

# CS311H: Discrete Mathematics

## Introduction to First-Order Logic

Instructor: Işıl Dillig

## Why First-Order Logic?

- ▶ So far, we studied the simplest logic: **propositional logic**
- ▶ But for some applications, propositional logic is not expressive enough
- ▶ First-order logic is more expressive: allows representing more complex facts and making more sophisticated inferences

## A Motivating Example

- ▶ For instance, consider the statement "Anyone who drives fast gets a speeding ticket"
- ▶ From this, we should be able to conclude "If Joe drives fast, he will get a speeding ticket"
- ▶ Similarly, we should be able to conclude "If Rachel drives fast, she will get a speeding ticket" and so on.
- ▶ But PL does not allow inferences like that because we cannot talk about concepts like "everyone", "someone" etc.
- ▶ **First-order logic** (predicate logic) allows making such kinds of inferences

## Building Blocks of First-Order Logic

- ▶ The building blocks of propositional logic were **propositions**
- ▶ In first-order logic, there are three kinds of basic building blocks: constants, variables, predicates
- ▶ **Constants**: refer to specific objects (in a universe of discourse)
- ▶ **Examples**: George, 6, Austin, CS311, ...
- ▶ **Variables**: range over objects (in a universe of discourse)
- ▶ **Examples**:  $x, y, z, \dots$
- ▶ If universe of discourse is cities in Texas,  $x$  can represent Houston, Austin, Dallas, San Antonio, ...

## Building Blocks of First-Order Logic, cont.

- ▶ **Predicates** describe properties of objects or relationships between objects
- ▶ **Examples**: ishappy, betterthan, loves,  $>$  ...
- ▶ Predicates can be applied to both constants and variables
- ▶ **Examples**: ishappy(George), betterthan( $x, y$ ), loves(George, Rachel),  $x > 3$ , ...
- ▶ A predicate  $P(c)$  is true or false depending on whether property  $P$  holds for  $c$
- ▶ **Example**: ishappy(George) is true if George is happy, but false otherwise

## Predicate Examples

- ▶ Consider predicate **even** which represents if a number is even
- ▶ What is truth value of **even(2)**?
- ▶ What is truth value of **even(5)**?
- ▶ What is truth value of **even( $x$ )**?
- ▶ **Another example**: Suppose  $Q(x, y)$  denotes  $x = y + 3$
- ▶ What is the truth value of  $Q(3, 0)$ ?
- ▶ What is the truth value of  $Q(1, 2)$ ?

## Formulas in First Order Logic

- ▶ Formulas in first-order logic are formed using predicates and logical connectives.
- ▶ Example:  $\text{even}(2)$  is a formula
- ▶ Example:  $\text{even}(x)$  is also a formula
- ▶ Example:  $\text{even}(x) \vee \text{odd}(x)$  is also a formula
- ▶ Example:  $(\text{odd}(x) \rightarrow \neg \text{even}(x)) \wedge \text{even}(x)$

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## Semantics of First-Order Logic

- ▶ In propositional logic, the truth value of formula depends on a truth assignment to variables.
- ▶ In FOL, truth value of a formula depends **interpretation** of predicate symbols and variables over some domain  $D$
- ▶ Consider a FOL formula  $\neg P(x)$
- ▶ A possible interpretation:  
$$D = \{\star, \circ\}, P(\star) = \text{true}, P(\circ) = \text{false}, x = \star$$
- ▶ Under this interpretation, what's truth value of  $\neg P(x)$ ?
- ▶ What about if  $x = \circ$ ?

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## More Examples

- ▶ Consider interpretation  $I$  over domain  $D = \{1, 2\}$ 
  - ▶  $P(1, 1) = P(1, 2) = \text{true}, P(2, 1) = P(2, 2) = \text{false}$
  - ▶  $Q(1) = \text{false}, Q(2) = \text{true}$
  - ▶  $x = 1, y = 2$
- ▶ What is truth value of  $P(x, y) \wedge Q(y)$  under  $I$ ?
- ▶ What is truth value of  $P(y, x) \rightarrow Q(y)$  under  $I$ ?
- ▶ What is truth value of  $P(x, y) \rightarrow Q(x)$  under  $I$ ?

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## Quantifiers

- ▶ Real power of first-order logic over propositional logic: **quantifiers**
- ▶ Quantifiers allow us to talk about **all** objects or the existence of **some** object
- ▶ There are two quantifiers in first-order logic:
  1. Universal quantifier ( $\forall$ ): refers to **all** objects
  2. Existential quantifier ( $\exists$ ): refers to **some** object

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## Universal Quantifiers

- ▶ **Universal quantification** of  $P(x)$ ,  $\forall x.P(x)$ , is the statement "P(x) holds for all objects  $x$  in the universe of discourse."
- ▶  $\forall x.P(x)$  is true if predicate  $P$  is true for **every** object in the universe of discourse, and false otherwise
- ▶ Consider domain  $D = \{\circ, \star\}$ ,  $P(\circ) = \text{true}, P(\star) = \text{false}$
- ▶ What is truth value of  $\forall x.P(x)$ ?
- ▶ Object  $\circ$  for which  $P(\circ)$  is false is **counterexample** of  $\forall x.P(x)$
- ▶ What is a counterexample for  $\forall x.P(x)$  in previous example?

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## More Universal Quantifier Examples

- ▶ Consider the domain  $D$  of real numbers and predicate  $P(x)$  with interpretation  $x^2 \geq x$
- ▶ What is the truth value of  $\forall x.P(x)$ ?
- ▶ What is a counterexample?
- ▶ What if the domain is integers?
- ▶ **Observe:** Truth value of a formula depends on a universe of discourse!

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## Existential Quantifiers

- ▶ **Existential quantification** of  $P(x)$ , written  $\exists x.P(x)$ , is "There exists an element  $x$  in the domain such that  $P(x)$ ".
- ▶  $\exists x.P(x)$  is true if there is **at least one** element in the domain such that  $P(x)$  is true
- ▶ In first-order logic, domain is required to be **non-empty**.
- ▶ Consider domain  $D = \{o, \star\}$ ,  $P(o) = \text{true}$ ,  $P(\star) = \text{false}$
- ▶ What is truth value of  $\exists x.P(x)$ ?

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## Existential Quantifier Examples

- ▶ Consider the domain of reals and predicate  $P(x)$  with interpretation  $x < 0$ .
- ▶ What is the truth value of  $\exists x.P(x)$ ?
- ▶ What if domain is positive integers?
- ▶ Let  $Q(y)$  be the statement  $y > y^2$
- ▶ What's truth value of  $\exists y.Q(y)$  if domain is reals?
- ▶ What about if domain is integers?

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## Quantifiers Summary

Statement	When True?	When False?
$\forall x.P(x)$	$P(x)$ is true for <b>every</b> $x$	$P(x)$ is false for <b>some</b> $x$
$\exists x.P(x)$	$P(x)$ is true for <b>some</b> $x$	$P(x)$ is false for <b>every</b> $x$

- ▶ Consider finite universe of discourse with objects  $o_1, \dots, o_n$
- ▶  $\forall x.P(x)$  is true iff  $P(o_1) \wedge P(o_2) \dots \wedge P(o_n)$  is true
- ▶  $\exists x.P(x)$  is true iff  $P(o_1) \vee P(o_2) \dots \vee P(o_n)$  is true

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## Quantified Formulas

- ▶ So far, only discussed how to quantify individual predicates.
- ▶ But we can also quantify entire formulas containing multiple predicates and logical connectives.
- ▶  $\exists x.(\text{even}(x) \wedge \text{gt}(x, 100))$  is a valid formula in FOL
- ▶ What's truth value of this formula if domain is all integers?
  - ▶ assuming  $\text{even}(x)$  means " $x$  is even" and  $\text{gt}(x, y)$  means  $x > y$
- ▶ What about  $\forall x.(\text{even}(x) \wedge \text{gt}(x, 100))$ ?

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## More Examples of Quantified Formulas

- ▶ Consider the domain of integers and the predicates  $\text{even}(x)$  and  $\text{div4}(x)$  which represents if  $x$  is divisible by 4
- ▶ What is the truth value of the following quantified formulas?
  - ▶  $\forall x. (\text{div4}(x) \rightarrow \text{even}(x))$
  - ▶  $\forall x. (\text{even}(x) \rightarrow \text{div4}(x))$
  - ▶  $\exists x. (\neg \text{div4}(x) \wedge \text{even}(x))$
  - ▶  $\exists x. (\neg \text{div4}(x) \rightarrow \text{even}(x))$
  - ▶  $\forall x. (\neg \text{div4}(x) \rightarrow \text{even}(x))$

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## Translating English Into Quantified Formulas

Assuming  $\text{freshman}(x)$  means " $x$  is a freshman" and  $\text{inCS311}(x)$  " $x$  is taking CS311", express the following in FOL

- ▶ Someone in CS311 is a freshman
- ▶ No one in CS311 is a freshman
- ▶ Everyone taking CS311 are freshmen
- ▶ Every freshman is taking CS311

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## DeMorgan's Laws for Quantifiers

- ▶ Learned about DeMorgan's laws for propositional logic:

$$\begin{aligned}\neg(p \wedge q) &\equiv \neg p \vee \neg q \\ \neg(p \vee q) &\equiv \neg p \wedge \neg q\end{aligned}$$

- ▶ DeMorgan's laws extend to first-order logic, e.g.,  
 $\neg(\text{even}(x) \vee \text{div4}(x)) \equiv (\neg\text{even}(x) \wedge \neg\text{div4}(x))$

- ▶ Two new DeMorgan's laws for quantifiers:

$$\begin{aligned}\neg\forall x.P(x) &\equiv \exists x.\neg P(x) \\ \neg\exists x.P(x) &\equiv \forall x.\neg P(x)\end{aligned}$$

- ▶ When you push negation in,  $\forall$  flips to  $\exists$  and vice versa

## Using DeMorgan's Laws

- ▶ Expressed "Noone in CS311 is a freshman" as  
 $\neg\exists x.(\text{inCS311}(x) \wedge \text{freshman}(x))$
- ▶ Let's apply DeMorgan's law to this formula:
- ▶ Using the fact that  $p \rightarrow q$  is equivalent to  $\neg p \vee q$ , we can write this formula as:
- ▶ Therefore, these two formulas are equivalent!

## Nested Quantifiers

- ▶ Sometimes may be necessary to use multiple quantifiers
- ▶ For example, can't express "Everybody loves someone" using a single quantifier
- ▶ Suppose predicate  $\text{loves}(x, y)$  means "Person  $x$  loves person  $y$ "
- ▶ What does  $\forall x.\exists y.\text{loves}(x, y)$  mean?
- ▶ What does  $\exists y.\forall x.\text{loves}(x, y)$  mean?
- ▶ **Observe:** Order of quantifiers is **very** important!

## More Nested Quantifier Examples

Using the  $\text{loves}(x, y)$  predicate, how can we say the following?

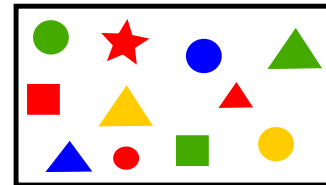
- ▶ "Someone loves everyone"
- ▶ "There is someone who doesn't love anyone"
- ▶ "There is someone who is not loved by anyone"
- ▶ "Everyone loves everyone"
- ▶ "There is someone who doesn't love herself/himself."

## Summary of Nested Quantifiers

Statement	When True?
$\forall x.\forall y.P(x, y)$	$P(x, y)$ is true for every pair $x, y$
$\forall y.\forall x.P(x, y)$	
$\forall x.\exists y.P(x, y)$	For every $x$ , there is a $y$ for which $P(x, y)$ is true
$\exists x.\forall y.P(x, y)$	There is an $x$ for which $P(x, y)$ is true for every $y$
$\exists x.\exists y.P(x, y)$	There is a pair $x, y$ for which $P(x, y)$ is true
$\exists y.\exists x.P(x, y)$	

**Observe:** Order of quantifiers is only important if quantifiers of different kinds!

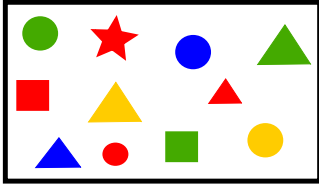
## Understanding Quantifiers



Which formulas are true/false? If false, give a counterexample

- ▶  $\forall x.\exists y. (\text{sameShape}(x, y) \wedge \text{differentColor}(x, y))$
- ▶  $\forall x.\exists y. (\text{sameColor}(x, y) \wedge \text{differentShape}(x, y))$
- ▶  $\forall x. (\text{triangle}(x) \rightarrow (\exists y. (\text{circle}(y) \wedge \text{sameColor}(x, y))))$

## Understanding Quantifiers, cont.



Which formulas are true/false? If false, give a counterexample

- ▶  $\forall x.\forall y. ((\text{triangle}(x) \wedge \text{square}(y)) \rightarrow \text{sameColor}(x, y))$
- ▶  $\exists x.\forall y. \neg \text{sameShape}(x, y)$
- ▶  $\forall x. (\text{circle}(x) \rightarrow (\exists y. (\neg \text{circle}(y) \wedge \text{sameColor}(x, y))))$

## Translating First-Order Logic into English

Given predicates  $\text{student}(x)$ ,  $\text{atUT}(x)$ , and  $\text{friends}(x, y)$ , what do the following formulas say in English?

- ▶  $\forall x. ((\text{atUT}(x) \wedge \text{student}(x)) \rightarrow (\exists y. (\text{friends}(x, y) \wedge \neg \text{atUT}(y))))$
- ▶  $\forall x. ((\text{student}(x) \wedge \neg \text{atUT}(x)) \rightarrow \neg \exists y. \text{friends}(x, y))$
- ▶  $\forall x.\forall y. ((\text{student}(x) \wedge \text{student}(y) \wedge \text{friends}(x, y)) \rightarrow (\text{atUT}(x) \wedge \text{atUT}(y)))$

## Translating English into First-Order Logic

Given predicates  $\text{student}(x)$ ,  $\text{atUT}(x)$ , and  $\text{friends}(x, y)$ , how do we express the following in first-order logic?

- ▶ "Every UT student has a friend"
- ▶ "At least one UT student has no friends"
- ▶ "All UT students are friends with each other"

## Satisfiability, Validity in FOL

- ▶ The concepts of satisfiability, validity also important in FOL
- ▶ An FOL formula  $F$  is satisfiable if there exists some domain and some interpretation such that  $F$  evaluates to true
- ▶ Example: Prove that  $\forall x. P(x) \rightarrow Q(x)$  is satisfiable.
- ▶ An FOL formula  $F$  is valid if, for all domains and all interpretations,  $F$  evaluates to true
- ▶ Prove that  $\forall x. P(x) \rightarrow Q(x)$  is not valid.
- ▶ Formulas that are satisfiable, but not valid are **contingent**, e.g.,  $\forall x. P(x) \rightarrow Q(x)$

## Equivalence

- ▶ Two formulas  $F_1$  and  $F_2$  are equivalent if  $F_1 \leftrightarrow F_2$  is valid
- ▶ In PL, we could prove equivalence using truth tables, but not possible in FOL
- ▶ However, we can still use known equivalences to rewrite one formula as the other
- ▶ Example: Prove that  $\neg(\forall x. (P(x) \rightarrow Q(x)))$  and  $\exists x. (P(x) \wedge \neg Q(x))$  are equivalent.
- ▶ Example: Prove that  $\neg \exists x.\forall y. P(x, y)$  and  $\forall x.\exists y. \neg P(x, y)$  are equivalent.