n be relatively prime integers. Then, the system:

$$\begin{aligned} x &\equiv a \pmod{m} \\ x &\equiv b \pmod{n} \end{aligned}$$

has a solution. Furthermore, all solutions are congruent to $ant + bms$ modulo mn where $ms + nt = 1$.

Example

- ▶ Find all solutions to the following system:

$$\begin{aligned} x &\equiv 2 \pmod{3} \\ x &\equiv 3 \pmod{5} \\ x &\equiv 2 \pmod{7} \end{aligned}$$
- ▶ Find all solutions to first two, then combine with third
- ▶ Need to find s, t such that $s \cdot 3 + t \cdot 5 = 1$
- ▶ Using Euclid's algorithm: $5 = 1 \cdot 3 + 2$, $3 = 1 \cdot 2 + 1$, $2 = 2 \cdot 1 + 0$
- ▶ Applying backward substitution, we get: $1 = 2 \cdot 3 - 1 \cdot 5$
- ▶ Hence, $s = 2$, $t = -1$ and $ant + bms = -10 + 18 = 8$
- ▶ Thus, solution to first two congruences: $x \equiv 8 \pmod{15}$

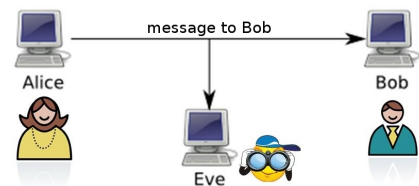
Example, cont.

- ▶ Now, combine this with last congruence:

$$\begin{aligned} x &\equiv 8 \pmod{15} \\ x &\equiv 2 \pmod{7} \end{aligned}$$
- ▶ Now, again find s, t such that $15s + 7t = 1$
- ▶ Applying Euclid, we have: $15 = 2 \cdot 7 + 1$, $7 = 7 \cdot 1 + 0$
- ▶ Hence, $1 = 1 \cdot 15 - 2 \cdot 7$, i.e. $s = 1, t = -2$
- ▶ $ant + bms = -112 + 30 = -82$
- ▶ **Solution:** $x \equiv -82 \pmod{105}$

Cryptography

- ▶ Cryptography is the study of techniques for secure transmission of information in the presence of adversaries



- ▶ How can Alice send secret messages to Bob without Eve being able to read them?

Private vs. Public Crypto Systems

- ▶ Two different kinds of cryptography systems:
 1. Private (secret) key cryptography
 2. Public key cryptography
- ▶ In private key cryptography, sender and receiver agree on **secret key** that both use to encrypt/decrypt the message
- ▶ In public key cryptography, a **public key** is used to encrypt the message, and **private key** is used to decrypt the message

Private Key Cryptography

- ▶ Private key crypto is classical method, used since antiquity
- ▶ Caesar's cipher is an example of private key cryptography
- ▶ Caesar's cipher is **shift cipher** where $f(p) = (p + k) \pmod{26}$
- ▶ Both receiver and sender need to know k to encrypt/decrypt
- ▶ **Analogy:** Alice wants to send Bob briefcase with secret message; they have a common key to lock/unlock briefcase
- ▶ Alice locks briefcase with shared key and Bob unlocks briefcase with shared key
- ▶ Only works well when number of parties involved in communicated is small

Public Key Cryptography

- Public key cryptography is the modern method, proposed by Diffie and Hellman in 70's
- Different keys are used to encrypt vs. decrypt message
- How can parties exchange information using different keys?
- Analogy:** Alice puts message in briefcase, locks with her own key A , sends to Bob
- Bob gets locked briefcase, adds his lock B , sends back to Alice
- Alice gets double locked box, removes A , sends back to Bob
- Bob opens briefcase using his own key

Public Key Cryptography Overview

- This double lock example illustrates how parties can securely transmit information without exchanging secret keys
- Many modern crypto-systems work roughly this way
- Most commonly used public key system is **RSA**
- Great application of number theory and things we've learned

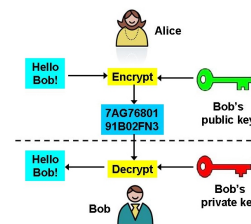
RSA History



- Named after its inventors Rivest, Shamir, and Adleman, all researchers at MIT (1978)
- Actually, similar system invented earlier by British researcher Clifford Cocks, but classified – unknown until 90's

RSA Overview

- Bob has two keys: public and private
- Everyone knows Bob's public key, but only he knows his private key
- Alice encrypts message using Bob's public key
- Bob decrypts message using private key
- Public key can encrypt, but not decrypt
- Therefore, no one can read message except Bob



High Level Math Behind RSA

- In the RSA system, **private key** consists of two **very large prime numbers** p, q
- Public key** consists of a number n , which is the product of p, q and another number e
- e is a number **relatively prime** with $(p-1)(q-1)$ ($\phi(N)$, Euler's totient function)
- Encrypt messages using n, e , but to decrypt, must know p, q
- In theory, can extract p, q from n using **prime factorization**, but this is intractable for very large numbers
- Security of RSA relies on inherent computational difficulty of prime factorization**

Encryption in RSA

- To send message to Bob, Alice first represents message as a sequence of numbers
- Call this number representing message M
- Alice then uses Bob's public key n, e to perform encryption as:

$$C = M^e \pmod{n}$$
- C is called the **ciphertext**

Encryption Example

- ▶ Encrypt message "STOP" using RSA with $n = 2537$, $e = 13$
- ▶ First convert each letter to a number in $[0, 25]$:
 $S = 18$, $T = 19$, $O = 14$, $P = 15$
- ▶ Group sequence into blocks of 4 digits:

$$M = 1819\ 1415$$

- ▶ Now encrypt each block as $C = M^{13} \pmod{2537}$
- ▶ For first block, $1819^{13} \pmod{2537} = 2081$; for second block $1415^{13} \pmod{2537} = 2182$
- ▶ Ciphertext: 2081 2182

RSA Decryption

- ▶ How do we decrypt cipher text using private keys p, q ?
- ▶ Decryption key d is the inverse of e modulo $(p-1)(q-1)$:

$$d \cdot e \equiv 1 \pmod{(p-1)(q-1)}$$

- ▶ As we saw earlier, d can be computed reasonably efficiently if we know $(p-1)(q-1)$
- ▶ However, since adversaries do not know p, q , they cannot compute d with reasonable computational effort!

RSA Decryption, cont.

- ▶ Using the Chinese remainder theorem and another theorem called Fermat's Little Theorem, it can be shown that:

$$(M^e)^d \equiv M \pmod{n}$$

- ▶ Since the ciphertext C is just M^e , $C^d \pmod{n}$ allows decrypting the message
- ▶ Since Bob can compute d using p, q , Bob can easily decrypt message, but no one else can!

Decryption Example

- ▶ Decrypt the cipher text 0981 0461 for the RSA cipher with $p = 43$, $q = 59$, and $e = 13$.
- ▶ First we need to compute d , the inverse of e modulo $(p-1)(q-1)$

- ▶ Here, $(p-1)(q-1) = 2436$; thus solve:

$$13x \equiv 1 \pmod{2436}$$

- ▶ To solve this, first compute s, t such that:

$$13s + 2436t = 1$$

- ▶ Apply extended Euclidian algorithm: $s = 937$, $t = -5$

Example, cont.

Decrypt 0981 0461 using $p = 43$, $q = 59$, $n = 2537$, and $e = 13$.

- ▶ To solve $13x \equiv 1 \pmod{2436}$, computed $s = 937$, $t = -5$
- ▶ Recall: Solution to this system is given by:

$$x = \frac{sb}{d} + \frac{m}{d}u \text{ where } u \in \mathbb{Z}$$

- ▶ Here, $s = 937$, $b, d = 1$, $m = 2436$, thus solution: $\bar{e} = 937$
- ▶ $0981^{937} \pmod{2537} = 0704$; $0461^{937} \pmod{2537} = 1115$
- ▶ Thus, decrypted message is 0704 1115, or in English, "HELP"

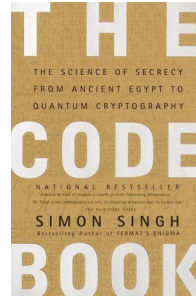
Security of RSA

- ▶ The encryption function used in RSA is a trapdoor function
- ▶ Trapdoor function is easy to compute in one direction, but very difficult in reverse direction without additional knowledge
- ▶ Encryption direction is easy because just requires exponentiation and mod
- ▶ Decryption without private key is very hard because requires prime factorization
- ▶ Therefore, security of RSA depends on difficulty of prime factorization

Security of RSA, cont.

- ▶ However, as computers get more powerful and factorization algorithms better, possible to factor larger and larger integers
- ▶ Therefore, over time, necessary to use larger and larger prime numbers to ensure secure communication
- ▶ For quantum computing, there are very efficient algorithms for computing prime factors (Shor's algorithm)
- ▶ If we could build quantum computers with sufficient "qubits", RSA would no longer be secure!
- ▶ However, today, RSA is considered secure if you use sufficiently large prime numbers (> 200 digits)

Book Recommendation



If you are interested in (history of) cryptography, read "The Code Book" by Simon Singh!