

CS311H: Discrete Mathematics

Mathematical Induction

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Recursive Definitions

- ▶ Should be familiar with recursive functions from programming:

```
public int fact(int n) {  
    if(n <= 1) return 1;  
    return n * fact(n - 1);  
}
```

- ▶ **Recursive definitions** are also used in math for defining sets, functions, sequences etc.

Recursive Definitions in Math

- ▶ Consider the following sequence:

$$1, 3, 9, 27, 81, \dots$$

- ▶ This sequence can be defined **recursively** as follows:

$$\begin{aligned}a_0 &= 1 \\a_n &= 3 \cdot a_{n-1}\end{aligned}$$

- ▶ First part called **base case**; second part called **recursive step**
- ▶ Very similar to induction; in fact, recursive definitions sometimes also called **inductive definitions**

Recursively Defined Functions

- ▶ Just like sequences, functions can also be defined recursively

- ▶ **Example:**

$$\begin{aligned}f(0) &= 3 \\f(n+1) &= 2f(n) + 3 \quad (n \geq 1)\end{aligned}$$

- ▶ What is $f(1)$?
- ▶ What is $f(2)$?
- ▶ What is $f(3)$?

Recursive Definition Examples

- ▶ Consider $f(n) = 2n + 1$ where n is non-negative integer
- ▶ What's a recursive definition for f ?
- ▶ Consider the sequence $1, 4, 9, 16, \dots$
- ▶ What is a recursive definition for this sequence?
- ▶ Recursive definition of function defined as $f(n) = \sum_{i=1}^n i$?

Recursive Definitions of Important Functions

- ▶ Some important functions/sequences defined recursively

- ▶ **Factorial function:**

$$\begin{aligned}f(1) &= 1 \\f(n) &= n \cdot f(n-1) \quad (n \geq 2)\end{aligned}$$

- ▶ **Fibonacci numbers:** 1, 1, 2, 3, 5, 8, 13, 21, ...

$$\begin{aligned}a_1 &= 1 \\a_2 &= 1 \\a_n &= a_{n-1} + a_{n-2} \quad (n \geq 3)\end{aligned}$$

- ▶ Just like there can be multiple bases cases in inductive proofs, there can be multiple base cases in recursive definitions

Inductive Proofs for Recursively Defined Structures

- ▶ Recursive definitions and inductive proofs are very similar
- ▶ Natural to use induction to prove properties about recursively defined structures (sequences, functions etc.)
- ▶ Consider the recursive definition:

$$\begin{aligned}f(0) &= 1 \\f(n) &= f(n-1) + 2\end{aligned}$$

- ▶ Prove that $f(n) = 2n + 1$

Example

- ▶ Let f_n denote the n 'th element of the Fibonacci sequence
- ▶ Prove: For $n \geq 3$, $f_n > \alpha^{n-2}$ where $\alpha = \frac{1+\sqrt{5}}{2}$
- ▶ Proof is by **strong induction** on n with two base cases
- ▶ **Intuition 1:** Definition of f_n has two base cases
- ▶ **Intuition 2:** Recursive step uses $f_{n-1}, f_{n-2} \Rightarrow$ strong induction
- ▶ **Base case 1 ($n=3$):** $f_3 = 2$, and $\alpha < 2$, thus $f_3 > \alpha$
- ▶ **Base case 2 ($n=4$):** $f_4 = 3$ and $\alpha^2 = \frac{(3+\sqrt{5})}{2} < 3$

Example, cont.

Prove: For $n \geq 3$, $f_n > \alpha^{n-2}$ where $\alpha = \frac{1+\sqrt{5}}{2}$

- ▶ **Inductive step:** Assuming property holds for f_i where $3 \leq i \leq k$, need to show $f_{k+1} > \alpha^{k-1}$
- ▶ First, rewrite α^{k-1} as $\alpha^2 \alpha^{k-3}$
- ▶ α^2 is equal to $1 + \alpha$ because:

$$\alpha^2 = \left(\frac{1 + \sqrt{5}}{2} \right)^2 = \frac{\sqrt{5} + 3}{2} = \alpha + 1$$

- ▶ Thus, $\alpha^{k-1} = (\alpha + 1)(\alpha^{k-3}) = \alpha^{k-2} + \alpha^{k-3}$

Example, cont.

- ▶ $\alpha^{k-1} = \alpha^{k-2} + \alpha^{k-3}$
- ▶ By recursive definition, we know $f_{k+1} = f_k + f_{k-1}$
- ▶ Furthermore, by inductive hypothesis:

$$f_k > \alpha^{k-2} \quad f_{k-1} > \alpha^{k-3}$$

- ▶ Therefore, $f_{k+1} > \alpha^{k-2} + \alpha^{k-3} = \alpha^{k-1}$



Recursively Defined Sets and Structures

- ▶ We saw how to define functions and sequences recursively
- ▶ We can also define sets and other data structures recursively
- ▶ **Example:** Consider the set S defined as:

$$3 \in S$$

$$\text{If } x \in S \text{ and } y \in S, \text{ then } x + y \in S$$

- ▶ What is the set S defined as above?

More Examples

- ▶ Give a recursive definition of the set E of all even integers:
 - ▶ Base case:
 - ▶ Recursive step:
- ▶ Give a recursive definition of $\mathbb{N}$, the set of all natural numbers:
 - ▶ Base case:
 - ▶ Recursive step:

Strings and Alphabets

- ▶ Recursive definitions play important role in study of **strings**
- ▶ Strings are defined over an **alphabet** Σ
 - ▶ **Example:** $\Sigma_1 = \{a, b\}$
 - ▶ **Example:** $\Sigma_2 = \{0\}$
- ▶ Examples of strings over Σ_1 : $a, b, aa, ab, ba, bb, \dots$
- ▶ Set of all strings formed from Σ forms **language** called Σ^*
 - ▶ $\Sigma_2^* = \{\epsilon, 0, 00, 000, \dots\}$

Recursive Definition of Strings

- ▶ The language Σ^* has natural recursive definition:
 - ▶ **Base case:** $\epsilon \in \Sigma^*$ (empty string)
 - ▶ **Recursive step:** If $w \in \Sigma^*$ and $x \in \Sigma$, then $wx \in \Sigma^*$
- ▶ Since ϵ is the empty string, $\epsilon s = s$
- ▶ Consider the alphabet $\Sigma = \{0, 1\}$
- ▶ How is the string "1" formed according to this definition?
- ▶ How is "10" formed?

Recursive Definitions of String Operations

- ▶ Many operations on strings can be defined recursively.
- ▶ Consider function $l(w)$ which yields length of string w
- ▶ **Example:** Give recursive definition of $l(w)$
 - ▶ Base case:
 - ▶ Recursive step:

Another Example

- ▶ The **reverse** of a string s is s written backwards.
- ▶ **Example:** Reverse of "abc" is "bca"
- ▶ Give a recursive definition of the **reverse(s)** operation
 - ▶ Base case:
 - ▶ Recursive step:

Palindromes

- ▶ A **palindrome** is a string that reads the same forwards and backwards
- ▶ **Examples:** "mom", "dad", "abba", "Madam I'm Adam", ...
- ▶ Give a recursive definition of the set P of all palindromes over the alphabet $\Sigma = \{a, b\}$
- ▶ **Base cases:**
- ▶ **Recursive step:**